### Part I: Summary Information And Justification (All Capital Assets)

#### **Section A: Overview (All Capital Assets)**

1. Date of Submission: September 2007

2. Agency: District of Columbia Courts

3. Bureau: NA

4. Name of this Capital Asset: H. Carl Moultrie Renovation and Reorganization

5. Unique Project (Investment) Identifier: (For IT investment only, see section <u>53</u>. For all other, use agency ID system.) 95-1712

6. What kind of investment will this be in FY2009? (Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

| Planning                   |   |
|----------------------------|---|
| Full Acquisition           | X |
| Operations and Maintenance |   |
| Mixed Life Cycle           |   |
| E-Gov/LoBOversight         |   |

- 7. What was the first budget year this investment was submitted to OMB? 2005
- 8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The Moultrie Courthouse Renovation and Reorganization Project will further the Family Court renovations plus upgrade and modernize the nearly 35-year-old Moultrie Courthouse to provide more efficient space for the Superior Court and Court System divisions. This project will meet the current and projected needs of the District of Columbia Courts, as described in the District of Columbia Courts Facilities Master Plan, while keeping the Courts operational and minimally disturbed while construction takes place in the Moultrie Courthouse. Renovation and reorganization of the interior of the Moultrie Courthouse is necessary to shift operations to vacate some of the space required to fully consolidate the Family Court within Moultrie and to upgrade and make efficient use of existing space as envisioned in the Facilities Master Plan.

This project's coordination and completion directly relates to the Courts' establishment of the Family Court. Fiscal year 2009 funds will allow the Court to relocate the Civil Division to allow more Family Court functions to consolidate on the John Marshall level. It will also allow the Court to relocate Social Services functions, that are part of the Family Court, from Building B to reconfigured space on the C street level of the Moultrie building. The final phase of the Family Court Self Help Center will also be completed. Further consolidation activities will include relocation of the Family Court Immediate Office, Mental Health & Mental Retardation Branch, and the Marriage Bureau and renovation of the Criminal Division. This project year also includes upgrades in the public areas of the John Marshall level, home of the Family Court, the C street level, and the Indiana Avenue level.

In FY 2010, funds will be required for the renovation of the entire sixth floor and portions of the fifth

| floor vacated by the departure of the D.C. Court of Appeals. FY 2010 funding commencement of the first major renovation program for the Moultrie Court addition of two new criminal courtrooms. | 0            |    |
|---|--------------|----|
| Did the Agency's Executive/Investment Committee review this request?  | Yes <u>X</u> | No |

| 9.  | Did | the   | Agency               | /'s  | Exe   | cutive | e/Inv  | estr         | nent   | Comi         | mittee | revie  | w this                    | requ       | uest?  | •        | Yes _  | <u>X</u> |         | No   |
|-----|-----|-------|----------------------|------|-------|--------|--------|--------------|--------|--------------|--------|--------|---------------------------|------------|--------|----------|--------|----------|---------|------|
|     | a.  |       | If "yes,             | ," v | vhat  | was 1  | the d  | ate          | of th  | is app       | roval  | ?      | 2005_                     |            |        |          |        |          |         |      |
| 10. | Did | the   | Project              | Ma   | anag  | er re  | view   | this         | s Exh  | nibit?       |        | Yes    | _X                        | _          | No.    |          | _      |          |         |      |
| 11. | Con | ntact | inform               | atio | on of | Proj   | ect N  | Man          | Nan    | ne<br>one Nu | ımber  | 202-   | y Ann<br>-879-2<br>erma20 | <u>898</u> |        |          |        |          |         |      |
|     |     |       | agency<br>techniqu   |      |       |        |        |              |        |              |        |        |                           |            |        |          |        | ironm    | entall  | У    |
|     |     | a. V  | Vill this            | inv  | vestr | nent i | inclu  | ide e        | electr | ronic        | assets | (incl  | X<br>uding                | com        | puters | s)?<br>X |        |          |         |      |
|     |     |       | this inv<br>answer a |      |       |        |        |              |        |              | -      | or ret | rofit o                   | f a F      | Federa | l bui    | lding  | or fac   | cility' | ?    |
|     | 1.  | If "  | yes," is             | s ar | ı ES  | PC o   | or Ul  | ESC          | C bei  | ing us       | sed to | help   | fund                      | this       | inve   |          | nt? I  | NA       |         |      |
|     | 2.  | If "  | yes," w              | /ill | this  | inve   | estm   | ent          | mee    | et sust      | tainat | ole de |                           | orino      | ciples | _        | 4      |          |         |      |
|     | 3.  | If "  | yes," is             | it   | des   | igned  | d to l | be 3         | 30%    | more         | ener   | gy ef  |                           | t tha      | an rel | evan     | t cod  | de? N    | A       |      |
| 13. | Doe | s thi | is invest            | :me  | ent d | irectl | y sup  | ppoı         | rt one | e of th      |        |        | tiative:<br>Capita        |            | f "yes | " che    | eck al | l that   | apply   | : NA |
|     |     |       |                      |      |       |        | ]      | Bud          | lget F | Perfor       |        |        | gratio                    |            |        |          |        | _        |         |      |
|     |     |       |                      |      |       |        |        |              |        |              |        |        | rmance                    |            |        | _        |        | _        |         |      |
|     |     |       |                      |      |       |        |        |              | E      | -            |        |        | rnmen                     |            |        | _        |        | -        |         |      |
|     |     |       |                      |      |       |        |        |              | D 1.1  |              |        |        | ourcing                   |            |        | -        |        | -        |         |      |
|     |     |       |                      |      |       |        | D      |              |        |              |        |        | munity                    |            |        | _        |        | -        |         |      |
|     |     |       |                      |      |       |        |        |              |        |              |        |        | gemen<br>yment            |            |        | -        |        | -        |         |      |
|     |     |       |                      |      |       |        |        |              |        |              |        |        | lousing                   |            |        | -        |        | -        |         |      |
|     |     |       |                      |      | Rese  | earch  |        |              |        |              |        | -      | Criteria                  | -          |        | _        |        | -        |         |      |
|     | Н   | Ious  | sing & U             |      |       |        |        |              | _      |              |        |        |                           |            |        | _        |        | -        |         |      |
|     |     |       | ning He              |      |       |        |        |              |        |              |        |        |                           |            |        |          |        | _        |         |      |
|     |     |       | _                    |      |       |        |        |              |        |              |        |        | esence                    |            |        |          |        | _        |         |      |
|     |     |       | Co                   | orc  | linat | ion o  | f VA   | <b>&amp;</b> | DoD    | ) Prog       | rams   | and S  | ystem                     | S          |        | _        |        | _        |         |      |

a. Briefly describe how this asset directly supports the identified initiative(s)? The Moultrie Courthouse renovation supports the PMA initiatives by following the D.C. Courts' facilities Master Plan which consolidates and reorganizes the space needs of the Courts. It

incorporates the new Family Court within the existing Moultrie Courthouse.

| 14. Does this investment support a program assessed using the Progra (PART)? (For more information about the PART, visit <a href="www.whiteho">www.whiteho</a>            |                    |                | Tool        |
|---|--------------------|----------------|-------------|
|   |                    | _              | No          |
| a. If "yes," does this investment address a weakness found  |                    |                |             |
| during a PART review?   |                    |                | No <u>X</u> |
| b. If "yes," what is the name of the PARTed program?  |                    | .C. Courts     |             |
| c. If "yes," what rating did the PART receive?  | Ac                 | <u>lequate</u> |             |
| 15. Is this investment for information technology?  | Yes _              |                | No <u>X</u> |
| If the answer to Question 15 is "Yes," complete questions 16-23 belo answer questions 16-23.  | w. If the ansv     | ver is "No,    | " do not    |
| For information technology investments only:  16. What is the level of the IT Project? (per CIO Council PM Guidane)   | ce)                |                |             |
| 10. What is the level of the 11 110 jeet: (per C10 Council 1 W Guidan   | Level 1            |                |             |
|   | Level 1<br>Level 2 |                |             |
|   | Level 2            |                |             |
| 17. What project management qualifications does the Project Manage  |                    | CIO Counc      | eil PM      |
| Guidance)   | nave. (per         | CIO Count      | 211 1 141   |
| (1) Project manager has been validated as qualified for this in   | vestment           |                |             |
| (2) Project manager qualification is under review for this inve   |                    |                |             |
| (3) Project manager assigned to investment, but does not mee  | t requiremen       | ts             |             |
| (4) Project manager assigned but qualification status review h  | nas not yet sta    | arted          |             |
| (5) No Project manager has yet been assigned to this investm  | ent                |                |             |
| 18. Is this investment identified as "high risk" on the Q4 -FY 2006 ag 'high risk" memo)?   | gency high ris     | sk report (p   | er OMB's    |
| 19. Is this a financial management system?  | Yes                |                | No          |
| a. If "yes," does this investment address a FFMIA compliance are  |                    |                | No          |
| 1. If "yes," which compliance area:   |                    |                |             |
| 2. If "no," what does it address?   |                    |                | <del></del> |
| b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems  |                    |                |             |
| inventory update required by Circular A–11 section 52   |                    |                |             |
| inventory update required by Chediai 11-11 section 32   | -                  |                |             |
| 20. What is the percentage breakout for the total FY2009 funding req  |                    | _              | to1 100%)   |
| Harr  | 1                  | s should to    | iai 100%)   |
|   |                    |                |             |
|   |                    |                |             |
|   | O:1                |                |             |
|   |                    |                |             |
| 21. If this project produces information dissemination products for the published to the Internet in conformance with OMB Memorandur inventory, schedules and priorities? | •                  | •              |             |
| inventory, senedules and priorities:  | Yes                |                |             |
|   |                    |                |             |

| 23. Are the records p Records Administrat  Section B: Summa  1. Provide the total amounts represent b personnel costs shou excluded from the ar "TOTAL" estimated | oroduced be ion's approary of Special estimated and get audid be incl  | y this inveoval?  ending (Alife-cycle thority in               | Phonestment ap   | Name<br>ne Number<br>Title<br>E-mail                                 | e<br>r<br>e<br>l  |  |   | Archives   | <br><br><br>and            |
|---|--|--|--|--|---|--|---|--|----------------------------|
| Section B: Summa  1. Provide the total amounts represent be personnel costs shou excluded from the arr  | ary of Special control of Specia | oval?  ending (A  life-cycle thority in                        | estment ap<br>All Capit                                | ne Number<br>Title<br>E-mail<br>opropriatel                          | r<br>e<br>l   |  |   |  | and                        |
| Section B: Summa  1. Provide the total amounts represent be personnel costs shou excluded from the arr  | ary of Special control of Specia | oval?  ending (A  life-cycle thority in                        | estment ap<br>All Capit                                | Title<br>E-mail<br>opropriatel                                       | e<br>l  |  |   |  | and                        |
| Section B: Summa  1. Provide the total amounts represent be personnel costs shou excluded from the arr  | ary of Special control of Specia | oval?  ending (A  life-cycle thority in                        | All Capit  | propriatel   |   |  |   |  | and                        |
| Section B: Summa  1. Provide the total amounts represent be personnel costs shou excluded from the arr  | ary of Special control of Specia | oval?  ending (A  life-cycle thority in                        | All Capit  |  | y schedule  |  |   |  | and                        |
| 1. Provide the total amounts represent by personnel costs show excluded from the ar   | estimated budget aut   | life-cycle<br>thority in                                       | -  | tal Assets   |   |  |   |  |                            |
| amounts represent to<br>personnel costs show<br>excluded from the ar  | oudget aut<br>ild be incl  | thority in   | cost for t   |  | s)  |  |   |  |                            |
| and "Operation/Main<br>term energy, environmentire life-cycle of the<br>Table 1: SUMMARY  | annual contenance." nmental, contenance  | own for "I<br>st of the i<br>For Fed<br>lecommis<br>ent should | Planning," nvestment eral build sioning, a l be includ | "Full Acc<br>t is the sur<br>ings and f<br>nd/or rest<br>led in this | quisition," m of costs facilities, 1 coration coreport. | and "Ope<br>for "Plant<br>ife-cycle o<br>ests. The o | ration/Ma<br>ning," "Fu<br>costs shou<br>costs asso | intenance.  Ill Acquisi  Ild include  ciated wit | "The tion," e long the the |
| BY+1 and beyond are   | for planning   |  |  |  |   |  |   |  |                            |
|   | PY–1<br>and<br>earlier   | PY<br>2007   | CY<br>2008   | BY<br>2009   | BY+1<br>2010  | BY+2<br>2011   | BY+3<br>2012  | BY+4<br>and<br>beyond                            | Total                      |
| Planning:   | 0.00   | 0.00   | 0.00   | 0.00   | 0.00  | 0.00   | 0.00  | 0.00   | 0.00                       |
| Acquisition:  | 0.00   | 0.00   | 5.99   | 30.84  | 39.96   | 29.31  | 5.30  | 0.00   | 111.40                     |
| Subtotal Planning &   | 0.00   | 0.00   | <b>5</b> .00   | 20.04  | 20.06   | 20.21  | <i>5</i> 20   | 0.00   | 111 40                     |
| Acquisition: Operations &   | 0.00   | 0.00   | 5.99   | 30.84  | 39.96   | 29.31  | 5.30  | 0.00   | 111.40                     |
| Maintenance:  | 0.00   | 0.00   | 0.00   | 0.00   | 0.00  | 0.00   | 0.00  | 0.00   | 0.00                       |
| TOTAL:  | 0.00   | 0.00   | 5.99   | 30.84  | 39.96   | 29.31  | 5.30  | 0.00   | 111.40                     |
| <b>Government FTE Co</b>  | sts should i   | not be incl  | uded in th   | e amounts  |   | above.   |   | •  |                            |
| Government FTE  |  |  |  |  | <u>.</u>  |  |   |  |                            |
| Costs   |  |  |  |  |   |  |   |  |                            |
| Number of FTE   |  |  |  |  |   |  |   |  |                            |
| represented by  |  |  |  |  |   |  |   |  |                            |
| Costs:  |  |  |  |  |   |  |   |  |                            |
| <i>Note:</i> For the cross-a partner agencies). Go  |  |  |  |  |   | -  | _   |  |                            |
| 2. Will this project re   |  | agency to<br>and in wh   |  | ional FTE  | r's? Y  | es   | No _  | <u>X</u> _                                       |                            |

