- 1 arrows in Moheb's slides, ideally you start off with
- 2 defining the desired product before you make
- 3 something and then figure out what attributes
- 4 matter. And so really for, and for biotech
- 5 products, the APIs have been a big focus, because
- 6 most of our formulations are not complicated,
- 7 although there's certainly going to be complicated
- 8 biotech formulations, but drug substance is complex.
- 9 So rationale protein engineering early
- 10 on may avoid sites of deamidation that you would
- 11 then need to worry about if it's not important for
- 12 the activity of the product. And one can customize
- 13 quality in early design.
- 14 Attributes that are desirable are built
- 15 into the product and avoiding attributes that are
- 16 negative. And again, to do this, structure function
- 17 is critical. Not just of one's product in the
- 18 matrix I showed before, but throughout the
- 19 understanding of these types of protein products.
- 20 So protein engineering, to take one
- 21 example, actually of Calcitonin, which is an ONDOA
- 22 product, this product has a tendency to aggregate

- 1 and, in fact, there are a lot of strategies one can
- 2 try experimentally.
- 3 One can block free sulfhydryl groups to
- 4 reduce aggregation. One can do sequence predictions
- 5 about what amino acids tend to lead to the
- 6 aggregation and, you know, avoid Glycine repeats or
- 7 prolenes maintain a certain net charge, alternate
- 8 residues based on polarity and avoid hydrophobic
- 9 clusters that may lead to aggregation.
- 10 And so there are a lot of strategies
- 11 that can be tried and again, human Calcitonin was an
- 12 example of where some of these things were looked
- 13 at.
- Of course for all of these things, if
- 15 you're dealing with an endogenous product, you have
- 16 to think about immunogenicity, which is a difficult
- 17 problem for many of these products, but nonetheless
- 18 there's a lot of room for I think considering this
- 19 engineering.
- Now we talk about quality by design, but
- 21 really as everything is interrelated, it's really
- 22 quality, safety and efficacy by design and I think
- 0302
  - 1 if you look at drug development in terms of safety

- 2 and efficacy by design, there's a great interest in
- 3 taking certain structures and improving them and
- 4 improving their function or properties, either
- 5 changing bioavailability, reducing immunogenicity
- 6 and rather than use first principle, which we don't
- 7 know for many of these things, we're using
- 8 evolution, we're selecting.
- 9 And for certainly antibodies, there are
- 10 a lot of strategies, like expressing huge number of
- 11 possible variants in a phage library and selecting
- 12 for those attributes you want, higher binding,
- 13 slower off time, whatever attribute you want, you
- 14 can pick. It's a very powerful tool and it's
- 15 certainly being used and talked about.
- But those same principles can be looked
- 17 at for quality and I think a company that's
- 18 screening thousands of variants for potential -- you
- 19 know, functional properties can also screen how
- 20 easily do they aggregate in heat, how easily do they
- 21 formulate in common buffers, how sensitive are they
- 22 to pH.

- 1 And so quality by design using some of
- 2 these selective processes, if you're already playing

- 3 with the sequence, think about quality, too, when
- 4 you're playing with the sequence.
- 5 I want to talk a little bit about
- 6 process, we talked about product attributes and
- 7 product design. So we have the iceberg with the
- 8 different levels of characterization and the unknown
- 9 at the bottom and we talk about this linking of
- 10 attributes to process.
- 11 So the fact is for these products, that
- 12 happens all the time already, because ever since
- 13 we've had comparability protocols for these
- 14 products, what we've done is we've assumed that the
- 15 process covers the characterization and that once we
- 16 characterize -- once we change the product, we can
- 17 define it by characterizing it and we don't
- 18 re-characterize the product every time we make it.
- 19 We just use lot release tests because we assume the
- 20 process is defining those attributes that we
- 21 characterized.
- 22 And so this is a concept that's used a 0304
  - 1 lot, I think it just needs to be more formalized.
  - 2 So, how do you translate critical
  - 3 quality attributes to a design space or a

- 4 manufacturing design space. So again, you have
- 5 attributes that you define in a range. You can then
- 6 aim for those attributes with criteria. If
- 7 Glycosilic matters and fermentation conditions alter
- 8 it, you can define what conditions would lead to the
- 9 particular patterns you want.
- 10 However, again, just like with
- 11 characterizing your product, it's not just defining
- 12 what you have and how to maintain it, but really
- 13 thinking about the whole thing from beginning to
- 14 end. What's the desired process. Again, is there
- 15 opportunity for designing your product to make the
- 16 product easier and how that impacts the process.
- 17 How to pick your unit operations, really efficiently
- 18 choose operations that allow you to get the desired
- 19 product attributes and minimize impurities. If you
- 20 can choose an operation if by what's known about it
- 21 it's naturally more robust, choose that operation.
- Order the unit operations in the best

- 1 way to maximize efficiency, less buffer exchanges,
- 2 consider the impurity load and how each step may or
- 3 may not impact that.
- 4 And finally, process control, the impact

- 5 of variable inputs. Again, how do set parameters
- 6 based on maximizing a lot of variables and for
- 7 critical steps, ideally real-time sensors and based
- 8 on a solid knowledge base, although, again, PAT may
- 9 not be relevant to every product process step in
- 10 biotech.
- 11 And then we have examples with current
- 12 products or products that have been seen by the
- 13 agency have very problematic process designs that
- 14 don't need sensors or high technology to fix. We've
- 15 had examples about processes of variability.
- 16 Somebody decides they need viral clearance or the
- 17 agency feels and they had a heat treatment step, but
- 18 where do they do, they add it after the
- 19 manufacturing unit operation that removes
- 20 aggregation, right.
- 21 Processes performed at room temperature
- 22 where there's a clear understanding that that may
- 0306
  - 1 impact quality of the product. Generating a new
  - 2 working cell bank in which one doesn't need to
  - 3 re-clone. Companies re-clone. A lot of questions
  - 4 then about the variability of the product generated
  - 5 by that. And then choosing processes that are

- 6 different control, like roller bottle versus
- 7 fermenters.
- 8 So, I think a lot of these issues are
- 9 things which sophisticated sponsors, unlikely to do,
- 10 but still exist in the world of biotech
- 11 manufacturing.
- 12 And again, formulation from any of our
- 13 products which are parenteral and liquid formulation
- 14 may be less of an issue, but interaction both with
- 15 container closure and with excipients has been
- 16 problematic for many of our products, including the
- 17 famous example of EPO and pure red cell aplasia.
- 18 So, I talked a little bit about how our
- 19 products may be impacted by quality by design and
- 20 how they are reviewed currently. How is OBP going
- 21 to implement QBD? How are we going to try and
- 22 further the ideas for these biotech products?

- So, I think we benefit greatly. It's
- 2 always good to follow in the footsteps of others.
- 3 For OPS knowledge gained, I think the pilot program
- 4 will teach us a lot. I think hearing what OGD is
- 5 doing is very useful for us. We're learning from
- 6 what's already been done and again, not all of it is

- 7 applicable to our progresses, but a lot of it is.
- 8 We're participating now in some of the
- 9 agency CRADAs to understand what industry is doing
- 10 and biotech is playing a role in that.
- 11 Our structure has some advantages in the
- 12 sense that we have research reviewers so we have
- 13 people who do review and are involved in research,
- 14 both of manufacturing processes and of the biology
- 15 that would relate to biological characterization.
- 16 Currently we're certainly encouraging
- industry to engineer proteins for quality as well as
- 18 safety and efficacy when we meet with them and we're
- 19 certainly encouraging industry to pick the best
- 20 process early on when we can do that.
- 21 But I think for more formal programs, we
- 22 need to focus on small steps and that's areas where 0308
  - 1 biotech has unique needs.
  - 2 So, some of the small steps we're
  - 3 considering are for product testing and this is,
  - 4 again, this goes across all the different offices
  - 5 that regulate products here, is to try and avoid
  - 6 specifications that don't impact on safety and
  - 7 efficacy. And if those measures are important for

- 8 process consistency, to try to move them into a
- 9 limit or some other strategy for controlling the
- 10 process without having it be, you know, a pass or
- 11 fail specification.
- 12 And again, this concept has been
- 13 discussed, I think it's our task internally to make
- 14 reviewers understand this and be more comfortable in
- 15 avoiding unnecessary specifications.
- 16 Process changes. So obviously a
- 17 strategy to assess the risk of process changes is
- 18 critical and I think, you know, we talk about
- 19 supplement reduction, clearly that's a goal that
- 20 everybody wants, the agency and industry.
- 21 And so one way we've looked at this is
- internally we've created some databases of the type 0309
  - 1 of supplements that we review and categorized them
  - 2 by class. And the idea is to pick those classes
  - 3 which are highest in number and in the view of our
  - 4 management, the ones least clearly impacting
  - 5 quality, you know, and there are a number of
  - 6 examples of those.
  - 7 And to pick those, the ones that are
  - 8 most in number and the ones where we think the least

- 9 safety issues exist, just from an overall, this
- 10 initial assessment before quality risk assessment,
- and then to target those, and to target them by
- 12 having FDA industry forums to create risk map for a
- 13 single class of change.
- 14 So to explain this for biotech products,
- 15 there's been a CMC forum which is held, you know, a
- 16 few times a year which picks a particular issue,
- 17 like product impurities and it brings together the
- 18 agency and open representation from industry and
- 19 they produce a white paper at the end of this.
- 20 It's not guidance, but FDA is involved
- 21 in it and it's very useful to rapidly produce some
- 22 idea of how to approach a problem. Again, no

- 1 guarantee of regulatory acceptance, but of great
- 2 utility both to the agency and to industry.
- 3 And so rather than focus on a particular
- 4 issue like potency assays or focus on impurities,
- 5 the idea would be to take a class of change and the
- 6 goal would be to produce a white paper of what the
- 7 feeling is about the risk of this change. And it
- 8 wouldn't be this change is high risk or low risk,
- 9 because I think that kind of automatic

- 10 classification is very dangerous, certainly for our
- 11 products there are enough anecdotal stories about
- 12 minor changes with major effect that we don't want
- 13 to be so cavalier.
- On the other hand, if you look at any
- 15 change and you think is there some map you could
- 16 generate where we're very comfortable with this
- 17 level of complexity product, this type of change,
- 18 this level of experience with the sponsor, you know,
- 19 this related similar prior knowledge that it isn't
- 20 so important and so to have some more granularity on
- 21 process changes, and again, these would not define
- 22 CB 30 versus PAS versus annual report. But they

- 1 would define risk class.
- 2 And then once that risk class is defined
- 3 in some way, it's at the, you know, it's an option
- 4 of the agency and industry to think, you know, or to
- 5 try to make the case that that really relates to
- 6 risk class with regulatory teeth.
- 7 Again, I mention publication of these as
- 8 white papers.
- 9 A third category is to create a pilot,
- 10 again, not all of QBD, because I think, you know,

- 11 Moheb's group has done an excellent job of dealing
- 12 with experience in the whole QBD application, but in
- 13 areas that are unique biotech issues.
- 14 And I think complex API, although it
- 15 applies to molecules like Heparins and other
- 16 molecules that are not biotech, nonetheless, it's a
- 17 very consistent problem for biotech industry.
- 18 So the idea of this pilot would be it
- 19 would probably not be BLAs or NDAs, because I think
- 20 there are not that many of them, but I think
- 21 supplements would be a great target for this type of
- 22 pilot and potentially supplements which involve

- 1 comparability protocols.
- 2 And the idea would be that manufacturers
- 3 would generate and submit data on characterization
- 4 of structural attributes and look for supportive
- 5 data for function, whether in prior knowledge,
- 6 whether in related product and whether their own
- 7 biological assays and their own risk assessment and
- 8 then they would create a product attribute range or
- 9 space or whatever you would want to call it and the
- 10 pay-off for that would be that there might be not
- only an expanded to range to win on a comparability

- 12 comparison, but maybe to make the case if you cover
- important product attribute impact, that the nature
- 14 of the comparability protocol could be broader.
- 15 Certainly one issue that's always been
- 16 back and forth between the agency and industry for
- 17 the biotech world is, you know, industry wants a
- 18 comparability protocol. We look at these things and
- 19 we can make any change we want and as long as we
- 20 pass them, that's okay.
- 21 Certainly that hasn't been something
- readily accepted by the reviewers and by OBP, but I
- 0313
  - 1 think if an exchange for really understanding
  - 2 product attributes, it may not be a comparability
  - 3 protocol that is any change, but maybe entertaining
  - 4 a broader class of changes than currently we accept
  - 5 in a comparability protocol.
  - 6 And again, this needs to be considered,
  - 7 discussed and worked out, but these are some ideas
  - 8 about how biotech products could implement programs
  - 9 to encourage QBD in areas which are unique, or at
- 10 least more associated with their products.
- 11 And platform strategies, and I think
- 12 monoclonal antibodies are clearly an interesting

- 13 area for this. In industry, many innovators have
- 14 come to us and said, you know, this is the Nth
- 15 antibody with the same constant region and the same,
- 16 you know, primary structure except for certain
- 17 binding areas, you know, how much can we
- 18 extrapolate. How much do we need to do over again
- 19 for these.
- So, again, is this a way of really
- 21 efficiently using prior knowledge. Now it turns out
- there's a long history of a regulatory path that

- 1 encourages that.
- Now monoclonal antibodies points to
- 3 consider, which is from 1997 and I think it was even
- 4 in a '94 version, there's a concept of modular and
- 5 generic validation, typically associated with viral
- 6 clearance, but that doesn't mean it couldn't be
- 7 applied to other impurity clearance. And basically
- 8 that says if the same sponsor is making different
- 9 antibodies with the same backbone using exactly the
- 10 same processes, they may not need to repeat viral
- 11 clearance studies.
- 12 And although that's used and some
- 13 companies do that, it's really underutilized, and

