



DEPARTMENT OF THE TREASURY  
ALCOHOL AND TOBACCO TAX AND TRADE BUREAU  
550 Main Street, Suite 8002  
Cincinnati, Ohio 45202

5020220

## EXPORT WAREHOUSE PROPRIETOR INFORMATION

As requested, enclosed are the necessary forms in applying for a permit to export tobacco products and cigarette papers and tubes without payment of tax, or with drawback of tax.

**Please be sure to provide an Email address, when you submit your paperwork, for contact purposes. This will enable us to notify you when we receive your application and possibly process your paperwork more quickly.** The following forms must be submitted to this office:

1. TTB F 5200.3, Application For a Permit As a Manufacturer of Tobacco Products Or an Export Warehouse Proprietor
2. TTB F 5200.26, Tobacco Bond – Surety **(TWO ORIGINALS, NO PHOTOCOPIES)**
3. TTB F 5000.9, Personnel Questionnaire, for each officer, director, or stockholder of more than 10 percent in a corporation; individual owner; partner; or member/manager of a limited liability company. **(SINGLE COPY FOR EACH PERSON)**
4. A description and diagram of business area.
5. Signing authority, **if applicable**. If someone will be signing the application and/or supporting documents or act on your behalf, you must submit one of the following with your application:
  - TTB F 5000.8, Power of Attorney;
  - TTB F 5100.1, Signing Authority for Corporate and LLC Officials. This may be granted by title rather than individual name; or
  - Corporate resolution or specific notification in organizational documents granting that authority.
  - If you are filing as a sole owner and someone will sign documents on your behalf, you must file TTB Form 5000.8, Power of Attorney.

If you are filing as a corporation, you must also file:

- List of officers, directors, and anyone holding more than 10 percent stock showing the number of shares held;
- Copy of the Articles of Incorporation and certificate of incorporation executed by an officer of the state in which incorporated
- Copy of Bylaws

If you are filing as a limited liability company, you must also file:

- List of members/managers and their percentage of interest;
- Copy of the certificate of organization executed by an officer of the state in which organized;
- Copy of the Articles of Organization
- Copy of Operating Agreement

If you are filing as a partnership, you must also file:

- Copy of Partnership Agreement
- List of the partners and their percentage of ownership;
- Copy of the certificate of partnership where required to be filed by any State, county, or municipality;
- If there is a verbal agreement rather than written partnership agreement, please provide a written statement to that effect signed by all partners. If no single partner has signing authority on behalf of the partnership, all partners must sign each form sent to this office

All applicants applying for a permit to conduct business as an export warehouse proprietor received by TTB after January 1, 2008, must also submit certain documents related to the source of funds and a lease agreement (if applicable) as described in the enclosed instructions.

You must submit a legible copy of the Driver's License or official State ID card of the primary contact person. We will interview this person by phone regarding your application.

If the premises to be used for this operation are eligible for the National Register of Historic Places, you should not begin construction or remodeling until you have contacted the State Historic Preservation Office (see enclosed information).

The tobacco regulations can be found on our website [www.ttb.gov](http://www.ttb.gov). Click on "Code of Federal Regulations" under "Laws & Regulations" in the Information by Topic area and select Part 44.

Please provide the information requested in Item 15d of the application and a daytime telephone number in the event we need to contact you about your application. If you have any questions, please contact this office at 1-877-882-3277 or 513-684-7150.

Enclosures

# NEW TOBACCO EXPORT WAREHOUSE CHECKLIST

## ***To be filed with the NRC (Cincinnati, Ohio):***

- Application for Permit, TTB F 5200.3
- Tobacco Bond - Surety TTB F 5200.26
- Personnel Questionnaire, TTB F 5000.9
- Diagram of factory premises
- Signing Authority:
  - Signing Authority for Corporate and LLC Officials, TTB F 5100.1
  - Power of Attorney, TTB F 5000.8
  - Corporate resolution or specific notification in the organizational documents
- Statement advising that the premises is covered by the National Historic Preservation Act (if applicable)
- Organizational Documents:
  - Certificate and Articles of Incorporations (for corporations)
  - Certificate and Articles of Organization (for LLCs)
  - Partnership Agreement (for Partnerships)
  - Bylaws (for corporations)
  - Operating Agreement (for LLCs)
  - List of Stockholders of more than 10% stock, Officers, Directors, Members/Managers (LLCs), and Partners, with titles, and the amount of shares/interest held
  - Certificate to Operate in a Foreign State (if organized in a different state)
  - Source of Funds Documentation
  - Lease Agreement (if applicable)
  - Legible photocopy of the Driver's License or official State ID card of the primary contact person who will be interviewed by phone by TTB regarding the application

## ***To be filed with the IRS***

- Application for Employer Identification Number, SS-4, if applicable