



# National Practitioner Data Bank

## Healthcare Integrity and Protection Data Bank



### Basis for Action Codes – Organization Subjects

#### Health Plan Action

**Non-Compliance With Federal, State or Contractual Requirements**

- 31 Failure to Comply With Health and Safety Requirements
- 32 Lack of Appropriately Qualified Professionals
- AC Failure to Maintain Equipment/Missing or Inadequate Equipment
- 34 Financial Insolvency
- 39 License Revocation, Suspension or Other Disciplinary Action Taken by a Federal, State or Local Licensing Authority
- 40 Exclusion or Suspension From a Federal or State Health Care Program
- 43 Employing or Contracting With Individuals or Entities Excluded From a Federal or State Health Care Program
- 45 Failure to Maintain Records or Provide Medical, Financial or Other Required Information
- 47 Failure to Take Corrective Action
- 51 Failure to Perform Contractual Obligations
- 82 Debarment From Federal or State Program
- A6 Violation of Federal or State Statutes, Regulations or Rules

**Criminal Conviction or Adjudication**

- B1 Nolo Contendere Plea
- 19 Criminal Conviction

**Confidentiality, Consent or Disclosure Violations**

- C1 Failure to Obtain Informed Consent
- C2 Failure to Comply With Patient Consultation Requirements
- C3 Breach of Confidentiality

**Conflict of Interest**

- 71 Conflict of Interest

**Fraud, Deception or Misrepresentation**

- E1 Insurance Fraud (Medicare, Medicaid or Other Insurance)
- 55 Improper or Abusive Billing Practices
- 56 Submitting False Claims
- E3 Filing False Reports or Falsifying Records
- E2 Providing or Ordering Unnecessary Tests or Services
- E4 Fraud, Deceit or Material Omission in Obtaining License or Credentials
- E5 Misleading, False or Deceptive Advertising or Marketing
- 05 Fraud – Unspecified

**Substandard Care or Patient Neglect/Abuse**

- F6 Substandard or Inadequate Care
- 14 Patient Abuse
- 15 Patient Neglect
- F9 Patient Abandonment
- FA Inappropriate Refusal to Treat
- 53 Failure to Provide Medically Reasonable and/or Necessary Items or Services
- FC Negligent Credentialing



# National Practitioner Data Bank

## Healthcare Integrity and Protection Data Bank



### Health Plan Action (continued)

#### Improper Prescribing, Dispensing, Administering Medication/Drug Violation

- H1 Narcotics Violation or Other Violation of Drug Statutes
- H2 Unauthorized Prescribing of Medication
- H3 Unauthorized Dispensing of Medication
- H4 Unauthorized Administration of Medication
- H5 Error in Prescribing, Dispensing or Administering Medication

#### Other

- 99 Other - Not Classified, Specify, \_\_\_\_\_

### Exclusion or Debarment

#### Criminal Conviction

- 60 Felony Conviction Relating to Health Care Fraud
- 61 Felony Conviction Relating to Controlled Substance Violations
- 62 Program-Related Conviction
- 63 Conviction Relating to Patient Abuse or Neglect
- 64 Conviction Relating to Fraud
- 65 Conviction Relating to Obstruction of an Investigation
- 66 Conviction Relating to Controlled Substances
- 69 Criminal Conviction - Not Classified

#### Other

- H1 Narcotics Violation or Other Violation of Drug Statutes
- 39 License Revocation, Suspension or Other Disciplinary Action Taken by a Federal, State or Local Licensing Authority
- A7 Surrendered License to Practice
- 40 Exclusion or Suspension From a Federal or State Health Care Program
- 41 Entities Owned or Controlled by a Sanctioned Individual
- 42 Individuals Controlling Sanctioned Entities
- 44 Default on Health Education Loan or Scholarship Obligations
- 45 Failure to Maintain Records or Provide Medical, Financial or Other Required Information
- 46 Failure to Grant Immediate Access
- 47 Failure to Take Corrective Action
- 51 Failure to Perform Contractual Obligations
- 53 Failure to Provide Medically Reasonable and/or Necessary Items or Services
- 54 Furnishing Unnecessary or Substandard Items or Services
- 55 Improper or Abusive Billing Practices
- 56 Submitting False Claims
- 57 Fraud, Kickbacks and Other Prohibited Activities
- 58 Imposition of Civil Money Penalty or Assessment
- 59 Peer Review Organization Recommendation
- 71 Conflict of Interest
- 72 Corporate Integrity Agreement Breach
- 73 Settlement Agreement Breach
- A6 Violation of Federal or State Statutes, Regulations or Rules
- 99 Other - Not Classified, Specify, \_\_\_\_\_



