FEDERAL ELECTION COMMISSION

OFFICE OF INSPECTOR GENERAL

FINAL REPORT

AUDIT OF THE COMMISSION'S PUBLIC DISCLOSURE PROCESS

December 2005

AUDIT ASSIGNMENT 02-03

OFFICE OF INSPECTOR GENERAL

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EXECUTIVE SUMMARY

In response to a request received from former Congressman Stephen Horn, the Office of Inspector General conducted an audit of the FEC's public disclosure process. The former Congressman had expressed concern about the discrepancies between the amounts that political action committees (PACs) reported given to House and Senate candidates and the amounts that the candidates had reported receiving. Therefore, the primary objectives of the audit were to: 1) determine the extent, if any, of disclosure differences between candidate contributions reported by political committees and related political committee contributions reported received by candidates, and 2) assess whether an adequate process is in place to remedy any reporting discrepancies.

The audit work was conducted between August 2002 and July of 2005. We performed a significant amount of background research to obtain an understanding of the FEC's disclosure process. We also conducted several preliminary meetings with management and contacted external organizations for information related to the audit. In order to achieve our stated objectives, we reviewed pertinent documentation, conducted interviews with Commission staff, and performed extensive analyses of campaign finance data to identify anomalies and other attributes of the data. We also developed process narratives and flowcharts which document the functions and processes of the FEC offices primarily responsible for the disclosure process.

Specifically, our audit examined the extent of disclosure differences within the Commission's complex disclosure process and the magnitude of campaign finance data. We found that disclosure differences generally exist between the amounts of money reported given by a PAC and the contributions the candidate reported receiving. In fact, we computed a total variance of 1.2 million dollars between PAC contributions reported disbursed and related PAC contributions reported received by our judgmental sample of fifty House and Senate candidates. The total amount of PAC contributions reported given by the donor PACs did not equal the total amount of PAC contributions reported received by the fifty sampled candidates. Furthermore, remote users of the Commission's disclosure database are not adequately made aware of potential reporting discrepancies that could occur among reporting entities. The disclosure database accessible from the FEC Web site does not warn users to be cautious when making conclusions from the data files in regards to a candidate's campaign financing activity.

A variety of campaign factors that are inherently part of the FEC's campaign finance disclosure process produce disclosure differences between the amounts of money reported given by a PAC and the contributions the candidate reported receiving from the PAC. Differences between reporting entities can be attributed to: timing disparities, returned PAC contributions, duplicate transactions, inaccurate or incomplete reporting, inaccurate coding, the non-reporting of in-kind contributions, and differences in filing requirements.

Additionally, we found the lapse in time between PAC reported disbursements and candidate reported receipts of those disbursements can also cause disclosure differences. In fact, PAC and candidate transactions can cross over one or more reporting cycles. Furthermore, we found it difficult to accurately match a PAC disbursement with the exact candidate receipt of that PAC disbursement since the donor committee's identification number is not required to be included on the contribution check and one PAC can be known by several different names, acronyms, and abbreviations.

Overall, we believe the FEC consistently constructs, for the most part, an accurate depiction of financial activities based upon the participation of Congress and other mandated filers. To accommodate the evolving nature of the disclosure database, we found that the Commission has developed sound policies and procedures that attempt to prevent, identify, and correct reporting variances. Thus, the agency continually makes the data available to provide the electorate with the ability to make educated, informed decisions on the political process based in part on where candidates for federal office derive their financial support. However, patrons must understand that, due to the magnitude and complexity of the mandated disclosure system, the data must be understood before assumptions are made regarding a candidate's campaign financing activities. We discovered that the FEC's Web site doesn't encourage patrons to review both sides (donor and recipients) before making assumptions with the numbers. Nor does the disclosure database effectively disclose the inherent factors that cause disclosure differences. Considering this, we made a few suggestions for improvement to the disclosure process, which are outlined at the end of this report.

BACKGROUND

Campaign Finance Legislation and the Federal Election Commission

Between 1907 and 1966, Congress enacted several statutes in response to Presidential requests for legislation to ban corporate contributions for political purposes. These statutes sought to limit the influence of wealthy individuals on federal elections, regulate spending in campaigns for federal office, and prevent abuse by mandating public disclosure of campaign finances. Congress later consolidated these early reform efforts into the 1971 Election Laws - The Federal Election Campaign Act.

The *Federal Election Campaign Act of 1971*, (FECA), provides the basic legislative framework governing the financing of federal elections and institutes more stringent disclosure requirements for federal candidates, political parties, and political action committees (PACs). However, these initial attempts at federal election reform were unsuccessful without a central administrative authority to provide an institutional framework to oversee the campaign finance provisions of the law.

In 1974, Congress amended the FECA to authorize the establishment of an independent regulatory agency, the *Federal Election Commission* (FEC or Commission), to administer and enforce the FECA. The mission of the FEC is to assure that the campaign finance process is fully disclosed and that the rules are effectively and fairly enforced, fostering the electorate's faith in the integrity of the nation's political process. Under current law, the FEC has exclusive jurisdiction over the civil enforcement of the FECA.

To achieve its mission, the Commission has three core agency programs: public disclosure, compliance, and public financing. Specifically, these programs function together to facilitate public disclosure of campaign finance activity; encourage voluntary compliance with the disclosure and other requirements of the FECA; and enforce the statute through audits, investigations, and civil litigation. Administering and enforcing the FECA also involves implementing the public funding programs for Presidential campaigns and conventions. This includes audits of participating candidates and committees, and enforcement of public funding legislation.

The environment that the FEC operates within is volatile; several external factors have the ability to adversely affect the Commission’s ability to achieve its mission. Some of these factors include:

- the level of funding appropriated to the FEC;
- significant amendments to the FECA;
- significant changes in the level of competition in federal election

campaigns;
- significant changes in the degree and intensity of fundraising for federal campaigns;
- extreme changes in the general political attitude, interest, and awareness of the electorate; and
- the solvency of the Presidential Election Campaign Fund.

All of these issues can impact the quantity of money to be regulated by the FEC during each election period as well as the FEC's workload (i.e. the number of reports filed and transactions to be processed, the number of requests for information, data, and support made to the FEC, and the number of complaints filed with the Commission).

Public disclosure of the sources and amounts of money used to support federal elections is one of the most important responsibilities of the FEC. Through the public disclosure program, the Commission strives to promote full and timely disclosure of campaign finance activity in federal elections, and to provide information and policy guidance on the FECA to the public, press, and those persons and entities required to comply with the FECA. The public uses the financial campaign data to evaluate the candidates running for federal office. Public disclosure of campaign data also allows both the public and the Commission to monitor compliance with federal election law.

Requirements for the disclosure of federal campaign funds are set forth in federal election campaign laws and regulations. These laws require that the Commission publicize financial campaign data to inform and educate the public. 11 CFR Section 5.2 specifically states that "the Commission will make the fullest *possible* disclosure of records to the public….." The FECA requires candidate committees, party committees, and PACs to register and file periodic reports (monthly or quarterly) with the Commission disclosing the money they raise and spend. Candidates must identify, for example, all PACs and party committees that give them contributions, and they must identify individuals who give them more than $200 in an election cycle. Additionally, they must disclose expenditures exceeding $200 per election cycle to any individual or vendor. This finance data is captured on the *FEC Form 3 (candidate committees) or 3X (political committees) Report of Receipts and Disbursements* and submitted to the Commission. Copies of these forms appear in appendix B and C.

The Commission scans all of the paper campaign finance reports filed with the agency to create digital images of the documents, which are then accessible to the public in the FEC's Public Disclosure Office or on the Commission's Web site. In addition to the digital imaging system, the Commission codes and enters the information taken from campaign finance reports into the agency's disclosure database. The Commission assigns each registered committee a unique identification number and then the information is coded so that committees are identified consistently throughout the database. After the reports have been processed, coded, and entered, the Commission's Reports Analysis Division (RAD) reviews reports to track compliance with the law and to ensure that the public record provides a full and accurate portrayal of campaign finance activity. The Commission makes the reports it receives easily available to the public, usually within 48 hours after receipt. The Commission's mandatory electronic filing program which began in January 2001 requires committees that receive contributions or make expenditures in excess of $50,000 in a calendar year, or expect to do so, to file their campaign finance reports electronically. For electronic filings, the entire process of capturing campaign summary data is completely automated. Reports are available for viewing within 24 hours after receipt. A flowchart, visually illustrating the Commission's disclosure process for receiving campaign data to making it available for the public, appears in appendix A, The FEC Disclosure Process.

In the summer of 2002, the Office of Inspector General received a request from former Congressman Stephen Horn to review how the Commission is carrying out its disclosure responsibilities and how the Commission can remedy reporting discrepancies. His office had expressed concern about discrepancies between the amounts that PACs reported were given to House and Senate candidates and the amounts that the candidates reported receiving. The Project on Government Oversight (POGO) report *Establishing Institutional Integrity at the FEC: Ten Common Sense Campaign Finance Disclosure Reforms*, dated March 5, 1998 discussed these discrepancies. On March 28, 2001, POGO, an independent, nonprofit organization that investigates allegations of waste, fraud, and abuse in the federal government, released a follow-up report on this issue entitled, *At the Federal Election Commission Things Just Don't Add Up.*

OBJECTIVES, SCOPE, AND METHODOLOGY

The objectives of this audit were to: 1) determine the extent, if any, of disclosure differences between candidate contributions reported by political committees and related political committee contributions reported received by candidates, and 2) assess whether an adequate process is in place to remedy any reporting discrepancies. Our scope included campaign finance transactions filed with the Commission from January 1, 1999, through July 2005.

To obtain background information regarding campaign finance disclosure, we reviewed the federal laws and regulations relevant to federal election campaigns, such as *11 CFR, Federal Elections* and *U.S. Code, Title 2, Chapter 14, Federal Election Campaigns*. We reviewed polices and procedures distributed by the FEC, campaign guides, pamphlets, and internal operating manuals. We interviewed Commission employees in the Public Disclosure Division, Data Coding and Entry Branches, Information Technology Division (formerly Data System Development Division), and the Reports Analysis Division in order to develop process narratives. We met with POGO personnel to discuss methodologies used during their review. We also examined the Commission's annual legislative recommendations applicable to campaign finance disclosure that were submitted to Congress from 1997-2005.

We evaluated the FEC's Information Technology Strategic Plan for initiatives relating to the FEC public disclosure of campaign finance data. We examined closely the results of a reengineering study completed by an FEC contractor, to obtain background information regarding RAD's workflow and review process. In addition, we conducted a telephone interview with a principal of TRKC Incorporated to obtain information regarding possible causes of disclosure variances. TRKC Incorporated is an Internet news and tracking service that assists media organizations, corporations, trade associations, and non-profit groups with data collection, storing, transmission, linking, analysis, and display of complex financial and political information. TRKC Incorporated specializes in working with data on political money moving to and from the national political arena.

We observed the campaign finance report review process with senior campaign finance analysts and developed several flowcharts to visually depict the Commission's entire campaign finance disclosure process in place.

We evaluated and compared campaign data disclosed on the Commission's Web site, File Transfer Protocol (FTP) files, and imaging system to determine whether the data is consistently presented. Also, we evaluated end-user instructions on the FEC Web site and for the FTP files to determine whether the Commission provided patrons with adequate guidance on how to manipulate and retrieve the campaign data available. Also, we determined whether the Web site and FTP site disclosed the frequency of data updates.

To identify possible causes of disclosure differences between the amounts of money reported given by a PAC and the contributions the candidate reported receiving from the PAC, we judgmentally selected a sample of ten House and Senate candidates. For the ten House and Senate candidates sampled, we tracked and analyzed campaign finance transactions processed through the campaign disclosure system during the 1999-2000 reporting cycle.

We expanded our sample to fifty additional House and Senate candidates to further examine the extent of disclosure differences between candidate contributions reported by political committees and related political committee contributions reported received by candidates. For each candidate sampled, we retrieved and reviewed campaign finance reports to analyze the total amount of PAC receipts reported during two different filing cycles or reporting periods(from 2001 to 2004). Then, we computed the dollar value difference between the PAC and candidate committee data.

To determine whether political committee and candidate transactions tend to cross over reporting periods or election cycles, we judgmentally selected 407 PAC disbursements and candidate receipt transactions filed for a randomly selected incumbent. For this dataset, we computed the average number of days between the reported disbursement and receipt of each financial transaction.

Additionally, we judgmentally selected a sample of candidates with in-kind transactions to identify examples of non-reporting of in-kind contributions.
To explore the impact of different filing requirements as they relate to returned checks, we also judgmentally selected and traced through the campaign reporting system, a sample of twelve returned checks recorded by various PACs and returned by the candidates.

This audit was performed in accordance with generally accepted government auditing standards during the period of August 2002 through July 2005.

AUDIT DETERMINATIONS

Disclosure Differences Between PAC and Candidate Data

The OIG audit found disclosure differences generally occur between the contributions reported given by a PAC and the PAC contributions the candidate reported receiving. As required by the FECA, the Commission successfully discloses financial campaign data; however, discrepancies between reporting entities are inherently part of the nature and design of the FEC's campaign disclosure process. As a result, the amount of PAC funds reported given to finance elections may not be in agreement with a candidate's actual campaign financing activities.

