

**CHECKLIST FOR REVIEW OF ADHERENCE TO
SELECTED GENERAL STANDARDS**

OIG UNDER REVIEW
& PERIOD REVIEWED: _____

NAME OF AUDIT: _____

CONTROL NO.: _____

	NAME	DATE
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REVIEWER (S): _____

DATE COMPLETED: _____

**CHECKLIST FOR REVIEW
OF ADHERENCE TO SELECTED
GENERAL STANDARDS**

Testing	Overall Conclusions
<p>1.1 Review documentation associated with a sample of new hires to determine if the OIG adhered to policies and procedures regarding minimal education, and experience requirements. (GAGAS 3.40)</p>	
<p>1.2 Review a sample of designated specialists (either through interview, review of personnel records, or a combination of both) to determine whether they appear to have the necessary expertise. (GAGAS 3.48)</p>	
<p>1.3 Review personnel records or other documents showing continuing professional education and training received for a sample of auditors to determine if they have met the requirements. (GAGAS 3.45-3.47)</p>	
<p>1.4 Review the OIG's organizational placement within the structure of the Government entity to which it is assigned. Does the OIG's reporting level within the Department or Agency result in an organizational impairment? (GAGAS 3.22-3.26)</p>	
<p>1.5 Through inquiry and observation, determine whether audit staff has appropriate access to applicable audit standards and other reference material necessary to conduct its work. (GAGAS 3.54)</p>	

END OF CHECKLIST