- 14 that concept broadened could be a great advantage
- 15 considering at least the massive number of
- 16 antibodies that are under development. It would be
- 17 a big savings if we can facilitate that.
- And again, many sponsors have come and
- 19 discussed that with us. At conferences it's been
- 20 discussed a lot and the question is whether or not
- 21 one should have more dedicated venues, like a
- 22 specific conference to engage this idea of platform

- 1 approaches to antibodies and what can or can't be
- 2 extrapolated, what the burden of data would be to do
- 3 those extrapolations.
- 4 Skip that question.
- 5 The last thing that I want to bring up,
- 6 it's always touchy to talk about definitions, but I
- 7 think definitions are pretty, are pretty critical
- 8 because miscommunication over definitions can lead
- 9 to lack of understanding and failures, as we've
- 10 heard about before.
- 11 So, lifecycle is a critical issue for
- 12 product development. I think all of us agree,
- 13 anybody can tell me if you don't, that understanding
- 14 product development over lifecycle and regulating it

- 15 is a critical issue.
- 16 But we have many different terms for
- 17 when we do in lifecycle. And it is clear that all
- 18 aspects of the agency, now that we think like that,
- 19 need to deal with many different parts of the same
- 20 circle. And this is sort of a variant of the circle
- 21 Moheb showed you.
- 22 And so the box of all of this, this

- 1 lifecycle is quality by design, but it can also be
- 2 called process validation, it's also called quality
- 3 systems. It's called by many names and it's the
- 4 same circle and I think there are very different
- 5 tilts and angles in terms of what those things mean,
- 6 but it's striking to me that in a recent CMC
- 7 conference on process validation, one of those CMC
- 8 forums to generate a white paper which hasn't come
- 9 out yet.
- In the biotech world, there was
- 11 tremendous confusion about what definitions apply to
- 12 this. Is process validation still a small part of
- 13 it, is it now everything, how does that relate to
- 14 quality by design. And it may mean that we, every
- 15 part -- every different component of the agency and

- 16 every different part of industry needs to think
- 17 about the whole circle, but we need to have some
- 18 clarity.
- So, for instance, you know, an example
- 20 that was discussed at lunch was, you know, if a
- 21 company is doing technology transfer and they
- 22 consider some information development and someone

- 1 else considers it process validation, did the
- 2 information go to the right place.
- 3 So, I think it's important to ultimately
- 4 think about what those things mean and I think one
- 5 thing that clearly needs to be shared is what is
- 6 extracted from all these parts of the lifecycle,
- 7 which is the knowledge base, which is both product
- 8 specific and product specific and the quality risks
- 9 associated with that knowledge base. And that inner
- 10 circle certainly needs to be looked at by everybody.
- 11 But how you define these various terms I
- 12 think is important to clarify communication.
- 13 Okay. And I'd like to thank you many
- 14 people who, you know, you know, helped me with this
- or provided information or figures for this and
- 16 thank you for your attention.

- DR. GLOFF: Thank you.
- 18 Any questions for clarification?
- No, okay.
- 20 Let's take a break and be back here at
- 21 5 minutes after 4 to let our industry
- 22 representatives give their presentations.

- 1 (Short recess taken)
- DR. GLOFF: Our next speaker is
- 3 representing the Generic Pharmaceutical Association,
- 4 GPhA, it's Mr. Gordon Johnston and he will be
- 5 speaking on the GPhA perspectives.
- 6 MR. JOHNSTON: Okay, well thank you,
- 7 it's I guess kind of coming off a 7th inning stretch
- 8 here going into the late innings, so I appreciate
- 9 people staying around and certainly appreciate the
- 10 opportunity to address the advisory committee today.
- 11 Maybe one of the advantages of being
- 12 late in the day is that most of the issues you've
- 13 talked about have already been fully discussed
- 14 through the day, but that's okay.
- I think what I want to try to do is go
- 16 over some of the highlights of the generic
- 17 industry's experience with quality by design and the

- 18 question-based review.
- Just quickly, I'll spend a couple
- 20 minutes as an overview, speak a bit on quality by
- 21 design. We heard a lot from Moheb and others,
- their, also in-depth review, the question-based

- 1 review from Lawrence and kind of touch on the
- 2 experience of the generic industry to date.
- 3 Probably as I get started I should say
- 4 that this is really a snapshot. You saw the
- 5 chronology that was put up by Lawrence starting back
- 6 in 2005 and there's been somewhere between 30 and
- 7 40 ANDAs submitted to date using the question-based
- 8 review template. So we don't have a lot of data, a
- 9 lot of information to draw on.
- 10 So again, I just want to emphasize,
- 11 these are observations. At a later time we'll
- 12 probably have a better opportunity to discuss this
- more in-depth as to the outcomes.
- So, combining the question-based review
- 15 with quality by design, what has it meant for our
- 16 industry? Well there's certainly been a change.
- 17 There's been an increase in the amount of
- 18 cross-functional coordination. It's just inherent,

- 19 you need to begin planning earlier, you need to
- 20 coordinate with product development, regulatory,
- 21 analytical, manufacturing. So it's changed the
- 22 dynamics to some extent for our industry.

- 1 This shift to quality -- I'm sorry,
- 2 question-based review, there's a content change as
- 3 well. Even with this I think the industry, the
- 4 initial reaction is that we're cautiously
- 5 optimistic, however there has been a steep learning
- 6 curve.
- 7 And let me just comment on that. For
- 8 15 plus years, ANDAs have been prepared using a very
- 9 well-known content and format design, switching over
- 10 to the common technical document format was a
- 11 significant change in itself. Re-mapping
- 12 22 sections of the old ANDA into a common technical
- document took a lot of time and energy by the
- 14 industry.
- So, it was the moving to a CTD format,
- 16 along with a question-based review, learning what
- 17 was expected and how to incorporate that. It was
- 18 certainly an investment this time that the industry
- 19 has to, it has to take shape in order to move into

- 20 the QBR environment.
- 21 Lawrence pointed out that the QBR has
- 22 been in progress for about two years. It is, when
- 0321
  - 1 you look at the changes that this encompasses, it's
  - 2 a fairly aggressive implementation schedule by FDA.
  - There's been a lot of communication,
  - 4 I'll touch on that in a moment. This year in
  - 5 calendar year 2006 we're looking at about 800 ANDAs
  - 6 being submitted. Last year it was about 800 as
  - 7 well, so there's a lot going on, not only the active
  - 8 generic industry in terms of submissions, it's
  - 9 transitioning to the new expectations.
- 10 So has it been an increased burden for
- 11 industry. Well, the answer is yes. I think if you
- 12 look back to Lawrence's slide back there, he showed
- 13 the old stack of bricks on one side and the new
- 14 stack of bricks on the other and it kind of
- 15 switched. Before there was more for FDA to do in
- 16 looking at some of this information.
- Now there's more preparation for
- 18 industry to do, so some of that burden has been
- 19 shifted over.
- 20 A little bit about quality by design.

- 21 There's extensive manufacturing experience in the
- 22 generic industry. Firms often manufacture 50 to 100

- 1 to 200, Lawrence mentioned over 300 products. In
- 2 order to do this, there has to be a lot of skill in
- 3 product and process understanding. It's just
- 4 critical for efficiency and I think the industry,
- 5 generic industry has been very adept at this.
- In terms of quality by design, itself,
- 7 the concepts and principles of quality by design has
- 8 certainly been with the industry for a number of
- 9 years. I think what we're looking at with FDA's
- 10 movement in this direction, as well as ICH, it's a
- 11 more organized, it's a more integrated approach in
- 12 product development than maybe some firms had, but
- 13 the principles have been around for a long time.
- 14 What are some of the opportunities with
- 15 quality by design? Now I was very pleased to hear,
- 16 I know our industry would be pleased to see the
- 17 presentation by Lawrence. He's talked a little bit
- 18 about prior knowledge and we've heard that in a
- 19 couple of the other discussions.
- When I mentioned companies have a lot of
- 21 experience in manufacturing, it's how can we

- leverage this prior knowledge in accelerating the 0323
  - 1 product development reports, what information does
  - 2 the industry already have essentially from, from
  - 3 experience that can accelerate product development
  - 4 and still satisfy the needs of the information that
  - 5 FDA is looking for.
  - 6 Clearly if you're manufacturing 100 or
  - 7 200 oral solids, you have extensive experience in
  - 8 excipient properties, manufacturing attributes and
  - 9 processes.
- I had mentioned the key knowledge
- 11 certainly of equipment and manufacturing processes,
- 12 oftentimes these processes are used repeatedly in a
- 13 product line for multiple products.
- 14 Again, in the opportunities we certainly
- 15 see a potential for reduced review time. I think at
- 16 least the preliminary data that Lawrence showed
- 17 earlier has indicated that they can more efficiently
- 18 review these ANDAs.
- 19 And the big area is a potential for
- 20 reduced post approval burden. I think that's a
- 21 little unclear yet as to how, as to how that will,
- 22 will play out in terms of the post-approval

- 1 reduction, but we're looking forward to working with
- 2 FDA in more detail on that.
- 3 One of the questions we were asked to
- 4 address are the quality by design expectations
- 5 clearly defined. And I think based on where our
- 6 industry is today, the expectations are certainly,
- 7 have been laid out. There's still areas where we're
- 8 looking for more information or guidance, but in the
- 9 limited experience we had, where the disconnect has
- 10 been are on GMP implications.
- 11 And Joe Famulare mentioned this morning
- 12 the challenge it can be to get headquarters training
- 13 with the field training and everybody working in a
- 14 coordinated manner.
- 15 On the GMP side in relation to quality
- 16 by design, it's kind of in a mixed bag. The ICH
- 17 product development concepts seem to still be, be in
- 18 the process of being integrated by the field. We've
- 19 had experience where inspectors were asking for full
- 20 validation of design space as opposed to what's
- 21 proposed by the firm.
- We realized the more extensive design

- 1 space you have, the more flexibility, but this has
- 2 led to some interesting conversations I guess have
- 3 been reported over that.
- 4 So, again, education and coordination
- 5 with the field appears to be one of those areas that
- 6 are still, is still a work in progress by the
- 7 agency.
- 8 And in some of the product development
- 9 activities, the prior knowledge is not being
- 10 universally accepted by the investigators at this
- 11 time, so exactly what prior knowledge and prior
- 12 experience will be that value to the industry is
- 13 still a bit in question.
- 14 Should FDA modify its focus, another
- 15 question that we were asked to address in preparing
- 16 for this meeting. There are still some areas where
- 17 we think there's room for improvement.
- 18 For instance, FDA currently establishes
- 19 what the dissolution criteria shall be for a generic
- 20 drug. That's somewhat counter-intuitive if you're
- 21 looking for a quality by design process, setting
- 22 risk-based specifications and using optimal

1 formulations.

- 2 The same thing, there are oftentimes
- 3 prescribed or predetermined limits for
- 4 specifications, residual solvents, in-process
- 5 specifications, et cetera, that are based on process
- 6 capabilities as well as the, instead, rather, of the
- 7 quality by design principle.
- 8 So another area that I think would
- 9 mature over time, but it's an area to continue to
- 10 look at.
- 11 And most of the focus to date between
- 12 the generic industry and FDA has focused on the oral
- 13 solids, so it would be another area to expand in
- 14 looking at the non-traditional oral solid areas.
- 15 Question-based review, shifting gears
- 16 into that, clearly it's, question-based review is a
- 17 tool to efficiently assess the quality by design
- 18 approach. Again, the industry is supportive of the
- 19 initiative.
- I think both the industry and OGD are
- 21 still learning, on the learning curve on this. We
- 22 heard some of that discussed by Lawrence. Certainly
- 0327
  - 1 that's the reflection I'm getting back from members
  - 2 of the Generic Association.

- The quality overall summary, again,
- 4 spent about two years in the making. What I think
- 5 has helped the industry move along in terms of the
- 6 question-based review, there's been a lot of
- 7 dialogue. I think there was a slight of dialogue
- 8 that, of various meetings that Lawrence listed.
- 9 But in terms of changing the paradigm to
- 10 the extent it has, there's been numerous telecons,
- 11 Webcasts, meetings and Q and A sessions, so that's
- 12 been helpful in integrating this into our industry.
- 13 Certainly OGD has been responsive in a lot of
- 14 question-and-answer sessions along the way.
- 15 The collaboration, as I mentioned, has
- 16 certainly accelerated. Our understanding of the
- 17 question-based review, I think open communications
- 18 will still be important as we learn questions that
- 19 FDA is going to be asking, as we get comments back
- 20 from these QBR ANDAs and more companies begin
- 21 preparing QBR applications for other dosage forms,
- 22 we will continue to need the dialogue in order to
- 0328
  - 1 make this, this transition as easy as possible.
- What does the model quality overall
- 3 summaries do? It helps outline what FDA is looking

- 4 for, for the critical attributes.
- 5 Again, I think Lawrence's presentation
- 6 gave a good insight into that, but it does help
- 7 guide the industry towards the FDA's expectation in
- 8 quality by design.
- 9 Quality overall summaries is still a
- 10 work in progress, I would say industry is trying to
- 11 hit the target. There's been, as mentioned, the QOS
- 12 may be too long, it may not have addressed the
- 13 critical attributes, that's a part of the learning
- 14 process.
- 15 On October 20th there's going to be
- 16 another in-depth session using FDA faculty on that,
- 17 so that's one of the critical components when you
- 18 looked at the side of additional work that the
- industry has to do, it's the quality, overall
- 20 summary, but that's also what's going to help
- 21 facilitate ANDA reviews for the Office of Generic
- 22 Drugs.

