

POLICY ISSUE INFORMATION

July 20, 2006

SECY-06-0161

FOR: The Commissioners

FROM: Luis A. Reyes
Executive Director for Operations

SUBJECT: SUMMARY OF ACTIVITIES RELATED TO GENERIC SAFETY ISSUES

PURPOSE:

To present the annual summary of activities related to generic safety issues (GSIs), and to provide an update on the staff's plan for renewed attention to the generic issues program.

BACKGROUND:

In a staff requirements memorandum (SRM) dated May 8, 1998, in response to SECY-98-030, "Implementation of [Direction-Setting Issue] DSI-22 Research," the Commission directed the staff to provide an annual summary of activities related to open reactor and non-reactor GSIs. In the August 31, 2005, SRM issued in response to SECY-05-0126, the Commission directed the staff to (1) reformat the annual report to include additional information and (2) develop a plan to focus renewed attention to the generic issues program that will resolve the older GSIs still on the books and ensure that future GSIs are resolved in a timely manner.

DISCUSSION:

History

The NRC generic issues program was developed to comply with Section 210 of the Energy Reorganization Act, which was passed by Congress in December 1977. After issuance of a Policy Statement on the program for resolving GSIs in January 1978, the Commission approved, in December 1983, the first quantitative approach to developing a priority listing of

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the open GSIs. This approach was published with the GSI evaluations in NUREG-0933, "A Prioritization of Generic Safety Issues." After 10 years of use, the methodology for determining the priority of GSIs was modified by the staff and approved by the Commission in July 1993. As part of the implementation of DSI-22, the staff developed Management Directive (MD) 6.4, "Generic Issues Program," to more clearly define each office's responsibilities for resolving GSIs. Issued in December 2001, MD 6.4 delineated the NRC program for addressing reactor and non-reactor GSIs and described the seven stages of GSI resolution: (1) identification, (2) initial screening, (3) technical assessment, (4) regulation and guidance development, (5) regulation and guidance issuance, (6) implementation, and (7) verification. In July 2005, the staff issued Revision 1 to MD 6.4 to simplify GSI identification methods and to add closure as the eighth stage.

Current Process

GSIs identified after March 1999 have been processed in accordance with MD 6.4. Candidate GSIs may be identified by organizations or individuals either within or external to NRC. Generally, safety concerns associated with operating events, research results, or risk assessments form the basis for the identification of GSIs by the NRC staff, the Advisory Committee on Reactor Safeguards (ACRS), the nuclear industry, or the public. After a GSI is identified (Stage 1), the staff conducts an initial screening evaluation to determine whether the GSI requires a technical assessment, should be excluded from further analysis, or should be sent to the appropriate NRC program office for review (Stage 2). During this stage, GSIs are also evaluated for compliance with existing regulations. In its technical assessment, the staff determines whether the GSI involves adequate protection, safety enhancement, or burden reduction (Stage 3). If the GSI requires further pursuit, the staff uses its technical assessment findings as the basis for developing or revising agency rules, guidance, and programs (Stage 4). In the next three stages, NRC issues new or revised regulations or guidance (Stage 5), which are then implemented by licensees and/or certificate holders (Stage 6) and verified by NRC (Stage 7). However, if a GSI results in a commitment by a licensee, long term verification of implementation could be accomplished through the NRR Project Manager (PM) audits conducted in accordance with guidance associated with NRR Office Instruction LIC-105, "Managing Regulatory Commitments Made by Licensees to the NRC." In accordance with LIC-105, every three years, PMs are required to audit the licensee's commitment management program by addressing the adequacy of the licensee's implementation of a sample of commitments made to the NRC. In the final stage, the staff closes the GSI, and no further resources are expended (Stage 8).

The Office of Nuclear Regulatory Research (RES) is responsible for cataloging and screening all new GSIs associated with nuclear reactor power plants, and performing the technical assessments of those GSIs that require further pursuit after screening. The Office of Nuclear Reactor Regulation (NRR) is responsible for developing and issuing regulations or guidance that may be recommended in the technical assessments, and subsequently verifying the implementation of the resultant regulation or guidance by licensees and/or certificate holders. NRR also conducts a justification for continued operation evaluation for each newly identified GSI to determine whether plants should continue operating while the issue is being processed in accordance with MD 6.4. The Office of Nuclear Material Safety and Safeguards (NMSS) is responsible for managing all stages of the MD 6.4 process for non-reactor GSIs.

Plan for Renewed Attention

In response to the SRM issued on SECY-05-0126, the staff submitted its renewed attention plan to the Commission on March 29, 2006. The staff identified a two-phased approach to improve the timeliness in resolving existing GSIs (Phase I), and its intention to perform a more comprehensive and fundamental reevaluation of the generic issues program (Phase II). Phase I accomplishments to date include: (1) assignment of appropriate priorities, budgets, and technical resources for resolution; (2) expansion of the Generic Issue Management Control System (GIMCS) to provide more useful information for managing resolution; (3) issuance of Revision 1 to MD 6.4 to enhance oversight of the program; (4) inclusion of GSI milestones in the operating plans of the affected offices; and (5) reorganizations in NRR and RES that are intended to produce better coordination of the work associated with the program.

Phase II was initiated with a meeting on May 10, 2006, with representatives from RES, NRR, and the Office of Nuclear Security and Incident Response (NSIR), and included discussion of potentially significant changes in the program related to its objectives, timeliness expectations, roles and responsibilities of the participating offices, public participation, communications, the relationship to rulemaking and generic communications, and safety issues outside the GSI program. Future meetings are being planned with the intention to develop a Commission Paper on proposals for significant program changes to be prepared by January 2007. The proposed changes will be designed to ensure the right issues are placed into the GSI program, significantly reduce the time it takes to resolve a GSI, and potentially save resources.

Tracking

Tracking the status of all GSIs is accomplished with GIMCS, which was developed as an integral part of the generic issues program approved by the Commission in 1983. The staff modified the GIMCS in 1985 to address the General Accounting Office (GAO) recommendations in GAO/RCED 84-149, "Management Weaknesses Affect Nuclear Regulatory Commission Efforts to Address Safety Issues Common to Nuclear Power Plants," issued on September 19, 1984. In 1996, the staff expanded GIMCS to track the status of all (reactor and non-reactor) GSIs agencywide, as directed by the Commission in SRM 951219A. RES is responsible for the maintenance of GIMCS.

In response to the Commission directive on August 31, 2005, the enclosed GIMCS report has been expanded further to include the additional information requested. In addition to other information, the GIMCS summary for each open GSI includes the date the issue was opened, a brief description of the issue, the current status, potential problems, reasons for schedule changes, resources expended, technical contacts, and a table of milestones and completion dates for each of the eight stages described in MD 6.4.

Reporting

In addition to this annual report to the Commission, the GIMCS report is issued quarterly and placed in the Public Document Room for use by the industry and the public. From December 1998 to December 2005, the staff prepared monthly reports on significant accomplishments in resolving open reactor GSIs for Commission use in its monthly status report to the U.S. Senate Committee on Environment and Public Works on NRC's licensing activities and regulatory duties. Beginning in January 2006, the reporting frequency to

Congress was changed from monthly to quarterly. RES is responsible for preparing all reports on the status of open GSIs.

Documentation

The screening analyses and disposition of all GSIs are documented in NUREG-0933, and the staff has made it available to the public on the NRC Web page. Since the initial publication of NUREG-0933 in December 1983, the staff has issued 29 supplements, including Supplement 29 which was published in November 2005. RES is responsible for the compilation and publication of all supplements to NUREG-0933.

Status of Open Generic Issues

Since the staff issued its previous report to the Commission (SECY-05-0126) on July 15, 2005, the staff has identified one new reactor GSI, screened and closed one reactor GSI, and completed the technical assessments and closed three reactor GSIs. As a result, the staff reduced the total number of open reactor and non-reactor GSIs from 16 to 13.

Reactor Generic Issues

During the reporting period, the staff of the Division of Engineering Technology in RES identified one new issue for initial screening, GSI-200, "Tin Whiskers." On August 25, 2005, the staff issued NRC Information Notice 2005-25, "Inadvertent Reactor Trip and Partial Safety Injection Actuation Due to Tin Whisker." In addition, the staff completed the initial screening of GSI-197, "Iodine Spiking Phenomena," which was dropped from further pursuit. The staff closed the following three issues after completing the technical assessments: GSI-80, "Pipe Break Effects on Control Rod Drive (CRD) Hydraulic Lines in the Drywells of BWR MARK I and II Containments"; GSI-185, "Control of Recriticality Following Small-Break LOCAs in Pressurized-Water Reactors (PWRs)"; and GSI-188, "Steam Generator Tube Leaks/Ruptures Concurrent with Containment Bypass." Thus, the staff reduced the total number of open reactor GSIs from 13 to 10 since July 15, 2005.

Over the past several years, the number of new GSIs identified has decreased to an average of approximately two per year, and the staff has closed 840 of the 850 reactor GSIs (98.8%) identified since the inception of the generic issues program in 1976. The enclosure to this paper contains the status of the 10 open reactor GSIs that are in various stages of the generic issues program.

Non-Reactor Generic Issues

The staff has not identified nor closed any non-reactor GSIs during the reporting period. The enclosure to this paper also describes the status of the three non-reactor GSIs that remain open.

COMMITMENT:

Recommendations associated with changes to the generic issues program will be presented to the Commission for consideration. The staff continues to implement the MD 6.4 process for identifying and resolving reactor and non-reactor GSIs and will provide annual updates to the Commission on activities related to the issues. NMSS expects to close two GSIs in 2006, and one GSI in 2007. The Commission will be kept informed of any significant developments in the implementation of the plan to focus renewed attention on the generic issues program.

RESOURCE:

The resource needs for this project are \$3,516K and 5.4 FTE in FY 2006, \$1,600K and 6.0 FTE in FY 2007, and \$2,050K and 5.7 FTE in FY 2008. The resources are budgeted in FY 2006 and FY 2007, and have been requested for FY 2008. There is no impact on budgeted resources.

COORDINATION:

The Office of the General Counsel has reviewed this package and has no legal objection. The Office of the Chief Financial Officer has reviewed this package and has no objection.

/RA/

Luis A. Reyes
Executive Director
for Operations

Enclosure: Generic Issue Management
Control System dated June 30, 2006

Status of Open GSIs as of June 30, 2006

Definitions..... i

Generic Issues..... ii

Enclosure

DEFINITIONS

Issue No.: Generic Issue Number

Office/Division/Branch: The Office, Division, and Branch of the Task Manager who has lead responsibility for resolving the issue

Title: Generic Issue Title

Task Manager: Name of assigned individual responsible for resolution

Identification: MD 6.4, Stage 1

Prioritization/Screen: Director MD 6.4, Stage 2

Technical Assessment: MD 6.4, Stage 3

Regulation & Guidance Development: MD 6.4, Stage 4

Regulation & Guidance Issuance: MD 6.4, Stage 5

Implementation: MD 6.4, Stage 6

Verification: MD 6.4, Stage 7

Closure: MD 6.4, Stage 8

Description/Work Scope: Describes briefly the work necessary to technically resolve and complete the GI

Status: Describes current status of work

Problem/Resolution: Identifies problem areas and describes what actions are necessary to resolve them

Reasons for Schedule Changes: Explains reasons for changes in Action Plan dates

Milestones: Selected significant milestones: Original - scheduled dates reflected in the original Task Action Plan, plus additional milestone dates added during resolution of the GI; Current - expected date of completion, or changes in the original scheduled dates; Actual - the date the milestone was completed

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GENERIC ISSUE MANAGEMENT CONTROL SYSTEM

Run Date: 06/30/2006

All Active Issue(s)

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ISSUE NUMBER: 156.6.1

OFFICE/DIVISION/BRANCH: RES/DRASP/OERA

TITLE: Pipe Break Effects on Systems and Components

TASK MANAGER: H. Vandermolen

IDENTIFICATION: 02/1991

PRIORITIZATION/SCREEN:07/1999

TECH. ASSESSMENT: 12/2007

IDENTIFICATION STATUS:Complete

PRIOR./SCREEN STATUS: Complete

TECH. ASSESS. STATUS:

REGULATION & GUIDANCE DEVELOPMENT:--

REGULATION & GUIDANCE ISSUANCE: --

REGULATION & GUIDANCE DEVELOPMENT STATUS: TBD

REGULATION & GUIDANCE ISSUANCE STATUS: TBD

IMPLEMENTATION:--

VERIFICATION:--

CLOSURE: 12/2007

IMPLEMENTATION STATUS: TBD

VERIFICATION STATUS:TBD

CLOSURE STATUS:

Total Contractor Resources Expended (k) = \$195.00

GENERIC ISSUE MANAGEMENT CONTROL SYSTEM

Run Date: 06/30/2006

All Active Issue(s)

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ISSUE NUMBER: 156.6.1

OFFICE/DIVISION/BRANCH: RES/DRASP/OERA

TITLE: Pipe Break Effects on Systems and Components

DESCRIPTION/WORK SCOPE

Description

GDC 4 is the primary regulatory requirement of concern. It requires, in part, that structures, systems and components important to safety be appropriately protected against the environmental and dynamic effects that may result from equipment failures, including the effects of pipe whipping and discharging fluids. Several possible scenarios for plants that do not have adequate protection against pipe whip were identified as a result of research.

