

Emergency Support Function #1 – Transportation Annex

ESF Coordinator:

Department of Transportation

Primary Agency:

Department of Transportation

Support Agencies:

Department of Agriculture
Department of Commerce
Department of Defense
Department of Energy
Department of Homeland Security
Department of the Interior
Department of Justice
Department of State
General Services Administration
U.S. Postal Service

Introduction

Purpose

Emergency Support Function (ESF) #1 – Transportation supports the Department of Homeland Security (DHS), assisting Federal agencies, State, local, and tribal governmental entities, and voluntary organizations requiring transportation for an actual or potential Incident of National Significance. Through the DOT's coordination role, ESF #1 integrates the DOT responsibility for Emergency Management of the Transportation System (EMTS) in the prevention/mitigation, preparedness, recovery, infrastructure restoration, safety, and security of the nation and its transportation system.

Scope

ESF #1 is designed to provide transportation support to assist in domestic incident management. Activities within the scope of ESF #1 functions include: processing and coordinating requests for Federal and civil transportation support as directed under the NRP; reporting damage to transportation infrastructure as a result of the incident; coordinating alternate transportation services; coordinating the restoration and recovery of the transportation infrastructure; performing activities conducted under the direct authority of DOT elements such as air, maritime, surface, rail, and pipelines; and coordinating and supporting prevention/preparedness/mitigation among

transportation infrastructure stakeholders at the State and local levels.

Policies

- Federal transportation planning employs the most effective means of transporting resources, including commercial transportation capacity, and capacity owned or operated by Federal agencies.
- Federal transportation planning recognizes State transportation policies and plans used to control the movement of relief personnel, equipment, and supplies, as well as State-established priorities for determining precedence of movement.
- DOT Headquarters facilitates coordination between DOT regions in the event of multiregion ESF #1 operations.
- Movements of Federal personnel, equipment, and supplies are managed through prioritizing shipments. To facilitate the prompt deployment of resources, priorities for various incidents are developed and maintained through an interagency process led by DHS prior to an incident to facilitate the prompt deployment of resources. Each ESF is responsible for compiling, submitting, and updating information for inclusion in the ESF #1 prioritized shipments.

- Federal agencies are encouraged to use ESF #1 services. To ensure the orderly flow of resources, Federal agencies should advise ESF #1 of all transportation movements arranged independently from ESF #1 activity.
- Military transportation will be provided in accordance with the Defense Support of Civil Authorities section of the National Response Plan (NRP) and the Memorandum of Understanding between Department of Defense

(DOD) and DOT concerning commercial aviation programs.

- The Homeland Security Operations Center (HSOC) supports DOT with relevant situational awareness and threat information reports.
- The DHS Transportation Security Operations Center (TSOC) provides DOT with relevant situational awareness and threat information reports.

Concept of Operations

General

- EMTS provides a structure for managing and coordinating the complex operations of the transportation system. This includes deployment of resources into and out of the incident area and the coordination of transportation recovery, restoration, and safety/security. EMTS also provides a means of facilitating or restricting the movement of personnel and goods as necessary.
- The DOT Regional Emergency Transportation Coordinator (RETCO) provides direction of the ESF #1 mission locally. The RETCO is the Secretary of Transportation's representative for emergency preparedness and response matters within the region. The RETCO receives policy guidance and operational direction from the Director, Office of Emergency Transportation (OET), Office of the Secretary.
- Regional ESF #1 organization, notification, deployment, and support operations are conducted in accordance with the respective ESF #1 annexes to the regional NRP supplements.
- Communications are established and maintained with ESF #5 – Emergency Management to report and receive assessments and status information, and with ESF #7 – Resource

Support, the National Response Coordination Center (NRCC), Regional Response Coordination Center (RRCC), or Joint Field Office (JFO).

- All approved requests for Federal assistance and mission assignments for transportation support are submitted by the Federal Coordinating Officer (FCO) or Federal Resource Coordinator (FRC) to ESF #1 for action.
- The Secretary of Transportation designates a representative(s) to the Interagency Incident Management Group (IIMG) as required.
- The OET Director activates the headquarters ESF #1, and deploys representatives to the NRCC. Upon notification by DOT or DHS, the RETCO activates the regional Emergency Transportation Center.

Actions: Initial Actions

DOT Headquarters: Immediately upon notification of a threat or an imminent or actual incident, consideration is given toward:

- Expanding or surging the DOT Crisis Management Center;
- Initiating relevant reporting to the Office of the Secretary of Transportation, the HSOC, IIMG, DOT operating administrations, and the regions;
- Providing appropriate representation, when requested, to the IIMG, the NRCC, and the ESF #1 function;

- Implementing plans internal to DOT to ensure adequate staff and administrative support; and

Region: At the JFO, the RETCO or a designated representative gives priority attention to establishing communications with the RRCC, the Principal Federal Official (if designated), the FCO/FRC (once known and designated), and ESF #1 support agencies. The DOT response team works with State counterparts regarding priority reconstruction needs and restoration of critical and strategically important transportation facilities and choke points.

Actions: Continuing Actions

- ESF #1 staff coordinates the acquisition of transportation services to fulfill mission assignments in support of all ESFs when required.
- The RETCO coordinates with appropriate State, local, and tribal entities to facilitate the movement of people and goods to, from, and

within the incident area, and participates in decisions regarding issues such as movement restrictions, critical facilities closures, and evacuations.

- The RETCO is responsible for the administrative support of individuals involved in regional emergency transportation operations and for managing all financial transactions undertaken through mission assignments issued to ESF #1.
- The RETCO coordinates with appropriate DOT regional operating administrations on the implementation of specific DOT statutory authorities providing immediate assistance, such as air traffic control, long-term recovery of the transportation infrastructure, and any authorized mitigation efforts to lessen the effects of future incidents.