- 1 As I mentioned that at the outset that
- 2 our experience has been limited, about 35 ANDAs to
- date and we know one has been approved, so we're
- 4 just beginning to get a feel for the type of

- 5 questions and the value of the previous training in
- 6 terms of is the industry fully understanding FDA's
- 7 expectations.
- 8 What are some of the challenges with
- 9 QBR. Well it has been the simultaneous conversion
- 10 to the common technical document format from the old
- 11 ANDA format and certainly fully understanding the
- 12 question-based review data elements.
- 13 Implementation schedule certainly has
- 14 been challenging for companies, depending on how
- 15 many applications you submit, your ability to attend
- 16 some of the training sessions. There's still a
- 17 question, especially by the smaller generic
- 18 industry, or generic companies in moving towards the
- 19 OBR, based on the current timeline.
- 20 But companies have actually accelerated
- 21 the program, began submitting before the expected
- 22 deadline of January of 2007 and in terms of

- 1 challenges, there's also been as I've mentioned
- 2 substantial training and coordination internally for
- 3 companies using different -- that had different
- 4 sites.
- 5 There's also been a challenge getting

- 6 some of the information for the active
- 7 pharmaceutical ingredient that's expected to be
- 8 included in the QBR application, a lot of this
- 9 application is typically, typically considered
- 10 confidential by the API manufacturer, so that's one
- of the challenges that we've had.
- 12 Still some uncertainty on OGD's
- 13 expectations. As we get more experienced, those
- 14 should begin to decrease, we would believe. I
- 15 mentioned the training coming up, certainly OGD has
- 16 been very cooperative in training for the industry.
- 17 In terms of recommendations, moving to
- 18 the post-approval environment will be very helpful.
- 19 The more we can downgrade the burden of supplemental
- 20 applications post approval, the more efficient the
- 21 OGD process will be, the less burden there will be
- 22 on industry.

- 1 There's over 8,000 approved ANDAs out
- there, so there's certainly fertile ground to look
- 3 at this. There's also a lot of information, in many
- 4 of these cases there's been scores or hundreds of
- 5 batches manufactured. So we really need to look on
- 6 how we can leverage QBR for those products that are

- 7 already approved.
- 8 And likewise, I began to look at the
- 9 question-based review concept for drug master files,
- 10 as well.
- In summary there's been excellent
- 12 communications between OGD and the industry. We
- 13 will look for ongoing communications as this process
- 14 matures. I mentioned there's an increased burden
- 15 and part of that is a one-time investment of moving
- 16 towards a common technical document format, but also
- just the data that's being requested by OGD for
- 18 these applications.
- 19 So we look forward to expanding where
- 20 it's appropriate, and in terms of getting a good
- 21 feel for how the quality by design and
- 22 question-based review is impacting the generic

- 1 industry, I think in a year we'll have a much better
- 2 feel, probably on both sides, both the FDA side and
- 3 the industry side.
- 4 And with that, thanks for your time,
- 5 appreciate it.
- 6 DR. GLOFF: Thank you.
- 7 Any quick questions? Yes, Dr. Koch.

- B DR. KOCH: You mentioned that there's
- 9 substantial internal training that's going on.
- 10 Is there any way that you could take
- 11 advantage of the NIPTE experience that the FDA had
- 12 in terms of pulling together and hearing the same
- 13 thing in terms of consistent training?
- MR. JOHNSTON: Yeah, NIPTE may be a good
- 15 avenue for some of that training and collaborative
- 16 training. I think that NIPTE just got up and off
- 17 the ground this past Summer, so those training
- 18 courses are apparently new, but that would be one of
- 19 the resources certainly where we're all hearing and
- 20 discussing the same issues.
- MS. WINKLE: Actually, Mel, we've been
- 22 looking at a variety of different training sessions
- 0333
  - 1 for the generic industry. In fact, we were just
  - 2 talking at lunchtime briefly about working with some
  - 3 other organizations as well as NIPTE in trying to
  - 4 ensure that consistent training across the industry.
  - 5 So I think that's an excellent question,
  - 6 maybe we can talk a little bit about that
  - 7 communication because I think there's a lot of
  - 8 things here that we really need to discuss and think

- 9 about.
- DR. GLOFF: Anyone else?
- Okay, then we'll move on to our next
- 12 speaker, Dr. Baum from, giving the Pharma
- 13 perspectives.
- 14 DR. BAUM: Good afternoon. It's a
- 15 pleasure to be nominated by my great association, I
- 16 think, to give this talk. Sometimes I'm not sure if
- 17 I was nominated or I drew the short straw. But I
- 18 guess we've made it through the 7th inning stretch,
- 19 and now it's the bottom of the 9th.
- 20 And, you know, as Gordon I think
- 21 mentioned that, you know, a number of the issues
- that I, you know, have to address have already been
- 0334
  - 1 raised to some extent or another; and I'm not going
  - 2 to dwell on them for the sake of doing that and I
  - 3 will try to add a different twist or a little bit
  - 4 more insight or just, you know, move past it.
  - 5 So with that, let me get going. The
  - 6 topics that I planned to discuss, just do a little
  - 7 bit in the way of an introduction or overview, talk
  - 8 about the Pharma views on some of the key principles
  - 9 of quality by design, spend a few minutes on some of

- 10 the challenges and gaps. And it's interesting that
- 11 a number of these are aligned up directly with some
- 12 of the ones that have been identified by our FDA
- 13 colleagues.
- Talk a little bit more about global
- 15 considerations. And we'll go back to some of the
- 16 discussion this morning on ICH, but again from a
- 17 little bit different perspective.
- 18 And, you know, we do have some
- 19 recommendations that I think we've thought about and
- 20 want to share with, you know, the committee. And
- 21 then just do a brief summary.
- Moving on to the overview. Certainly,

- 1 you know, Pharma is very supportive of the agency
- 2 efforts with all of their quality by design efforts.
- 3 We understand, you know, the quality by design, we
- 4 understand how it fits into the overall and
- 5 long-term goal of achieving the desired state.
- 6 We also recognize that we're in a period
- 7 of great challenge, great opportunity and it's very
- 8 exciting. The important thing to remember is that
- 9 we've just taken, you know, the very first steps in
- 10 a very, very long journey, you know, and by long

- 11 journey I don't think it's from here to California
- or from here to Tokyo, it's probably from here to
- 13 somewhere in outer space and back. It's a -- we're
- 14 looking at this for the long-term and I think that
- 15 we have to be careful that, that we don't get either
- 16 too encouraged or too discouraged by what happens
- 17 immediately.
- 18 We have to set the foundation for the
- 19 long-term success. A few things on, you know,
- 20 communication with FDA, you know, has been
- 21 outstanding. The high level management engagement
- 22 has been, you know, superb.

- 1 They're out there, they're out there at
- 2 seminars, at workshops, they're giving speeches.
- 3 But they're not only giving speeches, they're taking
- 4 the time, you know, to interact, explain what their
- 5 views are, listen to what the concerns and views of
- 6 industry are and debate.
- 7 And I think we have a number of very
- 8 lively, fruitful, you know, heated, at times,
- 9 debates, but I think they all are in a positive
- 10 vein.
- 11 There have been numerous public

- 12 workshops I think as you saw on a couple of slides
- 13 earlier today in which industry, you know, and FDA,
- 14 other trade associations and even academia have been
- 15 involved in discussing, you know, how do we want to
- 16 go about, you know, achieving the desired state.
- 17 Again, just, just briefly on the CMC
- 18 pilot, Chi-Wan outlined it very well, is that, you
- 19 know, it's been a great way to jump start, you know,
- 20 and get a number of people involved at the same
- 21 time, where we can start, you know, getting, you
- 22 know, feedback and learnings and share what the

- 1 industry experience is and views with FDA and they
- 2 can start, you know, digesting all of that, a lot of
- 3 it in parallel to see how things are going.
- 4 And I think we need to say that it
- 5 hasn't always been easy. It's something new. It's,
- 6 we're looking at a lot more information, different
- 7 kind of information than we've been discussing with
- 8 regulators in the past, you know, submissions, but
- 9 we've been learning by doing, and the, again, there
- 10 have been, you know, meetings after meetings, all
- 11 kinds of interactions and phone calls and lots and
- 12 lots of questions.

- But again, it's all because, you know,
- 14 we're, we're learning something new and I think the
- 15 partnership in the learning has been great.
- And within Pharma we certainly welcome
- 17 the opportunity to continue working with FDA, you
- 18 know, as we, you know, work on the further
- 19 implementation and look toward, you know, the future
- 20 as to what the desired state, you know, with quality
- 21 by design might look like.
- You know, and I would, you know, also

- 1 like to say that the agency approach to quality by
- 2 design is consistent with the vision that we have
- 3 been developing very recently within Pharma, you
- 4 know, for the pharmaceutical quality assessment, you
- 5 know, program.
- I don't think ours is a circle, but
- 7 division is still very similar. You know, I would
- 8 say some of the expected or desired outcomes for a
- 9 quality by design approach include things such as,
- 10 you know, extensive knowledge and, you know,
- 11 relentless understanding of critical product and
- 12 process parameters and quality attributes.
- 13 You know, this approach should allow us

- 14 to build more science and knowledge into regulatory
- 15 submissions, which in turn should facilitate the
- 16 regulatory review and approval process, you know, if
- 17 we build the right information in the right format
- 18 such that it's easy to review.
- 19 We'll talk more about that a little bit
- 20 later. And again, one of the themes that I'll be
- 21 coming back to is the desire and expected outcome
- that we will find a way to reduce the need for

- 1 post-approval submissions and we have to find a way
- 2 that encourage, to encourage continuous improvement,
- 3 as well as technical innovation.
- 4 On to some of the Pharma views of what
- 5 we've termed key principles of quality by design. I
- 6 think we're consistent with what Moheb described
- 7 earlier. We're looking at a systematic approach to,
- 8 you know, product design, process design and
- 9 control, as well as process performance and
- 10 continuous improvement in which we, you know, design
- 11 quality into manufacturing processes.
- 12 Again, you know, we hope to encourage
- 13 both technical innovation with continuous quality
- 14 improvements, as well as allow for flexibility with

- 15 the associated regulatory processes.
- And probably the most important of all
- 17 of these is that quality by design should lead to
- 18 the continued availability of high quality medicines
- 19 to the patient.
- 20 Some additional views, just some, you
- 21 know, short points as I think we want to point out
- that quality by design is not a new concept from the 0340
  - 1 technology perspective. I don't think we can say
  - 2 that we've been doing the full systematic approach
  - 3 to quality by design for a long time, but we
  - 4 certainly have been doing elements of quality by
  - 5 design within the industry for a long period of time
  - 6 and now it's a matter of, okay, now how do we bring
  - 7 that together into this systematic approach.
  - 8 What is new, though, is quality by
  - 9 design relative to the regulatory review and
- 10 approval process. You know, it's something that,
- 11 that just has not been done and I'll talk a little
- 12 bit more later, you know, about how we compile and
- 13 submit that information.
- 14 We talked about the optionality, we feel
- 15 that it should remain optional and not become a

- 16 regulatory requirement. And it's been pointed out
- 17 previously that quality by design will not
- 18 necessarily be included in all applications and that
- 19 will probably be due to a variety of reasons.
- There are a lot of views, different
- 21 views as to what constitutes quality by design.
- There are some out there that say, well, statistical 0341
  - 1 design of experiments is quality by design, or, you
  - 2 know, you can't have quality by design without
  - 3 process analytical technology.
  - 4 Our view is a little bit different than
  - 5 that, is that we think DOE and PAT and things like
  - 6 that are tools that could be valuable and certainly
  - 7 facilitate quality by design, but they may not
  - 8 always be necessary.
  - 9 And also I think that we need to point
- 10 out that the generation of quality by design
- 11 information during the IND phases will probably be
- 12 quite variable and differ significantly between, you
- 13 know, company to company and even within a company.
- 14 And something should probably be left to the
- industry or the applicant's discretion.
- 16 And let me just give a couple of

- 17 examples. In terms of the generation of product
- 18 knowledge, now I don't know now which is the
- 19 traditional approach, the conventional approach. I
- 20 think the last one that we heard was the current
- 21 approach. But I think that, you know, but I think,
- 22 you know, that, that the view was that, you know,

- 1 the initial activity, you know, was geared toward
- 2 developing information to enable clinical supplies
- 3 and some clinical studies. You know, and about that
- 4 time we would start on developing, you know,
- 5 commercial and, you know, formulation and at the end
- of the line when we were at registration, the
- 7 clinical activities would be down to almost nothing
- 8 and we'd be, you know, have the full understanding
- 9 of the commercial process.
- 10 Well I think the reality is what the
- 11 agency might expect from a number of companies now
- 12 is that, yes, there will be that initial work to
- 13 enable clinical studies to start, but that may be
- 14 very minimal and the reason is that companies will
- 15 probably, or may want to wait until we have a better
- 16 feel for proof of clinical concept before we invest
- in the full efforts to develop the commercialized

- 18 process.
- 19 That way it will allow us to, you know,
- 20 essentially work on more compounds and getting more
- 21 compounds through the system. But in this case,
- the, the development and the commercialization

- 1 activities won't be finished probably at the time of
- 2 registration. It's something that will continue,
- 3 you know, beyond and that's where the continuous
- 4 improvement becomes very important.
- 5 In terms of challenges and gaps, an
- 6 interesting one is the first one that, you know,
- 7 Moheb talked about extensively. How do we get
- 8 industry on board?
- 9 As we've stated, quality by design is
- 10 optional, it's not considered a part of the statute.
- 11 Well, without some assurance of a tangible
- 12 regulatory flexibility, what's the compelling reason
- 13 for industry to build these more complex, knowledge
- 14 rich, quality by design regulatory submissions?
- 15 You know, why would the applicants want
- 16 to take the risk of getting CMC deficiencies, you
- 17 know, 483s as a result of inspections.
- 18 And even with full industry engagement,

- 19 I think we need to realize that it will take a
- 20 cycle, and by a cycle I mean we'd have to take the
- 21 compounds that are currently in the system and get a
- lot of them out of the system where we can bring new

- ones in and start at least thinking about quality by
- 2 design from the beginning.
- We'll talk more about this in a little
- 4 bit when we get to the recommendations.
- Well, what about, what do we do beyond
- 6 the pilot? I think the pilot has been great as
- 7 we've talked about, but what are the next steps?
- 8 We know what we're talking about in
- 9 terms of the desired state but, you know, what are
- 10 the intermediate steps, where do companies go who
- 11 have been asking now about, well, I didn't make it
- 12 into the pilot, but I'm thinking about having a
- 13 quality by design submission in a couple years and
- 14 we'd like to get started on, you know, what do we
- 15 tell them to do and how do we encourage them to say,
- 16 you know, that it will work out?
- 17 We need to establish the framework that
- 18 will facilitate the post-approval improvements,
- 19 innovation and so on without the need for regulatory

- 20 supplements. I think we've been calling this the
- 21 regulatory agreement. It's turning out to be a very
- 22 key need, we'll talk more about that later.