Work Scope

The objective of the attached TAP is to determine through analysis if: (1) a high energy pipe break inside a BWR Mark I containment has the potential to perforate the drywell shell and possibly disable accident mitigation systems; and (2) a high energy pipe break inside a BWR Mark I or Mark II containment can disable the control rod drive (CRD) scram system. The TAP is a follow-on to NUREG/CR-6395, "Enhanced Prioritization of Generic Safety Issue 156.6.1 Pipe Break Effects on Systems and Components Inside Containment," which was performed by the Idaho National Engineering and Environmental Laboratory (INEEL) and issued in November 1999, and the screening evaluation, "A Screening Evaluation of GSI-80 Pipe Break Effects on Control Rod Drive Hydraulic Lines in the Drywell of BWR Mark I and II Containments" attached to the February 14, 2003 memorandum from Thadani to Collins concerning GSI-80. Individual TAP section reports will be issued when analysis information is obtained. All TAP sections are not required to be completed if a bounding analysis is found to be inconsequential.

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ISSUE NUMBER: 156.6.1

OFFICE/DIVISION/BRANCH: RES/DRASP/OERA

TITLE: Pipe Break Effects on Systems and Components

STATUS

A letter was sent from F. Eltawila (NRC) to W. Glenn Warren (BWROG) expressing concerns related to the GSI. The BWROG responded on 01-10-2001 that a committee was formed to coordinate the response to the ACRS. There are a total of 16 SEP III BWRs. A Task Action Plan for resolving the issue was approved in May 2001. The previous Task Manager (Stuart Rubin) was reassigned to the Advanced Reactors Group in REAHFB/DSARE/RES in July 2001. New Task Manager (Ron Lloyd) was assigned in January 2002.

Task 4 of Contract Y6406 (NRC-04-01-67) was issued to Information Systems Laboratories (ISL). ISL issued a draft report in September addressing many of the BWOG peer review comments on the prioritization done by INEEL (issued in 1999). The ISL report has been reviewed and comments have been made. In December 2002, ISL completed its review of technical comments made by the BWROG on the INEEL's "Enhanced Prioritization of Generic Safety Issue 156.6.1 Pipe Break Effects on Systems and Components Inside Containment." ISL concluded that, in general, INEEL's analysis was overly conservative in its risk estimates, and simplistic in accident sequence development. A followup meeting was held on 1/15/03 to discuss potential options for resolution of differences. A meeting to discuss options was held on March 19, 2003. The ongoing reevaluation of 10 CFR 50.46, "Acceptance Criteria for Emergency Core Cooling Systems for Light-Water Nuclear Power Plants," will be considered in the technical assessment of this GSI.

The Task Action Plan for the partial resolution of GSI 156.6.1, "Pipe Break Effects on Systems and Components Inside Containment," and GSI-80, "Pipe Break Effects on Control Rod Drive Hydraulic Lines in the Drywells of BWR Mark I and II Containments," was approved on February 3, 2004 (ML040340549). Prior to his departure from the NRC, Task Manager Ron Lloyd completed a technical evaluation of the effects of postulated pipe breaks inside BWR Mark I and Mark II containments in July 2004. The ANSYS finite element code was used to perform nonlinear transient analysis to determine the impact of impulsive loads due to pipe breaks in feedwater, main steam, and recirculation system piping on drywell steel shell and control rod drive (CRD) bundles. The results of the analysis indicated that the structural integrity and leak-tightness of the drywell steel shell will not be compromised due to pipe impact. The calculations indicate that: (1) the drywell steel shell will yield locally at the point of impact but will not perforate and cause an over-pressure in the annular space between the steel shell and concrete shield wall; (2) the CRD bundles will not be impacted by breaks in recirculation, steam, and feedwater system piping after a postulated break. The next step is to confirm the staff's findings with inspections at a minimum of 3 PWR plants.

In October 2005, DSARE conducted a review of 37 operating plants as part of its selection of certain plants for plant walkdowns. In November 2005, DSARE identified 16 plants (23 reactors) that needed to be visited. In April 2006, DRASP drafted a memo requesting NRR support in arranging site visits.

Staff Resources Expended: 700 hours

AFFECTED DOCUMENTS

To be determined.

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ISSUE NUMBER: 156.6.1

OFFICE/DIVISION/BRANCH: RES/DRASP/OERA

TITLE: Pipe Break Effects on Systems and Components

PROBLEM / RESOLUTION

None.

REASONS FOR SCHEDULE CHANGES

Arrangement for plant visits was delayed to pursue design drawings that would preclude walkdowns.

<u>M I L E S T O N E S</u>	<u>ORIGINAL DATE</u>	<u>CURRENT DATE</u>	<u>ACTUAL DATE</u>
Task Action Plan Approved	05/2001	--	05/2001
Task Manager Reassigned to Other Duties	07/2001	--	07/2001
New Task Manager Assigned	01/2002	--	01/2002
Draft Contractor Report	09/2002	--	12/2002
Meeting to Discuss Options	03/2003	--	03/2003
Complete Draft Task Action Plan	11/2002	--	07/2003
Decision to Integrate GSI-80 into Technical Assessment of GSI-156.6.1	10/2003	--	10/2003
Approval of Task Action Plan	11/2003	--	02/2004
High Energy Piping Interactions with BWR Mark I Drywell Shells	03/2004	--	03/2004
Analysis and Documentation of Calculation Results	06/2004	--	07/2004
Identify Plants to be Visited	11/2005	--	11/2005
Select PWRs for Site Visits	09/2005	07/2006	--
Complete Arrangements for Site Visits, if Necessary	03/2006	07/2006	--
Complete Review of Piping Configurations at PWR Plants	09/2005	12/2006	--
Draft Recommendations	08/2004	06/2007	--

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ISSUE NUMBER: 156.6.1

OFFICE/DIVISION/BRANCH: RES/DRASP/OERA

TITLE: Pipe Break Effects on Systems and Components

<u>M I L E S T O N E S</u>	<u>ORIGINAL DATE</u>	<u>CURRENT DATE</u>	<u>ACTUAL DATE</u>
Meet with ACRS	02/2006	09/2007	--
Close Out Issue with Memo to the EDO	06/2006	12/2007	--

GENERIC ISSUE MANAGEMENT CONTROL SYSTEM

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ISSUE NUMBER: 163

OFFICE/DIVISION/BRANCH: NRR/DCI/CSG

TITLE: Multiple Steam Generator Tube Leakage

STATUS:

TASK MANAGER: E. Murphy

IDENTIFICATION: 06/1992

PRIORITIZATION/SCREEN:01/1997

TECH. ASSESSMENT: *

IDENTIFICATION STATUS:Complete

PRIOR./SCREEN STATUS: Complete

TECH. ASSESS. STATUS:

REGULATION & GUIDANCE DEVELOPMENT: *

REGULATION & GUIDANCE ISSUANCE: *

REGULATION & GUIDANCE DEVELOPMENT STATUS:

REGULATION & GUIDANCE ISSUANCE STATUS:

IMPLEMENTATION: *

VERIFICATION: *

CLOSURE: *

IMPLEMENTATION STATUS:

VERIFICATION STATUS:

CLOSURE STATUS:

Total Contractor Resources Expended (k) = \$0.00

* Lessons learned from work completed so far have necessitated several modifications and additions to tasks. These are being formalized in the RES Operating Plan and the SG Action Plan.

GENERIC ISSUE MANAGEMENT CONTROL SYSTEM

Run Date: 06/30/2006

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ISSUE NUMBER: 163

OFFICE/DIVISION/BRANCH: NRR/DCI/CSG

TITLE: Multiple Steam Generator Tube Leakage

DESCRIPTION/WORK SCOPE

Description

This issue addresses the safety concern associated with multiple steam generator tube leaks during a main steam line break that cannot be isolated. This sequence could lead to core damage that could result from the loss of all primary system coolant and safety injection fluid in the refueling water storage tank. The issue was opened in response to a DPV filed in late 1991. The DPV (and later DPO) issues are being considered in the staff's work on steam generator tube integrity.

Work Scope

The NRC originally planned to develop a rule pertaining to steam generator tube integrity. The proposed rule was to implement a more flexible regulatory framework for steam generator surveillance and maintenance activities that allows a degradation-specific management approach. The regulatory analysis concluded that the more optimal regulatory approach was to utilize a generic letter. The NRC staff suggested, and the Commission subsequently approved, a revision to the regulatory approach to utilize a generic letter. Finally, in late 1998, the regulatory approach was revised once again. The staff has worked to resolve concerns with the industry initiative, NEI 97-06, in lieu of a generic letter. The current framework provides reasonable assurance that operating PWRs are safe. However, the current regulatory framework has shortcomings. To resolve these shortcomings, the staff is working with industry to revise the regulatory framework to utilize a risk-informed and performance-based approach that will ensure compliance with current regulations (i.e., GDC, Appendix B, ASME Code, 10 CFR Part 100).

The staff completed a draft risk assessment and draft regulatory analysis and met with ACRS on March 4, 5, and April 3, 1997, to discuss the two efforts. The results of these two efforts caused the staff to conclude that generic regulatory action in the form of a rule was not necessary. The staff subsequently drafted and sent to the Commission COMSECY-097-013 (05-23-1997) which discussed the basis for revising the regulatory approach to utilize a generic letter. The Commission approved the revised regulatory approach in the SRM dated 06-30-1997.

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ISSUE NUMBER: 163

OFFICE/DIVISION/BRANCH: NRR/DCI/CSG

TITLE: Multiple Steam Generator Tube Leakage

STATUS

The DPO issues document was completed and sent to the ACRS full committee for review in October 1997. The staff met with CRGR on 06-12-1998 for an information briefing on the package. The staff met with CRGR on 07-21-1998 for a detailed review of the proposed generic letter package. The staff issued Commission Paper SECY-98-248 with the recommendation to put a hold on the issuance of a GL while the staff works with the industry on NEI 97-06 (the proposed alternative to a GL). The Commission agreed with this approach in an SRM dated 12-21-1998.