Requirements for the disclosure of federal campaign funds are set forth in federal election campaign laws and regulations. These laws require that the Commission publicize financial campaign data to educate the public. 11 CFR Section 5.2 specifically states that "the Commission will make the fullest *possible* disclosure of records to the public….." In a filing cycle, nearly 8,000 registered committees file with the Commission. From these registered committees, the Commission receives between 85,000 to 90,000 reports, which contain millions of itemized contributions, as well as millions of itemized disbursements, receipts and other various payments. As acknowledged by campaign law, the Commission is charged with a task which is both great in magnitude and complexity.

Within this complex disclosure process and a magnitude of campaign finance data, disclosure differences generally exist between the amounts of money reported given by a PAC and the contributions the candidate reported receiving. For example, we judgmentally selected a sample of fifty House and Senate candidates and found that none of the candidates sampled had contributions reported given by PACs that equaled the total contribution amount the candidate reported receiving from the PACs. In fact, we computed a total variance of 1.2 million dollars between PAC contributions reported disbursed and related PAC contributions reported received by the fifty candidates sampled. The 1.2 million dollar variance represents about four percent of the total campaign activity for this sample of House and Senate candidates. For each candidate sampled, we retrieved and reviewed campaign finance reports to analyze the total amount of PAC receipts reported during two different filing cycles or reporting periods(from 2001 to 2004) by each candidate with PAC reported disbursements. Then, we computed the dollar value difference between the PAC and candidate committee data. The dollar value disclosure differences among the individual transactions for the candidates sampled ranged from $250.00 to $189,600.00. Although reporting variances generally occur, users who access the disclosure database using the FEC Web site are not made aware of potential discrepancies.

The disclosure differences between the amounts of money reported given by a PAC and the contributions the candidate reported receiving can be attributed to a number of factors inherently part of the Commission's disclosure system.

Timing Disparities

One of these inherent aspects, timing disparities, we discovered while tracking campaign finance transactions filed for one randomly selected incumbent through the Commission's disclosure process. During the 1999-2000 filing cycle, we determined that one candidate sampled had a disclosure difference of $15,027.00 between the contributions reported given by PACs and the contributions his campaign reported receiving. We traced 407 PAC disbursement transactions to the candidate's reported receipt of those contributions and found a lapse in time between PAC reported disbursements and candidate receipts of those disbursements that varied from one day to eight months. In fact, 49% of the disbursements reviewed took more than fifteen days before the candidate actually reported receipt of the PAC contribution. Of that 49%, eleven contributions took between three to eight months before the candidate's campaign reported receipt of the contribution. Furthermore, we found that a PAC disbursement of a contribution may occur in one reporting period while receipt of that contribution could occur in another reporting period or election cycle. House and Senate candidates are required to disclose financial transactions quarterly; however, PACs are required to file at least semiannually in non-election years and at least quarterly in election years. PACs may elect to file monthly for either or both non-election and election years. National party committees are required to file monthly for both non-election and election years. State, local and district party committees have the same filing frequency requirements as PAC's with the exception; Party committees that engage in reportable "federal election activity" must file monthly. Based on these filing requirements, PAC contributions disbursed to House and Senate candidates may be reported monthly to the Commission, but the candidate committees may not report receipt of those PAC contributions until at least two or three months later. Therefore, different filing requirements as well as contributions in transit can contribute to discrepancies between reporting entities.

Duplicate Transactions and In-Kind Contributions

During the assessment of timing disparities between campaign finance transactions, we noted two more causes of reporting variances: **duplicate transactions** and the **non-reporting of in-kind contributions**. Specifically, we found that one candidate's committee had reported receipts of 584 PAC contributions; but the contributing PACs apparently disbursed only 505 contributions to the candidate's campaign. After closely examining the data, we found that almost 100 of the PAC receipts actually reported by the candidate were duplicate transactions. According to FEC management, some duplicates are reporting errors and others are transactions actually reported twice by the reporting entity. In fact, we found that RAD has incorporated procedures that attempt to address duplicated transactions as well as duplicate reports discovered during the report review process.

In addition to duplicates, we found in-kind contributions reported by the contributing PAC, but not reported by a candidate's campaign committee. An in-kind contribution is a donation of goods, services, or property offered free or at a less than usual and normal charge. Commission policy encourages contributing PACs to inform candidate committees of in-kind contributions made on their behalf to avoid disclosure differences and overstatements. However, we found twenty-six in-kind contributions, valued at over $10,940.00, reported by contributing PACs, but only fifteen in-kind contributions valued at $7,549.00 were actually reported by the candidate's campaign committee.

Returned PAC Contributions

Returned checks or un-cashed PAC contributions can cause discrepancies between the amounts that PACs report disbursing and the amount the candidate actually received. We found that candidates may return PAC contributions back to the donor committee without depositing the check. As required, the donor PAC would have reported the initial contribution to the Commission as a disbursement on Schedule B of the FEC *Form 3X Report of Receipts and Disbursements*. However, the Commission's disclosure regulations do not require the recipient committee to report either the receipt or the return of the contribution if the original contribution is returned without being deposited. Thus, disclosure differences occur between the contributions reported given by the donor PAC and the contributions the candidate reported receiving until the donor PAC reports a negative disbursement in the amount of the original contribution on the Schedule B, Itemized Disbursements, for the next required *FEC Form 3X*.

Furthermore, the Commission's disclosure regulations do not require the PACs to report returned checks if it occurs within the same reporting period of the initial contribution. Nor do the regulations require the donor PAC to report an un-cashed or returned check within a specified time. In fact, we found several un-cashed or returned PAC contributions not recorded in the same reporting period or election cycle of the initial contribution and some were never reported as un-cashed or returned. If PACs do not report a negative disbursement to offset the initial contribution, the candidate will continue to have a disclosure difference. Considering this, the FEC should inform users of the disclosure database that in order to obtain the total amount of PAC money contributed and used by a candidate, the user must review reports from both reporting entities (i.e. the candidate's reports as well as the contributing PACs' reports) before making any assumptions on the numbers.

Coding Errors

During the audit, we also discovered several PAC contributions inaccurately coded and assigned to the wrong candidate by the Commission as well as reporting errors made by the PAC or candidate while preparing the *FEC Form 3* or *3X Report of Receipts and Disbursements.* Part of the FEC's disclosure process requires the Commission to correctly match which committee the donor PAC intends to give the contribution to. However, the coding process can be complicated because a committee can be known by several different names, acronyms, and abbreviations used to represent the same committee. Additionally, some candidates have multiple committees; House and Senate, House and Presidential or Senate and Presidential. We found it extremely difficult to track PACs' disbursement transactions to the candidate receipt of the contribution. No common attribute, such as the committee identification number or donor's check number, was used between transactions to allow patrons or the Commission to accurately track disbursements to receipts.

Agency regulations do not require that the committee identification number be included on the contribution check or *FEC Form 3X Schedule B for Itemized Disbursements*. Therefore, the Commission must attempt to accurately determine which committee the contributing PAC intends to give the contribution to without the committee's full name and identification number. This means reporting errors and inaccurate coding of PAC contributions provide yet another potential opportunity for disclosure differences between PAC disbursements and candidate receipts. To encourage standardization, the Commission makes available free filing software that contains drop down menus listing committee names which, when selected by the filing entity, causes the name and identification number to be included in the electronic filing to the FEC.

Adequate Process in Place To Remedy Disclosure Discrepancies

Overall, the Commission has an adequate process in place to improve reporting discrepancies. As required by campaign finance law, the Commission has developed a disclosure system that administers the provisions of the FECA and includes effective policies and procedures to address potential reporting inconsistencies. As a result, the Commission continually produces the most accurate portrayal possible of Congressional candidates' campaign financing activities.

Title 2 of the United States Code, section 438, outlines the FEC's administrative duties which include: prescribing of rules, regulations, and forms to carry out the provisions of the campaign finance laws as well as developing a filing, coding, and cross-indexing system consistent with the purposes of FECA. The coding process for paper reports sent through the mail involves reviewing key data fields for accuracy (such as the filing period), circling the line items that will be entered by the data entry staff, and assigning the appropriate codes (such as report coverage dates, report type). Paper reports are indexed after the manual coding and data entry process is completed. With electronically filed reports, summary campaign data is indexed automatically without staff intervention. The indexing of campaign data allows the user to locate reported campaign data on the FEC Web site by page number. *Section 438* also states that the Commission must prepare, publish, and furnish to all persons required to file reports and statements under the FECA a manual recommending *uniform* methods of bookkeeping and reporting. Any recommendations for legislative action that the Commission considers appropriate must be transmitted to the President and to each House of Congress annually for approval.

As previously stated, disclosure differences are inherently part of the Commission's campaign finance disclosure system. However, the Commission has an adequate process in place that executes the provisions of FECA. In fact, the Commission's disclosure process incorporates policy and procedures that attempt to prevent, identify, and correct reporting variances.

Automated Review System and Procedures

In coordination with the Information Technology Division (ITD), RAD developed and began testing an automated review or Electronic Review (E-Review) program in 2005. The program will automate much of the review process and replace most of the manual verification processes. RAD has envisioned that this program will produce a report that will identify any reporting discrepancies between PAC disbursements and candidate receipts of PAC contributions. Overall, the new E-Review program will also make the review process more efficient and faster while at the same time identifying potential discrepancies between the contributions reported given by a PAC and the PAC contributions the candidate reported receiving.

Policy and Outreach

The FEC's public disclosure policies and educational outreach programs work together to educate the electorate about various aspects of federal campaign finance law and to prevent disclosure differences in campaign finance data. The Commission continually provides extensive information and policy guidance on the FECA to the public, press, and those persons or entities required to comply with the FECA. Mandated filers as well as general users have access to campaign finance regulations and rules via the Internet or in person within the Public Disclosure Division. This material is also made available by phone or email request as well as from the Information Division, RAD, the Press Office, and Congressional Affairs. The Commission's outreach program produces additional informational and educational publications such as campaign guides, brochures, and detailed pamphlets. Commission personnel conduct five to six campaign finance workshops a year to instruct filers, and monthly roundtables are held on a wide range of FECA issues. Commission personnel also manage a toll-free help line that is actively used by the public as well as the filers of campaign finance data. The Commission responds to over 200,000 requests for data, information, copies of reports or indices, and other requests for assistance each filing cycle (not including visits or hits on the FEC Web site).

Request for Additional Information

RAD reviews campaign finance reports to monitor compliance with the law and to ensure that the public record provides a full and accurate portrayal of campaign finance activity. During the report review process, a Campaign Finance Analyst compares financial data reported to internal polices and notes any discrepancies found throughout the review. These discrepancies are scrutinized by the analysts to determine whether additional information or corrections are needed. When an analyst finds that a report contains errors or suggests violations of the law, they send the reporting committee a *Request for Additional Information* (RFAI), allowing respondents thirty days to reply. After receipt of the letter, the committee treasurer can make additions or corrections to the report, which is then added to the public record. In fact, the Commission issues about 20,000 RFAI's per election cycle to correct the disclosure database. To prevent repetitious errors which burden the disclosure process, the Commission usually sends out RFAIs within three months of receipt of the report. RAD refers apparent violations to the Audit Division, the Office of General Counsel, and the Alternative Dispute Resolution office for possible enforcement action.

The FEC's Web Site

The Commission recently completed significant upgrades to the Web site as part of an ongoing endeavor to make campaign finance information more accessible to the regulated community, researchers, and the public. We found features such as the improved navigation and interactive pages user friendly as it allows users to tailor content to their specific needs. The new Web site search engine also allows the user to access those files that are word searchable. Remote users can view more than three million images of report pages dating back to 1993 and over two million database entries since 1997. Visitors to the Office of Public Disclosure at the FEC can also access the FEC's Web site using public computer terminals, which offer search and retrieval of digital report images and access to the disclosure database.

Downloadable Campaign Finance Data from the Web Site

Users of the Commission's Web site also have access to downloadable data files contained on the agency's file transfer protocol (FTP) site. The FTP site is a data repository which is useful for those users who want to conduct intensive analysis of campaign finance data. The downloadable data files found on the FTP site are very large and contain contributor, candidate, committee, and summary data. Unlike the disclosure database which is updated nightly, some of the downloadable data files are updated on a weekly basis. We also found that the agency's FTP site adequately warns users to be cautious when making conclusions from these data files in regards to a candidate's campaign financing activities, whereas the disclosure database accessible from the FEC Web site does not.

CONCLUSIONS

We have found that the FEC consistently constructs, for the most part, an accurate depiction of financial activities based upon the participation of Congress and other mandated filers. However, due to a variety of factors that are inherently part of the campaign finance disclosure process, disclosure differences generally exist between the amounts of money reported given by a PAC and the contributions the candidate reported receiving. During the audit, we found that differences between reporting entities can be attributed to: timing disparities, returned PAC contributions, duplicate transactions, inaccurate or incomplete reporting, inaccurate coding, the non-reporting of in-kind contributions, and differences in filing requirements. To accommodate the evolving nature of the disclosure database, the Commission has developed sound policies and procedures that attempt to prevent, identify, and correct reporting variances. In addition, the Commission's newly redesigned disclosure database offers simplified navigation, additional search tools, and use of new technology that provides users with an interactive experience that is tailored to their specific needs. However, the disclosure database accessible from the FEC Web site does not inform the user that reporting variances frequently occur between candidate contributions reported by political committees and related political committee contributions reported received by candidates. Nor does the Commission's disclosure database encourage patrons to cautiously review both sides (donor and recipient) before making assumptions based on the numbers.