- 1 Another point that was raised earlier is
- 2 the difficulty in managing -- well, earlier what was
- 3 pointed out was the difficulty in managing two
- 4 systems, the current, conventional traditional
- 5 system of development and the associated regulatory
- 6 review and approval process versus what it will be
- 7 like if it's quality by design based. However, it's
- 8 probably more than two. It's traditional, it's
- 9 quality by design and then the spectrum of
- 10 everything else in between. So that's something
- 11 that we have to sort out.
- 12 We've talked about roles and
- 13 responsibilities of the CMC reviewer and field
- 14 investigator need to be defined. We certainly
- 15 understand and welcome the approach. We both are
- 16 involved, integrated approach, but still there is a
- 17 need to clarify the roles and responsibilities of
- 18 each.
- 19 Guidelines, when I was talking to some
- 20 of my Pharma colleagues a couple of weeks ago about,

- 21 you know, are there any gaps with regard to
- 22 guidelines, I got an answer along the lines of,

- 1 well, the good news is that a few couple months ago,
- 2 FDA withdrew a number of older guidelines that no
- 3 longer represented the thinking of the current, the
- 4 current thinking of the agency.
- 5 The bad news is that we don't have any
- 6 guidelines.
- 7 So, it's a double-edge sword. We do
- 8 have ICH Q8, which we talked about this morning for
- 9 drug product, but there isn't any guidance yet on
- 10 the table to be developed for drug substance. And I
- 11 think most of us understand that there are probably
- 12 as many or more opportunities for quality by design
- 13 for drug substance than drug product.
- 14 Is there a need for guidance, domestic
- 15 quidance on quality by design? I'm not so sure.
- 16 You know, I think as we talked about earlier, I
- 17 think as you had during the discussion before lunch,
- 18 guidance, you know, at a high level might be a
- 19 value, but ICH may provide that. I think there's
- 20 always the scare that a generation of a regional
- 21 guidance will lead to a proliferation of regional

- 22 guidances from other regions, which could lead to 0347
  - 1 de-harmonization rather than harmonization.
  - 2 So we just have to think those things
  - 3 through very carefully.
  - 4 And getting back to the guidance
  - 5 withdrawal, there's now a gap for the traditional
  - 6 submissions as to communicating what the agency, you
  - 7 know, is thinking.
  - 8 Resources. There's been a lot of
  - 9 discussion about that today, as well. You know, the
- 10 level of resources that were applied to the pilot --
- 11 that are being applied to the pilot programs is
- 12 enormous and it's essential that it be that way.
- Those programs would not be successful
- 14 and I think that level of resource is really
- 15 demanded to have the interactions that are necessary
- 16 to, that have the successful pilot programs.
- 17 However, you know, let's assume that
- 18 quality by design is going to be successful and that
- 19 more and more submissions will be coming in that
- 20 will be quality by design based. Prioritization of
- 21 those resources will be important, until such a time
- that the benefits from a, you know, a much reduced

- 1 number of supplements, you know, is realized.
- 2 You know, the skills and experience to
- 3 review the new information is certainly growing
- 4 within the FDA. But again, you know, depending on
- 5 when that, you know, the new wave of submission
- 6 gets, you know, will we be prepared to handle that
- 7 within the agency.
- 8 And then as pointed out previously, this
- 9 is going to be a major culture change for both
- 10 industry and regulators.
- 11 And, you know, the next slide in your
- 12 packet, it really has nothing to do with quality by
- 13 design, yet it has everything to do with the success
- 14 of initiatives such as quality by design. And I
- don't want to spend much time on it, but this
- 16 addresses changed management.
- 17 And again, it's important that after the
- 18 decision to change is made, you know, that the
- 19 vision, you know, the strategy be communicated and
- 20 there's just so many opportunities for failure along
- 21 the way to various forms of resistance that occur in
- 22 any kind of change.

- 1 They are there, they are within
- 2 industry. They are within agency, they are probably
- 3 out there in the general public as well if they knew
- 4 about what we're talking about. It happens and we
- 5 just have to be prepared and on guard at all time to
- 6 watch for them and learn how to deal with them.
- 7 That's all I really need to say.
- 8 Global considerations. I probably don't
- 9 need to remind you that within Pharma we're a global
- 10 industry. We supply medicines worldwide and, you
- 11 know, we have done a lot within ICH harmonization
- 12 efforts on, you know, Q8, Q9, Q10.
- We're not totally harmonized yet. I
- 14 think everybody's heart is in the right place and
- 15 everybody thinks they're on the same page, but there
- 16 are a lot of different views on quality by design.
- I think in time, and I have every
- 18 confidence that they will, you know, converge rather
- 19 than diverge, but we have to help that along.
- 20 There are a lot of definitions. There
- 21 are a lot of terms, I should say, that don't have
- 22 definitions that are fully harmonized yet. We need
- 0350
  - 1 to spend some time, you know, making sure that we

- 2 have a common understanding of what these terms are
- 3 I think before we can do too much more in the way
- 4 of, you know, harmonization.
- 5 And as well as the approaches to, you
- 6 know, to change management in terms of post approval
- 7 changes. It's very important, you know, to
- 8 understand that, you know, as a global industry,
- 9 it's, some of the highest volume products in the
- 10 world are sourced globally from a single plant to
- 11 reach the desired state. Industry and regulators
- 12 need, need a global framework for post-approval
- 13 changes in order to facilitate improvements and
- 14 technical innovation.
- I think we all need to realize that
- 16 without a global, a globally consistent, a globally
- 17 aligned changed management system, we're not going
- 18 to get there. And as an example, if we have a plant
- 19 that's single, is a single source for medicines
- 20 worldwide and we get flexibility in one region,
- 21 we're really not that much better off than we are
- 22 today in terms of we'll have to, you know, make

- 1 changes and every time we switch manufacturer for a
- 2 different region, we'll have to isolate inventory.

- 4 talking about getting to a, you know, a maximally
- 5 efficient pharmaceutical manufacturing system.
- 6 FDA has always been a very strong
- 7 advocate for QBD. They are the ones that
- 8 essentially introduced this to ICH as a topic, you
- 9 know, with the proposed outcome of regulatory
- 10 flexibility and our, you know, hope and assumption
- 11 is that the agency will continue their engagement in
- 12 international harmonization efforts as stated in the
- 13 Food and Drug Modernization Act of 1997.
- I'm not going to spend any time on the
- 15 ICH trios other than just to point out that the size
- 16 and shape of the arrow kind of shows, you know,
- 17 where the applicability of the guidances are
- 18 greatest.
- 19 For example, Q8 is a little bit more in
- 20 the pharmaceutical development area and less in the
- 21 manufacturing whereas, you know, Q10 for quality
- 22 systems has some applicability in the development
- 0352
  - 1 phases, but is maximally designed for manufacturing.
  - 2 And again, the opportunity that you
  - 3 heard earlier, if we can combine the benefits from

- 4 Q8, Q9 and quality systems Q10, there are some great
- 5 outcomes that we can achieve.
- 6 Moving on to some recommendations. The
- 7 first bullet needs a little bit of explaining. We
- 8 want the reviewers to be delighted with our
- 9 regulatory submissions. That's our goal. However,
- 10 we're kind of making a big change in what we submit
- if we do quality by design based submissions.
- We're generating a ton of more
- information, so how can we compile that, present
- 14 that in a, in a condensed but yet cohesive way that
- 15 it's easily understood and reviewed.
- I'm sure we could just throw it over the
- 17 wall and do, and the reviewers would do a good job
- 18 sorting it out, understanding it and making review
- 19 recommendation, but that's not what we want because
- 20 that's not going to help, you know, streamline the
- 21 review and approval process.
- 22 Our thought is that FDA should

- 1 collaborate with the industry at some point to
- 2 digest the earnings from the CMC pilot program and
- 3 determine how do we best incorporate that
- 4 information in a consolidated manner that has the

- 5 right information and the right format so the
- 6 reviewer can do their job in the most efficient way.
- 7 We encourage the agency to take some
- 8 bold steps in looking to the future. From that,
- 9 let's follow the value. And the greatest value to
- 10 industry and we think to FDA is the elimination of
- 11 most post-approval supplements.
- 12 As more science and knowledge gets built
- into the application, we think the agency should
- 14 rely on the applicants internal quality system to
- 15 manage post-approval changes which are monitored by
- 16 GMP oversight.
- I want to make it clear, we're not
- 18 suggesting any, in any way, shape or form
- 19 de-regulation, we're just looking at the, maybe a
- 20 change in the way FDA oversight is applied.
- 21 Public health standards. The standards
- 22 setting organizations, you know, with a greater

- 1 emphasis being placed now on product and process
- 2 understanding and process control, we suggest the
- 3 FDA take a look and maybe re-evaluate the current
- 4 approaches for assuring -- you know, assuring
- 5 quality in terms of things like compendial standards

- 6 and things such as that.
- 7 Back to guidance. You know, if, you
- 8 know, I guess I would say that there's probably a,
- 9 you know, a need that, you know, we should evaluate
- 10 if there's, you know, a high level guidance, would
- 11 there be a value. You know it will be difficult to
- 12 do that, to generate the guidance because we'd have
- 13 to have something that can cover both the short-term
- 14 implementation but be sufficiently, you know,
- 15 visionary that it can see out 10 years to guess what
- 16 quality by design is going to look like then.
- 17 And we'd need something that would be,
- 18 you know, flexible to allow for different
- 19 approaches, you know, within company, you know,
- 20 between products, you know, I mean, you know,
- 21 different approaches for different companies,
- 22 different approaches today, you know, versus

- 1 tomorrow.
- 2 And we suggest that the agency work with
- 3 an agency such -- with an association such as ISPE,
- 4 which is the International Society of Pharmaceutical
- 5 Engineers, which is comprised I think of all of the
- 6 stakeholders that are involved, that regulate the

- 7 industry as well as the regulators and, you know,
- 8 just brainstorm for a little bit and see what, what
- 9 can be worked out.
- 10 We suggest that FDA continue, you know,
- 11 their global leadership role in advocating the
- 12 benefits of quality by design. Sometimes they're
- 13 not easy discussions reaching consensus. There are
- 14 a lot of views that take time to change, but FDA has
- 15 been very good at this in the past and I think that,
- 16 you know, the global community looks to them to be a
- 17 leader in this, in this effort.
- 18 And training, and I don't want to say
- 19 it's training, so much, but maybe it's continued
- 20 education about the industry and re-education of
- 21 both industry and reviewers on the principles and
- 22 benefits of quality by design.

- 1 You know, things such as more seminars,
- 2 more workshops. I know that we've had, you know, a
- 3 number of, you know, two- or three-day workshops
- 4 over the last couple of years, but maybe it's time
- 5 now to think about some very topic focused one-day
- 6 sessions where we can get groups together just to
- 7 brainstorm, for example, definitions of terms,

- 8 things like that, so we don't have to go through,
- 9 you know, what is the brutal, you know, planning
- 10 process, you know, to plan for a two- or three-day
- 11 workshop.
- 12 And on to the summary. I don't think I
- 13 need to go through all of the things here. Again,
- 14 this is the, you know, the benefits of quality by
- design and it's really for everyone, it's not just
- 16 for industry. Most of the things listed on these
- 17 were covered already. Certainly things such as that
- 18 will be reduced, we're hoping the post-approval
- 19 regulatory submissions, you know, recalls,
- 20 manufacturing.
- The more we know, you know, the less is
- 22 going to be the uncertainty in the risk.

- 1 Regulatory burden is not something that
- 2 I'm talking about. This applies to industry. I
- 3 think that was what we used to think, but it's, you
- 4 know, both industry as well as the agency. I think
- 5 it's in terms of we have to look at the whole
- 6 regulatory submission, review and approval as one
- 7 system. And I think we can do better, you know,
- 8 there.

- 9 And conversely, there are a lot on the
- 10 other column that will, things that will be, you
- 11 know, improved. I'll obviously let you read those
- 12 on your own.
- 13 This provides a visual of the Pharma
- 14 view on quality by design being a systematic science
- and risk-based approach to product development and
- 16 process understanding. It's driven by understanding
- 17 of the clinical performance requirements, it
- 18 includes synthesis, you know, as well as formulation
- 19 and understanding the material science, then deals
- 20 with product design, process design, process
- 21 control, process performance, continuous improvement
- 22 and you can see how it can fit over the lifecycle of 0358
  - 1 the product.
- 2 And while we certainly, you know, want
- 3 to say that, you know, we support and promote the
- 4 systematic approach, there are times where we all
- 5 can use that occasional miracle.
- 6 Thank you, and I'll be happy to respond
- 7 if there are any clarifying questions.
- 8 DR. GLOFF: Thank you.
- 9 Any, any questions?