On 01-20-99, the staff issued the DPO consideration document for public comment. The DPO consideration document has been updated to reflect the status of the NEI 97-06 industry initiative and has been forwarded to the EDO. Resolution of the GSI is pending completion of the DPO process. At the request of the EDO, the ACRS served as an equivalent ad hoc panel to review the DPO issues and to provide the EDO with a summary report documenting its findings relative to the DPO issues. The ACRS met with the DPO author and other members of the NRC staff and reviewed relevant documentation relative to the DPO issues. The ACRS issued NUREG-1740 documenting its conclusions and recommendations on Feb. 1, 2001. By memo dated 03-05-2001, the EDO directed that NRR and RES develop a joint action plan by May 4, 2001 (issued on May 11, 2001) to address the conclusions and recommendations in the ACRS report, which encompass the GSI-163 issues. Based on this Action Plan, the completion date for this GSI is September 2005.

This issue is an integral part of the NRC Steam Generator Action Plan, the status of which was presented to the Commission in SECY-03-0080 on May 16, 2003, and discussed at a Commission meeting on May 29, 2003. In order to resolve GSI-163, it is necessary to complete work associated with Tasks 3.1 and 3.3 through 3.7 of the SG Action Plan. Lessons learned from work completed so far has necessitated several modifications and additions to tasks, milestones, and target completion dates that are being formalized in the RCS operating plan and the SG Action Plan. For example, completion date for Task 3.5.g will be scheduled when the present work scope is expanded.

The staff and the industry have reached agreement on new generic requirements for maintaining SG tube integrity. The industry submitted, and the staff has approved, a generic template, referred to as Technical Specification Task Force (TSTF)- 449, for these requirements. In response to GL 2006-01, "Steam Generator Tube Integrity and Associated Technical Specifications," issued on January 20, 2006, all PWR licensees have submitted license amendment applications to change their Technical Specifications in accordance with TSTF-449. These new Technical Specifications are performance based, and will improve the effectiveness of regulatory requirements in maintaining SG tube integrity since they are more directly focused on tube integrity than the earlier, more prescriptive requirements.

Staff Resources Expended: 670 hours

AFFECTED DOCUMENTS

Generic Letter 2006-01

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ISSUE NUMBER: 163

OFFICE/DIVISION/BRANCH: NRR/DCI/CSG

TITLE: Multiple Steam Generator Tube Leakage

PROBLEM / RESOLUTION

Lessons learned from work completed so far have necessitated several modifications and additions to tasks. These are being formalized in the RES Operating Plan and the SG Action Plan.

REASONS FOR SCHEDULE CHANGES

In order to resolve GSI-163, it is necessary to complete work associated with Tasks 3.1 and 3.3 through 3.5 of the SG Action Plan.

<u>M I L E S T O N E S</u>	<u>ORIGINAL DATE</u>	<u>CURRENT DATE</u>	<u>ACTUAL DATE</u>
Regulatory Analysis	05/1997	--	05/1997
Proposed GL Package	06/1997	--	10/1997
ACRS Endorsement	06/1997	--	10/1997
GL Package Placed in Concurrence	10/1997	--	10/1997
NEI 97-06 Submitted	12/1997	--	12/1997
GL Package Sent to CRGR by NRR	07/1997	--	04/1998
CRGR Meeting on GL Package	06/1998	--	06/1998
CRGR Meeting on Proposed GL	07/1998	--	07/1998
NRR Memo to EDO Putting GL on Hold	09/1998	--	09/1998
Commission Paper Recommending Hold on Issuance of GL	11/1998	--	10/1998
SRM on SECY-98-248	12/1998	--	12/1998
DPO Consideration Document to the EDO	09/1999	--	09/1999
EDO Establishes an Independent Panel to Review the DPO	02/2000	--	05/2000
ACRS to Perform DPO Review Panel Function	10/2000	--	10/2000
ACRS to Provide Conclusions and Recommendations	12/2000	--	02/2001

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ISSUE NUMBER: 163

OFFICE/DIVISION/BRANCH: NRR/DCI/CSG

TITLE: Multiple Steam Generator Tube Leakage

<u>M I L E S T O N E S</u>	<u>ORIGINAL DATE</u>	<u>CURRENT DATE</u>	<u>ACTUAL DATE</u>
NRR & RES Issue Joint Action Plan	05/2001	--	05/2001
Issue Generic Letter 2006-01	01/2006	--	01/2006
Completion of GSI-Related Joint Action Plan Issues	03/2005	--	--
Close Out Issue with Memo to the EDO	02/2001	--	--

GENERIC ISSUE MANAGEMENT CONTROL SYSTEM

Run Date: 06/30/2006

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ISSUE NUMBER: 186

OFFICE/DIVISION/BRANCH: NRR/DSS/SBP

TITLE: Potential Risk and Consequences of Heavy Load Drops in Nuclear Power Plants

TASK MANAGER: S. Jones

IDENTIFICATION: 04/1999

PRIORITIZATION/SCREEN:07/2003

TECH. ASSESSMENT: 11/2003

IDENTIFICATION STATUS:Complete

PRIOR./SCREEN STATUS: Complete

TECH. ASSESS. STATUS: Complete

REGULATION & GUIDANCE DEVELOPMENT:01/2007

REGULATION & GUIDANCE ISSUANCE: 01/2007

REGULATION & GUIDANCE DEVELOPMENT STATUS:

REGULATION & GUIDANCE ISSUANCE STATUS:

IMPLEMENTATION:- -

VERIFICATION:- -

CLOSURE: 02/2007

IMPLEMENTATION STATUS: N/A

VERIFICATION STATUS:N/A

CLOSURE STATUS:

Total Contractor Resources Expended (k) = \$0.00

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ISSUE NUMBER: 186

OFFICE/DIVISION/BRANCH: NRR/DSS/SBP

TITLE: Potential Risk and Consequences of Heavy Load Drops in Nuclear Power Plants

DESCRIPTION/WORK SCOPE

Description

In 1985, the staff declared, through GL 85-11, "Completion of Phase II of Control of Heavy Loads at Nuclear Power Plants, NUREG-0612," that licensees need not analyze the potential consequences of a heavy load drop. In 1986, the staff reported that USI A-36 was resolved based on the implementation of NUREG-0612, "Control of Heavy Loads at Nuclear Power Plants - Resolution of Generic Technical Activity A-36." Subsequent review of licensees' programs for the handling of heavy loads revealed that there is a substantially greater potential for severe consequences to result from the drop of a heavy load, than previously envisioned.

Work Scope

The technical assessment of GI-186 resulted in the following four recommendations that were documented in NUREG-1774: (1) Evaluate the capability of various rigging components and materials to withstand rigging errors (e.g., absence of corner softening material, acute angle lifts, shock from load shifts, and postulated human errors). As appropriate, issue necessary guidelines for rigging applications. (2) Endorse ASME NOG-1, "Rules for Construction of Overhead and Gantry Cranes (Top Running Bridge, Multiple Girder)" for Type I cranes as an acceptable method of qualifying new or upgraded cranes as single-failure-proof. As appropriate, issue guidance endorsing the standard. (3) Reemphasize the need to follow NUREG-0612 Phase I guidelines involving good practices for crane operations and load movements. Continue to assess implementation of heavy load controls in safety-significant applications through the Reactor Oversight Process. (4) Evaluate the need to establish standardized load drop calculation methodologies for heavy load drops.

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ISSUE NUMBER: 186

OFFICE/DIVISION/BRANCH: NRR/DSS/SBP

TITLE: Potential Risk and Consequences of Heavy Load Drops in Nuclear Power Plants

STATUS

The report on the potential risk and consequences of heavy load drops in nuclear power plants was completed in June 2003, after NRR comments were addressed by RES. The publication of the report, NUREG-1774, "A Survey of Crane Operating Experience at U.S. Nuclear Power Plants from 1968 Through 2002," in July 2003 completed the initial screening stage of the issue. The proposed recommendations resulting from the technical assessment of the issue were discussed with the ACRS Full Committee on September 11, 2003. Three of the RES recommendations on regulation and guidance development were sent to NRR on November 12, 2003. By letter dated February 4, 2004, NRR informed RES that these three recommendations would be implemented through issuance of a Regulatory Issue Summary that clarifies and reemphasizes existing regulatory guidance for control of heavy loads. The remaining recommendation was sent to DET/RES on November 21, 2003.

In September 2004, NRR reported that the ASME Code Committee action in support of NRC endorsement of the industry crane standard NOG-1 was delayed. In April 2005, the staff identified an emergent concern with the adequacy of evaluations of heavy load drops. NRR issued Regulatory Issue Summary (RIS) 2005-25 on October 31, 2005, to clarify and reemphasize existing regulatory guidance for the control of heavy loads. In September 2004, NRR reported that the ASME Code Committee action in support of NRC endorsement of the industry crane standard NOG-1 was delayed. The staff plans to issue a supplemental RIS to address endorsement of ASME NOG-1 Standard. NRR is developing a Supplement to RIS 2005-25 to endorse the industry standard, American Society of Mechanical Engineers (ASME) NOG-1, "Rules for Construction of Overhead and Gantry Cranes," as an acceptable method of satisfying NRC guidance.

Staff Resources Expended: 3,000 hours

AFFECTED DOCUMENTS

NUREG-1774

PROBLEM / RESOLUTION

None.

REASONS FOR SCHEDULE CHANGES

The expected ASME Code committee action on the NOG-1 Standard has been delayed.

<u>M I L E S T O N E S</u>	<u>ORIGINAL DATE</u>	<u>CURRENT DATE</u>	<u>ACTUAL DATE</u>
Publish NUREG-1774	06/2003	--	06/2003

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OFFICE/DIVISION/BRANCH: NRR/DSS/SBP

TITLE: Potential Risk and Consequences of Heavy Load Drops in Nuclear Power Plants

<u>M I L E S T O N E S</u>	<u>ORIGINAL DATE</u>	<u>CURRENT DATE</u>	<u>ACTUAL DATE</u>
Meet with ACRS Full Committee	09/2003	--	09/2003
ACRS Memo to the EDO on Staff Recommendations	09/2003	--	09/2003
Complete Technical Assessment and Transfer Issue to NRR for Regulation and Guidance Development	10/2003	--	11/2003
DSARE/RES Memo to DET/RES Requesting Industry Code Committee Evaluation	11/2003	--	11/2003
Issue RIS 2005-25 to Clarify and Reemphasize Existing Regulatory Guidance for Control of Heavy Loads	12/2004	--	10/2005
Brief ACRS on Implementation of Recommendations	11/2004	11/2006	--
Issue RIS 2005-25, Supplement 1 to Address Endorsement of Industry Standard	02/2006	01/2007	--
Issue Closeout Memo to the EDO	08/2005	02/2007	--

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ISSUE NUMBER: 189

OFFICE/DIVISION/BRANCH: NRR/DSS/SBP

TITLE: Susceptibility of Ice Condenser and Mark III Containments to Early Failure

TASK MANAGER: S. JONES

IDENTIFICATION: 05/2001

PRIORITIZATION/SCREEN: 02/2002

TECH. ASSESSMENT: 12/2002

IDENTIFICATION STATUS: Complete

PRIOR./SCREEN STATUS: Complete

TECH. ASSESS. STATUS: Complete

REGULATION & GUIDANCE DEVELOPMENT: 04/2007

REGULATION & GUIDANCE ISSUANCE: 04/2007

REGULATION & GUIDANCE DEVELOPMENT STATUS:

REGULATION & GUIDANCE ISSUANCE STATUS:

IMPLEMENTATION: 06/2008

VERIFICATION: 06/2009

CLOSURE: 06/2010

IMPLEMENTATION STATUS:

VERIFICATION STATUS:

CLOSURE STATUS:

Total Contractor Resources Expended (k) = \$685.00

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ISSUE NUMBER: 189

OFFICE/DIVISION/BRANCH: NRR/DSS/SBP

TITLE: Susceptibility of Ice Condenser and Mark III Containments to Early Failure

DESCRIPTION/WORK SCOPE

Description

NUREG/CR-6427, "Assessment of the Direct Containment Heat (DCH) Issue for Plants with Ice Condenser Containments," showed that the early containment failure probability in ice condensers is dominated by non-DCH hydrogen combustion events. The staff subsequently extended the issue to include BWR MARK III containments because their relatively low free volume and strength are comparable to PWR ice condensers.

