

# Current Human Research Issues and Solutions

## Regulatory Overview & Hot Topics



**Office for Human Research Protections  
U.S. Food and Drug Administration  
Department of Veterans Affairs  
National Human Subject Protections  
Workshop**

**June 21 - 22, 2001**



This workshop is jointly sponsored by the Medical University of South Carolina and the Office for Human Research Protections in collaboration with the U.S. Food and Drug Administration, the Department of Veterans Affairs, South Carolina State University, Trident Health System, the Ralph H. Johnson VAMC, and the College of Charleston.

---

### Audience

This workshop is part of a national series of programs being designed for anyone involved in the conduct or oversight of research involving human participants.

---

### Workshop Overview

This workshop is a two-day session designed to give participants an overview of federal regulations with special emphasis on hot topics and solutions to problems.

Day one - Representatives from the Office for Human Research Protections, the U.S. Food and Drug Administration and the Veterans Health Administration will provide regulatory updates and interpretation of federal regulations. Participants will have the opportunity to ask for clarification and additional interpretation in the "Ask the Feds" session.

Day two - Institutional compliance will be addressed in the plenary session. Colleagues from academia and medical institutions will present breakout sessions on the use of placebos, recruiting minorities, behavioral research, and IRB basics. Representatives from the federal government will present breakout sessions on the HIPAA regulations and vulnerable populations. The conference will end with a panel of federal representatives and workshop faculty in the "Ask the Experts" session.



Ralph H. Johnson VA Medical Center



College of  
*Charleston*

---

## Objectives

After completing this workshop, you should be able to:

- discuss the fundamentals of 45 CFR 46
- identify the principles of The Belmont Report
- identify special research issues pertaining to vulnerable populations
- discuss the fundamentals of 21 CFR 50 & 38 CFR 16
- identify areas of institutional non-compliance
- describe appropriate & inappropriate use of placebos
- discuss strategies to enhance recruitment of minorities
- identify special issues in behavioral research
- apply strategies to solve IRB administrative issues
- discuss the fundamentals of HIPAA regulations

---

## Accreditation

This activity has been planned and implemented in accordance with the Essential Areas and policies of the Accreditation Council for Continuing Medical Education through the joint sponsorship of the Medical University of South Carolina and the Office for Human Research Protections. The Medical University of South Carolina is accredited by the ACCME to provide continuing medical education for physicians.

---

## Continuing Medical Education Credit

The Medical University of South Carolina designates this continuing medical education activity for a maximum of 12 credit hours in category 1 towards the AMA Physician's Recognition Award. Each physician should claim only those hours of credit that he/she actually spent in the educational activity.

---

## Continuing Education Credit

The Medical University of South Carolina will award 1.2 CEUs (12 contact hours) for full time attendance.

---

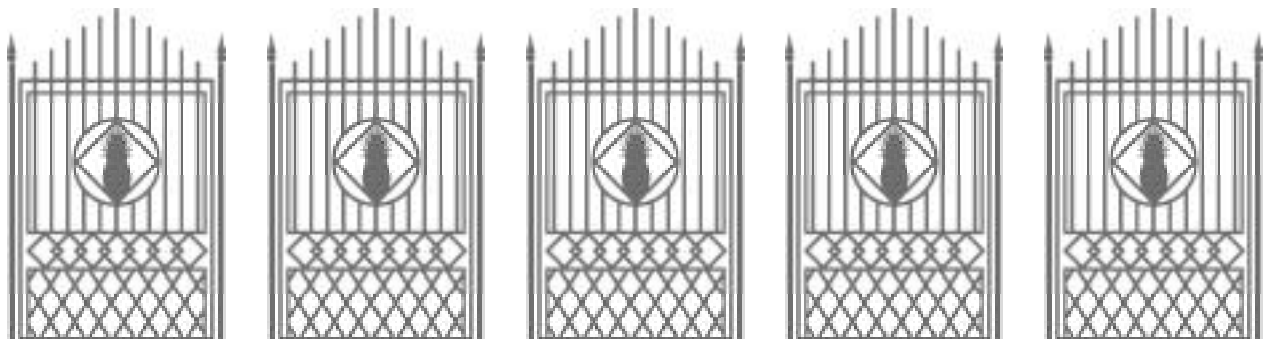
## Disclosure Statement

The Medical University of South Carolina adheres to ACCME Standards regarding industry support of continuing medical education, and disclosure of faculty and commercial support relationships, if any, will be made known at the conference.

