

1 DR. SIEGEL: Right. The tiers came out in
2 the November discussion to try to incorporate those
3 principles. What you are saying is you endorse the
4 principles but it is hard to be too highly
5 prospective and specific about exactly how to use
6 them. I understand that. I made the case for why
7 there is a lot of advantage to trying to be
8 prospective and give guidance on how they are used.
9 But I hear what you are saying.

10 DR. MULLING: But the other message,
11 certainly my message is that I think there ought to
12 be a very deliberate incorporation of some of these
13 principles into the actual product review. That is
14 the other part of this, a kind of a different way
15 of thinking, that those things that are most of
16 most concern to people that are bringing up issues,
17 taking samples for the first five years -- you
18 know, we maybe ought to be thinking a little
19 differently about those.

20 DR. SIEGEL: Just to clarify further in
21 terms of the way you set the goals for the end of
22 today, surely, basically it is feasible but I
23 question setting them too low, and there is some
24 consensus there needs to be longer follow-up
25 because where are we in the process? That is, I

1 said before we are all in agreement that we need --
2 I agree, by the way, with everything Amy said --
3 further consultation about the best information and
4 about who to collect it and how to collect it, and
5 funding, and whatever, and yet there appears to be,
6 unless I am wrong, a strong consensus on this panel
7 that the right thing to do with the protocols that
8 we receive next week at the FDA is not what we have
9 been doing, which is if it is a retrovirus, there
10 is five-year specimen collection and long-term
11 follow-up, and if it is not a retrovirus, one year
12 and then you are done.

13 So, while I don't disagree that other
14 areas need to be put forward, I am suggesting that
15 we come to a point in time where it would appear,
16 based on this advice of this committee and
17 assessment of the situation, that one of the steps
18 of the process, and one that we are moving toward,
19 is to request that sponsors commit to more
20 extensive long-term follow-up for a broader class
21 of vectors. And, I think you already said it is
22 kind of the sense of the committee that there is be
23 general support for that. But we also need
24 whatever we can get in terms of practical input in
25 terms of what the nature of that would be.

1 While recognizing that it is not final, it
2 is also the case that the easiest time to work
3 these things out often is as the research is
4 beginning, as people are funding the research and
5 planning the research and thinking through the
6 research. It is much harder, if not impossible, to
7 go back to a study that was started ten years ago
8 and say, huh, you know, you really needed a
9 twenty-year follow-up, so even though you haven't
10 done anything for the last five years it is time to
11 reopen the study and find those patients and ask
12 them all these questions. So, we don't want to be
13 twenty years from now not having gotten started.

14 DR. SALOMON: And, I think what we are
15 trying to say is what is it in this phase one that
16 this committee would agree with you doing next week
17 when you get such-and-such and I want to try and
18 get there. Then we can stop and discuss in general
19 principles of long-term follow-up that would be
20 advice but not, you know, a specific guidance to
21 you in the sense of how the committee thinks you
22 should do things next week, and that might be
23 setting up a framework for consultations with other
24 groups that I think all of us accept as a
25 principle.

1 DR. MULLIGAN: You know, there is a
2 sensitivity about your existing retrovirus
3 long-term follow-up requirement. I mean, I hate to
4 take on another thing for us, but it seems like you
5 raised that in that there is an inconsistency. In
6 a way we are tacitly rescinding -- you could
7 interpret that we are rescinding the need to do
8 this stuff that is now in force. Is that something
9 you want us to address?

10 DR. SIEGEL: Well, one of the reasons we
11 came here in November was the growing awareness
12 that we had advice that it was important to collect
13 certain types of information. We were asking for
14 that information and it wasn't being collected.
15 Okay? So, I personally, and I think many others of
16 us, didn't just want to sit on that, you know, that
17 the whole world thinks that we are getting
18 archiving specimens. And, one of the questions I
19 asked the committee was, well, given that we are
20 not collecting this information in the current
21 infrastructure of a higher incidence, does that
22 mean that we are asking (a), for the wrong thing,
23 (b), we should collect the best we can or, (c)
24 should we put all the research on hold because the
25 fact that we can't collect it makes it too unsafe

1 to conduct the research?

2 The answer I got, at least as I understood
3 it, was that while there has continued to be some
4 level of confusion -- not confusion, I don't mean
5 that, some level of lack of consensus about exactly
6 what is the best thing to do, there was no strongly
7 held belief that the appropriate approach is to
8 stop the research until we can work up the
9 mechanisms to gather the data right.

10 Now, we have had some discussion about
11 those mechanisms here and the relative value of
12 having simple postcards and whatever, and focusing
13 and whatever, and those were useful. We have had
14 other discussions. I think as Amy points out, CDC,
15 other groups that have expertise to bear on that.
16 And, also some of the issues that we got into
17 discussing are infrastructural issues. You know,
18 there should be an organization that does this or
19 something like that. And, I think that sort of
20 advice is useful but I think we are feeling also a
21 need -- you know, was it Amy who said short-term
22 solutions and long-term follow-up -- those are the
23 sorts of things that you are going to build
24 organizations, structures, governmental or not,
25 cohorts, whatever, that need to be under discussion

1 and, indeed, are under discussion. But, at the
2 same time, we need to know what are we doing
3 tomorrow? Should we stop all the research until it
4 exists, or should we ask them to commit knowing
5 that they will do their best but that their best
6 may have some significant holes in it?

7 DR. SALOMON: We are going to try and do
8 that. So, what I think we should talk about now is
9 what do we, today, phase one, agree should be done
10 for gene therapy long-term follow-up -- some of the
11 details now. What do we feel is the phase one, the
12 first cut? Then we will go on to talk about what
13 we could see being done as part of the consultation
14 with others interested in this area in phase two
15 and three. What do you, guys, think? Phase one?
16 What is the bottom line?

17 DR. CHAMPLIN: What I have sort of been
18 wrestling with is I think we all agree with sort of
19 detailed follow-up for the first year is
20 non-controversial. We have sort of signed off on
21 that. Then a survey for some extended period of
22 time of late, unexpected consequences. I guess
23 what I am sort of wrestling with is the middle
24 ground, what is now listed as the five years of
25 annual physical examinations and review. And, that

1 is sort of protocol specific I think in terms of
2 the vector involved and what data is needed to
3 analyze that vector. If you are expecting
4 long-term expression, of course, you want to
5 measure is it being expressed, etc.

6 But in terms of toxicity assessment, I
7 would probably try to simplify that to not
8 necessarily requiring a person to come back to the
9 treatment center and the sponsor assessing the
10 toxicities in those patients during that five-year
11 period by interactions with the patient directly
12 and with their local physician, and then getting
13 whatever samples are necessary for the study
14 protocol itself. But it becomes increasingly hard
15 to get people to truly come back to the treatment
16 center, if it is a long distance patient, beyond
17 the first year, and it is asking for missing data
18 and problems in executing the protocol if you
19 require that.

20 DR. SALOMON: One principle that maybe we
21 could agree on is that there should be a database
22 in which all patients who have been in a gene
23 transfer protocol are identified, that details of
24 the protocol are identified, that the vector, the
25 promoter, etc., etc., the purpose, the initial

1 patient data, the response -- all these different
2 things, that this should be in the database; that
3 the integrity of that database should be assured,
4 should be easily searchable so that if ten years
5 from now or twenty years from now any question is
6 raised in public or in the halls of the CDC, or
7 whatever, all this information is immediately
8 available and you can instantly say how many people
9 got this and this vector, for what disease, etc.,
10 and why, and what were the details of the protocol.
11 Can we start with that one principle?

12 DR. SIEGEL: Right, and I think we are
13 pretty comfortable that that is well on its way to
14 happening. NIH and FDA have put substantial
15 efforts into that.

16 DR. SALOMON: Now, the second principle --
17 does everyone on the committee agree? We all agree
18 with that? I mean, we have covered that before.

19 The second principle would be what is the
20 sponsor? Would that be an individual investigator
21 on an NIH grant, or a biotechnology company, or
22 some mix thereof? What is the responsibility of
23 the sponsor to this first principle, the database,
24 the integrity of the database? My feeling would be
25 it is the sponsor's job to make sure that all this

1 detail is in this database. It is not the
2 sponsor's job to be the database but it is to
3 submit the data requested by the database holder,
4 and whether you, guys, do that within the FDA, the
5 NIH, I know the RAC has done some work on that. It
6 is fantastic. Or, whether in the end you contract
7 -- I don't think that is this committee's issue
8 right now. But it is the sponsor's. Do we agree
9 that it is the sponsor's duty to obtain and provide
10 the integrity of that data?

11 MS. LAWTON: One comment I would add to
12 that is if this is for the purpose of tracking
13 patients, you also have to look at how frequently
14 do you want the sponsors to keep that information
15 up to date.

16 DR. SALOMON: Right. That is good.

17 DR. CHAMPLIN: So, there are precedents
18 for these kinds of organizations. For example,
19 there is the international bone marrow transplant
20 registry that collects transplant outcome data on
21 patients. Basically it is operated under contract
22 from the NIH. Similar to what you envision, they
23 then develop case report forms; they have annual
24 reports on all the patients that are submitted.
25 This would seem to be a sort of parallel function

1 that we are describing here.

2 DR. SALOMON: Yes, there is also UNOS.
3 There is the end-stage renal disease database.
4 There is the AIDS vaccine trial. So, I think a
5 principle here would be that these should be
6 web-based from the sponsor's point of view. They
7 should all be available at web-based data entry
8 sites so that would facilitate data entry.