Work Scope

The staff will conduct studies to determine whether providing an independent power supply for the igniter systems to deal with station blackout events provides a substantial increase in the overall protection of the public health and safety with implementation costs that are justified in view of this increased protection. Work on this issue is being continued following an initial screening in accordance with MD 6.4. A Task Action Plan for pursuing the issue was developed on February 13, 2002. The staff presented its technical assessment to the ACRS on June 6, 2002. The ACRS response on June 17, 2002, recommended that the staff consider the uncertainties associated with its technical assessment, including the uncertainty related to the use of a control volume code (MELCOR), to determine detailed hydrogen concentration distributions. The staff briefed the Thermal Hydraulic Phenomena and the Reliability PRA Sub-committees on November 5, 2002 and the full ACRS Committee on November 13, 2002. The ACRS recommended that the form of this action should be through the plant-specific severe accident management guidelines. RES provided its technical assessment for resolving GSI-189 to NRR in a memorandum dated December 17, 2002. RES concluded that further action to provide back-up power to one train of igniters is warranted for both ice condenser and Mark III plants. On January 30, 2003, NRR prepared a reply memorandum that outlined the next steps in the resolution of this GSI. NRR prepared a Task Action Plan to complete Management Directive 6.4, Stage 4, Regulation and Guidance Development, based on the preliminary decision to issue an Order. A review of the proposed regulatory actions and associated draft documents by senior management and OGC was completed and it was decided to pursue Rulemaking rather than an Order. Before a final decision is reached a Public Meeting and agreement by the Rulemaking Committee are needed. In the letter of November 13, 2002, to the Commission, the ACRS stated that they agreed with RES that further regulatory action by NRR was warranted for ice condenser and Mark III containments. A public meeting was held on June 18, 2003, to receive feedback from licensees and other stakeholders regarding the need to provide a backup power supply to the hydrogen igniters and NRR's consideration of rulemaking for the resolution of GSI-189.

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OFFICE/DIVISION/BRANCH: NRR/DSS/SBP

TITLE: Susceptibility of Ice Condenser and Mark III Containments to Early Failure

STATUS

NRR briefed the ACRS on November 6, 2003, and recommended providing a backup power supply to the hydrogen igniters. The ACRS suggested that the form of action be through the use of plant-specific severe accident management guidelines (SAMG) and proceed with rulemaking. At that time, ACRS did not think an Order or Rulemaking could be supported. Based on the comments received from the ACRS at the NRR staff's presentation on November 6, 2003, NRR decided to commence rulemaking. The Task Action Plan (MD 6.4, Stage 4) was updated to reflect the pursuit of rulemaking. NRR is working on finalizing the design criteria for the backup power supply, and is administering a contract with ICF to merge and enhance the existing technical assessment into a regulatory analysis. NRR/DRIP is performing a cost/benefit analysis to support a possible rulemaking effort. The NRR held a public meeting with the public and industry on 09-21-2004, to get external stakeholders' input on the draft design criteria. Representatives of the PWR ice condenser utilities, the BWROG representing BWR Mark III utilities, and NEI discussed the proposed design criteria. They considered that the draft design criteria are generally acceptable with the exception of the one-hour time limit for BWR plants connecting the power source without making the system automatic, the power source is required to be manually connected to the power source within one hour. The BWROG is willing to make hardware modification to supply power from the existing HPCS diesel generator, and agreed to provide additional information regarding implementation cost for the pre-staged generator and relative risk contribution from either fast-SBO or slow-SBO at each of the four Mark III plants. BWROG requested that NRC provide feedback whether two hours instead of one hour for startup time is viable even it is not responsive to fast SBO events. At the public meeting, Duke power, representing two PWR ice condenser sites, Catawba 1&2, McGuire 1&2, is not planning a new backup power source, but agreed to make modifications on an existing safe shutdown diesel generator that could manually connect to provide backup power source as needed. AEP representative agreed to provide backup power source for D. C. Cook 1&2 from the large new diesel generators which are already planned for installation to support increased allowed outage time. TVA, representing two PWR ice condenser sites, Sequoyah 1&2, Watts Bar-1, will provide a new backup power source as the standard emergency power on 69kv board.

On 11-17-2003, the ACRS Chairman wrote the NRC Chairman recommending the NRC proceed with rulemaking to require a backup power supply to the hydrogen igniters for PWR ice-condenser and BWR MARK III plants. The ACRS recommended that rulemaking include a small pre-staged generator with installed cables, conduit, panels, and breakers, or an equivalent diverse power supply. The ACRS also agreed with industry that the rulemaking should be accompanied by guidance that specifies the design requirements. In November 2004, the staff reached a consensus to evaluate the proposed voluntary initiatives and pursue that path as a preferential solution before proceeding with rulemaking. In February and early March 2005, the NRR staff met with representatives of RES, NSIR, and OEDO to develop an understanding of the safety/security interface and actions initiated in the security arena that could impact the solution of the issue. On March 30, 2005, the staff met with senior representatives of the six affected utilities to present security-related insights. The staff plans to allow industry several months to digest this new information before requesting information on voluntary measures to be implemented at each affected site. NRC will send letters to the affected plants discussing associated regulatory and administrative issues such as emergency operating procedure changes and updating the Final Safety Analysis Report. Implementation of measures to address these issues should be complete by the end of 2006. Staff verification will be performed following implementation completion.

Staff Resources Expended: 8,000 hours

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ISSUE NUMBER: 189

OFFICE/DIVISION/BRANCH: NRR/DSS/SBP

TITLE: Susceptibility of Ice Condenser and Mark III Containments to Early Failure

AFFECTED DOCUMENTS

10 CFR 50.44
10 CFR 50.34

PROBLEM / RESOLUTION

Through public meetings on February 3 and March 31, 2004, the staff discussed the proposed draft design criteria for backup power supply to the hydrogen igniters and received comments from stakeholders. The NRC completed a technical basis, a response to Entry Conditions for Rulemaking and a Backfit evaluation, for transmittal to the Rulemaking Committee for consideration. The Rulemaking Committee accepted NRR's technical basis on April 9, 2004, and is moving forward to pursue Rulemaking in accordance with NRR Office Letter LIC-300, which includes developing a formal Regulatory Analysis in accordance with NUREG/BG-0058, and coordinating the technical staff's presentation to the Rulemaking Approval Board. Currently, NRR is pursuing voluntary licensee initiatives as an alternative to rulemaking.

NRR finalized the regulatory analysis to quantify the estimated costs and benefits of rulemaking both with and without voluntary actions. For the PWR ice-condenser containments, adding backup power to the igniters provides a substantial safety benefit at a justifiable cost. However, after implementation of expected voluntary actions, rulemaking would not be justified. For the BWR Mark III containments, the costs exceed the benefits for all evaluated options. However, defense-in-depth considerations in improving the balance among accident prevention and mitigation provides an additional un-quantified benefit that support rulemaking for both containment types. On 06/14/05, the EDO issued a memorandum to the Commissioners to inform the Commission of the regulatory analysis results and recent staff activities on GSI-189. The net benefits for the BWR Mark III containments are negative. If voluntary actions are found to be ineffective or inadequate, the staff will revisit rulemaking to the extent supported by regulatory analysis.

REASONS FOR SCHEDULE CHANGES

Based on an understanding that many of the voluntary physical modifications had been completed, the staff elected to delay seeking specific commitments while security-related reviews of the facilities were ongoing. On March 1, 2006, the EDO issued a memo informing the Commission of the staff's intent to delay the request for commitments until after the security-related reviews are completed in September 2006.

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ISSUE NUMBER: 189

OFFICE/DIVISION/BRANCH: NRR/DSS/SBP

TITLE: Susceptibility of Ice Condenser and Mark III Containments to Early Failure

AFFECTED DOCUMENTS

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PROBLEM / RESOLUTION

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REASONS FOR SCHEDULE CHANGES

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<u>M I L E S T O N E S</u>	<u>ORIGINAL DATE</u>	<u>CURRENT DATE</u>	<u>ACTUAL DATE</u>
Draft Technical Assessment	05/2002	--	05/2002
Meet with ACRS	06/2002	--	06/2002
Second Meeting on Technical Assessment with ACRS Sub-Committee	10/2002	--	11/2002
Final Technical Assessment	11/2002	--	11/2002

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ISSUE NUMBER: 189

OFFICE/DIVISION/BRANCH: NRR/DSS/SBP

TITLE: Susceptibility of Ice Condenser and Mark III Containments to Early Failure

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Meet with ACRS Full Committee	11/2002	--	11/2002
Transfer GSI to NRR	12/2002	--	12/2002
Determine Best Course of Action	02/2003	--	02/2003
Public Meeting with Stakeholders	02/2003	--	02/2003
Review RES Technical Assessment	02/2003	--	02/2003
Prepare Guidance and Provide Results to NRR Management	03/2003	--	03/2003
Distribute Draft Order and SECY Paper	03/2003	--	03/2003
Finalize CRGR Package	03/2003	--	03/2003
Provide Draft Order to OGC and Draft SECY to EDO	03/2003	--	03/2003
Meet with Rulemaking Committee	05/2003	--	05/2003
Conduct Public Meeting	06/2003	--	06/2003
Meet with OPA to Develop Communication Plan	06/2003	--	06/2003
Complete Communication Plan	07/2003	--	07/2003
Public Meeting to Address Design Criteria	11/2003	--	11/2003
NRR Meeting with ACRS	11/2003	--	11/2003
Public Meeting with Stakeholders	02/2004	--	02/2004
Public Meeting with Stakeholders	03/2004	--	03/2004
Brief Commissioner Merrifield	03/2004	--	03/2004
Issue Draft Design Criteria for Comment	08/2004	--	08/2004
Public Meeting with Stakeholders	09/2004	--	09/2004

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ISSUE NUMBER: 189

OFFICE/DIVISION/BRANCH: NRR/DSS/SBP

TITLE: Susceptibility of Ice Condenser and Mark III Containments to Early Failure

M I L E S T O N E S	O R I G I N A L D A T E	C U R R E N T D A T E	A C T U A L D A T E
Internal Meeting to Discuss Pursuit of Rulemaking	11/2004	--	11/2004
Perform Sensitivity Analysis to Determine Whether 2-Hour Startup Time for BWRs is Acceptable	11/2004	--	11/2004
Decision on Voluntary Licensee Initiatives as Alternative to Rulemaking	11/2004	--	11/2004
Finalize Design Criteria	11/2004	--	11/2004
Evaluate Safety/Security Interface	03/2005	--	03/2005
Issue Status Paper to Commission	05/2005	--	06/2005
Brief Commissioner Jaczko on Regulatory Analysis Results and Safety Significance	07/2005	--	07/2005
Meet with Owners to Discuss Safety-Security Interface Issues	08/2005	--	08/2005
Update Commission Regarding Licensee Plans for Voluntary Measures	03/2006	--	03/2006
Seek Commitment for Implementation of Voluntary Initiatives	08/2005	10/2006	--
Request Information from Owners on Voluntary Actions Implemented	12/2005	10/2006	--
Complete Regulation and Guidance Development	06/2006	04/2007	--
Complete Implementation	06/2008	06/2008	--
Complete Verification	06/2009	06/2009	--
Close Out Issue with Memo to the EDO	06/2010	06/2010	--

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ISSUE NUMBER: 191

OFFICE/DIVISION/BRANCH: NRR/DSS/SSI

TITLE: ASSESSMENT OF DEBRIS ACCUMULATION ON PWR SUMP PERFORMANCE

STATUS:

TASK MANAGER: T. Hafera

IDENTIFICATION: 09/1996

PRIORITIZATION/SCREEN: 09/1996

TECH. ASSESSMENT: 09/2001

IDENTIFICATION STATUS: Complete

PRIOR./SCREEN STATUS: Complete

TECH. ASSESS. STATUS: Complete

REGULATION & GUIDANCE DEVELOPMENT: 09/2004

REGULATION & GUIDANCE ISSUANCE: 09/2004

REGULATION & GUIDANCE DEVELOPMENT STATUS: Complete

REGULATION & GUIDANCE ISSUANCE STATUS: Complete

IMPLEMENTATION: 12/2007

VERIFICATION: 06/2008

CLOSURE: 06/2008

IMPLEMENTATION STATUS:

VERIFICATION STATUS:

CLOSURE STATUS:

Total Contractor Resources Expended (k) = \$7,045.00

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Run Date: 06/30/2006

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ISSUE NUMBER: 191

OFFICE/DIVISION/BRANCH: NRR/DSS/SSI

TITLE: ASSESSMENT OF DEBRIS ACCUMULATION ON PWR SUMP PERFORMANCE

DESCRIPTION/WORK SCOPE

Description

This issue concerns the possibility that debris accumulating on the ECCS sump screen in PWRs may result in a loss of the net positive suction head (NPSH) margin. Loss of NPSH margin could impede or prevent the flow of water from the sump, which is necessary to meet the criteria of 10 CFR 50.46.

