

Federal Parent Locator Service

National Interstate Case Reconciliation (ICR) Project

Technical Data Guide for Extract File and Matching Results

Document Version 5.0
September 2008

Administration for Children and Families
Office of Child Support Enforcement
370 L'Enfant Promenade S.W.
Washington, DC 20447

This document was prepared for the Administration for Children and Families, Office of Child Support Enforcement under Contract Number CIO-SP2 by Northrop Grumman Mission Systems (formerly TRW, Inc.) The work was authorized in compliance with the following specific task order tracking number:

| | |
|--------------------------------------|--|
| Contract Task Order Tracking Number: | C-2183 |
| Document Name: | Technical Data Guide for Extract File and Matching Results |
| Date: | September 2008 |

TABLE OF CONTENTS

| | | |
|------------|--|------------|
| 1.0 | EXECUTIVE SUMMARY | 1-1 |
| 2.0 | ICR EXTRACT FILE TECHNICAL DATA GUIDE | 2-1 |
| 2.1 | Create Datasets | 2-1 |
| 2.2 | Contact OCSE | 2-2 |
| 2.3 | Identify Interstate Cases | 2-3 |
| 2.4 | Assign Technical Point of Contact | 2-4 |
| 2.5 | Develop the Extract Program | 2-4 |
| 2.6 | Transmit the Extract | 2-5 |
| 3.0 | ICR GUIDE TO RECONCILIATION MATCHING RESULTS | 3-1 |
| 3.1 | Technical Approach | 3-1 |
| 3.1.1 | Use of State Data | 3-2 |
| 3.1.2 | ICR Matching Routine | 3-3 |
| 3.2 | Working with Reconciliation Matching Results | 3-5 |
| 3.2.1 | Reason Code 00 - Case Data Matches and This Participant Matched | 3-8 |
| 3.2.2 | Reason Code 01 - Could Not Find a Matching Case in the Other State | 3-10 |
| 3.2.3 | Reason Code 02 - Case ID Mismatch, Case Found by Matching a Child and/or Adult Participant in Common | 3-11 |
| 3.2.4 | Reason Code 03 - Your Other State FIPS Code Does Not Match Code from the Other State | 3-13 |
| 3.2.5 | Reason Code 04 - Your Case is Open, Matches to Closed Case in the Other State | 3-14 |
| 3.2.6 | Reason Code 05 - Your Case is Closed, Matches to Open Case in the Other State | 3-15 |
| 3.2.7 | Reason Code 06 - This Participant was Not Found in the Other State Case | 3-16 |
| 3.2.8 | Reason Code 07 – SSN was Provided for this Participant by the Other State | 3-17 |
| 3.2.9 | Reason Code 08 – Different SSN was Provided for this Participant by the Other State | 3-18 |
| 3.3 | Frequently Asked Questions | 3-19 |
| 3.4 | Common Terms and Definitions | 3-20 |

| | | |
|-------------------|--|------------|
| 4.0 | THE UNIDENTIFIED INTERSTATE CASES PROJECT | 4-1 |
| 4.1 | Option #1: OCSE Matches All Cases Receiving Reason Code 01 with the Focus State's Cases Against the Focus State's Cases on the FCR | 4-1 |
| 4.2 | Option #2: OCSE Uses a Supplemental Extract File Submitted by the Focus State for Matching Purposes | 4-3 |
| APPENDIX A | ICR State Extract File Record Format | A-1 |
| APPENDIX B | ICR Case Discrepancies Reason Code Table [Basic ICR Match Routines] | B-1 |
| APPENDIX C | ICR Reconciliation Matching Results File Record Format | C-1 |
| APPENDIX D | Option #1 Response File Record Layout | D-1 |
| APPENDIX E | ICR Reconciliation Report Format | E-1 |

LIST OF FIGURES

| | | |
|-----|--|-----|
| 3-1 | ICR Extract File Matching | 3-3 |
| 3-2 | ICR Response File Processing | 3-6 |
| 4-1 | Unidentified Interstate Cases Project: Option #1 Match Process | 4-2 |
| 4-2 | Unidentified Interstate Cases Project: Option #2 Match Process | 4-4 |

SECTION 1.0

EXECUTIVE SUMMARY

This document combines procedural and programming guidelines for States participating in the national Interstate Case Reconciliation (ICR) program.

Section 2.0, ICR Extract File Technical Data Guide, provides steps for participating States to develop their extract files, including identifying interstate cases for ICR purposes, creating datasets, and establishing procedures for transmission of the extract file to OCSE for processing.

Section 3.0, ICR Guide to Reconciliation Matching Results, provides guidelines for State processing of the interstate cases matched and returned by the Federal Office of Child Support Enforcement (OCSE) to reconcile interstate case discrepancies. Section 3.0 describes the ICR data exchange process and the Reason Codes returned to the States. Also included in section 3.0 are frequently asked questions from participating States about the ICR program, and a list of common terms and definitions.

Section 4.0, The Unidentified Interstate Cases Project, has been added to the ICR matching process. The project assists States by providing a match routine to identify cases on their systems that are known to be interstate cases, but are not marked as being 'interstate'.

Appendix A, ICR State Extract File Record Format, is a chart of data elements participating States use to develop their extract file to be sent to OCSE for nationwide matching.

Appendix B, ICR Case Discrepancies Reason Code Table [Basic ICR Match Routines], is a chart with discrepancy Reason Codes and suggested next actions for processing each discrepancy.

Appendix C, ICR Reconciliation Matching Results File Record Format, is a chart showing the data elements returned to States from OCSE for the nationwide match.

Appendix D, Option #1 Response File Record Layout, is the response file record layout that all States will receive when a focus State chooses the Unidentified Interstate Cases Project, Option#1.

Appendix E, ICR Reconciliation Report Format, is a sample of the print program returned to the submitting State.

SECTION 2.0

ICR EXTRACT FILE TECHNICAL DATA GUIDE

The purpose of the national ICR Extract File Technical Data Guide is to define standards and guidelines for your State and other participating States in order to develop and submit extract files in support of the ICR project.

There are several steps States must take in order to submit the data needed for the ICR project, and this guide will walk the participating States through those steps.

The overall steps that States must perform to develop their extract files are:

1. Create datasets for both the State extract records and the reconciliation matching results records.
2. Contact the OCSE Data Transmission Team to set up the CONNECT:Direct process for transmitting the files to and from SSA.
3. Identify which cases constitute interstate cases for ICR purposes.
4. Designate a programming technical point of contact.
5. Develop a program to read the Statewide child support system and extract interstate case information.
6. Create the extract file and transmit it to OCSE for processing.

Following sections are devoted to each of these steps. The ICR State Extract File Record Format itself appears in Appendix A.

2.1 Create Datasets

The first step in the ICR process is to create two datasets on your system:

- A dataset to hold the extract file being produced by the State for transmission to OCSE, and
- A separate dataset to hold the file being transmitted back to your State with the reconciliation matching results.

Since the ICR is scheduled to run annually to help keep cases synchronized, it is recommended that you use a Generation Data Group (GDG) for both the State extract dataset and the reconciliation matching results dataset. The attributes of both files are:

- Fixed block, and
- A record length of 550 bytes for both the State extract and reconciliation matching results datasets.

The naming conventions for these datasets are up to the States to determine. Regardless of the format, however, you must provide the dataset names to OCSE for staff to set up the CONNECT:Direct process as discussed in the next section.

2.2 Contact OCSE

The next step in the ICR process is to arrange for the CONNECT:Direct process to be set up at SSA, for both the State extract file to be transmitted and for the reconciliation matching results file to be received.

Your State representative must provide the names of the datasets created in the previous section in order to accomplish this task. The OCSE Data Transmission Team's contact information for setting up the CONNECT:Direct process is:

| Name | Telephone | E-Mail Address |
|-------------|----------------|-------------------------|
| Abe Klugman | (410) 965-5635 | Abe.Klugman@acf.hhs.gov |

Once OCSE has completed the CONNECT:Direct set up process, OCSE representatives will supply your State with a set of Job Control Language (JCL). This JCL, when executed, will transmit the assigned State extract dataset to the SSA mainframe in Baltimore, Maryland for processing.

OCSE staff will suggest alternative secure transmission methods for any State unable to use CONNECT:Direct.

2.3 Identify Interstate Cases

The next step in the ICR process is to identify which cases on your system constitute interstate cases. The following is the "official" definition of an interstate case for use in the national ICR process.

Interstate Case for ICR Purposes

The following definitional elements are to assist in determining which cases should be submitted as interstate cases for ICR purposes. Note that some States refer to interstate cases as 'interstate actions' or 'interstate records' on IV-D cases:

- A case requested by one State for another State to open and maintain for purposes of paternity or order establishment, and/or enforcement.
- The State requesting this action is the "initiating" State. The other State is the "responding" State.
- Both States maintain identical cases on their systems, and both States would submit the interstate case for ICR purposes.
- Both interstate open and closed cases are submitted for ICR purposes.
- Neither long-arm cases (which don't require service from the other State) nor limited services cases (where a case is not open in the other State) are submitted for ICR purposes
- Neither international cases nor tribal cases are submitted for ICR purposes.

The provision of these definitional elements should help eliminate ambiguity in selecting cases for submittal in your interstate extract files.

Standardization of terms will be stressed in all of the training materials.

In further determining which cases should be considered interstate cases for reconciliation purposes, you should consider the following criteria:

Any IV-D case for which another State has been asked to open and maintain a case on its system and provide payments to the case in your State should be an interstate case.

Any IV-D case for which another State has requested that your State open and maintain a case on your system and provide it with payments collected for this case should be an interstate case.

Only IV-D cases should be selected.

For all cases deemed to be interstate for reconciliation purposes, one State extract record should be generated for each participant in the case.

2.4 Assign Technical Point of Contact

The ICR Partner Agreement outlines State responsibilities associated with participation in the national ICR. Participating States have been asked to designate an ICR coordinator to serve as the primary point of contact between the ICR project team and the State.

The ICR coordinator should direct any program-related questions concerning the national ICR to the OCSE program contacts:

| OCSE Program Contacts | Telephone | E-Mail Address |
|-----------------------|----------------|--------------------------|
| Pat Conrad | (701) 258-6426 | Pat.Conrad@ngc.com |
| Tom Kriksciun | (571) 313-2743 | Thomas.Kriksciun@ngc.com |
| Cindy Dean | (406) 227-7484 | Cindy.Dean@ngc.com |

The OCSE program contacts are also available to respond to any general inquiries that you may have regarding the ICR program.

In addition to designating the ICR coordinator, participating States should assign a programming technical point of contact for the ICR project. The name, title, telephone number and e-mail address for your programming technical point of contact should be sent to the OCSE ICR Technical Contact, Ken Nesbit. Any technical questions concerning the ICR project should be referred to Mr. Nesbit at the telephone number or e-mail address listed below.

| ICR Technical Contact | Telephone | E-Mail Address |
|-----------------------|----------------|----------------------|
| Ken Nesbit | (571) 313-2737 | Kenny.Nesbit@ngc.com |

2.5 Develop the Extract Program

The next step in the ICR process is to develop the extract program that will read the State child support system and extract the information for all open and closed interstate cases (sometimes defined as open or closed interstate actions on a case) based on the criteria supplied in section 3.0 of this Guide. The information you provide must comply with the following requirements:

- All data must be in EBCDIC format,
- All alphabetic data must be in upper case,
- All alphabetic and alphanumeric data must be left justified,
- All dates must be in CCYYMMDD format, and
- All filler fields and unused space must be filled with spaces and not low-values.

The *ICR State Extract File Record Format* labels the following data elements as required:

- Case ID,
- FIPS (State and Local) Code,
- Case Status,
- First two bytes of Other State FIPS Code, and
- SSN, Name and Participant Type for at least one child or adult on the case.

These fields are the minimum fields required to fully execute the matching logic in the ICR Extract File Matching process. However, to provide comprehensive matching results, OCSE must receive as much of the data defined in the ICR Extract File as a State maintains for each participant on an interstate case. These requirements should not be interpreted to limit a State to providing information on only one child or adult in an interstate case.

Different data thresholds must be met in order for you to add an interstate case to your State's ICR extract file. The first two bytes of Other State FIPS Code must always be present, pointing the Extract File Matching process to the correct State file to search for a matching case.

When the Other State Case ID is present, you should add the case to your State's extract.

When the Other State Case ID is not present, then at least one child and adult participant on the case must be submitted with an SSN and Name in order for the Extract File Matching process to attempt to find a matching case.

If a case is submitted without the first two bytes of Other State FIPS Code or a case is submitted without the Other State Case ID and without an SSN for at least one child and adult on the case, then the Extract File Matching process will automatically return a Reason Code 01 – Could Not Find a Matching Case in the Other State response record for each participant on the case. This response record is returned because the Extract File Matching process could not attempt a match due to insufficient data.

You should be prepared to thoroughly test the content of the State extract file, as there will only be minimal validation of the file content performed at the Federal level. OCSE will conduct extract testing for the States to ensure that their State extract files are properly formatted. However, the ICR project team cannot validate the accuracy of the extract contents from each State. This State task of extract content validation becomes very important so as not to transmit misinformation to other States, which would create a more confusing synchronization problem than might already exist.

2.6 Transmit the Extract

As Stated in the previous section, you must validate the content of your State's extract file prior to transmitting the extract. Once the State extract file has been validated, you should load this file into the State extract file dataset that was supplied to the OCSE representatives cited in section 2.0 of this Guide.

During OCSE's process of setting up CONNECT:Direct for the ICR, OCSE will provide your State with a set of JCL. The execution of this JCL will begin the CONNECT:Direct process to transmit your State extract dataset to OCSE for inclusion in the national ICR process. OCSE will suggest alternative secure transmission methods for any State unable to use CONNECT:Direct.

