

UNITED STATES OF AMERICA
 NUCLEAR REGULATORY COMMISSION

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MEDICAL EVENT SUBCOMMITTEE (MESC)

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MEETING

+ + + + +

Tuesday, June 28, 2005

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The meeting was conducted by teleconference,
 pursuant to notice, at 1:00 p.m, Leon S. Malmud, M.D.,
 Chair, presiding.

COMMITTEE MEMBERS PRESENT:

LEON S. MALMUD, M.D.	Chair
EDGAR BAILEY, Ph.D.	Member
DOUGLAS F. EGGLI, M.D.	Member
ALBERT RAIZNER, M.D.	Member
SALLY SCHWARZ, R.Ph.	Member
RICHARD J. VETTER, Ph.D.	Member

SUBCOMMITTEE MEMBERS PRESENT:

JEFFREY F. WILLIAMSON, Ph.D.	Chair
DAVID DIAMOND, M.D	Member
RALPH P. LIETO	Member
SUBIR NAG, M.D.	Member

NRC STAFF PRESENT:

THOMAS H. ESSIG	Designated Federal Official
NEELAM BHALLA	
IVELISSE CABRERA	
CYNTHIA FLANNERY	
DONNA-BETH HOWE, Ph.D.	
ANGELA McINTOSH	
MOHAMMAD SABA	
RONALD ZELAC, Ph.D.	

ALSO PRESENT: LYNNE FAIROBENT AAPM
GLORIA ROMANELLI, ACR
ROSHUNDA DRUMMOND, ASTRO

P-R-O-C-E-E-D-I-N-G-S

MR. ESSIG: Okay. Let me begin with my opening remarks, if I may. As the designated federal official for this meeting, I'm pleased to welcome you to this publicly noticed conference call meeting of the ACMUI.

My name is Thomas Essig. I am branch chief for the Material Safety Inspection Branch, and have been designated as a federal official for this advisory committee in accordance with 10 CFR, Part 7.11. This is an announcement meeting of the committee. It's being held in accordance with the rules and regulations of the Federal Advisory Committee Act and the Nuclear Regulatory Commission.

The meeting was announced in the June 14, 2005 edition of the Federal Register. The function of the committee is to advise the staff on issues and questions that arise on the medical use of by-product material. The committee provides counsel to the staff, but does not determine or direct the actual decisions of the staff or the Commission. The NRC solicits the views of the committee and values them very much.

I request that whenever possible we try to reach a consensus on the various issues that will be

1 discussed during this conference call, and we also
2 value minority or dissenting opinions. If you have
3 such opinions, please allow them to be read into the
4 record.

5 As part of the preparation for this
6 meeting, I have reviewed the agenda for members and
7 employment interests based on the general nature of the
8 discussion we're going to have today. I've not
9 identified any items which pose a conflict of interest
10 for the members. If, however, during the course of our
11 business, other members determine that they have a
12 conflict of interest in matters before the committee,
13 please state it for the record and recuse yourself from
14 that particular aspect of the discussion.

15 At this point, I would merely
16 acknowledge the members of the committee who have
17 indicated that they are present. I will go down the
18 list of eight that I have. If somebody has joined us,
19 a committee member, who's name I don't read, please
20 acknowledge.

21 Dr. Douglas Eggli, nuclear medicine
22 physician.

23 DR. EGGLI: Present.

24 MR. ESSIG: Dr. David Diamond, radiation
25 oncologist.

1 DR. DIAMOND: Present.

2 MR. ESSIG: Dr. Subir Nag, radiation
3 oncologist.

4 DR. NAG: Yes.

5 MR. ESSIG: Ms. Sally Schwarz nuclear
6 pharmacist.

7 MS. SCHWARZ: Present.

8 MR. ESSIG: Dr. Richard Vetter,
9 radiation safety officer.

10 DR. VETTER: Present.

11 MR. ESSIG: Dr. Jeffrey Williamson,
12 therapy physicist.

13 DR. WILLIAMSON: Here.

14 MR. ESSIG: Dr. Albert Raizner,
15 interventional cardiologist.

16 DR. RAIZNER: Present.

17 MR. ESSIG: And Mr. Ralph Lieto, nuclear
18 medicine physicist.

19 MR. LIETO: Present.

20 MR. ESSIG: Are there any members of the
21 committee who have joined us in the interim?

22 DR. NAG: What about Dr. Potters? Is
23 Dr. Potters here?

24 MR. ESSIG: Is Dr. Potters here?

25 DR. WILLIAMSON: No. I guess nobody

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1 informed him of the meeting until I did today, so he's
2 going to try to join us, but may not be able to.

3 MR. ESSIG: Okay.

4 MS. McINTOSH: I sent him an email
5 invitation. This is Angela McIntosh of the Nuclear
6 Regulatory Commission staff. I did send him an email
7 invitation, Dr. Williamson, when I sent it to the
8 committee. So maybe he just didn't read it or
9 whatever.

10 DR. WILLIAMSON: Could be.

11 MR. ESSIG: In the absence of the
12 chairperson, Dr. Leon Malmud, I will conduct today's
13 meeting as designated federal official. Following the
14 discussion of the agenda, I will at my option entertain
15 comments from the members of the public who are
16 participating with us today.

17 The purpose of today's meeting is to
18 hear the report from the Medical Events Subcommittee,
19 chaired by Dr. Jeff Williamson, and reporting to the
20 full committee. I would just take a second and allow
21 the members of the NRC staff to introduce themselves.
22 We'll just go around the table here because there may
23 be members of our staff speaking. We just want to do
24 kind of a sound check and make sure that the court
25 reporter and members can hear us. As I mentioned, this

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1 is Tom Essig.

2 MS. FLANNERY: Cindy Flannery,
3 F-L-A-N-N-E-R-Y.

4 MS. McINTOSH: Angela McIntosh,
5 M-c-I-N-T-O-S-H.

6 DR. ZELAC: Dr. Ronald Zelac, Z-E-L-A-C.

7 MS. BHALLA: Neelam Bhalla. That's
8 B-H-A-L-L-A.

9 MR. SABA: Mohammed Saba, S-A-B-A.

10 MR. ESSIG: Okay. Dr. Donna-Beth Howe
11 was with us. She just stepped out of the room
12 momentarily, but she should return shortly.

13 MR. ESSIG: Okay. I believe now we are
14 ready to begin with the report of the subcommittee.

15 Jeff, I'll turn it over to you to
16 present your report. Summarize it in whatever fashion
17 you feel appropriate for the committee as a whole.

18 DR. WILLIAMSON: Okay. Well, thank you.

19 I'm very pleased that during our last
20 non-public telephone conference, the Medical Event
21 Subcommittee was able to achieve a consensus approach
22 to the revision of the medical event rule to permanent
23 interstitial implants.

24 We adopted a different strategy than we
25 tried in the past. We made no effort to state our

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1 conclusions or recommendations in rule language. Due
2 to the technical complexity of the task, we have become
3 mired in basically legal questions of how to interpret
4 the existing rule and what is the best way to state the
5 new consensus in rule language, so we abandoned that
6 approach, and we simply stated our recommendations in
7 ordinary language.

8 Well, they lack maybe the precision of
9 rule language. I think taken as a totality, the
10 recommendations represent a coherent and consistent
11 view. So it is in this form we would like to present
12 these to the ACMUI and get your feedback, and hopefully
13 approval of the approach. Then my understanding is
14 that the staff will attempt to take this approach and
15 create a rule language draft that I would assume we
16 would get to review at a subsequent meeting. I guess
17 we can start.

18 DR. NAG: Are you basically going to
19 follow the memo you sent out June 21 or is there any
20 late revision to that?

21 DR. WILLIAMSON: The most recent one I
22 believe was sent out by Angela McIntosh -- was it the
23 24th of June? But I believe it says up in the upper
24 right-hand margin, "Revised 21 June 2005." And the
25 name of the document is Medical Event Subcommittee

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1 Meeting Summary and Draft Recommendations to the ACMUI.
2 So that is the document we'll be referring to. I thank
3 you for bringing my neglect of mentioning it to your
4 attention.

5 DR. NAG: Everyone has this document
6 that we can follow.

7 DR. WILLIAMSON: Mr. Chairman, I suggest
8 we just step through it point by point, and determine
9 whether there is a consensus on the different issues or
10 not.

11 MR. ESSIG: Please do.

12 DR. WILLIAMSON: Okay. Well, the
13 document is divided into three parts, Parts A, B and C.
14 Part A is essentially our view of the status of the
15 current medical event rule and associated definitions.
16 It contains some critique of the existing rule and
17 concerns that have been developed and articulated both
18 by the subcommittee and the staff. I don't think there
19 is a motion in Section A, but I will ask the ACMUI if
20 there are any questions or concerns about any of the
21 material in Part A of this document on pages 1 and 2.

22 MR. ESSIG: Dr. Williams, there was a
23 break in the conversation. Did somebody join us?

24 DR. MALMUD: Dr. Malmud.

25 MR. ESSIG: Excellent. I will turn over

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1 the gavel to you, sir.

