

## ADJUDICATORY ISSUE INFORMATION

June 26, 2001

SECY-01-0115

FOR: The Commission

FROM: John F. Cordes, Jr. /RA/  
Solicitor

SUBJECT: LITIGATION REPORT - 2001- 02

Novoste Corp. v. NRC, No. 01-1162 (D.C. Cir., filed April 6, 2001)

Petitioner, a medical device manufacturer, brought this lawsuit to challenge NRC staff instructions on licensing petitioner's brachytherapy system. Petitioner views the NRC staff instructions as a final agency rule. Petitioner also asked the NRC staff to reconsider its instructions, and (with our consent) filed a motion in the court of appeals to hold the lawsuit in abeyance pending reconsideration. The court of appeals subsequently entered an order staying further judicial proceedings.

Petitioner will decide whether to reactivate its suit after receiving and reviewing the NRC staff's reconsideration determination.

CONTACT: John F. Cordes  
415-1600

Orange County v. NRC, No. 01-1246 (D.C. Cir, filed May 31, 2001)

This lawsuit culminates Orange County's long-running effort to halt the plan of Carolina Power and Light to expand spent fuel storage capacity at CP&L's Shearon Harris plant. In an agency adjudicatory proceeding, the County unsuccessfully contested a license amendment requested by the CP&L to implement its storage expansion plan. The County now has brought its claims to the court of appeals.

Orange County accompanied its petition for judicial review with a motion for a judicial stay. The County's stay motion argues that its environmental claims are likely to prevail in the court of appeals, and that irreparable injury, *i.e.*, a catastrophic spent fuel pool accident, may occur in the meantime. We have opposed the stay motion on the grounds that the risk of a spent fuel pool accident at Shearon Harris is extremely small and that Orange County's lawsuit lacks merit.

A court decision on the stay is expected shortly, with full briefing and argument on the merits of the County's suit to follow later this year.

CONTACT: Charles E. Mullins  
415-1618

**Petition for Review**

UNITED STATES COURT OF APPEALS  
FOR THE DISTRICT OF COLUMBIA CIRCUIT

NOVOSTE CORPORATION,

Petitioner,

v.

U.S. NUCLEAR REGULATORY COMMISSION and  
UNITED STATES OF AMERICA,

Respondents.

No. 01-1162

PETITION FOR REVIEW

Pursuant to Section 189b of the Atomic Energy Act of 1954, as amended, 42 U.S.C. § 2239(b), and 28 U.S.C §§ 2341-2349, the Novoste Corporation hereby petitions this Court to review the final rule of the U.S. Nuclear Regulatory Commission ("NRC"), entitled: Generic Instructions for Licensing the Novoste Betacath System for Intravascular Brachytherapy Treatments in Response to a Technical Assistance Request from Region IV," adopted and issued on February 5, 2001 (a true and correct copy of this rule is attached as Exhibit 1).

Respectfully submitted,



Martin G. Malsch  
Michael F. McBride  
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(202) 986-8000

Attorneys for Petitioner

**Generic Use  
February 5, 2001**

**MEMORANDUM TO:** George C. Pangburn, Director  
Division of Nuclear Materials Safety, RI

Douglas M. Collins, Director  
Division of Nuclear Materials Safety, RII

Cynthia D. Pederson, Director  
Division of Nuclear Materials Safety, RIII

Dwight D. Chamberlain, Director  
Division of Nuclear Materials Safety, RIV

**FROM:** Donald A. Cool, Director/RAJ  
Division of Industrial and  
Medical Nuclear Safety, NMSS

**SUBJECT:** GENERIC INSTRUCTIONS FOR LICENSING THE NOVOSTE  
BETACATH SYSTEM FOR INTRAVASCULAR  
BRACHYTHERAPY TREATMENTS IN RESPONSE TO A  
TECHNICAL ASSISTANCE REQUEST FROM REGION IV

The Novoste BetaCath System was recently approved by the Food and Drug Administration (FDA), under their Pre-Market Approval (PMA) process, for the routine use in the treatment of in-stent restenosis in coronary arteries. This system uses Sr-90 sealed brachytherapy sources for intravascular brachytherapy to inhibit in-stent restenosis in coronary arteries. As such, this system meets our definition for a high-dose-rate remote afterloading system, but uses pure beta emitting radionuclide sources. This generic response provides guidance requested by the Region IV Technical Assistance Request, dated September 30, 1999, for the pending licensing actions for Department of Veterans Affairs, San Antonio, Texas.