# **Section C: Acquisition/Contract Strategy (All Capital Assets)**

|                                   | Contracts/Tas             | k Orders Table:       |                       |
|-----------------------------------|---------------------------|-----------------------|-----------------------|
| Contract or Task Order            | 2009-1 Family Court/      | 2009-2 Family Court/  | 2009-3 Family Court/  |
| Number                            | Civil Division Relocation | Sixth Floor Design    | Social Services       |
| Type of Contract/Task             | Fixed                     | Fixed                 | Fixed                 |
| Order                             |                           |                       |                       |
| Has the contract been             | No                        | No                    | No                    |
| awarded (Y/N)                     |                           |                       |                       |
| If so what is the date of         | Quarter 1 FY 2009         | Quarter 1 FY 2009     | Quarter 1 FY 2009     |
| the award? If not, what is        |                           |                       |                       |
| the planned award date?           |                           |                       |                       |
| Start date of                     | Quarter 1 FY 2009         | Quarter 1 FY 2009     | Quarter 1 FY 2009     |
| Contract/Task Order               |                           |                       |                       |
| End date of                       | Quarter 1 FY 2010         | Quarter 1 FY 2010     | Quarter 2 Y 2010      |
| Contract/Task Order               |                           |                       |                       |
| Is this an Interagency            | NO                        | NO                    | NO                    |
| Acquisition? (Y/N)                |                           |                       |                       |
| Is it performance based?          | YES                       | YES                   | YES                   |
| (Y/N)                             |                           |                       |                       |
| Competitively awarded?            | YES                       | YES                   | YES                   |
| (Y/N)                             |                           |                       |                       |
| What, if any, alternative         | NA                        | NA                    | NA                    |
| financing option is being         |                           |                       |                       |
| used? (ESPC, UESC,                |                           |                       |                       |
| EUL, N/A)                         |                           |                       |                       |
| Is EVM in the contract?           | YES, Courts' Standard     | YES, Courts' Standard | YES, Courts' Standard |
| (Y/N)                             |                           |                       |                       |
| Does the contract include         | YES, Courts' Standard     | YES, Courts' Standard | YES, Courts' Standard |
| the required security &           |                           |                       |                       |
| privacy clauses? (Y/N)            |                           |                       |                       |
| Name of CO                        | Joseph E. Sanchez         | Joseph E. Sanchez     | Joseph E. Sanchez     |
| CO Contact information            | 202-879-1700              | 202-879-1700          | 202-879-1700          |
| (phone/email)                     | sanchezj@dcsc.gov         | sanchezj@dcsc.gov     | sanchezj@dcsc.gov     |
| Contracting Officer               | NA                        | NA                    | NA                    |
| <b>Certification Level (Level</b> |                           |                       |                       |
| 1, 2, 3, N/A)                     |                           |                       |                       |
| If N/A, has the agency            | YES                       | YES                   | YES                   |
| determined the CO                 |                           |                       |                       |
| assigned has the                  |                           |                       |                       |
| competencies and skills           |                           |                       |                       |
| necessary to support this         |                           |                       |                       |
| acquisition? (Y/N)                |                           |                       |                       |

| Contracts/Task Orders Table: |  |                   |                       |                           |  |  |  |  |  |  |
|------------------------------|--|-------------------|-----------------------|---------------------------|--|--|--|--|--|--|
| Contract or Took             | Contract or Task         2009-4 Family         2009-5 Family         2009-6 Family Court/         2009-7 |                   |                       |                           |  |  |  |  |  |  |
| Order Number                 | Court/   | Court/            | Immediate Office,     | Public Corridors Health & |  |  |  |  |  |  |
| Order Number                 | Criminal Division  | Self Help Center  | Mental Health,        | Safety Upgrades           |  |  |  |  |  |  |
|                              | Renovation   | 1                 | Marriage Bureau       | 3 12                      |  |  |  |  |  |  |
| Type of Contract/Task        | Fixed  | Fixed             | Fixed                 | Fixed                     |  |  |  |  |  |  |
| Order                        |  |                   |                       |                           |  |  |  |  |  |  |
| Has the contract been        | No   | No                | No                    | No                        |  |  |  |  |  |  |
| awarded (Y/N)                |  |                   |                       |                           |  |  |  |  |  |  |
| If so what is the date of    | Quarter 1 FY 2009  | Quarter 1 FY 2009 | Quarter 1 FY 2009     | Quarter 1 FY 2009         |  |  |  |  |  |  |
| the award? If not, what      |  |                   |                       |                           |  |  |  |  |  |  |
| is the planned award         |  |                   |                       |                           |  |  |  |  |  |  |
| date?                        |  |                   |                       |                           |  |  |  |  |  |  |
| Start date of                | Quarter 1 FY 2009  | Quarter 1 FY 2009 | Quarter 1 FY 2009     | Quarter 1 FY 2009         |  |  |  |  |  |  |
| Contract/Task Order          |  |                   |                       |                           |  |  |  |  |  |  |
| End date of                  | Quarter 3 FY 2010  | Quarter 4 FY 2009 | Quarter 3 FY 2010     | Quarter 4 FY 2014         |  |  |  |  |  |  |
| Contract/Task Order          | -  | •                 | -                     |                           |  |  |  |  |  |  |
| Is this an Interagency       | NO   | NO                | NO                    | NO                        |  |  |  |  |  |  |
| Acquisition? (Y/N)           |  |                   |                       |                           |  |  |  |  |  |  |
| Is it performance            | YES  | YES               | YES                   | YES                       |  |  |  |  |  |  |
| based? (Y/N)                 |  |                   |                       |                           |  |  |  |  |  |  |
| Competitively                | YES  | YES               | YES                   | YES                       |  |  |  |  |  |  |
| awarded? (Y/N)               |  |                   |                       |                           |  |  |  |  |  |  |
| What, if any,                | NA   | NA                | NA                    | NA                        |  |  |  |  |  |  |
| alternative financing        |  |                   |                       |                           |  |  |  |  |  |  |
| option is being used?        |  |                   |                       |                           |  |  |  |  |  |  |
| (ESPC, UESC, EUL,            |  |                   |                       |                           |  |  |  |  |  |  |
| N/A)                         |  |                   |                       |                           |  |  |  |  |  |  |
| Is EVM in the                | YES, Courts'   | YES, Courts'      | YES, Courts' Standard | YES, Courts' Standard     |  |  |  |  |  |  |
| contract? (Y/N)              | Standard   | Standard          |                       |                           |  |  |  |  |  |  |
| Does the contract            | YES, Courts'   | YES, Courts'      | YES, Courts' Standard | YES, Courts' Standard     |  |  |  |  |  |  |
| include the required         | Standard   | Standard          |                       |                           |  |  |  |  |  |  |
| security & privacy           |  |                   |                       |                           |  |  |  |  |  |  |
| clauses? (Y/N)               |  |                   |                       |                           |  |  |  |  |  |  |
| Name of CO                   | Joseph E. Sanchez  | Joseph E. Sanchez | Joseph E. Sanchez     | Joseph E. Sanchez         |  |  |  |  |  |  |
| CO Contact                   | 202-879-2801   | 202-879-2801      | 202-879-2801          | 202-879-2801              |  |  |  |  |  |  |
| information                  | sanchezj@dcsc.gov  | sanchezj@dcsc.gov | sanchezj@dcsc.gov     | sanchezj@dcsc.gov         |  |  |  |  |  |  |
| (phone/email)                |  |                   |                       |                           |  |  |  |  |  |  |
| Contracting Officer          | NA   | NA                | NA                    | NA                        |  |  |  |  |  |  |
| Certification Level          |  |                   |                       |                           |  |  |  |  |  |  |
| (Level 1, 2, 3, N/A)         |  |                   |                       |                           |  |  |  |  |  |  |
| If N/A, has the agency       | YES  | YES               | YES                   | YES                       |  |  |  |  |  |  |
| determined the CO            |  |                   |                       |                           |  |  |  |  |  |  |
| assigned has the             |  |                   |                       |                           |  |  |  |  |  |  |
| competencies and skills      |  |                   |                       |                           |  |  |  |  |  |  |
| necessary to support         |  |                   |                       |                           |  |  |  |  |  |  |
| this acquisition? (Y/N)      |  |                   |                       |                           |  |  |  |  |  |  |
|                              |  | •                 | •                     | •                         |  |  |  |  |  |  |

| 2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explainly: | nin | <br> |
|--|-----|------|
| 3. Do the contracts ensure Section 508 compliance?   | Yes |      |

| • | · · |  |
|---|-----|--|
|   |     |  |
|   |     |  |
|   |     |  |
|   |     |  |
|   |     |  |

| No  | <u>X</u> |
|-----|----------|
| N/A |          |

a. Explain why:

4. Is there an acquisition plan which has been approved in accordance with agency requirements?

a. If "yes," what is the date?

b. If "no," will an acquisition plan be developed?

1. If "no," briefly explain why:

| Yes <u>X</u> | No |
|--------------|----|
| _2003        |    |
|              |    |
|              |    |

#### **Section D: Performance Information (All Capital Assets)**

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

|                | Performance Information Table 1:  |  |  |   |   |  |  |  |  |  |
|----------------|---|--|--|---|---|--|--|--|--|--|
| Fiscal<br>Year | Strategic Goal(s)<br>Supported  | Performance Measure  | Actual/baseline<br>(from Previous<br>Year)         | Planned performance<br>Metric (Target)  | Performance<br>Metric Results<br>(Actual) |  |  |  |  |  |
| 2004-<br>2008  | Goal 2.1: The<br>Courts will ensure<br>that physical<br>facilities are easily<br>accessible by all<br>persons.                          | Public spaces and counter areas made ADA compliant. Improve public areas to make access to divisions more user friendly. | Facilities have not been updated in 35 years.      | All public spaces and counter will be ADA compliant. Centrally locate public division functions. Improve all public corridors and facilities. | N/A                                       |  |  |  |  |  |
| 2004-<br>2008  | Goal 4.1: The Courts will provide personnel and court participants with a safe, secure, functional, and habitable physical environment. | Improve adjacencies for<br>Court Divisions and<br>consolidate Court<br>Functions   | Facilities have<br>not been updated<br>in 35 years | Consolidate and/or relocate related divisions to improve efficiency and upgrade facilities to current workplace standards.                    | N/A                                       |  |  |  |  |  |

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all

Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at <a href="www.egov.gov">www.egov.gov</a>.

|                         | Performance Information Table 2: |                         |                          |          |   |                   |  |  |  |  |  |
|-------------------------|----------------------------------|-------------------------|--------------------------|----------|---|-------------------|--|--|--|--|--|
| Fiscal Measurement Area |                                  | Measurement<br>Grouping | Measurement<br>Indicator | Baseline | Planned<br>Improvement<br>to the Baseline | Actual<br>Results |  |  |  |  |  |
| 2006 -<br>2008          | Mission &<br>Business Results    | NA                      | NA                       | NA       | NA  | NA                |  |  |  |  |  |
| 2006 -<br>2008          | Customer Results                 | NA                      | NA                       | NA       | NA  | NA                |  |  |  |  |  |
| 2006 -<br>2008          | Processes &<br>Activities        | NA                      | NA                       | NA       | NA  | NA                |  |  |  |  |  |
| 2006 -<br>2008          | Technology                       | NA                      | NA                       | NA       | NA  | NA                |  |  |  |  |  |

Section E: Security and Privacy (IT Capital Assets only)
NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only) NA – Not Applicable

c. If no analysis is planned, please briefly explain

why:

#### Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments identified as "Planning" or "Full Acquisition", or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