2 DR. MALMUD: Thank you.

3 MR. ESSIG: We just started.

4 Dr. Williamson is running down through the points in
5 the June 21st version of the subcommittee's report.

6 DR. MALMUD: Yes, I heard.

7 MR. ESSIG: Okay.

8 DR. MALMUD: He's looking for a motion
9 or approval.

10 DR. WILLIAMSON: Well, I'm not sure in
11 Part A there's any action item in it or a motion to be
12 made. I think Part A could be considered as a whereas
13 component.

14 I was just asking if there were any
15 questions or concerns about Part A, which is really not
16 an action item in itself.

17 DR. MALMUD: None from Malmud.

18 DR. NAG: I suggest we go on to Part B,
19 and on this portion of Part B we go ahead and have the
20 voting and so on.

21 DR. WILLIAMSON: All right. Is the
22 preference of the ACMUI to through Part B point by
23 point?

24 DR. NAG: At least the main point. We
25 may not need to go through all the rationales, but

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1 let's go through the main point.

2 DR. MALMUD: Okay. Which one shall we
3 begin with?

4 DR. WILLIAMSON: Let's start with
5 point B1, which I can read. "For all permanent
6 implants, ME should be defined in terms of total source
7 strength and planted in the treatment site, not in
8 terms of absorbed dose." So I guess this is a motion,
9 maybe.

10 MR. LIETO: This is Ralph Lieto. I
11 second.

12 DR. MALMUD: It's been moved and
13 seconded. Is there any discussion? If not, may we
14 call the vote?

15 All in favor?

16 (Chorus of ayes)

17 DR. MALMUD: Any opposed?

18 DR. WILLIAMSON: All right, point 2, B2,
19 which is entitled, Treatment site accuracy, ME pathway.
20 "Specifically, the Medical Event Subcommittee
21 recommends that any implant in which the source
22 strength implanted in the treatment site deviates from
23 the written directive by more than 20 percent, in
24 either direction, should be classified as an ME." That
25 is another motion.

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1 DR. NAG: Could we add "total source
2 strength" rather than just "source strength"?

3 DR. WILLIAMSON: That is a good
4 correction, yes. Let's amend the motion as suggested
5 by Dr. Nag so it now will read, "Specifically, MESC
6 recommends that any implant in which the total source
7 strength implanted in the treatment site deviates from
8 the written directive by more than 20 percent, in
9 either direction, should be classified as an ME."

10 DR. NAG: I second the motion.

11 DR. MALMUD: All in favor?

12 (Chorus of ayes)

13 DR. MALMUD: Any opposed? Carries.

14 DR. WILLIAMSON: We move on to point B3
15 on page 3, Wrong site medical event pathway. "The
16 Medical Event Subcommittee recommends that the revised
17 wrong-site medical event criterion distinguish between
18 two scenarios: tissue or organs immediately adjacent to
19 the treatment site and organs that are distant from the
20 treatment site. For permanent implants, tissues that
21 are more than 2 to 4 centimeters from the treatment
22 site boundary can be considered distant as dose has
23 fallen to subtherapeutic levels."

24 I think it's necessary for me to at
25 least summarize the sub-bullets, 3a, d and c, because

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1 they are part of the motion. So for adjacent tissue
2 wrong-site medical event -- this is bullet B3a -- we
3 propose, implants in which more than 20 percent of the
4 source strength -- I guess it should be total source
5 strength -- documented in the pre-implantation written
6 directive implanted in tissue or organs adjacent to the
7 treatment site should be classified as ME. That's
8 point a.

9 Point B is entitled, Distant organ wrong
10 treatment site ME. For erroneous implantation of
11 radioactive seeds in an organ distant from the intended
12 treatment site, the Medical Event Subcommittee
13 recommends that such implants be classified as MEs if
14 1) seeds are actually implanted in a distant organ;
15 2) the dose of a distant organ exceeds 5 Rem; and
16 3) the excess dose to the organ is at least 50 percent
17 greater than the dose that would have been delivered
18 had the seeds been implanted in the correct tissue
19 volume.

20 Point C states, "For both adjacent and
21 distant wrong-site MEs, it is important to exclude
22 seeds that were correctly implanted but subsequently
23 migrated as grounds for an ME."

24 That is the end of the motion. I'm
25 sorry it's so long.

1 DR. NAG: Again, I second that motion.

2 DR. MALMUD: The motion's been moved by
3 Williamson; seconded by NAG. Any discussion?

4 DR. RAIZNER: Just a question. The
5 definition of the distance in this motion, it states 2
6 to 4 centimeters. How will you decide whether 3 is
7 outside the treatment site? In other words, giving a
8 range, is there an advantage to doing that or should we
9 define a specific distance, such as 4 centimeters?

10 DR. NAG: The reason we left that
11 somewhat vague is that it depends on the organ we are
12 implanting. For example, if the tissue adjacent to
13 that organ is not critical, I have no problem it being
14 defined as 4 centimeters; whereas, if the adjacent
15 tissue is something critical, like the rectum or
16 bladder, then we have to be a little tighter. So
17 that's we have that range of 2 to 4.

18 DR. RAIZNER: So will there be specific
19 distances for specific organs or left as the 2 to 4?

20 DR. WILLIAMSON: Remember, there's a
21 distinction being made between adjacent and distance.
22 Adjacent is not exactly defined, but the intent of the
23 subcommittee was an adjacent organ is organ that is in
24 contiguity or in contact with the treatment site. So
25 the rectum would be an adjacent organ. And,

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1 presumably, any part of the rectum -- whether it's 2 or
2 3 centimeters away -- would be considered an adjacent
3 organ.

4 What would be a good example of a tissue
5 that's not in contact with the prostate here that we
6 could use to illustrate a distant organ?

7 DR. NAG: The penis, for example, it
8 went down to the lower part. That's not really
9 adjacent because you have the bulb, and then if it goes
10 to the penile tissue, that would be a distant organ.

11 DR. WILLIAMSON: Yeah. I think, to be
12 honest, we really haven't crafted an exact definition.
13 A reasonable approach would be to say that a distant
14 organ is one whose closest boundary to the treatment
15 site perceives the less than 5 percent of the dose.
16 That would probably be a reasonable characterization
17 that we might be able to live with.

18 DR. VETTER: So who is left to interpret
19 whether 2 or 4 is appropriate in any given medical
20 event?

21 DR. NAG: I think this is where we can
22 leave it to the discretion of the medical person who is
23 going to be reviewing it. And that is why we gave that
24 2 to 4 centimeter leeway. If it is a critical organ,
25 we would take the lesser number, and if it's a less

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1 critical organ, we'll take the larger number.

2 But this gives some room for using some of the clinical
3 judgment, rather how important it is.

4 MR. LIETO: Dr. Nag and Dr. Williamson,
5 the concern that I'm getting is that if we are going to
6 turn this over to NRC staff to craft regulations from,
7 I think they're going to need sort of a cut-off level.
8 I don't know if we want to say 4 centimeters or 2
9 centimeters, or we want to say less than 5 percent of
10 the absorbed dose. I would defer to the two of you and
11 Dr. Diamond to maybe come up with the right cut-off
12 level. I can sympathize with Dr. Vetter and the other
13 that we probably are going to need to give guidance to
14 NRC staff if we want them to craft it in the
15 regulation.

16 DR. MALMUD: Is 3 centimeters a number
17 beyond which you think you would ever normally go?

18 DR. NAG: If we have to give only one
19 number, I'll go for 4 centimeters.

20 DR. WILLIAMSON: I guess this is a
21 situation where if you ask three people, we'll come up
22 with three different criteria. I would go for a
23 dose-based criterion. Perhaps, what we need to do is
24 accept that in the rule language that the staff
25 craft -- that we need to work on devising a single

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1 definition. I think a reasonable approach would be to,
2 off line, examine dose fall off versus distances, and
3 try to come up with something reasonable. Maybe at
4 this time, without doing a little thought and research
5 into the question, it might be difficult to come up
6 with a really good defensible criterion.

7 DR. MALMUD: Not being a radiotherapist,
8 I'm just asking a naive question. Is there ever a
9 situation in which you would accept going more than
10 3 centimeters away from the target?

11 DR. WILLIAMSON: Let me explain the
12 rationale of having to make this distinction. The
13 reason for wanting to distinguish between adjacent and
14 distant organs is that in adjacent organs, it is
15 frequently necessary to implant some small number of
16 seed, usually a small minority of the total number of
17 seeds implanted. The reason for doing this is the
18 medically legitimate need to provide adequate coverage
19 of the treatment site. So we wanted to give a fairly
20 generous criterion for that compartment of tissue,
21 which would allow implants to be performed. So there
22 the criterion's plus or minus 20 percent.

23 The distance site criterion is also
24 needed because there certainly have occurred situations
25 where the wrong side of the patient's body has been

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1 treated by an external beam field or the applicators,
2 or needles, have been erroneously inserted into the
3 wrong part of the patient's body. So in that
4 situation, we wanted a much tighter criterion because
5 it was felt that, giving 20 percent of a therapeutic
6 dose, even to a small volume, could be potentially
7 serious. So we wanted a tight criterion there.

