



*This written report is in addition to requirements to verbally report certain types of incidents. Verbal reports may be made by calling AQMD at 1-800-288-7664 (1-800-CUT-SMOG) or AQMD enforcement personnel.

Section I - Facility Information

1. Permit to be issued to (Business name of operator to appear on permit):
2. Valid AQMD Facility ID (Available on Permit or Invoice Issued by AQMD):
3. Address (where incident occurred):
City: CA Zip Code:
4. Mailing Address (If different from Item 2):
City: State: Zip Code:
5. Provide the name, title, and phone number of the person to contact for further information:
Name Title Phone

Section II - Reporting of Breakdowns, Deviations, and Emergencies

1. This written notification is to report a(n):
Table with columns: Type of Incident, Verbal Report Due*, Written Report Due
2. The incident was first discovered by:
3. The incident was first reported to:
4. When did the incident actually occur?

Table with 4 columns: Received By, Assigned By, Inspector, Date/Time Received/Assigned/Inspected. Includes rows for AQMD, USE, and ONLY.

5. Has the incident stopped? a. Yes, on:
 Date _____ Time _____ AM _____ PM _____ b. No
6. What was the total duration of the incident?
 Days _____ Hours _____
7. For equipment with an operating cycle, as defined in Rule 430 (b)(3)(A), when was the end of the operating cycle during which the incident occurred?
 Date _____ Time _____ AM _____ PM _____
8. Describe the incident and identify each piece of equipment (by permit, application, or device number) affected. Attach photos (when available) of the affected equipment and attach additional pages as necessary.
9. The incident may have resulted in a:
 a. Violation of Permit Condition(s): _____
 b. Violation of AQMD Rule(s): _____
10. What was the probable cause of the incident? Attach additional pages as necessary.

11. Did the incident result in excess emissions? No Yes (Complete the following and attach calculations.)

| | | | |
|---------------|---------------|------------------|-----------------|
| VOC _____ lbs | NOx _____ lbs | SOx _____ lbs | H2S _____ lbs |
| CO _____ lbs | PM _____ lbs | Other: _____ lbs | _____ pollutant |

12. For RECLAIM facilities Subject to Rule 2004 (j)(3) ONLY: If excess emissions of NOx and/or SOx were reported in Item 11, do you want these emissions to be counted when determining compliance with your annual allocations?
 a. Yes, for: NOx SOx b. No, for: NOx SOx
 If box 12(b) above is checked, include all information specified in Rule 2004(i)(3)(B) and (C), as applicable.
13. Describe the steps taken to correct the problem (i.e., steps taken to mitigate excess emissions, equipment repairs, etc.) and the preventative measures employed to avoid future incidents. Include photos of the failed equipment if available and attach additional pages as necessary.
14. Was the facility operating properly prior to the incident?
 a. Yes b. No, because: _____
15. Did the incident result from operator error, neglect or improper operation or maintenance procedures?
 a. No b. Yes, because: _____
16. Has the facility returned to compliance?
 a. No, because: _____
 b. Yes (Attach evidence such as emissions calculations, contemporaneous operating logs or other credible evidence.)

Section III – Certification Statement

I certify under penalty of law that based on information and belief formed after reasonable inquiry, the statements and information in this document and in all attachments and other materials are true, accurate, and complete.

For Title V Facilities ONLY: I also certify under penalty of law that that I am the responsible official for this facility as defined in AQMD Regulation XXX.

 Signature of Responsible Official Title Date

 Type or Print Name of Responsible Official Phone Fax

 Address City State Zip Code