**Licensing Considerations**

**A. Exemptions from 10 CFR Part 35**

1. To authorize NRC medical use licensees of limited specific scope to use the FDA-approved Novoste Beta-Cath System for the treatment of in-stent restenosis of coronary arteries, it is necessary to grant an exemption from the use requirements established in 10 CFR 35.400. 10 CFR 35.400 does not list the treatment of in-stent restenosis of coronary arteries as one of the approved uses for strontium-90 seed

**CONTACT:** Robert L. Ayres, NMSS/MSIB  
(301) 415-5746

trains. Such an exemption does not relieve the licensee from compliance with the other requirements of 10 CFR Part 35, including Subpart G requirements and all other applicable radiation safety commitments. This exemption may be granted pursuant to 10 CFR 35.19, "Specifications" based on a finding that it is authorized by law and will not endanger life or property or the common defense and security and is otherwise in the public interest. The following license condition, as item 9, shall be used on the license :

"Notwithstanding the requirements of 10 CFR 35.400, one source train to be used for the treatment of coronary arteries for in-stent restenosis lesions (treatable with 20 millimeter balloon), using the Food and Drug Administration's approved (under FDA's PMA P9000018) Novoste Beta-Cath System Model A1732 (30 millimeter source train), and one source train in a shipping container for source train replacement."

2. To authorize use of the Novoste Beta-Cath System for the treatment of in-stent restenosis of coronary arteries, it is necessary to specify the prescribed dose being administered. This is because this treatment system is classified as a high-dose-rate remote afterloader. Thus, the licensee shall specify the radioisotope, treatment site, and total dose, as set forth in item (5) under the definitions for *written directive* contained in 10 CFR 35.2 for high dose-rate-remote afterloading brachytherapy.

**B. Training and Experience**

1. Only those physicians authorized to use 35.400 byproduct materials and meeting training and experience requirements in 10 CFR 35.940 can be designated as authorized users for this procedure; and,
2. Prior to beginning patient treatments, all personnel involved in the procedure must satisfactorily complete the vendor's training program, which must include all relevant radiation safety and emergency procedures specific to this treatment system.

**C. Specific radiation safety issues**

1. Novoste has recently revised its SS&D registration from 3.5 mCi to 5.0 mCi sources. Our understanding is that the FDA has not approved these higher activity seeds for clinical use at this time. Therefore, Item 8, on the license authorization for the Novoste Beta-Cath System, should be as follows:

"No single source to exceed 3.5 millicuries, in a 12 sources per device (Model A1732); two source trains total (84 millicuries total activity);"

2. The treatment team composition must include individuals qualified to function as an interventional cardiologist, authorized user, and a medical physicist;
3. The licensee must commit to requiring the physical presence of the treatment team during the treatment of patients with this system;
4. The licensee must commit to using the Arrow Introducer sheath (or equivalent device)

for all patient treatments to prevent source transport blockages which could lead to misadministrations;

5. The licensee must commit to use of the Novoste dual syringe accessory to avoid misadministrations due to the premature depletion of the source transport fluid;
6. Independent measurement of the source strength must be performed by the licensee's medical physicist prior to the first patient treatment. All dose calculations and treatment plan reviews are to be conducted in accordance with the licensee's Quality Management Program;
7. In accordance with current licensing guidance for high-dose-rate brachytherapy sources, the licensee must commit to preparing written emergency procedures for removal of stuck or detached sources, including provisions for surgical intervention, and appropriate emergency equipment. (Such equipment would include at least a shielded emergency storage container and long handled forceps, that are immediately available during treatment);
8. Licensees must review their Quality Management Program and make any modification necessary to accommodate the addition of this new protocol to their program;
9. The sources shall be leak tested at intervals not to exceed six months;
10. Licensee must commit to locked storage of the lead-lined storage container for the device in a secure location;
11. There must be a commitment or license condition that the device shall be inspected and serviced at intervals established by the manufacturer, and that maintenance and repair shall be performed only by the manufacturer or persons specifically authorized by the Commission or an Agreement State to perform such services;
12. The Regions should include a reminder in the cover letter authorizing the license amendment that there have been numerous instances where source train separations have occurred during patient treatments, and that such occurrences should be evaluated as possible misadministrations; and,
13. The radionuclide used in these sources is a pure beta emitter. Assuming the licensee avoids placing these sources in close proximity to high Z materials, there is no necessity for the licensee to provide calculations and/or confirmatory measurements to demonstrate that 10 CFR Part 20 exposure limits for restricted and unrestricted areas are met.