8 It's kind of a balancing act. We could
9 make, for example, the distance very large, then there
10 would be no problem with physicians having the
11 flexibility to implant target volume using the hairy
12 target tissue as legitimate places. But then if some
13 wrong or critical organ lies 3 to 5 centimeters away
14 and there were an erroneous implantation, that kind of
15 medical event would escape this rule.

16 So it's a bit of a compromise, I guess. So if we
17 make it too far away, we undercount events. If we make
18 it too close, we would limit the flexibility of the
19 practitioner to perform good implants.

20 DR. MALMUD: I recognize that, but I'm
21 simply asking a follow-up question to the question that
22 stimulated this aspect of the discussion. And that is,
23 if the range and the recommendation is 2 to
24 4 centimeters, which is vague, does anyone think that
25 3 centimeters is a number beyond which we would

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1 consider something to be of this administration?

2 DR. NAG: Well, in the medical setting
3 we have to make this applicable to both the prostate
4 implant as well as permanent implant. But in other
5 organs where you don't have a boundary, and you may
6 have to over-implant, I prefer the 4 cm. I think 4 cm
7 I can live with.

8 DR. MALMUD: Looking again to try to
9 simplify this so that those who interpret regulations
10 will not be dealing with ambiguous numbers, is
11 4 centimeters a better number, rather than 2 to 4,
12 stating 4?

13 Q I'm not a clinician, obviously, but it
14 seems to me, considering the two sets of cases, 3 might
15 be a good compromise. I think 4 is a bit big for
16 prostate, and being the situation where you have a
17 reasonably, well-encapsulated target that can be
18 radiographically visualized.

19 In the post-operative setting, where
20 you're doing some implants without a tissue boundary,
21 already I think there's a warning in the bullet B2, I
22 guess, which says you're going to have to really
23 exercise some clinical discretion in imposing this rule
24 because there are some cases where there simply isn't
25 a well-defined boundary. So there's already, I think,

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1 enough give and take in the system that 3 cm would
2 work.

3 DR. DIAMOND: I concur with Dr.
4 Williamson. I think 3 centimeters would strike a
5 reasonable balance, particularly since we're primarily
6 dealing with prostate brachytherapy. Furthermore, I
7 agree with Dr. Raizner that by leaving it nebulous, set
8 between 2 cm, it's going to make it very difficult for
9 the NRC staff or the agreement state staff to have
10 guidance on this issue. So I think simply compromising
11 the 3 centimeters would yield a useful balance between
12 clarity of the rule and ability of staff to enforce.

13 DR. MALMUD: Thank you, Dr. Diamond. Is
14 that a recommendation to amend the motion to be
15 3 centimeters instead of 2 to 4?

16 DR. WILLIAMSON: I would accept that as
17 a friendly amendment to what I just read.

18 DR. MALMUD: So Dr. Diamond's amendment,
19 as a friendly amendment, is seconded by Dr. williamson.
20 Any further discussion?

21 DR. ZELAC: Dr. Malmud?

22 DR. MALMUD: Yes, sir? Who's speaking?

23 DR. ZELAC: This is Dr. Zelac.

24 DR. MALMUD: Dr. Zelac?

25 DR. ZELAC: One suggestion for a word,

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1 which I believe has been omitted from the text in
2 B(ii). It reads currently, "The dose of the distant
3 organ exceeds 50 REM." In parallel with B(iii), it
4 should read, "The excess dose of a distant organ
5 exceeds 50 REM."

6 DR. MALMUD: Dr. Zelac, thank you for
7 bringing that to our attention.

8 DR. WILLIAMSON: I think that's very
9 good, yes. So the excess dose. I will accept that as
10 a second friendly amendment.

11 DR. MALMUD: Dr. Williamson is in a
12 friendly mood. Are they both seconded by Dr. Diamond
13 and Dr. Nag?

14 DR. NAG: Yes.

15 DR. MALMUD: Thank you. Any other
16 discussion? All in favor?

17 (Chorus of ayes)

18 DR. MALMUD: Any opposed? The motion
19 carries unanimously again.

20 Thank you, Dr, Williamson. Will you
21 continue?

22 DR. WILLIAMSON: Yes. We now move on to
23 motion B4, located on the top of page 4.

24 "Given a source-strength-based ME
25 criterion, it is reasonable to require that the AU

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1 complete the written directive for a permanent implant
2 before the patient is released from licensee control."

3 MR. LIETO: This is Ralph Lieto. I
4 second.

5 DR. NAG: This is Dr. Nag. Again, I
6 know what we are trying to say, but we have already
7 written the directive before we started the implant.
8 So basically what we want to convey to the people I
9 think is that if anyone wants to revise the written
10 directive, the revision has to be done before the
11 patient is released from the licensee controls. I
12 don't know if that is made clear in what we have
13 written here.

14 DR. DIAMOND: Perhaps if we said
15 something like, given a source-strength-based medical
16 event criteria of 20 percent, it is reasonable to
17 require that the AU complete any revision to the
18 written directive for permanent implant for the patient
19 is released from licensee control.

20 DR. NAG: Right, right. I mean, that I
21 will agree to.

22 DR. WILLIAMSON: That was certainly one
23 of the intents. I think perhaps in phrasing it, or in
24 our original discussion, we were guilty of thinking in
25 terms of rule language rather than ordinary language

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1 because the way the current rule is written, it really
2 doesn't talk about revisions. It talks about
3 completing the written directive -- I've lost my train
4 of thought.

5 Would you repeat what your amendment was
6 to this?

7 DR. NAG: What we suggested was, is it
8 reasonable to require that the authorized user
9 completes any revisions to the written directives
10 before the implant, et cetera. Just add the word
11 "complete any revisions to the written directive."

12 DR. WILLIAMSON: Yeah, I've got that
13 here.

14 Dr. Zelac, is that clear to you, what
15 the meaning is?

16 DR. ZELAC: Yes, it is.

17 DR. WILLIAMSON: Okay. Well, then I
18 think that I'll read the amended motion.

19 "Given a source strength-based ME
20 criterion of 20 percent, it is reasonable to require
21 that the AU complete any revisions to the written
22 directive for permanent implants before the patient is
23 released from licensee control."

24 UNIDENTIFIED SPEAKER: I would second
25 that revision.

1 DR. MALMUD: The motion's been moved and
2 seconded. The revision, all in favor?

3 (Chorus of ayes)

4 DR. MALMUD: Any opposed? All right.
5 Unanimously again, Dr. Williamson.

6 DR. WILLIAMSON: Okay. Item number 5 on
7 page 4. Dose-based medical event pathway for permanent
8 implants. "In addition to incorporating the
9 activity-based, medical event pathway, described above
10 into Part 35, the Medical Event Subcommittee recommends
11 retaining a limited dose-based medical event criterion.
12 An implant is a medical event if the dose calculations
13 used to determine these total source strength
14 documented in the written directive are in error by
15 more than 20 percent."

16 DR. MALMUD: Are you seeking comments,
17 Dr. Williamson?

18 DR. WILLIAMSON: Yes, this is a motion.
19 I guess I first seek a second.

20 DR. MALMUD: Is there a second to
21 Dr. Williamson's motion?

22 DR. NAG: Dr. Nag seconds the motion.

23 DR. MALMUD: Thank you.

24 DR. WILLIAMSON: Okay. And now comments
25 and discussion.

1 MS. FAIROBENT: Dr. Malmud, this is
2 Lynne Fairobent.

3 DR. MALMUD: Yes?

4 MS. FAIROBENT: I have a question on
5 this, and also I guess on the one above it. When
6 you're saying by more than 20 percent, I'm assuming in
7 all these cases you're talking 20 percent in either
8 direction.

9 DR. NAG: Yes.

10 DR. WILLIAMSON: Yes.

11 DR. MALMUD: That is correct.

12 DR. NAG: We can add that in by more
13 than 20 percent in either direction.

14 DR. WILLIAMSON: I think that is a good
15 idea. The last sentence of the amended motion now
16 reads, "An implant is a medical event if the dose
17 calculations used to determine the source strength
18 documented in the written directive are in error by
19 more than 20 percent in either direction."

20 DR. MALMUD: Thank you.

21 DR. NAG: I think the examples that
22 Dr. Williamson has given serve to clarify exactly what
23 we mean.

24 DR. MALMUD: Thank you, Dr. Nag.

25 MS. SCHWARZ: I have a question also in

1 regard to what Lynne Fairbent suggested. Is number 4
2 also being modified to account for 20 percent in either
3 direction?

4 DR. WILLIAMSON: Point number 4 makes
5 reference to point G2, where it is clearly specified.
6 This is on page 2 that it's in either direction. So
7 number 4 is not meant to be a stand-alone statement of
8 the source-strength-based ME criteria. It's merely
9 saying that the source strength; that the ME criterion
10 of B2, given that criterion, it is reasonable to
11 require that the AU complete any revisions to the
12 written directive.

13 DR. NAG: Actually, for number 4, even
14 if you are given a source strength-based medical event
15 criteria, you don't even need to put the 20 percent.
16 The sentence would still be very appropriate.