Work Scope

The goals of the NRC's reassessment are to: (1) determine if the transport and accumulation of debris in containment following a LOCA will impede the operation of the ECCS in operating PWRs; (2) if it is shown that debris accumulation will impede ECCS operation, develop the technical basis for revising NRC's regulations or guidance to ensure that debris accumulation in containment will not prevent ECCS operation; (3) if it is shown that debris accumulation will impede ECCS operation, provide NRC technical reviewers with sufficient information on phenomena involved in debris accumulation and how it affects ECCS operation to facilitate the review of any changes to plants that may be warranted; and (4) issue Generic Communication and work with the industry plan to evaluate and resolve GSI-191 for all PWRs.

Preliminary parametric calculations were completed in July 2001 indicating the potential for debris accumulation for 69 cases. These 69 cases are representative of, but not identical to, the operating PWR population. Following the ACRS agreement with the staff's Technical Assessment of the issue in 09/2001, the issue was forwarded to NRR in a memorandum dated September 28, 2001. Consistent with Management Directive 6.4, NRR has the GSI-191 lead for Stages 4 through 6 of the Generic Issues Process. NRR has evaluated the technical assessment and prepared a Task Action Plan for developing appropriate regulatory guidance and resolution for GSI-191.

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ISSUE NUMBER: 191

OFFICE/DIVISION/BRANCH: NRR/DSS/SSI

TITLE: ASSESSMENT OF DEBRIS ACCUMULATION ON PWR SUMP PERFORMANCE

STATUS

Following meetings with stakeholders on March 5 and April 29, 2003, NRC Bulletin 2003-01 was issued to PWR licensees on June 9, 2003, to (1) confirm their compliance with 10 CFR 50.46(b)(5) and other existing applicable regulatory requirements, or (2) describe any compensatory measures that have been implemented to reduce the potential risk due to post-accident debris blockage, as evaluations to determine compliance proceed. Revision 3 to Regulatory Guide 1.82 was issued in November 2003.

Generic Letter (GL) 2004-02 was issued in September 2004 requesting licensees to perform plant-specific mechanistic evaluations of sump performance following LOCA and HELB events, and to implement corrective actions as required to ensure compliance with regulatory requirements. The Nuclear Energy Institute (NEI) provided the staff a guidance report (GR) in May 2004 which consisted of the industry's proposed evaluation methodology to be used in performing the plant-specific evaluations. The staff reviewed the GR and issued a draft Safety Evaluation (SE) which provided supplementation to the GR and resulted in an NRC-approved evaluation methodology. The staff presented the SE to CRGR, and to the ACRS Subcommittee and Full Committee in September and October 2004, respectively. The final SE was issued in December 2004. In January and April 2005, the staff held public meetings with NEI and owners to discuss the GL and SE, and to address questions as the evaluations are being performed with use of the SE and GR.

A joint NRC/Industry Integrated Chemical Effects Testing program started in 2004 is planned to be completed in August 2005. Because chemical precipitation products have been identified during the test program follow-on testing and analyses will be needed to address the effect on head loss. The NRC received and evaluated the licensee's 90 day responses to Generic letter 2004-02. The NRC is conducting pilot plant audits examining the analyses and design changes planned to close GSI-191. The first audit for Crystal River Unit 3 was completed in June 2005.

The staff conducted briefings on the status of the issue with Commissioners Jaczko and Lyons, on July 18, 2005, and with the ACRS on September 9, 2005. IN 2005-26, "Results of Chemical Effects Head Loss Tests in a Simulated PWR Sump Pool Environment," was issued on September 16, 2005. The staff will continue to review final licensee responses to Generic Letter 2004-02, and has conducted coating transport testing at the Naval Surface Warfare Center. PWR licensees responded on schedule to the GL in September 2005. All PWR licensees committed to modify their containment sump strainer except for three plants who have modified their containment sump strainers within the last five years. NRC is evaluating the responses. NRC audits of approximately 10 plants commenced in November 2005. All but two licensees have committed to complete modifications by December 2007, which is the scheduled completion date stated in GL 2004-02. The staff completed reports on the chemical effects on ice condenser containments on 01/13/2006 (ML053550433), and on PWR containments on 01/20/2006 (ML060190713). Supplement 1 to IN 2005-26 was issued on January 26, 2006, to specifically provide additional information regarding test results related to chemical effects in environments containing dissolved phosphate (e.g., from trisodium phosphate) and dissolved calcium. NRR anticipates that recipients will review the information for applicability to their facilities and consider taking actions, as appropriate, to avoid similar issues. On February 9, 2006, a public meeting was held with NEI and industry representatives to discuss progress in resolving GI-191. On March 9, 2006, the staff briefed the ACRS on its evaluation of licensee responses to GL 2004-02, and the results of chemical effects tests.

The NRC is conducting additional research in a certain areas to support these evaluation efforts and provide confirmatory information. These areas include research on chemical effects to determine if the pressurized-water reactor sump pool environment generates byproducts which contribute to

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OFFICE/DIVISION/BRANCH: NRR/DSS/SSI

TITLE: ASSESSMENT OF DEBRIS ACCUMULATION ON PWR SUMP PERFORMANCE

sump clogging, research on pump head losses caused by accumulation of containment materials and chemical byproducts, and research to predict the chemical species that may form in these environments. Additionally, research is being conducted on the transportability of coating chips in containment pool environments, as well as on the effect of ingested debris on downstream valve performance and reactor core heat transfer has been conducted.

During FY 2006, the NRC completed testing and analysis associated with the initial phase of the chemical effects research; four related NUREG or NUREG/CR reports are expected to be published by the end of FY-06 describing this work. Additionally, the NRC completed containment material head loss testing and the development of a head loss correlation model which was calibrated and validated using the testing program data. Research programs evaluating coating transportability and surrogate throttle valve debris ingestion were completed. An additional two NUREG/CR documents are expected to be published in FY-06 which describe the research activities completed in areas other than chemical effects. Reports associated with remaining related research programs will be completed in early FY-2007.

Staff Resources Expended: 39,000 hours

AFFECTED DOCUMENTS

- (1) Regulatory Guide 1.82, Rev. 3
- (2) NUREG-0800
- (3) Generic Letter 85-22
- (4) Bulletin 2003-01
- (5) Generic Letter 2004-02

PROBLEM / RESOLUTION

There is little data associated with chemical effects and downstream effects, and this is making it difficult for licensees to design modified sump screens, and the NRC to evaluate the adequacy of the modified screens. Data are being generated both by the industry and RES to address this issue.

REASONS FOR SCHEDULE CHANGES

None

<u>M I L E S T O N E S</u>	<u>ORIGINAL DATE</u>	<u>CURRENT DATE</u>	<u>ACTUAL DATE</u>
NRR User Need Request Sent to RES	12/1995	--	12/1995
User Need Request Assigned to GSIB/RES	01/1996	--	01/1996
Reassessment Declared a New GSI	09/1996	--	09/1996

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OFFICE/DIVISION/BRANCH: NRR/DSS/SSI

TITLE: ASSESSMENT OF DEBRIS ACCUMULATION ON PWR SUMP PERFORMANCE

<u>M I L E S T O N E S</u>	<u>ORIGINAL DATE</u>	<u>CURRENT DATE</u>	<u>ACTUAL DATE</u>
Issue SOW for Evaluation of GSI A-43	11/1996	--	11/1996
Complete Evaluation of GSI A-43	04/1997	--	03/1997
Issue SOW for Reassessment of Debris Blockages in PWR Containments Impact on ECCS Performance	09/1998	--	09/1998
Complete Collection and Review of PWR Containment and Sump Design and Operation Data	12/1999	--	12/1999
Complete All Debris Transport Tests	09/2000	--	08/2000
Complete Development of Models and Methods for Analyzing Impact of Debris Blockages in PWR Containments on ECCS Performance	04/2001	--	06/2003
Complete Parametric Evaluation	07/2001	--	07/2001
Proposed Recommendations to the ACRS	08/2001	--	08/2001
ACRS Review Completed	09/2001	--	09/2001
Complete Reassessment of Debris Blockages in PWR Containments Impact on ECCS Performance	09/2001	--	09/2001
Complete Estimate of Average CDF Reduction, Benefits, and Costs	04/2002	--	09/2001
Prepare Memo Discussing Proposed Recommendations (End of Technical Assessment Stage of Generic Issue Process)	04/2002	--	09/2001
Issue Transferred from RES to NRR	09/2001	--	09/2001
Issue Bulletin 2003-01	05/2003	--	06/2003
Discuss Reg. Guide 1.82, Rev. 3 with ACRS Subcommittee on Thermal-Hydraulic Phenomena	08/2003	--	08/2003

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Run Date: 06/30/2006

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ISSUE NUMBER: 191

OFFICE/DIVISION/BRANCH: NRR/DSS/SSI

TITLE: ASSESSMENT OF DEBRIS ACCUMULATION ON PWR SUMP PERFORMANCE

<u>M I L E S T O N E S</u>	<u>ORIGINAL DATE</u>	<u>CURRENT DATE</u>	<u>ACTUAL DATE</u>
Present Final Version of Reg. Guide 1.82, Rev. 3 to ACRS Full Committee	09/2003	--	09/2003
ACRS Letter on Final Version of Reg. Guide 1.82, Rev. 3	09/2003	--	09/2003
Draft Industry Guidance for Plant-Specific Analyses	10/2003	--	10/2003
Issue Reg. Guide 1.82, Rev.3	09/2003	--	11/2003
NRC Meeting with Stakeholders	03/2004	--	03/2004
NRC Meeting with Stakeholders	05/2004	--	05/2004
Receive Industry Guidance for Plant-Specific Analyses	09/2003	--	05/2004
NRC Meeting with Stakeholders	06/2004	--	06/2004
Brief ACRS SubCommittee on Proposed Generic Letter	06/2004	--	06/2004
NRC Meeting with Stakeholders	06/2004	--	06/2004
Develop Generic Letter for Resolution of GSI	07/2004	--	07/2004
Brief Full ACRS Committee on Proposed Generic Letter	07/2004	--	07/2004
Meet with CRGR on Proposed Generic Letter	08/2004	--	08/2004
Issue Generic Letter 2004-02	09/2004	--	09/2004
Meet with ACRS on Safety Evaluation of NEI 04-07	10/2004	--	10/2004
ACRS Response on Safety Evaluation of NEI 04-07	10/2004	--	10/2004
Brief Commissioners Jaczko and Lyons on Status	07/2005	--	07/2005
EDO Briefing of ACRS on Status	09/2005	--	09/2005
Receive All GL Responses Addressing Plant-Specific Analyses	05/2005	--	09/2005