#### **Section A: Alternatives Analysis (All Capital Assets)**

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

| 1. | Did   | you conduct an alternatives analysis for this                          | Yes <u>X</u> No             |
|----|-------|--|-----------------------------|
|    | proje | ect?   | 2002 Facilities Master Plan |
|    | a.    | If "yes," provide the date the analysis was completed?                 |                             |
|    | b.    | If "no," what is the anticipated date this analysis will be completed? |                             |

| 2. Alternatives Analysis Results: Use the results of your alternatives analysis to complete the following table: |   |   |  |  |  |  |  |  |
|--|---|---|--|--|--|--|--|--|
| Alternative<br>Analyzed  | Description of Alternative  | Risk Adjusted Lifecycle<br>Costs estimate | Risk Adjusted Lifecycle<br>Benefits estimate |  |  |  |  |  |
| Alternative 1  | Renovate and Reorganize the Moultrie<br>Courthouse to fully consolidate a new<br>Family Court within close proximity to<br>support agencies & courtrooms. | Best Alternative – Most Cost<br>Effective | Greatest Operational Benefit                 |  |  |  |  |  |

|   |  | ~J                                      |                |   |                       |  |
|---|--|---|----------------|---|-----------------------|--|
| Alternative 2   | Renovate and Reorganize the Moultrie<br>Courthouse to marginally consolidate a<br>new Family Court                                   | Fair Alternative                        |                | Marginal Op                                       | perational Benefit    |  |
| Alternative 3   | Renovate the Moultrie Courthouse and lease space or construct a new Family Court building.   | Poor Alternative                        |                | Appropriate sites unavail                         | leased space or able. |  |
|   | rnative was selected by the Agency' restment Committee and why was it  |   | Altern         | ative 1   |                       |  |
| 4. What specific qualitative benefits will be realized?  Efficient operations, use of existing courthouse infrastructure and independent Family Court identity.                                   |  |   |                |   |                       |  |
| Section B: I  | Risk Management (All Capital A   | Assets)                                 |                |   |                       |  |
| investment's  | ave performed a risk assessment du<br>life-cycle, developed a risk-adjusted<br>k, and be actively managing risk thr                  | l life-cycle cost e                     | stimate and a  | plan to eli                                       |                       |  |
| <ul><li>1. Does the investment have a Risk Management Plan?</li><li>a. If "yes," what is the date of the plan?</li><li>b. Has the Risk Management Plan been significantly changed since</li></ul> |  |   |                | Yes <u>X</u> No <u>Capital Projects Plan 2004</u> |                       |  |
| last year's   | s submission to OMB? "describe any significant changes:  | reality changed                         |                | Yes   | NoX                   |  |
| a. If "ye   | rently is no plan, will a plan be deve<br>es," what is the planned completion of," what is the strategy for managing                 | date?                                   | _              | Yes   | No                    |  |
| 3. Briefly des<br>Possible risks<br>existing const  | cribe how investment risks are refle include delays in the construction s ruction. The D.C. Courts are plann individual contractors. | cted in the life cy<br>chedule due to u | nforeseen fiel | ld condition                                      | ns associated with    |  |
| Section C: 0  | Cost and Schedule Performance  | e (All Capital A                        | Assets)        |   |                       |  |
| milestones sh   | red only on DME portions of invest ould still be included in the table (C is table should accurately reflect the baseline.           | omparison of Ini                        | tial Baseline  | and Curren  | t Approved            |  |
|   | arned value management system me<br>andard – 748? Yes No   | et the criteria in                      | Yes            | <u>X</u>  | No                    |  |

2. Answer the following questions about current cumulative cost and schedule performance. The numbers reported below should reflect current actual information. (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs):

| <ul> <li>a. What is the Planned Value (PV)?</li> <li>b. What is the Earned Value (EV)?</li> <li>c. What is the actual cost of work performed (AC)?</li> <li>d. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)?</li> <li>e. "As of" date:</li> </ul> |                         |    |
|---|-------------------------|----|
| 3. What is the calculated Schedule Performance Index (SPI= EV/PV)?  |                         |    |
| 4. What is the schedule variance $(SV = EV-PV)$ ?   |                         |    |
| 5. What is the calculated Cost Performance Index (CPI = EV/AC)?   |                         |    |
| 6. What is the cost variance $(CV = EV - AC)$ ?   |                         |    |
| 7. Is the CV% or SV% greater than $\pm$ 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100) a. If "yes," was it the?  | Yes<br>CV<br>SV<br>Both | No |
| <ul><li>b. If "yes," explain the variance:</li><li>c. If "yes," what corrective actions are being taken?</li><li>d. What is most current "Estimate at Completion"?</li></ul>  |                         |    |
| 8. Have any significant changes been made to the baseline during the past fiscal year?  a. If "yes," when was it approved by OMB?   | Yes                     | No |

**9.** Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

|  | Initial Baseline Curre |                     |                   | rrent I | Baseline Baseline Variance |    |               |    |          |
|--|------------------------|---------------------|-------------------|---------|----------------------------|----|---------------|----|----------|
| <b>Description of</b>  | Planned Total Cost     |                     | Completion        | Date    | Total Cost                 |    | Schedule/Cost |    | Percent  |
| Milestone  | <b>Completion Date</b> | Estimated           | Planned/Actual    |         | Planned/ Actual            |    | (# days/\$M)  |    | Complete |
| Phased relocation of<br>non-Family Court<br>related divisions                  | Quarter 1<br>2013      | \$111.40<br>million | Quarter 1<br>2013 | NA      | \$111.40<br>million        | NA | NA            | NA | 0%       |
| Phased<br>consolidation of<br>Family Court<br>functions & related<br>divisions | Quarter 1<br>2013      | \$111.40<br>million | Quarter 1<br>2013 | NA      | \$111.40<br>million        | NA | NA            | NA | 0%       |

# Part III: For "Operation and maintenance" Investments ONLY (Steady State)

Part III should be completed only for investments identified as "Operations and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

#### **Section A: Risk Management (All Capital Assets)**

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

| 1.Does the investment have a Risk Management Plan? a. If "yes," what is the date of the plan?   | Yes            | No              |
|---|----------------|-----------------|
| a. If "yes," what is the date of the plan? b. Has the Risk Management Plan been significantly changed since last year's submission to OMB? c. If "yes," describe any significant changes:  .If there currently is no plan, will a plan be developed? a. If "yes," what is the planned completion date? b. If "no," what is the strategy for managing the risks?   ton B: Cost and Schedule Performance (All Capital Assets) s operational analysis conducted? a. If "yes," provide the date the analysis was completed. b. If "yes," what were the results? c. If "no," please explain why it was not conducted and if there are any plans to conduct operational analysis in the future: | Yes            | No              |
|   |                | No              |
| Section B: Cost and Schedule Performance (All Capital Asset   | ts)            |                 |
| <ul><li>b. If "yes," what were the results?</li><li>c. If "no," please explain why it was not conducted and if there are any plans to conduct operational analysis in the</li></ul>   | Yes            | No              |
| 2. Complete the following table to compare actual cost performance ag baseline. Milestones reported may include specific individual schedule corrective maintenance activities, or may be the total of planned annua efforts).  | d preventative | and predictable |
| a. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)?  |                |                 |

| 2. b Comparison of Plan vs. Actual Performance Table: |                              |                     |                              |                     |                            |  |  |
|---|------------------------------|---------------------|------------------------------|---------------------|----------------------------|--|--|
|   | Planned                      |                     | Actual Variance              |                     |                            |  |  |
| Description of<br>Milestone                           | Completion Date (mm/dd/yyyy) | Total Cost<br>(\$M) | Completion Date (mm/dd/yyyy) | Total Cost<br>(\$M) | Schedule/Cost (# days/\$M) |  |  |
|   |                              |                     |                              |                     |                            |  |  |
|   |                              |                     |                              |                     |                            |  |  |
|   |                              |                     |                              |                     |                            |  |  |

# Part I: Summary Information And Justification (All Capital Assets)

| Se                                     | ction A: Overview (All Capital Assets)  |  |  |  |  |  |  |
|--|---|--|--|--|--|--|--|
| 1.                                     | Date of Submission: May 2007  |  |  |  |  |  |  |
| 2.                                     | Agency: District of Columbia Courts   |  |  |  |  |  |  |
| 3.                                     | Bureau: NA  |  |  |  |  |  |  |
| 4.                                     | Name of this Capital Asset: Adult Holding Facilities/USMS Space Renovation  |  |  |  |  |  |  |
| 5.                                     | Unique Project (Investment) Identifier: (For IT investment only, see section <u>53</u> . For all other, use agency ID system.) 95-1712  |  |  |  |  |  |  |
| 6.                                     | What kind of investment will this be in FY2009?  (Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)  Planning Full Acquisition  Operations and Maintenance  Mixed Life Cycle  E-Gov/LoBOversight  |  |  |  |  |  |  |
| 7.                                     | What was the first budget year this investment was submitted to OMB? 2007   |  |  |  |  |  |  |
| clo (U) fac are der the det us req adc | 3. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The United States Marshal Service USMS) provides security and protection for the judicial officers and in the courtrooms. The USMS accilities in the Moultrie Courthouse, the location of the largest and busiest USMS operation nationwide, are in need of significant expansion and upgrade to meet the current USMS standards, and the growing demands of the D.C. Courts. Built over 30 years ago, the adult prisoner holding area is inadequate to hold the hundreds of prisoners daily, and is not in compliance with many of the current security standards for detention facilities. Similarly, the USMS administrative and support office space is significantly below USMS standards, and is located in a decentralized configuration which is inefficient. Major upgrades are required to address health, safety and functionality concerns, and the Courts are exploring all options to address the needs of the USMS. This project will address the following areas: the adult prisoner holding unit, arraignment courtroom, prisoner holding areas adjacent to the courtrooms, the sallyport, and USMS administrative and support space. |  |  |  |  |  |  |
| 9.                                     | Did the Agency's Executive/Investment Committee review this request?  Yes X  No   |  |  |  |  |  |  |
|  | a. If "yes," what was the date of this approval?  |  |  |  |  |  |  |
| 10.                                    | Did the Project Manager review this Exhibit? Yes X No No  |  |  |  |  |  |  |
| 11.                                    | Contact information of Project Manager?  Name Phone Number E-mail  Mary Ann Satterthwaite  202-879-2898  satterma2@dcsc.gov   |  |  |  |  |  |  |

| 12. Has the agency developed and/or promoted cost effecti sustainable techniques or practices for this project. | ve, energy-efficient and environmentally       |
|---|--|
|   | Yes <u>X</u> No                                |
| a. Will this investment include electronic assets (in   |  |
|   | Yes No _X                                      |
| b.Is this investment for new construction or major  |  |
| •   | Yes No _X                                      |
| (answer applicable to non-11 assets only)   | cs No <u>A</u>                                 |
| 1. If "yes," is an ESPC or UESC being used to   | o help fund this investment? NA                |
| Y   | /es No   |
| 2. If "yes," will this investment meet sustainab  |  |
|   | /es No   |
| 3. If "yes," is it designed to be 30% more ener   |  |
| •   |  |
| Y   | Yes No   |
| 13. Does this investment directly support one of the PMA  | initiatives? If "yes" check all that apply: NA |
| Huma  | an Capital                                     |
| Budget Performance In   | •  |
| Financial Per   |  |
| Expanded E-Go   |  |
| Competitive   |  |
| Faith Based and Co  |  |
| Real Property Asset Ma  | <del></del>                                    |
|   | <del>-</del>                                   |
| Eliminating Improper  |  |
| Privatization of Military   | <del>-</del>                                   |
| Research & Development Investmen  |  |
| Housing & Urban Development Management & Per  |  |
| Broadening Health Insurance Coverage through State  |  |
| "Right Sized" Overseas  |  |
| Coordination of VA & DoD Programs and   | d Systems                                      |
| b. Briefly describe how this asset directly support  14. Does this investment support a program assessed using  | the Program Assessment Rating Tool             |
| (PART)? (For more information about the PART, visit www.  | w.whitehouse.gov/omb/part.)                    |
|   | Yes <u>X</u> No                                |
| d. If "yes," does this investment address a weakness t  | found  |
| during a PART review?   | Yes No <u>X</u>                                |
| •   |  |
| e. If "yes," what is the name of the PARTed prog  |  |
| f. If "yes," what rating did the PART receive?  | Adequate                                       |
| 15. Is this investment for information technology?  | Yes No _X                                      |
| If the answer to Question 15 is "Yes," complete questions   | 16-23 below. If the answer is "No," do not     |

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answer questions 16-23.