17 DR. WILLIAMSON: Well, with a criterion
18 of 5 percent, it might actually be very difficult. But
19 given the criterion in point 2, it is a reasonable
20 additional requirement.

21 MR. LIETO: Just a point of
22 clarification. To answer Sally's question that the
23 intent was that the 20 percent applies in either
24 direction, I think that's correct.

25 DR. WILLIAMSON: Yes, that is the intent

1 that's clearly stated in bullet 2.

2 DR. NAG: Can we go into a vote?

3 DR. MALMUD: Yes. Jeff Williamson?

4 DR. WILLIAMSON: Yes?

5 DR. MALMUD: That is a motion for a
6 vote, right?

7 DR. WILLIAMSON: Correct. It's been
8 seconded.

9 DR. MALMUD: And it's been seconded.
10 All in favor?

11 (Chorus of ayes)

12

13 DR. MALMUD: Any opposed? Carries.
14 Next?

15 DR. WILLIAMSON: Okay. We have now
16 completed, basically, the approval of the Medical Event
17 Subcommittee proposed revised approach to medical
18 events. We now go to Part C, which is risk
19 communication, which, I'll remind everybody, was one of
20 the charges that the Commission gave us in pursuing
21 this activity in their staff requirements memorandum
22 following our briefing with them in 2004.

23 It starts out with a problem definition,
24 which is point 1. I don't know if I need to repeat
25 this. I'll just ask if there are any concerns, if

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1 anyone on the ACMUI feels that we have not properly
2 characterized the problem of risk communication.

3 DR. NAG: Jeff, would you just summarize
4 in one sentence what you are trying to sell in that
5 paragraph? I think that's all we need.

6 DR. WILLIAMSON: You've really put a
7 challenge in front of me. Okay. Well, I think the
8 major point of this paragraph is that the process of
9 investigating an enforcement that follows the report of
10 a medical event is viewed by the regulated community as
11 being very punitive in itself because of the way the
12 reporting rule is written and the associated
13 procedures. This is the essential concern. The
14 concept being pushed is that NRC ought to look at the
15 way medical events are defined and the enforcement
16 procedures that are associated with their
17 investigation, and try within their framework to make
18 it as much like the industry standard as possible.
19 That's my summary of problem definitions.

20 Does anyone feel it's inaccurate or
21 requires further clarification?

22 DR. NAG: I think it's okay the way it
23 reads now.

24 DR. MALMUD: With the approval of
25 Dr. Nag, does anybody else have an opinion?

1 MR. ESSIG: Dr. Malmud, this is Tom
2 Essig. I just have a clarifying question.

3 DR. MALMUD: Yes?

4 MR. ESSIG: Under problem definition,
5 item c, where it talks about reactive IT inspections,
6 I'm not sure what reactive IT inspections are.

7 DR. WILLIAMSON: I thought IT was when
8 you send a team of investigators the next day after
9 someone revokes --

10 MR. ESSIG: Okay. That's incident
11 investigation team, which is the highest level of
12 investigation the agency does. For example, in 1992,
13 when we had the Indiana-Pennsylvania medical event,
14 where the patient died due to radiation exposure, that
15 was an IT. Those are very rare occurrences. We are
16 not proposing handling medical events in all cases.
17 Only a very small subset of them would ever become an
18 IT.

19 DR. WILLIAMSON: Well, I believe that
20 Washington University, one that gave 50 milli REM to a
21 thigh of a patient, where I was involved, was handled
22 in that way. Yes, that's right.

23 Would you recommend that I just delete
24 the word, the qualifier, IT? Tom, would you recommend
25 I just delete IT?

1 MR. ESSIG: Yes.

2 DR. WILLIAMSON: I think that's fine.

3 MR. ESSIG: Because, in general, we do
4 say reactive inspections, which can be reactive to
5 anything, not only medical events, but other types of
6 items that the licensees report.

7 DR. WILLIAMSON: Okay.

8 Any more questions, or should we move
9 on?

10 MR. ESSIG: One further comment. On
11 that same line, "in the same way as potential nuclear
12 reactor disasters," it's probably --

13 DR. WILLIAMSON: Hyperbole.

14 MR. ESSIG: Yeah.

15 DR. WILLIAMSON: All right. I have no
16 problem deleting the phrase, "in the same way as
17 potential nuclear reactor disasters."

18 MR. ESSIG: Okay, thank you.

19 DR. WILLIAMSON: Does anyone on the
20 subcommittee have an objection to deleting that
21 hyperbolic phrase?

22 MR. LIETO: I don't mind replacing the
23 word "disaster" maybe with "problems," but I think we
24 do need to keep the comparison, in terms of reporting
25 mechanism, between medical scenarios and reactor

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1 concerns being handled in the same way. That needs to
2 be I think referenced in the document.

3 DR. WILLIAMSON: Okay. Well, I think,
4 Tom, there was a valid intent trying to be expressed
5 here. What this is, is a piece of feedback from the
6 community more or less. Often we tend to, in our
7 experience, find that the NRC reaction is way out of
8 proportion sometimes to the significance of the event.
9 And that, in a sense, if part of the regulated
10 community's perception of medical event enforcement and
11 management being punitive.

12 MR. ESSIG: What I would suggest here is
13 rather than make the linkage to a nuclear power plant,
14 there are numerous other analogies one could draw from
15 what I would call the materials license arena, where
16 many times we have reported to our operations center
17 events involving a sealed source, mismanagement of seal
18 source, loss seal source, exposure of an individual,
19 that sort of thing, outside of the medical community.
20 These are industrial radiography and numerous other
21 sealed sources that are used by materials licensees.
22 So I think a comparison with that would be fair, would
23 be a better comparison.

24 MR. LIETO: Tom, I think the issue is
25 not so much the comparison as to the reporting, where

1 this gets reported to and how this gets into the public
2 venue.

3 MR. ESSIG: Well, no, I'm talking about
4 the same thing. The radiography events that are
5 reported to the operation center end up in the public
6 domain just like medical events do. That's why I
7 thought that was an appropriate comparison.

8 DR. WILLIAMSON: I tend to agree with
9 Ralph. We're trying to bring something to your
10 attention that is a subjective reaction on the part of
11 a community. This is really how it seems.

12 MR. ESSIG: Okay. If that's your
13 perception, I can't argue with perception.

14 DR. WILLIAMSON: I think disasters is
15 hyperbolizing a bit.

16 DR. NAG: I would say as eventual
17 nuclear reactor accidents.

18 DR. WILLIAMSON: Yeah, maybe that's a
19 better way.

20 DR. BAILEY: This is Ed Bailey. I just
21 got on the line.

22 DR. MALMUD: There are two things.
23 Number one, would you please use your name before you
24 speak since the stenographer is having difficulty
25 keeping up. The second one is that someone has some

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1 papers that are rattling near a microphone that are
2 causing a bit of interference. Thank you. Please go
3 on.

4 DR. WILLIAMSON: Maybe this is a
5 reasonable middle ground in the same way as nuclear
6 reactor accidents.

7 MR. ESSIG: Would you settle for event,
8 nuclear reactor events? I mean, an accident is pretty
9 serious. That implies we've had a potential core
10 damage event, major releases to the environment, like
11 a Three Mile Island. That was an accident. So I think
12 event might be a better perspective.

13 DR. MALMUD: Mr. Essig, I am in favor of
14 accepting your recommendation, since you have much more
15 day-to-day interaction with the terminology than we do.

16 MR. ESSIG: I mean, I'm suggesting that,
17 but yet it's being presented as a view from the user
18 community. I'm not trying to direct what that
19 perception ought to be.

20 DR. MALMUD: Oh, I understand.

21 DR. WILLIAMSON: I propose we rephrase
22 it as this: "Reactive inspections are perceived by the
23 regulated community," or "Reactive inspections,
24 following medical events, are perceived by the
25 community to be handled in the same way as potential

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1 nuclear reactor events, which jeopardize the health of
2 large numbers of individuals." Maybe that gets the
3 point across.

4 What do you think, Ralph?

5 MR. LIETO: I think just simply saying
6 nuclear reactor events, period, would be good, Jeff.

7 DR. WILLIAMSON: All right. Well, if
8 everyone agrees on that, that's fine with me.

9 MR. ESSIG: Fine with me.

10 DR. WILLIAMSON: Okay. Any more
11 concerns with this paragraph?

12 DR. MALMUD: Apparently none,
13 Dr. Williamson.

14 DR. WILLIAMSON: We will move on, then,
15 to point C2, which is a recommendation. I'll read it.

16 "The role of the 10 CFR 35.3045 medical
17 event reporting rule as a technical quality performance
18 indicator should be decoupled from its use as a patient
19 harm index. To this end, the patient reporting
20 requirement 35.3045(e) should be amended to require
21 informing the patient and/or friends and relatives only
22 if the licensee determines that the medical event may
23 have harmed the patient, could potentially harm the
24 patient, or is materially relevant to the patient's
25 future medical treatment decision."

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1 DR. NAG: Seconded.