- No, don't appear to be, so we'll go to
- 11 our last wrap-up speaker.
- MS. WINKLE: I don't want to talk a long
- 13 time because I want to give us a chance to address
- 14 the questions that we have and we have quite a few
- 15 questions on this particular topic and I knew the
- 16 committee doesn't want to stay here all evening.
- But I think you've heard a lot of the
- 18 different ways that we're implementing the concept
- 19 of quality by design, all three offices, and the
- 20 Office of Pharmaceutical Sciences have talked and I
- 21 think that now the committee has a really good feel
- for what we're doing as far as implementation is 0359
  - 1 concerned.
  - 2 And I think you'll all agree from the
  - 3 last time we talked about this, we have made a lot
  - 4 of progress, we've done a lot of thinking and we
  - 5 really have, you know, put a lot of effort into this
  - 6 and I want to thank all of my three offices while
  - 7 I'm standing here for all the work they've put in to
  - 8 doing this.
  - 9 I also want to thank Bob and Gordon for
- 10 sharing the observations and thoughts from the

- 11 generic and brand industry, the trade associations.
- 12 I think that many of the challenges they've talked
- 13 about, again, we recognize here, but they've also
- 14 introduced some other challenges that I think are
- 15 important for us all to think about.
- 16 As I talk about the progress that we've
- 17 made in OPS, I do want to mention that our
- 18 colleagues in the Office of Regulatory Affairs and
- in CDER's office of compliance have worked very
- 20 closely with us in designing some of these
- 21 processes, in looking at guidances.
- We've worked closely with the

- 1 pharmaceutical inspectorate trying to ensure that
- 2 they have a better understanding of what we're
- 3 trying to do with the concept of quality by design.
- 4 So I, I don't think we'd be where we are today if we
- 5 hadn't, in fact, had the opportunity to work with
- 6 them.
- 7 It's apparent as you listen to the
- 8 presentations today that there was a great deal of
- 9 work that's gone into the development of the various
- 10 policies to ensure that we take advantage of science
- 11 and regulating quality. And it's also apparent that

- 12 all three offices are committed to the concept of
- 13 quality by design, and that they are taking full
- 14 advantage of the opportunities that are out there to
- 15 change the paradigm in their review processes.
- 16 Again, I really appreciate that.
- But there are challenges. Bob has
- 18 talked about challenges. Gordon talked about
- 19 challenges from the industry side and all four of
- 20 the speakers today have talked about the challenges
- 21 internally within the organization.
- I want to just recap some of those

- 1 challenges because I think they are important as we
- 2 go through the questions to remember what some of
- 3 the challenges were.
- 4 There's really a difference in
- 5 strategies and approaches between the offices. I
- 6 think as you listened to each one of the offices you
- 7 saw they had a little bit different, though they
- 8 looked at quality by design and understand the
- 9 concepts of quality by design, they have a little
- 10 different way of thinking about it and implementing
- 11 it.
- 12 And some of this, of course, and as I

- 13 said earlier as did several others, that this is due
- 14 some to the diversity of the drug product. So this
- is one of the humps that we have to get over, one of
- 16 the hurdles.
- 17 There's also a difference in regulatory
- 18 processes. Bob just talked about the difference
- 19 between traditional, conventional, whatever you want
- 20 to call it, with the new paradigm, but there's also
- 21 a difference within OPS in our regulatory processes.
- We regulate BLAs, we regulate NDAs, we regulate 0362
  - 1 ANDAs. We are soon going to regulate follow-ons.
  - 2 All of these are a little bit different, follow-on
  - 3 proteins.
  - 4 So, you know, this works into a
  - 5 challenge that we have.
  - 6 Several people mentioned and I think
  - 7 it's important to keep in mind that there's a need
  - 8 for better coordination between review and
  - 9 inspection. Although we've worked on that, as I
- 10 said, both ORA and the Office of Compliance have
- 11 worked with us, there's still a lot of issues around
- 12 that that have to be resolved.
- There's a challenge of filling the

- 14 knowledge gaps. I think we will all agree that we
- 15 have large knowledge gaps, that we don't know all
- 16 the aspects of manufacturing science that we're
- 17 going to be challenged with looking at in the future
- 18 and we have to recognize what those gaps are and
- 19 then figure out how the best way to fill those.
- We need to be providing regulatory
- 21 flexibility while assuring product quality and
- 22 that's not easy. That's a real challenge for us.

- I think I heard many people say bringing
- 2 industry on board, especially Bob and Gordon
- 3 mentioned this. This is not easy and we're working
- 4 at this a lot. We're having a lot of training, a
- 5 lot of sessions with industry, but there's probably
- 6 more we could do and we'll talk a little bit more
- 7 about that.
- 8 Workload is a challenge. This, we have
- 9 a day job, I mean getting the applications out the
- 10 door is the most important thing that we really have
- 11 to do every day and so getting this work done on the
- 12 side and still getting that done is a big challenge.
- 13 And many people have mentioned the
- 14 change in culture. From the very first time I've

- 15 talked about these concepts and making the changes,
- 16 I've recognized the fact that the cultures are hard
- 17 to deal with. There's a culture within the industry
- 18 that has to change and the culture within FDA that
- 19 has to change. And believe it or not I'm starting
- 20 to see some changes in the culture in FDA. I didn't
- 21 think a year ago I would, but some of those are
- 22 beginning to change.

- 1 People are really beginning to embrace
- 2 the idea of moving in this new direction and I think
- 3 that's a good sign, but it's still a challenge. I
- 4 mean we, as Bob just said, we're at the very
- 5 beginning, we have years and years to go ahead.
- We're going to have a lot more
- 7 challenges, we're going to have those problems on
- 8 his slide that have crisis or that big dragon or
- 9 whatever it was in the water that's going to eat us
- 10 up.
- 11 So, we've got a lot to go through and
- 12 get over those cultural challenges.
- 13 But the last thing is resources, Lord, I
- 14 didn't want to mention this word, but resources is a
- 15 challenge. I mean we've talked about writing

- 16 guidelines. We've talked about training, we've
- 17 talked about setting up new organizational
- 18 structures.
- We're in the midst of trying to
- 20 institute quality management systems internally. I
- 21 mean there's all kinds of things besides, again, the
- doing our core business, which is getting those

- 1 applications out the door that we've got to do and
- 2 we don't have the resources to handle all of these
- 3 things, so some of it may take longer.
- 4 But I want to assure all of you that we
- 5 are dedicated to getting these done and we'll find a
- 6 way.
- 7 Many of the comments you heard from
- 8 others in the industry, the people from the industry
- 9 included many of these challenges and at least they,
- 10 too, understand these challenges do exist. So I'm
- 11 hoping that working together with industry, along
- 12 with the help of this committee, we can get past
- 13 some of these challenges.
- 14 And I think that one thing that's very
- 15 positive is despite these challenges, we are moving
- 16 ahead. It may be in baby steps, but we are moving

- 17 ahead. We've at least learned to crawl and we're
- 18 moving on.
- 19 I think, though, that one major
- 20 challenge that is really difficult to handle is the
- 21 whole concept of communication. I think
- 22 communication is especially necessary here as we

- 1 implement the new paradigm and what I'm talking
- 2 about communication, I'm talking about communication
- 3 internally within the agency as well as
- 4 communication outside.
- 5 I think especially Bob brought up some
- 6 very significant things that probably are slip-ups
- 7 in communication as far as guidances and pulling up
- 8 some guidances and leaving that gap for the industry
- 9 on some of the traditional -- that are still doing
- 10 traditional applications.
- 11 We have had an attempt to educate our
- 12 reviewers. We've done a lot of training inside.
- 13 We've had two sessions on quality by design
- 14 internally, we've had the, we just, in fact, last
- 15 week had a training on processing analytical
- 16 technologies, but we have a lot more to do inside
- 17 and we'd appreciate any insights you may have on

- 18 some of the ways we could improve or do more of
- 19 that.
- 20 Also with industry, we've had several
- 21 workshops, as has been mentioned. We have several
- 22 workshops that are coming up. One that has not been
- 0367
  - 1 mentioned is we recently with one of our CRADA
  - 2 partners, Conformia had a very successful pilot
  - 3 workshop on implementing Q8 and Q9 and again, I want
  - 4 to emphasize this was a pilot, we only had a small
  - 5 segment of the industry, but this was really an
  - 6 excellent workshop in the fact it was cross-cutting,
  - 7 cross-functional.
  - 8 We brought in people from the regulatory
  - 9 part of the industry or the company from the
- 10 development manufacturing quality in the IT to talk
- 11 about how they were going to implement so that they
- 12 all had similar concepts of the direction their
- 13 company was going in and how they were going to do
- 14 this.
- 15 And I think in many cases we don't get
- 16 that cross-functional discussion going and I think
- 17 that was very important and we really hope to have
- 18 more of these workshops in the future.

- 19 The other two workshops that are coming
- 20 up, the one in October on CMC, and then the one in
- 21 February on the entire 21st Century initiative have
- 22 already been mentioned, so I won't go into any more

- 1 of these.
- 2 But again, I want you to keep
- 3 communication in mind as a very important element of
- 4 our challenges and how we can overcome some of I
- 5 think just the natural, I won't say inability, but
- 6 the natural desire to go out and communicate these
- 7 things. A lot of us are out talking, but I think
- 8 there's still more that needs to be done.
- 9 The other part of the communication is
- 10 definition. Moheb put some discussions up earlier,
- 11 but I still, and I think we all agreed to them, but
- 12 I still think there needs to be better determination
- on what the definitions are and we need to be
- 14 communicating those definitions to the industry.
- 15 And I will tell you internally within the agency,
- 16 you know, you can mention something like risk
- 17 management and you'll have 50 different ideas of
- 18 what risk management is.
- 19 We've had discussions on what quality is

- 20 and a lot of different thoughts on that. So I think
- 21 we have to come to grasp with this as well and this
- 22 is very important.

- 1 As I said, I want to get to the
- 2 questions, but before I do that, the last thing I
- 3 want to just mention are some of the next steps
- 4 we're taking.
- 5 I think it's really important that we
- 6 continue along with the progress we've made so far
- 7 in implementing the concepts of quality by design in
- 8 each one of our programs. And this will include
- 9 basically looking at regulatory flexibility and
- 10 reduction of supplements.
- 11 This has come up several times during
- 12 the conversation today and I think this is really an
- important aspect of what we want to accomplish
- 14 within the agency, not only from the resource
- 15 standpoint, but we feel that supplements really are
- 16 probably, you know, not, not the thing of the
- 17 future. We could really eliminate a lot of this and
- 18 save all of us a lot of problems.
- In line with that, we're in the process
- 20 of trying to revise 314.70, which is a section of

- 21 the Act that covers manufacturing changes and we are
- 22 making, trying to put more flexibility into 314.70

- 1 so it's not so restrictive on supplements and
- 2 hopefully we'll have something out on that very
- 3 shortly.
- 4 We need to also continue to learn and
- 5 refine our processes. I think every day with every
- 6 application we review, with every conversation we
- 7 have, with every meeting like this, we learn a
- 8 little bit more and we have to take this learning
- 9 back into our processes and build on that.
- 10 We need to continue to gather relevant
- 11 information from the CMC pilot and from other
- 12 applications. I think this is going to be very
- 13 beneficial in this learning process. We need to
- 14 look at the feasibility of a pilot for biotech
- 15 products. This is one of the questions Steve asked
- 16 and I think this is something that we really need to
- 17 back up and look at and we would expect, we would
- 18 appreciate your thoughts on that.
- 19 We need to of course continue our
- 20 training efforts, our communications efforts and we
- 21 need to build on those.

- 1 regulatory agreement. Moheb has made a lot of
- 2 progress here, he's talked to a lot of people
- 3 internally within the agency, but I think this is
- 4 one of the things that industry is very interested
- 5 in seeing in the future to help with that regulatory
- 6 flexibility and to understand more what that's going
- 7 to mean to them, so it's something we need to really
- 8 focus on.
- 9 We need to hone in on the definitions
- 10 and be able again to communicate those definitions
- 11 to the industry and to others involved.
- 12 We need to recognize internally what our
- 13 knowledge gaps are and we do have knowledge gaps,
- 14 and we need to work to fill those gaps. And
- 15 sometimes that's easy and sometimes that's not, but
- 16 it's something that I think is very necessary for us
- 17 to do as we move forward into the 21st Century.
- 18 We need to work toward more consistency.
- 19 When I talked about the difference between the
- 20 programs and how they are implementing quality by
- 21 design, I really need to emphasize the fact that
- 22 we're trying to be more consistent internally and

- 1 that we have to put some efforts internally into
- 2 making sure that consistency exists.
- I think Bob's point on standards
- 4 development is very important. The agency is right
- 5 now or at least CDER is trying to decide where it
- 6 really stands with standards development. I think
- 7 most of us here from OPS believe that standards are,
- 8 are really necessary for the future. They are
- 9 necessary for really ensuring consistency in the
- 10 processes and providing guidance.
- 11 So I think that, you know, we need to
- 12 get out and communicate what our expectations are in
- 13 the area of standards development.
- 14 And lastly, I think we need to look at
- 15 other aspects of the review process, there's things
- 16 like DMS which Gordon mentioned that are out there
- 17 that really needs to be looked at in terms of
- 18 quality by design and how we're going to handle
- 19 these in the future. There's other aspects as well,
- 20 we may need to take another look at annual report.
- 21 There's several things in the entire
- 22 process that probably need to be revisited.

- So, we have a lot of steps that we've
- 2 identified, but I think that the committee can
- 3 certainly add to those.
- So, I'd like to then go to the questions
- 5 and I appreciate all your input on this. Thank you.
- 6 DR. GLOFF: Thank you.
- 7 Before we go to the questions, I'd just
- 8 like to say a couple of things, I think that was a
- 9 wonderful summary, Helen, and I really appreciate
- 10 it.
- 11 And a lot of emphasis has been put on
- 12 the fact that, yes, we've just gotten started and
- 13 there are many, many, there's a long road to go down
- in the future and I would agree with that, however
- 15 often the first steps are some of the largest steps
- 16 you need to take just to get going.
- 17 And I'm very impressed personally with
- 18 what I've heard here today, that progress is being
- 19 made in many, on many fronts and, yes, there will be
- 20 times when it will be two steps forward, one step
- 21 back, or a big detour around that big block in the
- 22 road, but I'm very personally very impressed with

1 what I've heard.