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ISSUE NUMBER: 191

OFFICE/DIVISION/BRANCH: NRR/DSS/SSI

TITLE: ASSESSMENT OF DEBRIS ACCUMULATION ON PWR SUMP PERFORMANCE

<u>M I L E S T O N E S</u>	<u>ORIGINAL DATE</u>	<u>CURRENT DATE</u>	<u>ACTUAL DATE</u>
Issue Information Notice 2005-26	09/2005	--	09/2005
Complete Review of Licensee Responses to GL 2004-02	01/2006	--	01/2006
Issue Supplement 1 to IN 2005-26	01/2006	--	01/2006
Complete Research Programs Evaluating Coating Transportability and Surrogate Throttle Valve Debris Ingestion	02/2006	--	02/2006
Brief ACRS on Staff Evaluation of Licensee Responses to GL 2004-02 and Results of Chemical Effects Tests	03/2006	--	03/2006
Complete Testing and Analysis Associated with Initial Phase of Chemical Effects Research	05/2006	--	05/2006
Complete Containment Material Head Loss Testing	06/2006	--	06/2006
Licensees Complete GSI-191 Activities, Including All Modifications	01/2007	12/2007	--
Close Issue with Memo to the EDO	03/0087	06/2008	--

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Run Date: 06/30/2006

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ISSUE NUMBER: 193

OFFICE/DIVISION/BRANCH: RES/DRASP/NRCA

TITLE: BWR ECCS SUCTION CONCERNS

TASK MANAGER: P. Kadambi

IDENTIFICATION: 05/2002

PRIORITIZATION/SCREEN: 10/2003

TECH. ASSESSMENT: --

IDENTIFICATION STATUS: Complete

PRIOR./SCREEN STATUS: Complete

TECH. ASSESS. STATUS: TBD

REGULATION & GUIDANCE DEVELOPMENT: --

REGULATION & GUIDANCE ISSUANCE: --

REGULATION & GUIDANCE DEVELOPMENT STATUS: TBD

REGULATION & GUIDANCE ISSUANCE STATUS: TBD

IMPLEMENTATION: --

VERIFICATION: --

CLOSURE: --

IMPLEMENTATION STATUS: TBD

VERIFICATION STATUS: TBD

CLOSURE STATUS:

CONTRACT TITLE

Total Contractor Resources Expended (k) = \$0.00

GENERIC ISSUE MANAGEMENT CONTROL SYSTEM

Run Date: 06/30/2006

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ISSUE NUMBER: 193

OFFICE/DIVISION/BRANCH: RES/DRASP/NRCA

TITLE: BWR ECCS SUCTION CONCERNS

DESCRIPTION/WORK SCOPE

Description

This GI addresses the possible failure of the ECCS pumps due to unanticipated, large quantities of entrained gas in the suction piping from BWR suppression pools. The issue applies to MARK I, II, and III containments during large- and medium-break LOCAs, and could potentially cause pump failure or degraded performance due to gas binding, vapor locking, or cavitation.

Work Scope

A Task Action Plan for the Technical Assessment of the issue was approved in May 2004 and a literature search for information on ECCS pump performance during intake conditions at high voiding was completed in March 2005. DSARE/RES continued its literature search, in accordance with Phase I of the Task Action Plan, and found experimental evidence that gas could reach the ECCS pumps during a loss-of-coolant accident. DSARE found that the pumps can recover with as much as 20 percent void fraction; however, the impact of voiding on the continued operation of the pumps needs to be determined. DSARE will pursue additional information from NRR, Region I, and foreign sources.

STATUS

A proposal for performing technical analyses was received from BNL in June 2005, in response to an RFP issued by the NRC in April 2005. In September 2005, information on suppression pool experiments was requested from the Technical Research Center of Finland and experimental results on thermal-hydraulic phenomena from one plant were evaluated. A literature search was completed for two specific thermal-hydraulic phenomena: liquid gas jet; and bubble breakup. In December 2005, Task Manager Alexander Velazquez-Lozada departed the NRC. A new Task Manager was assigned to the issue in May 2006.

Staff Resources Expended: 1,668 hours

AFFECTED DOCUMENTS

To be determined.

PROBLEM / RESOLUTION

None

REASONS FOR SCHEDULE CHANGES

The previous Task Manager left the NRC in December 2005. The new Task Manager will have to revise the TAP.

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ISSUE NUMBER: 193

OFFICE/DIVISION/BRANCH: RES/DRASP/NRCA

TITLE: BWR ECCS SUCTION CONCERNS

<u>M I L E S T O N E S</u>	<u>ORIGINAL DATE</u>	<u>CURRENT DATE</u>	<u>ACTUAL DATE</u>
Complete Task Action Plan for a Technical Assessment	03/2004	--	05/2004
ECCS Pump Performance Literature Search	03/2005	--	03/2005
Issue RFP to BNL for Technical Assistance	04/2005	--	04/2005
Receive Proposal for Technical Assistance from BNL	06/2005	--	06/2005
Request Information from Technical Research Center of Finland	09/2005	--	09/2005
Evaluate Experimental Results on Thermal-Hydraulic Phenomena	09/2005	--	09/2005
Complete Literature Search for Two Specific Thermal-Hydraulic Phenomena	09/2005	--	09/2005
Assign New Task Manager	05/2006	--	05/2006
Revise Task Action Plan	11/2005	07/2006	--
Review Pool Dynamics & Air Entrainment for Various Designs	10/2005	--	--
Revise Pump Failure Probabilities	10/2005	--	--
Complete PRA	06/2006	--	--
Draft Recommendations and Revise TAP, if necessary	09/2006	--	--
Meet with ACRS	12/2006	--	--
Close Out Issue with Memo to the EDO	03/2007	--	--

GENERIC ISSUE MANAGEMENT CONTROL SYSTEM

Run Date: 06/30/2006

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ISSUE NUMBER: 196
TITLE: BORAL DEGRADATION

OFFICE/DIVISION/BRANCH: RES/DRASP/OERA

TASK MANAGER: R. Tripathi

IDENTIFICATION: 11/2003
IDENTIFICATION STATUS: Complete

PRIORITIZATION/SCREEN: 11/2004
PRIOR./SCREEN STATUS: Complete

TECH. ASSESSMENT: 11/2006
TECH. ASSESS. STATUS: TBD

REGULATION & GUIDANCE DEVELOPMENT: - -
REGULATION & GUIDANCE DEVELOPMENT STATUS: N/A

REGULATION & GUIDANCE ISSUANCE: - -
REGULATION & GUIDANCE ISSUANCE STATUS: N/A

IMPLEMENTATION: - -
IMPLEMENTATION STATUS: N/A

VERIFICATION: - -
VERIFICATION STATUS: N/A

CLOSURE: 11/2006
CLOSURE STATUS:

Total Contractor Resources Expended (k) = \$0.00

GENERIC ISSUE MANAGEMENT CONTROL SYSTEM

Run Date: 06/30/2006

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ISSUE NUMBER: 196

OFFICE/DIVISION/BRANCH: RES/DRASP/OERA

TITLE: BORAL DEGRADATION

DESCRIPTION/WORK SCOPE

Description

Boral is used as a neutron absorber in the long-term, dry storage casks for spent reactor fuel, and water intrusion into the Boral composite material could result in its chemical breakdown. This degradation of Boral could produce an inadvertent criticality, resulting in high neutron and fission gamma radiation fields which can be hazardous to personnel, unless adequate shielding is in place.

Work Scope

RES staff is reviewing literature supplied by NMSS and other Information to evaluate the effects of boral degradation and their impact on potential inadvertent criticality. The results of the findings will be compared with NMSS conclusions, and a future course of action will be charted.

STATUS

A Task Action Plan for the Technical Assessment of the issue was approved on February 22, 2005. Efforts are underway to gather, review, and summarize the information needed to evaluate Boral degradation effects in casks and their potential impacts on the estimated frequency of accidental criticality. An RES review of the NMSS-supplied literature and other Information for evaluating effects of boral degradation and their impact on potential inadvertent criticality was completed on September 28, 2005.

Staff Resources Expended: 500 Hours

AFFECTED DOCUMENTS

To be determined.

PROBLEM / RESOLUTION

None

REASONS FOR SCHEDULE CHANGES

Funding for materials-related work by ORNL required special approval and resulted in a two-month delay in the schedule.

GENERIC ISSUE MANAGEMENT CONTROL SYSTEM

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ISSUE NUMBER: 196

OFFICE/DIVISION/BRANCH: RES/DRASP/OERA

TITLE: BORAL DEGRADATION

<u>M I L E S T O N E S</u>	<u>ORIGINAL DATE</u>	<u>CURRENT DATE</u>	<u>ACTUAL DATE</u>
Develop Task Action Plan for the Technical Assessment of the GSI	02/2005	--	03/2005
Review the NMSS-Supplied Literature and Other Information for Evaluating Effects of Boral Degradation and Their Impact on Potential Inadvertent Criticality	09/2005	--	09/2005
Expand Literature Review and Prepare Report	12/2005	--	11/2005
Obtain Funding for Peer Review of Staff Report	04/2006	--	04/2006
Peer Review Completed by ORNL	05/2006	07/2006	--
Compare the Results of Findings with NMSS Conclusions and Chart Future Course of Action	03/2006	08/2006	--
Present Rationale for Closing GI to the ACRS/ACNW	08/2006	08/2006	--
Complete Technical Assessment	06/2006	09/2006	--
Close Out Issue with Memo to the EDO	06/2006	11/2006	--

GENERIC ISSUE MANAGEMENT CONTROL SYSTEM

Run Date: 06/30/2006

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ISSUE NUMBER: 198

OFFICE/DIVISION/BRANCH: RES/DRASP/OERA

TITLE: Hydrogen Combustion in PWR Piping

TASK MANAGER: H. Vandermolen

IDENTIFICATION: 02/2004

PRIORITIZATION/SCREEN: 11/2006

TECH. ASSESSMENT: - -

IDENTIFICATION STATUS: Complete

PRIOR./SCREEN STATUS:

TECH. ASSESS. STATUS: TBD

REGULATION & GUIDANCE DEVELOPMENT: - -

REGULATION & GUIDANCE ISSUANCE: - -

REGULATION & GUIDANCE DEVELOPMENT STATUS: TBD

REGULATION & GUIDANCE ISSUANCE STATUS: TBD

IMPLEMENTATION: - -

VERIFICATION: - -

CLOSURE: - -

IMPLEMENTATION STATUS: TBD

VERIFICATION STATUS: TBD

CLOSURE STATUS:

Total Contractor Resources Expended (k) = \$0.00

DESCRIPTION/WORK SCOPE

Description

Under some circumstances, an hydrogen explosion in the primary system piping and equipment could lead to an "unisolatable" LOCA. The effect on PWR plant safety of a hydrogen detonation is to either cause a pipe break or damage a safety or relief valve. In either case, the effect is to cause a loss of coolant from the primary system. Additionally, there have been some instances of personnel injury and fatalities stemming from hydrogen explosions. These, however, have not posed significant risk to the public, but instead are of significance for occupational safety and health.

GENERIC ISSUE MANAGEMENT CONTROL SYSTEM

Run Date: 06/30/2006

All Active Issue(s)

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ISSUE NUMBER: 198

OFFICE/DIVISION/BRANCH: RES/DRASP/OERA

TITLE: Hydrogen Combustion in PWR Piping

STATUS

A screening analysis was completed in May 2006, and a screening panel is being convened.