9 MS. LAWTON: I guess I wouldn't get into
10 that level of detail here. I think we should be
11 saying there should be a registry. Sponsors are
12 responsible and there should be a way of updating
13 it. But we shouldn't start recommending whether it
14 should be web-based, whether it is held at NIH,
15 FDA, whatever.

16 DR. SALOMON: I agree. We are not telling
17 where it is going to be. I am okay with stopping
18 there. I was just trying to get a sense that it
19 didn't get ridiculous, you know, that we had to
20 have carrier pigeons. I mean, there has to be some
21 limit. I think a principle here is that it has to
22 be technologically made in such a way that it is
23 not an onerous burden on the sponsor. I shouldn't
24 give any more detail now. I agree.

25 DR. SIEGEL: I would like to say that I

1 would like to really focus in that regard on what
2 information to collect. For example, there are
3 issues that we are trying to address right now that
4 relate to securing the privacy of the information.

5 DR. SALOMON: I agree. Mahendra, you had
6 a comment?

7 DR. RAO: You already addressed it. I was
8 going to say the two points we should only make
9 about the database is that all the information from
10 the sponsor should be in the same format because it
11 is all going to be kept in one place. The other
12 thing is, from what we have discussed before, there
13 will be levels of information depending on the
14 category of trials that you have. So, it is not
15 that all information is going to be identical on
16 all the samples that you have. Right? You are
17 going to have patients on a trial where you might
18 have just a simple questionnaire. Right? Or,
19 others where you might have additional data.

20 DR. SIEGEL: Yes, just so that you all can
21 feel somewhat comforted by this, there have been
22 ongoing efforts that have included broad
23 consultations with groups such as UNOS, and bone
24 marrow transplantation, and other people who work
25 in this area that have also had input from our

1 efforts, people at NIH, FDA and CDC working on gene
2 therapy or working on xenotransplantation where
3 some of these issues arise. And, a lot of efforts
4 to date have gone into defining what are the data
5 fields and the databases, which would determine, of
6 course, partly what information you collect; how
7 should that be defined; how we classify vectors;
8 how do we classify events; how do we track sites,
9 patients, physicians or whatever in the database.
10 And, how do we build systems that will allow
11 analysis for that. Where we are trying to get at
12 this point though in a sense, at least from the
13 perspective at least of long-term data, is what
14 efforts need to be made to get the data to populate
15 those systems so that we can analyze. That is
16 right, Dr. Rao, we anticipate that it wouldn't
17 necessarily be the same.

18 DR. SALOMON: So, picking up on where Dr.
19 Rao left off, I guess where I was going -- I got a
20 little bit off detail when we were talking about
21 the web base -- I guess the principle I would like
22 to see if we agree on -- and this was an issue that
23 we got into some discussion with the leadership of
24 the American Society of Gene Therapy at the meeting
25 in Seattle a few months ago, and that is, we really

1 think that efforts have to be made by the federal
2 agencies to harmonize this information. I know
3 you, guys, have heard this message and are doing
4 your best to do that, but I think as a principle
5 from this committee, unless again my colleagues
6 want to disagree, it is very important that there
7 not be twenty different data reporting requests
8 from twenty different federal agency groups. I
9 think one of the things you should hear from us is
10 that we would hold you responsible for harmonizing
11 some of this information the very, very best that
12 you can. Is there any discussion on that?

13 DR. PATTERSON: I would just like to
14 request time at a future meeting to go over with
15 you some changes, some significant changes to the
16 NIH guidelines and reporting requirements that I
17 think they will speak directly to the issues. We
18 heard very clearly the call from investigators and
19 industry that you wanted wherever feasible or
20 possible one set of federal requirements, and we
21 are harmonizing our definitions, time lines and
22 scope of reporting to parallel those that the FDA
23 has set forth in 21 CFR.

24 In addition, we have a number of
25 initiatives under way that I think this committee

1 could make important contributions to, a series of
2 ongoing safety symposia on the database for setting
3 up a gene transfer data safety assessment, and we
4 will be working closely with colleagues at FDA to
5 help prepare reports for that. Just whenever you
6 have time on your agenda, I would like to maybe
7 give you a more detailed update on those efforts
8 that we have heard and paid attention to.

9 DR. SALOMON: That is excellent. We are
10 on a roll here. I don't know how long it is going
11 to last. So, the next step would be getting a
12 little bit closer to what would be the generic
13 detail then. What do we advise now needs to be
14 done for this first phase?

15 DR. SIEGEL: Maybe to help focus more, I
16 will ask a more specific question although, again,
17 all comments and all aspects are welcome, and that
18 is how long? I think you pointed out at some point
19 in time we thought we should be following people
20 for the rest of their lives. At this point, to
21 summarize what we have proposed in analyzing risks,
22 at least on the basis -- and we don't know for gene
23 therapy of course, but on the basis of other
24 treatment, the nature of the disease, the nature of
25 virus-induced disease, and the nature of genetic

1 mutation-induced disease, I think our summary,
2 looking at malignancy and neurologic disorders and
3 perhaps those that might take the longest time, we
4 would still see much of what we were looking for in
5 ten years, and most of what we were looking for in
6 fifteen years, and a very large proportion of what
7 we were looking for over twenty years of follow-up,
8 and I don't know that we have a good feeling for
9 the additional costs or even additional yields as
10 you go to ten, fifteen, twenty or longer periods of
11 follow-up. But we put on the table time ranges
12 between fifteen to twenty year range as a standard
13 amount to do this sort of follow-up, and it would
14 be interesting and useful to get feedback on that.

15 DR. SALOMON: Okay. So, we can look at
16 time frames. I think that is a great place to
17 start. Five years? Ten years? Fifteen and
18 twenty? I think what I would like to return to
19 when we are done is a second principle that is
20 connected, and that would be do we think it would
21 be more intense in the first year, five years
22 versus ten years? But let's get to that in a
23 minute.

24 How about comments from the group about
25 phase one, all patients on a gene transfer vector

1 clinical protocol, what time frame are we going to
2 look at data for?

3 DR. MULLIGAN: I think one issue has to do
4 with trying to not dissociate that question from
5 what it is. What is the data collection? It is
6 sort of ridiculous to talk about that and then have
7 to spend another hour or two rationalizing it. So,
8 I mean, I would almost do it in reverse. You know,
9 if you are talking about ten years, fifteen years,
10 twenty years is there a significant difference in
11 the amount of information you will add based on the
12 kind of system that you put in place? If it is an
13 automatic e-mail that goes to people, you know,
14 something like that. I think that is going to end
15 up dictating where we are going to cut down because
16 I still look at this as a pretty raw database that
17 we will have, and I do look at it as changing over
18 time. Ten years, you know, from now another group
19 here may have to rehash this whole thing.

20 But at this point, I would be interested
21 to hear what people think about ten years or twenty
22 years in terms of getting info, and if you have an
23 attrition rate between ten and twenty years, do you
24 care? That is, are you still getting the info?
25 Then, you know, almost base a decision on that. I

1 would be most interested to hear what people think
2 would be the manner of data collection. You know,
3 what would be the actual kinds of questions,
4 questionnaire, how would you get it to people? I
5 think if you don't understand how you get it to
6 people, then we can't really give a sense of how
7 long to keep it.

8 DR. SALOMON: Fair enough. Comments?

9 DR. HIGH: I would just say that looking
10 at the field in general, to me, it is more useful
11 to collect a minimal amount of data between five
12 and twenty years than to collect a great deal of
13 data between one and five years. I think that for
14 what we need the amount of information is really
15 minimal. I think we could just have, as you said,
16 a one-page questionnaire or even a postcard. You
17 want to know causes of death, development of new
18 medical conditions, that sort of very minimal
19 information, and it could go first as a
20 questionnaire to the patient and if it fails to
21 elicit something, you know, the sponsor could
22 follow-up with the treating physician.

23 DR. CHAMPLIN: I would think after about
24 five years you are going to be dealing with very
25 rare events and you are really concerned about

1 malignancy as the number one thing, possibly
2 neurologic disorders. So, you could have three
3 questions on the card, basically, did you develop
4 cancer? Then, some more general, did you develop a
5 major medical problem? And, pretty much leave it
6 at that. Whereas, during the first five years you
7 are going to try to screen more comprehensively for
8 the acute and the intermediate toxicity.

9 DR. SALOMON: The only thing I would add
10 is we actually have a little bit of a framework
11 here that I think is useful, and that is, you know,
12 did you develop any kind of cancer? If so, what
13 kind? Did you develop any sort of autoimmune
14 disease? If so, what kind? Did you develop any
15 neurologic disease? Just basically following the
16 patterns that we have come to because I think there
17 is a lot of very reasonable, scientifically based
18 work there that I think was very nicely reviewed
19 for us this morning by Philippe and Steven.

20 DR. MULLIGAN: From a database point of
21 view, going back to that tier system, not to throw
22 that away, you could, indeed, organize a database
23 somewhat along the lines of the tiers so that you
24 would at least know that you may be most interested
25 in getting to the database that deals with that

1 class of patients.

2 DR. SALOMON: Sure. I mean, I personally
3 don't see that as being a big advantage but that is
4 a detail. To me, what is going to be most
5 interesting I think down the line would be vector
6 classes, promoter types and the nature of the gene
7 construct that has been delivered and, of course,
8 its interaction with the disease. That is going to
9 be the most interesting thing.

10 I think the weakest part of the long-term
11 data is going to be this whole issue that was,
12 again, nicely described this morning, and that is
13 you are going to give it to a disease population
14 and the population that has that disease and that
15 didn't get the gene vectors is really the only one
16 that is going to make any sort of sense. Then, the
17 reality of defining disease groups is going to be
18 extremely fallible, and that is going to reduce the
19 quality of the data and make the interpretations
20 much, much more limited, I am afraid. Even when
21 you do something, as we will discuss on Friday I
22 guess, but even something as simple as defining
23 heart failure in an AIDS patient, or define a
24 specific type of leukemia -- it is going to be very
25 difficult.