- 2 And, so, now I'll see who else would
- 3 like to say something before we go to the specific
- 4 question.
- 5 Art.
- 6 DR. KIBBE: I always like to say
- 7 something, it's part of my -- at 5:10, I think I can
- 8 say anything because we're off the record at
- 9 5 o'clock according to --
- Just two things. Question one talks
- 11 about whether we think that we're going to get
- 12 better quality product out of the process. And I
- 13 think that the process that you've put in place is
- 14 exemplary and will get you to a more reliable
- 15 product of the quality that you've designed in when
- 16 you designed the product attributes.
- 17 And the issue then is who designs the
- 18 product attributes and what attributes do we really
- 19 want. And with new drugs, ones that have never been
- 20 approved before that are coming on the market,
- 21 that's going to tell us a lot about the quality of
- the product we end up with, so that designing in the
- 0375
  - 1 product attribute will tell you then using this
  - 2 process that you will get to that attribute more

- 3 reliably and more consistently.
- But if you design a product, it's just
- 5 like if you design a horse cart, that's what you're
- 6 going to get, when you really want a high speed
- 7 transportation, you're not going to get it.
- 8 So one of the things that we have to be
- 9 careful about is making sure that when products are
- 10 first looked at by the agency, that it has the kind
- of attributes that would make it a very useful
- 12 product in terms of the overall health of the public
- 13 and that's, that is the one thing that's not in here
- 14 that you have to keep in the back of your mind.
- But the process you have in place, the
- idea of quality by design, the idea of risk
- 17 management, all of those ideas coming together are
- 18 going to give you a high quality whatever you've
- 19 decided you want, okay.
- 20 Second, there was a lot of talk about
- 21 communication. I think you have to put
- 22 communication/participation. In education we talk

- 1 about active learning as opposed to passive
- 2 learning. You come to a meeting, you talk,
- 3 everybody talk, everybody listens, everybody leaves.

- 4 Everybody who leaves today will remember about
- 5 5 percent of what we say and not necessarily
- 6 important stuff. They might remember the jokes
- 7 before they remember the important stuff.
- 8 But if you get them involved in the
- 9 system and get involved in the educational process,
- 10 they'll remember it. I would recommend to you that
- if you're going to train your reviewers, then you
- 12 ought to invite the industry to send the people who
- 13 are responsible for putting together the submissions
- 14 to the same training session and you ought to bring
- 15 reviewers in across the world. Not just FDA
- 16 reviewers, but let's bring some people from the UK
- 17 or from Germany or from Japan or from wherever these
- 18 companies are trying to make a submission and let's
- 19 put them in the same room and let them all
- 20 understand what FDA reviewers are looking for and
- 21 then let them say what they're looking for and then
- let the, the industry people who are submitting or
- 0377
  - 1 putting these submissions together hear all the
  - 2 reviewers talk about what they're really looking
  - 3 for.
  - If you want harmonization, then the

- 5 bottom line is the people who make the decisions,
- 6 and, you know, it's the reviewer at the bench and
- 7 it's the guy who puts the submission together. And
- 8 when they go back and they say look, every one of
- 9 the reviewers told me I had to have this and they're
- 10 going to tell -- you'll be, you'll see it in those
- 11 submissions.
- 12 And if you train separately and
- 13 independently and then you come to a meeting and you
- 14 stand at the podium and you tell everybody what you
- 15 want, they're going to walk away with 10 percent, or
- 16 they're going to have a videotape of it and they are
- 17 going to try to study it and study it and study it.
- 18 But in that room when they all are learning it
- 19 together, they're going to walk away with a lot.
- 20 And the only way to move something like
- 21 this, which is a paradigm shift, that graph of
- 22 everybody going up and down the hills was a

- 1 beautiful little study and the difficulty of getting
- 2 over the energy of activation is to throw them in
- 3 the same pot together. I don't know who pays for it
- 4 or who makes it happen, but if you want to move it,
- 5 that's how you do it.

- DR. GLOFF: Anyone else? I guess you
- 7 said it all, Art.
- 8 So, I, let's start with question one and
- 9 this does have three parts and we're being asked to
- 10 address each part separately.
- 11 So the first one is, do you agree that
- 12 application of quality by design principles should
- 13 result in a higher level of assurance in product
- 14 quality?
- Any comments on this before we vote?
- No comments. Then we'll start with, to
- 17 my left with Dr. Karol. Would you wish to vote on
- 18 this question?
- DR. KAROL: Yeah, it should.
- 20 DR. KIBBE: With the caveat I said that
- 21 you have to know what quality you want, this will
- 22 get you wherever you decided to go.

- DR. GLOFF: That was Dr. Kibbe speaking.
- 2 DR. KIBBE: I apologize.
- 3 DR. KOCH: Mel Koch, yes.
- 4 DR. GLOFF: Carol Gloff, yes.
- DR. SWADENER: Marc Swadener, yes.
- 6 DR. MEYER: Marvin Meyer, yes.

- 7 DR. SELASSIE: Cynthia Selassier, yes.
- DR. VENITZ: Jurgen Venitz, yes.
- 9 DR. GLOFF: Okay. I think that was
- 10 eight yes.
- 11 Part two, do you agree that application
- 12 of quality by design principles should result in
- 13 more flexibility for the applicant to make
- 14 continuous improvement?
- 15 Any discussion on this?
- 16 Yes, Dr. Fackler.
- DR. FACKLER: I'm, you know, I would add
- 18 the phrase in theory to the first part of the
- 19 question and I would add to the actual question,
- 20 itself, I don't know that the quality by design
- 21 principles give the flexibility, I thought it was
- 22 what was granted to industry after they apply the
- 0380
  - 1 principles, so I don't know that the principles,
  - 2 themselves, offer any flexibility.
  - I think only FDA can offer flexibility.
  - 4 DR. GLOFF: Would FDA like to comment on
  - 5 that?
  - DR. NASR: Yes, I do. I think the
  - 7 question is not talking about quality by design, but

- 8 the application of quality by design and the
- 9 application means in development and sharing the
- 10 information of the submission. If, if the industry
- 11 use the principles internally but they don't share
- 12 that in the submission, I don't think that question
- 13 will be, will be a relevant one.
- DR. GLOFF: So are we saying that the
- 15 question is then do, does the committee agree that
- 16 if the industry applies quality by design principles
- 17 based on what they've heard today, that should
- 18 theoretically increase, provide -- result in more
- 19 flexibility for the applicant to make continuous
- 20 improvement?
- Is that, does that address that
- 22 question?

- 1 DR. MEYER: I don't like theoretical
- 2 because that implies that the agency then isn't
- 3 going to be prodded to provide more flexibility.
- 4 I'd rather have it just as it's stated.
- 5 DR. GLOFF: Okay.
- DR. VENITZ: I agree, as long as the
- 7 understanding of this application means on the
- 8 industry side and acceptance/application on the FDA

- 9 side.
- 10 DR. GLOFF: Okay. So I don't know that
- 11 I could repeat the question as I worded it, but
- 12 we're leaving the word theoretical out, or
- 13 theoretically, okay.
- 14 Are we all set? We'll start with
- 15 Dr. Venitz.
- DR. VENITZ: Jurgen Venitz, yes.
- DR. SELASSIE: Cynthia Selassier, yes.
- DR. MEYER: Marvin Meyer, yes.
- DR. SWADENER: Marc Swadener, yes.
- DR. GLOFF: Carol Gloff, yes.
- DR. KOCH: Mel Koch, yes.
- DR. KIBBE: Art Kibbe, if the agency

- 1 wants it to be, it will.
- DR. KAROL: Maryl Karol, yes.
- 3 DR. GLOFF: All right, but DR. PHAN
- 4 needs to categorize your vote as a yes, no or
- 5 abstention, so.
- DR. KIBBE: I'm sorry, I shouldn't do
- 7 that, but I agree with Dr. Fackler, it's really,
- 8 it's a possibility, from what we do, it's possible
- 9 and if the agency doesn't allow it, it won't happen

- 10 and if they do, it will. So how do you log that in?
- 11 I don't know.
- MR. UNIDENTIFIED SPEAKER: Log that in,
- 13 I mean I don't know.
- 14 The comment says application of QBD, it
- doesn't say only by industry, so if the agency also
- 16 applies QBD, then I think it should be yes, right?
- DR. KIBBE: Well, if you promise me that
- 18 they will, I'll say yes.
- 19 MR. UNIDENTIFIED SPEAKER: All it says
- 20 is if it was applied.
- DR. GLOFF: We'll call him a yes.
- 22 Part three, do you agree that

- 1 application of quality by design principles should
- 2 result in less need for FDA regulatory oversight on
- 3 post-approval changes?
- 4 Comments?
- DR. VENITZ: Yeah, I have a comment for
- 6 the record because this to me almost reads like
- 7 that's oversight.
- 8 I think what you mean by that is that
- 9 the oversight is going to be different, for example,
- 10 that as opposed to getting prior approval to any

- 11 changes, it may just be filed with the annual report
- 12 or something like that, right? That means you still
- 13 continue to provide oversight.
- DR. NASR: Yes, in principle, but again,
- 15 for some will have more of an opportunity to review
- 16 ICH Q8, it was stated clearly in the core guidance
- 17 that became official in June of this year that if
- 18 you provide quality by design information and
- 19 provide information about the design space and your
- 20 understanding of the manufacturing process, any
- 21 changes within such space does not mean a change and
- 22 changes could be made under the quality, under the 0384
  - 1 firm, its own quality system.
  - DR. VENITZ: I don't, I understand that,
  - 3 but I'm saying the wording to me right now almost
  - 4 implies there is less oversight.
  - 5 MS. UNIDENTIFIED SPEAKER: Yeah
  - DR. VENITZ: And I want to make sure on
  - 7 the record that that's not the case.
  - 8 MS. WINKLE: It just changes where the
  - 9 oversight is. If you have the quality by design
- 10 information up front, then that's where the
- 11 oversight is actually done and not in the post

- 12 market changes.
- 13 MR. UNIDENTIFIED SPEAKER: Can you just
- 14 move the less, instead of less oversight, move it
- 15 to, I don't have the sentence up there in front of
- 16 me, what, need -- pardon me?
- 17 Okay. I would say maybe move the less
- 18 so it would be three, need for less regulatory
- 19 oversight, rather than less regulatory oversight. I
- 20 don't know if that's a subtle change or not, but to
- 21 me it seems to address the issue of wiping out some
- 22 oversight period rather than change the kind of

- 1 oversight. Because if you eliminate the
- 2 supplements, that's certainly less oversights, but
- 3 you're not eliminating all the oversight.
- DR. NASR: And not even, we are not
- 5 proposing to eliminate all the supplements
- 6 altogether.
- 7 MR. UNIDENTIFIED SPEAKER: Right, okay.
- 8 MR. UNIDENTIFIED SPEAKER: If you want
- 9 to get into wordsmithing, I think we have FDA staff
- 10 is on record what they mean by that.
- DR. GLOFF: Dr. Kibbe.
- DR. KIBBE: Well, since none of our

- 13 votes are binding on the agency anyhow, and most of
- 14 the time we walk away hoping that they just take the
- 15 spirit of where we're going, I think what we're
- 16 trying for here is that the oversight will be less
- 17 burdensome and less prescriptive and more open to
- 18 good scientific bases and when the companies have a
- 19 good body of information before the agency, then
- 20 they can be comfortable doing things that are not
- 21 scientifically unsubstantiated and if they start to
- 22 do large variations, they know why they are doing 0386
- 1 them and why they need to supplement.
- 2 But less regulation is not comfortable
- 3 for the public.
- 4 DR. GLOFF: Shall we vote?
- We'll start with Dr. Karol.
- DR. KAROL: (Inaudible).
- 7 DR. GLOFF: Would you turn on your
- 8 microphone and perhaps repeat that.
- 9 DR. KAROL: It's hard to say what
- 10 exactly we're voting for, but I don't think we want
- 11 to say there will be less oversight. I wouldn't
- 12 agree with that.
- DR. NASR: If I may help a little bit or

- 14 maybe even make it more vague, but I think the
- 15 question here, we understand I think Dr. Kibbe put
- 16 it fairly well, but we're not talking about less
- 17 regulatory oversight, we are talking about less
- 18 regulatory oversight for some, maybe add the word
- 19 some of post-approval changes, of the post-approval
- 20 changes that they fit within the design space and
- 21 could be managed under the firm, its own quality
- 22 system.

- 1 MS. WINKLE: Can I, I'm not really
- 2 comfortable. I really think that talking about
- 3 regulatory oversight is, could probably come out of
- 4 this altogether.
- 5 What we're talking about, if you apply
- 6 the principles of QBD, can we then eliminate the
- 7 post approval changes is all we're asking here.
- 8 So I think that's really what the
- 9 question should be. I mean it's not a matter of
- 10 less or more regulatory oversight, it's just whether
- 11 you need to send in post approval change if you have
- 12 a lot of information up front which explains your
- 13 understanding of the product and process.
- DR. GLOFF: Okay, so it's to decrease,

- 15 it should or could decrease the need for
- 16 post-approval supplements on post-approval changes,
- 17 does that help?
- 18 MS. UNIDENTIFIED SPEAKER: (Inaudible)
- 19 of the whole process, so I don't know why they
- 20 are -- I mean it's built into the definition now is
- 21 what you're doing.
- DR. GLOFF: Dr. Kibbe.