This constitutes the last step that States must take to supply their interstate case data to OCSE. For information on processing the reconciliation matching results returned by OCSE to your State, please refer to section 3.0.

SECTION 3.0

ICR GUIDE TO RECONCILIATION MATCHING RESULTS

The purpose of the ICR Guide to Reconciliation Matching Results is to provide State child support enforcement agencies steps to utilizing the national ICR matching results to reconcile interstate case discrepancies. This document describes the ICR data exchange process and provides a guide for using the Reason Codes returned to the States after completion of the national ICR.

The Guide addresses case and participant-level discrepancies.

Section 3.0 is organized as follows:

- A Technical Approach includes the step-by-step technical process of the extract matching approach and data elements of the extract file layout
- Working with Reconciliation Matching Results, provides the State CSE staff workers with suggestions on how to utilize the Reason Codes and reconcile cases after receiving the extract matching results
- Frequently Asked Questions
- Common Terms and Definitions used throughout this document.

3.1 Technical Approach

The purpose of the ICR project is to inform States about mismatches that may arise with interstate cases, and to provide assistance with reconciling those cases. The process outlined here will inform States of data discrepancies in cases shared with another State. The outcome of this process will be to allow States to load the correct Other State Case ID and verified SSNs into their systems in an automated fashion with a high level of confidence.

There are several steps involved within the process, and this section of the document outlines these steps, their purpose and their structure.

The Extract File Matching approach, which is the ICR methodology selected after consultation with the State partners, centers on the submission by States of extracts of their interstate cases. These extracts contain basic data for every open or closed interstate case (action) in their system that fits the definition of an ICR interstate case as provided in section 2.3.

Upon receipt of State extracts from participating States, OCSE will run an extract file matching routine and process the extracts. OCSE will then return to each participating State a formatted response dataset that clearly defines:

- Cases that exactly match a case in another State,
- Cases that essentially match another State's case but contain data discrepancies between the cases,
- Open cases that match closed cases in another State, and
- Cases that a State presumed were interstate cases which could not be found in the other State's extract file.

Figure 3-1, ICR Extract File Matching, on the following page, illustrates the ICR matching approach for both participating States and non-participating States.

3.1.1 Use of State Data

The ICR program matches at the Federal level, State interstate case data through an extract file that participating States develop.

States write an extract program containing basic case and participant data for each case that meets the definition of an interstate case for ICR purposes. This basic case data includes:

- Your State Case ID,
- Your State Interstate Case (action) Status (i.e., 'open' or 'closed'),
- Your State Initiating/Responding Indicator,
- Your State FIPS Code,
- Other State Case ID,
- Other State FIPS Code, and
- Your State caseworker contact information.

Each participant in a case is submitted in a separate record.

The basic data for each active participant on each submitted interstate case includes:

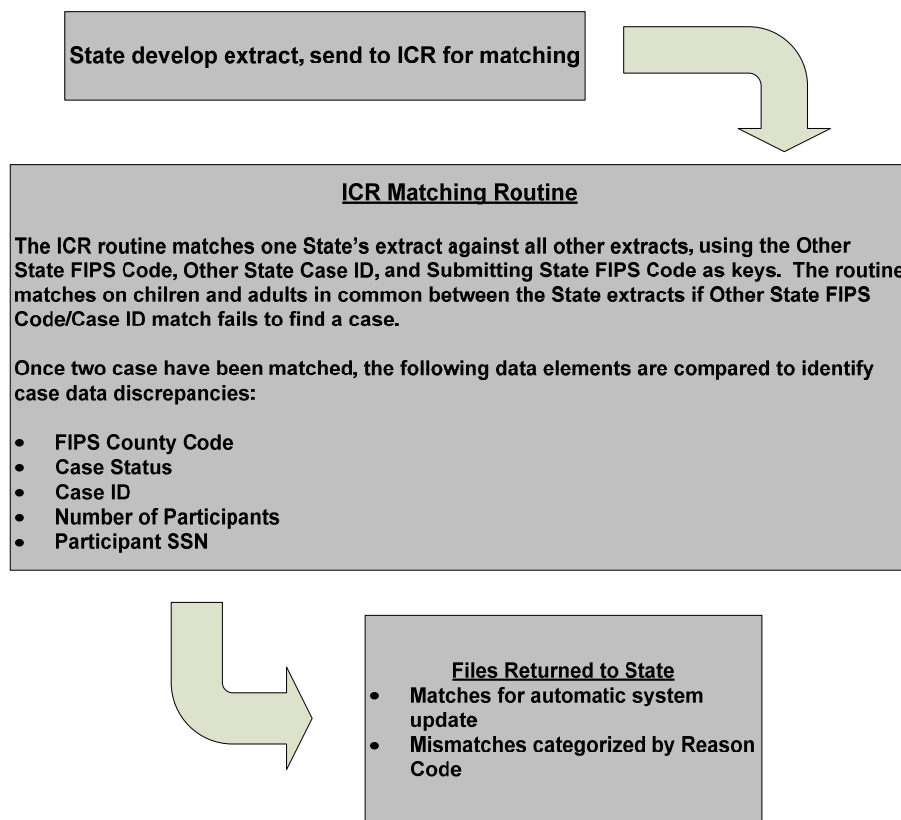
- SSN,
- Member ID,
- Participant Type,
- Name,
- DOB, and
- Sex.

State extracts are submitted in a file format provided by OCSE via CONNECT:Direct, the secure transmission line currently in use for transmission of case and participant data to the FCR.

Appendix A, ICR State Extract File Record Format, displays the names and specifications for the

extract file for participating States. Please refer to section 2.0 for more technical information regarding the creation of the extract file.

Figure 3-1 ICR Extract File Matching



Note: To date, all States have chosen to participate in the ICR program and to send files to OCSE for matching. However, since participation in the program is voluntary, there is no guarantee that a State, even though it has submitted extract files for previous matches, will continue to do so. While OCSE strongly urges States to continue to participate in the ICR program to improve their interstate caseload, it cannot require participation. A State may choose to end its participation at any time for or any reason.

If a State does not participate in a current ICR match process, OCSE will use its extract file from its most recent submission for matching purposes.

3.1.2 ICR Matching Routine

The matching routine developed for the ICR processes each State's interstate extract against all other State extracts.

The matching routine first searches for a corresponding case in another State's extract by using the State code from the Other State FIPS Code and Other State Case ID as keys to finding that case. If the other State's case is found, your State's case and the other State's case are compared to determine the extent to which the case data and participants match.

- For cases that match, the program compares the participants to determine the number of participants that match between the two cases. The program determines the number of adults that your State submitted, the number of children that your State submitted, the number of adults that the other State submitted, the number of children that the other State submitted, the number of adults that matched between the two cases and the number of children that matched between the two cases. The program compares the participant data for the NCP and the CP on both cases to determine if the NCPs and CPs match. If the CPs on both cases match, the CP Match Indicator in the reconciliation response file is set to "Y", otherwise it is set to "N". If the NCPs on both cases match, the NP Indicator is set to "Y", otherwise it is set to "N".
- If the other State's case is not found based on the combination of the State code from the Other State FIPS Code and Other State Case ID, the program searches the other State's file for each child from your State's case by SSN. If the SSN search reveals a corresponding case for that child in the other State, then the program compares case data and participants between the two cases to determine the extent to which they match. If a matching case is not found by matching on a child SSN, the match routine then attempts to match on an adult SSN/participant type and child name to find a matching case in the other State. If the adult SSN/participant type and child name search identifies a corresponding case in the other State, the program compares case data and participants between the two cases to determine the extent to which they match.
- If a matching case is found by matching on an adult or child SSN and name combination, the match routine will continue to search for other cases that may match to the same case in your State. If the match routine finds more than one matching case, a Multiple Cases Indicator will be set to 'Y' (your case matched to multiple cases in the other State). If the indicator is set to 'N' then your case matched to a single case in the other State.
- If no corresponding case is found in the other State, your State's case is flagged as a case mismatch. This designation means that your State presumes it has a case in common with another State, but that the other State does not appear to have a corresponding case on its extract file.

When the program finishes the comparison of case data and participants for matching cases, it will write a response record to the reconciliation response file for your State. This response record transmits all data from the extract matching program to your State. Each State will only receive one reconciliation response file, which will include data from both the regular ICR match routine and the Reason Code 01 rematch routine. The data includes, if applicable, a series of Reason Codes categorizing the extent of the match and any critical data discrepancies identified by the matching program, and the number of participants that matched between the two cases.

Section 3.2 provides an explanation of each of the Reason Codes returned in the response record. The Reason Codes clearly define the following conditions:

- All data matches between the two cases,
- The matching program failed to find any case in the other State's extract file based on a search using your State's Other State Case ID and based on a search for a child in common, or an adult participant SSN/participant type and child name in common between the two States,
- A case with a child and/or adult in common was found in the other State, but the Other State Case ID from your State does not match the Case ID in the other State. The number of adults and children that match can be checked to determine the extent to which all the participants matched between the two cases,
- Data discrepancies exist between the two cases involving case and participant data elements.

The information from the match is loaded into the output record and returned to your State via CONNECT:Direct. The record format for the reconciliation matching results being returned to your State is shown in Appendix C.

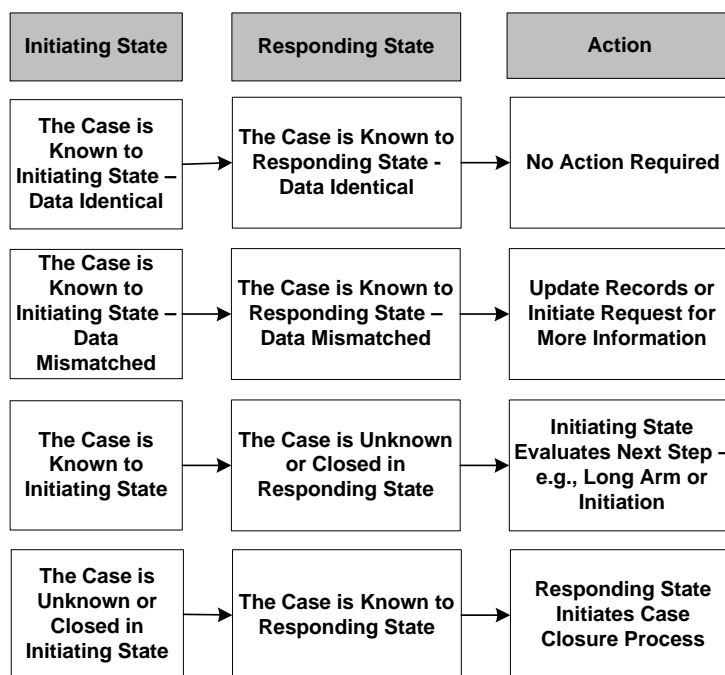
3.2 Working with Reconciliation Matching Results

This section provides an explanation of the Reason Codes associated with the reconciliation matching results and the suggested next action for reconciling interstate cases.

Upon receipt of the response file from the ICR match routine, State staff from participating States can evaluate discrepancies indicated by the associated Reason Codes to determine what the appropriate next actions should be. The information returned to participating States should allow your systems to update some of the information that is not consistent between the two States. Full use of other available tools such as CSENet could be utilized for those instances in which an action needs to be taken by another State, such as providing closure reasons or case initiation.

Figure 3-2 shows high-level courses of action for States to reconcile their interstate cases, based on different match-mismatch scenarios in Initiating and Responding States.

Figure 3-2 ICR Response File Processing



As the figure shows, there are four possibilities for case matching/mismatching.

- The case is known to both the Initiating and Responding State and the case-level data is identical. This scenario will appear as Reason Code 00 in the response file, as explained in section 3.2.1 below,
- The case is known to both the Initiating and Responding State and the data is mismatched,
- The case is known in the Initiating State, but unknown or closed in the Responding State, or
- The case is unknown or closed in the Initiating State, but known to the Responding State.

The ICR matching routine will match each participating State’s extract of interstate cases against the extract of all other participating States. As detailed in section 3.1.2, the matching process runs through several routines to determine the extent to which the case data and participants in your State match the case data and participants in the other State.

When the matching routine is completed, it writes a response record to the reconciliation response file for your State (See Appendix C, ICR Reconciliation Matching Results File Record Format). The data also includes the number of participants that matched between cases and a series of Reason Codes that categorize the extent of the match and any critical data discrepancies. (The response Reason Codes are presented in chart form in Appendix B)

Data discrepancies between matched cases involve the following data elements. A specific Reason Code will be assigned to each data discrepancy:

- Case ID (Reason Codes 01 - 02),
- FIPS Code (Reason Code 03),
- Case Status (Reason Codes 04 - 05),
- Case Match, Participant Mismatch (Reason Code 06), and/or
- Participant SSN Mismatch (Reason Codes 07 - 08).

It is important to understand that:

- Reason Codes will be returned in association with each participant on the submitted case,
- Multiple Reason Codes will be returned on each individual participant record when multiple discrepancies have been identified on a single case, and
- Case data will be redundantly displayed in each participant record associated with the case in question.

