

AFCARS ASSESSMENT REVIEW – GENERAL REQUIREMENTS FINDINGS

State: Arkansas

REQUIREMENT	FINDING/NOTES Checked (√)	COMPLIANCE FACTOR
POPULATION REQUIREMENTS		4
<p>45 CFR 1355.40(a) Scope of the data collection system – foster care</p> <p>Must include all children in foster care for whom the agency has responsibility for placement, care, or supervision (45 CFR 1355.40(a)(2)).</p> <ul style="list-style-type: none"> • All children who are required to be provided the protection of section 422(b)(10) of the Social Security Act (the Act) (Appendix A – SECTION II). • All children supervised by or under the responsibility of another public agency with which the title IV-B/E agency has an agreement under title IV-E and the State makes title IV-E foster care maintenance payments (Appendix A - SECTION II). <p>Includes Native American children covered under section 422(b)(10) of the Act, (45 CFR 1355.40(a)(2)).</p> <p>Out of State placements. The State making the placement submits the information on the child (45 CFR 1355.40(a)(2)).</p> <p>Includes all children who have been in foster care at least 24 hours (Appendix A - SECTION II).</p> <p>Does not include children who are in their own homes under the responsibility of the State agency (Appendix A - SECTION II).</p> <p>Include all children who are in the placement, care, or supervision responsibility of the title IV-B/E agency that are on “trial home visits” (ACYF-PIQ-94-01, 07/08/1994, and ACYF-PIQ-95-01, 3/8/1995).</p> <p>Includes all children the State has placement and financial payment responsibility for, but the State does not have custody (ACYF-PIQ-95-01, 3/8/1995).</p>	<p align="center">√</p> <p align="center">√</p> <p>There are no Federally recognized Tribes in Arkansas. If a tribal child comes into care, State notifies the Office of Chief Council.</p> <p align="center">√</p> <p align="center">√</p> <p>State does not report on cases where there are only protective services being provided to the family prior to a child’s removal from their home.</p> <p align="center">√</p> <p align="center">Not Applicable</p>	

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Includes youth over the age of 18 if a payment is being made on behalf of the child (ACYF-PIQ-95-01, 3/8/1995).	√ (This is approximately 2 – 3% of the foster care population.)	
<p>45 CFR 1355.40(a) Scope of the data collection system – adoption</p> <p>Includes all adopted children placed by the agency, and all adopted children for whom the State agency is providing adoption assistance (either ongoing or for nonrecurring expenses), or for whom care or services are provided directly or by contract or agreement with other private or public agencies (45 CFR 1355.40(a)(3)).</p> <ul style="list-style-type: none"> • Report on all children adopted in the State during the reporting period in whose adoption the State has had any involvement. All adoptions after 10/1/94 that meets the criteria below must be reported. Failure to report on these adoptions will result in penalties being assessed. Criteria (Appendix B – Section II): <ul style="list-style-type: none"> a) Children who had been in foster care under the responsibility and care of the agency. √ b) All special needs children, whether or not they were in the public foster care system prior to their adoption and for whom non-recurring expenses were reimbursed. √ c) Children adopted for whom an adoption assistance payment or service is being provided based on arrangements with the State agency. √ <p>Adoptions prior to 10/1/94, with title IV-E adoption subsidies, report aggregate data (45 CFR 1355.40(a)(3)). √</p> <p>For children adopted out of state, the placing State reports the adoption data (45 CFR 1355.40(a)(3)). √</p> <p>Voluntarily reports on all other adoptions. (Appendix B – Section II).</p>	<p>√</p> <p>√</p> <p>√</p> <p>√</p> <p>√</p> <p>√</p> <p>Not Applicable</p>	

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TECHNICAL REQUIREMENTS		2
45 CFR 1355.40(b) Foster care and adoption reporting requirements		
The data must be extracted from the data system as of the last day of the reporting period (45 CFR 1355.40(b)(1)).	√	
The data must be submitted in electronic form as described in Appendix C (45 CFR 1355.40(b)(1)).	√	
The data must be in record layouts as delineated in Appendix D (45 CFR 1355.40(b)).	√	
The State converted all cases, and has the capability of entering historical data on cases that re-open for foster care.	State did not add closed cases to CHRIS at time of conversion. If a case re-opens, “historical” data cannot be entered into the system by the worker. State needs to add an “historical” data screen to collect “date of first removal from home”, “number of removals”, and whether the mother was married at time of birth.	
Appendix A, 45 CFR 1355 Foster Care Data Elements and Appendix B, 45 CFR 1355 Adoption Data Elements	State has been using both sequential and encryption routines for the case record number. State did encrypt the adoption and foster care record numbers in the 2001A report period submission.	
State uses sequential numbering of the case record number or encrypts the record number.		
Appendix C, 45 CFR 1355 Electronic Data Transmission Format		
Data file must be in ASCII format.	√	
Elements must be comprised of integer (numeric) value(s).	√	
All records must be a fixed length.	√	

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<p>ACYF-PI-CB-95-09, Reissued May 23, 1995</p> <p>State extracts all records based on the transaction date of discharge.</p> <p>For children who are in care on the last day of the reporting period, information as of the last day of the period is extracted.</p> <p>For children who were discharged during the period, information on the most recent episode is extracted.</p> <p>All elements are extracted.</p>	<p>Arkansas changed the extraction program from a legacy COBOL application to a new PL/SQL application, which was used for the report period 2001A. The State had not been extracting the cases based on the transaction date. As of the 2001A transmission, State extracts the foster care file on the transaction date. It appears that the resubmitted 2000B file was not based on the transaction date.</p> <p align="center">√</p> <p align="center">√</p> <p align="center">√</p>	
<p>Technical Bulletin #2, File Format</p> <p>State uses correct file name for transmission.</p>	<p align="center">√</p>	
<p>File Creation</p> <p>State attaches footnotes to files.</p> <p>State is using proper format for the creation of footnotes.</p> <p>State uses DCU and DQU on its data file.</p>	<p align="center">Not applicable</p> <p align="center">√</p> <p align="center">√</p>	