- DR. KIBBE: Since the vote is not
- 2 binding, I'll vote yes.
- 3 DR. GLOFF: All right.
- DR. KOCH: Mel Koch, yes.
- 5 DR. GLOFF: Carol Gloff, yes.
- DR. SWADENER: Marc Swadener, yes.
- 7 DR. MEYER: Marvin Meyer, yes.
- 8 DR. SELASSIE: Cynthia Selassier, yes.
- 9 DR. VENITZ: Jurgen Venitz, yes.
- DR. GLOFF: We made it through question
- 11 one.
- 12 On to question two. I don't know,
- 13 Dr. Karol, how would you like your vote recorded?
- DR. KAROL: It would have to be a yes
- 15 because I don't disagree with it.

- DR. GLOFF: Okay, Dr. -- DR. PHAN just
- 17 needed to know, thank you.
- 18 Question two, should FDA develop a new
- 19 guidance on quality by design to facilitate its
- 20 implementation or rely only on ICH guidelines?
- 21 So this is sort of a more specific
- 22 example of the question number three that we

- 1 discussed this morning.
- 2 So thoughts on this one?
- 3 Mr. Migliaccio.
- 4 MR. MIGLIACCIO: Yeah, I think I just
- 5 want to reiterate what Bob Baum said a little while
- 6 ago and that is until we have the full postmortem on
- 7 the 11 pilots, I'm not sure we can answer this
- 8 question because the, after we finish the evaluation
- 9 of those pilots, we will know whether there are huge
- 10 gaps which need to be filled with guidance or not.
- DR. GLOFF: Dr. Koch.
- DR. KOCH: Yeah, I guess one of the
- 13 things to add on to that, I'm just wondering in the
- 14 development of a quidance, is it possible in an
- 15 appendix, for example, to use case studies that
- 16 would better define and draw on some of the

- 17 experience that could come from the pilot?
- DR. NASR: I think now we are, we are
- 19 right now in ICH Q8R, we are doing just that. We
- 20 are trying to provide some illustrative examples of
- 21 how the ability of establishing design space around
- 22 some of the unit operations.

- 1 But the question comes that we
- 2 traditionally at the FDA had some fairly
- 3 prescriptive guidelines that are helpful to people
- 4 who know -- that have enough knowledge set and also
- 5 like more instructional direction.
- If we rely only on ICH guideline and I
- 7 think that's the direction we are moving in to with
- 8 ICH Q8, Q9, Q10, I think it was fairly clear in
- 9 Dr. Robert Baum presentation that they are raising
- 10 the same question about some implementation
- 11 guideline.
- 12 So the question before the committee is
- 13 rely only on ICH to provide less direction and more
- 14 high level principles, versus more of direction,
- 15 especially with some of these new concepts.
- DR. GLOFF: Anyone else? Since I --
- DR. NASR: We are not suggesting here at

- 18 the agency that we should develop more guidelines,
- 19 but the question keeps coming to us. You know, we
- 20 deal with smaller firms and large firms, et cetera,
- 21 so we thought that we put the question before the
- 22 committee and we are seeking your input.

- 1 MS. WINKLE: And I guess my opinion
- 2 would be similar to Mr. Migliaccio's, that it may be
- 3 premature to, for us to be recommending whether or
- 4 not a guidance should be written on to support that.
- 5 I believe one of the products in the
- 6 pilot program has been approved, if I remember
- 7 correctly, and there are three others that are in
- 8 review and the others haven't even been submitted
- 9 yet and we were given a bit of information about the
- 10 kinds of, shall we say, issues or limitations that
- 11 you're seeing in the applications, but my opinion is
- 12 it is premature to be really deciding if a new
- 13 guidance would be needed or not.
- So, I would suggest that this question
- 15 be delayed.
- 16 DR. NASR: I think in end I would like
- 17 to summarize that we defer the question until we
- 18 have further experience with implementation quality

- 19 by design is a very good input.
- DR. GLOFF: Dr. Meyer.
- DR. MEYER: But my understanding, you're
- 22 encouraging firms on generic and the brand side to

- 1 introduce QBD into their applications now,
- 2 additional beyond the 11, some of which will not
- 3 have any clue, particularly as to what the FDA's
- 4 expecting. And let's face it, when a guidance comes
- 5 out, there's always the big word draft on there, you
- 6 could provide some minimal information that's
- 7 general and not likely to change, perhaps just to be
- 8 of some assistance to those companies that would
- 9 like to get involved early on.
- 10 MS. WINKLE: I actually think that's a
- 11 good point, too, Marv, because I worry about the
- 12 amount of information that we may get as people sort
- 13 of control looking for what is quality by design
- 14 information.
- So maybe we do need to step back and
- 16 think about this a little bit. Maybe it's an
- 17 internal discussion we need to have as to whether
- 18 this makes sense or not.
- DR. GLOFF: Any other comments on

- 20 question two?
- 21 All right, then we'll move to question
- three, which is, what are the relevant scientific
- 0393
  - 1 areas of disagreement among the stakeholders that
- 2 the FDA should seek to establish consensus through
- 3 additional efforts?
- I don't think this is a question to vote
- 5 on, I think they are looking for feedback. We've
- 6 certainly heard some of the scientific areas of
- 7 perhaps disagreement from the industry
- 8 representatives this afternoon, some different
- 9 comments that have been made by our FDA
- 10 representatives of the types of things that they are
- 11 seeing in submissions.
- 12 Who has a thought around the table?
- Dr. Venitz.
- DR. VENITZ: Well, I'm not sure whether
- 15 I heard a lot of disagreement on the science, it was
- 16 more on how to implement it. I mean if I had to
- 17 pick something, and this is somewhat arbitrary,
- 18 let's define what critical means. And I'm not even
- 19 sure whether it's a scientific question as much as
- 20 it is related to whatever specific attribute you

- 21 might be looking at.
- But other than that, I mean my

- 1 impression is the disagreement is on how to
- 2 implement it and how to make sure everybody's on the
- 3 same wavelength, not what they actually do.
- DR. GLOFF: Good point. Dr. Fackler.
- DR. FACKLER: I agree with that, but
- 6 would say that at least for the generic industry,
- 7 there's still disagreement about how specifications
- 8 should be set and maybe frustration that they don't
- 9 appear to be being set following the new paradigm.
- 10 So, you know, dissolution specs, some
- 11 process specifications, we would suggest that that
- 12 might be an issue to consider.
- DR. GLOFF: Other comments? Thoughts?
- 14 DR. MIGLIACCIO: Well certainly with the
- 15 largest difference among the regions in ICH is the
- 16 post-approval regulatory processes, where FDA is
- 17 going now for post-approval submissions and where
- 18 the other regions are.
- 19 So clearly this has to be a focus area
- 20 because as Bob Baum said earlier, you can come up
- 21 with a tremendous quality improvement, but you can't

- implement it because you're supplying product to 0395
- 1 three regions, you're supplying product globally and
- 2 only the U.S. has adopted a more flexible
- 3 post-approval change process.
- 4 So, we need to certainly plead with FDA
- 5 to continue as Bob said the leadership in driving
- 6 these concepts, particularly in the post-approval
- 7 change management arena, because that's where a huge
- 8 difference exists right now.
- 9 DR. GLOFF: Anyone else?
- 10 Does the FDA require further feedback on
- 11 this question at this point in time? I don't seem
- 12 to have any more, but we can -- okay, thank you.
- 13 Question four, are there additional
- 14 mechanisms for educating reviewers and industry on
- 15 changes being made?
- Well, certainly Dr. Kibbe has suggested
- 17 a possibility of training, of education information
- 18 being disseminated to both reviewers and industry
- 19 representatives at the same time.
- 20 Anyone else have a thought?
- Is there any, I don't know if this is
- 22 possible, but I'll throw it out there, I recognize

- 1 that the FDA Website contains many things on it.
- Is there any possibility or maybe it's
- 3 already there of some kind of a training that
- 4 wouldn't really be a guidance, but a training that
- 5 somebody could do online?
- 6 MS. WINKLE: Yeah, I think that's
- 7 possible. We do some of that for generics on, just
- 8 the whole generic program we have a Website for
- 9 training and I think it will be helpful if we can
- 10 get, and we're planning on doing this, it's getting
- 11 done. It has been slow, is get a Website up that
- 12 really tells some of the progress we're making in
- 13 some of the lessons learned and different
- 14 information we have out there.
- That, again, isn't guidance, we have to
- 16 be very careful that it's not guidance, but I think
- 17 there's a lot of information we could put up on a
- 18 Website that would be very beneficial to the
- 19 industry in applying some of these concepts.
- DR. GLOFF: And the other thing that
- 21 comes to my mind is and I think you're doing this
- 22 already, but I'll mention it anyway, is doing

- 1 workshops or whatever at various professional
- 2 meetings that representatives of the industry and
- 3 certainly some FDA reviewers would attend.
- 4 DR. NASR: Yes.
- 5 DR. GLOFF: And I don't want to mention
- 6 specific organizations just because I don't want to
- 7 be biased, sound like I'm biased, but there are a
- 8 number of them that I can think of. You may already
- 9 be doing that. Certainly you gave us a slide in our
- 10 information package from DIA. Maybe there could
- 11 even be something more formal than that, as more of
- 12 almost like a training workshop as a possibility.
- 13 Dr. Kibbe.
- DR. KIBBE: It's just a brief follow-up
- on my idea of getting -- one of the problems I think
- 16 the industry faces, as I've said over and over
- 17 again, is that there is not harmonization on
- 18 regulatory requirements, even after you try to
- 19 harmonize the USP and the rules that they have to
- 20 live up to are different.
- 21 And many, many years ago we tried to get
- 22 both the Japanese scientific community and the U.S.

1 scientific community and the European scientific

- 2 community to all meet at the same meeting. I think
- 3 it was Hawaii, I enjoyed it.
- 4 And I think that if, if there, there
- 5 could be anybody who could jointly sponsor from the
- 6 three main members of the ICH communities a meeting
- 7 of scientists and regulators at the same place and
- 8 they could exchange this, we'd go a long way to
- 9 moving people in the same direction.
- I don't know whether you wanted to get
- 11 involved in that with your colleagues at the next
- 12 ICH, but I might be able to.
- DR. NASR: If I, if I just may add a
- 14 couple of comments.
- One, I don't like members of advisory
- 16 committee to feel that we are expecting a very
- 17 specific and voting and input into all of these
- 18 issues. I think you all know that these issues were
- 19 drafted prior to the discussion we had today and we
- 20 already have received some good input and comments
- 21 from the advisory committee.
- Second, about the training and some of

- 1 our efforts, we can devote an hour or two to discuss
- 2 that, but some of the ideas suggested by Dr. Kibbe

- 3 are very good and we are currently implementing.
- So in our training for our reviewers, we
- 5 are bringing people from industry to tell us about
- 6 what they do, we go to industry, manufacturing
- 7 facilities, Lawrence mentioned that, I do that,
- 8 Steve Kozlowski, as well, and others, we do that.
- 9 We send our reviewers for training and
- 10 visitation to pharmaceutical manufacturing facility
- 11 to talk with the people who develop and manufacture
- 12 drugs through plant orientation, et cetera, so we do
- 13 that.
- So, some of the things we are doing. I
- 15 think through the ICH process there is a great
- 16 opportunity for dialogue and I think we could
- 17 discuss in Chicago about how can we facilitate the
- 18 implementation of ICH guidelines.
- 19 Is joint training among regulators and
- 20 industry, I think that would be the best way to
- 21 facilitate the implementation and we all be on the
- 22 same wavelength.

- 1 One other thing that's fairly important,
- 2 many of the workshops that were cited in many slides
- 3 today, part of the workshop is break-out sessions in

- 4 the workshop where we have a small group discussion
- 5 where we have people who come from the review,
- 6 inspection compliance activities in the agency,
- 7 people from industry and people from different parts
- 8 in the world.
- 9 I know that the FDA efforts in
- 10 leadership in these workshops has been so extensive
- 11 that we bring the people from Europe and from Japan
- 12 to work with us because that's only way that I think
- 13 we can achieve harmonization.
- MS. WINKLE: Can I add one thing, too,
- 15 which Moheb just touched on and I was thinking about
- 16 this question refers to mechanisms for education of
- 17 reviewers and industry and I did touch on it when I
- 18 talked a little bit and Moheb just talked on it.
- 19 I think another really important aspect
- 20 of the education is for the field force and the
- 21 inspectors, because I know that this is one of the
- 22 concerns that's out there with the industry and I

- 1 understand that the reviewers may agree on something
- 2 in an application and when the inspector comes to do
- 3 the inspection, they may have some disagreement or
- 4 not understand the true concepts of what we're

- 5 trying to accomplish.
- 6 So, I think this is a really important
- 7 aspect of the training that we have to do and it has
- 8 to be continuous training, too. We can't have one
- 9 or two training sessions and expect them to be
- 10 knowledgeable and up to date with some of the things
- 11 that we're changing.
- 12 You said it's an evolving process and
- 13 we've got to be working with them, too, so I think
- 14 that's an important thing to keep in our minds as
- 15 well.
- DR. GLOFF: Anything else?
- 17 All right, question five, are the ONDQA
- 18 plans and efforts adequate to, adequate to implement
- 19 quality by design?
- MS. WINKLE: Hard to say is the message
- 21 I'm getting.
- DR. GLOFF: Could you turn on your mic,

- 1 DR. KAROL.
- DR. KAROL: I don't think I have enough
- 3 information to really decide on that.
- 4 MS. WINKLE: It's also early in the
- 5 game, I mean you know it's early in the process. It