1 So, I think if we can go with reasonable
2 kind of data so that we could say twenty years from
3 now that we saw 200 cases of thyroid cancer and we
4 can trace it back to this group of patients, and
5 that all relates to a certain class of vector or it
6 relates to any class of vector in anyone you use
7 the CMV promoter, or something like that, I think
8 that would be incredible kind of data. It is
9 probably the strongest data that will come out from
10 long-term follow-up.

11 DR. GAYLOR: Obviously, follow-up is not
12 new. There are a lot of studies on a number of
13 drugs particularly with follow-up on chemotherapy
14 trials, for example. These people will tell you
15 the worst thing to do is to mail out a
16 questionnaire. It is much better to have a nurse
17 conduct a telephone interview. A well-conducted
18 follow-up on a hundred people may tell you a lot
19 more than mailing out questionnaires and getting
20 ten, twenty, thirty percent response, especially
21 years down the road.

22 So, I would encourage FDA, CBER in this
23 case, to really look into what has been done in
24 other long-term follow-up and consider sampling
25 rather than just trying to follow up a hundred

1 percent of the people. I can't sit here today and
2 tell you what you need to do, but one advantage is,
3 if you are looking for rare events, you don't need
4 a large sample. You don't need tens of thousands
5 of people. Three rare events can be statistically
6 significant. A hundred people can tell you if you
7 are getting an incidence of five to ten percent.

8 Epidemiologists tend to look at relative
9 risk but if you look at just the absolute risk,
10 what is the chance that your population has a five
11 percent or ten percent incidence of some adverse
12 effect, that is not that difficult to pick up from
13 a relatively small sample. It wouldn't be a
14 terribly big burden, I don't think, for a sponsor
15 to follow a hundred people carefully, and two or
16 three years down the line maybe you can go to fifty
17 people, or maybe decide you have to go to two
18 hundred people. But, of course, you sort of want
19 to tell the sponsor up front what is expected, and
20 you sort of hate to say, well three years from now
21 we may decide to go to a thousand people for
22 follow-up and you have been doing a hundred. So,
23 that would be a little tough to deal with, but I
24 would certainly recommend sampling rather than
25 trying to do a hundred percent follow-up.

1 DR. SALOMON: The problem I see with
2 sampling is that you are treating this gene therapy
3 as this population and then you are going to sample
4 within it, which makes sense until you realize that
5 that is really not the population. The population
6 is all these little groups, each one getting
7 different vectors, and different genes, and
8 different diseases. So, I think that sampling is
9 not likely to be as powerful as it is conceptually
10 when you have a unifying disease process and a
11 unifying treatment.

12 DR. GAYLOR: Sampling would work for
13 following up in a clinical trial group --

14 DR. SALOMON: If it was big enough.

15 DR. GAYLOR: If it was big enough and if
16 it is only fifty people, you would probably follow
17 all fifty of them.

18 DR. HIGH: I would just second that. I
19 mean, there are 4000 patients on 400 trials
20 approximately. So, to try to sample in that
21 setting is not meaningful.

22 DR. SIEGEL: Maybe within the next few
23 years we are going to be seeing large, multi-center
24 Phase III trials.

25 DR. SALOMON: Right, and I think then Dr.

1 Gaylor's point would be that perhaps at that time,
2 in negotiation with the sponsor, detailed follow-up
3 might be done on a sample and that would be great
4 to reduce the onus of a twenty-year follow-up.

5 DR. SIEGEL: One thing I have reflected on
6 relates also to the concept about long-term
7 follow-up and a comment or two in areas outside of
8 gene therapy is that one of the issues here -- if
9 somebody starts a trial of a new experimental
10 product, often a few years later it is either
11 approved or it is dead -- the product is dead, and
12 the long-term follow-up of the patients, depending
13 on the nature of the product, may be important to
14 the patient's safety. But if the product is not
15 going anywhere it is not critically important to
16 the understanding of the product. So, often we
17 face these issues at the time of product approval
18 when it is going to much larger numbers and it is
19 going to be around for a while, and we can work out
20 with a company about what is necessary to find out
21 even longer term than three or four years they have
22 already been studying it about long-term effects.

23 But the premise we are working from in
24 gene therapy is that if we are dealing with 400 and
25 4000, whatever those numbers are, the information

1 on one product, as long as we are talking about,
2 you know, vector specific rather than highly
3 protocol specific risks, the information on one
4 product is relevant to all products and we have
5 kind of an obligation to look at it all together,
6 and that is why we are talking about databases or
7 studies. Even if you do a study today, even if
8 that product doesn't work or you find a somewhat
9 better vector, the long-term follow-up of those
10 patients is important not only for their welfare
11 but for understanding the study risks of gene
12 therapy. That is one of the reasons we are
13 specifically focused on this issue in this field.

14 DR. SALOMON: Yes, I think another
15 scientific argument is just to look at the Donahue
16 report where the rhesus monkeys got the lymphoma.
17 Now, nobody would do a retroviral vector trial
18 designed like that where they have homologous
19 sequences and a packaging vector that allowed for
20 the RCR. Obviously, we have learned our lesson.
21 Obviously, we don't design vectors like that. We
22 are way past that. So, you could argue that that
23 is a dead issue but it is so important because it
24 explains why, like on Friday when we look at vector
25 issues that were brought up with the RAC about

1 possible recombination, about how many plasmids
2 they had divided there, packaging sequences, and so
3 on. So, I think that it is valuable even if we
4 discover a complication in a retroviral vector or
5 another kind of vector product that we all realize,
6 God, we would never do that again. It still
7 defines the field.

8 DR. SIEGEL: I don't want to go too far
9 down the lane of being philosophical, but one of
10 the things that we noted that was particularly
11 difficult in this field as it got started with
12 preclinical studies, and it is still the case to
13 some extent, is we would ask, say, French Anderson
14 who was doing some of the first experiments, we
15 would ask for a two-year animal study to look at
16 some of the longer term concerns. Invariably, at
17 least for the first few years of therapy, and it
18 may still be the case, by the time you got a
19 two-year animal study on the safety of a vector
20 there were other generations of vector that on
21 paper just look like they would be safer. They had
22 been engineered to have less risks. So, now you
23 start that one in a two-year study, at the end of
24 which you have something better to go with.

25 So, it is a reasonably good guess and it

1 is something to bear in the back of the mind
2 because I think it is relevant that when we get
3 this twenty-year safety data it is going to be on
4 products that we are not interested in using
5 because even if it is an effective approach to a
6 given disease, we are going to believe that we have
7 developed testing and manufacturing and genetic and
8 molecular mechanisms to make a better product. So,
9 we are working on the presumption that there are
10 certain general principles that we may elicit about
11 what the risks are. It may not be quantitatively
12 true that the risk is exactly the same, but if it
13 turns out, as you say, that a CMV promoter is
14 associated with a certain disease, that that
15 general principle will not apply quantitatively to
16 any given specific product would be extremely
17 important to elucidate.

18 MS. LAWTON: Jay, can I just comment on
19 that because I assume we are not just going to
20 collect this and look at it in twenty years --

21 DR. SIEGEL: Oh, of course.

22 MS. LAWTON: -- we are going to look at it
23 on a routine basis. So, hopefully, you would
24 gather other information along the way that may
25 lead to making decisions about not wanting to use a

1 particular vector anymore, or maybe even, you know,
2 that is recognized and at that point you say you
3 don't need to continue with long-term follow-up for
4 twenty years because nobody is going to use this
5 vector anymore. I mean, those are the types of
6 decisions you can make along the way; it is not
7 just at the twenty-year time point.

8 DR. SIEGEL: No, that is right. I guess I
9 hadn't specifically thought through that if a type
10 of vector isn't used it doesn't need to be
11 followed. But, as I alluded to earlier, there
12 might well be a case where even a single patient
13 report, whether at year two, five, eight or twenty,
14 if it is associated with appropriate biological
15 data may raise enough of a concern that, as long as
16 we get that report in, it will be enough of a
17 signal to tell us that we have a problem.
18 Absolutely. We would anticipate, based on our
19 analyses, that even for malignancies most of the
20 signals are going to come in the first five or ten
21 years.

22 DR. MULLIGAN: On the philosophical part,
23 one of the things that I always used to tease
24 French about is when he would get up and talk about
25 his eight monkey years of safety testing was that

1 that only proved that if you didn't have gene
2 transfer it is perfectly safe. I think it is very
3 relevant here because over the time period, you
4 know, you will be getting data, safety data, where
5 there is a learning curve on the gene transfer
6 efficiency. So, the same clinical trials using the
7 same vectors, as you get more efficient,
8 undoubtedly bad things or more bad things will
9 happen. I predict that that will be the most
10 significant aspect of the long-term follow-up, that
11 as the learning curve, not so much technically on
12 the actual vectors but, you know, how you
13 manipulate the cells to get them infected, and that
14 is something that somehow we are going to have to
15 work into all this. I think there is going to be
16 an amazing difference when people begin to get
17 fifty percent stem cells infected in bone marrow
18 transplants, as opposed to 0.001 percent.

19 DR. SIEGEL: So, while we have heard some
20 advice that once we know something is safe we may
21 have less oversight in that area, as often happens,
22 you are suggesting that as technology evolves, more
23 efficient and effective technologies may also raise
24 new safety concerns that aren't addressed.