# National Practitioner Data Bank

## Healthcare Integrity and Protection Data Bank



### Federal or State Licensure

#### **Non-Compliance With Federal, State or Contractual Requirements**

- A1 Failure to Meet the Initial Requirements of a License
- A3 Failure to Meet Licensing Board Reporting Requirements
- 31 Failure to Comply With Health and Safety Requirements
- 32 Lack of Appropriately Qualified Professionals
- AC Failure to Maintain Equipment/Missing or Inadequate Equipment
- 34 Financial Insolvency
- 39 License Revocation, Suspension or Other Disciplinary Action Taken by a Federal, State or Local Licensing Authority
- 40 Exclusion or Suspension From a Federal or State Health Care Program
- 45 Failure to Maintain Records or Provide Medical, Financial or Other Required Information
- 47 Failure to Take Corrective Action
- A6 Violation of Federal or State Statutes, Regulations or Rules

#### **Criminal Conviction or Adjudication**

- B1 Nolo Contendere Plea
- 19 Criminal Conviction

#### **Confidentiality, Consent or Disclosure Violations**

- C1 Failure to Obtain Informed Consent
- C2 Failure to Comply With Patient Consultation Requirements
- C3 Breach of Confidentiality

#### **Conflict of Interest**

- 71 Conflict of Interest

#### **Fraud, Deception, or Misrepresentation**

- E1 Insurance Fraud (Medicare, Medicaid or Other Insurance)
- 55 Improper or Abusive Billing Practices
- 56 Submitting False Claims
- E3 Filing False Reports or Falsifying Records
- E2 Providing or Ordering Unnecessary Tests or Services
- E4 Fraud, Deceit or Material Omission in Obtaining License or Credentials
- E5 Misleading, False or Deceptive Advertising or Marketing
- 05 Fraud – Unspecified

#### **Substandard Care or Patient Neglect/Abuse**

- F6 Substandard or Inadequate Care
- 14 Patient Abuse
- 15 Patient Neglect
- F9 Patient Abandonment
- FA Inappropriate Refusal to Treat
- 53 Failure to Provide Medically Reasonable and/or Necessary Items or Services

#### **Improper Prescribing, Dispensing, Administering Medication/Drug Violation**

- H1 Narcotics Violation or Other Violation of Drug Statutes
- H2 Unauthorized Prescribing of Medication
- H3 Unauthorized Dispensing of Medication
- H4 Unauthorized Administration of Medication
- H5 Error in Prescribing, Dispensing or Administering Medication

#### **Other**

- 99 Other - Not Classified, Specify, \_\_\_\_\_



# National Practitioner Data Bank

## Healthcare Integrity and Protection Data Bank



### Government Administrative

#### **Non-Compliance With Federal, State or Contractual Requirements**

- 31 Failure to Comply With Health and Safety Requirements
- 32 Lack of Appropriately Qualified Professionals
- AC Failure to Maintain Equipment/Missing or Inadequate Equipment
- 34 Financial Insolvency
- 39 License Revocation, Suspension or Other Disciplinary Action Taken by a Federal, State or Local Licensing Authority
- 40 Exclusion or Suspension From a Federal or State Health Care Program
- 43 Employing or Contracting With Individuals or Entities Excluded From a Federal or State Health Care Program
- 45 Failure to Maintain Records or Provide Medical, Financial or Other Required Information
- 47 Failure to Take Corrective Action
- 48 Failure to Obtain a Surety Bond
- 49 Failure to Comply With the Composition of Enrollment Requirements
- 51 Failure to Perform Contractual Obligations
- 82 Debarment From Federal or State Program
- A6 Violation of Federal or State Statutes, Regulations or Rules

#### **Criminal Conviction or Adjudication**

- B1 Nolo Contendere Plea
- 19 Criminal Conviction

#### **Confidentiality, Consent or Disclosure Violations**

- C1 Failure to Obtain Informed Consent
- C2 Failure to Comply With Patient Consultation Requirements
- C3 Breach of Confidentiality

#### **Conflict of Interest**

- 71 Conflict of Interest

#### **Fraud, Deception, or Misrepresentation**

- E1 Insurance Fraud (Medicare, Medicaid or Other Insurance)
- 55 Improper or Abusive Billing Practices
- 56 Submitting False Claims
- E3 Filing False Reports or Falsifying Records
- E2 Providing or Ordering Unnecessary Tests or Services
- E4 Fraud, Deceit or Material Omission in Obtaining License or Credentials
- E5 Misleading, False or Deceptive Advertising or Marketing
- 05 Fraud – Unspecified

#### **Substandard Care or Patient Neglect/Abuse**

- F6 Substandard or Inadequate Care
- 14 Patient Abuse
- 15 Patient Neglect
- F9 Patient Abandonment
- FA Inappropriate Refusal to Treat
- 53 Failure to Provide Medically Reasonable and/or Necessary Items or Services
- FC Negligent Credentialing



# National Practitioner Data Bank

## Healthcare Integrity and Protection Data Bank



### Government Administrative (continued)

**Improper Prescribing, Dispensing, Administering Medication/Drug Violation**

- H1 Narcotics Violation or Other Violation of Drug Statutes
- H2 Unauthorized Prescribing of Medication
- H3 Unauthorized Dispensing of Medication
- H4 Unauthorized Administration of Medication
- H5 Error in Prescribing, Dispensing or Administering Medication

**Other**

- 99 Other – Not Classified, Specify, \_\_\_\_\_