SUGGESTIONS

Overall, we believe adequate policies and procedures are in place to remedy reporting discrepancies. To improve the current system of campaign disclosure, we suggest the following:

- The Commission should ensure the users and mandated filers of the FEC disclosure system are aware that reporting variances frequently occur between candidate contributions reported by political action committees (PACs) and related political committee contributions reported received by candidates.

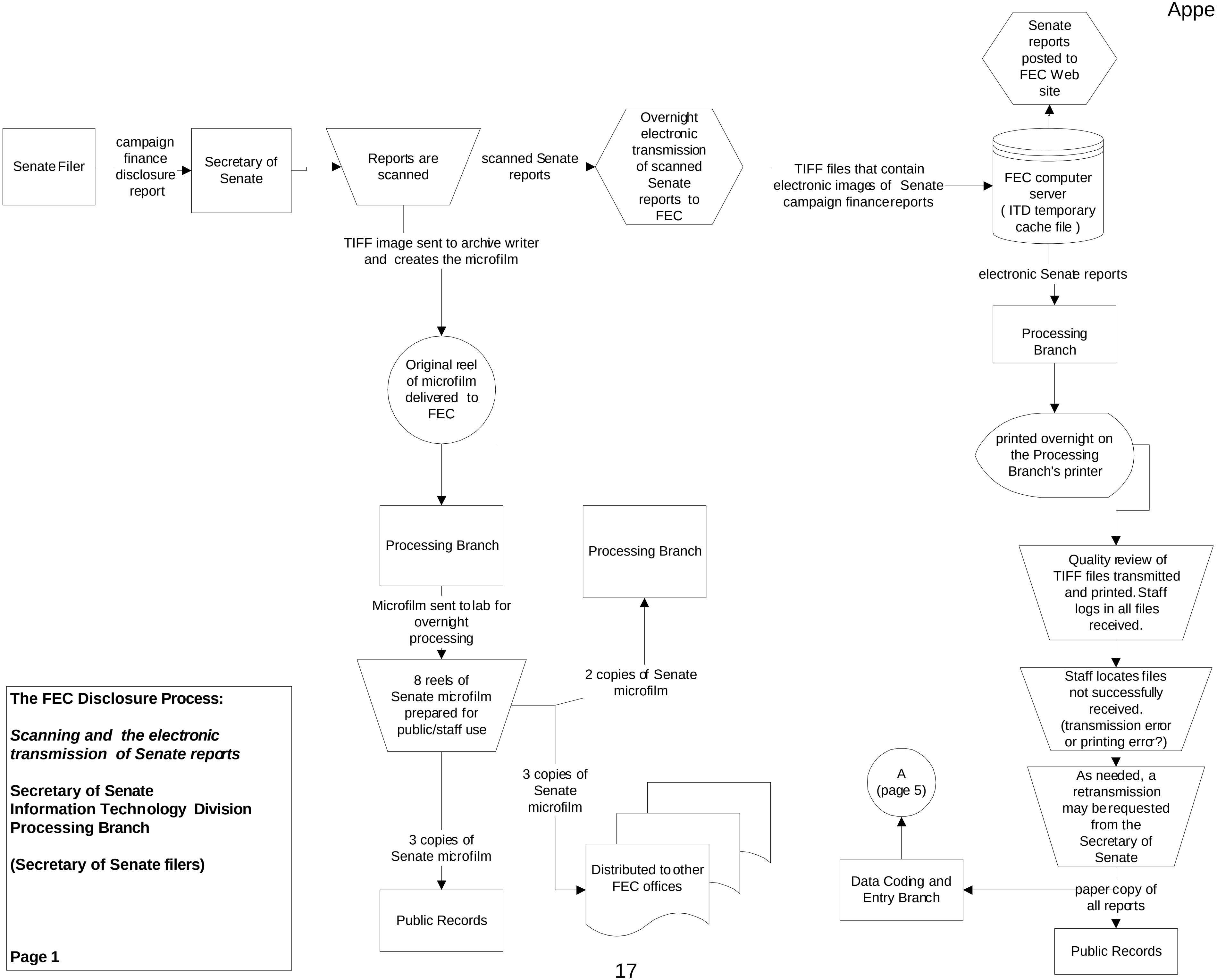
- The Commission should also disclose the inherent campaign finance factors that could cause disclosure differences between PAC and candidate data.

- The Commission should explain to users that in order to obtain the total account of money contributed and used by a candidate, the public must review both sides (the candidate and the political committee's reports) before making any assumptions on the numbers.

- The Commission consider recommending to Congress that the donor committee's identification number be included on the contribution check or *FEC Form 3/3X schedule B for Itemized Disbursements* to help the Commission accurately determine which committee the contributing PACs intend to give the contributions to. The Commission may also want to consider recommending that the same committee identification number be included on the recipient committee's report.

MANAGEMENT'S COMMENTS

Management was given a draft copy of this report and provided the following comments: "*We are pleased by the IG's recognition that the Commission has developed sound policies and procedures that attempt to prevent, identify, and correct reporting variances. We concur with the IG's finding that the FEC consistently constructs an accurate depiction of financial activities based upon the participation of Congress and other mandated filers. That said, I recognize there are areas that can be improved, and I look forward to working with you to implement those recommendations that will improve the current system of campaign finance disclosure.*" Management also provided additional comments regarding the clarification of issues included in our draft report. Those comments have been incorporated, where appropriate, into our report.



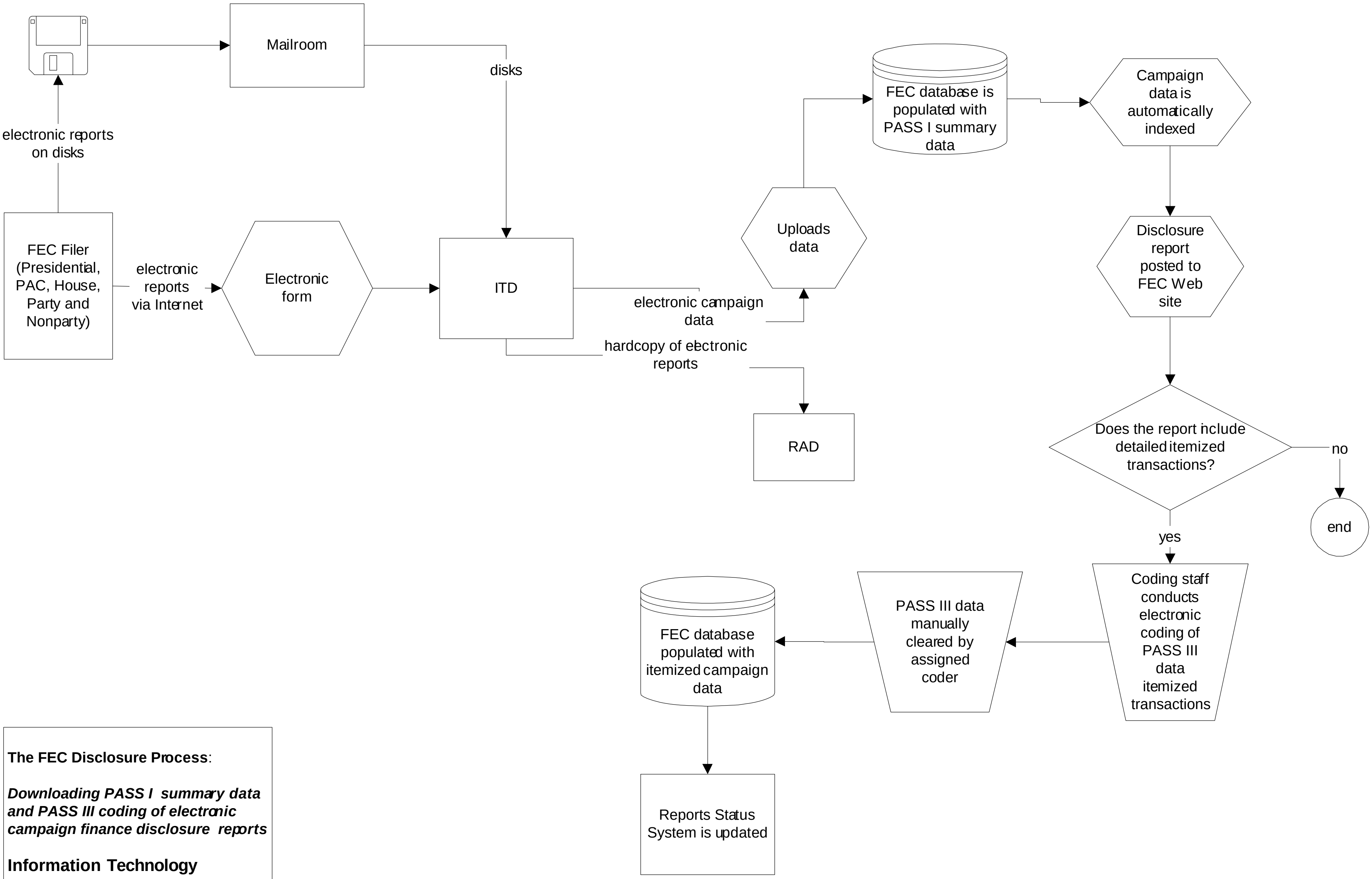
The FEC Disclosure Process:

Scanning and the electronic transmission of Senate reports

Secretary of Senate
Information Technology Division
Processing Branch

(Secretary of Senate filers)

Page 1



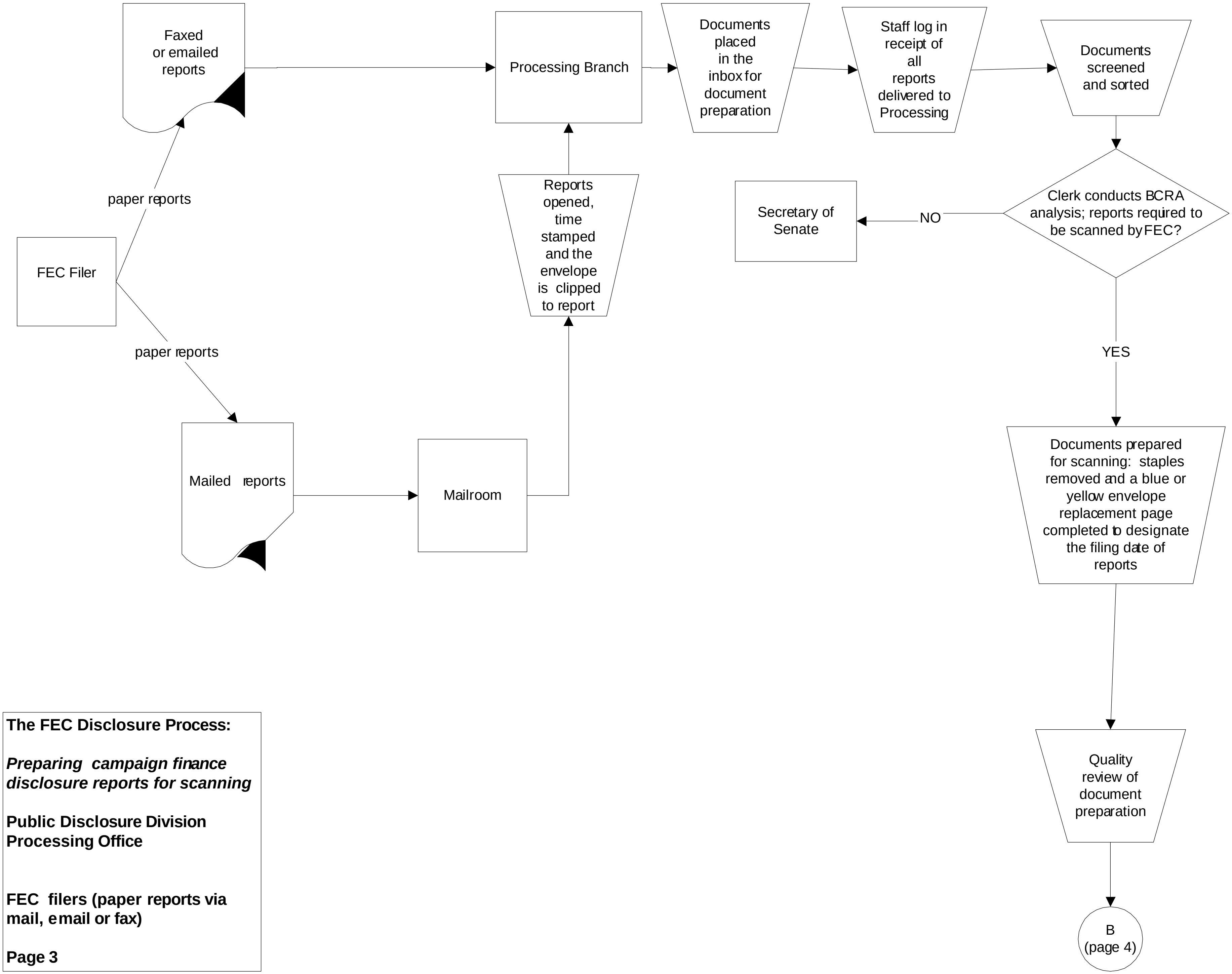
The FEC Disclosure Process:

Downloading PASS I summary data and PASS III coding of electronic campaign finance disclosure reports

Information Technology Division(ITD)

**(FEC electronic filers-
via disk or Internet)**

Page 2



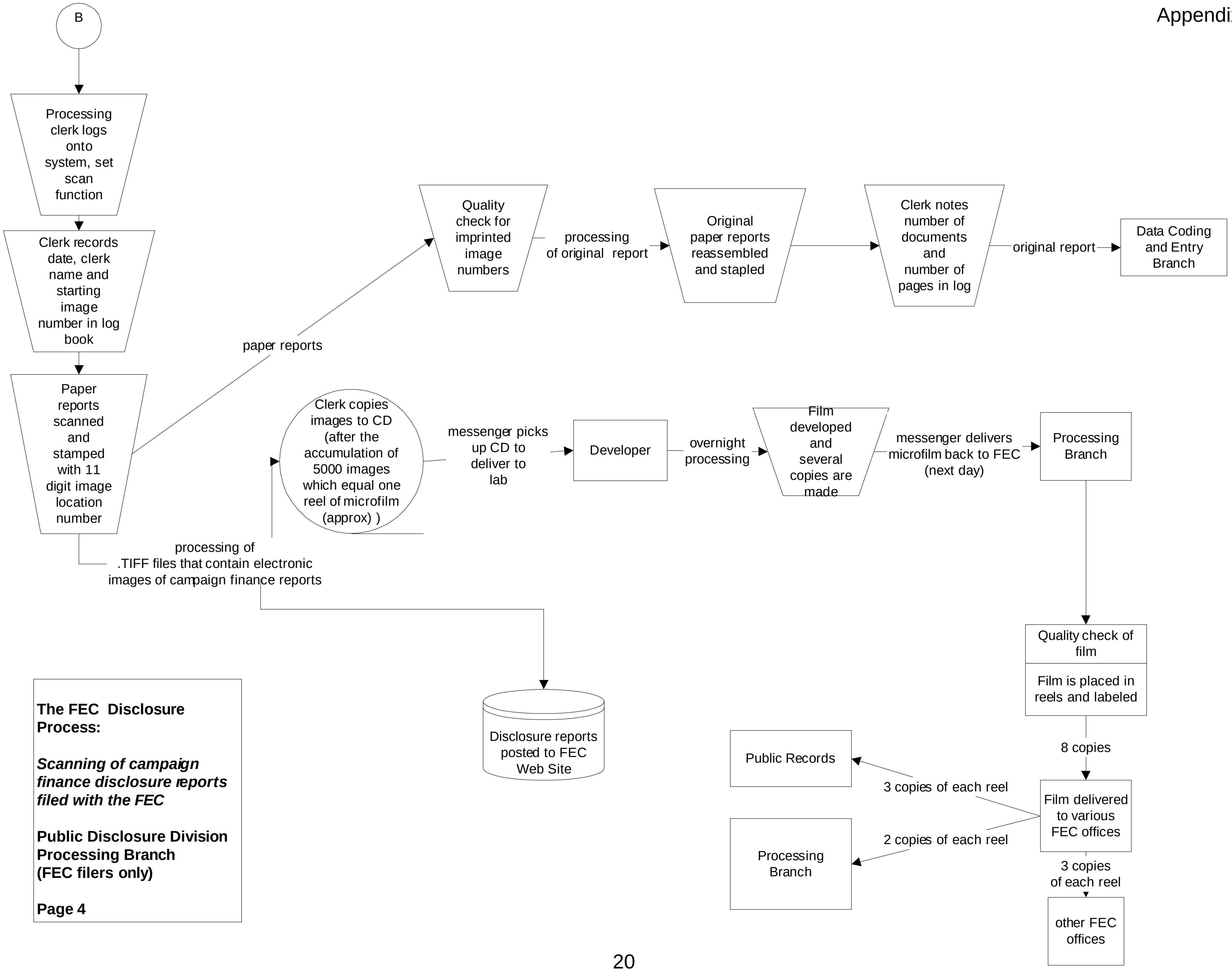
The FEC Disclosure Process:

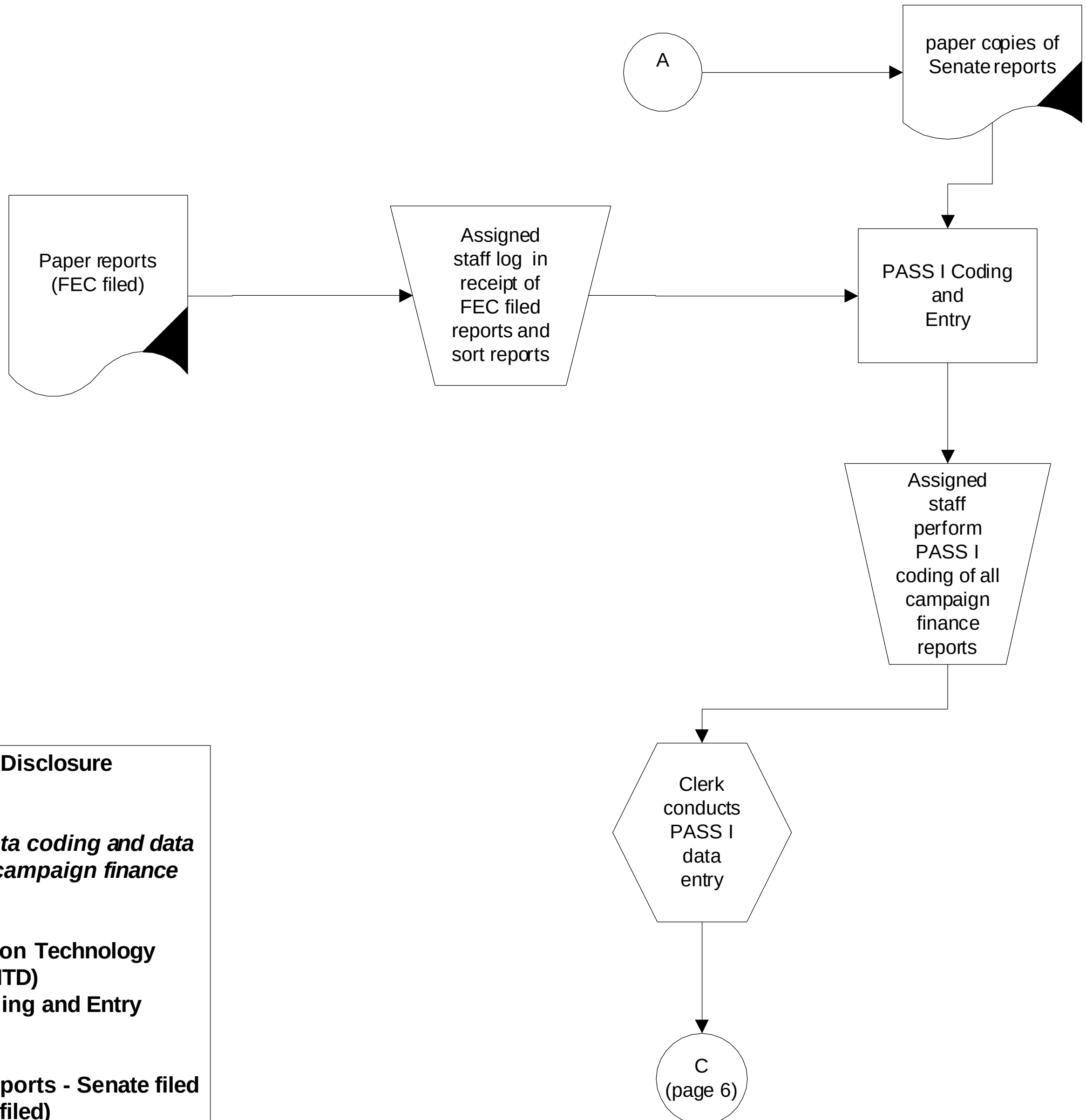
Preparing campaign finance disclosure reports for scanning

Public Disclosure Division Processing Office

FEC filers (paper reports via mail, email or fax)

Page 3


B
Processing clerk logs onto system, set scan function
Clerk records date, clerk name and starting image number in log book
Paper reports scanned and stamped with 11 digit image location number
paper reports
Quality check for imprinted image numbers
processing of original report
Original paper reports reassembled and stapled
Clerk notes number of documents and number of pages in log
original report
Data Coding and Entry Branch
processing of .TIFF files that contain electronic images of campaign finance reports
Clerk copies images to CD (after the accumulation of 5000 images which equal one reel of microfilm (approx))
messenger picks up CD to deliver to lab
Developer
overnight processing
Film developed and several copies are made
messenger delivers microfilm back to FEC (next day)
Processing Branch
Disclosure reports posted to FEC Web Site
Quality check of film
Film is placed in reels and labeled
8 copies
Film delivered to various FEC offices
3 copies of each reel
Public Records
2 copies of each reel
Processing Branch
3 copies of each reel
other FEC offices
The FEC Disclosure Process:
Scanning of campaign finance disclosure reports filed with the FEC
Public Disclosure Division Processing Branch (FEC filers only)
Page 4



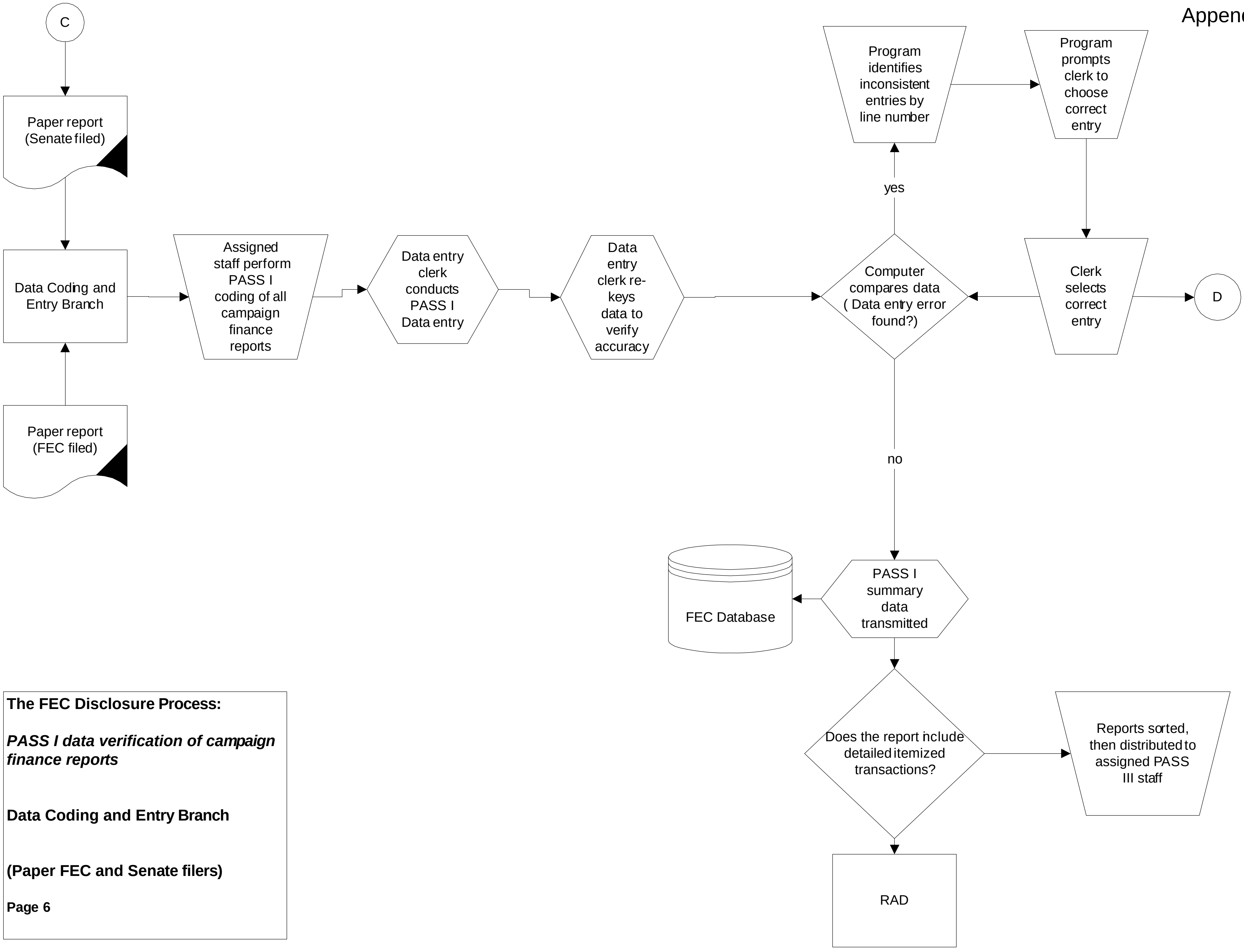
The FEC Disclosure Process:

Pass I data coding and data entry of campaign finance reports

Information Technology Division(ITD)
Data Coding and Entry Branch

(Paper reports - Senate filed and FEC filed)

Page 5



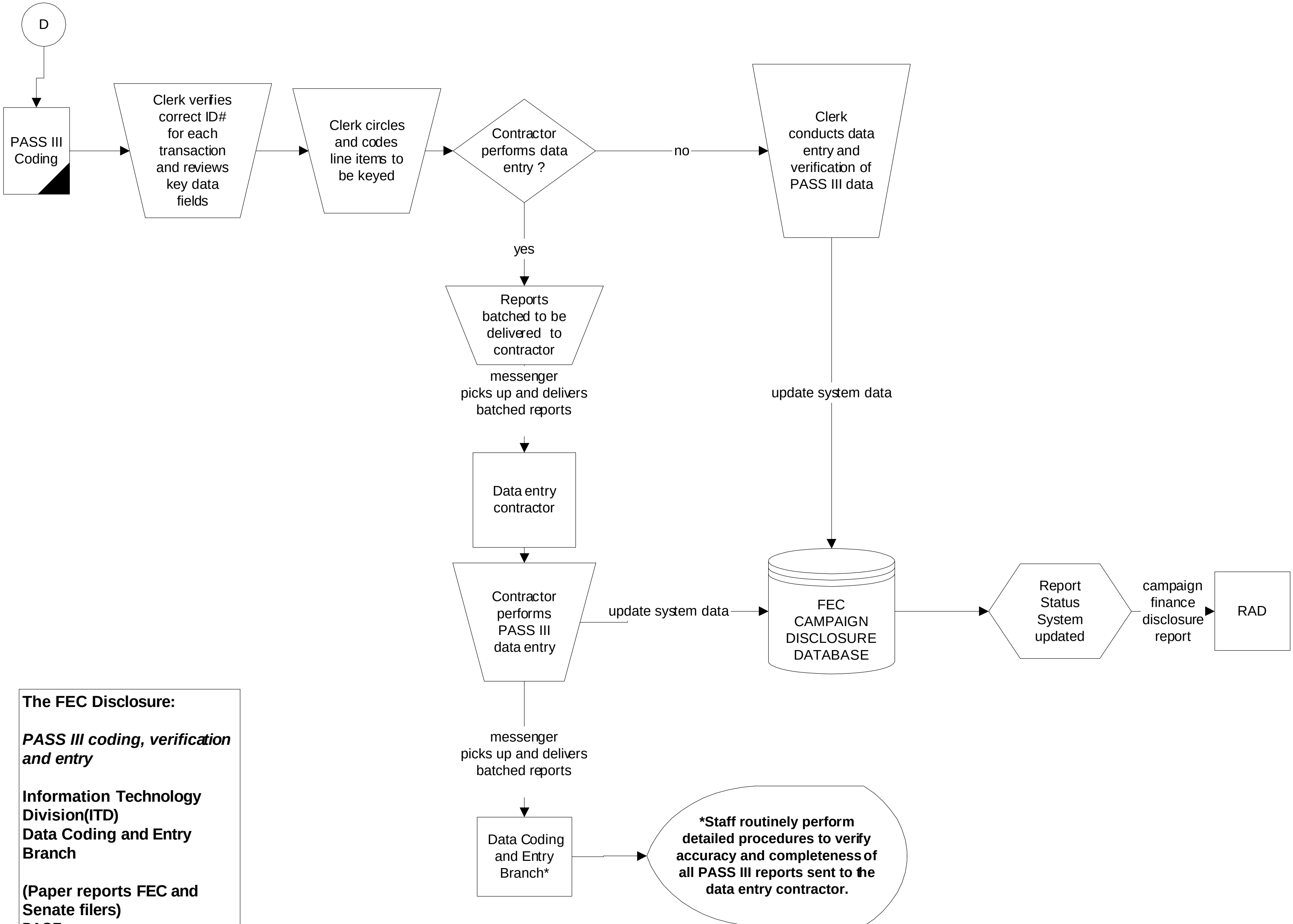
The FEC Disclosure Process:

PASS I data verification of campaign finance reports

Data Coding and Entry Branch

(Paper FEC and Senate filers)

Page 6



The FEC Disclosure:

PASS III coding, verification and entry

Information Technology Division(ITD)
Data Coding and Entry Branch

(Paper reports FEC and Senate filers)
PAGE 7

FEC FORM 3

REPORT OF RECEIPTS AND DISBURSEMENTS
For An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) **TYPE OR PRINT ▼** Example: If typing, type over the lines. 12FE4M5

ADDRESS (number and street) ▼

Check if different than previously reported. (ACC)

CITY ▲ STATE ▲ ZIP CODE ▲

2. **FEC IDENTIFICATION NUMBER ▼**

C

3. IS THIS REPORT NEW (N) **OR** AMENDED (A)

STATE ▼ DISTRICT

4. **TYPE OF REPORT** (Choose One)

(a) Quarterly Reports:

- April 15 Quarterly Report (Q1)
- July 15 Quarterly Report (Q2)
- October 15 Quarterly Report (Q3)
- January 31 Year-End Report (YE)
- Termination Report (TER)

(b) 12-Day **PRE**-Election Report for the:

- Primary (12P)
- General (12G)
- Runoff (12R)
- Convention (12C)
- Special (12S)

Election on M M / D D / Y Y Y Y in the State of

(c) 30-Day **POST**-Election Report for the:

- General (30G)
- Runoff (30R)
- Special (30S)

Election on M M / D D / Y Y Y Y in the State of

5. Covering Period M M / D D / Y Y Y Y through M M / D D / Y Y Y Y

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Signature of Treasurer Date M M / D D / Y Y Y Y

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office Use Only							

FEC FORM 3
(Revised 02/2003)

FE5AN018

SUMMARY PAGE
of Receipts and Disbursements

FEC **Form 3** (Revised 02/2003)

Page **2**

Write or Type Committee Name

Report Covering the Period: From: MM / DD / YYYY To: MM / DD / YYYY

	COLUMN A This Period	COLUMN B Election Cycle-to-Date
6. Net Contributions (other than loans)		
(a) Total Contributions (other than loans) (from Line 11(e))		
(b) Total Contribution Refunds (from Line 20(d))		
(c) Net Contributions (other than loans) (subtract Line 6(b) from Line 6(a))		
7. Net Operating Expenditures		
(a) Total Operating Expenditures (from Line 17)		
(b) Total Offsets to Operating Expenditures (from Line 14)		
(c) Net Operating Expenditures (subtract Line 7(b) from Line 7(a))		
8. Cash on Hand at Close of Reporting Period (from Line 27)		
9. Debts and Obligations Owed **TO** the Committee (Itemize all on Schedule C and/or Schedule D)		
10. Debts and Obligations Owed **BY** the Committee (Itemize all on Schedule C and/or Schedule D)		

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

FE5AN018

DETAILED SUMMARY PAGE
of Receipts

FEC **Form 3** (Revised 12/2003) Page **3**

Write or Type Committee Name

Report Covering the Period: From: M M / D D / Y Y Y Y To: M M / D D / Y Y Y Y

I. RECEIPTS	COLUMN A Total This Period	COLUMN B Election Cycle-to-Date
11. CONTRIBUTIONS (other than loans) FROM:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)		
(ii) Unitemized		
(iii) TOTAL of contributions from individuals .... ▶		
(b) Political Party Committees................		
(c) Other Political Committees (such as PACs)		
(d) The Candidate		
(e) TOTAL CONTRIBUTIONS (other than loans) (add Lines 11(a)(iii), (b), (c), and (d))..		
12. TRANSFERS FROM OTHER AUTHORIZED COMMITTEES		
13. LOANS:		
(a) Made or Guaranteed by the Candidate..		
(b) All Other Loans..................................		
(c) TOTAL LOANS (add Lines 13(a) and (b))....................		
14. OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)		
15. OTHER RECEIPTS (Dividends, Interest, etc.)		
16. **TOTAL RECEIPTS** (add Lines 11(e), 12, 13(c), 14, and 15) (Carry Total to Line 24, page 4)........... ▶		

FE5AN018

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3 (Revised 02/2003) Page 4

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Election Cycle-to-Date
17. OPERATING EXPENDITURES	, , .	, , .
18. TRANSFERS TO OTHER AUTHORIZED COMMITTEES	, , .	, , .
19. LOAN REPAYMENTS:		
(a) Of Loans Made or Guaranteed by the Candidate	, , .	, , .
(b) Of All Other Loans	, , .	, , .
(c) TOTAL LOAN REPAYMENTS (add Lines 19(a) and (b))	, , .	, , .
20. REFUNDS OF CONTRIBUTIONS TO:		
(a) Individuals/Persons Other Than Political Committees	, , .	, , .
(b) Political Party Committees	, , .	, , .
(c) Other Political Committees (such as PACs)	, , .	, , .
(d) TOTAL CONTRIBUTION REFUNDS (add Lines 20(a), (b), and (c))	, , .	, , .
21. OTHER DISBURSEMENTS	, , .	, , .
22. **TOTAL DISBURSEMENTS** (add Lines 17, 18, 19(c), 20(d), and 21) ▶	, , .	, , .

III. CASH SUMMARY

23. CASH ON HAND AT BEGINNING OF REPORTING PERIOD	, , .
24 TOTAL RECEIPTS THIS PERIOD (from Line 16, page 3)	, , .
25. SUBTOTAL (add Line 23 and Line 24)	, , .
26. TOTAL DISBURSEMENTS THIS PERIOD (from Line 22)	, , .
27. CASH ON HAND AT CLOSE OF REPORTING PERIOD (subtract Line 26 from Line 25)	, , .

FE5AN018

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

☐ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

PAGE OF

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

A. Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. C

Name of Employer

Occupation

Receipt For:
☐ Primary ☐ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

Date of Receipt M M / D D / Y Y Y Y

Amount of Each Receipt this Period

☐ Limits Increased Due to Opponent's Spending (2 U.S.C. §441a(i)/441a–1)

B. Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. C

Name of Employer

Occupation

Receipt For:
☐ Primary ☐ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

Date of Receipt M M / D D / Y Y Y Y

Amount of Each Receipt this Period

☐ Limits Increased Due to Opponent's Spending (2 U.S.C. §441a(i)/441a–1)

C. Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. C

Name of Employer

Occupation

Receipt For:
☐ Primary ☐ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

Date of Receipt M M / D D / Y Y Y Y

Amount of Each Receipt this Period

☐ Limits Increased Due to Opponent's Spending (2 U.S.C. §441a(i)/441a–1)

SUBTOTAL of Receipts This Page (optional) .... ▶

TOTAL This Period (last page this line number only) .... ▶

FE5AN018

SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 17 ☐ 18 ☐ 19a ☐ 19b
☐ 20a ☐ 20b ☐ 20c ☐ 21

PAGE OF

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

A. Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Category/ Type

Office Sought: ☐ House ☐ Senate ☐ President

State: District:

Disbursement For: ☐ Primary ☐ General ☐ Other (specify) ▼

Date of Disbursement

M M / D D / Y Y Y Y

Amount of Each Disbursement this Period

☐ Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53

B. Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Category/ Type

Office Sought: ☐ House ☐ Senate ☐ President

State: District:

Disbursement For: ☐ Primary ☐ General ☐ Other (specify) ▼

Date of Disbursement

M M / D D / Y Y Y Y

Amount of Each Disbursement this Period

☐ Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53

C. Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Category/ Type

Office Sought: ☐ House ☐ Senate ☐ President

State: District:

Disbursement For: ☐ Primary ☐ General ☐ Other (specify) ▼

Date of Disbursement

M M / D D / Y Y Y Y

Amount of Each Disbursement this Period

☐ Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53

SUBTOTAL of Disbursements This Page (optional) .... ▶

TOTAL This Period (last page this line number only) .... ▶

FE5AN018

SCHEDULE C (FEC Form 3) LOANS

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE OF

FOR LINE NUMBER: (check only one)
- [ ] 13a
- [ ] 13b

NAME OF COMMITTEE (In Full)

LOAN SOURCE Full Name (Last, First, Middle Initial)

Mailing Address

City State ZIP Code

Election:
- [ ] Primary
- [ ] General
- [ ] Other (specify) ▼

Original Amount of Loan

Cumulative Payment To Date

Balance Outstanding at Close of This Period

TERMS

Date Incurred M M / D D / Y Y Y Y

Date Due M M / D D / Y Y Y Y

Interest Rate % (apr)

Secured: [ ] Yes [ ] No

List All Endorsers or Guarantors (if any) to Loan Source

1. Full Name (Last, First, Middle Initial)

Mailing Address

City State ZIP Code

Name of Employer

Occupation

Amount Guaranteed Outstanding:

2. Full Name (Last, First, Middle Initial)

Mailing Address

City State ZIP Code

Name of Employer

Occupation

Amount Guaranteed Outstanding:

3. Full Name (Last, First, Middle Initial)

Mailing Address

City State ZIP Code

Name of Employer

Occupation

Amount Guaranteed Outstanding:

4. Full Name (Last, First, Middle Initial)

Mailing Address

City State ZIP Code

Name of Employer

Occupation

Amount Guaranteed Outstanding:

SUBTOTALS This Period This Page (optional).. ▶

TOTALS This Period (last page in this line only).. ▶

Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.