25 DR. SALOMON: Right, which is a good

1 argument why we need to get something going now,
2 and we owe that to everyone. We owe that to
3 history, if nothing else, to document what is going
4 on, and to realize the cyclical nature of science.
5 You know, a lot of things come back around. I
6 mean, the vector that we throw our or the promoter
7 that we throw out today could be the key thing
8 tomorrow when some new disease comes along that we
9 didn't anticipate.

10 So, how about the referring physicians? I
11 mean, we have talked about postcards to the
12 patients. Maybe on a yearly basis, once a year, at
13 the same time should we match it to the referring
14 physicians and also, of course, try and keep track
15 of not only where the patient is but whom the
16 patient is seeing as the doctor at the time,
17 realizing that has definitely, you know, holes in
18 it?

19 DR. CHAMPLIN: Particularly during the
20 first five years of follow-up, I would say that the
21 sponsor working with the patient and the referring
22 physician would be mainly collecting information.
23 As you get further and further away, again,
24 patients move, they get new doctors and that is,
25 again, much more chaotic.

1 DR. SALOMON: I was just thinking that
2 getting a postcard back from a patient saying they
3 developed, you know, an autoimmune disease and then
4 getting a postcard back on the same patient from
5 the doctor saying, yes, the patient has scleroderma
6 would mean a lot to me, as opposed to this patient
7 is whacked -- you know, has no idea what is going
8 on and has decided they have some unknown
9 autoimmune disease.

10 DR. SIEGEL: I am trying to think this
11 through from a pragmatic point of view. I think
12 Dr. Champlin pointed out very well that the reality
13 is that people will have moved out of town and
14 after a year, notwithstanding Dr. Bishop's
15 comments, that perhaps the investigator knows what
16 best to ask and the likelihood is much better, or
17 even samples if it is done by a referring
18 physician.

19 In terms of what a sponsor can and should
20 commit to in a protocol, I would think that that
21 would require making the referring physician a
22 co-investigator on the protocol and getting
23 appropriate paperwork. I am not sure how else --
24 do you want to comment about that? Can a sponsor
25 just call up a referring physician, if not an

1 investigator, and say we need you; please contact
2 your patient and get this information?

3 MS. LAWTON: It is a good point. I was
4 shaking my head when you said trying to make the
5 patient, physician a co-investigator. That would
6 just be a nightmare and, obviously, there is no way
7 that you can go that route to track that. I don't
8 know whether you could do something along the lines
9 of the patient and the informed consent, that they
10 have a responsibility to inform their physicians,
11 whether that goes on their medical record, or
12 something, when they change physicians so that you
13 are able to contact a physician. I don't know.

14 Is there no experience out there of these
15 types of long-term follow-ups? For the most part,
16 we have only ever only contacted patients and then
17 you could maybe ask for informed consent from the
18 patient to contact their physician to get more
19 information. That would seem the obvious route to
20 go, to be honest.

21 DR. SIEGEL: Right, I wasn't sure you were
22 shaking your head because you disagreed or because
23 you were concerned. Because I am concerned and
24 that is why I threw it out there. Just from a
25 practical point of view, usually we deal with

1 contact through the sponsors, investigators and
2 patients. There may be precedents for other
3 approaches. To the extent I am aware of them, if
4 significant amount of the follow-up involve
5 follow-up by the local physician, that is in some
6 cases written into the protocol and they are made
7 co-investigators. But I certainly recognize that
8 that is not something that is easily or lightly
9 done from an organizational point of view. That is
10 why I just rolled that out there. Whether there
11 are other legal ethical ways, you know, consistent
12 with principles of informed consent, and all of
13 that, are things that we can explore but I am not
14 sure I am in a position that I want to comment on
15 what the possibilities are at this point of time.

16 DR. SALOMON: I brought it up for that
17 kind of a point. In practice, at least in southern
18 California where I work, you can't get referring
19 physician data without a signed permission from the
20 patient. I think the conservative view of that is
21 that that shouldn't be a blanket either so that
22 every year one would probably have to update that
23 because I think to say, "sign here and, if for the
24 next twenty years, you are okay, you need to get
25 data from your referring physician." I don't think

1 that would be legal. Assuming consent and they are
2 going ahead and trying to reassure referring
3 physicians that for the next ten years I am going
4 to send you a postcard, and the patients have
5 consented -- just assume that unless you hear
6 otherwise from the patient or me. I don't think
7 that is going to work.

8 So, I think we have to agree that the
9 referring physician, despite the important
10 corroborative data that a physician could provide,
11 would probably have to be brought in, in a second
12 loop. In other words, the subset of patients who
13 have autoimmune disease, if it suddenly rises above
14 some trigger point in the review of the database,
15 you would now contact that subset of patients and
16 request confirmation of the results of the skin
17 biopsies, the autoimmune antibodies or whatever the
18 specifics were. Is everyone kind of comfortable
19 with that?

20 DR. CHAMPLIN: So, in reality this is
21 going to be the rule and not the exception.
22 Long-term follow-up, meaning that the patients got
23 home; they go to their local doctor and don't come
24 back, you know, a thousand miles to the treatment
25 center.

1 DR. SALOMON: If that is true, do we agree
2 that this should be done once a year? We still
3 haven't quite said ten years, fifteen years or
4 twenty years yet, but we are going along this line.

5 DR. CHAMPLIN: I would hope that once the
6 registry is formed that they can get into the
7 nitty-gritty of what data needs to be collected
8 once a year, and I also agree with Dr. High that
9 the data that you collect on year two should be
10 very different than the data you collect on year
11 nineteen or even ten, and that you want more
12 comprehensive, broad-based data early and as you
13 get further out, you know, far more generally
14 focused information that we are going to discuss.
15 And, I would probably argue for more detail maybe
16 for the five-year period and a very limited data
17 set after that time. But I think this really is
18 going to be a job of organization and we hope that
19 we will get people involved and excited as a sort
20 of an intellectual pursuit to try and identify
21 problems that are going to exist in these patients.

22 MS. TICE: You were asking for an example,
23 and Schering-Plough [not at microphone;
24 inaudible]... the protocol usually has data that is
25 detailed over three months and analysis [not at

1 microphone; inaudible] ... but this is all protocol
2 based now, and the first year is very detailed,
3 every three months CT and [not at microphone;
4 inaudible] ... then every six months unless it is
5 triggered [not at microphone; inaudible]. Now, for
6 long term we are doing a yearly fax back to the
7 referring physician, and the referring physicians
8 [not at microphone; inaudible] ... then there is a
9 communication to that referring physician [not at
10 microphone; inaudible] ... and we ask them four
11 basic questions, autoimmune disease, cancers,
12 hematologic and neurologic, and that is where the
13 doctor can put in the right diagnosis. A patient
14 cannot tell you if they have had the appropriate
15 diagnosis. They can't do that. So, we have been
16 doing a fax back and if there is a "yes" then we
17 treat it as an expedited report and tell the FDA
18 that something is going on there. Then the FDA can
19 follow-up with the site if they want to get more
20 detail. [Not at microphone; inaudible].

21 DR. SALOMON: So, what you are saying is
22 that your strategy built in your protocol has been
23 dealing with the referring physicians, not with the
24 patients. None of these go to the patients.

25 MS. TICE: None of these go to the

1 patient. They get faxed back to the site.

2 DR. SALOMON: So, how did you deal with
3 the question that just circled here regarding the
4 legality of a referring physician providing
5 privileged medical information on the patient?

6 MS. TICE: When you sign on, I mean the
7 protocol is signed by your investigator; the
8 investigator has agreed to follow what you stated
9 in the protocol.

10 DR. SALOMON: But that is the
11 investigator; it is not the referring physician.

12 MS. TICE: Okay, that is a good point.
13 There may be some type of setup between how that
14 person got referred to a site. I don't know the
15 relationship between that referring to the site.
16 Typically, our patients are local. They don't
17 travel thousands of miles.

18 DR. SALOMON: Right. Just so that we are
19 clear on what you are doing, you are sending your
20 CFR clinical --

21 MS. TICE: We are sending the clinical
22 form.

23 DR. SALOMON: But you are sending it to
24 your investigators, not to what we are calling
25 referring physicians.

1 MS. TICE: Right, but typically our
2 investigator is the treating physician.

3 DR. SALOMON: And, you are not really
4 concerned with how that investigator gets the
5 information as long as you get your response form
6 back.

7 MS. TICE: Yes, after they are finished
8 all their routine CT scans and what is required in
9 the protocol, then maybe it is a phone call, maybe
10 they come in for their yearly checkup but we ask
11 the investigator to answer these forms.

12 DR. SALOMON: Right. That is very useful.
13 Thank you. I think the critical point here in
14 trying to come up with some practical suggestions
15 is going back to your principal investigators is
16 relatively straightforward. Thinking about twenty
17 years of going routinely to referring physicians
18 out in the community to which your patients have
19 dispersed and maybe changed three times as they
20 change their health plans -- I don't know what is
21 going on in the rest of the country but in southern
22 California it is like changing your tie to change
23 your health plan. You know, that is the part that
24 I don't think is going to work, at least not under
25 the current situation we have with information

1 rights, etc.

2 MS. TICE: You are saying that they are
3 changing four or five different times, moving from
4 Nebraska to New York. We cannot, as a sponsor,
5 track patients down like that. I mean, we give up.

6 DR. SALOMON: But as a sponsor you are not
7 even trying to track the patients. Right? What
8 you are doing is you have an investigator at
9 institution XYZ -- it is easy for you, you send it
10 to that guy and he either comes back with it or
11 tells you, "I'm sorry, I lost contact with the
12 patient," and you are done.

