

NCUA LETTER TO CREDIT UNIONS

**NATIONAL CREDIT UNION ADMINISTRATION
1775 Duke Street, Alexandria, VA**

DATE: October 2001 **LETTER NO.:** 01-CU-18

TO: Federally Insured Credit Unions

SUBJ: NCUA Request Relating to Information Pertaining to the Terrorist Attacks

ENCL: (1) Joint Agency Request Relating to Information Pertaining to the Terrorist Attacks
(2) Credit Union Notification Form

I am again writing to request your assistance to law enforcement authorities in the wake of recent terrorist attacks on this country.

Attached is an interagency letter regarding the request by U.S. law enforcement authorities for the credit union community's help in the investigation into the September 11 terrorist attacks. ***A key component of this request is communication via e-mail. Please note the following:***

- I request that you carefully follow the procedures in the interagency letter ***except you should disregard that portion of paragraph two that directs you to provide contact information to an e-mail address at the Federal Reserve Board. Instead, you should go to the following address on the worldwide web where you will be prompted to provide your credit union's contact information. The address is <http://www.ncua.gov/cuaddress>.***
- If your credit union does not have an e-mail address, you may want to consider obtaining one for this effort, as the lists of individuals identified by law enforcement agencies are likely to be long and updated frequently. It is critical that all credit unions be able to receive these updates quickly.
- If your credit union does not wish to establish an e-mail address, please complete the attached Credit Union Notification Form and fax it to (703) 519-4080.

- If you identify a suspect account at your credit union, you should follow the procedures in the attached letter. If you do not have an e-mail address, and are unable to respond to the e-mail address in the attached letter, you should fax the information to Mr. John K. Ianno at (703) 518-6569. **Remember, as the letter states, your fax should indicate only that you have a positive response. Law enforcement officials will follow up as appropriate.**

Thank you for your assistance in this important matter. If you have any additional questions about this request, please contact Mr. John K. Ianno, Office of General Counsel, by phone at 703-518-6540.

Sincerely,

/s/

Dennis Dollar
Chairman

Enclosures

**Board of Governors of the Federal Reserve System
Federal Deposit Insurance Corporation
Office of the Comptroller of the Currency
Office of Thrift Supervision
National Credit Union Administration**

October 5, 2001

**Joint Agency Request Relating to Information
Pertaining to the Terrorist Attacks**

To All Banks, Savings Associations, and Credit Unions:

In the wake of the recent terrorist attacks on this country, the banking community has been asked to cooperate with law enforcement authorities in their on-going investigations. As financial institution supervisors, we have encouraged banking organizations to work with law enforcement and to review their records to determine if any transactions or relationships exist or existed with individuals or entities that have been identified by the FBI and other law enforcement agencies. In that regard, we have worked with law enforcement on a mechanism that will assure the expeditious distribution of a unified list of individuals and entities to a broad range of financial institutions. This list will be referred to as the Control List. Because the Control List contains confidential information, we ask that you disseminate the information within your institution on a need to know basis. Under no circumstances should the Control List or the information contained in it be disseminated outside your institution.

Law enforcement will provide the Control List to the financial institution supervisors. We will, in turn, send your institution a copy of the Control List through e-mail. We will also send electronic updates to the list on a regular basis. We need your immediate help in starting this system. We request that you designate a senior-level individual within your institution who appreciates the sensitive nature of the investigation and will exercise appropriate discretion to be the point person for all communications relating to the Control List. Please provide this person's name, title, telephone number and, most importantly, e-mail address by Friday, October 12, 2001. Please send this information to the following e-mail address: suspicious.accounts@ny.frb.org.

Once this information is received, the Control List will be distributed, and your institution will be asked to check its records to determine whether any of the individuals or entities on the Control List have or have had any transactions or relationships with your institution in the United States or elsewhere.

If your institution identifies any transaction or relationship with any of the individuals or entities, you are requested to promptly send an e-mail message to suspicious.accounts@ny.frb.org indicating only that you have a positive response. This information will be transmitted to law enforcement authorities, who can obtain a subpoena to be served on your institution for relevant customer records.

In accordance with the suspicious activity reporting rules, you are also reminded to report any suspicious activity related to any of the names on the Control List by filing a Suspicious Activity Report (“SAR”) by contacting the FinCEN financial institution hotline at 1-866-556-3974 and by filing a written SAR.

We thank you for all of your efforts in this most important endeavor.

Credit Union Notification Form

This form is provided to allow submission of your credit union's contact information so that NCUA may notify you electronically or via fax with any updated lists of individuals or entities identified by the F.B.I. in connection with the September 11th terrorist attacks.

Completion of this form and submission of this information is voluntary.

Charter / Insurance Certificate Number: _____

Credit Union Name: _____

Address: _____

City: _____

State: _____

Contact Name: _____

E-Mail Address of Contact: _____

Telephone Number of Contact: _____

FAX Number of Contact: _____