---

## Americans with Disabilities Act

It is the policy of the Medical University of South Carolina not to discriminate against any person on the basis of disabilities. If you feel you need services or the auxiliary aids mentioned in this act in order to fully participate in this continuing medical education activity, please call the Office of CME at (843) 876-1925 before June 7, 2001.



# Workshop Schedule

---

**Thursday, June 21, 2001**

**7:00 – 8:00 a.m. Registration and Continental Breakfast**

**8:00 – 8:15 Welcome and Introductions**

Raymond Greenberg, MD, PhD  
President  
Medical University of South Carolina  
Charleston, SC

Jeffrey M. Cohen, PhD  
Director, Division of Education  
Office for Human Research Protections  
Department of Health and Human Services  
Rockville, MD

**8:15 – 8:30 Human Research Skills Assessment**

Jan Z. Temple, PhD  
Director, Professional Development  
Office of Continuing Medical and Public Education  
Medical University of South Carolina  
Charleston, SC

**8:30 – 10:00 Federal Update**

David A. Lepay, MD, PhD  
Acting Senior Advisor on Clinical Science and Acting Director  
Office of Clinical Science  
Office of the Commissioner  
U.S. Food and Drug Administration  
Rockville, MD

Jeffrey M. Cohen, PhD  
Director, Division of Education  
Office for Human Research Protections  
Department of Health and Human Services  
Rockville, MD

John H. Mather, MD, Chief Officer  
Office of Research Compliance and Assurance  
Veterans Health Administration  
Department of Veterans Affairs  
Washington, DC

**10:00 - 10:15 Networking Break**

**10:15 – 12:00 Four Breakout Sessions**

**1. Overview of “Common Rule”**

Jeffrey M. Cohen, PhD  
Director, Division of Education  
Office for Human Research Protections  
Department of Health and Human Services  
Rockville, MD

2. Overview of FDA Regulations  
Bonnie Lee  
Health Issues Analyst, Office the Commissioner  
U.S. Food and Drug Administration  
Rockville, MD
3. Overview of VA Regulations  
Beth Gibbs, RN  
Research Assurance Compliance Officer  
VISN 7  
Charleston, SC  
  
David Miller, PhD  
Regional Office Director  
Office of Research Compliance and Assurance  
Washington, DC
4. Advanced IRB Review Issues  
Becky Roberts, RDH, MS  
IRB Program Manager  
Medical University of South Carolina  
Charleston, SC  
  
George E. Lindenmayer, MD, PhD  
Chairman, IRB  
Medical University of South Carolina  
Charleston, South Carolina

**12:00 – 1:30**

**Lunch**

**1:30 – 2:15**

**Compliance Oversight and Investigations**

Jeffrey M. Cohen, PhD  
Director, Division of Education  
Office for Human Research Protections  
Department of Health and Human Services  
Rockville, MD

David Miller, PhD  
Regional Office Director  
Office of Research Compliance and Assurance  
Washington, DC

**2:15 – 3:00**

**IRB/Investigator Education**

Jeffrey M. Cohen, PhD  
Director, Division of Education  
Office for Human Research Protections  
Department of Health and Human Services  
Rockville, MD

David Miller, PhD  
Regional Office Director  
Office of Research Compliance and Assurance  
Washington, DC

Bonnie Lee  
Health Issues Analyst, Office the Commissioner  
U.S. Food and Drug Administration  
Rockville, MD

**3:00 – 3:30            Networking Break**

**3:30 – 4:30            Ask the Feds**

Jeffrey M. Cohen, PhD  
Director, Division of Education  
Office for Human Research Protections  
Department of Health and Human Services  
Rockville, MD

Bonnie Lee  
Health Issues Analyst, Office the Commissioner  
U.S. Food and Drug Administration  
Rockville, MD

David Miller, PhD  
Regional Office Director  
Office of Research Compliance and Assurance  
Washington, DC

**4:30                    Adjourn**

**5:00                    Wine and Cheese Reception**

---

**Friday, June 22, 2001**

**7:30 – 8:30 a.m.    Registration and Continental Breakfast**

**8:30 – 8:45            Welcome and Opening Remarks**

Introductions

**8:45 – 9:30            How Do You Know If Your Institution is in Trouble?**

David Clark, PhD  
Rush-Presbyterian-St. Luke's Medical Center, Chicago, Illinois