13 MS. TICE: [Not at microphone; inaudible].

14 DR. SALOMON: Make sure you identify
15 yourself.

16 MR. REYNOLDS: Tom Reynolds, Targeted
17 Genetics. I want to echo the sentiment. We
18 typically, for confidentiality reasons, don't know
19 who our patients are. We have heard numbers
20 assigned by our investigators, and typically every
21 year we provide them with a list of all the
22 patients that they have had who have responded in
23 the prior year. Then they try to contact the
24 patient, usually by phone or by clinic visit, and
25 do the long-term follow-up and report back to us.

1 One thing that I think is going to be a
2 big issue for this over the long haul with that
3 kind of approach is that we know a lot of
4 investigators move from site to site to site. The
5 institutions to which they belong are not really
6 funded to do that kind of work. Once that
7 investigator-patient contact is broken it is not
8 clear how that can be reinstated, whether we need
9 to sign on a new investigational from that site, or
10 now that the guy has hopped from site A to B to C,
11 we have to contact there and trail them back.

12 Usually there has been a fair amount of attrition.

13 DR. SALOMON: Okay. So far yearly
14 questionnaires to patients. Referring physicians
15 are left out of the loop in the first go around but
16 in targeted patient groups referring physicians
17 would be fair game, but it would have to be under
18 appropriate, at that time legal allowance for
19 disclosure of privileged information between a
20 patient and a physician. That is probably about as
21 far as I can see us going, except that we have to
22 give you a time frame now. But I don't think you
23 have heard us say that they need physical exams. I
24 don't think you have heard us say that you need to
25 be archiving materials.

1 DR. SIEGEL: I guess I am not sure what I
2 heard in that regard, but if that is correct I
3 would like to hear some further discussion of that
4 point, if we are asking for too much in the
5 retroviral area in general in terms of archiving.
6 I think we have laid forward a philosophy for why
7 we thought it might be useful to have those
8 specimens.

9 DR. SALOMON: Yes, that is why I brought
10 it up, Jay, so we could have some discussion. I
11 guess the principle I am trying to hone to is that
12 this is what the committee is comfortable telling
13 you for all gene transfer vector protocols, not
14 trying to exclude you in individual cases,
15 individual protocols, from demanding anything else
16 on top of it. It is just that this committee is
17 sending this message --

18 DR. SIEGEL: No, I understand. I am
19 simply saying that at the present time for all
20 retroviral protocols we are asking, although not
21 necessarily receiving, and maybe we should stop
22 asking for it or maybe we have pointed out reasons
23 that we might want, in retrospect, when we have
24 safety concerns and be able to look back at some
25 serologies, viremia, other issues. On the other

1 hand, one might make the case that if you have
2 samples out to one year on that, that is going to
3 cover most of what you want to know, and five years
4 is not going to happen anyhow and we should stop
5 asking for it. But I would like to hear a little
6 more before assuming that there is a consensus of
7 the committee, a little more discussion about that
8 situation.

9 DR. SALOMON: Fair enough. Go ahead.

10 DR. MULLIGAN: I would say that I would
11 rescind the blanket archiving of samples. I think
12 you can definitely think of different applications
13 where there would be different reasons for having
14 or not having them. So, coming back to the tier
15 system, you know, if you are doing transduction of
16 tumor cells, irradiated tumor cells with a
17 retroviral vector, I think the need for archiving
18 is completely different than doing bone marrow
19 infections with a retroviral vector during bone
20 marrow transplantation. So, simply put, I would
21 say that having archived samples for retrovirus
22 probably, at this point, doesn't make sense. It
23 probably did make sense but I think we are much
24 more sophisticated in classifying different
25 applications.

1 Then, I would say you do it on a case by
2 case, and I would argue that there would definitely
3 be, in the case of retrovirus vectors, certain
4 cases where you would want to ask them to do that.

5 DR. CHAMPLIN: In terms of the physical
6 exam, it is very rare that an asymptomatic patient
7 has a striking finding that just pops up in a
8 physical examination. So, again I would call for
9 toxicities based on a global assessment, however
10 you make it, working with the referring physician
11 or directly with the patient. But the actual
12 physical exam part is usually not informative.

13 DR. SALOMON: With respect to the physical
14 exam, if I could get my head around the idea that
15 it is easy to do, I would argue that in the context
16 of getting an expert to sit down with a patient at
17 some point or points post closure of the protocol
18 would be one of the most ideal ways of saying, "oh
19 my gosh, you do have glomerular nephritis."

20 DR. CHAMPLIN: So, it is the history that
21 you take from a patient is much more information
22 than anything else, and the actual examination part
23 is not or it just complements your analysis of
24 their symptoms. So, much of this can be done on
25 the telephone or working with referring physicians,

1 again, to make life more realistic for the people
2 in the treatment center.

3 DR. GAYLOR: Something more than an annual
4 postcard has to be done. You get two major biases
5 with postcards. You get the people with the
6 disease or perceived disease -- "yeah, I've got
7 something because maybe I can sue somebody." So,
8 you get that bias. The worse bias is those people
9 that died due to gene therapy perhaps, you don't
10 hear from. So, there has to be some kind of
11 quality control beyond an annual postcard. I will
12 go back to my sample again. If you have half a
13 dozen on the important vectors, maybe half a dozen
14 categories of vectors and you make sure that
15 somehow you sample at least thirty people in each
16 vector category and do some more extensive
17 follow-up on one or two hundred people perhaps.

18 DR. SALOMON: I think that is an excellent
19 point. And that probably deserves a minute of
20 consideration by the committee, and that is what do
21 we feel comfortable with as a guideline to sponsors
22 for how they should pursue the quality of this
23 sampling protocol? I mean, the lightest obligation
24 is every year you will send out a postcard or a
25 form to every patient that you put on this

1 protocol, and that is it, to going as far as saying
2 not only will you do that, but you will follow
3 through with the ones you didn't get back, and even
4 those that have a problem you will contact or make
5 a good faith attempt to contact their referring
6 physician. So, maybe can we get some discussion of
7 that from the committee? Again, there is a lot at
8 stake here because what we demand out ten, fifteen
9 years of sponsors is going to reverberate through
10 this whole system.

11 DR. SIEGEL: I would like to say in that
12 regard that in the setting of clinical trials where
13 people try, whether a cancer or an MI trial, to get
14 half year, one year, two year, five year follow-up,
15 there is a broad spectrum from simply sending a
16 questionnaire to sending a questionnaire and
17 multiple reminder cards, followed up by phone
18 calls, and also by having patients give, at the
19 start of a trial, the name and number of a reliable
20 contact who will know where they are if you are not
21 at this address and phone number. And, we see a
22 huge spectrum from people being able to follow over
23 99 percent of patients out to at least half a year,
24 a year or two years, and also incentives, you know,
25 send in the card and you get a free dinner or

1 something.

2 [Laughter]

3 I am not sure we are necessarily in a
4 position to require that but I do think it is an
5 issue. We are all facing the fact that it is one
6 thing to say, you know, attempt to get information;
7 it is another thing to actually get the
8 information, and there are efforts and there are
9 real efforts.

10 DR. GAYLOR: As far as death is concerned,
11 the FDA can check the death registry.

12 DR. SIEGEL: We don't have patient lists.

13 DR. GAYLOR: Oh, that is right, you don't
14 have that. So, it has to go back to the
15 investigator.

16 DR. MULLIGAN: I propose that we may not
17 be the right people to figure out how many
18 postcards and so forth. So, the message that is
19 key is there needs to be thinking about how to make
20 sure that the word gets out to the people. I think
21 our message is that it has to be simple.

22 DR. SIEGEL: Can I follow-up with that
23 question of simplicity because I have heard both
24 the comment that we should focus efforts in the
25 areas we have been talking about, but also the

1 comment that we should not lose track of other
2 areas. So, the general issues of collecting
3 information -- have you had any medical problems or
4 perhaps any hospitalizations or causes of death --
5 certainly, one can see that getting more
6 information that could be useful. On the other
7 hand, it has implications regarding the simplicity,
8 as pointed out, if somebody says, "yeah, I'm having
9 kidney problems" and what is the next step? And,
10 the other issue, of course, is even if it is more
11 specific and you start with a low index of
12 suspicion about it, what do you do with it in an
13 uncontrolled case report? So, now it is, you know,
14 twenty years later and you say ten percent of the
15 people developed heart attacks. Where do you go
16 with that? Whereas, if ten percent of the people
17 develop a chromocytoma, you know you have
18 something. So, I guess I am a little uncertain as
19 to whether we want to be only focused or whether we
20 think there is a value to creating broad data
21 tracking for all major health events or lethal
22 events, or the like.

23 DR. HIGH: Well, one relatively simple way
24 to get that is to just put on the postcard
25 something like "what medications are you on?" I

1 mean, it may give you an indication about diagnoses
2 that the patient may not know otherwise.

3 DR. SALOMON: Yes, I agree with that. You
4 could also certainly put in a question of "have you
5 been hospitalized in the last year? If so, why?"

6 MS. LAWTON: Maybe an easier way of doing
7 this is to actually have the sponsors make sure
8 they regularly contact the patients and ask who
9 their current treating physician is, and then have
10 the sponsors follow-up directly with the physicians
11 because then you can ask some of those questions
12 and actually get reasonable information back.

13 DR. SALOMON: I think that kind of follows
14 what PHARMA does. You know, I am trying to walk
15 the fine line here is NIH, principle investigator
16 sponsored research where you get a five-year grant,
17 and we are talking suddenly about -- we haven't
18 define the time yet but, you know, ten- and
19 twenty-year follow-up, and anything that comes out
20 of this committee, I am hoping, is consonant with
21 not putting the onus or knocking all these guys out
22 of the field, including myself.