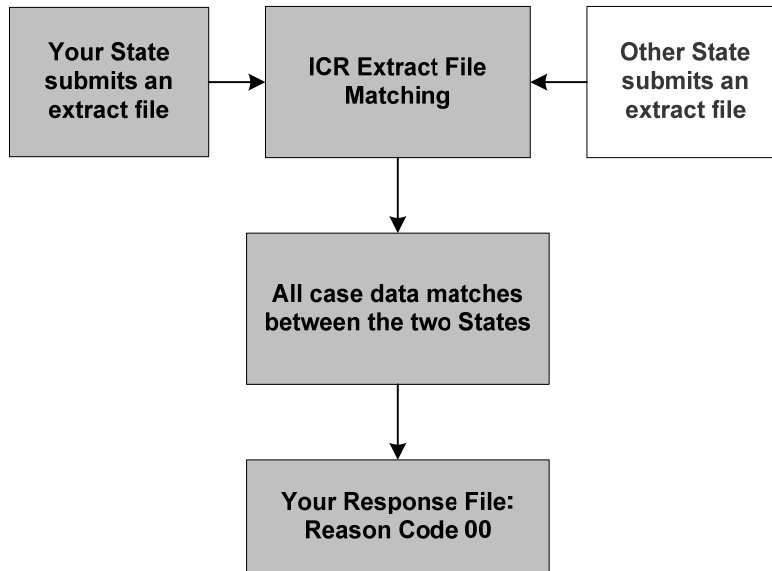
Following are descriptions of suggested next actions for the case and participant-level discrepancy Reason Codes returned by OCSE for the ICR project.

The suggested next actions represent basic guidelines for automated processing of reconciliation response data, and suggestions for worker intervention as required. These are only suggestions; each participating State will determine the extent to which reconciliation response data is automatically processed in your State. For the purposes of suggesting automated actions, the suggested next action text refers to the Reconciliation Response program as a generic term covering any automated program(s) developed by States to process the ICR matching results.

States should consider developing programs to automatically update corrected Other State Case IDs and SSNs in your systems. Correcting these discrepancies in an automated manner provides States with the immediate benefits of enabling the exchange of data through CSENet and the processing of payments through EFT/EDI, as well as getting the participant registered on the FCR. The Other State Case ID also allows inquiry into the Other State's system via QUICK.

3.2.1 Reason Code 00 - Case Data Matches and This Participant Matched

Your **Other State Case ID**, **Other State FIPS Code**, and **Case Status** match the case ID, FIPS code, and case status of the other State's case. Also, the participant in this record was found in the other State's case.



Suggested Next Action: As Initiating State or as Responding State:

Step 1: The Reconciliation Response program checks the number of your case participants that matched participants in the other State's case when processing a Reason Code 00 record.

When the **Number of Adults from Your State** equals the **Number of Adults Matched** and the **Number of Children from Your State** equals the **Number of Children Matched**, then no further action is required, since the case data and participants are synchronized between the two States. The Reconciliation Response program can skip to the first participant record for the next case in the response file.

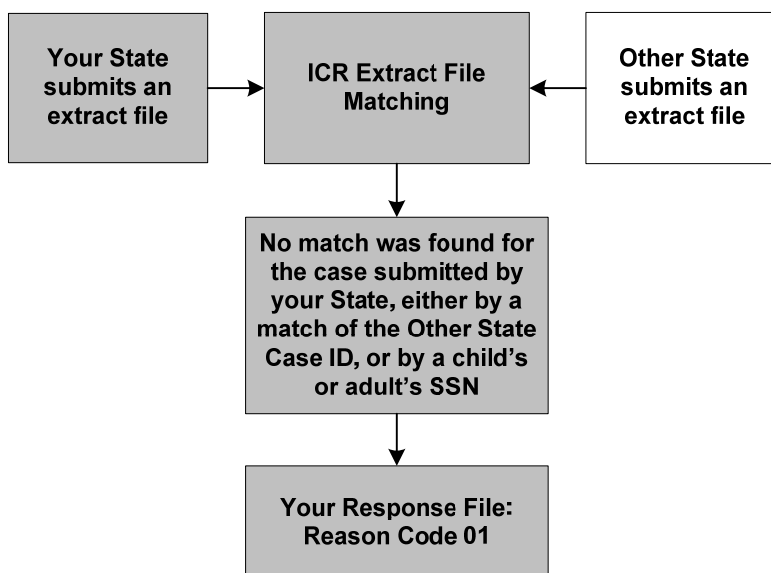
If the above sets of fields do not equal each other, then the case data are still synchronized between the two States, but a participant from your case did not match to the participants from the other State's case. The Reconciliation Response program continues to process the response file records for your case until it finds the Reason Code 06 record associated with the non-matching participant. See section 3.2.7 for Reason Code 06, Suggested Next Action.

It is also important to remember that Reason Codes 07 and 08 are participant-level discrepancies and may be received for a participant in the case even though Reason Code 00 is received at the case level. See sections 3.2.8 and 3.2.9 for Reason Codes 07 and 08.

Keep in mind that case structures may vary from State to State, so the number of mismatched participants may not necessarily mean there is a case discrepancy. You also receive a Y/N indicator that tells you whether the CP and NCP matched between the two States. If all case participants match, but the **CP Match Indicator** or the **NP Match Indicator** are set to 'N', use caution here since this may reflect that the wrong case was matched. The match may be with a change of custody case for the child(ren).

3.2.2 Reason Code 01 - Could Not Find a Matching Case in the Other State

The ICR matching process could not find a matching case in the other State file. The ICR matching process attempts to find a case in the other State file by matching on Other State Case ID. If the Other State Case ID match fails to find a case in the other State's file, then the ICR matching process attempts to find a child in common between your case and a case in the other State's file by matching the child's SSN. If no child in common is found in the other State's file, the ICR matching process then attempts to find a matching case by matching the adult's SSN and participant type and Child Name in common. If a matching case cannot be found, the response file returns this Reason Code indicating that the other State did not submit a case that matches the case in your State.



Suggested Next Action:

As Initiating State:

Step 1: The worker analyzes the case to determine whether it should remain an interstate case, or whether a one-State action should be pursued on the case.

Step 2: If the case is to remain an interstate case, re-send the CSENet transaction/UIFSA forms to have the other State initiate a new case. Or, if a one-State action is to be pursued, close out interstate activity on the case and make it an in-State case.

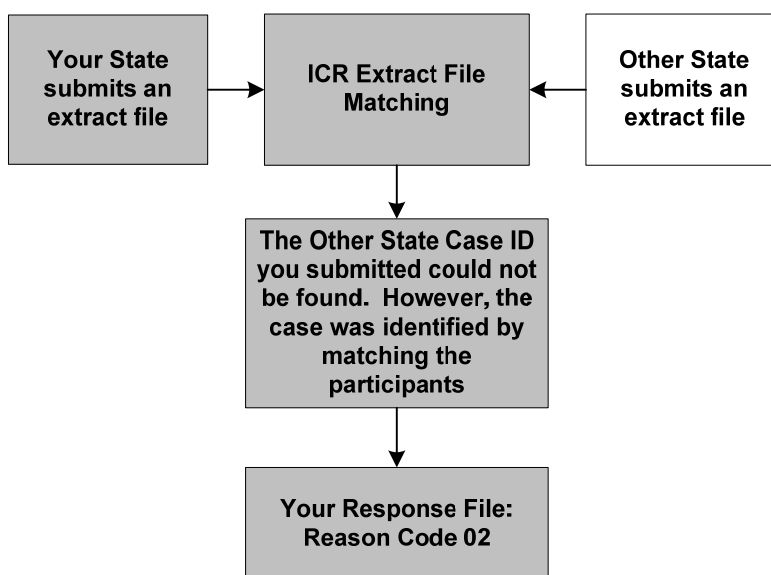
As Responding State:

Step 1: If the family no longer requires child support services from your State, initiate the case closure procedure in accordance with Federal case closure criteria.

3.2.3 Reason Code 02 – Case ID Mismatch, Case Found by Matching a Child and/or Adult Participant in Common

The ICR matching process found a case in the other State’s file by matching on an adult and/or a child in common between your State’s case and the other State’s case. However, there is a discrepancy between the **Other State Case ID** that your State submitted and the case ID for the other State’s case. The case ID submitted by the other State for its case can be found in the **Case ID (From Other State)** field, and the number of the case participants that matched can be found in the **Number of Adults Matched** and **Number of Children Matched** fields.

If one case in your State matches to more than one case on the other State, the **Multiple Cases Indicator** will be set to “Y”.



Suggested Next Action: As Initiating or as Responding State:

Step 1: Determine the threshold of matching participants necessary to support automated update of **Other State Case ID** (e.g., perform an automated update only if both adult participants in your case matched to adult participants in the other State’s case, and at least 75% of the children on your case matched to children on the other State’s case).

Step 2: The Reconciliation Response program interrogates the **Number of Adults Matched** and the **Number of Children Matched** fields. If the matching participant threshold is met, then the Reconciliation Response program automatically updates the **Other State Case ID** in your State’s case with the **Case ID (from Other State)**.

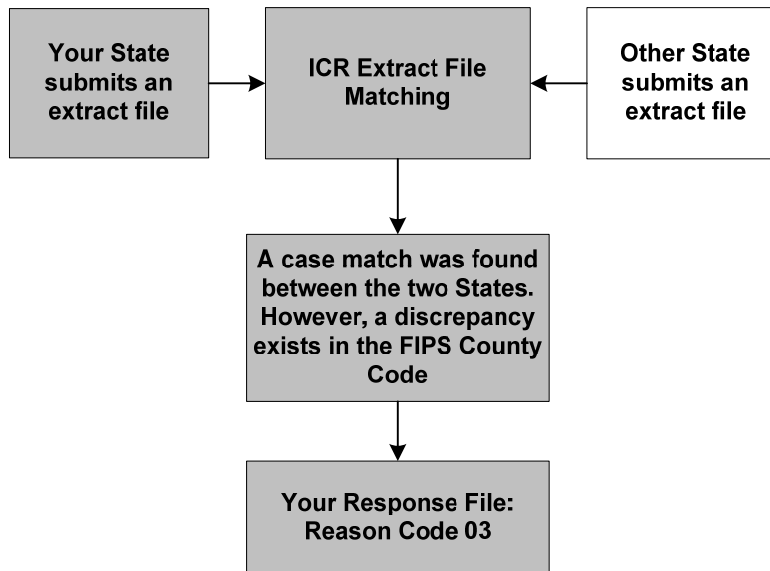
You also receive a Y/N indicator that tells you whether the CP and NCP matched between the two States. If all case participants match, but the **CP Match Indicator** or the **NP Match Indicator** are set to ‘N’, use caution here since this may reflect that the wrong case was matched. The match may be with a change of custody case for the child(ren).

If the matching participant threshold is not met, the Reconciliation Response program automatically generates a CSENet CSI R FRINF request transaction to request all available data on the other State's case and participants.

Step 3: Upon receipt of the CSI P FSINF provide transaction, the worker analyzes the other State's case data to determine if the two cases matched. If so, the worker updates **Other State Case ID** in your State's case.

3.2.4 Reason Code 03 – Your Other State FIPS Code Does Not Match Code from Other State

Positions 3 - 5 (FIPS County Code) of the FIPS code for the other State's case do not match positions 3 - 5 of the **Other State FIPS Code** that your State submitted. The FIPS code submitted by the other State can be found in the **FIPS Code (from Other State)** field. A verification indicator for the **Other State FIPS Code** submitted by your State can be found in the **Other State FIPS Code Verification Indicator**. A second verification indicator for the **FIPS Code (from Other State)** field submitted by the other State for its case can be found in the **FIPS Code Verification Indicator (from Other State)**.



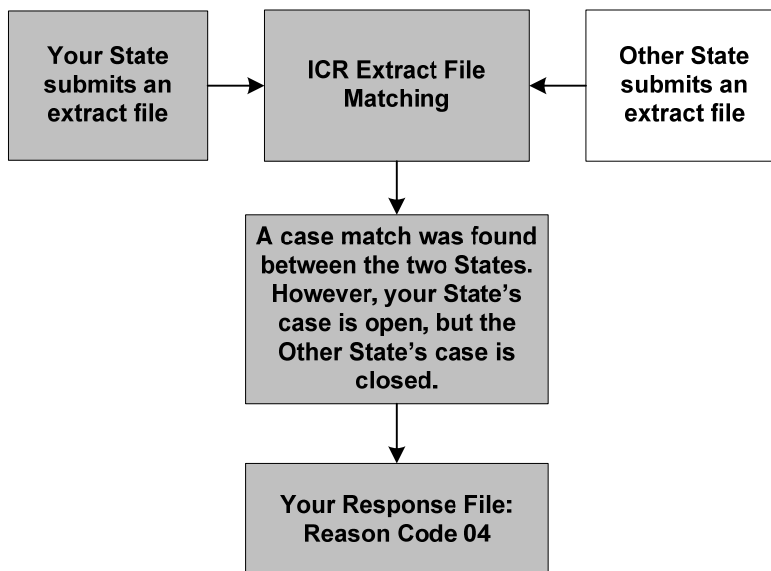
Suggested Next Action: As Initiating State or as Responding State:

Step 1: Automatic updates of the FIPS County Codes for other States are not recommended. FIPS County Code discrepancies, because of ongoing problems with county FIPS Codes, should be addressed as a lesser priority in reconciling ICR discrepancies. Automation may be used to:

- Sort cases with FIPS County Codes returned from the other State as '000'. These cases need to be reviewed as to how they should be reconciled. Note: Several States work all interstate cases in a central office and request that other States use '000' as the local code.
- Identify cases in your State's system where no FIPS County Code is recorded for the other State. The FIPS County Code obtained on the ICR results file may help you work the case in the other State.

3.2.5 Reason Code 04 – Your Case is Open, Matches to Closed Case in the Other State

The **Case Status** in your State indicates that your State's case is open; however, the matching case submitted by the other State is closed.



Suggested Next Action:

As Initiating State:

Step 1: The worker analyzes the case to determine whether it should remain an interstate case or whether a one-State action can be pursued.