2 DR. MALMUD: It's been moved and
3 seconded. Any discussion?

4 DR. ZELAC: Dr. Malmud?

5 DR. MALMUD: Yes?

6 DR. ZELAC: This is Dr. Zelac. I simply
7 wanted to point out that in earlier considerations of
8 this issue by the Commission, it says here in the
9 Federal Register as parts of statements of
10 consideration, "The Commission's position has been, and
11 perhaps still continues to be, that if individuals are
12 identified in records of agencies, that those
13 individuals know of it." This is a mechanism for being
14 sure that an occurrence involving a person who was
15 being written up in an agency record, that that
16 individual was aware of that fact, and that fact alone.
17 If there was potential for harm or actual harm, that
18 would, of course, be part of it, but that wasn't the
19 underlying reason.

20 DR. MALMUD: Thank you for that
21 clarification.

22 Dr. Williamson?

23 DR. WILLIAMSON: I think our response
24 would be that the identity of the individual is not
25 supposed to be contained in any agency record.

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1 DR. MALMUD: Dr. Zelac, does that assist
2 you?

3 DR. ZELAC: I'm simply bringing the
4 Commission's perspective to your attention.

5 DR. MALMUD: Thank you, Dr. Zelac.

6 DR. WILLIAMSON: I would comment that I
7 think that the subcommittee members are aware of the
8 Commission's basis for rejecting this the last time
9 around, which was about three or four years ago. But
10 the dilemma that the reporting rule places the
11 physician in is one of the aspects of the medical event
12 reporting system that is viewed as punitive, namely the
13 dilemma being a contradiction between what is medically
14 best for the patient and maintaining privacy of the
15 patient, the medical information. The rule can place
16 you in a bind where you have to violate one or the
17 other.

18 DR. MALMUD: Thank you, Dr. Williamson.

19 With the history given by Dr. Zelac, can
20 we move forward?

21 DR. WILLIAMSON: Yes.

22 DR. MALMUD: I think the ball is in your
23 court, Dr. Williamson.

24 DR. WILLIAMSON: Okay. Well, I think we
25 have a second for the motion, so we need to call for a

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1 vote.

2 DR. MALMUD: All right. All in favor of
3 the motion?

4 (Chorus of ayes)

5 DR. MALMUD: Any opposed? Motion
6 carries unanimously.

7 DR. WILLIAMSON: Point 3. This is a
8 general recommendation; it's not very specific. "The
9 subcommittee recommends that NRC staff tries to make
10 the ME reporting and subsequent enforcement processing
11 more like that of the regulated community's own QA
12 practice of follow up and QA process review that occurs
13 following detection of a delivery error or potential
14 error."

15 We further comment, "Comprehensive
16 institutional QA programs are based upon three broad
17 principles: simply making an error is not grounds for
18 disciplinary action; institutional QA findings and
19 deliberations are not discoverable and cannot be used
20 to increase its liability; error reports are inputs
21 through a systematic effort for improving planning,
22 delivery, safety, QA, and documentation processing."

23 This is recommended as a general,
24 philosophical guidance statement that should be used to
25 fine tune policy operating procedures, NRC operating

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1 procedures.

2 DR. NAG: Again, I second the motion.

3 DR. BAILEY: I have some concerns about
4 whether or not this can simply make this information
5 non-discoverable, and I can also state that during an
6 investigation someone might use it and say it's not
7 discoverable.

8 DR. MALMUD: Who's speaking, please?

9 DR. BAILEY: Ed Bailey.

10 DR. MALMUD: Thank you. Would you
11 repeat your concerns?

12 DR. BAILEY: Yes. I don't know whether
13 simply saying it's non-discoverable, number one, makes
14 it not discoverable. Number two, having a statement
15 like that could lead an institution to say that they
16 would not provide that information to an investigator.

17 DR. WILLIAMSON: Well, I think the
18 implication of this principle, the rationale of stating
19 this is that QA procedures work effectively with any
20 institution because they're not punished for having
21 them. If you create a situation where every time you
22 make an error, you're going to be severely punished as
23 an institution, you erode the incentive for
24 institutions to go to lengths to detect these errors
25 and correct them. We believe that this is the position

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1 that NRC has placed institutions in with respect to ME
2 reporting.

3 DR. DIAMOND: Jeff, I understand what
4 you're saying, but I also just want to agree with
5 Dr. Bailey that with respect to C3(b), unfortunately,
6 in many states, institutional QA findings and
7 deliberations are discoverable. So even though that
8 may be your intent and the spirit, that carries no
9 legal weight.

10 DR. WILLIAMSON: Yeah, I understand. It
11 isn't the specific recommendation that NRC do anything,
12 but it's kind of a guiding principle.

13 DR. DIAMOND: Yes, and I concur with
14 that spirit. Again, I'm not a lawyer, but I can just
15 tell you that with several states, including the state
16 of Florida, indeed, with institutional QA committees,
17 that is now all discoverable, and it's basically
18 causing hospitals across the state to do away with
19 quality assurance committees.

20 DR. WILLIAMSON: I understand what
21 you're saying. I think the implication is not that
22 these procedures in the private sector are absolutely
23 not discoverable, but to the extent that they are
24 shielded from discovery, QA functions more effectively.

25 MR. LIETO: I think from the

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1 subcommittee's perspective, I think our intent was that
2 we wanted to separate what was being reported versus
3 what would be available upon review by inspectors in
4 the course of a normal audit or follow through of a
5 medical event report. So by putting something into an
6 urgent 24-hour reporting mechanism that immediately
7 goes out into a web site and so forth, as opposed to
8 conducting an investigation and a "QA follow-up
9 mechanism," that would be available for inspection. We
10 wanted to distinguish between the two.

11 DR. WILLIAMSON: We're going to cover
12 all of the points Ralph just made when we come to
13 item 4. I'll just point that out. I would suggest
14 deferring some of the points until then.

15 I have a proposal of how to clarify 3a,
16 b, and c. I think that maybe what I should do is
17 restate them as principles rather than as absolute
18 statements of fact. In fact, if an employee makes lots
19 and lots or errors, they may be subject, eventually, to
20 disciplinary action. So it's not meant to be a
21 statement of fact that says a hundred percent of the
22 time when an error is made by some employee, the
23 employee is never disciplined. The point here is to
24 articulate a principle that you avoid punishing
25 employees and staff for reporting errors because you

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1 want to encourage the process. I could go through a,
2 b, and c and convert them to principles language rather
3 than statement of fact language, and I think that would
4 address the issue that Mr. Bailey has raised.

5 DR. MALMUD: Any further comments?

6 DR. WILLIAMSON: But I don't think I
7 could do that on line in 30 seconds.

8 DR. MALMUD: Okay. Dr. Williamson?

9 DR. WILLIAMSON: Yes?

10 DR. MALMUD: Where are we now?

11 DR. WILLIAMSON: So I guess we have an
12 amended proposal point 3 -- there are three points, a,
13 b, and c -- so as to make the subpoint a, b, and c read
14 as principles rather than as statements of facts.

15 DR. MALMUD: Does the committee agree
16 with that?

17 MR. LIETO: Mr. Chair? This is Ralph
18 Lieto.

19 DR. MALMUD: Yes, Ralph?

20 MR. LIETO: Jeff, would you accept if we
21 made the part of 3, starting with comprehensive
22 institution of QA programs a, b, and c as a rationale,
23 and the recommendation would be the first sentence?
24 Does that make sense?

25 DR. WILLIAMSON: Yeah, the

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1 recommendation is the first sentence, in fact, and the
2 sort of body of what's being recommended is contained
3 in the last sentence of the full paragraph 3, plus the
4 points a, b, c. But I do understand Mr. Bailey's point
5 that it sounds like a, b, and c are factual claims
6 rather than principles, so I have no problem rewriting
7 them to be more clear in that regard.

8 DR. MALMUD: Once again, Ralph Lieto and
9 Jeff Williamson, what is your recommendation to the
10 committee?

11 MR. LIETO: I would maybe amend the
12 motion to just reflect that our action item, if you
13 will, is just the first sentence of item 3.

14 DR. MALMUD: Is that agreeable with you,
15 Dr. Williamson?

16 DR. WILLIAMSON: Yeah, that's fine.

17 DR. MALMUD: So that's an amended
18 motion.

19 DR. WILLIAMSON: I won't delete the
20 material; I'll just rephrase the material.

21 DR. MALMUD: Any further discussion of
22 that motion? And it's second by Mr. Lieto? If not,
23 all in favor?

24 (Chorus of ayes)

25 DR. MALMUD: Any opposed? No

1 opposition. Okay, it carries.

2 DR. WILLIAMSON: All right. Now we come
3 to point 4a. As we get deeper and deeper into this
4 document, the proposals are less and less defined, so
5 it may be appropriate for the ACMUI actually to have
6 some substantive discussion on these issues. I'll just
7 point that out. But I will read point 4a as a motion.

8 "To the extent possible, NRC's ME
9 reporting and follow-up procedures should be designed
10 so as to minimize licensee liability. Keeping ME
11 reports, or at least the licensee's identity out of
12 the public record is probably the most single useful
13 improvement NRC could make in this regard."