| For information technology investments only:   |             |               |                    |
|--|-------------|---------------|--------------------|
| 16. What is the level of the IT Project? (per CIO Council PM Gui   | *           |               |                    |
|  |             | vel 1         |                    |
|  |             | vel 2         |                    |
|  |             | vel 3         |                    |
| 17. What project management qualifications does the Project Mar Guidance)  | nager have? | ? (per CIO C  | Council PM         |
| (1) Project manager has been validated as qualified for th   |             |               |                    |
| (2) Project manager qualification is under review for this   |             |               |                    |
| (3) Project manager assigned to investment, but does not   |             |               |                    |
| (4) Project manager assigned but qualification status reviews  |             | yet started   |                    |
| (5) No Project manager has yet been assigned to this inve  | estment     |               |                    |
| 18. Is this investment identified as "high risk" on the Q4 -FY 200 'high risk" memo)?  | 6 agency h  | igh risk repo | ort (per OMB's     |
| 19. Is this a financial management system?   |             | Yes           | No                 |
| a. If "yes," does this investment address a FFMIA compliance   | e area?     | Yes           |                    |
| 1. If "yes," which compliance area:  | c area.     | 103           | 110                |
| 2. If "no," what does it address?  |             |               |                    |
| b. If "yes," please identify the system name(s) and system   |             |               |                    |
| acronym(s) as reported in the most recent financial systems  |             |               |                    |
| inventory update required by Circular A–11 section 52  |             |               |                    |
| 20. What is the percentage breakout for the total FY2009 funding   | Hardware    | (This shou    | ld total 100%)<br> |
|  |             |               |                    |
| 21. If this project produces information dissemination products for published to the Internet in conformance with OMB Memorar inventory, schedules and priorities? |             |               |                    |
|  | Yes         | _             |                    |
|  | No          | _             |                    |
|  | NA          | -             |                    |
| 22. Contact information of individual responsible for privacy rela   | ted questio | ns:           |                    |
| Name   |             |               |                    |
| Phone Number   |             |               |                    |
| Title  |             |               |                    |
| E-mail   |             |               |                    |
|  |             |               |                    |
|  |             |               |                    |
| 23. Are the records produced by this investment appropriately sch  | neduled wit | h the Nation  |                    |
| Records Administration's approval?   | Ye          | s             | No                 |

#### **Section B: Summary of Spending (All Capital Assets)**

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

|                                     | Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for |             |             |            |              |              |              |                       |       |  |  |  |
|-------------------------------------|---|-------------|-------------|------------|--------------|--------------|--------------|-----------------------|-------|--|--|--|
| BY+1 and beyond are                 | BY+1 and beyond are for planning purposes only and do not represent budget decisions) |             |             |            |              |              |              |                       |       |  |  |  |
|                                     | PY–1<br>and<br>earlier  | PY<br>2007  | CY<br>2008  | BY<br>2009 | BY+1<br>2010 | BY+2<br>2011 | BY+3<br>2012 | BY+4<br>and<br>beyond | Total |  |  |  |
| Planning:                           | 0.00  | 0.00        | 0.00        | 0.00       | 0.00         | 0.00         | 0.00         | 0.00                  | 0.00  |  |  |  |
| Acquisition:                        | 0.00  | 0.00        | 16.00       | 34.00      | 15.00        | 0.00         | 0.00         | 0.00                  | 65.00 |  |  |  |
| Subtotal Planning & Acquisition:    | 0.00  | 0.00        | 16.00       | 34.00      | 15.00        | 0.00         | 0.00         | 0.00                  | 65.00 |  |  |  |
| Operations & Maintenance:           | 0.00  | 0.00        | 0.00        | 0.00       | 0.00         | 0.00         | 0.00         | 0.00                  | 0.00  |  |  |  |
| TOTAL:                              | 0.00  | 0.00        | 16.00       | 34.00      | 15.00        | 0.00         | 0.00         | 0.00                  | 65.00 |  |  |  |
| <b>Government FTE Co</b>            | sts should  | not be incl | luded in th | e amounts  | provided     | above.       |              |                       |       |  |  |  |
| Government FTE<br>Costs             |   |             |             |            |              |              |              |                       |       |  |  |  |
| Number of FTE represented by Costs: |   |             |             |            |              |              |              |                       |       |  |  |  |

*Note:* For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

| 2. Will this project require the agency to hire additional FTE's? | Yes | No <u>X</u> |
|---|-----|-------------|
| a. If "yes," How many and in what year?                           |     |             |
| 3. If the summary of spending has changed from the FY2008         |     |             |
| President's budget request, briefly explain those changes:        |     |             |

Section C: Acquisition/Contract Strategy (All Capital Assets)

| Contracts/Task Orders Table:                 |                            |   |                          |  |  |  |
|--|----------------------------|---|--------------------------|--|--|--|
| Contract or Task Order                       | 2009-1 Administrative Area | 2009-2 HVAC-Lighting                    | 2009-3 Initial Cellblock |  |  |  |
| Number                                       |                            | Upgrades                                | Modifications            |  |  |  |
| Type of Contract/Task Fixed                  |                            | Fixed                                   | Fixed                    |  |  |  |
| Order  | V A                        |   |                          |  |  |  |
| Has the contract been                        | No                         | No                                      | No                       |  |  |  |
| awarded (Y/N)                                |                            |   |                          |  |  |  |
| If so what is the date of                    | Quarter 1 FY 2009          | Quarter 1 FY 2009                       | Quarter 1 FY 2009        |  |  |  |
| the award? If not, what is                   |                            |   |                          |  |  |  |
| the planned award date?                      |                            |   |                          |  |  |  |
| Start date of                                | Quarter 1 FY 2009          | Quarter 1 FY 2009                       | Quarter 1 FY 2009        |  |  |  |
| Contract/Task Order                          |                            |   |                          |  |  |  |
| End date of                                  | Quarter 1 FY 2010          | Quarter 1 FY 2010                       | Quarter 2 FY 2010        |  |  |  |
| Contract/Task Order                          |                            | 1,,,,                                   |                          |  |  |  |
| Is this an Interagency                       | NO                         | NO                                      | NO                       |  |  |  |
| Acquisition? (Y/N)                           | 71770                      | *************************************** |                          |  |  |  |
| Is it performance based?                     | YES                        | YES                                     | YES                      |  |  |  |
| (Y/N)  | YES                        | YES                                     | VEG                      |  |  |  |
| Competitively awarded?                       | YES                        | YES                                     | YES                      |  |  |  |
| (Y/N)  | NA                         | NA                                      | NA                       |  |  |  |
| What, if any, alternative                    | NA NA                      | NA                                      | NA                       |  |  |  |
| financing option is being used? (ESPC, UESC, |                            |   |                          |  |  |  |
| EUL, N/A)                                    |                            |   |                          |  |  |  |
| Is EVM in the contract?                      | YES                        | YES                                     | YES                      |  |  |  |
| (Y/N)  | TES .                      | 125                                     |                          |  |  |  |
| Does the contract include                    | YES                        | YES                                     | YES                      |  |  |  |
| the required security &                      |                            |   |                          |  |  |  |
| privacy clauses? (Y/N)                       |                            |   |                          |  |  |  |
| Name of CO                                   | Joseph E. Sanchez          | Joseph E. Sanchez                       | Joseph E. Sanchez        |  |  |  |
| CO Contact information                       | 202-879-2801               | 202-879-2801                            | 202-879-2801             |  |  |  |
| (phone/email)                                | sanchezj@dcsc.gov          | sanchezj@dcsc.gov                       | sanchezj@dcsc.gov        |  |  |  |
| <b>Contracting Officer</b>                   | NA                         | NA                                      | NA                       |  |  |  |
| <b>Certification Level (Level</b>            |                            |   |                          |  |  |  |
| 1, 2, 3, N/A)                                |                            |   |                          |  |  |  |
| If N/A, has the agency                       | YES                        | YES                                     | YES                      |  |  |  |
| determined the CO                            |                            |   |                          |  |  |  |
| assigned has the                             |                            |   |                          |  |  |  |
| competencies and skills                      |                            |   |                          |  |  |  |
| necessary to support this                    |                            |   |                          |  |  |  |
| acquisition? (Y/N)                           |                            |   |                          |  |  |  |

| 2. If earned value is not required or will not be a contra  | act         |   |  |
|---|-------------|---|--|
| requirement for any of the contracts or task orders abowhy: | ve, explain |   |  |
| 3. Do the contracts ensure Section 508 compliance?          |             |   |  |
|   | Yes         |   |  |
|   | No          | X |  |
|   | N/A         | _ |  |

a. Explain why:

| 4. Is there an acquisition plan which has been approved in |                 |
|--|-----------------|
| accordance with agency requirements?                       | Yes <u>X</u> No |
| a. If "yes," what is the date?                             |                 |
| b. If "no," will an acquisition plan be developed?         |                 |
| 1. If "no," briefly explain why:                           |                 |

#### **Section D: Performance Information (All Capital Assets)**

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

|                | Performance Information Table 1:  |  |   |   |   |  |  |
|----------------|---|--|---|---|---|--|--|
| Fiscal<br>Year | Strategic Goal(s)<br>Supported  | Performance Measure  | Actual/baseline (from<br>Previous Year)   | Planned<br>performance Metric<br>(Target)   | Performance<br>Metric Results<br>(Actual) |  |  |
| 2004-<br>2008  | Goal 2.1: The Courts<br>will ensure that<br>physical facilities are<br>easily accessible by<br>all persons.                             | <ul> <li>Provide handicap accessible facilities for adult prisoners.</li> <li>Provide accessible facilities for medical emergencies.</li> </ul>  | Existing sallyport, adult holding unit arraignment courtroom, and courtroom prisoner holding units have not been significantly altered or upgraded since the opening of the courthouse in 1976. | Renovate existing cellblock with handicap accessible cells. Increase corridor widths for gurneys  | NA  |  |  |
| 2004-<br>2008  | Goal 4.1: The Courts will provide personnel and court participants with a safe, secure, functional, and habitable physical environment. | <ul> <li>Increase safety by decreasing overcrowding.</li> <li>Improve security through technology upgrades.</li> <li>Increase safety by upgrading the cellblocks life safety systems.</li> <li>Improve habitability through upgrades to M/E/P systems</li> </ul> | Existing sallyport, adult holding unit arraignment courtroom, and courtroom prisoner holding units have not been significantly altered or upgraded since the opening of the courthouse in 1976. | Relocate USMS administrative functions to a location outside the cellblock and complete a phased renovation of the cell block while keeping it operational. | NA  |  |  |

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the

PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at <a href="https://www.egov.gov">www.egov.gov</a>.

|                | Performance Information Table 2: |                         |                          |          |   |                   |  |
|----------------|----------------------------------|-------------------------|--------------------------|----------|---|-------------------|--|
| Fiscal<br>Year | Measurement<br>Area              | Measurement<br>Grouping | Measurement<br>Indicator | Baseline | Planned<br>Improvement<br>to the Baseline | Actual<br>Results |  |
| 2006 -<br>2008 | Mission &<br>Business Results    | NA                      | NA                       | NA       | NA  | NA                |  |
| 2006 -<br>2008 | Customer Results                 | NA                      | NA                       | NA       | NA  | NA                |  |
| 2006 -<br>2008 | Processes & Activities           | NA                      | NA                       | NA       | NA  | NA                |  |
| 2006 -<br>2008 | Technology                       | NA                      | NA                       | NA       | NA  | NA                |  |

Section E: Security and Privacy (IT Capital Assets only)
NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only) NA – Not Applicable

#### Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments identified as "Planning" or "Full Acquisition", or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

#### **Section A: Alternatives Analysis (All Capital Assets)**

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

| 1. | Did you conduct an alternatives analysis for this      | Yes <u>X</u> No                    |
|----|--|------------------------------------|
|    | project?   | May 2007 - Facilities Improvements |
|    | a. If "yes," provide the date the analysis was         | Feasibility Study for the USMS     |
|    | completed?   |                                    |
|    | b. If "no," what is the anticipated date this analysis | ·                                  |
|    | will be completed?                                     | ·                                  |
|    | c. If no analysis is planned, please briefly explain   |                                    |
|    | why:   |                                    |

| Alternatives Analysis Results: Use the results of your alternatives analysis to complete the following table: |   |                              |                               |  |  |  |
|---|---|------------------------------|-------------------------------|--|--|--|
| Alternative   | Description of Alternative              | Risk Adjusted Lifecycle      | Risk Adjusted Lifecycle       |  |  |  |
| Analyzed  | Description of Afternative              | Costs estimate               | Benefits estimate             |  |  |  |
| Alternative 1   | Multi-Phased renovation of Moultrie     | Best Alternative – Most Cost | Greatest Operational Benefit  |  |  |  |
|   | Courthouse prisoner facilities          | Effective                    |                               |  |  |  |
| Alternative 2   | Single Phase renovation of the Moultrie | Poor Alternative             | Not feasible due to mandatory |  |  |  |
|   | Courthouse prisoner facilities          |                              | continuous operational use.   |  |  |  |