**9:30 – 10:30**

**Breakout Sessions**

(Workshop participants will have the opportunity to attend two breakout sessions)

**Placebo Controlled Trials**

Brent Egan, MD / Medical University of South Carolina  
Paul Gold, PhD / Medical University of South Carolina

**Recruiting Minorities**

Deanna Cheek, MD / Medical University of South Carolina  
Donna Zimmerman, MSW / South Carolina State University

**Behavioral Research**

Candace Gauthier, PhD / University of North Carolina at Wilmington  
Kathleen Lowney, PhD / Valdosta State University

**IRB Basics**

Patricia Arford, PhD, RN / Medical University of South Carolina  
Alison Faulk, BS / McGuire Veterans Administration Medical Center  
Becky Roberts, RDH, MS / Medical University of South Carolina

**HIPAA Regulations**

Julie Kaneshiro, MA / NIH

**Vulnerable Populations**

Jeffrey M. Cohen, PhD / OHRP

<b>10:30 – 10:45</b>	<b>Break</b>
<b>10:45 – 11:45</b>	<b>Repeat Breakout Sessions</b>
<b>12:00 – 1:15</b>	<b>Lunch</b>
<b>1:15 – 2:45</b>	<b>Ask the Experts</b> Federal representatives and workshop faculty
<b>2:45 – 3:00</b>	<b>Closing Remarks</b>
<b>3:00</b>	<b>Adjourn</b>

---

## **Contact Person**

For more information please contact Pam Benjamin, Office of CME, Medical University of South Carolina, 843-876-1925; fax – 843-876-1931.

---

## **Conference Location and Accommodations**

***Mills House Hotel • 115 Meeting Street • Charleston, South Carolina 29401 • 843/577-2400***

A visit to The Mills House is like a delightful trip in time to a fine antebellum home. Each guest room is individually decorated with fine period furnishings, including demi-canopied beds. From your window you can enjoy a spectacular view of Charleston's Historic District ... or of the hotel's pool and sundeck. You'll also appreciate many exquisite details, such as nightly turndown service, along with such conveniences, as color television, climate control, data ports and in-room hair dryers. The web site for Mills House Hotel is [www.millshouse.com](http://www.millshouse.com). Their phone number is 843-577-2400.

Please make your reservations directly with the hotel. Identify yourself as a participant in this conference to receive the conference rate of \$154.00 for a single/double room for the dates of June 20-21, 2001 and a conference rate of \$169.00 for the dates of June 22-23, 2001 for a single/double. A block of rooms will be held until May 20 or until the block is full. Reserve your room as soon as possible as June is a very popular time to visit Charleston.

---

## **Registration Fee**

The workshop fee is \$175.00. This fee includes all educational sessions, continental breakfast and lunch both days, breaks, a wine and cheese reception, a syllabus and continuing education credit processing.

---

## **Cancellations**

A refund will be made upon written request prior to June 1<sup>st</sup>, 2001; however, \$50.00 will be retained to cover administrative fees. We reserve the right to cancel this program if necessary. Full registration fees will be refunded for cancelled programs. The University cannot be responsible for reimbursement of airline or other transportation fares or hotel charges, including penalties.

---

## **Charleston International Airport**

The airport is approximately 11 miles from downtown Charleston. Several shuttle and taxi companies service the airport. The following car rental agency's desks are located at the airport:

Avis • Budget • Hertz • National

---

## Faculty

**Patricia H. Arford, PhD, RN**

Associate Professor  
College of Nursing  
Chair, IRB  
Medical University of South Carolina  
Charleston, SC

**Deanna E. Cheek, MD**

Assistant Professor of Nephrology  
Medical University of South Carolina  
Charleston, SC

**David Charles Clark, PhD**

Office of Research Affairs  
Rush Presbyterian - St. Luke's Medical Ctr.  
Chicago, IL

**Jeffrey M. Cohen, PhD**

Associate Director, Office of Education  
Office for Human Research Protections  
Rockville, MD

**Brent M. Egan, MD**

Associate Professor of Clinical Pharmacology  
Medical University of South Carolina  
Charleston, SC

**Alison W. Faulk**

IRB Coordinator  
Hunter Holmes McQuire  
Veteran's Affairs Medical Center  
Richmond, VA

