



Regulatory Affairs and Compliance

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May 11, 2001

Re: Docket No. 01D-0056  
Comments on Draft Guidance for Industry

Dockets Management Branch (HFA-305)  
Food and Drug Administration  
5630 Fishers Lane, Rm. 1061  
Rockville, MD 20852

Dear Sir or Madam:

Reference is made to the draft Guidance for Industry on Postmarketing Safety Reporting for Human Drug and Biological Products Including Vaccines, published Monday, March 12, 2001, in the U.S. Federal Register, Vol. 66, No. 48, Docket No. 01D-0056.

Ligand Pharmaceuticals Inc., appreciates this opportunity to submit comments (Attachment 1) for the Agency's consideration as the Guidance proceeds towards finalization. Ligand's comments include some general points related to the Agency's philosophy, as we have interpreted it from the Guidance, as well as several specific comments and suggested changes that are organized by page and line number.

We appreciate your consideration of our comments. If you have any questions, please contact the undersigned or Ann M. Jenkins at 858-550-7600 (fax 858-550-1827).

Sincerely,

A handwritten signature in black ink that reads "Howard T. Holden".

Howard T Holden, Ph.D.  
Vice President  
Regulatory Affairs and Compliance

AMJ/lmc

Enclosure

01D-0056

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**Comments on Draft Guidance for Industry on Postmarketing Safety Reporting for  
Human Drug and Biological Products Including Vaccines (March 2001)  
[Docket No. 01D-0056]**

Overall Comment: The Respondent suggests that the word "unexpected" be replaced with "unlabeled," when referring to adverse events that are listed in a product's labeling. "Unexpected" should be used to refer to adverse events listed in the Investigator's Brochure and/or other written material describing a drug not yet approved.

<b>Item No.</b>	<b>Guidance Citation</b>
1.	<p>Page 6, Line 214 <i>Adverse Experiences that are Serious and Unexpected from All Sources (Domestic and Foreign)</i></p> <p>The Respondent requests clarification on reporting serious and unexpected adverse experiences from postmarketing studies. Are these events to be summarized in the Periodic Safety Update Report (PSUR)?</p>
2.	<p>Page 8, Lines 305-6 <i>Data Elements to Include in a Postmarketing Individual Case Safety Report</i></p> <p>The Respondent requests the text be revised to read as follows: "4. An adverse experience or fatal outcome" and delete "suspected to be due to the suspect drug or biological product." Spontaneous AE reports usually require a temporal association to the use of a suspect drug or biological product; a causal association is not currently required. The draft guidance wording implies that the AE need not be reported if it is thought NOT to be due to the drug or biological product.</p>
3.	<p>Page 9, Lines 332-334 <i>Data Elements to Include in a Postmarketing Individual Case Safety Report</i></p> <p>Suggest deleting "implied causality." Lines 305-6 and 332-334 should be consistent.</p>
4.	<p>Page 10, Line 399 <i>Supporting Documentation</i></p> <p>The Respondent requests that the Agency recognize that supporting documentation may be difficult to obtain due to the confidentiality of records.</p>
5.	<p>Page 11, Line 405 <i>Supporting Documentation</i></p> <p>Respondents should delete patient names from any records submitted to the FDA.</p>