| 3. Which alternative was selected by the Agency's Executive/Investment Committee and why was it chosen?   |                        |                        |
|---|------------------------|------------------------|
| 4. What specific qualitative benefits will be realized?   |                        |                        |
| Section B: Risk Management (All Capital Assets)   |                        |                        |
| You should have performed a risk assessment during the early planninvestment's life-cycle, developed a risk-adjusted life-cycle cost estimor manage risk, and be actively managing risk throughout the investment.                              | ate and a plan to elir |                        |
| <ol> <li>Does the investment have a Risk Management Plan?</li> <li>a. If "yes," what is the date of the plan?</li> <li>b. Has the Risk Management Plan been significantly changed sinc</li> </ol>   |                        | No<br>ojects Plan 2004 |
| last year's submission to OMB?  c. If "yes," describe any significant changes:  |                        | No <u>X</u>            |
| 2. If there currently is no plan, will a plan be developed? a.If "yes," what is the planned completion date? b.If "no," what is the strategy for managing the risks?  | Yes                    | No                     |
| 3. Briefly describe how investment risks are reflected in the life cycle  | cost estimate and inv  | estment schedule       |
| Section C: Cost and Schedule Performance (All Capital Asse  | ets)                   |                        |
| EVM is required only on DME portions of investments. For mixed life milestones should still be included in the table (Comparison of Initial Baseline). This table should accurately reflect the milestones in the init in the current baseline. | Baseline and Current   | Approved               |
| 1. Does the earned value management system meet the criteria in ANSI/EIA Standard – 748? Yes No   | Yes <u>X</u>           | No                     |
| 2. Answer the following questions about current cumulative cost and s reported below should reflect current actual information. (Per OMB re Performance information should include both Government and Contra                                   | equirements Cost/Scl   |                        |
| <ul><li>a. What is the Planned Value (PV)?</li><li>b. What is the Earned Value (EV)?</li></ul>  |                        |                        |
| c. What is the actual cost of work performed (AC)? d. What costs are included in the reported Cost/Schedule   |                        |                        |
| Performance information (Government Only/Contractor Only/Both)?  e. "As of" date:   |                        |                        |
| 3. What is the calculated Schedule Performance Index (SPI= EV/PV)?  |                        |                        |

| 4. What is the schedule variance $(SV = EV-PV)$ ?  |                         |    |
|--|-------------------------|----|
| 5. What is the calculated Cost Performance Index (CPI = EV/AC)?  |                         |    |
| 6. What is the cost variance $(CV = EV - AC)$ ?  |                         |    |
| 7. Is the CV% or SV% greater than $\pm$ 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100) a. If "yes," was it the?   | Yes<br>CV<br>SV<br>Both | No |
| <ul><li>b. If "yes," explain the variance:</li><li>c. If "yes," what corrective actions are being taken?</li><li>d. What is most current "Estimate at Completion"?</li></ul> |                         |    |
| 8. Have any significant changes been made to the baseline during the past fiscal year?  a. If "ves." when was it approved by OMB?  | Yes                     | No |

**9.** Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

|                                 | Initial Baseline Current Baseline |                         | Initial Baseline Cu |                         |       | Current<br>Baseline<br>Variance | e                   |     |                     |
|---------------------------------|-----------------------------------|-------------------------|---------------------|-------------------------|-------|---------------------------------|---------------------|-----|---------------------|
| Description of Milestone        | Planned<br>Completion Date        | Total Cost<br>Estimated | _                   | etion Date<br>ed/Actual |       |                                 | Schedul<br>(# days/ |     | Percent<br>Complete |
| Relocate USMS<br>Admin. Staff   | Q1 09                             | \$2.5M                  | Q1 09               | N/A                     | \$25M | N/A                             | N/A                 | N/A | 0                   |
| Complete HVAC improvements      | Q1 09                             | \$1M                    | Q1 09               | N/A                     | \$1M  | N/A                             | N/A                 | N/A | 0                   |
| Begin Cellblock<br>Improvements | Q2 09                             | \$43M                   | Q2 09               | N/A                     | \$43M | N/A                             | N/A                 | N/A | 0                   |

## Part III: For "Operation and maintenance" Investments ONLY (Steady State)

Part III should be completed only for investments identified as "Operations and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

#### **Section A: Risk Management (All Capital Assets)**

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

| <ol> <li>Does the investment have a Risk Management Plan?</li> <li>a. If "yes," what is the date of the plan?</li> <li>b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?</li> </ol>  | Yes No<br>Yes No                |
|--|---------------------------------|
| c. If "yes," describe any significant changes:   |                                 |
| <ul><li>2. If there currently is no plan, will a plan be developed?</li><li>a. If "yes," what is the planned completion date?</li><li>b. If "no," what is the strategy for managing the risks?</li></ul>   | Yes No                          |
| Section B: Cost and Schedule Performance (All Capital Asse   | ts)                             |
| <ol> <li>Was operational analysis conducted?</li> <li>a. If "yes," provide the date the analysis was completed.</li> <li>b. If "yes," what were the results?</li> <li>c. If "no," please explain why it was not conducted and if there are any plans to conduct operational analysis in the</li> </ol> | Yes No                          |
| future:  2. Complete the following table to compare actual cost performance as baseline. Milestones reported may include specific individual schedule corrective maintenance activities, or may be the total of planned annual efforts).   | ed preventative and predictable |
| a. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)?   |                                 |

| 2. b Comparison of Plan vs. Actual Performance Table: |                              |                  |                              |                  |                     |         |
|---|------------------------------|------------------|------------------------------|------------------|---------------------|---------|
|   | Planned                      |                  | Act                          | ual              | Variance            |         |
| Description of<br>Milestone                           | Completion Date (mm/dd/yyyy) | Total Cost (\$M) | Completion Date (mm/dd/yyyy) | Total Cost (\$M) | Schedule/Odays/\$M) | Cost (# |
|   |                              |                  |                              |                  |                     |         |
|   |                              |                  |                              |                  |                     |         |
|   |                              |                  |                              |                  |                     |         |
|   |                              |                  |                              |                  |                     |         |

# Part I: Summary Information And Justification (All Capital Assets)

| Se  | ction A: Overview (All Capital Assets)   |
|-----|--|
| 1.  | Date of Submission: September 2007   |
| 2.  | Agency: District of Columbia Courts  |
| 3.  | Bureau: NA   |
| 4.  | Name of this Capital Asset: Moultrie Courthouse – Indiana Avenue Expansion   |
| 5.  | Unique Project (Investment) Identifier: (For IT investment only, see section <u>53</u> . For all other, use agency ID system.) 95-1712   |
| (Pl | What kind of investment will this be in FY2009?  ease NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities or to FY2008 should not select O&M. These investments should indicate their current status.)  Planning  Full Acquisition  Operations and Maintenance  Mixed Life Cycle  E-Gov/LoBOversight  |
| 7.  | What was the first budget year this investment was submitted to OMB? 2003  |
| 8.  | Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The Indiana Avenue entrance to the Moultrie Courthouse was built during a time of fewer security concerns. The lobby was not designed for magnetometers and a queuing area. Each morning, lines extend out the doors of the courthouse as the public must wait in all weather conditions to enter the building. The Indiana Avenue Expansion will have two phases of construction. Phase I, currently in acquisition, addresses the façade and interior entry area and will provide increased space for security equipment and modest additional indoor queuing space to allow for some screening. Phase II, the 2009 budget request, addresses the exterior entry area, and will include design modifications to meet USMS. standards, NCPC Security Guidelines and improve circulation and visual surveillance for Site Security Officers. |
| 9.  | Did the Agency's Executive/Investment Committee review this request?  Yes X  No  |
|     | a. If "yes," what was the date of this approval?   |
| 10. | Did the Project Manager review this Exhibit?  Yes X  No  |
| 11. | Contact information of Project Manager?  Name Phone Number 202-879-2898 E-mail E-mail  Satterma2@dcsc.gov  |

| 12. Has the agency developed and/or promoted cost effective, energy-eff sustainable techniques or practices for this project.  | icient and environmentally     |
|--|--------------------------------|
| Yes X  | No                             |
| a. Will this investment include electronic assets (including comp  |                                |
| Yes  | No _X_                         |
| b.Is this investment for new construction or major retrofit of a Fe  |                                |
| (answer applicable to non-IT assets only)  | defail suitaing of facility.   |
| Yes  | No X                           |
| 105 <u></u> -  | 110 <u>11</u>                  |
| 1. If "yes," is an ESPC or UESC being used to help   | n fund this investment? NA     |
|  | s No                           |
| 2. If "yes," will this investment meet sustainable de  |                                |
| · · · · · · · · · · · · · · · · · · ·  | S No                           |
| 3. If "yes," is it designed to be 30% more energy  |                                |
| NA   | efficient man felevant code?   |
| Yes  | S No                           |
| 13. Does this investment directly support one of the PMA initiatives? If   | "yes" check all that apply: NA |
| Human Capital  |                                |
| Budget Performance Integration   |                                |
| Financial Performance  |                                |
| Expanded E-Government  |                                |
| Competitive Sourcing   |                                |
| Faith Based and Community  |                                |
| Real Property Asset Management   |                                |
| Eliminating Improper Payments  | <del></del>                    |
| Privatization of Military Housing  |                                |
| Research & Development Investment Criteria   |                                |
| Housing & Urban Development Management & Performance   |                                |
| Broadening Health Insurance Coverage through State Initiatives   |                                |
| "Right Sized" Overseas Presence  |                                |
| Coordination of VA & DoD Programs and Systems  |                                |
| Coordination of VII & Dod Frograms and Systems   |                                |
| a. Briefly describe how this asset directly supports the identifie   | d initiative(s)? NA            |
| 14. Does this investment support a program assessed using the Program assessed using the Program (PART)? (For more information about the PART, visit <a href="www.whitehouse">www.whitehouse</a> |                                |
|  | Yes <u>X</u> No                |
| a. If "yes," does this investment address a weakness found during  |                                |
| a PART review?   | Yes No <u>X</u>                |
| b.If "yes," what is the name of the PARTed program?  | D.C. Courts                    |
| c. If "yes," what rating did the PART receive?   | Adequate                       |
| 15. Is this investment for information technology?   | Yes No _X                      |
|  |                                |

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.

| For information technology investments only:   |                                       |                 |
|--|---------------------------------------|-----------------|
| 16. What is the level of the IT Project? (per CIO Council PM Guidance)   | 1.1                                   |                 |
|  | Level 1                               |                 |
|  | Level 2                               | <del></del>     |
|  | Level 3                               |                 |
| 17. What project management qualifications does the Project Manager hav Guidance)  | e? (per CIO C                         | Jouncil PM      |
| (1) Project manager has been validated as qualified for this investment (2) Project manager qualification is an der review for this investment.                                  |                                       |                 |
| <ul><li>(2) Project manager qualification is under review for this investme</li><li>(3) Project manager assigned to investment, but does not meet requ</li></ul>                 |                                       |                 |
| (4) Project manager assigned but qualification status review has no  |                                       |                 |
| (5) No Project manager has yet been assigned to this investment  | , , , , , , , , , , , , , , , , , , , |                 |
| 18. Is this investment identified as "high risk" on the Q4 -FY 2006 agency 'high risk" memo)?  | high risk rep                         | ort (per OMB's  |
| 19. Is this a financial management system?   | Vac                                   | No              |
| a. If "yes," does this investment address a FFMIA compliance area?   | Yes<br>Yes                            |                 |
| 1. If "yes," which compliance area: 2. If "no," what does it address?  | 1 CS                                  |                 |
| b. If "yes," please identify the system name(s) and system   |                                       |                 |
| acronym(s) as reported in the most recent financial systems  |                                       |                 |
| inventory update required by Circular A–11 section 52  |                                       |                 |
| 20. What is the percentage breakout for the total FY2009 funding request a Hardward Software   | (This show                            | ıld total 100%) |
| Services   |                                       |                 |
| Other  |                                       | <u></u>         |
| 21. If this project produces information dissemination products for the pub published to the Internet in conformance with OMB Memorandum 05-inventory, schedules and priorities? | lic, are these                        |                 |
| Yes  |                                       |                 |
| No   | -                                     |                 |
| NA   | -                                     |                 |
| 22. Contact information of individual responsible for privacy related quest  Name  | ions:                                 |                 |
| Phone Number   |                                       |                 |
|  |                                       | <del></del> -   |
| E-mail   |                                       |                 |
|  |                                       |                 |
| 23. Are the records produced by this investment appropriately scheduled was Records Administration's approval?   | vith the Nation                       |                 |
|  |                                       | ~               |

#### **Section B: Summary of Spending (All Capital Assets)**

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

|                                     | Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions) |            |            |            |              |              |              |                       |                   |
|-------------------------------------|---|------------|------------|------------|--------------|--------------|--------------|-----------------------|-------------------|
|                                     | PY–1 and earlier  | PY<br>2007 | CY<br>2008 | BY<br>2009 | BY+1<br>2010 | BY+2<br>2011 | BY+3<br>2012 | BY+4<br>and<br>beyond | Total<br>Unfunded |
| Planning:                           | 0.00  | 0.00       | 0.00       | 0.00       | 0.00         | 0.00         | 0.00         | 0.00                  | 0.00              |
| Acquisition:                        | 3.97  | 0.00       | 0.00       | 4.77       | 0.00         | 0.00         | 0.00         | 0.00                  | 4.77              |
| Subtotal Planning & Acquisition:    | 3.97  | 0.00       | 0.00       | 4.77       | 0.00         | 0.00         | 0.00         | 0.00                  | 4.77              |
| Operations & Maintenance:           | 0.00  | 0.00       | 0.00       | 0.00       | 0.00         | 0.00         | 0.00         | 0.00                  | 0.00              |
| TOTAL:                              | 3.97  | 0.00       | 0.00       | 4.77       | 0.00         | 0.00         | 0.00         | 0.00                  | 4.77              |
| Government FTE Co                   | Government FTE Costs should not be included in the amounts provided above.  |            |            |            |              |              |              |                       |                   |
| Government FTE<br>Costs             |   |            |            |            |              |              |              |                       |                   |
| Number of FTE represented by Costs: |   |            |            |            |              |              |              |                       |                   |