**Summary of Applicant Specific Review Findings:**

Based on a review of the amendment application of the Department of Veterans Affairs, Audie I. Murphy Memorial Veterans Hospital Division, San Antonio, Texas, dated June 25, 1999, for authorization to use the Novoste Beta-Cath System for treatment of in-stent restenosis against

the criteria set forth above, the following deficiencies or need for additional information are noted:

The licensee's request for authorization for to use the Novoste Beta-Cath system pre-dates both the SS&D registration of the device and FDA approval for routine clinical use. Now that the Novoste Beta-Cath System has been approved by the FDA for routine clinical use, it is assumed that the licensee would now like to be authorized for routine use of the Novoste Beta-Cath System. For routine clinical use, the guidance in this response to their Technical Assistance Request (TAR) can be used. If the licensee is seeking to have its license amended for participation in an ongoing clinical trial, the existence of the SS&D registration can be used to consider this request pending a re-submission of its previous request amended to reflect the approved SS&D registration for the device and sources.

In reviewing the licensee's amendment request in terms of routine use authorization, deficiencies were found with respect to the information or commitments needed to satisfy licensing considerations set forth in items A2, B2, C1, C3, C4, C5, C6, C7, C8, C9, C10, and C11. In some cases the applicant did not address the item, and in others the response was not adequate. For example, no mention of possible surgical intervention was made in the licensee's commitment to written emergency procedures. The licensee will need to properly address these noted deficiencies before it can be authorized to conduct the requested therapy.

Attachment: TAR dtd 9/30/99

**DISTRIBUTION:** Closes IMNS7510

\*See previous concurrence

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OFC	MSIB	MSIB*	MSIB	OGC*	IMNS
NAME	RAyres	FSturz	JHickey	STreby	DCool
DATE	2/01/01	01/27 /01	02/2/01	01/31 /01	2/3/01



UNITED STATES COURT OF APPEALS  
FOR THE DISTRICT OF COLUMBIA CIRCUIT

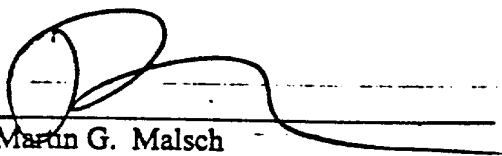
NOVOSTE CORPORATION,	)	
	)	<u>Petitioner.</u>
	)	
	)	V.
	)	No.
	)	
U.S. NUCLEAR REGULATORY COMMISSION and	)	
UNITED STATES OF AMERICA,	)	
	)	<u>Respondents.</u>
	)	

CERTIFICATE OF SERVICE

I hereby certify that I have served on this 6 day of April, 2001, a copy of a Petition for Review of a Rule of the U.S. Nuclear Regulatory Commission by first-class mail, postage prepaid, upon the following:

John F. Cordes, Jr., Esq.  
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U.S. Nuclear Regulatory Commission  
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Attorney General  
U.S. Department of Justice  
10th Street and Constitution Ave., N.W.  
Washington, D.C. 20530

  
\_\_\_\_\_  
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LeBoeuf, Lamb, Greene & MacRae  
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(202) 986-8000

Attorney for Petitioner

Order

UNITED STATES COURT OF APPEALS  
DISTRICT OF COLUMBIA CIRCUIT

UNITED STATES COURT OF APPEALS FOR DISTRICT OF COLUMBIA CIRCUIT	
FILED	JUN 1 2001
CLERK	

No. 01-1246

September Term, 2000

Orange County, North Carolina, Petitioner  
v.  
Nuclear Regulatory Commission, et al., Respondents

O R D E R

This case was filed and docketed on 5/31/01. The case was filed as a petition for review and was assigned the above number.

