

# UNITED STATES NUCLEAR REGULATORY COMMISSION

**REGION II** 

SAM NUNN ATLANTA FEDERAL CENTER 61 FORSYTH STREET SW SUITE 23T85 ATLANTA, GEORGIA 30303-8931

May 31, 2001
Duke Energy Corporation
ATTN: Mr. G. R. Peterson
Site Vice President
Catawba Nuclear Station
4800 Concord Road
York, SC 29745

SUBJECT: ANNUAL ASSESSMENT LETTER - CATAWBA NUCLEAR STATION

(NRC INSPECTION REPORT 50-413,414/01-01)

Dear Mr. Peterson

On April 30, 2001, the NRC staff completed its end-of-cycle plant performance assessment of the Catawba Nuclear Station. The end-of-cycle review for Catawba involved the participation of all technical divisions in evaluating performance indicators (PIs) and inspection results for the period of April 2, 2000, to March 31, 2001. The purpose of this letter is to inform you of our assessment of your safety performance during this period and our plans for future inspections at your facility so that you will have an opportunity to prepare for these inspections and to inform us of any planned inspections which may conflict with your plant activities.

Overall, Catawba operated in a manner that preserved public health and safety and fully met all cornerstone objectives. Plant performance for the most recent quarter, as well as for the first three quarters of the assessment cycle, was within the Licensee Response Column of the NRC's Action Matrix, based on all inspection findings being classified as having very low safety significance (Green) and all Pls indicating performance at a level requiring no additional NRC oversight (Green). Therefore, at this time we plan to conduct only baseline inspections and license renewal related inspections at your facility through May 31, 2002. However, the significance of apparent violation 50-413/01-03-02 (repetitive failures of residual heat removal system support snubbers due to water hammer events) is still under review as part of the Significance Determination Process. A supplemental inspection may be warranted if this issue is determined to be of greater than very low safety significance (Green).

The enclosed inspection plan details the scheduled inspections that will occur through May 31, 2002. This inspection plan is provided to minimize the resource impact on your staff and to allow for scheduling conflicts and personnel availability to be resolved in advance of inspector arrival onsite. Routine resident inspections are not listed due to their ongoing and continuous nature.

For your information, the NRC is in the process of aligning the inspection and assessment cycle with the calendar year. In order to transition to a calendar year cycle (January 1 - December 31), the current inspection and assessment cycle will consist of only three quarters (i.e. the second, third and fourth calendar quarters of CY 2001). As a result, for this transition period only, the number of inspection activities have been modified accordingly and a mid-cycle review letter and accompanying inspection plan will not be issued.

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In accordance with 10 CFR 2.790 of the NRC's "Rules of Practice," a copy of this letter and its enclosure will be made available electronically for public inspection in the NRC Public Document Room or from the Publicly Available Records (PARS) component of NRC's document system (ADAMS). ADAMS is accessible from the NRC Web site at <a href="http://www.nrc.gov/NRC/ADAMS/index.html">http://www.nrc.gov/NRC/ADAMS/index.html</a> (the Public Electronic Reading Room).

If circumstances arise which cause us to change our inspection plan, we will contact you to discuss the change as soon as possible. Please contact me at (404) 562-4550 with any questions you may have regarding this letter or the inspection plan.

Sincerely,

/RA/

Robert C. Haag, Chief Reactor Projects Branch 1 Division of Reactor Projects

Docket Nos.: 50-413, 50-414 License Nos.: NPF-35, NPF-52

Enclosure: Catawba Nuclear Station Inspection/Activity Plan

cc w/encl:

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cc w/encl: Continued see page 3

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cc w/encl: Continued
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C. J. Thomas, Manager Nuclear Regulatory Licensing Duke Energy Corporation 526 S. Church Street Charlotte, NC 28201-0006 06/01/2001 10:26:55