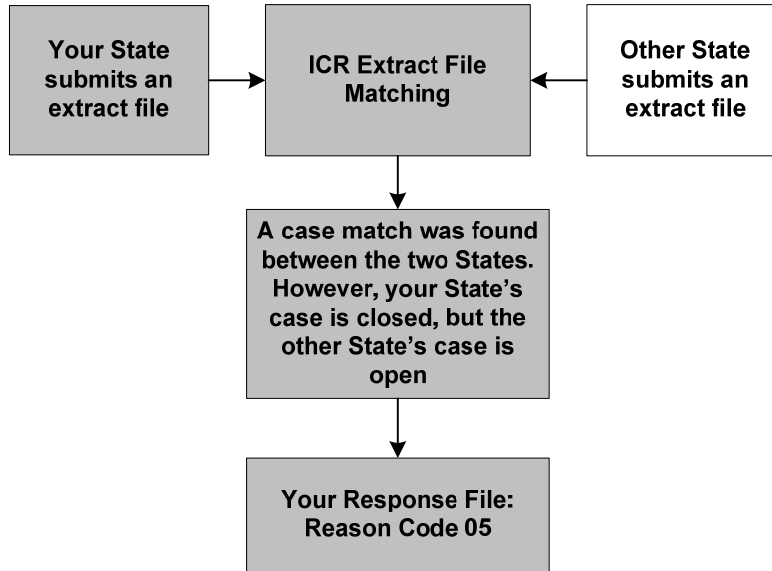
Step 2: If the case is to remain interstate, send CSENet transaction/UIFSA forms to have the other State initiate the appropriate interstate action on the case. Or, if a one-State action is to be pursued, close out interstate activity on the case and make it an in-State case.

As Responding State:

Step 1: If the family no longer requires child support services, initiate the case closure procedure, in accordance with Federal case closure criteria (e.g., by generating an MSC P GSC14 case closure notice provide transaction to the Initiating State through CSENet.

3.2.6 Reason Code 05 - Your Case is Closed, Matches to Open Case in the Other State

The **Case Status** in your State indicates that your State's case is closed; however, the matching case submitted by the other State is open.

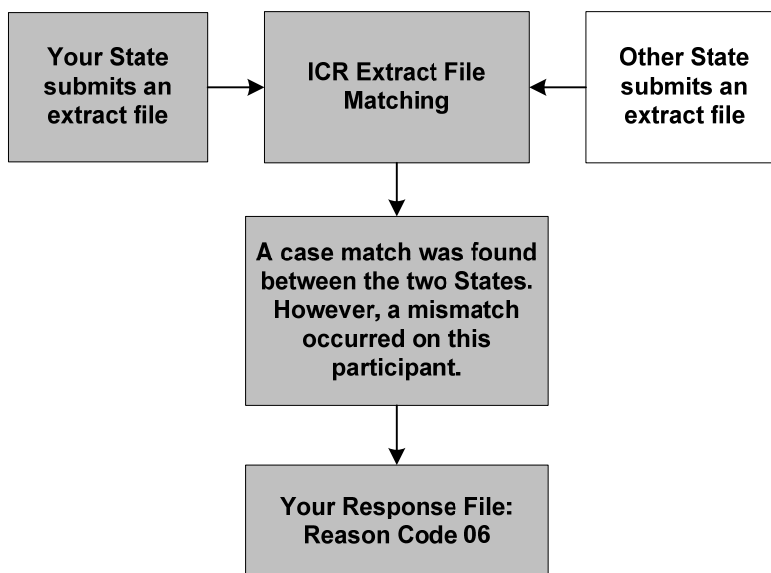


Suggested Next Action: As Initiating State or as Responding State:

None required. It is up to the State with the open case to determine the next appropriate action to take on their case.

3.2.7 Reason Code 06 - This Participant was Not Found in the Other State Case

The participant was not found in the other State's case. Your State's case was matched against the other State's case; however, this participant on your case did not match any of the participants on the other State's case.



Suggested Next Action: As Initiating State or as Responding State:

Step 1: Determine participant criteria supporting the automated generation of a CSI request to receive more case/participant data from the other State (e.g., it may be more important to resolve this discrepancy when your CP or NCP was not found on the other State's case as opposed to a child not being found, particularly a child over the age of majority).

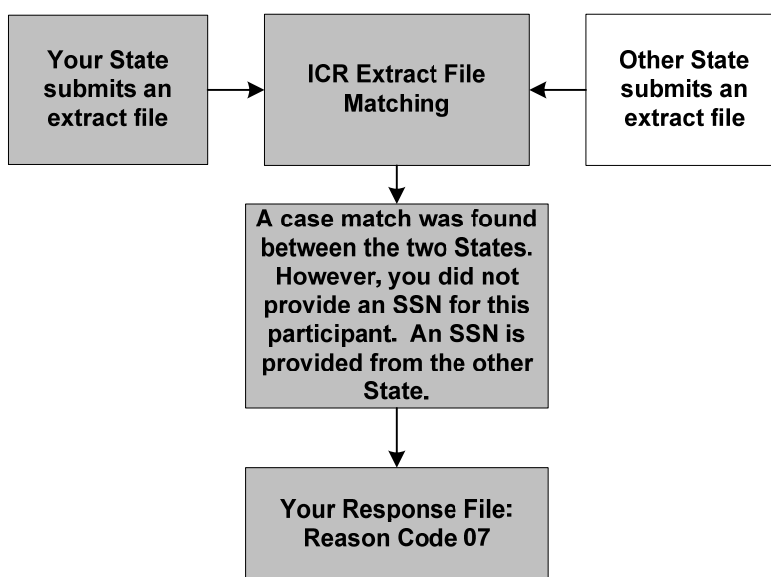
Step 2: Based on meeting the above criteria, the Reconciliation Response program generates a CSENet CSI R FRINF request transaction to the other State to request all available data on the other State's case and participants.

Step 3: Upon receipt of the CSI P FSINF provide transaction, have the worker analyze the provided data to determine if this participant in your State's case requires correction or update in your State system.

3.2.8 Reason Code 07 – SSN was Provided for this Participant by the Other State

Your State’s case was matched against the other State’s case, but you did not provide an SSN for this participant. An SSN for this participant in your State was provided on the corresponding interstate case in the other State. A verification code is also provided for this SSN.

The Social Security Administration provides verification of SSN/Name combinations. A portion of the verification process used for FCR is the same process used for ICR. The difference is that with the FCR, name, SSN, Date of Birth and Sex Code are passed to the verification process, but with the ICR, only the name and SSN are passed to the verification process. The verification process will determine whether the combination is verified based on the information provided to the process.



Suggested Next Action: As Initiating or Responding State:

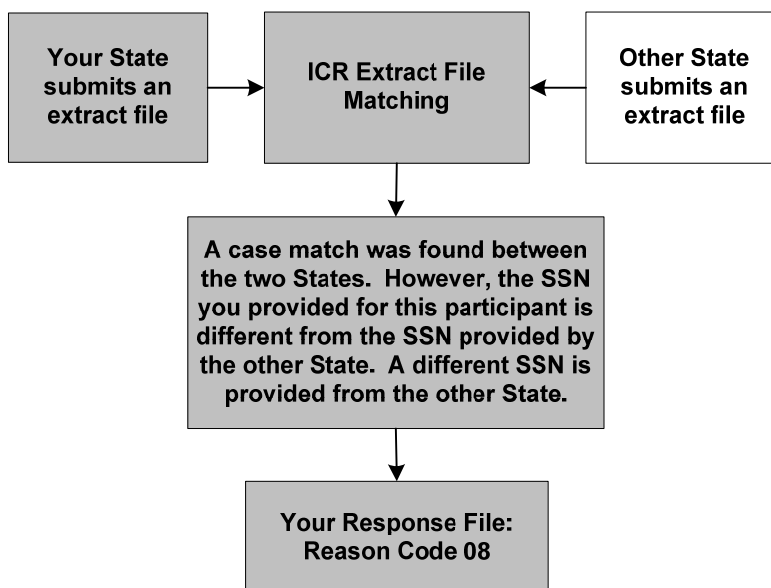
Step 1: If the provided SSN is for a CP or an NCP, check to make sure the CP and NCP indicators are set to ‘Y’.

Step 2: If the Other State SSN Verification Indicator is set to “Y”, automatically update your system with this participant’s SSN.

3.2.9 Reason Code 08 – Different SSN was Provided for this Participant by the Other State

Your State’s case was matched against the other State’s case, but the SSN you provided for this participant did not match the SSN provided for this participant in the other State. The different SSN known to the other State can be found in the **SSN (from Other State)** field. A verification indicator is provided for the SSN you submitted and for the SSN provided by the other State.

The Social Security Administration provides verification of SSN/Name combinations. A portion of the verification process used for FCR is the same process used for ICR. The difference is that with the FCR, name, SSN, Date of Birth and Sex Code are passed to the verification process, but with the ICR, only the name and SSN are passed to the verification process. The verification process will determine whether the combination is verified based on the information provided to the process.



Suggested Next Action: As Initiating or Responding State:

Step 1: Check to make sure the CP and NCP indicators are set to ‘Y’ if you are considering automatically updating a different SSN for a CP or NCP.

Step 2: If the Submitting State’s SSN Verification Indicator is set to “N” and the Other State SSN Verification Indicator is set to “Y”, either update the SSN in your system or add the Other State SSN as an Alias/Additional SSN and submit to the FCR as an Additional to obtain a full verification for that SSN.

3.3 Frequently Asked Questions

How will case ID formatting discrepancies be handled? Some States use leading zeroes in the case ID field and other States do not.

States should supply their case ID and the Other State Case ID in the same manner as sent to the FCR and on CSENet transactions. The ICR matching process will identify case ID discrepancies when a State stores Other State Case ID in a format that is different from the format used by the other State for their own case ID. It is necessary to correct this discrepancy because the other State may reject CSENet transactions where the Other State Case ID is not formatted according to their standards. As a general rule, a State should always store Other State Case ID in the same format that was received from the other State.

What is the format for case IDs?

All case ID fields should be alphanumeric and left-justified with trailing spaces.

Will it be possible to receive more than one Reason Code per case?

Yes. For example, you could receive a Reason Code 02 and a Reason Code 03 where you had both an incorrect Other State Case ID and an incorrect Other State FIPS Code for that case in your State system.

How will name consistency problems (e.g., Jr. vs. Junior) be handled?

The other State's participant name is supplied, but it should be considered for informational purposes only. There is no hierarchy between the States as to whose name or convention is preferred - your State's or the other State's. The name or convention verified by the FCR should be the accepted name.

Will the ICR program automatically close cases?

No

How will the ICR deal with Family Violence cases?

Family Violence (FV) is not a factor in populating State ICR extract files. States can include interstate FV cases in their ICR extracts.

How far back should we populate our ICR extract?

As far back as you can go in your current, active database. States should not include archived cases or pre-conversion cases.

Does Member ID refer to the participant number or to the case ID?

Member ID refers to participant number.

Is there a difference between a closed IV-D case and a closed interstate case?

Yes. As interstate activity may be recorded as a part of a case, the interstate portion of a case may be closed while the IV-D case remains open as an intrastate case. Closed interstate cases refer to the cases where the interstate action or activity is closed, whether or not the IV-D case

remains open. Normally if the IV-D case is closed, the interstate portion of the case is also closed.

3.4 Common Terms and Definitions

This section provides a list of definitions of common terms used throughout this document.

Closed Interstate Case

For purposes of Interstate Case Reconciliation, a **closed interstate case** can occur when a case remains open in the Initiating State as an in-State case, but it no longer has an interstate connection to the other State. When the Initiating State has closed out all interstate activity on a case that remains an open in-State case on their system, it should be reported to the ICR as a closed interstate case. This holds true as long as all interstate data concerning the other State is still retained on the Initiating State's system. Likewise, when the Responding State has closed out all interstate activity on a case that remains open as an in-State case due to a request for IV-D services, it should be reported to the ICR as a closed interstate case.

The ICR match process will not return matches between closed interstate cases in two different States. The ICR will not return mismatches where a closed case in one State could not be found in the other State.

Case ID

The **Case ID** is the identifier assigned to a case for reporting purposes to the Federal Case Registry.

Initiating State

The **Initiating State** is the State or county court, or administrative agency, which sends a request for action to another jurisdiction in interstate child support cases. The requested action can include a request for wage withholding or for review and adjustment of existing child support obligations. In cases where a State is trying to establish an initial child support order on behalf of a resident custodial parent, and they do not have Long Arm Jurisdiction (i.e., they cannot legally claim personal jurisdiction over a person who is not a resident), they must file a two-State action under the UIFSA guidelines.

Interstate Case for ICR Purposes

The following are definitional elements to assist in determining which cases should be submitted as **interstate cases for ICR purposes**:

- A case requested by one State for another State to open and maintain for purposes of paternity or order establishment, and/or enforcement.
- The State requesting this action is the Initiating State. The other State is the Responding State.
- Both States maintain identical cases on their systems, and both States would submit the interstate case for ICR purposes.
- Both interstate open and closed cases are submitted for ICR purposes. Neither long-arm nor limited services cases are submitted for ICR purposes.
- Neither international cases nor tribal cases are submitted for ICR purposes.

Other State

The **Other State** is the State with which your State believes it shares an interstate case.

Responding State

The court or administrative agency with jurisdiction over a noncustodial parent or child support order on which an Initiating State has requested action. The **Responding State** receives pleadings or an electronic or form request from the Initiating State to take an action in a case. The Responding State may provide a single service for the Initiating State, such as service of process, or it may provide all the services needed, from establishing an order to enforcing it, using the Responding State's child support laws and procedures.