It is ORDERED that petitioner(s) shall submit the following document(s) (original and one copy required, unless otherwise noted) by the indicated date(s):

- 7/2/01 Docketing statement.
- 7/2/01 Statement of issues to be raised.
- 7/2/01 Certificate of Counsel (Cir. R. 28(a)(1)).
- 7/2/01 Statement as to whether or not a deferred appendix under F.R.A.P. 30(c) will be utilized. (A motion will not be necessary.)
- 7/2/01 Original and four copies of procedural motions which would affect the calendaring of this case.
- 7/16/01 Dispositive motions, if any. See Cir. R. 27(g).  
(Original and four copies.)

It is FURTHER ORDERED that respondent(s) shall submit the following document(s) (original and one copy required, unless otherwise noted) by the indicated date(s):


- 7/16/01 Entry of Appearance form.
- 7/16/01 Certified Index to Record.
- 7/2/01 Original and four copies of procedural motions which would affect the calendaring of this case.
- 7/16/01 Dispositive motions, if any. See Cir. R. 27(g).  
(Original and four copies.)

It is FURTHER ORDERED that briefing in this case is deferred pending further order of the Court.

The Clerk is directed to certify and transmit a copy of this order, along with the petition for review, to respondent(s).

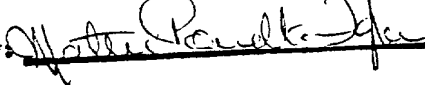
FOR THE COURT:  
Mark J. Langer, Clerk

BY:

  
Mattie Powell-Taylor, Deputy Clerk

A True copy:

United States Court of Appeals  
for the District of Columbia Circuit

By:  Deputy Clerk

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UNITED STATES COURT OF APPEALS  
FOR THE DISTRICT OF COLUMBIA CIRCUIT

UNITED STATES COURT OF APPEALS  
FOR DISTRICT OF COLUMBIA CIRCUIT  
MAY 31 2001  
CLERK

RECEIVED )  
ORANGE COUNTY, NORTH CAROLINA, )  
Petitioner, )  
v. )  
UNITED STATES NUCLEAR REGULATORY )  
COMMISSION and the UNITED STATES )  
OF AMERICA, )  
Respondents )

No. **01-1246**

**PETITION FOR REVIEW**

The Board of Commissioners of Orange County, North Carolina (hereinafter "Orange County"), hereby petitions the Court for review of the following final order by the Atomic Safety and Licensing Board ("ASLB") of the U.S. Nuclear Regulatory Commission ("NRC" or "Commission") in a license amendment proceeding concerning the Shearon Harris Nuclear Power Plant: LBP-01-09, Memorandum and Order (Denying Request for Evidentiary Hearing and Terminating Proceeding) (March 1, 2001). A copy of LBP-01-09 is attached as Exhibit 1. LBP-01-09 was rendered final by CLI-01-011, in which the NRC Commissioners denied Orange County's administrative petition for review of LBP-01-09. CLI-01-11, Memorandum and Order (May 10, 2001). A copy of CLI-01-11 is attached as Exhibit 2.

Orange County seeks review and reversal of LBP-01-09 on the grounds that it violates the Atomic Energy Act and the National Environmental Policy Act, and constitutes an abuse of the Commission's discretion.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Diane Curran". The signature is fluid and cursive, with a large initial "D" and a long, sweeping underline.

Diane Curran

Harmon, Curran, Spielberg & Eisenberg, L.L.P.  
1726 M Street N.W., Suite 600  
Washington, D.C. 20036

Attorney for Orange County

May 31, 2001

UNITED STATES COURT OF APPEALS  
FOR THE DISTRICT OF COLUMBIA CIRCUIT

ORANGE COUNTY, NORTH CAROLINA,

Petitioner,

v.

UNITED STATES NUCLEAR REGULATORY  
COMMISSION and the UNITED STATES  
OF AMERICA,

Respondents

No. \_\_\_\_\_

CERTIFICATE OF SERVICE

I certify that on May 31, 2001, copies of the foregoing Petition for Review were served on the following by first-class mail:

Ronald Spritzer, Esq.  
Appellate Division  
Environment and Natural Resources  
U.S. Department of Justice  
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Respectfully submitted,



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