Responsibilities

Primary Agency

Department of Transportation

- Coordinates the provision of Federal and civil transportation services in support of Federal agencies, State, local, tribal governmental entities, U.S. territories, possessions, and voluntary organizations. Coordinates the recovery, restoration, and safety/security of the transportation infrastructure. Manages the headquarters ESF #1 function and the regional ESF #1 function including the Emergency Transportation Center. Provides trained personnel to staff ESF #1 responsibilities at the RRCC, the JFO, or any other temporary facility in the impacted region.

- Manages the financial aspects of the ESF #1 response, including the funding of Stafford Act mission assignments and/or reimbursable agreements for non-Stafford Act Federal-to-Federal support.
- Works with State and local transportation departments and industry partners to assess the damage to the transportation infrastructure and analyze the impact of the incident on transportation operations, nationally and regionally, and report promptly as changes occur.
- Coordinates and implements, as required, emergency-related response and recovery functions performed under DOT statutory authorities, including the prioritization and/or

allocation of civil transportation capacity, emergency highway funding for federally owned highways and highways on the Federal Aid System, hazardous material containment response and movement, and damage assessment, to include safety- and security-related actions concerning movement restrictions, closures, quarantines, and evacuations.

- Provides technical assistance to Federal, State, local, and tribal governmental entities in evacuation or movement restriction planning, and determining the most viable transportation

networks to, from, and within the disaster area, as well as alternate means to move people and goods within the area affected by the disaster.

- Identifies resource requirements for transportation and coordinates their allocation.
- Deploys members to fill the positions on the Emergency Response Team – Advance Element (ERT-A), National Emergency Response Team (ERT-N), and IIMG as required.

Support Agencies

Agency	Functions
Department of Agriculture/Forest Service	<ul style="list-style-type: none"> ▪ Provides staffing to the NRCC ESF #1 and the RRCC ESF #1 when requested. ▪ Provides transportation assets when Forest Service assets are the most effective method.
Department of Commerce/National Oceanic and Atmospheric Administration	<ul style="list-style-type: none"> ▪ Provides weather and dispersion forecasts in support of response measures following transportation events that release materials into the atmosphere. ▪ Provides dispersion forecasts for materials spilled into the ocean following a transportation event.
Department of Defense	<ul style="list-style-type: none"> ▪ Provides staffing to the NRCC ESF #1 function and the RRCC ESF #1 when requested and upon approval by the Secretary of Defense. ▪ Provides military transportation capacity from the U.S. Transportation Command (USTRANSCOM) to move essential resources and assist in the contracting for civilian airlift and upon approval by the Secretary of Defense. USTRANSCOM also will provide staff to the headquarters ESF #1 function and the regional ESF #1 when requested and upon approval by the Secretary of Defense. ▪ U.S. Army Corps of Engineers (USACE) <ul style="list-style-type: none"> ▪ Provides support in the emergency operation and restoration of inland waterways, ports, and harbors under the supervision of USACE, including dredging operations. ▪ Assists in restoring the transportation infrastructure.
Department of Energy	<ul style="list-style-type: none"> ▪ When requested, DOE provides fixed wing and rotary aircraft to support radiological environment surveys and/or search capabilities during a radiological or nuclear incident.

Agency	Functions
Department of Homeland Security	<ul style="list-style-type: none"> ▪ Working through ESF #1, coordinates the acquisition, movement, and distribution of DHS-owned resources to the incident area. ▪ Initiates transportation actions prior to ESF #1 activation, keeps DOT informed of early transportation actions, and assumes responsibility for closeout of actions after the headquarters and the regional ESF #1 deactivate. ▪ Assists in restoring the transportation infrastructure through ESF #3 – Public Works and Engineering and the Stafford Act program. ▪ Supervises the development of the prioritized shipping. Provides staffing to the NRCC ESF #1 function and the RRCC ESF #1 when requested. ▪ Works with the Critical Infrastructure Coordinator concerning all issues dealing with the recovery and restoration of the associated critical infrastructure sector, supported by ESF #1, including the allocation and prioritization of resources. ▪ Through ESF #1, identifies and arranges for the use of Department of Homeland Security/U.S. Coast Guard (DHS/USCG) assets and resources in support of the ESF #1 role.
Department of the Interior	<ul style="list-style-type: none"> ▪ Provides staffing to the headquarters and regional ESF #1 functions when requested. ▪ Provides supplementary departmental transportation assets (e.g., fixed-wing aircraft and all-terrain vehicles). ▪ Provides transportation support resources (e.g., mechanics, pilots). ▪ Provides aviation safety teams.
Department of Justice	<ul style="list-style-type: none"> ▪ Provides departmental transportation support assets when not committed for internal operations. ▪ Provides staffing to headquarters and regional ESF#1 functions when requested.
Department of State	<ul style="list-style-type: none"> ▪ Coordinates requests for, and offers of, transportation assistance from foreign governments. ▪ When requested, provides liaison to the DOT Crisis Management Center in the event of international implications.
General Services Administration	<ul style="list-style-type: none"> ▪ Assists in identifying sources for and contracting transportation services. ▪ Provides staffing to the headquarters and regional ESF #1 functions when requested.
U.S. Postal Service	<ul style="list-style-type: none"> ▪ Provides U.S. Postal Service vehicles not immediately required for mail delivery for transportation of resources, particularly for distribution in the disaster area. ▪ Collects and reports on transportation infrastructure disruption and damages as information becomes available. ▪ Staffs the headquarters ESF #1 and regional ESF #1 teams when requested.

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