23 It is 12:50. I was thinking a minute or
24 two ago, well, if we just push on we will be done
25 and then go to lunch, but I don't think that is

1 going to quite happen. I don't think we are going
2 to satisfy some of the broader final phase two to
3 three kind of questions that I think, very
4 properly, Dr. Siegel and the staff wants to
5 address. So, unless there is something we really
6 have to say right this second, I thought maybe we
7 would break for lunch and come back at 1:30, a
8 little less than 45 minutes for lunch. Is that
9 enough? It is not exactly gourmet dining here, and
10 we will try and finish it up. Is that okay?

11 [Whereupon, at 12:40 p.m., the committee
12 was recessed for lunch, to reconvene at
13 1:45 p.m.]

1 A F T E R N O O N P R O C E E D I N G S

2 DR. SALOMON: Welcome back, everybody, to
3 the afternoon session here. Where we were at was
4 kind of working step by step through what it was we
5 could specifically request of a sponsor, and the
6 premise was that when we kind of got as far as we
7 could in defining that we would go back and revisit
8 the very specific question of five-, ten-,
9 fifteen-year follow-up in that context.

10 Just so that we are all on the same page,
11 so to speak, what we have agreed so far is that
12 there should be a database that has all patients
13 that have been involved in a gene transfer clinical
14 protocol, that that database should be maintained
15 by one of the regulatory agencies, presumably the
16 FDA or the NIH but really that is not the
17 committee's concern today, but that we do agree,
18 all of us, that there should be such a database and
19 it should be monitored. We are not trying to tell
20 you whether it should be monitored weekly, monthly
21 or yearly. That is, again, a detail that we expect
22 the agencies to work out and we don't feel that is
23 the purview of the committee.

24 We agree that the sponsors should be,
25 however, absolutely responsible for providing that

1 data set agreed upon to the registry, wherever that
2 registry is, and for whatever period of time we end
3 up deciding.

4 We agreed that at the moment the most
5 comfortable position we have is that all gene
6 vector protocol patients should be followed long
7 term within the guidelines of what we are going to
8 spend the rest of the time talking about.

9 As far as long-term follow-up went, we
10 intellectually accepted the discipline that there
11 were more risky vectors, more risky inserts, more
12 risky diseases and less risky vectors, diseases and
13 inserts but that as a principle for long-term
14 follow-up, if we accepted the fact that everyone
15 would get long-term follow-up and we could be
16 comfortable defining sort of the generic baseline
17 long-term follow-up, that additional, more intense
18 follow-ups that would be specified by appropriate
19 scientific reasoning for specific vectors, specific
20 diseases, specific construct or any combination
21 thereof would be between the sponsor and the FDA
22 staff.

23 So, pursuing that, we talked about the
24 fact that long-term follow-up would focus on the
25 patient and instrument of contact, whether that be

1 a postcard or a phone call -- I don't think we
2 really specified that at this point, not to the
3 referring physician as a routine -- we are getting
4 pretty close to where we were just before lunch.

5 Oh, and that questions would include, but
6 not be absolutely limited to, the four major
7 categories, neurologic disease, malignancy,
8 autoimmune disease and hematologic disease. And,
9 additionally, that we would request information of
10 hospitalizations and medications as, again, a clue
11 to potentially other complications that might have
12 occurred during the interim, and the general
13 concept we all agreed on was if there was a new
14 medical problem, unexpected medical problem that
15 should be reported regardless of whether it fit
16 into any rigid criteria we set. For hematologic
17 disease and a whole bunch of patients with heart
18 attacks, we definitely weren't excluding the
19 importance of reporting that.

20 So, I think that brings us up to when we
21 went to lunch. Does everyone agree?

22 MS. LAWTON: Sorry, I just wanted to try
23 something as far as the comment about direct
24 contact with patients. One thing I should say for
25 most of the corporate-sponsored clinical trials is

1 that that would have to be through the
2 investigator, obviously, because we wouldn't
3 necessary have direct access to patient
4 information. So, that is just for the record.

5 DR. SALOMON: So, somewhere here we had
6 better decide we have gone as far as we are going
7 to go in specifying it, and then we can get back to
8 the years. Right? So, let's pursue that. Does
9 anyone on the committee want to go any further in
10 terms of yearly contact at this point? Do we need
11 to go further than that today?

12 DR. CHAMPLIN: So, you are talking about
13 after the first year?

14 DR. SALOMON: Right.

15 DR. CHAMPLIN: There would be sort of a
16 minimum of yearly contact. It sounds good.

17 DR. SALOMON: Again, the premise is that
18 we are not excluding the FDA staff and the sponsor
19 from agreeing to any additional follow-up. It is
20 just that this is what we considered the baseline
21 for everybody at this time. Dr. Siegel
22 specifically wanted us to be real clear about the
23 fact that we were drawing a line there and not at
24 physical exams. So, we need to make a specific
25 comment on that, and archiving of specimens.

1 DR. SIEGEL: First I want to say that I
2 think you summarized well much of what was said.
3 Most of the points you made seemed to be consensus.
4 There are one or two things that were put in the
5 category of advice of individuals but haven't
6 really been discussed from the point of consensus
7 -- medication records, hospitalization and so
8 forth.

9 DR. SALOMON: Let's go through that.
10 Hospitalizations, does everyone agree or disagree
11 that we should capture hospitalizations? I think
12 we should, and I think medications is easy. It is
13 certainly something I have done many times in the
14 past. I do agree with Dr. High that that very
15 useful. You know, all of a sudden they are on
16 hypertensive medication or gout medication, or an
17 anti-inflammatory shows up or Imuran or Celcep
18 those are very valuable.

19 DR. SIEGEL: So, as clues to specific
20 diagnoses, you are not necessarily suggesting we
21 create a database of all the medications that
22 everybody is on, but asking about medications is a
23 way -- because if somebody is taking some
24 chemotherapy or immunosuppressive that could
25 trigger --

1 DR. SALOMON: Right. I mean, in the
2 example of a patient who might have chronic fatigue
3 syndrome it would be hard to diagnose that. So,
4 you have to be really, really cautious about it.
5 But if suddenly a patient shows up on any kind of
6 steroids and azathioprine and they tell you they
7 have an autoimmune disease, I would be willing to
8 believe them.

9 DR. CHAMPLIN: Part of this is sort of a
10 method as opposed to the form that you send in at
11 the end. I am not sure I would want to list all
12 the patient's medications but, certainly, as you
13 would be talking to the patient and asking him what
14 has been going on in the last year since your last
15 survey, you would ask them about important
16 illnesses and drugs that they are on, etc. But,
17 actually, the information that would be submitted,
18 I would actually try to make it in a more
19 abbreviated, focused kind of fashion.

20 DR. SIEGEL: Well, I heard a number of
21 comments that sending in postcards may not be
22 either efficient enough accurate enough as opposed
23 to contacts. Also, Alison Lawton's comment pointed
24 out that it is not just the sponsor and the
25 treating physician and the patient, there is also

1 the investigator and if there is probably some
2 practical way this is going to be carried out,
3 carried out meaningfully, as your comment would
4 reflect, you would want somebody with some
5 technical background getting some specific
6 information.

7 DR. SALOMON: We are getting close to
8 where my concerns start to rise, and that is, if we
9 go down the path of we have to have absolutely one
10 hundred percent data on a hundred patients, there
11 are ways to do that but I don't feel that is a
12 appropriate. That is my position. I don't feel
13 that is appropriate at this time in the field. I
14 think it would have a chilling effect on the field
15 that wouldn't be justified to date by any of the
16 complications so far found. You know, the idea
17 that we need to report is fine, but are we talking
18 -- I am okay with a survey instrument approach. If
19 Dr. Champlin is saying he doesn't agree with the
20 survey instrument approach and that there has to be
21 a nurse practitioner or a physician, then that has
22 to be discussed.

23 DR. CHAMPLIN: I guess my concern is that
24 the survey is likely to provide such fuzzy
25 information that it won't really be useful. But

1 the more practical thing is to have somebody
2 calling and interviewing patients in a very
3 abbreviated format. One of the real problems with
4 all these kinds of things is that an organization
5 forms and now they want data, and then next year
6 they want more data and they want even more data,
7 and you get new questions and you get excited about
8 collecting the data and before you know it you have
9 a book that you have to submit each year on every
10 patient. So, the postcard idea is something that
11 appeals to all of us but realistically it needs to
12 be like a one-page kind of form and beyond that it
13 really does become onerous.

14 DR. SALOMON: I certainly agree that a
15 postcard may not be quite the right image I wanted,
16 but a single page format.

17 DR. CHAMPLIN: I also made a comment
18 earlier that, needless to say, you know, dead
19 patients don't return the postcard and so there has
20 to be some other mechanism to contact people to try
21 to really ferret out if there is anything serious
22 going on.

23 DR. SALOMON: So, would you go on with
24 saying that there should be certainly a good faith
25 effort on the part of the sponsor to account for a

1 hundred percent of patients enrolled?

2 DR. CHAMPLIN: Yes. The other issue is we
3 are sort of looking at a couple of different
4 issues. There is the sort of generic long-term
5 side effects issue that can be handled to some
6 extent with a sampling where you wouldn't
7 necessarily need to have hundred percent compliance
8 in terms of data reporting to at least have
9 meaningful information. On the other hand, you do
10 want to have early data on an individual product.
11 So, to try and look is there an issue of CMV
12 promoters, you know, it wouldn't be necessary to
13 have a hundred percent in all gene therapy trials
14 and twenty-year follow-up to address that issue.
15 So, a good faith effort wouldn't necessary need to
16 include a hundred percent of patients in terms of
17 the ultimate delivery of the data.

