



**Auction Information Dissemination Modules
Privacy Impact Assessment (PIA)**

March 31, 2008

System Information

Name of System, Project or Program: Auction Information Dissemination Modules

OMB Unique Identifier: N/A

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System Application/General Information

- 1. Does this system contain any information in identifiable form?** No.
- 2. What is the purpose of the system/application?** To disseminate information about completed and upcoming auctions of marketable Treasury securities.
- 3. What legal authority authorizes the purchase or development of this system/application?** Chapter 31 of Title 31 of the United States Code authorizes the Secretary of the Treasury to issue United States obligations, and to offer them for sale with the terms and conditions that the Secretary prescribes.
- 4. Under which Privacy Act SORN does the system operate? (Provide the system name and unique system identifier.)** N/A

Data in the System

1. **What categories of individuals are covered in the system?** Auction Information Dissemination Modules do not contain, process, or transmit personally identifiable information. The category of individuals covered in the system is the public.
2. **What are the sources of the information in the system?**
 - a. **Is the source of the information from the individual or is it taken from another source? If not directly from the individual, then what other source?** Auction Information Dissemination Modules do not contain, process, or transmit personally identifiable information.
 - b. **What Federal agencies are providing data for use in the system?**
None
 - c. **What State and/or local agencies are providing data for use in the system?** None
 - d. **From what other third party sources will data be collected?** None
 - e. **What information will be collected from the employee and the public?**
Auction Information Dissemination Modules do not contain, process, or transmit personally identifiable information.
3. **Accuracy, Timelines, and Reliability**
 - a. **How will data collected from sources other than bureau records be verified for accuracy?** Data is collected from automated feeds and verified by staff.
 - b. **How will data be checked for completeness?** Data is collected from automated feeds and verified by staff.
 - c. **Is the data current? What steps or procedures are taken to ensure the data is current and not out-of-date? Name the document (e.g., data models.)** Data is collected from automated feeds and verified by staff.
 - d. **Are the data elements described in detail and documented? If yes, what is the name of the document?** Yes, described in the System Security Plan.

Attributes of the Data

1. **Is the use of the data both relevant and necessary to the purpose for which the system is being designated?** Auction Information Dissemination Modules do not contain, process, or transmit personally identifiable information.
2. **Will the system derive new data or create previously unavailable data about an individual through aggregation from the information collected, and how will this be maintained and filed?** Auction Information Dissemination Modules do not contain, process, or transmit personally identifiable information.
3. **Will the new data be placed in the individual's record?** Auction Information Dissemination Modules do not contain, process, or transmit personally identifiable information.
4. **Can the system make determinations about employees/public that would not be possible without the new data?** Auction Information Dissemination Modules do not contain, process, or transmit personally identifiable information.
5. **How will the new data be verified for relevance and accuracy?** Auction Information Dissemination Modules do not contain, process, or transmit personally identifiable information.
6. **If the data is being consolidated, what controls are in place to protect the data from unauthorized access or use?** Auction Information Dissemination Modules do not contain, process, or transmit personally identifiable information.
7. **If processes are being consolidated, are the proper controls remaining in place to protect the data and prevent unauthorized access? Explain.** Auction Information Dissemination Modules do not contain, process, or transmit personally identifiable information.
8. **How will the data be retrieved? Does a personal identifier retrieve the data? If yes, explain and list the identifiers that will be used to retrieve information on the individual.** Auction Information Dissemination Modules do not contain, process, or transmit personally identifiable information.
9. **What kinds of reports can be produced on individuals? What will be the use of these reports? Who will have access to them?** Auction Information Dissemination Modules do not contain, process, or transmit personally identifiable information.

Maintenance and Administrative Controls

- 1. If the system is operated in more than one site, how will consistent use of the system and data be maintained in all sites?** The system is operated in one site with manual backups.
- 2. What are the retention periods of data in this system?** Currently, electronic data is stored permanently.
- 3. What are the procedures for disposition of the data at the end of the retention period? How long will the reports produced be kept? Where are the procedures documented?** Data is retained permanently.
- 4. Is the system using technologies in ways that the bureau/office has not previously employed (e.g., monitoring software, Smart Cards, Caller-ID)?**
No.
- 5. How does the use of this technology affect public/employee privacy?** Auction Information Dissemination Modules do not contain, process, or transmit personally identifiable information.
- 6. Will this system provide the capability to identify, locate, and monitor individuals? If yes, explain.** No.
- 7. What kinds of information are collected as a function of the monitoring of individuals?** N/A
- 8. What controls will be used to prevent unauthorized monitoring?** N/A
- 9. Under which Privacy Act SORN does the system operate? Provide number and name.** N/A
- 10. If the system is being modified, will the Privacy Act SORN require amendment or revision? Explain.** N/A

Access to Data

1. **Who will have access to the data in the system? (e.g., contractors, users, managers, system administrators, developers, others.)** The public.
2. **How is access to the data by a user determined? Are criteria, procedures, controls, and responsibilities regarding access documented?** The public has read access to all the data.
3. **Will users have access to all data on the system or will the user's access be restricted? Explain.** The public has read access to all the data.
4. **What controls are in place to prevent the misuse (e.g., unauthorized browsing of data by those having access? (list processes and training materials.)** Public Debt has rules of behavior in place.
5. **Are contractors involved with the design and development of the system and will they be involved with the maintenance of the system? If yes, were Privacy Act contract clauses inserted in their contracts and other statutory and regulatory measures addressed?** No.
6. **Do other systems share data or have access to the data in the system? If yes, explain.** Yes, other systems have read access to the data via interfaces.
7. **Who will be responsible for protecting the privacy rights of the public and employees affected by the interface?** Auction Information Dissemination Modules do not contain, process, or transmit personally identifiable information.
8. **Will other agencies share data or have access to the data in this system (e.g. Federal, State, Local, and Others)?** Yes.
9. **How will the data be used by the other agency?** The data is public.
10. **Who is responsible for assuring proper use of the data?** The data is public.