14 DR. NAG: When we have our QA meetings,
15 we bring out all the possible problems because they are
16 not discoverable, and our QA meetings, we are shielded,
17 and, therefore, we bring out not only the problem but
18 how they can be solved, and that leads to improvement
19 in the treatment of the patient. If the report can be
20 seen by everybody, that causes embarrassment, and you
21 are less likely to self-report. One of the premier
22 points about self-reporting is that by self-reporting
23 you should not be discriminated.

24 DR. WILLIAMSON: Can you rephrase that,
25 please, Dr. Nag?

1 DR. NAG: Oh. One of the principles of
2 self-reporting is that by self-reporting, you should
3 not be penalized. Therefore, having the identity out
4 is going to be really important. And we want to convey
5 that to the NRC.

6 DR. WILLIAMSON: Are you agreeing?

7 DR. NAG: Yes. I'm agreeing with this,
8 but I'm explaining why we wrote that sentence.

9 DR. RAIZNER: Can I make a suggestion on
10 that sentence? The way it reads, "designed so as to
11 minimize licensee liability," sounds somewhat
12 self-serving and maybe inappropriately so. But if it
13 were phrased, "designed so as not to increase licensee
14 liability," that would convey the point that I think
15 you're trying to make, without making it look
16 self-serving.

17 DR. WILLIAMSON: I agree.

18 DR. BAILEY: Would you mind rephrasing
19 that? You were breaking up when you were saying that.

20 DR. WILLIAMSON: Okay. Here is the
21 proposed revision by Dr. Raizner, of 4a.

22 "To the extent possible, NRC's ME
23 reporting and follow-up procedures should be designed
24 so as not to increase licensee's liability."

25 DR. MALMUD: Good. Is that clear?

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1 DR. BAILEY: It's clear to me.

2 DR. MALMUD: Is that acceptable?

3 DR. BAILEY: Yes.

4 DR. MALMUD: All in favor?

5 (Chorus of ayes)

6 DR. MALMUD: Any opposed? It carries.

7 Dr. Williamson?

8 DR. WILLIAMSON: Okay. Proposal 4b.

9 "NRC should develop a more nuanced and
10 graded enforcement response process that ties the
11 intensity and immediacy of its enforcement response to
12 the risk to the individual patient and the public
13 health implications of the event. For example, for
14 relatively minor MEs, where public health and safety is
15 not in question, NRC could hold off on reactive
16 inspections of the licensee, pending a satisfactory
17 investigation and quality improvement response on the
18 part of the licensee. Thus, MESC recommends that NRC
19 manage minor MEs much like reportable events in the old
20 Part 35."

21 So the basic idea is if you do a good
22 job investigating, and following up, and introducing
23 corrective action in the wake of a medical event, you
24 won't necessarily have the wrath of the regulatory
25 agency visited upon you.

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1 DR. BAILEY: I would ask NRC, if they
2 don't already, in fact, do that. I don't think they go
3 out on every ME that's reported.

4 MR. ESSIG: Yeah, you're correct, Ed,
5 because it's a question of resources. We don't have
6 unlimited resources to go out on every medical event,
7 so we minimize the number of reactive inspections that
8 we need to go on to those that I would call more
9 significant and more egregious.

10 DR. WILLIAMSON: Well, that's good.
11 Then this is a very easy recommendation to carry out.
12 And it was the intent that this be dealt with at the
13 level of enforcement policy rather than creating a more
14 complex reporting rule, like the old rule, which had
15 recordable events and mis-administrations. We didn't
16 mean to imply that should be done.

17 DR. MALMUD: Okay. Do we still need 4b?

18 DR. WILLIAMSON: I think it's useful.

19 DR. NAG: I think we ought to agree on
20 it. We can vote upon it.

21 MS. SCHWARZ? Jeff, can I make a
22 suggestion? Instead of saying "hold off on reactive
23 inspections," could you just say "minimize"?

24 DR. WILLIAMSON: Yes, I think so.

25 DR. MALMUD: All right. We have 4b.

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1 MR. ESSIG: Dr. Malmud?

2 DR. MALMUD: Yes?

3 MR. ESSIG: We are talking off line, and
4 what I just said regarding our reaction to medical
5 events may not be totally correct. We need to check
6 the inspection manual. It might be that there is a
7 requirement that we, in fact, have a reactive
8 inspection to each medical event. I think the
9 recommendation, though, is one that we could certainly
10 accept as a recommendation. But I just wanted to
11 clarify for the record that my statement that I made
12 earlier, in response to Mr. Bailey's comment, may not
13 have been totally correct.

14 DR. MALMUD: Thank you, Mr. Essig.

15 So the motion for 4b has been moved by
16 Williamson, seconded, and is now open for discussion,
17 if there is any more discussion.

18 DR. WILLIAMSON: Yes. It's been amended
19 by Sally Schwarz to replace the word "hold off" by
20 "minimize".

21 DR. MALMUD: Yes.

22 MR. ESSIG: I must tell you, as a matter
23 of usage, I would be more enthusiastic about this if we
24 said that "the NRC is encouraged to develop a graded ME
25 enforcement response process" rather than the wording

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1 that we've used.

2 MR. LIETO: Mr. Chair, I was just going
3 to make the same comment. I think the term "more
4 nuanced" might not be very clear to us non-Readers
5 Digest aficionados. If we could maybe just use your
6 terminology, I would accept that.

7 DR. MALMUD: If I may, I'll make a
8 motion to amend, which would say that "the NRC is
9 encouraged to develop a graded ME enforcement
10 response," et cetera.

11 MR. ESSIG: Dr. Malmud, just one
12 clarification. The current enforcement process is
13 already graded in the very real sense. What may not be
14 graded, and I think the point that's being made here,
15 is the reactive inspection.

16 DR. WILLIAMSON: Okay. Then the phrase,
17 "more graded" actually makes sense in relation to
18 current policy.

19 DR. WILLIAMSON: So if it reads, "NRC is
20 encouraged to develop a more graded ME enforcement
21 process that ties the intensity and immediacy of the
22 enforcement response to" --

23 MR. ESSIG: It's not the enforcement
24 response, though. The enforcement action is considered
25 separately as part of the inspection, and the

1 enforcement is already tied to the intensity, and the
2 immediacy, and so on. What isn't tied to that is the
3 inspection itself and whether or not to go on a
4 reactive inspection.

5 DR. WILLIAMSON: Okay. Should I replace
6 the word "enforcement" with "inspection response"?

7 MR. ESSIG: Yes.

8 DR. MALMUD: So Williamson asked the
9 question, and Essig gave the answer, which was yes.

10 DR. WILLIAMSON: All right. So now let
11 me read the first sentence of the amended motion.

12 "NRC is encouraged to develop a more
13 graded ME enforcement response that ties the intensity
14 and immediacy of the inspection response to the risk to
15 the individual patient and public health implications
16 of the event."

17 DR. MALMUD: Okay. Mr. Essig, does that
18 sound more in line with what you would hope for?

19 DR. HOWE: This is Dr. Howe. You needed
20 to replace "enforcement" at the beginning of the
21 sentence with "inspection", "the immediacy of its
22 inspection response."

23 DR. MALMUD: Thank you, Dr. Howe.

24 DR. HOWE: And then, "the graded ME
25 inspection response." So every time you have

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1 "enforcement," say "inspection."

2 DR. WILLIAMSON: All right. What I'll
3 do is I'll delete the first occurrence of
4 "enforcement," since I think it's redundant. We
5 already have
6 "inspection response." So I'll say, "NRC is
7 encouraged to develop a more graded ME response process
8 that ties the intensity and immediacy of its inspection
9 response to the risk of," et cetera.

10 DR. MALMUD: Dr. Howe?

11 DR. HOWE: Much better.

12 DR. MALMUD: Thank you, Dr. Howe. Thank
13 you, Dr. Williamson.

14 We now have a multiply-amended
15 statement, which has been read to us by Dr. Williamson,
16 and I assume it's been seconded. Any further
17 discussion of it? If not, all in favor?

18 (Chorus of ayes)

19 DR. MALMUD: Any opposed? It carries
20 unanimously again. Thank you. That's item 4b on
21 page 6.

22 We are now left with item 4c on page 7.
23 Dr. Williamson?

24 DR. WILLIAMSON: Yes. I will read the
25 proposed motion.

1 "Change the 24-hour operation center
2 reporting procedure. Minor medical events having
3 little potential for harm, to either the patient
4 involved or the general public, seem to be equated with
5 nuclear reactor events which have the potential to harm
6 entire populations." This is one that maybe needs to
7 be a little more specific, so I entertain the ACMUI's
8 suggestions, once it's seconded.

9 DR. MALMUD: Is there a second? Do we
10 have a second?

11 DR. NAG: Yes, Dr. Nag seconds.

12 DR. MALMUD: Dr. Nag seconds. Now, is
13 there any further discussion?

14 DR. WILLIAMSON: Ralph, this was your
15 proposal.

16 MR. ESSIG: The point I wanted to make
17 is that there's a very broad spectrum of events that
18 are reported to our operations center, ranging from
19 those that have very little dose to consequence. For
20 example, moisture density gauge that is used during
21 construction of highways gets run over by a bulldozer.
22 The gauge is not even particularly damaged. I mean,
23 the source is still in tact, but the gauge is unusable.
24 That gets reported to our operations center quite
25 often. So it's events like that that we need to be

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1 aware of that could possibly impact source integrity,
2 source misuse, loss sources; a broad spectrum of
3 events that are associated with that.