- 6 seems like my personal opinion, it seems like good
- 7 plans, but it's hard to say if it will be adequate
- 8 or not.
- 9 DR. NASR: Okay, if I may provide
- 10 further clarification, I agree we are early in the
- 11 process, but I think one thing that was presented
- 12 today both by Dr. Chen and also by Dr. Bob Baum is
- 13 the CMC pilot program. So we came up with this
- 14 program as a way to put our hand around the issues
- 15 and see where we are with the quality by design, as
- 16 a first step.
- 17 So I think the question is at this
- 18 stage, do we need to do more than that or just
- 19 continue with this program at this time.
- DR. GLOFF: So what you're really
- 21 looking for is does this committee have other
- 22 suggestions of things that --

- DR. NASR: At this time?
- DR. GLOFF: At this time that the FDA
- 3 should consider adding to the implementation of
- 4 quality by design for ONDQA.
- With that question, it's 20 of 6, I
- 6 think the committee is -- so at this point I think

- 7 we don't have other suggestions, unless I'm missing
- 8 someone.
- 9 So, we will -- oh, I'm sorry,
- 10 Dr. Swadener.
- DR. SWADENER: I'd just like to say that
- 12 it's in my experience at the University for
- implementing programs, it's very, very, very
- 14 important to document what went on in detail, what
- 15 the results were, whatever it was, and continue that
- 16 throughout the whole process, even after you decide
- 17 to go ahead with this.
- 18 Keep a very detailed history. That's
- 19 very, very important. May be more important than
- 20 the actual review itself.
- DR. GLOFF: Anyone else? Okay.
- Question six. OGD question-based review

- 1 initiative is currently limited to generic drug
- 2 product. Should it be expanded to include drug
- 3 substance?
- 4 I guess my view on that would be that it
- 5 seems to me like yes, it should be expanded to
- 6 include drug substance.
- 7 However, we really didn't discuss that

- 8 today, so there may be some pitfalls or problems
- 9 that I'm not thinking of and not aware of that would
- 10 change my answer, but my initial response would be
- 11 why not.
- 12 Anyone else? Yes, Dr. Venitz.
- DR. VENITZ: I thought I heard or read
- 14 somewhere that there's no Q8 guidance out on drug
- 15 substance. Is that correct, or am I confused?
- DR. NASR: We don't have a specific
- 17 guidance yet on the right stage for drug substance,
- 18 but the Q8 guidance discuss the aspects of the drug
- 19 substance that impact the performance of the drug
- 20 product. So, there are some discussions under Q8
- 21 about the role of drug substance and how some of the
- 22 characterization efforts and, that are needed in

- 1 order to develop a dosage form.
- 2 So there are something new. I think
- 3 there is another part or an issue here and maybe
- 4 I'll ask Helen to elaborate and that is some of the
- 5 challenges with the implementation of quality by
- 6 design through our regulatory process is a drug
- 7 master file, the DMF, and that creates another issue
- 8 and I don't know if, and Helen mentioned already

- 9 that this is one of the things that we need to work
- 10 on in the future.
- 11 MS. WINKLE: Actually we were getting
- 12 ready to put together a working group with industry
- 13 to look at DMFs and where they fit into the whole
- 14 concept of quality by design and whether we can
- 15 change the process. They're used a little bit
- 16 differently across the three offices, so we're
- 17 trying to get representatives from all three, you
- 18 know, areas to begin to look at this and discuss it
- 19 and I'm actually hoping the next time we meet, the
- 20 advisory committee, that we can bring some of the
- 21 recommendations to the group, but.
- DR. YU: I guess I need to provide some

- 1 background. When we implement the QVR is and
- 2 almost, almost exclusive, almost all the
- 3 applications, the approval is delayed because of
- 4 drug substance is inadequate, so we have been asked
- 5 by industry in our reviews in actually many, many
- 6 month and at this point OGD management answer to
- 7 those question is we need to finish OVR for drug
- 8 product first, then maybe we tag along for drug
- 9 substance.

- 10 That's why we impose this discussion to
- 11 you to seeking advice and comments. Just for
- 12 clarification, thank you.
- DR. GLOFF: Dr. Fackler, did you --
- DR. FACKLER: I was going to say that it
- 15 might be premature to put the question-based review
- 16 initiative toward the drug substance, but some kind
- 17 of initiative to help the drug substance
- 18 manufacturers improve the information in their
- 19 particular DMFs is very useful and probably doesn't
- 20 need to wait.
- 21 But we might wait and see how the
- question-based review goes for the drug products
  - 1 before imposing it on drug substance, so I'm saying
  - 2 yes, let's help the API, but let's maybe not
  - 3 implement this untested system on them.
  - 4 DR. GLOFF: Dr. Venitz.
  - DR. VENITZ: Well, basically I concur on
  - 6 that based on what you just told me, that you don't
  - 7 have a Q8 guidance, you have issues with DMFs that
  - 8 you're trying to address, so to me it sounds like
  - 9 it's premature.
- DR. GLOFF: Anyone else?

- Okay, I think the response can be summed
- 12 up in general that the concept of doing something at
- 13 some point probably makes sense, but I think the
- 14 general agreement is it's probably premature based
- on the information provided, so.
- 16 Question 7. Should FDA develop a pilot
- 17 program to explore specific quality by design issues
- 18 that are important for biotechnology products?
- 19 Dr. Koch.
- DR. KOCH: I get the impression that
- 21 some of the biotechnology companies are addressing,
- 22 you know, that to define what a good example would 0408
  - 1 be and perhaps to begin moving in that direction.
  - 2 So I think it would be an excellent
  - 3 opportunity to assist that, that discussion.
  - 4 DR. GLOFF: Dr. Venitz.
  - DR. VENITZ: Again, I don't, if you had
  - 6 to rule on this, I would have to abstain, so, based
  - 7 on my knowledge base, even after today's.
  - 8 Now having said that, given that you're
  - 9 looking at follow-on proteins and other things where
- 10 QBD issues may be relevant, yeah, it would be a good
- 11 idea for you to look into that.

- So I guess I'm positively inclined, but
- 13 I wouldn't be able to vote yes or no on it.
- DR. GLOFF: Anyone else with a, the
- 15 same -- Dr. Selassier.
- DR. SELASSIER: Yeah, I tend to agree,
- 17 especially if you're dealing with the monoclonal
- 18 antibodies with similar samples and you can use that
- 19 knowledge base I think to go ahead and do a pilot.
- MR. KOZLOWSKI: Aside from yes or no,
- 21 which I guess you'll get to, is there any advice on
- 22 how you think that pilot program should, should
  - 1 look? I know, it's 6:00 almost.
  - DR. GLOFF: Yeah, I think that I,
  - 3 speaking for myself, I think that I haven't thought
  - 4 about it enough to really be able to give you any
  - 5 substantive advice on what would make sense for that
  - 6 program.

- 7 My instincts are similar to the other
- 8 members who have spoken up that the concept seems
- 9 like an appropriate concept, but I probably don't
- 10 have enough -- I don't have enough information, or
- 11 at least I haven't digested the information that I
- 12 have to be able to give any substantive feedback.

- DR. NASR: If I may interject here, just
- 14 make sure I understand, or we understand, are you
- 15 looking for a specific proposal from the agency of
- 16 what a pilot program will focus on and some of the
- 17 agents and some of the potential gains from looking
- 18 at this, or what the question as put before you
- 19 today is sufficient? I'm trying to find out what we
- 20 need to do.
- DR. GLOFF: I'm going to turn to
- 22 Dr. Venitz and Dr. Selassier who both commented.

- DR. VENITZ: What I'm proposing is that
- 2 you look into it. I'm not proposing that you come
- 3 up with a program, but it's something that obviously
- 4 you're thinking about it, so maybe you continue to
- 5 think about it and come with a proposal to us if
- 6 that's what you want to do.
- 7 MR. KOZLOWSKI: Okay, if I outlined, and
- 8 again, just throwing this out because I think this
- 9 needs a fair amount of consensus, but if the program
- 10 was focused on supplements that had comparability
- 11 protocols and focused on looking at complex
- 12 attributes with some extra biological data or extra
- 13 data on why those attributes can be in a particular

- 14 range or not and the potential regulatory benefit
- 15 with that comparability protocol, it really had data
- on, much more data on the space that the attributes
- 17 can occupy might be much broader than it would
- 18 otherwise, so a comparability protocol for a change
- in fermentation might be limited we're making this
- 20 change, but instead it might be if we make this
- 21 class of changes and we look at things and we've
- 22 defined what attributes matter, that then multiple

- 1 changes within those parameters could be, so there's
- 2 a regulatory benefit defined, there's a targeted
- 3 area which is complex product attributes, which is
- 4 not unique to biotech products, but clearly an area
- 5 that biotech products has to deal with.
- 6 DR. KAROL: Yeah, I think you've got the
- 7 concept in. I think what we're looking for are what
- 8 are those particular issues in the biotech area that
- 9 would comprise the pilot program, you know, what are
- 10 the concerns that are relevant to biotech that's not
- 11 relevant to the other areas, that would clarify it
- 12 for me.
- MR. KOZLOWSKI: Right, so I think,
- 14 again, it's never totally unique to biotech, there

- 15 are very complex APIs that are not biotech, but one
- of the shared features of biotech products or many
- of them is they have complex post-translational
- 18 modification, lots of variants, okay.
- So, again, even though that might apply
- 20 to Heparin and, you know, some other things, but
- 21 it's, it's a very common biotech issue and one, so I
- think biotech would be a good vehicle to address how 0412
  - 1 to deal with complex APIs.
  - DR. GLOFF: Dr. Kibbe.
  - 3 DR. KIBBE: He's almost got me convinced
  - 4 to say go ahead and do it, but what I was going to
  - 5 suggest before you almost convinced me is that you
  - 6 go back and look at a recent supplement and say if
  - 7 it had come in under these rules, what would that
  - 8 have meant for the time it took me to do that.
  - 9 Now that might take you a few weeks to
- 10 go through and say, all right, if I had gotten these
- 11 bits of information that would have been available
- 12 under QBD, you know, would that, what would that
- 13 have done for this company and my reviewers.
- 14 And if it comes out positive, then I
- 15 think you should go forward with a pilot. And if it

- 16 comes out that you would end up being a wash, I
- 17 don't know.
- MR. KOZLOWSKI: Well, we have had
- 19 examples which may get presented at some point by
- 20 the involved companies where they have created a
- 21 very broad, say, space for glycoforms, a wide
- 22 variety of them that didn't impact PK or other

- 1 parameters.
- 2 And so the consequences are that or so
- 3 they get a broader range and that happens within the
- 4 current regulatory process.
- 5 But the question was we know they can do
- 6 that. If they can really make a convincing case
- 7 that this broad space gives them the freedom to
- 8 potentially change other things, as long as they
- 9 remain within it, then I think it is, it's a big
- 10 savings to industry to have a comparability protocol
- 11 that covers more than one change.
- DR. GLOFF: Dr. Webber.
- 13 DR. WEBBER: If I could just propose
- 14 perhaps to rephrase the question and say should the
- 15 FDA explore development of a pilot program for a
- 16 specific quality -- (inaudible) biotech.

- DR. GLOFF: Dr. Meyer?
- 18 DR. MEYER: The words I had considered
- 19 developing, but that goes along with what you said.
- 20 Can't hardly argue with that.
- DR. GLOFF: Further comment on the
- 22 rewording of the question?

- Do we need a vote on this or is there a,
- 2 I think there's -- I'm not seeing anybody shaking
- 3 their head no, you shouldn't do it, so I think
- 4 there's a consensus that with that re-wording for
- 5 the agency to consider it or however, whatever the
- 6 wording was, there's a consensus that that would be
- 7 appropriate.
- 8 We have one question left from this
- 9 morning. It was question two and it is, should FDA
- 10 implement additional quality risk -- excuse me,
- 11 quality risk management activities given resource
- 12 constraints?
- No, I will let you go home, but I'm just
- 14 doing my job.
- 15 Yes, Dr. Venitz.
- DR. VENITZ: Again, I'd say I have to
- 17 abstain. That's really a management decision that

- 18 you have to make internally. I don't think it's up
- 19 for us as a committee to look at the resources. You
- 20 obviously are very limited, I think you made that
- 21 point and I'm convinced you are.
- 22 But to figure out how to assess

- 1 priorities within the office, I don't think I'm able
- 2 to do that.
- 3 DR. GLOFF: Dr. Kibbe.
- DR. KIBBE: I agree with Jurgen, I think
- 5 if we were given a list of things that, and you said
- 6 okay, we can only do two of these things, then we
- 7 might be able to help you decide among a list of six
- 8 or seven things, but to just say, ah, you know, I
- 9 mean I don't know how we can help.
- 10 DR. GLOFF: Yeah, I don't know how we
- 11 can be, certainly can't be specific. I think that
- 12 it may be appropriate for additional quality risk
- 13 management activities to be implemented depending on
- 14 what they are and the circumstances, so, I, I
- 15 certainly think there may be other opportunities for
- 16 the agency to implement some of those, but beyond
- 17 that, I can't really say.
- Dr. Koch.

- DR. KOCH: Another way to look at this
- 20 is is there something that the committee can do to
- 21 assist this freeing up other resources? You know,
- 22 is there some assist in freeing up other resources?
- 0416
  - 1 You know, is there, is there some, you
  - 2 know, we agree that the resources are short, you
  - 3 know, is there some mechanism to go up the chain or
  - 4 something like that.
  - DR. NASR: I think we can give you some
  - 6 applications to review, Mel.
- 7 MR. UNIDENTIFIED SPEAKER: If you want
- 8 the dosing system in place, let us know.
- 9 DR. GLOFF: Okay, well it's -- anything
- 10 further, any other comments?
- It's now five minutes of 6. I thank the
- 12 audience, the observers here for, those of you who
- 13 stuck with us until this late hour, but I think it
- 14 was worth all the information that we obtained --
- 15 were given today and appreciate the discussion.
- 16 So we'll reconvene tomorrow morning at
- 17 8:30 when Dr. Cooney will be here and thank you,
- 18 again.
- 19 (October 5th, 2006, meeting concluded.)