FE5AN018

FEC **Schedule C** (Form 3) (Revised 02/2003)

SCHEDULE C-1 (FEC Form 3)
LOANS AND LINES OF CREDIT FROM LENDING INSTITUTIONS

Federal Election Commission, Washington, D.C. 20463

Supplementary for Information found on Page _____ of Schedule C

NAME OF COMMITTEE (In Full)

FEC IDENTIFICATION NUMBER C

LENDING INSTITUTION (LENDER)
Full Name

Amount of Loan

Interest Rate (APR) %

Mailing Address

Date Incurred or Established MM / DD / YYYY

City State Zip Code

Date Due MM / DD / YYYY

A. Has loan been restructured? ☐ No ☐ Yes If yes, date originally incurred MM / DD / YYYY

B. If line of credit,
Amount of this Draw:
Total Outstanding Balance:

C. Are other parties secondarily liable for the debt incurred?
☐ No ☐ Yes (Endorsers and guarantors must be reported on Schedule C.)

D. Are any of the following pledged as collateral for the loan: real estate, personal property, goods, negotiable instruments, certificates of deposit, chattel papers, stocks, accounts receivable, cash on deposit, or other similar traditional collateral?
☐ No ☐ Yes If yes, specify: ____________________

What is the value of this collateral?

Does the lender have a perfected security interest in it? ☐ No ☐ Yes

E. Are any future contributions or future receipts of interest income, pledged as collateral for the loan? ☐ No ☐ Yes If yes, specify: ____________________

What is the estimated value?

A depository account must be established pursuant to 11 CFR 100.82(e)(2) and 100.142(e)(2).

Date account established: MM / DD / YYYY

Location of account:

Address:

City, State, Zip:

F. If neither of the types of collateral described above was pledged for this loan, or if the amount pledged does not equal or exceed the loan amount, state the basis upon which this loan was made and the basis on which it assures repayment.

G. COMMITTEE TREASURER
Typed Name
Signature

DATE MM / DD / YYYY

H. Attach a signed copy of the loan agreement.

I. TO BE SIGNED BY THE LENDING INSTITUTION:
   I. To the best of this institution's knowledge, the terms of the loan and other information regarding the extension of the loan are accurate as stated above.
   II. The loan was made on terms and conditions (including interest rate) no more favorable at the time than those imposed for similar extensions of credit to other borrowers of comparable credit worthiness.
   III. This institution is aware of the requirement that a loan must be made on a basis which assures repayment, and has complied with the requirements set forth at 11 CFR 100.82 and 100.142 in making this loan.

AUTHORIZED REPRESENTATIVE
Typed Name
Signature Title

DATE MM / DD / YYYY

SCHEDULE D (FEC Form 3)
DEBTS AND OBLIGATIONS
Excluding Loans

(Use separate schedule(s) for each numbered line)

PAGE OF

FOR LINE NUMBER: (check only one)
☐ 9
☐ 10

NAME OF COMMITTEE (In Full)

A. Full Name (Last, First, Middle Initial) of Debtor or Creditor

Nature of Debt (Purpose):

Mailing Address

City State Zip Code

Outstanding Balance Beginning This Period

Amount Incurred This Period

Payment This Period

Outstanding Balance at Close of This Period

B. Full Name (Last, First, Middle Initial) of Debtor or Creditor

Nature of Debt (Purpose):

Mailing Address

City State Zip Code

Outstanding Balance Beginning This Period

Amount Incurred This Period

Payment This Period

Outstanding Balance at Close of This Period

C. Full Name (Last, First, Middle Initial) of Debtor or Creditor

Nature of Debt (Purpose):

Mailing Address

City State Zip Code

Outstanding Balance Beginning This Period

Amount Incurred This Period

Payment This Period

Outstanding Balance at Close of This Period

1) **SUBTOTALS** This Period This Page (optional) .... ▶

2) **TOTALS** This Period (last page this line number only) .... ▶

3) **TOTAL OUTSTANDING LOANS** from Schedule C (last page only) .... ▶

4) **ADD 2)** and **3)** and carry forward to appropriate line of Summary Page (last page only) ▶

FEC **Schedule D (Form 3)** (Revised 02/2003)

FE5AN018

FEC FORM 3Z (File with Form 3)
CONSOLIDATION REPORT OF RECEIPTS AND DISBURSEMENTS
(To Be Used By A Principal Campaign Committee)

Name of Principal Campaign Committee (In Full)	Report Covering Period: From: M M / D D / Y Y Y Y	To: M M / D D / Y Y Y Y

	Committee Name	(a) Line No. 11(a) Total Contributions From Indiv./Persons Other Than Political Committees	(b) Line No. 11(b) Total Contributions From Political Party Committees
A			
B	Column Total Last Page Only...		

	(c) Line No. 11(c) Total Contributions From Other Political Committees	(d) Line No. 11(d) Total Contributions From The Candidate	(e) Line No. 11(e) Total Contributions	(f) Line No. 12 Total Transfers From Other Authorized Committees	(g) Line No. 13(a) Total Loans Made or Guaranteed by the Candidate	(h) Line No. 13(b) Total All Other Loans
A						
B						

	(i) Line No. 13(c) Total Loans	(j) Line No. 14 Total Offsets to Operating Expenditures	(k) Line No. 15 Total Other Receipts	(l) Line No. 16 Total Receipts	(m) Line No. 17 Total Operating Expenditures	(n) Line No. 18 Total Transfers to Other Authorized Committees
A						
B						

	(o) Line No. 19(a) Total Loan Repayments of Loans Made or Guaranteed by The Can-didate	(p) Line No. 19(b) Total Loan Repayments of All Other Loans	(q) Line No. 19(c) Total Loan Repayments	(r) Line No. 20(a) Total Contribution Refunds to Individuals/Persons	(s) Line No. 20(b) Total Contribution Refunds to Political Party Committees	(t) Line No. 20(c) Total Contribution Refunds to Other Political Committees
A						
B						

	(u) Line No. 20(d) Total Contribution Refunds	(v) Line No. 21 Total Other Disbursements	(w) Line No. 22 Total Disbursements	(x) Line No. 23 Cash on Hand Beginning of Reporting Period	(y) Line No. 27 Cash on Hand Close of Reporting Period	(z) Line No. 9 Debts & Obligations Owed TO the Committee
A						
B						

	(aa) Line No. 10 Debts & Obligations Owed BY the Committee	(bb) Line No. 6(c) Net Contributions	(cc) Line No. 7(c) Net Operating Expenditures			
A						
B						

FEC FORM 3Z-1

CONSOLIDATED REPORT OF GROSS RECEIPTS FOR AUTHORIZED COMMITTEES (11 CFR 104.19) (Millionaires' Amendment)

Name of Candidate	**Candidate ID Number**
Name of Principal Campaign Committee	**Committee ID Number** C
Committee Address	
City **State** **ZIP**	

Report Covering Period (check one) ☐ through June 30, or ☐ through December 31 of the year preceding the year of the general election

	Primary	General
1. Gross receipts of authorized committees...		
2. Aggregate amount of contributions from personal funds of the candidate ...		
3. Gross receipts minus the candidate's personal contributions...........................		

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) **TYPE OR PRINT ▼** Example: If typing, type over the lines. 12FE4M5

ADDRESS (number and street) ▼

☐ Check if different than previously reported. (ACC)

CITY ▲ STATE ▲ ZIP CODE ▲

2. **FEC IDENTIFICATION NUMBER ▼**

C

3. IS THIS REPORT ☐ NEW (N) **OR** ☐ AMENDED (A)

4. **TYPE OF REPORT** (Choose One)

(a) Quarterly Reports:

☐ April 15 Quarterly Report (Q1)

☐ July 15 Quarterly Report (Q2)

☐ October 15 Quarterly Report (Q3)

☐ January 31 Year-End Report (YE)

☐ July 31 Mid-Year Report (Non-election Year Only) (MY)

☐ Termination Report (TER)

(b) Monthly Report Due On:

☐ Feb 20 (M2) ☐ May 20 (M5) ☐ Aug 20 (M8) ☐ Nov 20 (M11) (Non-Election Year Only)

☐ Mar 20 (M3) ☐ Jun 20 (M6) ☐ Sep 20 (M9) ☐ Dec 20 (M12) (Non-Election Year Only)

☐ Apr 20 (M4) ☐ Jul 20 (M7) ☐ Oct 20 (M10) ☐ Jan 31 (YE)

(c) 12-Day **PRE**-Election Report for the: ☐ Primary (12P) ☐ General (12G) ☐ Runoff (12R) ☐ Convention (12C) ☐ Special (12S)

Election on M M / D D / Y Y Y Y in the State of

(d) 30-Day **POST**-Election Report for the: ☐ General (30G) ☐ Runoff (30R) ☐ Special (30S)

Election on M M / D D / Y Y Y Y in the State of

5. Covering Period M M / D D / Y Y Y Y through M M / D D / Y Y Y Y

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Signature of Treasurer Date M M / D D / Y Y Y Y

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office Use Only							

FEC FORM 3X
Rev. 12/2004

FE5AN015

SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS

FEC **Form 3X** (Rev. 02/2003) Page **2**

Write or Type Committee Name

Report Covering the Period: From: M M / D D / Y Y Y Y To: M M / D D / Y Y Y Y

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, Y Y Y Y		
(b) Cash on Hand at Beginning of Reporting Period...........		
(c) Total Receipts (from Line 19)............		
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)..............		
7. Total Disbursements (from Line 31)...........		
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))..............		
9. Debts and Obligations Owed **TO** the Committee (Itemize all on Schedule C and/or Schedule D)................		
10. Debts and Obligations Owed **BY** the Committee (Itemize all on Schedule C and/or Schedule D)................		

☐ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

FE5AN015

DETAILED SUMMARY PAGE
of Receipts

FEC **Form 3X** (Rev. 02/2003) Page **3**

Write or Type Committee Name

Report Covering the Period: From: M M / D D / Y Y Y Y To: M M / D D / Y Y Y Y

I. Receipts	**COLUMN A Total This Period**	**COLUMN B Calendar Year-to-Date**
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)...........		
(ii) Unitemized..................................		
(iii) TOTAL (add Lines 11(a)(i) and (ii)................ ▶		
(b) Political Party Committees..................		
(c) Other Political Committees (such as PACs)..................................		
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5).............. ▶		
12. Transfers From Affiliated/Other Party Committees......................................		
13. All Loans Received..................................		
14. Loan Repayments Received......................		
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)..............		
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees..................................		
17. Other Federal Receipts (Dividends, Interest, etc.)...........................		
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)............................		
(b) Levin Funds (from Schedule H5).........		
(c) Total Transfers (add 18(a) and 18(b))..		
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))......... ▶		
20. Total Federal Receipts (subtract Line 18(c) from Line 19)......... ▶		

FE5AN015

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003) Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share		
(ii) Non-Federal Share		
(b) Other Federal Operating Expenditures		
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) ▶		
22. Transfers to Affiliated/Other Party Committees		
23. Contributions to Federal Candidates/Committees and Other Political Committees		
24. Independent Expenditures (use Schedule E)		
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F)		
26. Loan Repayments Made		
27. Loans Made		
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees		
(b) Political Party Committees		
(c) Other Political Committees (such as PACs)		
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) ▶		
29. Other Disbursements		
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share		
(ii) "Levin" Share		
(b) Federal Election Activity Paid Entirely With Federal Funds		
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b)) ▶		
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))		
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31) ▶		

FE5AN015

DETAILED SUMMARY PAGE
of Disbursements

FEC **Form 3X** (Rev. 02/2003)

Page **5**

III. Net Contributions/Operating Expenditures	**COLUMN A** **Total This Period**	**COLUMN B** **Calendar Year-to-Date**
33. Total Contributions (other than loans) (from Line 11(d), page 3)		
34. Total Contribution Refunds (from Line 28(d))		
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)		
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) .... ▶		
37. Offsets to Operating Expenditures (from Line 15, page 3)		
38. Net Operating Expenditures (subtract Line 37 from Line 36) ....▶		

FE5AN015

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

☐ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

PAGE OF

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NAME OF COMMITTEE (In Full)

A. Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. C

Name of Employer

Occupation

Receipt For:
☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt M M / D D / Y Y Y Y

Amount of Each Receipt this Period

B. Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. C

Name of Employer

Occupation

Receipt For:
☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt M M / D D / Y Y Y Y

Amount of Each Receipt this Period

C. Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. C

Name of Employer

Occupation

Receipt For:
☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt M M / D D / Y Y Y Y

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional).. ▶

TOTAL This Period (last page this line number only).. ▶

FE5AN015

FEC **Schedule A (Form 3X)** Rev. 02/2003

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

☐ 21b ☐ 22 ☐ 23 ☐ 24 ☐ 25 ☐ 26
☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

