NIDDK New Investigators Workshop Lister Hill Auditorium, NIH September 27-28, 2004

"Reading Your Summary Statement and Revising an Application"



Allan Walker, M.D.

Boston, MA

USA



What is a summary statement?

- summary of study section review
- content
 - -SRG action: priority score & percentile
 - -recommended direct costs

- -two critiques
- -resume & summary of discussion

What is critique?

- three members of study section evaluate application in-depth
- written evaluation by primary & secondary reviewer with additional comments by reader
- basis of critique
 - -significance
 - -approach
 - -innovation
 - -investigator
 - -environment

overall evaluation

Resume & Summary of Discussion

- additional comments by other study section members on grant application
 - may or may not be important
- <u>final</u> summary of collective evaluation of grant
 - reflects study section's general views
 - may or may not need to respond in re-application
 - minority report

Approach to Revision of Application

- MAKE CONTACT WITH INSTITUTE STAFF
- do not respond in anger to misunderstood component(s) of grant
- must respond on a point-by-point basis to substantive concerns
- respond to each component of critique (e.g., critique 1 & 2) separately

Approach to Revision of Application (cont'd)

- very likely same reviewers will re-review grant or at least read response to critique
- score/percentile of revised application is dependent on response to previous review
- if additional experiments/preliminary data requested - delay re-application until complete

Common Concerns on Critique

- not enough preliminary data to justify hypothesis/specific aims
- investigator doesn't have expertise to complete approach to specific aims
- techniques proposed won't answer hypothesis/specific aims

Common Concerns (cont'd)

 grant is a "fishing" expedition, e.g. DNA microarray analysis

 lacks clinical/biologic significance - very difficult to address

Response to Critique

- if necessary, delay re-application until convincing preliminary data generated
- need to convince reviewers that "environment" sufficient to accomplish specific aims
 - may need letter of collaboration/biosketch with new technique
 - -show <u>new</u> preliminary data using technique
- ask help of local expert to access accuracy of technique
 - -may need to revise approach

Response to Critique (cont'd)

 modify re-application so reviewer can assess changes (underline, bold type)

 provide update on status of submitted manuscripts to show progress

make sure that response to critique is easily identified in re-application

Response to Critique (cont'd)

 if portion of grant is misinterpreted, consider revising to clarify in re-application

 communication is critical to convincing reviewer of merit of grant

 take enough time to <u>revise</u> so application is clear to reviewers

Response to Critique (cont'd)

If any problems or concerns - MAKE
CONTACT WITH THE INSTITUTE STAFF