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| <h2 style="margin: 0;">UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</h2> <p style="font-size: small; margin-top: 10px;">(Only for new nonprovisional applications under 37 CFR 1.53(b))</p> | Attorney Docket No. _____    |
|   | First Inventor _____         |
|   | Title _____                  |
|   | Express Mail Label No. _____ |

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| <h3 style="text-align: center; margin: 0;">APPLICATION ELEMENTS</h3> <p style="font-size: x-small; margin: 0;">See MPEP chapter 600 concerning utility patent application contents.</p> | <b>ADDRESS TO:</b><br>Commissioner for Patents<br>P.O. Box 1450<br>Alexandria VA 22313-1450 |
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| <ol style="list-style-type: none"> <li>1. <input type="checkbox"/> <b>Fee Transmittal Form</b> (e.g., PTO/SB/17)</li> <li>2. <input type="checkbox"/> <b>Applicant claims small entity status.</b><br/>See 37 CFR 1.27.</li> <li>3. <input type="checkbox"/> <b>Specification</b> [Total Pages _____]<br/>Both the claims and abstract must start on a new page<br/>(For information on the preferred arrangement, see MPEP 608.01(a))</li> <li>4. <input type="checkbox"/> <b>Drawing(s)</b> (35 U.S.C. 113) [Total Sheets _____]</li> <li>5. <b>Oath or Declaration</b> [Total Sheets _____]                         <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> A copy from a prior application (37 CFR 1.63(d))<br/>(for continuation/divisional with Box 18 completed)                                 <ol style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ol> </li> </ol> </li> <li>6. <input type="checkbox"/> <b>Application Data Sheet.</b> See 37 CFR 1.76</li> <li>7. <input type="checkbox"/> <b>CD-ROM or CD-R</b> in duplicate, large table or Computer Program (Appendix)<br/><input type="checkbox"/> Landscape Table on CD</li> <li>8. <b>Nucleotide and/or Amino Acid Sequence Submission</b><br/>(if applicable, items a. – c. are required)                         <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. <input type="checkbox"/> Specification Sequence Listing on:                                 <ol style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> Paper</li> </ol> </li> <li>c. <input type="checkbox"/> Statements verifying identity of above copies</li> </ol> </li> </ol> | <h3 style="text-align: center; margin: 0;">ACCOMPANYING APPLICATION PARTS</h3> <ol style="list-style-type: none"> <li>9. <input type="checkbox"/> <b>Assignment Papers</b> (cover sheet &amp; document(s))<br/>Name of Assignee _____</li> <li>10. <input type="checkbox"/> <b>37 CFR 3.73(b) Statement</b> <input type="checkbox"/> <b>Power of Attorney</b><br/>(when there is an assignee)</li> <li>11. <input type="checkbox"/> <b>English Translation Document</b> (if applicable)</li> <li>12. <input type="checkbox"/> <b>Information Disclosure Statement</b> (PTO/SB/08 or PTO-1449)<br/><input type="checkbox"/> Copies of citations attached</li> <li>13. <input type="checkbox"/> <b>Preliminary Amendment</b></li> <li>14. <input type="checkbox"/> <b>Return Receipt Postcard</b> (MPEP 503)<br/>(Should be specifically itemized)</li> <li>15. <input type="checkbox"/> <b>Certified Copy of Priority Document(s)</b><br/>(if foreign priority is claimed)</li> <li>16. <input type="checkbox"/> <b>Nonpublication Request</b> under 35 U.S.C. 122(b)(2)(B)(i).<br/>Applicant must attach form PTO/SB/35 or equivalent.</li> <li>17. <input type="checkbox"/> Other: _____</li> </ol> |
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18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     
  Divisional     
  Continuation-in-part (CIP)     
 of prior application No.: \_\_\_\_\_

Prior application information:      Examiner \_\_\_\_\_      Art Unit: \_\_\_\_\_

### 19. CORRESPONDENCE ADDRESS

The address associated with Customer Number: \_\_\_\_\_     
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  Correspondence address below

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| Signature         | Date                              |  |
| Name (Print/Type) | Registration No. (Attorney/Agent) |  |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**  
 If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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The information provided by you in this form will be subject to the following routine uses:

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3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.