PAGE OF

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NAME OF COMMITTEE (In Full)

A. Full Name (Last, First, Middle Initial)

Date of Disbursement

M M / D D / Y Y Y Y

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/Type

Amount of Each Disbursement this Period

Candidate Name

Office Sought: ☐ House ☐ Senate ☐ President

Disbursement For: ☐ Primary ☐ General ☐ Other (specify)

State: District:

B. Full Name (Last, First, Middle Initial)

Date of Disbursement

M M / D D / Y Y Y Y

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/Type

Amount of Each Disbursement this Period

Candidate Name

Office Sought: ☐ House ☐ Senate ☐ President

Disbursement For: ☐ Primary ☐ General ☐ Other (specify)

State: District:

C. Full Name (Last, First, Middle Initial)

Date of Disbursement

M M / D D / Y Y Y Y

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/Type

Amount of Each Disbursement this Period

Candidate Name

Office Sought: ☐ House ☐ Senate ☐ President

Disbursement For: ☐ Primary ☐ General ☐ Other (specify)

State: District:

SUBTOTAL of Disbursements This Page (optional)............ ▶

TOTAL This Period (last page this line number only)............ ▶

SCHEDULE C (FEC Form 3X)
LOANS

Use separate schedule(s) for each category of the Detailed Summary Page	PAGE OF FOR LINE 13 OF FORM 3X

NAME OF COMMITTEE (In Full)

LOAN SOURCE Full Name (Last, First, Middle Initial)

Election:
- Primary
- General
- Other (specify) ▼

Mailing Address

City State ZIP Code

Original Amount of Loan

Cumulative Payment To Date

Balance Outstanding at Close of This Period

TERMS

Date Incurred M M / D D / Y Y Y Y

Date Due M M / D D / Y Y Y Y

Interest Rate % (apr)

Secured: Yes No

List All Endorsers or Guarantors (if any) to Loan Source

1. Full Name (Last, First, Middle Initial)

   Mailing Address

   City State ZIP Code

   Name of Employer

   Occupation

   Amount Guaranteed Outstanding:

2. Full Name (Last, First, Middle Initial)

   Mailing Address

   City State ZIP Code

   Name of Employer

   Occupation

   Amount Guaranteed Outstanding:

3. Full Name (Last, First, Middle Initial)

   Mailing Address

   City State ZIP Code

   Name of Employer

   Occupation

   Amount Guaranteed Outstanding:

4. Full Name (Last, First, Middle Initial)

   Mailing Address

   City State ZIP Code

   Name of Employer

   Occupation

   Amount Guaranteed Outstanding:

SUBTOTALS This Period This Page (optional).. ▶

TOTALS This Period (last page in this line only).. ▶

Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.

SCHEDULE C-1 (FEC Form 3X)
LOANS AND LINES OF CREDIT FROM LENDING INSTITUTIONS

Federal Election Commission, Washington, D.C. 20463

Supplementary for Information found on Page ____ of Schedule C

NAME OF COMMITTEE (In Full)

FEC IDENTIFICATION NUMBER
C

LENDING INSTITUTION (LENDER)
Full Name

Amount of Loan

Interest Rate (APR) %

Mailing Address

Date Incurred or Established M M / D D / Y Y Y Y

City State Zip Code

Date Due M M / D D / Y Y Y Y

A. Has loan been restructured? ☐ No ☐ Yes If yes, date originally incurred M M / D D / Y Y Y Y

B. If line of credit,
Amount of this Draw:
Total Outstanding Balance:

C. Are other parties secondarily liable for the debt incurred?
☐ No ☐ Yes (Endorsers and guarantors must be reported on Schedule C.)

D. Are any of the following pledged as collateral for the loan: real estate, personal property, goods, negotiable instruments, certificates of deposit, chattel papers, stocks, accounts receivable, cash on deposit, or other similar traditional collateral?
☐ No ☐ Yes If yes, specify: ____

What is the value of this collateral?

Does the lender have a perfected security interest in it? ☐ No ☐ Yes

E. Are any future contributions or future receipts of interest income, pledged as collateral for the loan? ☐ No ☐ Yes If yes, specify: ____

What is the estimated value?

A depository account must be established pursuant to 11 CFR 100.82(e)(2) and 100.142(e)(2).

Date account established: M M / D D / Y Y Y Y

Location of account:

Address:

City, State, Zip:

F. If neither of the types of collateral described above was pledged for this loan, or if the amount pledged does not equal or exceed the loan amount, state the basis upon which this loan was made and the basis on which it assures repayment.

G. COMMITTEE TREASURER
Typed Name
Signature

DATE M M / D D / Y Y Y Y

H. Attach a signed copy of the loan agreement.

I. TO BE SIGNED BY THE LENDING INSTITUTION:
   I. To the best of this institution's knowledge, the terms of the loan and other information regarding the extension of the loan are accurate as stated above.
   II. The loan was made on terms and conditions (including interest rate) no more favorable at the time than those imposed for similar extensions of credit to other borrowers of comparable credit worthiness.
   III. This institution is aware of the requirement that a loan must be made on a basis which assures repayment, and has complied with the requirements set forth at 11 CFR 100.82 and 100.142 in making this loan.

AUTHORIZED REPRESENTATIVE
Typed Name
Signature Title

DATE M M / D D / Y Y Y Y

SCHEDULE D (FEC Form 3X)
DEBTS AND OBLIGATIONS
Excluding Loans

(Use separate schedule(s) for each numbered line)

PAGE OF

FOR LINE NUMBER: (check only one)
☐ 9
☐ 10

NAME OF COMMITTEE (In Full)

A. Full Name (Last, First, Middle Initial) of Debtor or Creditor

Nature of Debt (Purpose):

Mailing Address

City State Zip Code

Outstanding Balance Beginning This Period

Amount Incurred This Period

Payment This Period

Outstanding Balance at Close of This Period

B. Full Name (Last, First, Middle Initial) of Debtor or Creditor

Nature of Debt (Purpose):

Mailing Address

City State Zip Code

Outstanding Balance Beginning This Period

Amount Incurred This Period

Payment This Period

Outstanding Balance at Close of This Period

C. Full Name (Last, First, Middle Initial) of Debtor or Creditor

Nature of Debt (Purpose):

Mailing Address

City State Zip Code

Outstanding Balance Beginning This Period

Amount Incurred This Period

Payment This Period

Outstanding Balance at Close of This Period

1) **SUBTOTALS** This Period This Page (optional)........ ▶

2) **TOTALS** This Period (last page this line number only)........ ▶

3) **TOTAL OUTSTANDING LOANS** from Schedule C (last page only)........ ▶

4) **ADD 2)** and **3)** and carry forward to appropriate line of Summary Page (last page only) ▶

SCHEDULE E (FEC Form 3X)
ITEMIZED INDEPENDENT EXPENDITURES

PAGE OF
FOR LINE 24 OF FORM 3X

NAME OF COMMITTEE (In Full)

FEC IDENTIFICATION NUMBER ▼
C

Check if ☐ 24-hour notice ☐ 48-hour notice

Full Name (Last, First, Middle Initial) of Payee

Date
M M / D D / Y Y Y Y

Mailing Address

Amount

City State Zip Code

Purpose of Expenditure

Category/ Type

Office Sought: ☐ House ☐ Senate ☐ President
State:
District:

Name of Federal Candidate Supported or Opposed by Expenditure:

Check One: ☐ Support ☐ Oppose

Calendar Year-To-Date Per Election for Office Sought

Disbursement For: ☐ Primary ☐ General ☐ Other (specify) ▶

Full Name (Last, First, Middle Initial) of Payee

Date
M M / D D / Y Y Y Y

Mailing Address

Amount

City State Zip Code

Purpose of Expenditure

Category/ Type

Office Sought: ☐ House ☐ Senate ☐ President
State:
District:

Name of Federal Candidate Supported or Opposed by Expenditure:

Check One: ☐ Support ☐ Oppose

Calendar Year-To-Date Per Election for Office Sought

Disbursement For: ☐ Primary ☐ General ☐ Other (specify) ▶

(a) SUBTOTAL of Itemized Independent Expenditures .... ▶

(b) SUBTOTAL of Unitemized Independent Expenditures .... ▶

(c) TOTAL Independent Expenditures .... ▶

Under penalty of perjury I certify that the independent expenditures reported herein were not made in cooperation, consultation, or concert with, or at the request or suggestion of, any candidate or authorized committee or agent of either, or (if the reporting entity is not a political party committee) any political party committee or its agent.

Signature

Date M M / D D / Y Y Y Y

FE5AN015

FEC **Schedule E (Form 3X)** Rev. 02/2003

SCHEDULE F (FEC Form 3X)

ITEMIZED COORDINATED PARTY EXPENDITURES MADE BY POLITICAL PARTY COMMITTEES OR DESIGNATED AGENT(S) ON BEHALF OF CANDIDATES FOR FEDERAL OFFICE

(2 U.S.C. §441a(d))

(To be used only by Political Committees in the General Election)

PAGE OF

FOR LINE 25 OF FORM 3X

NAME OF COMMITTEE (In Full)

☐ Check if 24-hour notice

Has your committee been designated to make coordinated expenditures by a political party committee?

☐ YES ☐ NO

If YES, name the designating committee:

Full Name of Subordinate Committee

Mailing Address

City State ZIP Code

Full Name (Last, First, Middle Initial) of Each Payee

Mailing Address

City State Zip Code

Name of Federal Candidate Supported

Office Sought: ☐ House ☐ Senate ☐ Presidential State: ______ District: ______

Aggregate General Election Expenditure for this Candidate ▶

Purpose of Expenditure

Category/Type

Date M M / D D / Y Y Y Y

Amount

☐ Limit Raised Due to Opponent's Spending (2 U.S.C. §441a(i)/441a–1)

Full Name (Last, First, Middle Initial) of Each Payee

Mailing Address

City State Zip Code

Name of Federal Candidate Supported

Office Sought: ☐ House ☐ Senate ☐ Presidential State: ______ District: ______

Aggregate General Election Expenditure for this Candidate ▶

Purpose of Expenditure

Category/Type

Date M M / D D / Y Y Y Y

Amount

☐ Limit Raised Due to Opponent's Spending (2 U.S.C. §441a(i)/441a–1)

Full Name (Last, First, Middle Initial) of Each Payee

Mailing Address

City State Zip Code

Name of Federal Candidate Supported

Office Sought: ☐ House ☐ Senate ☐ Presidential State: ______ District: ______

Aggregate General Election Expenditure for this Candidate ▶

Purpose of Expenditure

Category/Type

Date M M / D D / Y Y Y Y

Amount

☐ Limit Raised Due to Opponent's Spending (2 U.S.C. §441a(i)/441a–1)

SUBTOTAL of Expenditures This Page (optional).. ▶

TOTAL This Period (last page this line number only).. ▶

FE5AN015

FEC **Schedule F (Form 3X)** Rev. 02/2003

SCHEDULE H1 (FEC Form 3X)

METHOD OF ALLOCATION FOR:

- **ALLOCATED FEDERAL AND NONFEDERAL ADMINISTRATIVE, GENERIC VOTER DRIVE AND EXEMPT ACTIVITY COSTS**
- **ALLOCATED FEDERAL AND LEVIN FUNDS FEDERAL ELECTION ACTIVITY EXPENSES (State, District and Local Party Committees Only)**
- **ALLOCATED PUBLIC COMMUNICATIONS THAT REFER TO ANY POLITICAL PARTY (BUT NOT A CANDIDATE) (Separate Segregated Funds And Nonconnected Committees Only)**

NAME OF COMMITTEE (In Full)

USE ONLY ONE SECTION, A or B

A. State and Local Party Committees

Fixed Percentage (select one)

_____ Presidential-Only Election Year (28% Federal)

_____ Presidential and Senate Election Year (36% Federal)

_____ Senate-Only Election Year (21% Federal)

_____ Non-Presidential and Non-Senate Election Year (15% Federal)

B. Separate Segregated Funds and Nonconnected Committees

Flat Minimum Federal Percentage

If the committee will allocate using the flat minimum percentage of 50% federal funds, check ☐
or

If the committee is spending more than 50% federal funds, indicate ratio below

Federal.. ☐ %

Nonfederal .... ☐ %

This ratio applies to (check all that apply):

Administrative ☐ Generic Voter Drive ☐ Public Communications Referencing Party Only ☐

SCHEDULE H2 (FEC Form 3X)
ALLOCATION RATIOS

PAGE OF

NAME OF COMMITTEE (In Full)

RATIOS FOR ALLOCABLE FUNDRAISING EVENTS AND DIRECT CANDIDATE SUPPORT ACTIVITIES APPEARING ON THIS REPORT.

Methods of allocation:

I. FUNDRAISING activities are allocated using the "funds received method" where the federal proportion of expenses must equal the federal proportion of monies raised.

II. Shared **DIRECT CANDIDATE SUPPORT** activities are allocated according to benefit expected to be derived, where the federal proportion of disbursements is based on the benefit derived by federal candidates from the activity. **For PACs Only**: Direct candidate support includes public communications or voter drives that refer to both federal and nonfederal candidates, regardless of whether there is a reference to a political party. Such expenses are allocated using a time/space method.