*Note:* For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

| 2. Will this project require the agency to hire additional FTE's? | Yes | No <u>X</u> |
|---|-----|-------------|
| a. If "yes," How many and in what year?                           |     |             |
| 3. If the summary of spending has changed from the FY2008         |     |             |
| President's budget request, briefly explain those changes:        |     |             |

# **Section C: Acquisition/Contract Strategy (All Capital Assets)**

|  | Contracts/Task Orders         | s Table:    |   |
|--|-------------------------------|-------------|---|
| <b>Contract or Task Order Number</b>     | 2009-01 Indiana Ave Entry     |             |   |
|  | Construction                  |             |   |
| Type of Contract/Task Order              | Fixed                         |             |   |
| Has the contract been awarded            | No                            |             |   |
| (Y/N)                                    |                               |             |   |
| If so what is the date of the            | First Quarter FY 2009         |             |   |
| award? If not, what is the               |                               |             |   |
| planned award date?                      |                               |             |   |
| Start date of Contract/Task              | First Quarter FY 2009         |             |   |
| Order                                    |                               |             |   |
| End date of Contract/Task                | Third Quarter FY 2009         |             |   |
| Order                                    |                               |             |   |
| Is this an Interagency                   | No                            |             |   |
| Acquisition? (Y/N)                       |                               |             |   |
| Is it performance based? (Y/N)           | Yes                           |             |   |
| Competitively awarded? (Y/N)             | Yes                           |             |   |
| What, if any, alternative                | NA                            |             |   |
| financing option is being used?          |                               |             |   |
| (ESPC, UESC, EUL, N/A)                   |                               |             |   |
| Is EVM in the contract? (Y/N)            | Yes                           |             |   |
| Does the contract include the            | Yes                           |             |   |
| required security & privacy              |                               |             |   |
| clauses? (Y/N)                           |                               |             |   |
| Name of CO                               | Joseph E. Sanchez             |             |   |
| CO Contact information                   | sanchezj@dcsc.gov             |             |   |
| (phone/email)                            | 202-879-2801                  |             |   |
| <b>Contracting Officer Certification</b> | NA                            |             |   |
| Level (Level 1, 2, 3, N/A)               |                               |             |   |
| If N/A, has the agency                   | YES                           |             |   |
| determined the CO assigned has           |                               |             |   |
| the competencies and skills              |                               |             |   |
| necessary to support this                |                               |             |   |
| acquisition? (Y/N)                       |                               |             |   |
|  |                               |             | · |
|  |                               |             |   |
| 2. If earned value is not required       | or will not be a contract     |             |   |
| requirement for any of the contra        |                               | olain       |   |
| why:                                     | cts of task ofacts above, exp |             |   |
| wiiy.                                    |                               |             |   |
| 2 Do the contract of the C               | - 500 agranting - 20          |             |   |
| 3. Do the contracts ensure Section       | 1 308 comphance?              |             |   |
|  |                               | Yes         |   |
|  |                               | No <u>X</u> |   |
|  |                               | N/A         |   |
| . F1-1                                   |                               |             |   |

4. Is there an acquisition plan which has been approved in accordance with agency requirements?

a. If "yes," what is the date?

a. Explain why:

b. If "no," will an acquisition plan be developed?

1. If "no," briefly explain why:

| Yes <u>X</u> 2003 | No |
|-------------------|----|
|                   |    |

#### **Section D: Performance Information (All Capital Assets)**

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

|                | Performance Information Table 1: |                         |   |   |   |  |  |  |
|----------------|----------------------------------|-------------------------|---|---|---|--|--|--|
| Fiscal<br>Year | Strategic Goal(s)<br>Supported   | Performance Measure     | Actual/baseline (from<br>Previous Year) | Planned<br>performance<br>Metric (Target) | Performance<br>Metric Results<br>(Actual) |  |  |  |
| 2009           | Goal 4.1: The Court              | Construction of a new   | The existing entry dates from           | New entry                                 | NA  |  |  |  |
|                | will provide personnel           | Indiana Avenue entry to | the original design from 35             | design.                                   |   |  |  |  |
|                | and court participants           | the Moultrie Courthouse | years ago. It has outdated              |   |   |  |  |  |
|                | with a safe, secure,             | will improve access and | security and is not equipped            |   |   |  |  |  |
|                | functional                       | security.               | to meet current courthouse              |   |   |  |  |  |
|                | environment.                     |                         | visitor volumes.                        |   |   |  |  |  |

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at <a href="https://www.egov.gov">www.egov.gov</a>.

|                | Performance Information Table 2: |                         |                          |          |   |                   |  |  |
|----------------|----------------------------------|-------------------------|--------------------------|----------|---|-------------------|--|--|
| Fiscal<br>Year | Measurement<br>Area              | Measurement<br>Grouping | Measurement<br>Indicator | Baseline | Planned<br>Improvement<br>to the Baseline | Actual<br>Results |  |  |
| 2006 -<br>2008 | Mission &<br>Business Results    | NA                      | NA                       | NA       | NA  | NA                |  |  |
| 2006 -<br>2008 | Customer Results                 | NA                      | NA                       | NA       | NA  | NA                |  |  |
| 2006 -<br>2008 | Processes &<br>Activities        | NA                      | NA                       | NA       | NA  | NA                |  |  |
| 2006 -<br>2008 | Technology                       | NA                      | NA                       | NA       | NA  | NA                |  |  |

Section E: Security and Privacy (IT Capital Assets only)
NA – Not Applicable

# Section F: Enterprise Architecture (EA) (IT Capital Assets only) NA – Not Applicable

#### Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments identified as "Planning" or "Full Acquisition", or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

#### **Section A: Alternatives Analysis (All Capital Assets)**

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

| 1. | Did you conduct an alternatives analysis for this project?                | Yes | No |
|----|---|-----|----|
|    | a. If "yes," provide the date the analysis was completed?                 |     |    |
|    | b. If "no," what is the anticipated date this analysis will be completed? |     |    |
|    | c. If no analysis is planned, please briefly explain why:                 |     |    |

| 2. Alternatives Analysis Results: Use the results of your alternatives analysis to complete the following table: |  |  |   |  |  |  |
|--|--|--|---|--|--|--|
| Alternative<br>Analyzed  | Description of<br>Alternative            | Risk Adjusted<br>Lifecycle Costs<br>estimate | Risk Adjusted Lifecycle Benefits estimate   |  |  |  |
| Alternative 1  | Expand Indiana Avenue<br>Entry           | Least modification and cost                  | Current location, greatest benefit for public orientation   |  |  |  |
| Alternative 2  | Relocate Entry to 6 <sup>th</sup> Street | Greatest modification and cost               | Location would move to JM Level, would eliminate occupied space with little public orientation benefit.   |  |  |  |
| Alternative 3  | Relocate entry to C Street               | Moderate modification and cost               | Location would move to C Street Level with<br>the least benefit for the public. It has the<br>greatest travel distance for the public. Public<br>vehicle drop off and prisoner delivery vehicles<br>would also cross paths. |  |  |  |

3. Which alternative was selected by the Agency's
 Executive/Investment Committee and why was it chosen?
 Alternative 1 – least modification and greatest public benefit

 4. What specific qualitative benefits will be realized?
 Greater security, easier access and screening of the public.

#### **Section B: Risk Management (All Capital Assets)**

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

| <ol> <li>Does the investment have a Risk Management Plan?</li> <li>a. If "yes," what is the date of the plan?</li> <li>b. Has the Risk Management Plan been significantly changed sin last year's submission to OMB?</li> <li>c. If "yes," describe any significant changes:</li> </ol>   | Yes X No Capital Projects Plan 2004  Yes No |
|---|---|
| <ul><li>2. If there currently is no plan, will a plan be developed?</li><li>a. If "yes," what is the planned completion date?</li><li>b. If "no," what is the strategy for managing the risks?</li></ul>  | Yes No  |
| 3. Briefly describe how investment risks are reflected in the life cycle  | e cost estimate and investment schedule   |
| Section C: Cost and Schedule Performance (All Capital Ass   | sets)   |
| EVM is required only on DME portions of investments. For mixed limilestones should still be included in the table (Comparison of Initial Baseline). This table should accurately reflect the milestones in the ir in the current baseline.  | Baseline and Current Approved   |
| 1. Does the earned value management system meet the criteria in ANSI/EIA Standard – 748? Yes No   | Yes <u>X</u> No   |
| 2. Answer the following questions about current cumulative cost and reported below should reflect current actual information. (Per OMB Performance information should include both Government and Contractions are contracted to the contraction of the contraction | requirements Cost/Schedule  |
| <ul> <li>a. What is the Planned Value (PV)?</li> <li>b. What is the Earned Value (EV)?</li> <li>c. What is the actual cost of work performed (AC)?</li> <li>d. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)?</li> <li>e. "As of" date:</li> </ul>   |   |
| 3. What is the calculated Schedule Performance Index (SPI= EV/PV)?  |   |
| 4. What is the schedule variance $(SV = EV-PV)$ ?   |   |
| 5. What is the calculated Cost Performance Index (CPI = EV/AC)?   |   |

6. What is the cost variance (CV = EV - AC)?

| 7. Is the CV% or SV% greater than $\pm 10\%$ ? (CV%= CV/EV x     |             |    |
|--|-------------|----|
| $100; SV\% = SV/PV \times 100)$                                  | Yes         | No |
| a. If "yes," was it the?   | CV          |    |
|  | SV          |    |
|  | Both        |    |
| b. If "yes," explain the variance:                               |             |    |
| c. If "yes," what corrective actions are being taken?            | <del></del> |    |
| d. What is most current "Estimate at Completion"?                | <del></del> |    |
| •  |             |    |
| 8. Have any significant changes been made to the baseline during |             |    |
| the past fiscal year?  | Yes         | No |
| a. If "yes," when was it approved by OMB?                        |             |    |
|  |             |    |

**9.** Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

|                             | Initial B                     | aseline                 | Current Baseline |                              |  | Curre<br>Baseli<br>Varia | ne |                     |                     |
|-----------------------------|-------------------------------|-------------------------|------------------|------------------------------|--|--------------------------|----|---------------------|---------------------|
| Description of<br>Milestone | Planned<br>Completion<br>Date | Total Cost<br>Estimated | Г                | pletion<br>Pate<br>ed/Actual |  | al Cost<br>d/ Actual     |    | ule/Cost<br>vs/\$M) | Percent<br>Complete |
| Construction                | May 2009                      | \$4.77 M                |                  |                              |  |                          |    |                     |                     |

## Part III: For "Operation and maintenance" Investments ONLY (Steady State)

Part III should be completed only for investments identified as "Operations and Maintenance" (Steady State) in response to Ouestion 6 in Part I, Section A above.