**Candace C. Gauthier, PhD**

Associate Professor of Philosophy & Religion  
The University of North Carolina  
Wilmington, North Carolina

**Beth Gibbs, RN**

Research Assurance Compliance Officer  
VA Medical Center, VISN 7  
Charleston, SC

**Paul B. Gold, PhD**

Assistant Professor of Psychiatry  
Medical University of South Carolina  
Charleston, SC

**Raymond Greenberg, MD, PhD**

President  
Medical University of South Carolina  
Charleston, SC

**Julie A. Kaneshiro, MA**

Senior Policy Analyst  
NIH, Office of the Director  
Office of Science Policy and Planning  
Bethesda, MD

**Bonnie Lee**

Health Issues Analyst  
Office of Enforcement  
Food and Drug Administration  
Rockville, MD

**David A. Lepay, MD, PhD**

Acting Senior Advisor on Clinical Science  
Office of the Commissioner  
U.S. Food and Drug Administration  
Rockville, MD

**George E. Lindenmayer, MD, PhD**

Professor of Pharmacology  
Chairman, IRB  
Medical University of SC  
Charleston, SC

**Kathleen S. Lowney, PhD**

Professor of Sociology  
Department of Sociology, Anthropology and Criminal Justice  
Valdosta State University  
Valdosta, GA

**John H. Mather, MD**

Chief Officer, Office of Research Compliance and Assurance  
Veterans Health Administration  
Department of Veterans Affairs  
Washington, DC

**David J. Miller, PhD**

Adjunct Associate Professor  
Department of Psychiatry  
Emory University, School of Medicine  
VA Medical Center  
Decatur, GA

**Becky Roberts, RDH, MS**

IRB Program Manager  
Office of Research Integrity  
Medical University of South Carolina  
Charleston, SC

**Janet Z. Temple, PhD**

Director of Professional Development  
Office of Continuing Medical and Public Education  
Medical University of South Carolina  
Charleston, SC

**Donnis K. Zimmerman, MSW**

Department of Social Work  
South Carolina State University  
Orangeburg, SC

# Registration Form

**Current Human Research Issues and Solutions**  
**June 21 - 22, 2001 • Mills House Hotel • Charleston, SC**

(Please print or type all information on this form)

Last Name (please print clearly) \_\_\_\_\_ First Name \_\_\_\_\_ Middle Initial \_\_\_\_\_  
 MD  DO  Other (specify) \_\_\_\_\_ Social Security Number \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_  
(\_\_\_\_\_) \_\_\_\_\_ - \_\_\_\_\_ (\_\_\_\_\_) \_\_\_\_\_ - \_\_\_\_\_  
Office Telephone \_\_\_\_\_ Office Fax \_\_\_\_\_ E-mail Address \_\_\_\_\_

Do you require vegetarian meals  Yes  No

## Breakout Sessions

### Thursday, June 21, 2001

**10:00 - 11:45 a.m.**  
(Check one)

- Overview of "Common Rule"
- Overview of FDA Regulations
- Overview of VA Regulations
- Advanced IRB Review Issues

### Friday, June 22, 2001

**9:30 - 10:30 a.m.**  
(Check one)

- HIPAA Regulations
- Placebo Controlled Trials
- Recruiting Minorities
- Behavioral Research
- IRB Basics for the  
IRB Administrator
- Vulnerable Populations

**10:45 - 11:45 a.m.**  
(Check one)

- HIPAA Regulations
- Placebo Controlled Trials
- Recruiting Minorities
- Behavioral Research
- IRB Basics for the IRB  
Administrator
- Vulnerable Populations

### Payment Received:

\$175

### Payment must accompany registration

- Check Payable to  
Medical University of South Carolina
- MasterCard
- Visa

Cardholder's Name \_\_\_\_\_

Card Number \_\_\_\_\_

Expiration Date \_\_\_\_\_

### Please use ONE of these methods to register (do not mail if previously faxed or telephoned):

Mail registration form with check or credit card information to:

Pamela Benjamin, Office of CME  
Medical University of South Carolina,  
261 Calhoun Street, Suite 301  
P.O. Box 250189  
Charleston, SC, 29425

Telephone: (843) 876-1925  
Registration by credit card only

Fax: (843) 876-1931  
Registration by credit card only