Submitting State

Each State that submits an extract file is known as the **Submitting State**.

SECTION 4.0

THE UNIDENTIFIED INTERSTATE CASES PROJECT

Since the implementation of the ICR project, OCSE has noticed that several States have significantly fewer cases processed with all other States than those other States have processed with them.

States have reported to the ICR team that they have cases on their systems that are known to be interstate cases, but are not marked as being 'interstate'. These cases are called 'unidentified interstate cases'. When a case in a State is not identified correctly as an interstate case, the case is not included on that State's ICR extract file and sent for case matching. Other States receive a Reason Code 01 (Case Not Found) response on each case they have in common with unidentified interstate cases in those States.

OCSE is committed to helping States with large numbers of unidentified interstate cases to properly identify those cases so they can be recorded as 'interstate' on their State systems. OCSE has developed two options for identifying additional interstate cases. The States being offered assistance are referred to as the 'focus States' for purposes of the Unidentified Interstate Cases Project. States identified as focus States may change over time, as information from future annual ICR case matches is reviewed.

The Unidentified Interstate Cases Project is voluntary, and each focus State's participation is independent of the participation of the other States. OCSE is able to assist one or all of the focus States individually or collectively over the coming years. States will decide whether and when to participate, and which of the two options to use.

4.1 Option #1: OCSE Matches All Cases Receiving Reason Code 01 with the Focus State's Cases Against the Focus State's Cases on the FCR

In Option #1, OCSE will match all cases where all other States received a Reason Code 01 (Case Not Found) response on the ICR on cases with the focus State against all of the focus State's cases registered on the FCR.

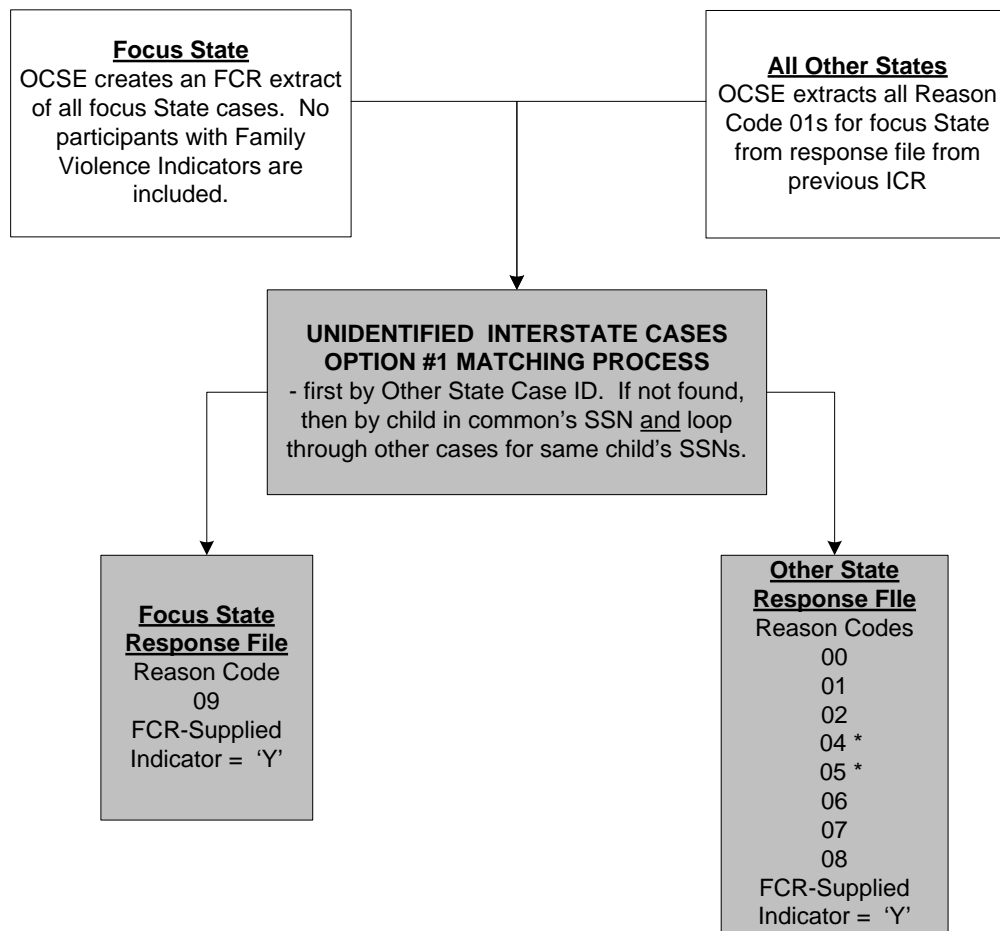
A focus State that chooses this option would receive an ICR response file that shows the FCR matched cases that other States believe are interstate cases. On each matched case, the focus State will receive Reason Code 09 (Case Found on the FCR). This new Reason Code informs the focus State that the other State's interstate case matched to an FCR case in the focus State.

The focus State should evaluate its response file to determine if each case is truly an interstate case, and update its system with the information provided by the other States. All cases

identified as ‘interstate’ are to be submitted to the next scheduled ICR for more complete case matching.

Figure 4-1 depicts the Option #1 match process.

**Figure 4-1
Unidentified Interstate Cases Project:
Option #1 Match Process**



* Reason Codes 04 and 05 indicate whether the focus State's case on the FCR is open or closed to IV-D.

The other States would also receive an ICR response file showing the information obtained from the FCR. FCR-derived information includes the case ID and participant information, but not the open or closed interstate case status, as interstate information is not stored on the FCR. No participants with Family Violence Indicators will be included on the response file.

It is important to note that for these FCR-derived matches, Reason Codes 04 and 05 will have a slightly different meaning, and will show if the matching case on the FCR is open or closed to IV-D.

Other States will be informed that the match information is derived from a match against the focus State's cases on the FCR by the FCR-supplied indicator at position 242 on the response file being set to 'Y'. The FCR-supplied indicator has been a part of the ICR response file since ICR-1, but States have not previously seen this indicator set to 'Y'.

Other States may be able to use their existing ICR response file programming, with minor modifications, to update their systems with this FCR-derived data.

Shown in Appendix D is the response file record layout that all States receive when a focus State chooses Option #1. The differences from the regular ICR response file layout are bolded.

4.2 Option #2: OCSE Uses a Supplemental Extract File Submitted by the Focus State for Matching Purposes

In Option #2, OCSE will match a supplemental extract file submitted by the focus State against all cases from other States in their previous ICR submission, and also match all cases where other States received a Reason Code 01 (Case Not Found) response against the supplemental extract file submitted by the focus State.

Focus States that choose this option may consider either of the following possibilities in creating their supplemental extract file:

- Expand the selection criteria that were used to generate the ICR extract file, and submit a supplemental file that contains all of the cases that are believed to be interstate cases, even if they lack specific data elements which have excluded them from previous extract files, or
- Submit a file that contains all of the cases where either the CP or NCP has an out-of-State address, but the case is not recorded as an interstate case. Not all of these cases will be interstate cases, but the ones that match against cases that another State believes to be interstate probably are.

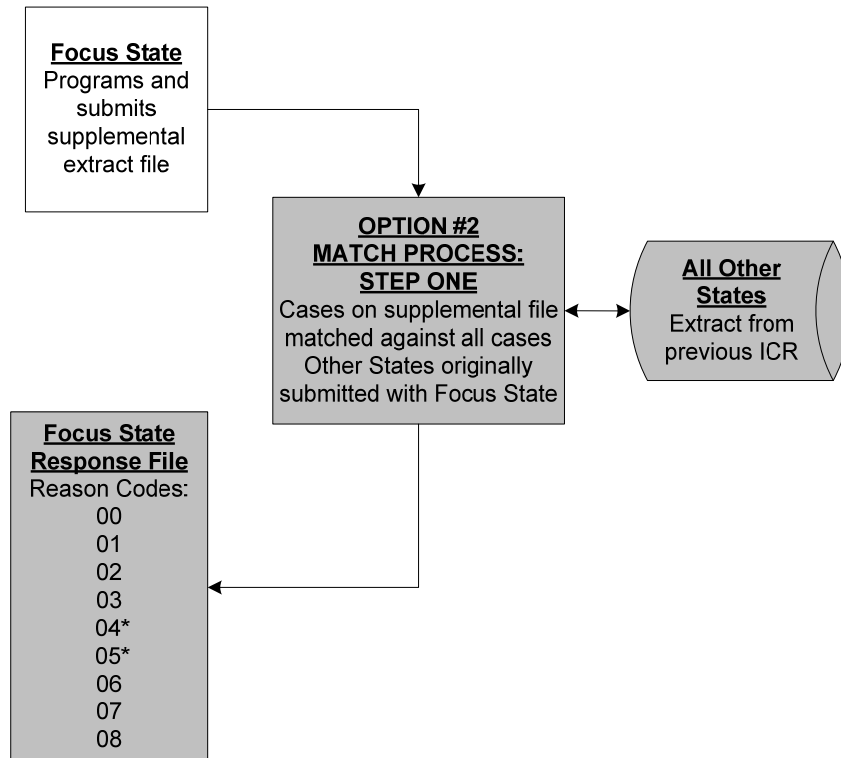
A focus State that chooses this option will receive an ICR response file containing case match information for all submitted cases, as their supplemental file will be matched against all cases that the other States submitted with the focus State on the most recent ICR. The Multiple Cases Indicator, at position 485 on the response file, will be set to 'Y' if the single State's case matches to multiple cases in the other State, and to 'N' if it does not.

Option #2 is a two-step match process, as shown in Figure 4-2 on the following page.

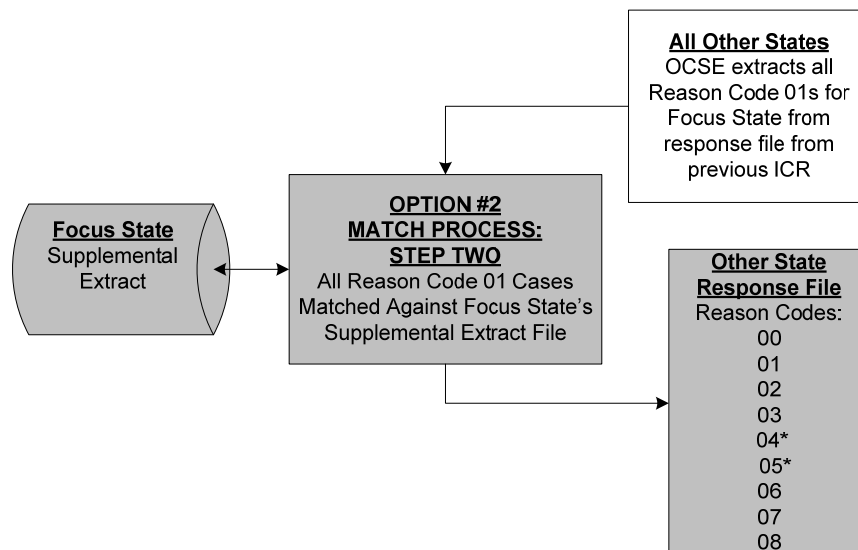
Figure 4-2

**Unidentified Interstate Cases Project:
Option #2 Match Process**

Step One



Step Two



* Reason Codes 04 and 05 are to be ignored by both the focus State and other States because the focus State's supplemental extract file contains cases that are not necessarily identified as interstate cases, so those cases may have no open or closed interstate status indicators.

APPENDIX A

ICR STATE EXTRACT FILE RECORD FORMAT

The record format for the ICR State extract file follows. **Please note that a separate record should be submitted for each participant on the case.** If you have five participants on a case, submit a record for each participant, repeating the associated case information in each record.

The ICR State Extract File Record Format labels the following data elements as required:

- Case ID,
- FIPS Code,
- Case Status,
- First two bytes of Other State FIPS Code,
- SSN, Name and Participant Type for at least one child and/or adult on the case.

These fields are the minimum fields required to fully execute the matching logic in the ICR Extract File Matching process. However, to provide comprehensive matching results, OCSE must receive as much of the data defined in the ICR Extract File as a State maintains for each participant on an interstate case. These requirements should not be interpreted to limit a State to providing information on only one child in an interstate case.

Different data thresholds must be met in order for you to add an interstate case to your State's ICR extract file. The first two bytes of Other State FIPS Code must always be present, pointing the Extract File Matching process to the correct State file to search for a matching case.

When the Other State Case ID is present, you should add the case to your State's extract.

When the Other State Case ID is not present, then at least one child SSN or at least one adult participant type and SSN must be submitted in order for the Extract File Matching process to attempt to find a matching case.

