

Information Systems & Technology Questionnaire Description

Questionnaire	Type	Description
IT Items Needed	Excel	Items needed for an IS&T review.
IT Scope	Excel	Initial assessment of IT services to assist in developing examination scope for the IT review area. Provides an initial IT risk rating score based upon data from the 5300 Call Report.
IT General	Excel	Evaluate policies, procedures, practices, and controls over the IT environment.
IT 748 Compliance	Excel	Assessment of compliance with Part 748 of the NCUA Rules and Regulations (Appendix A and B).
IT Audit Program	Excel	Reviews practices related to IT activities subject to regular, independent review (internal and/or external).
IT Authentication	Excel	Evaluates authentication techniques to ensure compliance with Letter to Credit Unions 05-CU-18.
IT Business Continuity	Excel	Review of the Business Continuity Plan to evaluate the adequacy of the plan in the event of a service outage, disaster, or point of failure along service delivery channels.
IT Compliance	Excel	Review to determine management has considered the compliance requirements and guidelines related to information technology initiatives.
IT Firewalls	Excel	Evaluates the firewall environment to determine the design is adequate to support the network infrastructure.
IT IDS IPS	Excel	Evaluates the Intrusion Detection System and/or Intrusion Prevention System to determine it is adequately securing the network environment from potentially harmful network activity.
IT Member Online Services	Excel	Reviews controls for member services and compliance with regulatory and operating requirements.
IT Networks	Excel	Evaluates the Network to determine the resources and accountability associated with the Network Infrastructure.
IT Penetration Test Review	Excel	Evaluates the Penetration Test process and results.
IT Policy Checklist	Excel	A general list of policies normally covered during an IT review.

IS&T SME Questionnaires (cont.)

Questionnaire	Type	Description
IT Remote Access	Excel	Evaluates the Remote Access Technologies policies and practices.
IT Routers	Excel	Evaluates management practices related to Router operation are adequate.
IT Security Program	Excel	Review of the security program to determine security risks adequately protect the credit union and member data.
IT Servers	Excel	Evaluates management practices related to Servers to determine they are adequate to support the network infrastructure.
IT Vendor Oversight	Excel	Evaluates the vendor due diligence oversight program.
IT Virus Protection	Excel	Evaluates the malware policies, procedures, and practices.
IT Web Site Review	Excel	Reviews the operation of the web site and evaluates management practices.
IT WLANS	Excel	Reviews the adequacy of controls over wireless local area networks (WLANS) utilizing technology compliant with IEEE 802.11b ("Wi-Fi") and related wireless networking technology standards. Elements may also apply to wireless wide area networks (WWANs).