#### **Section A: Risk Management (All Capital Assets)**

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

| 1. | Does the investment have a Risk Management Plan?         | Yes | No |  |
|----|--|-----|----|--|
|    | a. If "yes," what is the date of the plan?               |     |    |  |
|    | b. Has the Risk Management Plan been significantly       |     |    |  |
|    | changed since last year's submission to OMB?             | Yes | No |  |
|    | c. If "yes," describe any significant changes:           |     |    |  |
| 2. | If there currently is no plan, will a plan be developed? | Yes | No |  |
|    | a. If "yes," what is the planned completion date?        |     |    |  |

| b. If "no," what is the strategy for managing the risks?   |                    |                 |
|--|--------------------|-----------------|
| Section B: Cost and Schedule Performance (All Capital Ass  | ets)               |                 |
| <ol> <li>Was operational analysis conducted?</li> <li>a. If "yes," provide the date the analysis was completed.</li> </ol>   | Yes                | No              |
| b. If "yes," what were the results? c. If "no," please explain why it was not conducted and if there are any plans to conduct operational analysis in the future:  |                    |                 |
| 2. Complete the following table to compare actual cost performance a baseline. Milestones reported may include specific individual schedu corrective maintenance activities, or may be the total of planned annu efforts). | led preventative a | and predictable |
| a. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)?   |                    |                 |

| 2. b Comparison of Plan vs. Actual Performance Table: |                              |           |     |                               |          |  |  |
|---|------------------------------|-----------|-----|-------------------------------|----------|--|--|
|   | Planned                      |           | Act | ual                           | Variance |  |  |
| Description of<br>Milestone                           | Completion Date (mm/dd/yyyy) | Date Date |     | Schedule/Cost (#<br>days/\$M) |          |  |  |
|   |                              |           |     |                               |          |  |  |
|   |                              |           |     |                               |          |  |  |
|   |                              |           |     |                               |          |  |  |

# Part I: Summary Information And Justification (All Capital Assets)

| Sec | ction A: Overview (All Capital Assets)  |  |   |                          |  |  |  |  |  |
|-----|---|--|---|--------------------------|--|--|--|--|--|
| 1.  | Date of Submission: September 2007  |  |   |                          |  |  |  |  |  |
| 2.  | Agency: District of Columbia Courts   |  |   |                          |  |  |  |  |  |
| 3.  | Bureau: NA  |  |   |                          |  |  |  |  |  |
| 4.  | Name of this Capital Asset: Building C Modernization  |  |   |                          |  |  |  |  |  |
| 5.  | Unique Project (Investment) Identifier: (For IT investment only, see section agency ID system.) 95-1712   | <u>53</u> . For                          | r all oth                               | er, use                  |  |  |  |  |  |
| 6.  | 6. What kind of investment will this be in FY2009? (Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)   |  |   |                          |  |  |  |  |  |
|     | Planning Full Acquisition Operations and Maintenance Mixed Life Cycle E-Gov/LoBOversight  |  | <u>X</u>                                |                          |  |  |  |  |  |
| 7.  | What was the first budget year this investment was submitted to OMB? 200  | 14                                       |   |                          |  |  |  |  |  |
| 8.  | Provide a brief summary and justification for this investment, including a brithis closes in part or in whole an identified agency performance gap: The Farequires that the District of Columbia immediately begin establishing an ope is separate and physically distinct from the Superior Court. The D.C. Courts Modernization Project is one of a series of capital projects necessary to establish Family Court. This project is fully coordinated with the long-range recomm Courts Facilities Master Plan. | amily C<br>crating<br>s Build<br>olish a | Court Ac<br>Family<br>ing C<br>fully co | ct of 2001<br>Court that |  |  |  |  |  |
|     | Building C, constructed in the 1930's is in very poor condition and requires This project will provide renovated space for the Information Technology (I Divisions currently located in the Moultrie Courthouse in order to provide sprunctions needed to consolidate the Family Court.   | T) and                                   | Multi-I                                 | Door (MD)                |  |  |  |  |  |
|     | The funds requested in FY 2009 will finance the design of the modernization   | 1.                                       |   |                          |  |  |  |  |  |
| 9.  | Did the Agency's Executive/Investment Committee review this request?  | Yes_                                     | <u>X</u>                                | No                       |  |  |  |  |  |
|     | a. If "yes," what was the date of this approval?  |  |   |                          |  |  |  |  |  |
| 10. | Did the Project Manager review this Exhibit?  | Yes                                      | X                                       | No                       |  |  |  |  |  |

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11. Contact information of Project Manager?

|   | Name<br>Phone Number<br>E-mail        | Mary Ann Satte<br>202-879-2898_<br>satterma2@dcs |                                   |              |
|---|---------------------------------------|--|-----------------------------------|--------------|
| 12. Has the agency developed and/or prosustainable techniques or practices for the            |                                       |  |                                   | ımentally    |
| a. Will this investment include of  | electronic assets                     | (including comp                                  |                                   |              |
| b.Is this investment for new cor<br>(answer applicable to non-IT                              |                                       | Yes<br>or retrofit of a F                        | No <u>X</u><br>ederal building or | facility?    |
| (answer appreciate to non-11  | assets only)                          | Yes  | No <u>X</u>                       |              |
| 1. If "yes," is an ESPC or U  | ESC being use                         | d to help fund                                   |                                   | NA           |
| 2. If "yes," will this investm  | nent meet sustai                      |  | rinciples? NA                     |              |
| 3. If "yes," is it designed to  | be 30% more e                         | nergy efficient                                  | _                                 | de? NA       |
| 13. Does this investment directly support   | rt one of the PM.                     | A initiatives? If                                | "yes" check all th                | at apply: NA |
|   |                                       | man Capital                                      |                                   |              |
| Buc   | lget Performance                      |  |                                   |              |
|   |                                       | Performance                                      |                                   |              |
|   | Expanded E-0                          |  |                                   |              |
|   | _                                     | ve Sourcing                                      |                                   |              |
|   | Faith Based and                       | •  |                                   |              |
|   | Property Asset N                      | •  |                                   |              |
|   | minating Imprope<br>tization of Milit |  |                                   |              |
| Research & Deve   |                                       |  |                                   |              |
| Housing & Urban Development I   |                                       |  |                                   |              |
| Broadening Health Insurance Cover   |                                       |  |                                   |              |
|   | ht Sized" Overse                      |  |                                   |              |
| Coordination of VA &  |                                       |  |                                   |              |
| a. Briefly describe how this as<br>of the vacant Building C su<br>efficient, productive works | pports the initiat                    |  |                                   |              |
| 14. Does this investment support a prog (PART)? (For more information about t                 |                                       |  |                                   | g Tool       |
|   |                                       |  | YesX                              | No           |
| a. If "yes," does this investme   | nt address a wea                      | kness found                                      |                                   |              |
| during a PART review?   |                                       |  | Yes                               | NoX_         |
| b. If "yes," what is the name   | of the PARTe                          | d program?                                       | D.C. Cou                          |              |

| c. If "yes," what rating did the PART receive?   | Ade   | Adequate        |  |  |  |  |  |  |
|--|---|-----------------|--|--|--|--|--|--|
| 15. Is this investment for information technology?   | Yes   | No _X           |  |  |  |  |  |  |
| If the answer to Question 15 is "Yes," complete questions 16-23 belownswer questions 16-23.  | w. If the answer is                               | "No," do not    |  |  |  |  |  |  |
| For information technology investments only:   |   |                 |  |  |  |  |  |  |
| 16. What is the level of the IT Project? (per CIO Council PM Guidano   | ce)   |                 |  |  |  |  |  |  |
|  | Level 1   |                 |  |  |  |  |  |  |
|  | Level 2   |                 |  |  |  |  |  |  |
|  | Level 3   |                 |  |  |  |  |  |  |
| 17. What project management qualifications does the Project Manage Guidance)   | er have? (per CIO                                 | Council PM      |  |  |  |  |  |  |
| <ul> <li>(1) Project manager has been validated as qualified for this in</li> <li>(2) Project manager qualification is under review for this investigation.</li> <li>(3) Project manager assigned to investment, but does not mee</li> <li>(4) Project manager assigned but qualification status review h</li> <li>(5) No Project manager has yet been assigned to this investment.</li> </ul>   | estment<br>et requirements<br>nas not yet started |                 |  |  |  |  |  |  |
| 18. Is this investment identified as "high risk" on the Q4 -FY 2006 ag 'high risk" memo)?  | ency high risk rep                                | ort (per OMB's  |  |  |  |  |  |  |
| <ul> <li>19. Is this a financial management system?</li> <li>a. If "yes," does this investment address a FFMIA compliance area:</li> <li>1. If "yes," which compliance area:</li> <li>2. If "no," what does it address?</li> <li>b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A–11 section 52</li> </ul>   | Yes<br>ea? Yes                                    | No              |  |  |  |  |  |  |
| 20. What is the percentage breakout for the total FY2009 funding req   | uest for the follow                               | ving?           |  |  |  |  |  |  |
| to a second seco |   | uld total 100%) |  |  |  |  |  |  |
|  | dware   |                 |  |  |  |  |  |  |
|  | tware<br>rvices                                   |                 |  |  |  |  |  |  |
|  | rvices<br>Other                                   |                 |  |  |  |  |  |  |
| 21. If this project produces information dissemination products for the published to the Internet in conformance with OMB Memorandur inventory, schedules and priorities?  | e public, are these                               |                 |  |  |  |  |  |  |
| ·  | Yes   |                 |  |  |  |  |  |  |
|  | No  |                 |  |  |  |  |  |  |
|  | NA  |                 |  |  |  |  |  |  |
| 22. Contact information of individual responsible for privacy related of Name  | questions:  |                 |  |  |  |  |  |  |
| Phone Number   |   |                 |  |  |  |  |  |  |
| Title  |   |                 |  |  |  |  |  |  |

|  |  |  |  | E-mai  | l  |   |  |   |   |
|--|--|--|--|--|--|---|--|---|---|
| 23. Are the records precords Administrate Section B: Summar  | ion's appr   | oval?  | _  | -  |  | ed with the<br>Yes  |  | l Archives<br>No  | and   |
| 1. Provide the total amounts represent personnel costs show excluded from the au "TOTAL" estimated and "Operation/Mai term energy, environentire life-cycle of the state of th | budget au uld be incl mounts sho annual co ntenance." nmental, one investm | thority in luded only own for "lost of the in For Feddlecommisent should | millions, y in the rope planning," investmen leral build sioning, all be include | , and are ow designated for the sure ings and the sure ind/or restaled in this | rounded "Government of costs accilities, I coration correport. | to three of the ernment F and "Ope for "Pland ife-cycle obsts. The obsts. | decimal particle. TE Cost, ration/Maning," "Fucosts show | places. Firm and showintenance all Acquisuld include ociated with the place of the | ederal<br>uld be<br>." The<br>ition,"<br>e long<br>th the |
| <b>Table 1: SUMMARY</b> BY+1 and beyond are  |  |  |  |  |  |   |  | (Estima   | tes for   |
| B1+1 and ocyona ac   | PY-1<br>and<br>earlier   | PY 2007  | CY 2008  | BY<br>2009   | BY+1<br>2010   | BY+2<br>2011  | BY+3<br>2012   | BY+4<br>and<br>beyond   | Total<br>Unfunded   |
| Planning:  | 0.25   | 0.00   | 0.00   | 0.00   | 0.00   | 0.00  | 0.00   | 0.00  | 0.00  |
| Acquisition:   | 0.00   | 0.00   | 0.00   | 2.70   | 25.70  | 12.00   | 0.00   | 0.00  | 40.40   |
| Subtotal Planning & Acquisition:   | 0.25   | 0.00   | 0.00   | 2.70   | 25.70  | 12.00   | 0.00   | 0.00  | 40.40   |
| Operations & Maintenance:  | 0.00   | 0.00   | 0.00   | 0.00   | 0.00   | 0.00  | 0.00   | 0.00  | 0.00  |
| TOTAL:   | 0.25   | 0.00   | 0.00   | 2.70   | 25.70  | 12.00   | 0.00   | 0.00  | 40.40   |
| <b>Government FTE Co</b>   | sts should   | not be incl  | luded in th  | e amounts  | provided   | above.  |  |   |   |
| Government FTE Costs   |  |  |  |  |  |   |  |   |   |
| Number of FTE represented by Costs:  |  |  |  |  |  |   |  |   |   |
| Note: For the cross- partner agencies). Go  2. Will this project r a. If "yes," I 3. If the summary of President's budget re   | equire the How many spending   | agency to<br>and in wh   | ts should in thire additionat year?  | not be incl<br>ional FTE<br>ne FY2008  | uded as pa   | <u> </u>  | TOTAL re   | presented   |   |

# **Section C: Acquisition/Contract Strategy (All Capital Assets)**

| Contracts/Task Orders Table:   |                                   |  |  |  |  |  |  |
|--|-----------------------------------|--|--|--|--|--|--|
| Contract or Task Order<br>Number   | 2009-Design                       |  |  |  |  |  |  |
| Type of Contract/Task<br>Order   | Fixed                             |  |  |  |  |  |  |
| Has the contract been awarded (Y/N)  | No                                |  |  |  |  |  |  |
| If so what is the date of<br>the award? If not, what is<br>the planned award date?   | Quarter 1 FY 2009                 |  |  |  |  |  |  |
| Start date of<br>Contract/Task Order   | Quarter 1 FY 2009                 |  |  |  |  |  |  |
| End date of<br>Contract/Task Order   | Quarter 1 FY 2010                 |  |  |  |  |  |  |
| Is this an Interagency<br>Acquisition? (Y/N)   | NO                                |  |  |  |  |  |  |
| Is it performance based? (Y/N)   | YES                               |  |  |  |  |  |  |
| Competitively awarded? (Y/N)   | YES                               |  |  |  |  |  |  |
| What, if any, alternative<br>financing option is being<br>used? (ESPC, UESC,<br>EUL, N/A)  | NA                                |  |  |  |  |  |  |
| Is EVM in the contract? (Y/N)  | YES, Courts' Standard             |  |  |  |  |  |  |
| Does the contract include<br>the required security &<br>privacy clauses? (Y/N)   | YES, Courts' Standard             |  |  |  |  |  |  |
| Name of CO   | Joseph E. Sanchez                 |  |  |  |  |  |  |
| CO Contact information (phone/email)   | 202-879-2801<br>sanchezj@dcsc.gov |  |  |  |  |  |  |
| Contracting Officer<br>Certification Level (Level<br>1, 2, 3, N/A)   | NA NA                             |  |  |  |  |  |  |
| If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N)       | YES                               |  |  |  |  |  |  |
| 2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why: |                                   |  |  |  |  |  |  |
| 3. Do the contracts ensure Section 508 compliance?  Yes  No. 2   |                                   |  |  |  |  |  |  |

No N/A a. Explain why:

| 4. | Is there | an a | acquis  | ition | plan  | which | has | been | approv | ed in |
|----|----------|------|---------|-------|-------|-------|-----|------|--------|-------|
| ac | cordanc  | e w  | ith age | ency  | requi | remen | ts? |      |        |       |

a. If "yes," what is the date?

b. If "no," will an acquisition plan be developed?