If a case is submitted without the first two bytes of Other State FIPS Code or a case is submitted without the Other State Case ID and without an SSN for at least one child and/or adult participant on the case, then the Extract File Matching process will automatically return a Reason Code 01 (Could Not Find a Matching Case in the Other State) response record for each participant on the case. This response record is returned because the Extract File Matching process could not attempt a match due to insufficient data.

| Field Name | Pos. | Len. | A/N | Comments |
|--|---------|------|-----|--|
| Case ID | 1-15 | 15 | A/N | Required. Your State's case ID |
| Initiating/Responding Indicator | 16 | 1 | A/N | Your State's I/R Indicator I – Initiating R – Responding |
| FIPS Code | 17-21 | 5 | A/N | Required. Your State and county FIPS Code |
| FIPS Code Positions 6-7 | 22-23 | 2 | A/N | Positions 6-7 of the FIPS Code for States that use them (if they are not used, the positions will be blank) |
| SSN | 24-32 | 9 | A/N | Required for at least one child or adult. Your State's SSN |
| Member ID | 33-47 | 15 | A/N | Your State's Member ID |
| Participant Type | 48-49 | 2 | A/N | Required for at least one child or adult. Your State's Participant Type: NP – Noncustodial Parent CP – Custodial Party PF – Putative Father CH – Child |
| Case Status | 50 | 1 | A/N | Required. Your State's Interstate Case Status: O – Open C – Closed |
| Last Name | 51-80 | 30 | A/N | Required for at least one child if the child's SSN is not present. Your State's participant Last Name |
| First Name | 81-96 | 16 | A/N | Required for at least one child if the child's SSN is not present. Your State's participant First Name |
| Middle Name | 97-112 | 16 | A/N | Your State's participant Middle Name |
| Date of Birth | 113-120 | 8 | A/N | Your State's participant Date of Birth in CCYYMMDD format |
| Sex | 121 | 1 | A/N | Your State's participant Sex Code: M – Male F – Female O – Other |
| Other State Case ID | 122-136 | 15 | A/N | The Other State Case ID stored on your State's system |
| Filler | 137 | 1 | A/N | Future use |
| Other State FIPS Code | 138-144 | 7 | A/N | First two bytes are required. The FIPS Code for the other State's case stored on your State's system |
| Filler | 145-159 | 15 | A/N | Future use |
| Filler | 160-161 | 2 | A/N | Future use |
| Contact Name | 162-201 | 40 | A/N | The contact name or worker ID for the person assigned to this case in your State |

| Field Name | Pos. | Len. | A/N | Comments |
|-----------------------------|-------------|-------------|------------|--|
| Contact Phone Number | 202-211 | 10 | A/N | The phone number for the contact or caseworker assigned to this case in your State |
| Contact E-Mail | 212-241 | 30 | A/N | The e-mail address for the contact or caseworker assigned to this case in your State |
| Filler | 242-550 | 309 | A/N | Future use |

APPENDIX B

ICR CASE DISCREPANCIES REASON CODE TABLE [Basic ICR Match Routines]

The following table provides descriptions and suggested next actions for the case and participant-based discrepancy Reason Codes returned by OCSE for the Interstate Case Reconciliation project.

The suggested next actions represent basic guidelines for automated processing of reconciliation response data, and suggestions for worker intervention as required. These are only suggestions; each participating State will determine the extent to which reconciliation response data is automatically processed in your State. For the purposes of suggesting automated actions, the table refers to the Reconciliation Response program as a generic term covering any automated program(s) developed by States to process the ICR reconciliation matching results.

States should consider developing programs to automatically update corrected Other State Case ID's and SSNs in your systems. Correcting these discrepancies in an automated manner provides States with the immediate benefits of enabling the exchange of data through CSENet and the processing of payments through EFT/EDI, as well as getting the participant registered on the FCR.

Please note that Reason Codes will be returned for each participant on the submitted case. Multiple Reason Codes will be returned in each individual participant record when multiple discrepancies have been identified on a single case. Case data will be redundantly displayed in each participant record associated with the case in question.

| Reason Code | Description/Suggested Next Action |
|-------------|---|
| 00 | <p>Your Other State Case ID, Other State FIPS Code, and Case Status match the Case ID, FIPS code, and case status of the other State's case. Also, the participant in this record was found in the other State's case.</p> <p>Suggested Next Action: <u>As Initiating State or as Responding State:</u></p> <p>Step 1: The Reconciliation Response program checks the number of your case participants that matched participants in the other State's case when processing a Reason Code 00 record.</p> <p>Keep in mind that case structures may vary from State to State, so the number of mismatched participants may not necessarily mean there is a case discrepancy. You also receive a Y/N indicator that tells you whether the CP and NCP matched between the two States. If all case participants match, but the CP Match Indicator or the NP Match Indicator are set to 'N', use caution here since this may reflect that the wrong case was matched. The match may be with a change of custody case for the child(ren).</p> <p>When the Number of Adults from Your State equals the Number of Adults Matched and the Number of Children from Your State equals the Number of Children Matched, then no further action is required since the case data and participant data are synchronized between the two States. The Reconciliation Response program skips to the first participant record for the next case in the response file.</p> <p>If the above sets of fields do not equal each other, then the case data are still synchronized between the two States, but a participant from your case did not match to the participants from the other State's case. The Reconciliation Response program continues to process the response file records for your case until it finds the Reason Code 06 record associated with the non-matching participant. See section 3.2.7 for Reason Code 06, Suggested Next Action.</p> <p>Reason Code 00 indicates that all case data is valid. However, participants within this case may still receive a Reason Code 06, 07, or 08.</p> |

| Reason Code | Description/Suggested Next Action |
|-------------|--|
| 01 | <p>The ICR matching process could not find a matching case in the other State file. The ICR matching process attempts to find a case in the other State file by matching on Other State Case ID. If the Other State Case ID match fails to find a case in the other State's file, then the ICR matching process attempts to find a child in common between your case and a case in the other State's file by matching the child's SSN. If no child in common is found in the other State's file, the ICR rematching process attempts to find a case in common by matching on an adult SSN and participant type and child name. If no matching case is found, the ICR matching returns this Reason Code indicating that the other State did not submit a case that matches the case in your State.</p> <p>Suggested Next Action:</p> <p><u>As Initiating State:</u></p> <p>Step 1: The worker analyzes the case to determine whether it should remain an interstate case, or whether a one-State action should be pursued on the case.</p> <p>Step 2: If the case is to remain an interstate case, re-send the CSENet transaction/UIFSA forms to have the other State initiate a new case. Or, if a one-State action is to be pursued, close out interstate activity on the case and make it an in-State case.</p> <p><u>As Responding State:</u></p> <p>Step 1: If the family no longer requires child support services, initiate the case closure procedure in accordance with Federal case closure criteria.</p> |

| Reason Code | Description/Suggested Next Action |
|-------------|---|
| 02 | <p>The ICR matching process found a case in the other State's file by matching on children in common between your State's case and the other State's case or on an adult participant type and SSN and child name in common. However, there is a discrepancy between the Other State Case ID that your State submitted and the case ID for the other State's case. The case ID submitted by the other State for its case can be found in the Case ID (from Other State) field, and the number of the case participants that matched can be found in the Number of Adults Matched and Number of Children Matched fields.</p> <p>Suggested Next Action: <u>As Initiating State or as Responding State:</u></p> <p>Step 1: Determine the threshold of matching participants necessary to support the automated update of Other State Case ID (e.g., perform an automated update only if both adult participants in your case matched to adult participants in the other State's case and at least 75% of the children on your case matched to children on the other State's case).</p> <p>Step 2: The Reconciliation Response program interrogates the Number of Adults Matched and Number of Children Matched fields. If the matching participant threshold is met, then the Reconciliation Response program automatically updates the Other State Case ID in your State's case with the Case ID (from Other State).</p> <p>You also receive a Y/N indicator that tells you whether the CP and NCP matched between the two States. If all case participants match, but the CP Match Indicator or the NP Match Indicator are set to 'N', use caution here since this may reflect that the wrong case was matched. The match may be with a change of custody case for the child(ren).</p> <p>If the Multiple Cases Indicator is set to "Y", review the Other State Case IDs received to see which identifier best meets your needs for case processing.</p> <p>If the matching participant threshold is not met, the Reconciliation Response program automatically generates a CSENet CSI R FRINF request transaction to request all available data on the other State's case and participants.</p> <p>Step 3: Upon receipt of the CSI P FSINF provide transaction, the worker analyzes the other State's case data to determine if the two cases match. If so, the worker updates Other State Case ID in your State's case.</p> |

| Reason Code | Description/Suggested Next Action |
|-------------|---|
| 03 | <p>Positions 3 - 5 (FIPS County Code) of the FIPS code for the other State's case do not match positions 3 - 5 of the Other State FIPS Code that your State submitted. The FIPS code submitted by the other State can be found in the FIPS Code (from Other State) field. A verification indicator for the Other State FIPS Code submitted by your State can be found in the Other State FIPS Code Verification Indicator. A second verification indicator for the FIPS Code (from Other State) submitted by the other State for its case can be found in the FIPS Code Verification Indicator (from Other State).</p> <p>Suggested Next Action: <u>As Initiating State or as Responding State:</u></p> <p>Step 1: Automatic updates of the FIPS County Codes for other States are not recommended. The FIPS County Code discrepancies, because of ongoing problems with county FIPS Codes, should be addressed as a lesser priority in reconciling ICR discrepancies. Automation may be used to:</p> <ul style="list-style-type: none"> • Sort cases with FIPS County Codes returned from the other State as '000'. These cases need to be reviewed as to how they should be reconciled. • Identify cases in your State's system where no FIPS County Code is recorded for the other State. The FIPS County Code obtained on the ICR results file may help you work the case in the other State. |

| Reason Code | Description/Suggested Next Action |
|-------------|---|
| 04 | <p>The Case Status in your State indicates that your State's interstate case is open; however, the matching interstate case submitted by the other State is closed.</p> <p>Suggested Next Action:</p> <p><u>As Initiating State:</u></p> <p>Step 1: The worker analyzes the case to determine whether it should remain an interstate case, or whether a one-State action should be pursued.</p> <p>Step 2: If the case is to remain interstate, send the CSENet transaction/UIFSA forms to have the other State initiate the appropriate interstate action on the case. Or, if a one-State action is to be pursued, close out interstate activity on the case and make it an in-State case.</p> <p><u>As Responding State:</u></p> <p>Step 1: If the family no longer requires child support services, initiate the case closure procedure in accordance with Federal case closure criteria (e.g., by generating an MSC P GSC14 case closure notice provide transaction to the initiating State through CSENet.</p> |
| 05 | <p>The Case Status in your State indicates that your State's case is closed; however, the matching case submitted by the other State is open.</p> <p>Suggested Next Action: <u>As Initiating State or as Responding State:</u></p> <p>None required. It is up to the State with the open interstate case to determine the next appropriate action to take on its interstate case.</p> |

| Reason Code | Description/Suggested Next Action |
|-------------|--|
| 06 | <p>This Participant was not found in the other State's case. Your State's case was matched against the other State's case; however, this participant on your case did not match any of the participants on the other State's case.</p> <p>Suggested Next Action: <u>As Initiating State or as Responding State:</u></p> <p>Step 1: Determine the participant criteria supporting the automated generation of a CSI request to receive more case/participant data from the other State (e.g., it may be more important to resolve this discrepancy when your CP or NCP was not found on the other State's case as opposed to a child not being found).</p> <p>Step 2: Based on meeting the above criteria, the Reconciliation Response program generates a CSENet CSI R FRINF request transaction to the other State to request all available data on the other State's case and participants.</p> <p>Step 3: Upon receipt of the CSI P FSINF provide transaction, the worker analyzes the provided data to determine whether this participant in your State's case requires correction or update in your State system.</p> |
| 07 | <p>Your State's case was matched against the other State's case but you did not provide an SSN for this participant. An SSN for this participant was provided on the corresponding interstate case by the other State, and can be found in the SSN (from Other State) field. The verification indicator for the SSN provided by the other State can be found in the Other State SSN Verification Indicator field.</p> <p>Suggested Next Action: <u>As Initiating or Responding State:</u></p> <p>Step 1: If the provided SSN is for a CP or an NCP, check to make sure the CP and NCP indicators are set to 'Y'.</p> <p>Step 2: If the Other State SSN Verification Indicator is set to "Y", automatically update your system with this participant's SSN.</p> |

| Reason Code | Description/Suggested Next Action |
|-------------|--|
| 08 | <p>Your State's case was matched against the other State's case but the SSN you provided for this participant did not match the SSN provided for this participant by the other State. The SSN used by the other State can be found in the SSN (from other State) field. Verification indicators show whether your State's SSN, or the other State's SSN has been verified by the Social Security Administration. The verification indicator for the SSN provided by your State can be found in the Submitting State SSN Verification Indicator field. The verification indicator for the SSN provided by the other State can be found in the Other State SSN Verification Indicator field.</p> <p>Suggested Next Action: <u>As Initiating or Responding State:</u></p> <p>Step 1: Check to make sure the CP and NCP indicators are set to 'Y' if you are considering automatically updating a different SSN for a CP or NCP.</p> <p>Step 2: If the Submitting State's SSN Verification Indicator is set to "N" and the Other State SSN Verification Indicator is set to "Y", either update the SSN in your system or add the Other State SSN as an Alias/Additional SSN and submit to the FCR as an Additional SSN to obtain a full verification for that SSN.</p> |