4 If we didn't have it reported to the
5 operations center, we basically have no other place to
6 report it other than a written report, which is our
7 other option. But reporting to the operations center
8 enables us to keep on top of events. We have a daily
9 discussion of events with our original offices, and our
10 management is briefed here. The events are always put
11 in perspective in terms of their significance, if it
12 involves exposure to an individual, or a loss source,
13 or whatever it may involve. So I think to consciously
14 delete a source of information on events, the committee
15 is certainly free to make that recommendation if it
16 chooses. But I'm just suggesting that it's one that we
17 could not use.

18 DR. WILLIAMSON: The intent is not to
19 suggest that the events not be reported to NRC in one
20 form or the other. If you accept that a reasonable
21 goal is to try to encourage licensees to participate in
22 a more positive way that buys in to NRC's effort to
23 quantitate these events -- this is one of the issues;
24 that having to report it within 24 hours by telephone,
25 before you've done a full investigation and so forth,

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1 definitely seems like the message to the licensee is
2 that the event is being elevated in significance,
3 public health significance, far beyond what is usually
4 the case.

5 MR. LIETO: I would underscore Jeff's
6 statement with the 24-hour reporting. Secondly, I
7 don't know of any non-criminal situation, any type of
8 medical situation to be reported with such urgency to
9 such a public reporting mechanism in the practice of
10 medicine. So why are we having to be held at such a
11 high level -- that no other event, in the practice of
12 medicine -- regarding these situations. Again, we're
13 not saying they don't have to be reported, but I think
14 the public reporting in such a short time is really
15 uncalled for.

16 DR. NAG: I think it's the same basis as
17 external beam. We had the same level, more than
18 20 percent difference. The urgency is not that if that
19 patient was treated by external beam.

20 DR. MALMUD: Dr. Nag, are you concurring
21 or disagreeing?

22 DR. NAG: I'm saying that I agree with
23 both Dr. Williamson and Dr. Lieto that there should not
24 be a 24-hour rule. There's really no need for a
25 24-hour rule. The magnitude is not that huge.

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1 DR. WILLIAMSON: Maybe we need to give
2 some more positive suggestion of what should be done in
3 lieu of the 24-hour oral reporting procedure. Perhaps
4 we should say "a written report within seven days."

5 DR. NAG: Yeah, within a week was what
6 I was thinking.

7 DR. WILLIAMSON: "A written report
8 within seven days."

9 DR. BAILEY: My only problem with that
10 suggestion as a comment is the use of the word "minor
11 ME," without that being defined really. So it's all in
12 the eye of the beholder what a minor ME is as opposed
13 to a major one.

14 DR. WILLIAMSON: I guess that's a good
15 point. How about deleting the word "minor," and just
16 "MEs in general should be reported within seven days"?

17 DR. NAG: I would go for that.

18 DR. MALMUD: Jeff, are you amending your
19 statement under c?

20 DR. WILLIAMSON: Well, I guess I'm
21 suggesting that I could if it's met with support from
22 the committee.

23 MS. SCHWARZ: I have a question in
24 regard to external beam, what would be the requirement
25 for reporting on external beam.

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1 DR. WILLIAMSON: Is that Sally?

2 MS. SCHWARZ: Yes, this is Sally
3 Schwarz.

4 DR. WILLIAMSON: At this time, unless
5 it's Cobalt-60 teletherapy or gamma stereotactic,
6 there's no requirement from NRC to report external beam
7 at all. I think the agreement states, often would have
8 parallel reporting requirements, and probably
9 mechanisms to NRC. Maybe Mr. Bailey could comment.

10 DR. BAILEY: Yes. Many states have
11 adopted similar reporting requirements for any type of
12 therapy procedure, whether it be external beam or not.
13 In fact, I think we've had some of the more serious
14 ones occur when the machine produced external beams.

15 DR. VETTER: As I understand the history
16 of this, I think the intent was that any medical event
17 that causes or could cause a major health effect or
18 death in a patient needs to be reported immediately so
19 that the NRC can get a medical consultant on site
20 rather quickly. Is that not the case?

21 DR. MALMUD: The question is addressed
22 to a member of NRC staff?

23 DR. VETTER: Correct.

24 MR. ESSIG: Dr. Vetter, this is Tom
25 Essig. I believe that's the case. What you've said is

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1 true, unless the other energy staff around the table
2 have any additional comments.

3 DR. HOWE: I think the intent certainly
4 is to have the very severe ones reported immediately so
5 we can get a consultant out there right way. But I
6 don't think we have a limit that it's just the severe
7 ones that have to be reported immediately. I don't
8 know if that's ever been the direction of the
9 Commission, that we just report immediately.

10 DR. RAIZNER: Can I make a suggestion on
11 phrasing this recommendation? To provide, "MEs having
12 little potential for harm, to either the patient
13 involved or the general public, may be reported within
14 seven days," and just leave it at that. That would
15 separate out what is being called here minor MEs from
16 the current policy. I think it would be assumed that
17 major, catastrophic MEs would be reported within
18 24 hours as is currently required.

19 DR. WILLIAMSON: I think that's a very
20 reasonable proposal because we have actually invoked
21 the criterion of major and minor, and defined it in the
22 previous paragraph. I'll point out here that in
23 paragraph C2, we basically say that reporting
24 requirement 35.3045(e) should be different, depending
25 upon whether the ME has harmed the patient, could

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1 potentially harm the patient, or is materially relevant
2 to the future management of the patient. So that's a
3 criterion that's already been articulated in this
4 document, so we could just invoke that criterion as
5 suggested by Dr. Raizner.

6 We could leave, perhaps, the first
7 sentence and simply add a sentence saying, "MEs that
8 the licensee has determined have not harmed the
9 patient, could potentially harm the patient, or are
10 materially relevant to the patient's future medical
11 treatment decisions, need not be reported orally to the
12 24-hour operation center, but may be communicated by a
13 written report within seven days."

14 DR. MALMUD: Is there a second to that?
15 That's a revision of c. Is there a second to
16 Dr. Williamson's last statement?

17 DR. NAG: Dr. Nag seconds.

18 DR. MALMUD: Dr. Nag seconds. Any
19 further discussion? If none, all in favor?

20 (Chorus of ayes)

21 DR. MALMUD: Any opposed? None. It
22 carries unanimously.

23 Dr. Williamson, that completes the items
24 on that list, does it not?

25 DR. WILLIAMSON: It does. I would just

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1 close by asking if the ACMUI members have any
2 additional suggestions on the topic of risk
3 communication that would be reasonable to add to this
4 list of recommendations. The concept of risk
5 communication was not to us a very well-defined charge.
6 This is how we chose to work with it, but there may be
7 other ideas which are worth exploring.

8 DR. MALMUD: Is there anyone who wishes
9 to explore that at this time? I do not hear a response
10 of enthusiasm for reviewing that issue at this time.

11 DR. WILLIAMSON: Okay.

12 DR. MALMUD: Are you completed,
13 Dr. Williamson?

14 DR. WILLIAMSON: I am completed, except
15 I have one follow-up question.

16 DR. MALMUD: Why don't you go ahead with
17 your follow-up question before my comment?

18 DR. WILLIAMSON: My follow-up question
19 is what process do we follow after this point?

20 DR. NAG: What do you mean? I thought
21 we make the recommendations to the committee, and this
22 ACMUI committee recommendations goes to the NRC
23 officials for implementation, right?

24 DR. WILLIAMSON: Well, I think that's
25 what we should discuss. I guess there's one minor

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1 issue. I assume what I should, since I have taken all
2 of the notes about the minor changes to this document,
3 go ahead and make those changes and resubmit this to
4 the ACMUI.

5 DR. NAG: Yeah, I think that you have
6 been involved from the beginning, and you know all the
7 nuances. It would be easier if you would revise it,
8 maybe circulate, and, hopefully, there will be no
9 additions to it.

10 DR. WILLIAMSON: Yes, I would hope so.
11 My understanding is, then, that the staff is going to
12 take this and convert it to some other format and make
13 a set of recommendations to the Commission. Is that
14 correct?

15 DR. MALMUD: I believe, Dr. Williamson,
16 that the next step is that the subcommittee presents it
17 to the committee.

18 DR. NAG: I thought the subcommittee has
19 given it to the committee.

20 DR. MALMUD: Yes, and that the committee
21 then presents it to the NRC as a recommendation.

22 DR. NAG: Well, that is what we are
23 doing now.

24 DR. MALMUD: And the NRC is then free to
25 accept the recommendation as it stands, or to make

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1 changes to it, or even to ignore it if
2 it wishes to.

3 DR. WILLIAMSON: Well, that's true in
4 the long run, but there is a detailed process that's
5 going to be followed because this whole activity was
6 instituted by the commissioners in their staff
7 requirements memo of spring 2004. So what the staff is
8 going to do is develop a white paper, and then present
9 that to the Commission to satisfy their staff
10 requirements memo.