Staff Resources Expended: 600 Hours

<u>M I L E S T O N E S</u>	<u>ORIGINAL DATE</u>	<u>CURRENT DATE</u>	<u>ACTUAL DATE</u>
Complete Screening Analysis	05/2006	--	05/2006
Convene Panel for Review of Analysis	06/2006	08/2006	--
Complete Screening of Issue	09/2006	11/2006	--

GENERIC ISSUE MANAGEMENT CONTROL SYSTEM

Run Date: 06/30/2006

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ISSUE NUMBER: 199

OFFICE/DIVISION/BRANCH: RES/DRASP/OERA

TITLE: Implications of Updated Probabilistic Seismic Hazard Estimates in Cent

TASK MANAGER: H. Vandermolen

IDENTIFICATION: 05/2005

PRIORITIZATION/SCREEN: 12/2006

TECH. ASSESSMENT: - -

IDENTIFICATION STATUS: Complete

PRIOR./SCREEN STATUS:

TECH. ASSESS. STATUS: TBD

REGULATION & GUIDANCE DEVELOPMENT: - -

REGULATION & GUIDANCE ISSUANCE: - -

REGULATION & GUIDANCE DEVELOPMENT STATUS: TBD

REGULATION & GUIDANCE ISSUANCE STATUS: TBD

IMPLEMENTATION: - -

VERIFICATION: - -

CLOSURE: - -

IMPLEMENTATION STATUS: TBD

VERIFICATION STATUS: TBD

CLOSURE STATUS:

CONTRACT TITLE

Total Contractor Resources Expended (k) = \$25.00

GENERIC ISSUE MANAGEMENT CONTROL SYSTEM

Run Date: 06/30/2006

All Active Issue(s)

Page: Page 38 of 53

ISSUE NUMBER: 199

OFFICE/DIVISION/BRANCH: RES/DRASP/OERA

TITLE: Implications of Updated Probabilistic Seismic Hazard Estimates in Cent

DESCRIPTION/WORK SCOPE

Description

Regulatory Guide 1.165, developed in the early 1990s, specifies a reference probability for exceedance of a safe shutdown earthquake (SSE) ground motion, i.e., seismic hazard, at a median annual value of $10E-5$. This reference probability value is based on the annual probability of exceeding the SSEs for 29 Central and Eastern United States nuclear power sites and is used to establish the SSEs for future nuclear facilities. Preliminary results from a 2004 USGS report indicated that the reference probability for the 29 CEUS is now about 6 to $7 \times 10E-5$. The increase in the reference probability value is primarily due to recent developments in the modeling of earthquake ground motion in the CEUS. No new plants have applied for a construction permit or ESP since 10 CFR Part 100 was revised and Regulatory Guide 1.165 was issued in 1997. Therefore, the impact of the revised regulation and the regulatory guide as they relate to future plants and operating reactors was not realized until the staff began its review of the ESP applications.

Work Scope

This GI is in Stage 2 (Screening) of the MD 6.4 process.

STATUS

A contract for technical assistance was awarded to ISL in August 2005. However, ISL work has been delayed pending release of information by EPRI.

Staff Resources Expended: 160 staff-hours

PROBLEM / RESOLUTION

The ISL analysis has been delayed as a result of information being withheld by EPRI. OGC is advising RES in its pursuit of release of the necessary information.

<u>M I L E S T O N E S</u>	<u>ORIGINAL DATE</u>	<u>CURRENT DATE</u>	<u>ACTUAL DATE</u>
Issue RFP to ISL for Technical Assistance	07/2005	--	07/2005
Receive Proposal from ISL	08/2005	--	08/2005
Receive Technical Information from ISL	07/2006	10/2006	--
Generate Screening Analysis	10/2006	12/2006	--

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Run Date: 06/30/2006

All Active Issue(s)

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ISSUE NUMBER: 199

OFFICE/DIVISION/BRANCH: RES/DRASP/OERA

TITLE: Implications of Updated Probabilistic Seismic Hazard Estimates in Cent

<u>M I L E S T O N E S</u>	<u>ORIGINAL DATE</u>	<u>CURRENT DATE</u>	<u>ACTUAL DATE</u>
Screening Panel Meeting	11/2006	01/2007	--
Issue Panel Report to RES Director	12/2006	02/2007	--
Complete Screening	01/2007	03/2007	--

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Run Date: 06/30/2006

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ISSUE NUMBER: 200

OFFICE/DIVISION/BRANCH: RES/DRASP/OERA

TITLE: Tin Whiskers

TASK MANAGER: C. Antonescu

IDENTIFICATION: 08/2005

PRIORITIZATION/SCREEN:02/2007

TECH. ASSESSMENT: - -

IDENTIFICATION STATUS:Complete

PRIOR./SCREEN STATUS:

TECH. ASSESS. STATUS: TBD

REGULATION & GUIDANCE DEVELOPMENT: - -

REGULATION & GUIDANCE ISSUANCE: - -

REGULATION & GUIDANCE DEVELOPMENT STATUS:TBD

REGULATION & GUIDANCE ISSUANCE STATUS: TBD

IMPLEMENTATION:- -

VERIFICATION: - -

CLOSURE: - -

IMPLEMENTATION STATUS: TBD

VERIFICATION STATUS:TBD

CLOSURE STATUS:

Total Contractor Resources Expended (k) = \$0.00

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Run Date: 06/30/2006

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ISSUE NUMBER: 200

OFFICE/DIVISION/BRANCH: RES/DRASP/OERA

TITLE: Tin Whiskers

DESCRIPTION/WORK SCOPE

Description

Tin whiskers have the possibility of creating significant safety challenges to nuclear power plants throughout the United States. The nuclear industry has experienced spurious alarms, component failures in the solid state protection system, and complicated reactor trips due to tin whiskers. There is a possibility that tin whiskers are present in the solid state protection systems of other nuclear power plants.

A tin whisker is an electrically conductive, individual crystal of tin that grows spontaneously from a tinned surface. They are typically only a few microns in diameter but can grow up to 10 millimeters in length as straight, kinked, or spiraled single crystal of tin. Tin whiskers can also be transported from the site where they grew to other circuits and subsequently cause short circuits. The incubation period for tin whiskers ranges from days to years. Several other metals are known to be capable of whiskering as well, i.e., zinc, cadmium, indium, silver, lead and antimony.

Tin whisker formation is not a new phenomenon. Numerous electronic system failures have been attributed to short circuits caused by tin whiskers that bridge closely-spaced circuits. The first published reports of tin whiskers date back to the 1940's. The whisker phenomenon should not be confused with the dendrites phenomenon. Dendrites form in fern-like patterns on a surface rather than outward as whiskers do. The growth mechanism for dendrites is well-understood and requires some type of moisture capable of dissolving the metal into a solution of metal ions which are then redistributed by electromigration in the presence of an electromagnetic field. While the precise mechanism for whisker formation remains unknown, it is known that whisker formation does not require either dissolution of the metal or the presence of an electric field. The theory is that whiskers are caused by compressive stress buildup during the plating process.

Work Scope

This issue is in Stage 2 (Screening) of the MD 6.4 process.

STATUS

A review of pertinent literature was completed by the staff to collect information needed for the screening of the GI.

Staff Resources Expended: 160 Hours

<u>M I L E S T O N E S</u>	<u>ORIGINAL DATE</u>	<u>CURRENT DATE</u>	<u>ACTUAL DATE</u>
Complete Screening Analysis	11/2006	11/2006	--
Convene Screening Panel	01/2007	01/2007	--

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ISSUE NUMBER: 200

OFFICE/DIVISION/BRANCH: RES/DRASP/OERA

TITLE: Tin Whiskers

<u>MILESTONES</u>	<u>ORIGINAL DATE</u>	<u>CURRENT DATE</u>	<u>ACTUAL DATE</u>
Complete Screening	02/2007	02/2007	--

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Run Date: 06/30/2006

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ISSUE NUMBER: NMSS-0007

OFFICE/DIVISION/BRANCH: NMSS/FCSS/TSG

TITLE: CRITICALITY BENCHMARKS GREATER THAN 5% ENRICHMENT

TASK MANAGER: C. Hrabal

IDENTIFICATION: 05/1998

PRIORITIZATION/SCREEN:05/1998

TECH. ASSESSMENT: 05/1998

IDENTIFICATION STATUS:Complete

PRIOR./SCREEN STATUS: Complete

TECH. ASSESS. STATUS: Complete

REGULATION & GUIDANCE DEVELOPMENT: 10/2006

REGULATION & GUIDANCE ISSUANCE: 10/2006

REGULATION & GUIDANCE DEVELOPMENT STATUS:

REGULATION & GUIDANCE ISSUANCE STATUS:

IMPLEMENTATION: - -

VERIFICATION: - -

CLOSURE: 05/2007

IMPLEMENTATION STATUS: TBD

VERIFICATION STATUS:TBD

CLOSURE STATUS:

Total Contractor Resources Expended (k) = \$600.00

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Run Date: 06/30/2006

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ISSUE NUMBER: NMSS-0007

OFFICE/DIVISION/BRANCH: NMSS/FCSS/TSG

TITLE: CRITICALITY BENCHMARKS GREATER THAN 5% ENRICHMENT

DESCRIPTION/WORK SCOPE

Description

The importance of software (methods and data) in establishing the criticality safety of systems with fissile material is increasing as licensees work to optimize facilities and storage/transport packages at the same time that access to experimental data is decreasing. Available experimental data are insufficient to validate nuclear criticality safety evaluations for all required configurations at U-235 enrichments in the range of 5-20%.

Work Scope

The purpose of this project is to develop and confirm the adequacy of methods, analytical tools, and guidance for criticality safety software to be used in licensing nuclear facilities. The contractor will develop and test methods to estimate trends in calculational bias and uncertainty (thus extending the range of applicability) using sensitivity analysis techniques that: relate the importance of the system parameters to the calculated neutron multiplication factor; provide expert guidance on assessing the adequacy of the parameter phase space used in the validation process and the resulting bias and uncertainty; and illustrate use of the guidance by application to a regime of experimental phase space (such as 5-10% U-235 and degree of moderation) that has limited measured data but extensive interest in terms of current and planned safety evaluations.

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ISSUE NUMBER: NMSS-0007

OFFICE/DIVISION/BRANCH: NMSS/FCSS/TSG

TITLE: CRITICALITY BENCHMARKS GREATER THAN 5% ENRICHMENT

STATUS

The final reports for the sensitivity/uncertainty (S/U) methods were published in November 1999 as Volumes 1 and 2 of NUREG/CR-6655. The reports cover the following subjects: (1) methodology for defining range of applicability including extensions of enrichments from 5% to 11%; (2) test applications and results of the method; (3) test application for higher enrichments using foreign experiments; (4) feasibility study for extending the method to multidimensional analyses, such as transport casks and reactor fuel.

Results of the test applications of the ORNL methods show that, for simple geometries with neutron spectra that are well moderated (high H/X), benchmark experiments at 5% enrichment are applicable to calculations up to 11% enrichment. On the other hand, these test applications also show that benchmark experiments at intermediate and higher H/X values are not applicable to calculations at very low H/X. There are relatively few benchmarks at these very low H/X values for many compositions of interest to LEU licensees.

Although the ORNL method must be applied by licensees to each individual process to determine an acceptable subcritical margin, the preliminary results indicate that there may be situations where there are no applicable benchmarks. In these cases, the method does provide sensitivity and uncertainty information to aid designers in allowing adequately large margins to cover the lack of benchmark validation.

A new statement of work is needed for other contract work. A User Need Memo to RES dated 04/17/2001 requested assistance for that work, including making the computer codes for S/U methods available through the release of SCALE 5.0. In a memo from RES to NMSS dated 06/11/2001, once funding is available, RES will work with NMSS. Since RES did not provide any funding, no work had been done. Therefore, the completion date and milestone dates were changed. Under an NMSS contract with ORNL, NRC was provided with a pre-release of the S/U computer codes in SCALE 5.0, along with training. However, both ORNL and NRC recognized problems with interpreting the results. SCALE 5.0 was released in June 2004 and so the dates on the subsequent milestones were changed, except for the training item which was completed in June 2004 by non-NRC funded ORNL tutorials at the 2004 Annual American Nuclear Society Meeting and NMSS funded training for NRC.