18 DR. SALOMON: I think what I have heard
19 from a number of people, not just today but also
20 today, from sponsors, the comments from
21 Schering-Plough and from Doug Jolly and his
22 experience at Chiron, is that if we do this and we
23 do a good faith effort, we are not going to get a
24 hundred percent compliance. It is definitely not a
25 true sampling strategy because it is not random,

1 but it is probably what we have to be realistic
2 about getting, and it will be valuable but it might
3 not be invaluable.

4 So, we are at the point here where I think
5 we have defined about as much as I think we can
6 define and be responsible at this point, with no
7 commitment from the NIH or Congress or FDA to fund
8 this sort of thing.

9 DR. SIEGEL: You said you were going to
10 get back to the issue of whether it is twenty
11 years.

12 DR. SALOMON: Yes, I thought the premise
13 we went through this was define what it is and we
14 will talk about time. So, I just want to make sure
15 that the committee feels like we are done with that
16 process, and also that you and staff feel that we
17 have addressed it in detail.

18 DR. SIEGEL: Then the other issue that I
19 am not sure is still on the table or whether we
20 have heard all the comments we are going to from
21 this group, is whether there is general guidance
22 about if and when, and how often or whether
23 archiving of specimens -- we have heard about the
24 difficulty after a year. We have heard about
25 people in general coming back to the study site

1 after a year, for example, and, indeed, we know the
2 difficulties we would have in archiving stuff. But
3 from a scientific perspective, if the thinking is
4 that this is not one of the more critical pieces,
5 and I think I heard just a general comment from Dr.
6 High that she would be more worried about getting
7 general information or focused information after
8 twenty years than a lot of detailed information
9 over that three to five year period, or one to five
10 year period.

11 So, we are now faced I think with one of
12 the questions we are going to need to decide in the
13 future for retroviruses, where current guidance
14 asks for this sort of information and other areas
15 where it doesn't is, is this not only unrealistic
16 but not all that critical or not worth trying for,
17 and we need to look for other ways to do that?

18 DR. SALOMON: The way I am thinking right,
19 and again the group can modify this, but the way I
20 was thinking about it is finishing this cycle of
21 what we think is a phase one where all gene
22 transfer vector patients should give you this data.
23 Then, when we are done with that, signed off, we
24 are clear and done, then we could stop and say, now
25 let's go to the general advice, and relax and not

1 feel like we are burdening the field with
2 everything we say, and talk to you about sampling
3 issues and retroviral vectors. Are we okay with
4 that?

5 I think right now all of us on the
6 committee feel a heavy pressure to be very clear
7 and specific about what we feel is practical and
8 responsible for a developing field to address, you
9 know, all the constituencies -- regulatory
10 agencies, the public, the patients and our ability
11 to do investigator-sponsored research. That is
12 what we are trying to do now. All right, fifteen,
13 five, ten years, twenty years, life? What? A
14 resounding silence here! Dr. Rao?

15 DR. RAO: Since nobody was willing to give
16 a number, I thought I would start the discussion at
17 least by saying it seems that fifteen years maybe a
18 reasonable number to consider.

19 DR. SALOMON: I personally would second
20 that. I think twenty years is just an additional
21 five years with an extremely small yield but really
22 expensive; and ten years, I think there would be
23 enough examples of people saying, gee, a lot of
24 stuff happens at twelve and fifteen. Why did you
25 stop? Fifteen kind of crosses those both off.

1 DR. MULLIGAN: I think so too, for no good
2 reasons.

3 [Laughter]

4 DR. CHAMPLIN: The signal to noise ratio
5 becomes untenable as you get further and further
6 out. So, I think a happy medium is fifteen years.

7 MS. KNOWLES: That is a long period of
8 time, I think it is probably appropriate.

9 MS. LAWTON: Yes, I think fifteen years is
10 a reasonable period as well.

11 DR. SALOMON: So, I think you have
12 consensus on that issue as well. I think we are
13 done with this portion. We could try, if you want
14 to do more for five years or for one year, and all
15 that, but I think that this is good enough.
16 Fifteen years of follow-up. Everybody can be
17 followed up. It is all going to go into the
18 database. It will give you big things like cancers
19 and autoimmune diseases and unexpected
20 hospitalizations or unusual drug occurrences. I
21 think for a first phase, again without really any
22 reassurance from anyone that they are going to fund
23 this, I think that is pretty good.

24 DR. BISHOP: Certainly, I think fifteen
25 years will capture all the examples that we put

1 into the briefing document for all the four
2 clinical areas that we have. So, it certainly
3 would be encompassing from this perspective at
4 least in the discussions that we have had.

5 DR. CHAMPLIN: I don't want to burst the
6 bubble but, you know, there is an example of the
7 later malignancies but I still think it is going to
8 be a small frequency and it is going to be a lot
9 more work than it is worth to ferret out these very
10 late cases. So, this becomes sort of a reasonable
11 compromise of resources for the return that you
12 will get on those resources.

13 DR. SIEGEL: Are there examples of later
14 malignancies that don't occur earlier than fifteen
15 years?

16 DR. CHAMPLIN: I was thinking of the
17 radiation-induced solid tumors that peak around
18 twenty or twenty-five years after the exposure.
19 They probably begin at some earlier point but their
20 peak incidence is quite late. Leukemias and
21 lymphomas are much earlier. That is the only
22 example I can think of now.

23 DR. BISHOP: Testicular also, we came up
24 with references at twenty-five years.

25 DR. SALOMON: I think I would speak for

1 the rest of us, just again from the gestalt of
2 talking today and at previous times, that in a case
3 where the NIH or Congress stepped up and said we
4 are going to create a registry; we are going to
5 really take responsibility for this sort of
6 follow-up, I am not sure that this committee would
7 object to indefinite follow-up under those
8 circumstances but that is given a different
9 practical set than we are faced with today.

10 DR. CHAMPLIN: The mechanisms, as we
11 talked about outside of the meeting over lunch,
12 often in these registries is payment for case
13 report forms to cover the cost of actually doing
14 the follow-ups and providing the information. So,
15 that is the unfunded mandate that is sort of
16 implicit in our recommendation. Right now there
17 isn't a mechanism to really fund long-term
18 follow-up. So, such an organization needs to be
19 created with a mechanism to pay the people doing
20 the work to collect the data.

21 MS. LAWTON: I am going to state the
22 obvious again, and I know it was said earlier but I
23 still want to say obviously we are saying fifteen
24 years now. Fifteen years is a long time in the
25 life of gene therapy and what we are going to

1 learn, and I think we do need to make sure that we
2 have that regular review of the data that is in
3 that database, what it means, what we have learned
4 about the field, and we adjust our expectations of
5 what is needed.

6 DR. SALOMON: I also think that we have a
7 consensus that the message should be very clear to
8 FDA that a big concern for this committee is the
9 fact that investigator-sponsored research with the
10 NIH is three or five years, and we realize that in
11 agreeing to a fifteen-year follow-up we are doing
12 so as responsible physicians, scientists,
13 employers, members of the community but that it is
14 implicit in our recommendation that the FDA stand
15 ready to work with all the involved bodies,
16 including NIH, general Congress, to obtain a better
17 a solution in which funding is specifically put
18 aside for these sort of mandates of long-term
19 follow-up. It is the public that wants this; it is
20 the Congress that wants this; and it is very
21 appropriate for us to be very clear about saying
22 that we have done our job today, and we are putting
23 the onus back on government and regulation and
24 Congress to come through with that sort of a
25 funding process for us.

1 DR. SIEGEL: Let me reiterate what I have
2 said before to reassure you in that regard, we
3 recognize that that is just one of several
4 practical questions that need to be addressed.
5 Impediments for getting this done, from a pragmatic
6 point of view of where the resources come from, and
7 also some of the points that we have discussed of
8 how you could do it, how to pose questions by the
9 investigator, the sponsor, whatever, that needs to
10 be addressed. And, those issues are under
11 discussion and I hope will continue to be
12 addressed.

13 There is a chicken and the egg situation
14 here, where it is somewhat difficult to decide on
15 mechanisms, funding and infrastructure to address a
16 problem, to collect data without deciding what data
17 you need. It is somewhat difficult to decide what
18 data to get without knowing what the mechanisms are
19 and what is possible.

20 So, we are going to come back from this
21 committee with a recommendation to collect data for
22 fifteen years, fully aware that NIH investigators
23 are on a five-year cycle and we do not see as a
24 solution to a problem to simply ask everyone to
25 say, well, we are going to make a good faith effort

1 knowing full well those efforts are going to fail.
2 So, we see this as a step to a complex problem
3 whose solution is multifactorial and involves many
4 parties, but I think is an important step that
5 needs to be taken.

6 DR. SALOMON: I think, again, for the
7 committee, we have agreed that to take this step
8 forward was necessary, and we have gone as far as
9 we feel comfortable doing in the absence of this
10 sort of funding assurance. I hope that even though
11 it will get out, well, they demanded fifteen years
12 follow-up, I hope that it will always be with an
13 intelligent look at what we are demanding for
14 fifteen years.

15 So, that is settled, guys. Now can we
16 relax and answer some of these larger questions as
17 a discussion and not making the whole field
18 responsible for our decisions? What Dr. Siegel
19 wanted us to address would be specimen archiving,
20 for example, and why don't we talk about retroviral
21 and lentiviral vector systems specifically and more
22 generically? How about comments on that?