ACTIVITY OR EVENT IDENTIFIER ACTIVITY IS: ☐ Fundraising ☐ Direct Candidate Support CHECK IF THE RATIO IS: ☐ New ☐ Revised ☐ Same as Previously Reported	FEDERAL % %	NONFEDERAL % %
ACTIVITY OR EVENT IDENTIFIER ACTIVITY IS: ☐ Fundraising ☐ Direct Candidate Support CHECK IF THE RATIO IS: ☐ New ☐ Revised ☐ Same as Previously Reported	FEDERAL % %	NONFEDERAL % %
ACTIVITY OR EVENT IDENTIFIER ACTIVITY IS: ☐ Fundraising ☐ Direct Candidate Support CHECK IF THE RATIO IS: ☐ New ☐ Revised ☐ Same as Previously Reported	FEDERAL % %	NONFEDERAL % %
ACTIVITY OR EVENT IDENTIFIER ACTIVITY IS: ☐ Fundraising ☐ Direct Candidate Support CHECK IF THE RATIO IS: ☐ New ☐ Revised ☐ Same as Previously Reported	FEDERAL % %	NONFEDERAL % %
ACTIVITY OR EVENT IDENTIFIER ACTIVITY IS: ☐ Fundraising ☐ Direct Candidate Support CHECK IF THE RATIO IS: ☐ New ☐ Revised ☐ Same as Previously Reported	FEDERAL % %	NONFEDERAL % %
ACTIVITY OR EVENT IDENTIFIER ACTIVITY IS: ☐ Fundraising ☐ Direct Candidate Support CHECK IF THE RATIO IS: ☐ New ☐ Revised ☐ Same as Previously Reported	FEDERAL % %	NONFEDERAL % %

SCHEDULE H3 (FEC Form 3X)
TRANSFERS FROM NONFEDERAL ACCOUNTS FOR ALLOCATED FEDERAL / NONFEDERAL ACTIVITY

PAGE OF

FOR LINE 18a OF FORM 3X

NAME OF COMMITTEE (In Full)

NAME OF ACCOUNT	DATE OF RECEIPT	TOTAL AMOUNT TRANSFERRED
	M M / D D / Y Y Y Y	

BREAKDOWN OF TRANSFER RECEIVED

i) **Total Administrative** ....

ii) **Generic Voter Drive** ....

iii) **Exempt Activities** ....

iv) **Direct Fundraising** (List Activity or Event Identifier)

a) ____________

b) ____________

c) Total Amount Transferred For Direct Fundraising ....

v) **Direct Candidate Support** (List Activity or Event Identifier)

a) ____________

b) ____________

c) Total Amount Transferred For Direct Candidate Support..........

vi) **Public Communications Referring Only to Party** (Made by PAC) ....

TOTALS FOR BREAKDOWN OF TRANSFER RECEIVED

TOTAL This Period (Administrative) ....

TOTAL This Period (Generic Voter Drive) ....

TOTAL This Period (Exempt Activities) ....

TOTAL This Period (Direct Fundraising) ....

TOTAL This Period (Direct Candidate Support) ....

TOTAL This Period (Public Communications Referring Only to Party) ....

TOTAL This Period (Total Amount Transferred)..........

SCHEDULE H4 (FEC Form 3X)

DISBURSEMENTS FOR ALLOCATED FEDERAL/NONFEDERAL ACTIVITY

PAGE OF

FOR LINE 21a OF FORM 3X

NAME OF COMMITTEE (In Full)

A. Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

Purpose of Disbursement:

Activity or Event Identifier:

Category/ Type

Allocated Activity or Event:

Administrative Fundraising Exempt

Voter Drive Direct Candidate Support

Public Comm (ref to party only) by PAC

Allocated Activity or Event Year-To-Date

Date M M / D D / Y Y Y Y

FEDERAL SHARE + NONFEDERAL SHARE = TOTAL AMOUNT

B. Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

Purpose of Disbursement:

Activity or Event Identifier:

Category/ Type

Allocated Activity or Event:

Administrative Fundraising Exempt

Voter Drive Direct Candidate Support

Public Comm (ref to party only) by PAC

Allocated Activity or Event Year-To-Date

Date M M / D D / Y Y Y Y

FEDERAL SHARE + NONFEDERAL SHARE = TOTAL AMOUNT

C. Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

Purpose of Disbursement:

Activity or Event Identifier:

Category/ Type

Allocated Activity or Event:

Administrative Fundraising Exempt

Voter Drive Direct Candidate Support

Public Comm (ref to party only) by PAC

Allocated Activity or Event Year-To-Date

Date M M / D D / Y Y Y Y

FEDERAL SHARE + NONFEDERAL SHARE = TOTAL AMOUNT

SUBTOTAL of Allocated Federal and NonFederal Activity This Page

FEDERAL SHARE + NONFEDERAL SHARE = TOTAL AMOUNT

TOTAL This Period (last page for each line only)(Federal share to 21(a)(i) and NonFederal share to 21(a)(ii))

FEDERAL SHARE NONFEDERAL SHARE TOTAL AMOUNT

SCHEDULE H5 (FEC Form 3X)

TRANSFERS OF LEVIN FUNDS RECEIVED FOR ALLOCATED FEDERAL ELECTION ACTIVITY

(To be used by State, District and Local Party Committees Only)

PAGE OF
FOR LINE 18b OF FORM 3X

NAME OF COMMITTEE (In Full)

NAME OF ACCOUNT	DATE OF RECEIPT	TOTAL AMOUNT TRANSFERRED
	M M / D D / Y Y Y Y	

BREAKDOWN OF THIS TRANSFER

i) **Voter Registration**
Total Amount Transferred for Voter Registration ..... VOTER REGISTRATION

ii) **Voter ID**
Total Amount Transferred for Voter ID .... VOTER ID

iii) **GOTV**
Total Amount Transferred for GOTV .... GOTV

iv) **Generic Campaign Activity**
Total Amount Transferred for Generic Campaign Activity .... GENERIC CAMPAIGN ACTIVITY

NAME OF ACCOUNT	DATE OF RECEIPT	TOTAL AMOUNT TRANSFERRED
	M M / D D / Y Y Y Y	

BREAKDOWN OF THIS TRANSFER

i) **Voter Registration**
Total Amount Transferred for Voter Registration ..... VOTER REGISTRATION

ii) **Voter ID**
Total Amount Transferred for Voter ID .... VOTER ID

iii) **GOTV**
Total Amount Transferred for GOTV .... GOTV

iv) **Generic Campaign Activity**
Total Amount Transferred for Generic Campaign Activity .... GENERIC CAMPAIGN ACTIVITY

TOTALS FOR BREAKDOWN OF TRANSFER RECEIVED (Last Page Only)

TOTAL This Period (Voter Registration).............................

TOTAL This Period (Voter ID) ....

TOTAL This Period (GOTV)..

TOTAL This Period (Generic Campaign Activity)..

TOTAL This Period (Total Amount of Transfers Received) ....

SCHEDULE H6 (FEC Form 3X)
DISBURSEMENTS OF FEDERAL AND LEVIN FUNDS FOR ALLOCATED FEDERAL ELECTION ACTIVITY

(To be used by State, District and Local Party Committees Only)

PAGE OF

FOR LINE 30a OF FORM 3X

NAME OF COMMITTEE (In Full)

A. Full Name (Last, First, Middle Initial) / Full Organization Name

Type of Allocated Activity or Event:
- [ ] Voter Registration
- [ ] Voter ID
- [ ] GOTV
- [ ] Generic Campaign

Allocated Activity or Event Year-To-Date

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/ Type

Date M M / D D / Y Y Y Y

FEDERAL SHARE + LEVIN SHARE = TOTAL AMOUNT

B. Full Name (Last, First, Middle Initial) / Full Organization Name

Type of Allocated Activity or Event:
- [ ] Voter Registration
- [ ] Voter ID
- [ ] GOTV
- [ ] Generic Campaign

Allocated Activity or Event Year-To-Date

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/ Type

Date M M / D D / Y Y Y Y

FEDERAL SHARE + LEVIN SHARE = TOTAL AMOUNT

C. Full Name (Last, First, Middle Initial) / Full Organization Name

Type of Allocated Activity or Event:
- [ ] Voter Registration
- [ ] Voter ID
- [ ] GOTV
- [ ] Generic Campaign

Allocated Activity or Event Year-To-Date

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/ Type

Date M M / D D / Y Y Y Y

FEDERAL SHARE + LEVIN SHARE = TOTAL AMOUNT

SUBTOTAL of Shared Federal and Levin Activity This Page

FEDERAL SHARE + LEVIN SHARE = TOTAL AMOUNT

TOTAL This Period (last page for each line only)(Federal share to 30(a)(i) and Levin share to 30(a)(ii))

FEDERAL SHARE TOTAL AMOUNT

LEVIN SHARE

TOTAL This Period for the Levin Share

SCHEDULE L (FEC Form 3X)
AGGREGATION PAGE: LEVIN FUNDS

NAME OF COMMITTEE (In Full)

NAME OF ACCOUNT

	COLUMN A TOTAL THIS PERIOD	COLUMN B YEAR-TO-DATE
1. RECEIPTS FROM PERSONS		
(a) Itemized (Use Schedule L–A)		
(b) Unitemized		
(c) Total		
2. OTHER RECEIPTS		
3. TOTAL RECEIPTS (Add Lines 1c and 2)		
4. TRANSFERS TO FEDERAL OR ALLOCATION ACCOUNT (Use Schedule L–B)		
(a) Voter Registration		
(b) Voter ID		
(c) GOTV		
(d) Generic Campaign		
(e) Total		
5. OTHER DISBURSEMENTS		
6. TOTAL DISBURSEMENTS (Add Lines 4e and 5)		
7. BEGINNING CASH ON HAND (for Column B, use cash as of January 1st)		
8. RECEIPTS (from Line 3)		
9. SUBTOTAL (Add Lines 7 and 8)		
10. DISBURSEMENTS (From Line 6)		
11. ENDING CASH ON HAND (Subtract Line 10 From Line 9)		

SCHEDULE L-A (FEC Form 3X)
ITEMIZED RECEIPTS OF LEVIN FUNDS

Use separate schedule(s) for each category of the Aggregation Page

PAGE OF

FOR LINE NUMBER: (check only one) ☐ 1a ☐ 2

NAME OF COMMITTEE (In Full)

A. Full Name (Last, First, Middle Initial) / Full Organization Name

Mailing Address

City State Zip Code

Name of Employer or Principal Place of Business

Occupation

Date of Receipt M M / D D / Y Y Y Y

Amount of Each Receipt this Period

Aggregate Year-to-Date

B. Full Name (Last, First, Middle Initial) / Full Organization Name

Mailing Address

City State Zip Code

Name of Employer or Principal Place of Business

Occupation

Date of Receipt M M / D D / Y Y Y Y

Amount of Each Receipt this Period

Aggregate Year-to-Date

C. Full Name (Last, First, Middle Initial) / Full Organization Name

Mailing Address

City State Zip Code

Name of Employer or Principal Place of Business

Occupation

Date of Receipt M M / D D / Y Y Y Y

Amount of Each Receipt this Period

Aggregate Year-to-Date

D. Full Name (Last, First, Middle Initial) / Full Organization Name

Mailing Address

City State Zip Code

Name of Employer or Principal Place of Business

Occupation

Date of Receipt M M / D D / Y Y Y Y

Amount of Each Receipt this Period

Aggregate Year-to-Date

SUBTOTAL of Receipts This Page (optional).. ▶

TOTAL This Period (last page this line number only)... ▶

SCHEDULE L-B (FEC Form 3X) ITEMIZED DISBURSEMENTS OF LEVIN FUNDS

Use separate schedule(s) for each category of the Aggregation Page

FOR LINE NUMBER: (check only one) ☐ 4a ☐ 4b ☐ 4c ☐ 4d ☐ 5

PAGE OF

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

A. Full Name (Last, First, Middle Initial) / Full Organization Name

Mailing Address

City State Zip Code

Purpose of Disbursement

Date of Disbursement M M / D D / Y Y Y Y

Amount of Each Disbursement this Period

B. Full Name (Last, First, Middle Initial) / Full Organization Name

Mailing Address

City State Zip Code

Purpose of Disbursement

Date of Disbursement M M / D D / Y Y Y Y

Amount of Each Disbursement this Period

C. Full Name (Last, First, Middle Initial) / Full Organization Name

Mailing Address

City State Zip Code

Purpose of Disbursement

Date of Disbursement M M / D D / Y Y Y Y

Amount of Each Disbursement this Period

D. Full Name (Last, First, Middle Initial) / Full Organization Name

Mailing Address

City State Zip Code

Purpose of Disbursement

Date of Disbursement M M / D D / Y Y Y Y

Amount of Each Disbursement this Period

E. Full Name (Last, First, Middle Initial) / Full Organization Name

Mailing Address

City State Zip Code

Purpose of Disbursement

Date of Disbursement M M / D D / Y Y Y Y

Amount of Each Disbursement this Period

SUBTOTAL of Disbursements This Page (optional).. ▶

TOTAL This Period (last page this line number only).. ▶