Item No.	Guidance Citation
6.	<p data-bbox="416 272 1004 348">Page 11, Line 433 <i>Timing of Postmarketing Periodic Reports</i></p> <p data-bbox="416 357 1480 612">The Respondent notes that compliance with the requirement for submission of quarterly reports within 30 calendar days of the last day of the reporting quarter. However, line 1371 specifies that a similar report, a PSUR, is to be submitted within 60 days (consistent with ICH guidelines). Please also note that ICH guidelines are for semi-annual, not quarterly submission of periodic reports. Sixty days is a more reasonable time frame in which to compile a periodic report.</p> <p data-bbox="416 621 1106 663">This comment also applies to Item No. 17, below.</p>
7.	<p data-bbox="416 689 1030 766">Page 13, Line 505 <i>Content of a Postmarketing Periodic Report</i></p> <p data-bbox="416 774 1377 846">The Respondent requests an explanation of how increased reporting frequency is to be determined.</p>
8.	<p data-bbox="416 872 832 949">Page 16, Line 629 <i>Content of Follow-up Reports</i></p> <p data-bbox="416 957 1500 1251">The Respondent requests clarification on how to identify inaccurate information. The Respondent's database does not permit the use of asterisks and underlining. The Respondent's interpretation of this section is to re-write the report to correct the inaccurate information. Should the relevant information from initial report be combined with the new information into an integrated narrative or can additional paragraphs be added? Sometimes if just the correct information is mentioned, it is not clear which parts of the previously provided information were incorrect.</p>
9.	<p data-bbox="416 1276 776 1353">Page 16, Line 652 <i>Reporting Considerations</i></p> <p data-bbox="416 1361 1473 1476">The Respondent requests clarification on the change in status of a 15-Day report. Are non-serious, labeled events in temporal association with AEs in the initial 15-Day report, reported as follow-up 15-Day reports?</p> <p data-bbox="416 1485 1473 1596">Should further information such as new concomitant medications, discharge data, new data from a diagnostic test (e.g., negative MRI results) be submitted in a follow-up 15-Day report?</p>
10.	<p data-bbox="416 1621 776 1698">Page 17, Line 661-5 <i>Reporting Considerations</i></p> <p data-bbox="416 1706 1496 1923">Per the Draft Guidance, for an AE associated with an initial AE, a follow-up report should be submitted (track by patient). For a new AE not associated with an initial AE, an initial report with a new manufacturer report number should be submitted (track by AE). The Respondent notes that it is confusing to use both methods, tracking by AE and tracking by patient and requests that the Agency consider using one method.</p>

Item No.	Guidance Citation
11.	<p data-bbox="409 272 801 351">Page 17, Line 681 <i>Reporting Forms (Item G4)</i></p> <p data-bbox="409 357 1508 506">The Respondent notes that if the date the follow-up information was received is used, then the date of the initial report is lost. The Respondent requests clarification that the date in Item G4 also denotes the start of the 15-Day clock.</p> <p data-bbox="409 512 1508 591">Also see page 10, lines 368-370 – The initial contact date is lost if all four basic elements not known at that time.</p>
12.	<p data-bbox="409 619 801 697">Page 18, Line 738 <i>Scientific Literature Reports</i></p> <p data-bbox="409 704 1508 889">For serious, unexpected adverse experiences reported in scientific literature, patients often are not identified. Should the Respondent attempt to contact the author for additional information? And, if the information is not obtained, should the Respondent still submit a report, even if it lacks one or more of the four required data elements?</p>
13.	<p data-bbox="409 917 1139 995">Page 19, Line 779 <i>Postmarketing, Clinical Trial or Surveillance Studies</i></p> <p data-bbox="409 1002 1508 1166">If reports of suspected adverse experiences obtained from patient support programs and disease management programs are to be handled as if they were study reports and not as spontaneous reports, please clarify where these reports would be submitted and in what format.</p>
14.	<p data-bbox="409 1187 1139 1266">Page 20, Line 794 <i>Postmarketing, Clinical Trial or Surveillance Studies</i></p> <p data-bbox="409 1272 1508 1351">The Respondent notes that the Agency expects the study blind to be broken internally. However, this will not be communicated to the Investigator.</p>
15.	<p data-bbox="409 1372 674 1451">Page 20, Line 815 <i>Death Reports</i></p> <p data-bbox="409 1457 1508 1570">The Respondent notes that any death, including disease progression, should be reported as a 15-Day Report. Does this include death due to unrelated trauma? Please clarify.</p>
16.	<p data-bbox="409 1591 1334 1670">Page 24, Line 993 <i>Coding of Adverse experiences in Individual Case Safety Reports</i></p> <p data-bbox="409 1676 1508 1755">The Respondent requests clarification on when the use of MedDRA will be required.</p>
17.	<p data-bbox="409 1776 1139 1855">Page 33, Line 1371 <i>Submission Date and Frequency for PSUR Reports</i></p> <p data-bbox="409 1862 1139 1896">See comment for Item No. 6, above.</p>

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SHIPPING DEPT.  
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(619)550-7500

SHIP DATE: 11MAY01  
ACC# 121604655

ACTUAL WGT: 1 LBS MAN-WT

TO: Dockets Management Branch, HFA-305 (858)550-7500  
Food and Drug Administration  
5630 Fishers Lane, Room 1061  
Rockville MD 20852

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REF: 778008900 Laurie Capella Reg

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