### Catawba

### Inspection / Activity Plan

06/01/2001 - 05/31/2002

| Unit<br>Number | Inspection Activity | Title   | No. of Staff<br>on Site | Planne<br>Start | d Dates<br>End | Inspection<br>Type   |
|----------------|---------------------|---|-------------------------|-----------------|----------------|----------------------|
|                |                     | DIATION PROTECTION  | 1                       |                 |                | -771                 |
| 1, 2           | IP 7112202          | Radioactive Material Processing and Transportation  | •                       | 06/04/2001      | 06/08/2001     | Baseline Inspections |
| 1, 2           |                     | DIATION PROTECTION  | 1                       | 00/04/2001      | 00/00/2001     | Dascinic mapeenons   |
| 1, 2           | IP 7112201          | Radioactive Gaseous and Liquid Effluent Treatment and Monitoring Systems                      |                         | 06/04/2001      | 06/08/2001     | Baseline Inspections |
| ., _           |                     | DIATION PROTECTION  | 1                       | 00,01,2001      | 00,00,200.     |                      |
| 1, 2           | IP 7112203          | Radiological Environmental Monitoring Program   |                         | 06/04/2001      | 06/08/2001     | Baseline Inspections |
| ,              | EB-FP - FIRE        | PROTECTION PRE-INSPECTION VISIT   | 6                       |                 |                |                      |
| 1, 2           | IP 7111105T         | Fire Protection   |                         | 07/09/2001      | 07/11/2001     | Baseline Inspections |
| ·              | MB MR - MAII        | NT RULE   | 1                       |                 |                | ·                    |
| 1, 2           | IP 7111112B         | Maintenance Rule Implementation   |                         | 07/16/2001      | 07/19/2001     | Baseline Inspections |
|                | FERC - STA          | NDBY SW RESERVOIR   | 1                       |                 |                |                      |
| 1, 2           | IP 62002            | Inspection Of Structures, Passive Components, And Civil Engineering Features At Nuclear Pov   |                         | 07/26/2001      | 07/26/2001     | Supplemental Progran |
|                | EB-FP - FIRE        | PROTECTION INSPECTION   | 4                       |                 |                |                      |
| 1, 2           | IP 7111105T         | Fire Protection   |                         | 08/06/2001      | 08/10/2001     | Baseline Inspections |
|                | PS-SEC - SEC        | URITY   | 1                       |                 |                |                      |
| 1, 2           | IP 7113001          | Access Authorization Program (Behavior Observation Only)                                      |                         | 08/20/2001      | 08/24/2001     | Baseline Inspections |
| 1, 2           | IP 7113002          | Access Control (Search of Personnel, Packages, and Vehicles: Identification and Authorization |                         | 08/20/2001      | 08/24/2001     | Baseline Inspections |
|                | OL EP - EME         | RGENCY PREPAREDNESS   | 1                       |                 |                |                      |
| 1, 2           | IP 7111402          | Alert and Notification System Testing   |                         | 08/27/2001      | 08/31/2001     | Baseline Inspections |
| 1, 2           | IP 7111403          | Emergency Response Organization Augmentation Testing  |                         | 08/27/2001      | 08/31/2001     | Baseline Inspections |
| 1, 2           | IP 7111404          | Emergency Action Level and Emergency Plan Changes   |                         | 08/27/2001      | 08/31/2001     | Baseline Inspections |
| 1, 2           | IP 7111405          | Correction of Emergency Preparedness Weaknesses and Deficiencies                              |                         | 08/27/2001      | 08/31/2001     | Baseline Inspections |
| 1, 2           | IP 71151            | Performance Indicator Verification  |                         | 08/27/2001      | 08/31/2001     | Baseline Inspections |
|                | PS-RP - RAD         | DIATION PROTECTION  | 1                       |                 |                |                      |
| 1, 2           | IP 7112101          | Access Control to Radiologically Significant Areas  |                         | 09/10/2001      | 09/14/2001     | Baseline Inspections |
| 1, 2           | IP 7112102          | ALARA Planning and Controls   |                         | 09/10/2001      | 09/14/2001     | Baseline Inspections |
|                | PB1-FL - FLO        | OD PROTECTION   | 1                       |                 |                |                      |
| 1, 2           | IP 7111106          | Flood Protection Measures   |                         | 10/08/2001      | 10/12/2001     | Baseline Inspections |
|                |                     | ERSE WEATHER  | 1                       |                 |                |                      |
| 1, 2           | IP 7111101          | Adverse Weather Protection  |                         | 11/05/2001      | 11/09/2001     | Baseline Inspections |
|                | MB MODS - MOD       |   | 3                       |                 |                |                      |
| 1, 2           | IP 7111102          | Evaluation of Changes, Tests, or Experiments  |                         | 01/07/2002      | 01/11/2002     | Baseline Inspections |
| 1, 2           | IP 7111117B         | Permanent Plant Modifications   |                         | 01/07/2002      | 01/11/2002     | Baseline Inspections |
|                | _                   | DIATION PROTECTION  | 1                       |                 |                |                      |
| 1, 2           | IP 7112103          | Radiation Monitoring Instrumentation  |                         | 01/07/2002      | 01/11/2002     | Baseline Inspections |
| 1, 2           | IP 71151            | Performance Indicator Verification  |                         | 01/07/2002      | 01/11/2002     | Baseline Inspections |

This report does not include INPO and OUTAGE activities.

This report shows only on-site and announced inspection procedures.

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### Catawba

## Inspection / Activity Plan

06/01/2001 - 05/31/2002

| Unit<br>Number               | Inspection Activity | Title  | No. of Staff on Site | Planne<br>Start | d Dates<br>End | Inspection<br>Type   |
|------------------------------|---------------------|--|----------------------|-----------------|----------------|----------------------|
| Hamber                       | LIC REN - DUKE      |  | on one               | Otart           | Liid           | Турс                 |
|                              |                     |  | 4                    |                 | /              |                      |
| 1, 2                         | IP 71002            | License Renewal Inspection                         |                      | 04/01/2002      | 04/05/2002     | Other Routine        |
|                              | OL EP - EMER        | GENCY PREPAREDNESS                                 | 2                    |                 |                |                      |
| 1, 2                         | IP 7111401          | Exercise Evaluation                                |                      | 04/08/2002      | 04/12/2002     | Baseline Inspections |
| 1, 2                         | IP 7111404          | Emergency Action Level and Emergency Plan Changes  |                      | 04/08/2002      | 04/12/2002     | Baseline Inspections |
| 1, 2                         | IP 71151            | Performance Indicator Verification                 |                      | 04/08/2002      | 04/12/2002     | Baseline Inspections |
| PS-RP - RADIATION PROTECTION |                     |  |                      |                 |                |                      |
| 1, 2                         | IP 7112101          | Access Control to Radiologically Significant Areas |                      | 05/13/2002      | 05/17/2002     | Baseline Inspections |
| 1, 2                         | IP 7112102          | ALARA Planning and Controls                        |                      | 05/13/2002      | 05/17/2002     | Baseline Inspections |