23 DR. CHAMPLIN: I think even with
24 retrovirus it is complicated. I don't want to
25 dredge up another albatross from the past but the

1 PA317 issues are relevant to this I think because
2 there is that question of the need to look for
3 replication competent virus depending on what
4 system you have, and I think we will actually get
5 into that over the next couple of days too. So,
6 putting that aside, I think that archiving
7 retroviral or related products is important and
8 will become more important when there is better
9 gene transfer. Whether you are going to be looking
10 for abnormal blood counts -- I don't know. Bone
11 marrow transplantations would be a context where I
12 think it is going to be important. Whether other
13 in vivo applications of lentivirus vectors will be,
14 I am not so sure. But I would just leave it that I
15 would look at that as a very individualized case by
16 case. So, the precise vector they have and we have
17 some outline of the different issues with the
18 retrovirus vector production systems; and the
19 length of persistence anticipated of course. So,
20 again, I think that having archival samples from a
21 vaccine or something where you are just going to
22 end up with dead cells, I don't think that is
23 important.

24 But I do, I think this will be more and
25 more important. I think that the risks of

1 retrovirus insertion and lentivirus insertion will
2 probably raise their ugly heads at some point as we
3 get more and more efficient, and it won't be
4 replication competent virus but it may be
5 integration, activating something or repressing
6 something that will cause the cells to misbehave.

7 DR. SALOMON: I also agree. As a
8 principle, I think it is very reasonable for two
9 things. One would be appropriate specimen
10 collection at several key points in the follow-up
11 of the trial. I don't want to go to whether that
12 is one year or two months, but at least several
13 time points afterwards going out to at least the
14 first, third, fourth or fifth year afterwards. I
15 think in general, as you say, as we get more
16 efficient gene delivery we should increase rather
17 than decrease our concerns.

18 I think we should also be careful that for
19 each trial we should specify -- we shouldn't be
20 just random; we should be very specific.
21 Peripheral blood collections are very appropriate
22 in, let's say, ex vivo T-cell or hematopoietic stem
23 cell involvement but I think it is absolutely
24 useless in maybe something you are injecting into
25 the liver or into the thyroid gland. I think at

1 times the simplicity of getting plasma and
2 peripheral blood T-cells has overcome our good
3 sense about their value. I think a good example of
4 that has been xenotransplantations where, if you do
5 an islet cell transplant and all they do is follow
6 plasma, and are amazed that they put some cells in
7 the brain and they didn't have any exposure in the
8 peripheral blood and, therefore, the procedure was
9 safe. I mean, how anyone can do that with a
10 straight face is beyond me but that even gets
11 published. So, I think we have to be very clear
12 about what is appropriate here. In some cases it
13 is very appropriate. So it shouldn't be just
14 random.

15 The second thing, I think it should be
16 mandated that if somebody develops an acute
17 complication like a T-cell lymphoma or a tumor,
18 that a really good effort be made -- it isn't
19 always possible, but a really good effort be made
20 to get tissue from that lesion, and that should be
21 specified in the protocol approval, whether that be
22 bone marrow or a leukopheresis unit, or whatever,
23 in the appropriate disease.

24 Another question that came up would be
25 seeking evidence for replication, retrovirus,

1 replication competent lentivirus in terms of
2 long-term follow-up. How far should we go with
3 that?

4 DR. MULLIGAN: I think there the issue is
5 much more complicated because everyone has their
6 best system and everyone thinks their system is
7 safer than the next person's, and there are clearly
8 differences. But the measurement of those
9 differences is often tough or impossible. But I
10 think certainly some of the things that are out
11 there that are being talked about would be
12 candidates for looking at it, continuing to look
13 for replication competent virus. Alternatively,
14 there are systems out there where I think it is
15 probably not necessary at this point.

16 DR. CHAMPLIN: If you didn't see any RCR
17 within the first five years, is there a reason to
18 look as routinely beyond that point in a stable
19 individual?

20 DR. SALOMON: I would think if you didn't
21 see RCR in the first six months there would be no
22 reason to look.

23 DR. MULLIGAN: Yes, I would say probably
24 the best indicator of the need to look for it might
25 be whether you have a certain level of gene

1 transfer, vector gene transfer too. That is, if
2 you see nothing initially, probably nothing got
3 transferred, helper or vector.

4 DR. RAO: It just really does seem to boil
5 down to the fact that archiving seems to be
6 specific for the protocol that you are going to be
7 using, and the sample that you collect and the
8 frequency at which you collect it will all depend
9 on the protocol. I think the point that Dr.
10 Salomon made is critical, that once you have
11 indication of an adverse reaction, then you should
12 have a clear-but set of samples that you need to
13 collect or archive for that particular problem
14 because that will give you a clue as to what might
15 be happening. So, that should be clear-cut in the
16 guideline. Even that would depend on the kind of
17 problem you have because if it is a malignancy
18 then, you know, you collect a certain set of
19 sample; if it is another, you take a different
20 sample.

21 DR. SALOMON: I think we certainly are not
22 objecting or trying to suggest you go in a
23 different direction with respect to your current
24 thinking about approaching follow-up in a
25 retroviral or lentiviral vector, that specimen

1 archiving is an appropriate request.

2 DR. CHAMPLIN: But for a limited period of
3 time.

4 DR. SIEGEL: We will, of course, be
5 discussing lentivirus in more detail.

6 DR. SALOMON: Just in terms of generically
7 for the retrovirus. I think that we all agree -- I
8 mean, whether it is a year or six months but I
9 don't think you need a five-year specimen to look
10 for replication competent retrovirus. That is all
11 that we were trying to say, unless someone comes
12 down with an acute lesion of some sort. Then you
13 have to stop and start again.

14 How about things like bringing patients
15 back for physical exams with the principal
16 investigator? That was an issue that we left out
17 of the details. Do we agree that there would be a
18 need? I am just trying to address things that Dr.
19 Siegel brought up earlier. Would everyone agree
20 that there would be circumstances for a period of
21 time, early to late, relatively late, that this
22 would be appropriate, to demand that the sponsor to
23 have hands-on contact with a patient?

24 DR. CHAMPLIN: During the first year I
25 think is what I think we had talked about this

1 morning, it is appropriate, but beyond the first
2 year you want to collect toxicity information,
3 however you can get it, either directly from the
4 patient or working with the referring physicians.
5 But I don't think you necessarily need to have the
6 person return physically to the center.

7 DR. SALOMON: Dr. High?

8 DR. HIGH: I was just going to say I agree
9 with that, and I agree with the point that Dr.
10 Champlin made earlier. It is really unusual for
11 the sorts of complications that we are talking
12 about to be picked up on a physical exam with an
13 asymptomatic patient. The patient is going to be
14 presenting in some other setting.

15 DR. SALOMON: One thing that came up was
16 the concept that there should be sort of a national
17 ID that, if you were in a gene therapy trial you
18 should have a little card that says, "I was in this
19 gene therapy trial" and maybe a number to contact.
20 If you entered an emergency room for a complication
21 you would sort of produce this, have it on your
22 wrist, or something. Does anyone have any comment
23 about that?

24 MS. LAWTON: I am not sure what that will
25 do other than scare the patient so that nobody will

1 enter a gene therapy clinical trials.

2 DR. SALOMON: I think we are done unless
3 there is anything else that you, guys, want to put
4 on the table here.

5 DR. SIEGEL: Well, thank you very much. I
6 think obviously as we anticipated, we don't have
7 solutions to all the questions but I think we have
8 a lot of very useful advice. We really appreciate
9 the efforts.

10 DR. SALOMON: Any last comments from the
11 committee? And from the audience?

12 MS. TICE: I just have a question. How
13 are you going to determine relatedness fifteen
14 years down the line and you only gave one dose?
15 Fifteen years is an awfully long time and you are
16 going to go back and try to determine relatedness.
17 I think you have to think about this.

18 DR. SALOMON: I think, in Dr. Patterson's
19 words, there are experts. We have one at the
20 table, Dr. Gaylor, who is really trained to figure
21 out what are statistically appropriate connections
22 to be made with data from patient groups. I don't
23 think that the committee's expertise is there. We
24 encourage that as part of the consultation process
25 with the different agencies and with the rest of

1 our community to establish that kind of detail, but
2 we do agree that it is fair. I am sure there is an
3 incidence of cancer, and autoimmune disease, and
4 hematologic and neurologic diseases out there, and
5 there are all kinds of sampling errors that we have
6 already articulated, and we absolutely agree with
7 that I think, and we will defer to other experts.
8 Dr. Gaylor, do you agree?

9 DR. GAYLOR: Yes.

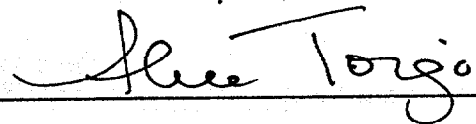
10 DR. SALOMON: I thank everybody for today
11 for a good job and all your attention and input,
12 and I will see you here tomorrow at 8:00.

13 [Whereupon, the proceedings were recessed
14 at 2:30 p.m., to be reconvened Thursday, October
15 25, 2001 at 8:00 a.m.]

16

C E R T I F I C A T E

I, ALICE TOIGO, the Official Court Reporter for Miller Reporting Company, Inc., hereby certify that I recorded the foregoing proceedings; that the proceedings have been reduced to typewriting by me, or under my direction and that the foregoing transcript is a correct and accurate record of the proceedings to the best of my knowledge, ability and belief.

A handwritten signature in cursive script that reads "Alice Toigo". The signature is written in dark ink and is positioned above a horizontal line.

ALICE TOIGO