APPENDIX C

ICR RECONCILIATION MATCHING RESULTS FILE RECORD FORMAT

| Field Name | Pos. | Len. | A/N | Comments |
|---------------------------------|-------------|-------------|------------|--|
| Case ID | 1-15 | 15 | A/N | Your State's Case ID |
| Initiating/Responding Indicator | 16 | 1 | A/N | Your State's I/R Indicator I - Initiating R - Responding |
| FIPS Code | 17-21 | 5 | A/N | Your State and county FIPS Code |
| FIPS Code Positions 6-7 | 22-23 | 2 | A/N | Positions 6-7 of the FIPS Code for States that use them (if they are not used, the positions will be blank) |
| SSN | 24-32 | 9 | A/N | Your State's SSN |
| Member ID | 33-47 | 15 | A/N | Your State's Member ID |
| Participant Type | 48-49 | 2 | A/N | Your State's Participant Type: NP - Noncustodial Parent CP - Custodial Party PF - Putative Father CH - Child |
| Case Status | 50 | 1 | A/N | Your State's Interstate Case Status: O - Open C - Closed |
| Last Name | 51-80 | 30 | A/N | Your State's participant Last Name |
| First Name | 81-96 | 16 | A/N | Your State's participant First Name |
| Middle Name | 97-112 | 16 | A/N | Your State's participant Middle Name |
| Date of Birth | 113-120 | 8 | A/N | Your State's participant Date of Birth in CCYYMMDD format |
| Sex | 121 | 1 | A/N | Your State's participant Sex Code: M - Male F - Female O - Other |
| Other State Case ID | 122-136 | 15 | A/N | The Other State Case ID stored on your State's system |
| Filler | 137 | 1 | A/N | Future use |
| Other State FIPS Code | 138-144 | 7 | A/N | The FIPS Code for the other State's case stored on your State's system |
| Filler | 145-159 | 15 | A/N | Future use |
| Filler | 160-161 | 2 | A/N | Future use |
| Contact Name | 162-201 | 40 | A/N | The contact name or worker ID for the person assigned to this case in your State |
| Contact Phone Number | 202-211 | 10 | A/N | The phone number for the contact or caseworker assigned to this case in your State |
| Contact E-Mail | 212-241 | 30 | A/N | The e-mail address for the contact or caseworker assigned to this case in your State |

| Field Name | Pos. | Len. | A/N | Comments |
|-------------------------------------|---------|------|-----|---|
| FCR-Supplied Indicator | 242 | 1 | A/N | This indicator will always be blank for regular ICR matches. |
| 1 st Reason Code | 243-244 | 2 | A/N | First code for explanation of the match results |
| 2 nd Reason Code | 245-246 | 2 | A/N | Second code for explanation of the match results |
| 3 rd Reason Code | 247-248 | 2 | A/N | Third code for explanation of the match results |
| 4 th Reason Code | 249-250 | 2 | A/N | Fourth code for explanation of the match results |
| 5 th Reason Code | 251-252 | 2 | A/N | Fifth code for explanation of the match results |
| 6 th Reason Code | 253-254 | 2 | A/N | Sixth code for explanation of the match results |
| 7 th Reason Code | 255-256 | 2 | A/N | Seventh code for explanation of the match results |
| 8 th Reason Code | 257-258 | 2 | A/N | Eighth code for explanation of the match results. |
| 9 th Reason Code | 259-260 | 2 | A/N | Ninth code for explanation of the match results. |
| 10 th Reason Code | 261-262 | 2 | A/N | Future use. This field will not be used for the initial case-based ICR |
| 11 th Reason Code | 263-264 | 2 | A/N | Future use. This field will not be used for the initial case-based ICR |
| 12 th Reason Code | 265-266 | 2 | A/N | Future use. This field will not be used for the initial case-based ICR |
| Case ID (from Other State) | 267-281 | 15 | A/N | This field contains the case ID from the other State |
| FIPS Code (from Other State) | 282-288 | 7 | A/N | This field contains the FIPS Code from the other State |
| SSN (from Other State) | 289-297 | 9 | A/N | This field contains the SSN for this participant from the other State |
| Member ID (from Other State) | 298-312 | 15 | A/N | Future use. This field will not be used for the initial case-based ICR |
| Participant Type (from Other State) | 313-314 | 2 | A/N | This field contains the Participant Type from the other State. |
| Last Name (from Other State) | 315-344 | 30 | A/N | This field contains the Last Name for this participant from the other State. |
| First Name (from Other State) | 345-360 | 16 | A/N | This field contains the First Name for this participant from the other State. |
| Middle Name (from Other State) | 361-376 | 16 | A/N | This field contains the Middle Name for this participant from the other State. |
| Date of Birth (from Other State) | 377-384 | 8 | A/N | This field contains the Date of Birth for this participant from the other State. |
| Sex (from Other State) | 385 | 1 | A/N | This field contains the Sex for this participant from the other State. |
| Number of Adults From Your State | 386-387 | 2 | N | This field contains the number of participants in your State's case that have a participant type of NP, CP or PF |
| Number of Adults From Other State | 388-389 | 2 | N | This field contains the number of participants in the other State's case that have a participant type of NP, CP or PF |

| Field Name | Pos. | Len. | A/N | Comments |
|---|---------|------|-----|--|
| Number of Adults Matched | 390-391 | 2 | N | This field contains the number of participants that matched and have a participant type of NP, CP or PF |
| Number of Children from Your State | 392-393 | 2 | N | This field contains the number of participants in your State's case that have a participant type of CH |
| Number of Children from Other State | 394-395 | 2 | N | This field contains the number of participants in the other State's case that have a participant type of CH |
| Number of Children Matched | 396-397 | 2 | N | This field contains the number of participants that matched and have a participant type of CH |
| Other State Contact Name | 398-437 | 40 | A/N | This field contains the contact name or worker ID for the person assigned to this case in the other State |
| Other State Contact Phone Number | 438-447 | 10 | A/N | This field contains the phone number for the contact or caseworker assigned to this case in the other State |
| Other State Contact E-Mail | 448-477 | 30 | A/N | This field contains the e-mail address for the contact or caseworker assigned to this case in the other State |
| Other State FIPS Code Verification Indicator | 478 | 1 | A/N | Verification indicator for the five-byte Other State FIPS Code that your State submitted Y – Your Other State FIPS Code is valid N – Your Other State FIPS Code is invalid |
| FIPS Code Verification Indicator (from Other State) | 479 | 1 | A/N | Verification indicator for the five-byte FIPS code submitted by the other State Y - The FIPS Code from the other State is valid N - The FIPS Code from the other State is invalid |
| CP Match Indicator | 480 | 1 | A/N | Match indicator for participant information (SSN and participant type match) on the CP. Y - The person was submitted as a CP on both cases, based on SSN match. N - The person was not submitted as a CP on both cases, based on SSN match. |
| NP Match Indicator | 481 | 1 | A/N | Match indicator for participant information (SSN and participant type match) on the NCP. Y - The person was submitted as an NCP on both cases, based on SSN match. N - The person was not submitted as an NCP on both cases, based on SSN match. |
| Other State I/R Indicator | 482 | 1 | A/N | This field will contain the Initiating/Responding indicator if submitted by the other State. |

| Field Name | Pos. | Len. | A/N | Comments |
|---|---------|------|-----|--|
| Submitting State SSN Verification Indicator | 483 | 1 | A/N | This field indicates whether or not the SSN submitted in position 24-32 is verified (if a Reason Code '08' is assigned). Y - The SSN submitted is verified. N - The SSN submitted is not verified. |
| Other State SSN Verification Indicator | 484 | 1 | A/N | This field indicates whether the Other State SSN provided in position 289-297 is verified (if a Reason Code '07' or '08' is assigned). Y - The SSN provided by the Other State is verified. N - The SSN provided by the Other State is not verified. |
| Multiple Cases Indicator | 485 | 1 | A/N | This field indicates whether your case matched to multiple cases in the other State. Y - The case matched to multiple cases in the other State N - The case did not match to multiple cases in the other State |
| Filler | 486-550 | 66 | A/N | Future use |

APPENDIX D

OPTION #1 RESPONSE FILE RECORD LAYOUT

Below is the response file record layout that all States will receive when a focus State chooses the Unidentified Interstate Cases Project, Option #1. The differences from the regular ICR response file layout are bolded.

| Field Name | Pos. | Len. | A/N | Comments |
|---------------------------------|-------------|-------------|------------|--|
| Case ID | 1-15 | 15 | A/N | Your State's Case ID |
| Initiating/Responding Indicator | 16 | 1 | A/N | Your State's I/R Indicator I - Initiating R - Responding |
| FIPS Code | 17-21 | 5 | A/N | Your State and county FIPS Code |
| FIPS Code Positions 6-7 | 22-23 | 2 | A/N | Positions 6-7 of the FIPS Code for States that use them (if they are not used, the positions will be blank) |
| SSN | 24-32 | 9 | A/N | Your State's SSN |
| Member ID | 33-47 | 15 | A/N | Your State's Member ID |
| Participant Type | 48-49 | 2 | A/N | Your State's Participant Type: NP - Noncustodial Parent CP - Custodial Party PF - Putative Father CH - Child |
| Case Status | 50 | 1 | A/N | Your State's Interstate Case Status: O - Open C - Closed |
| Last Name | 51-80 | 30 | A/N | Your State's participant Last Name |
| First Name | 81-96 | 16 | A/N | Your State's participant First Name |
| Middle Name | 97-112 | 16 | A/N | Your State's participant Middle Name |
| Date of Birth | 113-120 | 8 | A/N | Your State's participant Date of Birth in CCYYMMDD format |
| Sex | 121 | 1 | A/N | Your State's participant Sex Code: M - Male F - Female O - Other |
| Other State Case ID | 122-136 | 15 | A/N | The Other State Case ID stored on your State's system |
| Filler | 137 | 1 | A/N | Future use |
| Other State FIPS Code | 138-144 | 7 | A/N | The FIPS Code for the other State's case stored on your State's system |

| Field Name | Pos. | Len. | A/N | Comments |
|------------------------------|---------|------|-----|--|
| Filler | 145-159 | 15 | A/N | Future use |
| Filler | 160-161 | 2 | A/N | Future use |
| Contact Name | 162-201 | 40 | A/N | The contact name or worker ID for the person assigned to this case in your State |
| Contact Phone Number | 202-211 | 10 | A/N | The phone number for the contact or caseworker assigned to this case in your State |
| Contact E-Mail | 212-241 | 30 | A/N | The e-mail address for the contact or caseworker assigned to this case in your State |
| FCR-Supplied Indicator | 242 | 1 | A/N | Indicates that the information is coming from the other State's case on the FCR. This indicator is provided only on a match conducted through Option #1 of the Unidentified Interstate Cases Project, as described in Section 15.0 of this User Guide. Y - Case identified via an FCR extract |
| 1 st Reason Code | 243-244 | 2 | A/N | First code for explanation of the match results |
| 2 nd Reason Code | 245-246 | 2 | A/N | Second code for explanation of the match results |
| 3 rd Reason Code | 247-248 | 2 | A/N | Third code for explanation of the match results |
| 4 th Reason Code | 249-250 | 2 | A/N | Fourth code for explanation of the match results |
| 5 th Reason Code | 251-252 | 2 | A/N | Fifth code for explanation of the match results |
| 6 th Reason Code | 253-254 | 2 | A/N | Sixth code for explanation of the match results |
| 7 th Reason Code | 255-256 | 2 | A/N | Seventh code for explanation of the match results |
| 8 th Reason Code | 257-258 | 2 | A/N | Eighth code for explanation of the match results. |
| 9 th Reason Code | 259-260 | 2 | A/N | Ninth code for explanation of the match results. |
| 10 th Reason Code | 261-262 | 2 | A/N | Future use. This field will not be used for the initial case-based ICR |
| 11 th Reason Code | 263-264 | 2 | A/N | Future use. This field will not be used for the initial case-based ICR |
| 12 th Reason Code | 265-266 | 2 | A/N | Future use. This field will not be used for the initial case-based ICR |
| Case ID (from Other State) | 267-281 | 15 | A/N | This field contains the case ID for the other State from the FCR |

| Field Name | Pos. | Len. | A/N | Comments |
|-------------------------------------|---------|------|-----|--|
| FIPS Code (from Other State) | 282-288 | 7 | A/N | This field contains the FIPS Code for the other State from the FCR |
| SSN (from Other State) | 289-297 | 9 | A/N | This field contains the SSN for this participant in the other State from the FCR |
| Member ID (from Other State) | 298-312 | 15 | A/N | This field contains the Member ID for the participant in the other State from the FCR |
| Participant Type (from Other State) | 313-314 | 2 | A/N | This field contains the Participant Type in the other State from the FCR. |
| Last Name (from Other State) | 315-344 | 30 | A/N | This field contains the Last Name for this participant in the other State from the FCR. |
| First Name (from Other State) | 345-360 | 16 | A/N | This field contains the First Name for this participant in the other State from the FCR. |
| Middle Name (from Other State) | 361-376 | 16 | A/N | This field contains the Middle Name for this participant in the other State from the FCR. |
| Date of Birth (from Other State) | 377-384 | 8 | A/N | This field contains the Date of Birth for this participant in the other State from the FCR. |
| Sex (from Other State) | 385 | 1 | A/N | This field contains the Sex for this participant in the other State from the FCR. |
| Number of Adults From Your State | 386-387 | 2 | N | This field contains the number of participants in your State's case that have a participant type of NP, CP or PF |
| Number of Adults From Other State | 388-389 | 2 | N | This field contains the number of participants in the other State's case from the FCR that have a participant type of NP, CP or PF |
| Number of Adults Matched | 390-391 | 2 | N | This field contains the number of participants that matched and have a participant type of NP, CP or PF |
| Number of Children from Your State | 392-393 | 2 | N | This field contains the number of participants in your State's case that have a participant type of CH |
| Number of Children from Other State | 394-395 | 2 | N | This field contains the number of participants in the other State's case on the FCR that have a participant type of CH |
| Number of Children Matched | 396-397 | 2 | N | This field contains the number of participants that matched and have a participant type of CH |

| Field Name | Pos. | Len. | A/N | Comments |
|---|---------|------|-----|--|
| Other State Contact Name | 398-437 | 40 | A/N | This field is not used |
| Other State Contact Phone Number | 438-447 | 10 | A/N | This field is not used. |
| Other State Contact E-Mail | 448-477 | 30 | A/N | This field is not used. |
| Other State FIPS Code Verification Indicator | 478 | 1 | A/N | Verification indicator for the five-byte Other State FIPS Code that your State submitted Y – Your Other State FIPS Code is valid N – Your Other State FIPS Code is invalid |
| FIPS Code Verification Indicator (from Other State) | 479 | 1 | A/N | Verification indicator for the five-byte FIPS code from the FCR for the other State Y - The FIPS Code from the other State is valid N - The FIPS Code from the other State is invalid |
| CP Match Indicator | 480 | 1 | A/N | Match indicator for participant information (SSN and participant type match) on the CP. Y - The person was submitted as a CP on both cases, based on SSN match. N - The person was not submitted as a CP on both cases, based on SSN match. |
| NP Match Indicator | 481 | 1 | A/N | Match indicator for participant information (SSN and participant type match) on the NCP. Y - The person was submitted as an NCP on both cases, based on SSN match. N - The person was not submitted as an NCP on both cases, based on SSN match. |
| Other State I/R Indicator | 482 | 1 | A/N | This field will be blank. |
| Submitting State SSN Verification Indicator | 483 | 1 | A/N | This field indicates whether or not the SSN submitted in position 24-32 is verified (if a Reason Code '08' is assigned). Y - The SSN submitted is verified. N - The SSN submitted is not verified. |

| Field Name | Pos. | Len. | A/N | Comments |
|--|---------|------|-----|--|
| Other State SSN Verification Indicator | 484 | 1 | A/N | This field indicates whether the Other State SSN provided in position 289-297 is verified (if a Reason Code '07' or '08' is assigned). Y - The SSN provided by the Other State is verified. N - The SSN provided by the Other State is not verified. |
| Multiple Cases Indicator | 485 | 1 | A/N | This field indicates whether your case matched to multiple cases in the other State. Y - The case matched to multiple cases in the other State N - The case did not match to multiple cases in the other State |
| Filler | 486-550 | 66 | A/N | Future use |