The 04/17/2001 User Need Memo from NMSS to RES was canceled by NMSS by memo dated 06/24/2004 because due to higher priority work, RES had not been able to fund the contract. Independent of RES, NMSS had used an existing contract with ORNL to complete most of the work. The items left to be done do not need contract work. The staff is currently preparing Rev. 1 to Interim Staff Guidance (ISG)-10, "Justification for Minimum Margin of Subcriticality for Safety," which will be the vehicle for communicating the acceptability of new methods for determining subcriticality margins.

Staff Resources Expended: 2,200 hours

AFFECTED DOCUMENTS

ISG-10

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ISSUE NUMBER: NMSS-0007

OFFICE/DIVISION/BRANCH: NMSS/FCSS/TSG

TITLE: CRITICALITY BENCHMARKS GREATER THAN 5% ENRICHMENT

PROBLEM / RESOLUTION

None

REASONS FOR SCHEDULE CHANGES

<u>M I L E S T O N E S</u>	<u>ORIGINAL DATE</u>	<u>CURRENT DATE</u>	<u>ACTUAL DATE</u>
Development of Generalized Sensitivity Methods	12/1997	--	12/1997
Acquisition and Documentation of Russian Data	05/1998	--	05/1998
Development of Guidance for Defining Ranges of Applicability	07/1998	--	11/1998
Application of Guidance to Extend Low Enrichment Range	09/1998	--	11/1998
Technical Assistance and Project Planning	03/1999	--	03/1999
Receive Final ORNL Contract Reports	03/1999	--	10/1999
Publish Final ORNL Contract Reports	10/1999	--	11/1999
User Need Request Memo to RES	12/2000	--	06/2001
Cancel User Need Request Memo to RES	06/2004	--	06/2004
Make New Computer Codes Available Through Scale 5.0 Release	03/2001	--	06/2004
Training to NRC Staff and Licensees on S/U Methods in SCALE 5.0	09/2002	--	06/2004
Revise Staff Procedures (ISG-10) and Communicate Acceptability of New Methods to Licensees	10/2000	10/2006	--
Determine if User Needs Have Been Met	11/2000	02/2007	--
Close Out Issue	03/2003	05/2007	--

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ISSUE NUMBER: NMSS-0014

OFFICE/DIVISION/BRANCH: NMSS/FCSS/FCLB

TITLE: SURETY ESTIMATES FOR GROUNDWATER RESTORATION AT IN-SITU LEACH FACILITI

TASK MANAGER: R. Weller

IDENTIFICATION: 06/1998

PRIORITIZATION/SCREEN:07/1998

TECH. ASSESSMENT: 11/2006

IDENTIFICATION STATUS:Complete

PRIOR./SCREEN STATUS: Complete

TECH. ASSESS. STATUS:

REGULATION & GUIDANCE DEVELOPMENT:--

REGULATION & GUIDANCE ISSUANCE: --

REGULATION & GUIDANCE DEVELOPMENT STATUS:N/A

REGULATION & GUIDANCE ISSUANCE STATUS: N/A

IMPLEMENTATION:--

VERIFICATION:--

CLOSURE: 11/2006

IMPLEMENTATION STATUS: N/A

VERIFICATION STATUS:N/A

CLOSURE STATUS:

Total Contractor Resources Expended (k) = \$613.00

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ISSUE NUMBER: NMSS-0014

OFFICE/DIVISION/BRANCH: NMSS/FCSS/FCLB

TITLE: SURETY ESTIMATES FOR GROUNDWATER RESTORATION AT IN-SITU LEACH FACILITI

DESCRIPTION/WORK SCOPE

Description

This issue was identified by NMSS to pursue research to provide a methodology to calculate sureties for groundwater restoration activities at in situ leach uranium extraction facilities and develop a post-restoration groundwater quality stability monitoring methodology. The following tasks were envisioned: (1) review approaches used to estimate pore volumes and to calculate surety amounts and obtain data to evaluate these approaches; (2) develop a pore volume estimation methodology and document it in a NUREG report; (3) develop cost estimation methodology for use in evaluating the level of financial surety required; (4) brief regulators on the surety methodology; (5) review the existing approaches used to determine an appropriate time period for post-restoration monitoring period and obtain datasets to evaluate the methodologies; (6) use the datasets to develop and test the methodologies; (7) develop a robust methodology; and (8) transfer the methodology to regulators through briefings and a NUREG report.

Work Scope

This research will provide a methodology to calculate sureties for groundwater restoration activities at in situ leach uranium extraction facilities and estimate a post-restoration groundwater quality stability monitoring period. The research will be conducted by an RES contractor.

STATUS

RES developed a contract Statement of Work for this effort in July 2001. The scheduled completion of this GSI was delayed due to requests by the NRC contractor (USGS) for additional information. The NRC contractor, USGS, has finished the sub-tasks and has completed the draft report "Consideration of Geochemical Issues in Groundwater Restoration at Uranium In-Situ Leach Mining Facilities." NRC staff requested additional information on October 2003. The NRC contractor has incorporated additional information provided by the industry and comments from the staff. A revised draft NUREG was published in June 2005. The draft NUREG/CR-6870, "Consideration of Geochemical Issues in Groundwater Restoration at Uranium In Situ Leach Mining Facilities," was issued for public comment in June 2005 and the comment period closed on August 31, 2005. RES is working with the contractor to address the comments received, and NUREG/CR-6870 is being modified for final publication.

Staff Resources Expended: 200 hours

AFFECTED DOCUMENTS

- (1) SRP for In Situ Leach Uranium Extraction License Applications, NUREG-1569
- (2) BTP on Financial Assurances for Reclamation, Decommissioning, and Long Term Surveillance and Control of Uranium Recovery Facilities

PROBLEM / RESOLUTION

None.

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ISSUE NUMBER: NMSS-0014

OFFICE/DIVISION/BRANCH: NMSS/FCSS/FCLB

TITLE: SURETY ESTIMATES FOR GROUNDWATER RESTORATION AT IN-SITU LEACH FACILITY

REASONS FOR SCHEDULE CHANGES

None

<u>M I L E S T O N E S</u>	<u>ORIGINAL DATE</u>	<u>CURRENT DATE</u>	<u>ACTUAL DATE</u>
Pore Volume - Data Evaluation (Task 1)	12/1997	--	06/1998
Commission Response to SECY-99-013	08/1999	--	07/2000
Complete Statement of Work	06/2001	--	07/2001
Draft NUREG to Staff for Comment	08/2002	--	08/2003
Revised Draft NUREG	04/2004	--	12/2004
Draft NUREG/CR-6870 Issued for Public Comment	09/2002	--	06/2005
Receive Public Comments on Draft NUREG/CR-6870	08/2005	--	08/2005
Issue Final NUREG/CR-6870	09/2002	11/2006	--
Close Issue	05/2006	11/2006	--

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ISSUE NUMBER: NMSS-0016

OFFICE/DIVISION/BRANCH: NMSS/IMNS/RGB

TITLE: ADEQUACY OF 0.05 WEIGHT PERCENT LIMIT IN 10 CFR 40

TASK MANAGER: G. Comfort

IDENTIFICATION: 06/1998

PRIORITIZATION/SCREEN:07/1998

TECH. ASSESSMENT: 06/2006

IDENTIFICATION STATUS:Complete

PRIOR./SCREEN STATUS: Complete

TECH. ASSESS. STATUS:

REGULATION & GUIDANCE DEVELOPMENT:--

REGULATION & GUIDANCE ISSUANCE: --

REGULATION & GUIDANCE DEVELOPMENT STATUS:N/A

REGULATION & GUIDANCE ISSUANCE STATUS: N/A

IMPLEMENTATION:--

VERIFICATION:--

CLOSURE: 07/2006

IMPLEMENTATION STATUS: N/A

VERIFICATION STATUS:N/A

CLOSURE STATUS:

Total Contractor Resources Expended (k) = \$0.00

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Run Date: 06/30/2006

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ISSUE NUMBER: NMSS-0016

OFFICE/DIVISION/BRANCH: NMSS/IMNS/RGB

TITLE: ADEQUACY OF 0.05 WEIGHT PERCENT LIMIT IN 10 CFR 40

DESCRIPTION/WORK SCOPE

Description

This issue concerns the adequacy of transferring source material containing less than 0.05 Wt% uranium or thorium in quantities that could result in annual doses that exceed NRC's public dose limit of 100 millirem/year from all sources.

Work Scope

Discussions in 1996 and 1997 with RES and OGC, as well as with other NMSS divisions, indicated that there were several options available to the staff to revise the definition of source material. However, the User Need memo was never finalized because of lack of budgeted resources and the limited potential for success of the options. Subsequently, FCSS received a licensee request to transfer baghouse dust containing less than 0.05 Wt% uranium and thorium to an exempt person per 10 CFR 40.51(b)(3) and 40.13 (a). Some conservative dose estimates indicated that the transfer could result in doses exceeding the public dose limit. FCSS proposed a rulemaking to immediately cease transfers under 40.51(b)(3) and 40.51(b)(4) of source material to persons operating under the exemption in 40.13(a). By eliminating these provisions, any future transfers would have to meet existing general license conditions, or be specifically approved on a case-by-case basis.

STATUS

The recommendation to amend part 40 was dropped from the final FCSS Commission Paper. On 02-02-1999, an SRM on SECY-98-022 requested options for commission consideration on how to proceed with jurisdictional and technical issues on regulation of source material. SECY-99-259 responding to SRM was issued on 11/01/1999. SRM issued 03/09/2000 approving staff recommendations with comments. A proposed rule was sent to the Commission on 09-25-2000 in SECY-00-0201. The SRM responding to SECY-00-0201, dated March 29, 2002, directed the staff to publish the proposed rule for comment. Proposed rule was published in the Federal Register on August 28, 2002. Twenty-five comment letters were received and are being evaluated.

On June 24, 2003, the staff notified the Commission in SECY-03-0106 that it planned to postpone finalization of the Rule until the Commission had an opportunity to review and direct the staff regarding other recent related issues. On October 8, 2003, the Commission issued an SRM that did not object to the postponement and directed the staff to continue to review transfers based on previous Commission guidance. Work on the Rule has not restarted, and has been prioritized as low priority with minimal funding.

The Commission has provided direction on handling cases related to this GI on a case-by-case basis. The Project Manager intends to issue a memorandum by the end of July 2006 closing out the GI.

Staff Resources Expended: 950 hours

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ISSUE NUMBER: NMSS-0016

OFFICE/DIVISION/BRANCH: NMSS/IMNS/RGB

TITLE: ADEQUACY OF 0.05 WEIGHT PERCENT LIMIT IN 10 CFR 40

AFFECTED DOCUMENTS

None

PROBLEM / RESOLUTION

None

REASONS FOR SCHEDULE CHANGES

Project prioritized as low priority. The closeout memo is in concurrence.

<u>M I L E S T O N E S</u>	<u>ORIGINAL DATE</u>	<u>CURRENT DATE</u>	<u>ACTUAL DATE</u>
Issue Options Paper (SECY-99-259)	07/1998	--	11/1999
Receive SRM	02/2000	--	03/2000
Proposed Rule to the Commission	08/2000	--	09/2000
Publish Proposed Rule	08/2002	--	08/2002
SECY-03-0106 to Commission	06/2003	--	06/2003

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Run Date: 06/30/2006

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ISSUE NUMBER: NMSS-0016

OFFICE/DIVISION/BRANCH: NMSS/IMNS/RGB

TITLE: ADEQUACY OF 0.05 WEIGHT PERCENT LIMIT IN 10 CFR 40

<u>M I L E S T O N E S</u>	<u>ORIGINAL DATE</u>	<u>CURRENT DATE</u>	<u>ACTUAL DATE</u>
SRM from Commission	10/2003	--	10/2003
Close Out Issue	12/2001	07/2006	--