11 DR. MALMUD: Yes, but we will have
12 fulfilled our task, I believe, by having had the
13 subcommittee and the committee meet and make the
14 recommendation, will we not?

15 DR. WILLIAMSON: Well, my concern is
16 that I don't know what will happen to these
17 recommendations after they leave our hands and what
18 kind of paper will go forward to the Commission. What
19 I would like to make a plea for is that the
20 subcommittee, or if not the full ACMUI, get an
21 opportunity to review the white paper that NRC
22 prepares, especially any rule language that they adopt
23 to express these recommendations. I think it would be
24 very useful to both the NRC and to the regulating
25 community if we could have the possibility of some

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1 feedback at that time.

2 DR. MALMUD: So you are requesting that
3 once we will have submitted this material to the NRC,
4 that it give us the courtesy of the opportunity to
5 review the document as they have prepared it?

6 DR. WILLIAMSON: That's correct.

7 DR. NAG: I wish to be a little stronger
8 than that. I think we could demand it because we have
9 made the recommendation. When you convert those into
10 legal terms, some of the sense may be totally lost or
11 totally distorted. And you want to make sure that what
12 we said in principle is what is written in the legal
13 document.

14 DR. WILLIAMSON: I would concur with
15 Dr. Nag and suggest we rephrase my slight request to a
16 demand.

17 MR. ESSIG: May I comment?

18 DR. MALMUD: Please do, Tom.

19 MR. ESSIG: The process -- I've believed
20 we've touched on it from this point -- is now that the
21 committee has accepted all of the parts of the
22 subcommittee's recommendations, Dr. Williamson, then,
23 will incorporate all the comments, and then he will
24 provide this to you, Dr. Malmud. A way of doing it
25 would be to attach a cover memo on ACMUI letterhead,

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1 and attach the subcommittee's report, a memo from
2 yourself to Dr. Miller, saying attached is the ACMUI
3 report, which was discussed in a conference call of
4 June 28, 2005, so on and so on. We are submitting it
5 to you as a recommendation. And if you want to tie in
6 to the SRM, you certainly can.

7 The process from that point, then, is
8 Dr. Miller will get it. He'll provide copies to his
9 staff, and we will engage with the rulemaking and
10 guidance branch, who also reports to Dr. Miller, and
11 they will commence prioritizing this activity amongst
12 the other rules that they have in front of them.

13 When we actually start putting pen to
14 paper in terms of crafting the rulemaking language,
15 ACMUI will be intimately involved in that. We will
16 certainly circulate any proposed rule language to you
17 well ahead of the time that we will present it to the
18 Commission. So you will have several bites at the
19 apple, so to speak. We'll have your recommendations,
20 and then we'll incorporate those into rule language.

21 DR. WILLIAMSON: I have a question for
22 you, Tom.

23 MR. ESSIG: Yes?

24 DR. WILLIAMSON: What gets submitted to
25 the Commission on July 28th, by that deadline that

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1 Angela has referred to in the past?

2 MR. ESSIG: I'll ask either Dr. Zelac or
3 Angela to speak to that.

4 DR. ZELAC: This is Ron Zelac. The
5 deadline of July 28th had been predicated upon having
6 final recommendations from the Advisory Committee at
7 the end of April, so we could, May, June and July,
8 prepare a paper which would present staff's
9 recommendations to the Commission with respect to the
10 acceptability of the current medical event definitions
11 and criteria.

12 Prior to this discussion and prior to
13 all of this dealing with the Medical Event Subcommittee
14 on Prostate for Permanent Brachytherapy, you may recall
15 that the entire committee considered the broader
16 questions of, for example, the 20 percent criteria as
17 it applied to other modalities for treatment.
18 Recommendations were made, and they are in the record,
19 of the ACMUI's meeting with respect to all of the other
20 modalities except this one, permanent implant
21 brachytherapy. This has been the missing piece.

22 By today's activity, the entire gamut of
23 recommendations needed from the Advisory Committee to
24 staff have now been at least finalized if not formally
25 conveyed, and we are now in a position to move forward

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1 with those recommendations to craft a commission paper
2 in response to its direction, its staff requirements
3 memorandum, to simply bring to the attention of the
4 Commission what staff's opinion is, with input from the
5 Advisory Committee, on the question of the suitability
6 of the current definitions of medical event.

7 Now, as I was saying, the July 28th
8 deadline had been crafted, based upon the assumption
9 that we would have recommendations from the Advisory
10 Committee by the end of April. Since that did not
11 occur and we are now two months later, we are probably
12 talking of at least a two-month extension before we
13 will be submitting to the Commission that paper.

14 DR. WILLIAMSON: Okay. Then I guess the
15 request/demand would be, can we have an opportunity to
16 review your draft of the white paper before it's sent
17 on to the Commission? Can we at least be able to offer
18 our feedback on it?

19 MR. ESSIG: You certainly can. I mean,
20 you have to handle it as a pre-decisional document,
21 which means it cannot be shared outside the committee.

22 DR. WILLIAMSON: Well, all of this has
23 been handled as pre-decisional anyhow.

24 MR. ESSIG: Yeah, but I just wanted to
25 make that special emphasis on a paper that's going

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1 directly to the Commission.

2 DR. WILLIAMSON: Well, yeah. I guess I
3 would suggest a motion, Dr. Malmud, in that we ask that
4 the NRC give the ACMUI an opportunity to review and
5 offer feedback on the proposed commission white paper
6 before its submission to the Commission.

7 DR. MALMUD: Is there a second to that
8 motion, which is a request?

9 DR. NAG: Dr. Nag seconds.

10 DR. MALMUD: It's seconded by Nag. All
11 in favor?

12 (Chorus of ayes)

13 DR. MALMUD: Any opposed? So it is a
14 unanimous recommendation of this committee that we be
15 given that courtesy.

16 MR. ESSIG: Dr. Malmud, this is Tom
17 Essig again. I would just add that we cannot build
18 into the schedule a large amount of review time for the
19 ACMUI. We might be talking on the order of two weeks
20 or so.

21 DR. MALMUD: That would be two weeks
22 more than we've had in the past in some cases, and,
23 therefore, would be welcomed by the committee.

24 MR. ESSIG: Okay.

25 DR. MALMUD: Is there any more business

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1 that you wish to present, Dr. Williamson?

2 DR. WILLIAMSON: No, we are very pleased
3 to have completed our task as a subcommittee.

4 DR. MALMUD: Thank you. I have one item
5 I wanted to add, and that is an extremely grateful
6 statement on the part of the chairman to Dr. Williamson
7 for a yeoman's job in husbanding this through the
8 process, and, of course, the other members of the
9 committee who participated, and whose emails I have
10 seen flying back and forth with their comments. It's
11 been a lot of effort, and we are all very appreciative
12 of the time and talent that you've put into this.

13 Is there a motion for adjournment of
14 this meeting?

15 MR. ESSIG: Dr. Malmud?

16 DR. MALMUD: Yes?

17 MR. ESSIG: You might at this
18 juncture -- since the subcommittee has completed its
19 business and the full committee has probably completed
20 most of its business -- offer the floor to any members
21 of the public who haven't already spoken and wish to
22 make comments at this time. We have just a few minutes
23 remaining in the call.

24 DR. MALMUD: Thank you, Mr. Essig, for
25 reminding me of that. I've been hearing these little

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1 beeps over the phone, which I assume are the timers
2 telling us that we're running out of time.

3 MR. ESSIG: Yeah, we're down to
4 10 minutes.

5 DR. MALMUD: By all means. Are there
6 any comments from members of the public or other
7 participants in this conference call? I hear none.
8 Thank you.

9 Mr. Essig, any other items?

10 MR. ESSIG: No.

11 DR. MALMUD: Not having heard any
12 comments from the members of the public or others who
13 are on this call with us, I do want to thank you for
14 your participation in the call, and your willingness to
15 stay with us for the period. I also apologize for
16 having been late for the committee meeting. My
17 colleague is out of town and I am running both
18 departments, and actually treating a patient with
19 radio-iodine while you were waiting for me. I'm sorry.

20 MR. ESSIG: That happens.

21 DR. MALMUD: It is our policy to do that
22 personally. We don't allow technologists to administer
23 the dose, so you have to excuse me.

24 At any rate, we should not be meeting on
25 a regular basis over the course of the summer. I wish

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1 you all a healthy, happy, and enjoyable summer, and if
2 needed, we will contact you by email. Once again,
3 thank you all for your participation, and especially
4 you, Dr. Williamson, and the others who worked with you
5 so diligently on crafting this document.

6 DR. WILLIAMSON: I would like to thank
7 my subcommittee members, all of whom contributed
8 substantially and intellectually in terms of their
9 ideas to this proposal, and also to our consultant,
10 Dr. Potters.

11 DR. MALMUD: Thank you all.

12 Is there a motion for adjournment?

13 UNIDENTIFIED SPEAKER: So moved.

14 DR. MALMUD: Seconded? Than you all.

15 Good bye.

16 (Whereupon, the foregoing matter went
17 off the record at 2:53 p.m.)

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