APPENDIX E

ICR RECONCILIATION REPORT FORMAT

OCSE will provide all participating States with a print program to generate the ICR Interstate Reconciliation Report (IRR). This report formats the reconciliation results, producing a report file in the format displayed on the following pages. Since the ICR program was begun, several enhancements have been added, and the first instance of each is displayed in the examples:

- Reason Codes 07, 08, and 09
- An **SSN Verification Indicator**
- A **Multiple Cases Indicator**. To help identify situations where a single case in one State matches to multiple cases in the other State, a Multiple Cases Indicator has been added to the ICR response file record layout. This indicator is located at position 485 in an area that had formerly been filler on the response file. If the Multiple Cases Indicator is set to 'Y', your case matched to more than one case in the other State. If the indicator is set to 'N', your case matched to a single case in the other State.
- The **FCR-Supplied Indicator** remains from the beginning of the program, but it indicates a different process – formerly referring to data derived through the FCR because the State did not submit an ICR extract file and OCSE used its FCR data for matching, and at present referring to data derived through the FCR from a State that chose to participate in Option #1 of the Unidentified Interstate Cases project.

Please contact Ken Nesbit (Kenny.Nesbit@ngc.com) at (571) 313-2737 with any questions concerning the ICR Reconciliation Report.

| INTERSTATE RECONCILIATION REPORT | | | | | | | | | |
|---|--|-------------|-------------|---------------------|---------------------|---------|--------------|----------------|---|
| FIPS CODE: 42/043 | | | | | | | | | |
| OTHER STATE: 02 - ALASKA | | | | | | | | | |
| | CASE-ID | I/R | CASE STATUS | OTHER STATE CASE-ID | OTHER ST. FIPS CODE | VLD IND | FCR SUPPLIED | MULTIPLE CASES | |
| YOUR STATE: | 1150689407 | R | C | 106639 | 02001 | Y | N | N | |
| CORRECT: | | | | 106639 | 02003 | Y | | | |
| REASON CODE: | 03 POSITIONS 3-5 OF THE FIPS CODE DID NOT MATCH | | | | | | | | |
| | 05 YOUR CLOSED CASE IS OPEN IN THE OTHER STATE | | | | | | | | |
| | 08 SSNS PROVIDED DO NOT MATCH, CHECK VERIFICATION IND. | | | | | | | | |
| PARTICIPANTS: | SSN | VER IND | MEMBER-ID | PART TYPE | NAME | | | | |
| | OTHER SSN | | | | | | | | |
| | 584001196 | | 7801232221 | CH | HERNANDO JASON | | | | |
| 2 - | 221002429 | N | 7874568220 | CH | HERNANDO CHRIS | | | | |
| | 221003429 | Y | | | | | | | |
| | 112004977 | | 7877893229 | CP | HERNANDO BAMBI | | | | |
| | 053005005 | | 7871232229 | NP | HERNANDO CHRIS | | | | G |
| 2-PARTICIPANT WAS SUBMITTED WITHOUT AN SSN OR A DIFFERENT SSN, THE OTHER STATES SSN IS SUPPLIED | | | | | | | | | |
| | SUBMITTING STATE | OTHER STATE | MATCHED | CP MATCH IND | NCP MATCH IND | | | | |
| ADULTS: | 2 | 2 | 2 | Y | Y | | | | |
| CHILDREN: | 2 | 2 | 2 | | | | | | |
| CONTACT: | LIVIA LOWAN | | | | | | | | |
| PHONE: | 302-123-6024 | | | | | | | | |
| E-MAIL: | LIVIA.LOWAN@STATE.AK.US | | | | | | | | |

| INTERSTATE RECONCILIATION REPORT | | | | | | | | |
|---|---|-------------|-------------|---------------------|---------------------|---------|--------------|----------------|
| FIPS CODE: 42/043 OTHER STATE: 13 - GEORGIA | | | | | | | | |
| | CASE-ID | I/R | CASE STATUS | OTHER STATE CASE-ID | OTHER ST. FIPS CODE | VLD IND | FCR SUPPLIED | MULTIPLE CASES |
| YOUR STATE: | 1198713660 | R | C | 16002224 | 13031 | Y | | |
| CORRECT: | | | | 16002224 | 13000 | Y | N | N |
| REASON CODE: | 03 POSITIONS 3-5 OF THE FIPS CODE DID NOT MATCH 05 YOUR CLOSED CASE IS OPEN IN THE OTHER STATE 07 NO SSN SUBMITTED, OTHER STATE SSN PROVIDED | | | | | | | |
| PARTICIPANTS: | SSN | VER | MEMBER-ID | PART TYPE | NAME | | | |
| | OTHER SSN | IND | | | | | | |
| | 266008222 | | 7890528222 | NP | ALEXANDER ANDY | E | | |
| | 256002333 | | 7890527222 | CP | JOINER PERNIA | | | |
| 2 - | 256003444 | Y | 7890528222 | CH | JOINER BRI TTNI | | | |
| 2-PARTICIPANT WAS SUBMITTED WITHOUT AN SSN OR A DIFFERENT SSN, THE OTHER STATES SSN IS SUPPLIED | | | | | | | | |
| | SUBMITTING STATE | OTHER STATE | MATCHED | CP MATCH IND | NCP MATCH IND | | | |
| ADULTS: | 2 | 2 | 2 | Y | Y | | | |
| CHILDREN: | 1 | 2 | 1 | | | | | |
| CONTACT: | GAROOBY KENNY | | | | | | | |
| PHONE: | 912-123-8227 | | | | | | | |
| E-MAIL: | STATEBOROCSE@DHR. STATE. GA. US | | | | | | | |
| FIPS CODE: 42/043 OTHER STATE: 09 - CONNECTICUT | | | | | | | | |
| | CASE-ID | I/R | CASE STATUS | OTHER STATE CASE-ID | OTHER ST. FIPS CODE | VLD IND | FCR SUPPLIED | MULTIPLE CASES |
| YOUR STATE: | 1997530379 | R | C | 499922-1 | 09009 | Y | | |
| CORRECT: | | | | 499922-1 | 09009 | Y | N | N |
| REASON CODE: | 05 YOUR CLOSED CASE IS OPEN IN THE OTHER STATE 06 THE CASE WAS FOUND, BUT A PARTICIPANT WAS NOT 07 NO SSN SUBMITTED, OTHER STATE SSN PROVIDED | | | | | | | |
| PARTICIPANTS: | SSN | VER | MEMBER-ID | PART TYPE | NAME | | | |
| | OTHER SSN | IND | | | | | | |
| | 108006666 | | 7607747474 | CH | BRAGG ALEC | | | |
| | 078007766 | | 7954622399 | NP | BRAGG ARNOLD | | | |
| 1 - | | | 7845534233 | CP | BRAGG PATTI | | | |
| 2 - | 098700252 | Y | 7954621501 | CH | BRAGG ANDI | | | |
| 1-PARTICIPANT(S) FLAGGED WERE NOT FOUND IN THE THE OTHER STATES CASE | | | | | | | | |
| 2-PARTICIPANT WAS SUBMITTED WITHOUT AN SSN OR A DIFFERENT SSN, THE OTHER STATES SSN IS SUPPLIED | | | | | | | | |
| | SUBMITTING STATE | OTHER STATE | MATCHED | CP MATCH IND | NCP MATCH IND | | | |
| ADULTS: | 2 | 2 | 1 | N | Y | | | |
| CHILDREN: | 2 | 3 | 2 | | | | | |
| CONTACT: | LOURDES A. GARZA | | | | | | | |
| PHONE: | 203-123-8265 | | | | | | | |

1

INTERSTATE RECONCILIATION REPORT

FIPS CODE: 06/067
OTHER STATE: 08 - COLORADO

| | CASE-ID | I/R | CASE STATUS | OTHER STATE CASE-ID | OTHER ST. FIPS CODE | VLD IND | FCR SUPPLIED | MULTIPLE CASES |
|-------------|---------------|-----|-------------|---------------------|---------------------|---------|--------------|----------------|
| YOUR STATE: | 0670661156-01 | R | 0 | | 08001 | Y | Y | N |
| CORRECT: | | | | 01051262930B | 08001 | Y | | |

REASON CODE: **09 - CASE NOT SUBMITTED, FCR CASE PROVIDED TO OTHER STATE**

PARTICIPANTS:

| SSN | VER IND | MEMBER-ID | PART TYPE | NAME | | |
|-----------|---------|-----------|-----------|---------|----------|-------|
| 559003368 | | | NP | VILLCOY | RONALD | GARY |
| 530000071 | | | CH | VILLCOY | GENE | WAYNE |
| 524008739 | | | CP | WOMBATH | CRI STAL | JANE |

| | SUBMITTING STATE | OTHER STATE | MATCHED | CP MATCH IND | NCP MATCH IND |
|-----------|------------------|-------------|---------|--------------|---------------|
| ADULTS: | 2 | 2 | 2 | Y | Y |
| CHILDREN: | 1 | 2 | 1 | | |

CONTACT: MARI LOU MONCRIEF
PHONE: 916-800-7083

INTERSTATE RECONCILIATION REPORT

FIPS CODE: 08/001
OTHER STATE: 06 - CALIFORNIA

| | CASE-ID | I/R | CASE STATUS | OTHER STATE CASE-ID | OTHER ST. FIPS CODE | VLD IND | FCR SUPPLIED | MULTIPLE CASES |
|-------------|--------------|-----|-------------|---------------------|---------------------|---------|--------------|----------------|
| YOUR STATE: | 01046233902C | I | C | 91 5973 | 48000 | Y | Y | Y |
| CORRECT: | | | | UR00161661 | 48141 | Y | | |

REASON CODE:
02 CASE-ID DID NOT MATCH, NEW CASE-ID PROVIDED
03 POSITIONS 3-5 OF THE FIPS CODE DID NOT MATCH
05 YOUR CLOSED CASE IS OPEN IN THE OTHER STATE

PARTICIPANTS:

| SSN | VER IND | MEMBER-ID | PART TYPE | NAME | | |
|-----------|---------|-----------|-----------|----------|-------|--|
| 523004300 | | AL100731 | CH | CORTEZ | STACY | |
| 456004600 | | AN400741 | NP | CORTEZ | JOSE | |
| 442002134 | | AH303349 | CP | CASAREAL | MARIA | |

| | SUBMITTING STATE | OTHER STATE | MATCHED | CP MATCH IND | NCP MATCH IND |
|-----------|------------------|-------------|---------|--------------|---------------|
| ADULTS: | 2 | 2 | 2 | Y | Y |
| CHILDREN: | 1 | 1 | 1 | | |

| | CASE-ID | I/R | CASE STATUS | OTHER STATE CASE-ID | OTHER ST. FIPS CODE | VLD IND | FCR SUPPLIED | MULTIPLE CASES |
|-------------|--------------|-----|-------------|---------------------|---------------------|---------|--------------|----------------|
| YOUR STATE: | 01046233902C | I | C | 91 5973 | 48000 | Y | Y | Y |
| CORRECT: | | | | UR00161662 | 48141 | Y | | |

REASON CODE:
02 CASE-ID DID NOT MATCH, NEW CASE-ID PROVIDED
03 POSITIONS 3-5 OF THE FIPS CODE DID NOT MATCH
05 YOUR CLOSED CASE IS OPEN IN THE OTHER STATE

PARTICIPANTS:

| SSN | VER IND | MEMBER-ID | PART TYPE | NAME | | |
|-----------|---------|-----------|-----------|----------|-------|--|
| 523004300 | | AL100731 | CH | CORTEZ | STACY | |
| 456004600 | | AN400741 | CP | CORTEZ | JOSE | |
| 440082100 | | AH303349 | NP | CASAREAL | MARIA | |

| | SUBMITTING STATE | OTHER STATE | MATCHED | CP MATCH IND | NCP MATCH IND |
|-----------|------------------|-------------|---------|--------------|---------------|
| ADULTS: | 2 | 2 | 2 | N | N |
| CHILDREN: | 1 | 1 | 1 | | |