1. If "no," briefly explain why:

| Yes <u>X</u> | No |
|--------------|----|
| 2003         |    |
|              |    |
|              |    |

## **Section D: Performance Information (All Capital Assets)**

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

|                | Performance Information Table 1:  |  |  |  |   |  |  |  |  |
|----------------|---|--|--|--|---|--|--|--|--|
| Fiscal<br>Year | Strategic Goal(s)<br>Supported  | Performance Measure  | Actual/baseline<br>(from Previous<br>Year) | Planned performance<br>Metric (Target)   | Performance<br>Metric Results<br>(Actual) |  |  |  |  |
| 2004-<br>2008  | Goal 2.1: The Courts will ensure that physical facilities are easily accessible by all persons.   | Building C is currently suffering from extensive lack of maintenance and requires major upgrades in order to house court personnel in Class A office space that is accessible.               | TBD  | Upgrade of 27,000 OSF of Class D office space into Class A office space. A fully renovated building completed within budget and within the scheduled timeframe                   | TBD                                       |  |  |  |  |
| 2004-<br>2008  | Goal 4.1: The Courts will provide personnel and court participants with a safe, secure, functional, and habitable physical environment. | Building C is currently suffering from extensive lack of maintenance and requires major upgrades in order to house court personnel in Class A office space that is functional and habitable. | TBD  | Upgrade of 27,000 OSF of<br>Class D office space into<br>Class A office space.<br>A fully renovated building<br>completed within budget<br>and within the scheduled<br>timeframe | TBD                                       |  |  |  |  |

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at <a href="https://www.egov.gov">www.egov.gov</a>.

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| Performance Information Table 2: |                               |                         |                          |          |   |                   |  |  |
|----------------------------------|-------------------------------|-------------------------|--------------------------|----------|---|-------------------|--|--|
| Fiscal<br>Year                   | Measurement<br>Area           | Measurement<br>Grouping | Measurement<br>Indicator | Baseline | Planned<br>Improvement<br>to the Baseline | Actual<br>Results |  |  |
| 2006 -<br>2008                   | Mission &<br>Business Results | NA                      | NA                       | NA       | NA  | NA                |  |  |
| 2006 -<br>2008                   | Customer Results              | NA                      | NA                       | NA       | NA  | NA                |  |  |
| 2006 -<br>2008                   | Processes & Activities        | NA                      | NA                       | NA       | NA  | NA                |  |  |
| 2006 -<br>2008                   | Technology                    | NA                      | NA                       | NA       | NA  | NA                |  |  |

**Section E: Security and Privacy (IT Capital Assets only)** 

NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only) NA – Not Applicable

#### Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments identified as "Planning" or "Full Acquisition", or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

## **Section A: Alternatives Analysis (All Capital Assets)**

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

- 1. Did you conduct an alternatives analysis for this project?
  - a. If "yes," provide the date the analysis was completed?
  - b. If "no," what is the anticipated date this analysis will be completed?
  - c. If no analysis is planned, please briefly explain why:

| Yes <u>X</u> No                       |   |
|---------------------------------------|---|
| 2005 Building C Prospectus Developmen | n |
| Study                                 |   |
| <del></del>                           |   |
| The Courts' are implementing the      |   |
| Master Plan                           |   |
|                                       |   |

| <ol> <li>Alternatives Analysis Results:</li> <li>Use the results of your alternatives analysis to complete the following table:</li> </ol> |  |  |   |  |  |  |  |
|--|--|--|---|--|--|--|--|
| Alternative Analyzed Description of Alternative Risk Adjusted Lifecycle Costs estimate Risk Adjusted Lifecycle Benefits                    |  |  |   |  |  |  |  |
| Alternative 1  | Renovate Building C for new D.C. Court occupants and maintain public circulation.  | Best alternative – most cost effective solution. | Meets preservation goals and efficiently meets the needs of the Courts. |  |  |  |  |
| Alternative 2  | Renovate Building C for new D.C. Court occupants and maintain public circulation and primary public spaces, 2 <sup>nd</sup> floor courtroom and chamber. | Fair alternative.                                | Marginal operational benefit.   |  |  |  |  |
| Alternative 3  | Renovate Building C for new D.C. Court occupants and   | Poor alternative.                                | Existing interior   |  |  |  |  |

|                            | maintain original interior partitions, public circulation, courtrooms, chambers and toilet rooms.  |                    | partitioning does not<br>allow for efficient Court<br>operations. |  |  |  |  |
|----------------------------|--|--------------------|---|--|--|--|--|
|                            | native was selected by the Agency's estment Committee and why was it chosen?   | Alternative 1      |   |  |  |  |  |
| 4. What specif             | 4. What specific qualitative benefits will be realized?  Meets preservation goals & efficiently meets the needs of the Courts.   |                    |   |  |  |  |  |
| Section B: R               | isk Management (All Capital Assets)  |                    |   |  |  |  |  |
| investment's l             | ave performed a risk assessment during the early plife-cycle, developed a risk-adjusted life-cycle cost ex, and be actively managing risk throughout the inve  | stimate and a plan | n to eliminate, mitigate  |  |  |  |  |
| a. If "yes,"               | restment have a Risk Management Plan? 'what is the date of the plan?  Plick Management Plan been significantly shaped.   | <u>Ca</u>          | es X No pital Projects Plan 2004                                  |  |  |  |  |
| last year's                | Risk Management Plan been significantly changed submission to OMB? 'describe any significant changes:  |                    | No <u>X</u>   |  |  |  |  |
| d. If                      | rently is no plan, will a plan be developed? "yes," what is the planned completion date? "no," what is the strategy for managing the risks?  | Ye                 | s No  |  |  |  |  |
| Risk Managen               | cribe how investment risks are reflected in the life cy<br>nent is an ongoing part of the project's design proce<br>chedule due to unforeseen field conditions associate   | ss. Possible risks | include delays in the   |  |  |  |  |
| Section C: C               | Cost and Schedule Performance (All Capital A   | Assets)            |   |  |  |  |  |
| milestones sho             | red only on DME portions of investments. For mixed puld still be included in the table (Comparison of Inits table should accurately reflect the milestones in the baseline.  | tial Baseline and  | Current Approved  |  |  |  |  |
|                            | rned value management system meet the criteria in andard – 748? Yes No   | YesX               | No  |  |  |  |  |
| reported below             | following questions about current cumulative cost as should reflect current actual information. (Per OM information should include both Government and Co  | IB requirements C  |   |  |  |  |  |
| g. W<br>h. W<br>i. W<br>Pe | That is the Planned Value (PV)? That is the Earned Value (EV)? That is the actual cost of work performed (AC)? That costs are included in the reported Cost/Schedule erformance information (Government nly/Contractor Only/Both)? |                    |   |  |  |  |  |

| j. "As of" date:  |                         |    |
|---|-------------------------|----|
| 3. What is the calculated Schedule Performance Index (SPI= EV/PV)?  |                         |    |
| 4. What is the schedule variance $(SV = EV-PV)$ ?   |                         |    |
| 5. What is the calculated Cost Performance Index (CPI = EV/AC)?   |                         |    |
| 6. What is the cost variance $(CV = EV - AC)$ ?   |                         |    |
| 7. Is the CV% or SV% greater than ± 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100) a. If "yes," was it the?  | Yes<br>CV<br>SV<br>Both | No |
| <ul><li>b. If "yes," explain the variance:</li><li>c. If "yes," what corrective actions are being taken?</li><li>d. What is most current "Estimate at Completion"?</li><li>8. Have any significant changes been made to the baseline during</li></ul> |                         |    |
| the past fiscal year?  a. If "yes," when was it approved by OMB?  | Yes                     | No |

**9.** Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

| Initial Baseline               |   |                                  |   | Current Baseline |                                  |    |                               | nt<br>ie<br>ce |                     |
|--------------------------------|---|----------------------------------|---|------------------|----------------------------------|----|-------------------------------|----------------|---------------------|
| Description<br>of<br>Milestone | Planned<br>Completion<br>Date<br>(mm/dd/yyyy) | Total Cost<br>(\$M)<br>Estimated | Completion Date (mm/dd/yyyy) Planned/Actual |                  | Total Cost (\$M) Planned/ Actual |    | Schedule/Cost<br>(# days/\$M) |                | Percent<br>Complete |
| Planning                       | NA  | NA                               | NA  | NA               | NA                               | NA | NA                            | NA             | 100%                |
| Design                         | October 2010                                  | \$2.7                            | Oct<br>2010                                 | NA               | \$2.7<br>million                 | NA | NA                            | NA             | 0%                  |
| Construction                   | June 2012                                     | \$37.7<br>million                | June<br>2012                                | NA               | \$37.7<br>million                | NA | NA                            | NA             | 0%                  |

Part III: For "Operation and maintenance" Investments ONLY (Steady State)

Part III should be completed only for investments identified as "Operations and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

# **Section A: Risk Management (All Capital Assets)**

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

| 1.               | Does the investment have a Risk Management Plan?<br>a. If "yes," what is the date of the plan?   | Yes              | No              |
|------------------|--|------------------|-----------------|
|                  | b. Has the Risk Management Plan been significantly changed since last year's submission to OMB? c. If "yes," describe any significant changes:   | Yes              | No              |
| 1.               | If there currently is no plan, will a plan be developed?  a. If "yes," what is the planned completion date?  b. If "no," what is the strategy for managing the risks?  | Yes              | No              |
| Sectio           | on B: Cost and Schedule Performance (All Capital Assets  | s)               |                 |
| 1.               | Was operational analysis conducted?  a. If "yes," provide the date the analysis was completed.  b. If "yes," what were the results?  c. If "no," please explain why it was not conducted and if there are any plans to conduct operational analysis in the future: | Yes              | No              |
| baselin          | replete the following table to compare actual cost performance again. Milestones reported may include specific individual scheduled ive maintenance activities, or may be the total of planned annual).  | l preventative a | and predictable |
| a. Wh<br>Perform | _  |                  |                 |

| 2. b Comparison of Plan vs. Actual Performance Table: |                              |                  |                              |                  |                            |  |
|---|------------------------------|------------------|------------------------------|------------------|----------------------------|--|
|   | Planned                      |                  | Act                          | Variance         |                            |  |
| Description of<br>Milestone                           | Completion Date (mm/dd/yyyy) | Total Cost (\$M) | Completion Date (mm/dd/yyyy) | Total Cost (\$M) | Schedule/Cost (# days/\$M) |  |
|   |                              |                  |                              |                  |                            |  |
| -   |                              |                  |                              | -                |                            |  |
|   |                              |                  |                              |                  |                            |  |

# Part I: Summary Information And Justification (All Capital Assets)

#### **Section A: Overview (All Capital Assets)**

1. Date of Submission: September 2007

2. Agency: District of Columbia Courts

3. Bureau: NA

4. Name of this Capital Asset: Campus Security, Signage, and Lighting

5. Unique Project (Investment) Identifier: (For IT investment only, see section <u>53</u>. For all other, use agency ID system.) 95-1712

6. What kind of investment will this be in FY2009? (Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

| Planning                   |   |
|----------------------------|---|
| Full Acquisition           | X |
| Operations and Maintenance |   |
| Mixed Life Cycle           |   |
| E-Gov/LoBOversight         |   |

- 7. What was the first budget year this investment was submitted to OMB? 2007
- 8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The location of many high profile buildings within and around Judiciary Square requires a comprehensive physical security plan, which serves both to protect the occupants and the users of court buildings and the Courts' property. This project will integrate new security features into landscaped property surrounding Judiciary Square to provide the greatest standoff distances between vehicles at the curb and building facades. These security features will, to the greatest extent possible meet current U.S.M.S. standards and preserve the open landscape treatment of Judiciary Square. The project includes perimeter security for the southeast quadrant and E Street, new lighting and street furnishings. It will also include new exterior signage to direct people to various Court buildings and respond to the relocation of Court operations from the Moultrie Courthouse to the Old D.C. Courthouse and Buildings A, B & C.

The number of people who would benefit from Perimeter Security in Judiciary Square is enormous. These include: residents and visitors in the District of Columbia and all those involved in proceedings in the District of Columbia Courts, including the public, judicial and non-judicial D.C. Courts staff; all those using the open space of Judiciary Square, including those working or visiting surrounding federal institutions; and all those using the Judiciary Square Metro Station at the center of Judiciary Square. The Judiciary Square Master Plan has been approved by the National Capitol Planning Commission and been coordinated with the U.S. Commission for Fine Arts and the District Department of Transportation.

| 9.  | . Did the Agency's Executive/Investment Committee review this request? Yes <u>X</u> No _   |   |               |          |       |  |
|-----|--|---|---------------|----------|-------|--|
|     | a. If "yes," what was the date of this approval?   | 2007  |               |          |       |  |
| 10. | 10. Did the Project Manager review this Exhibit?   |   | Yes <u>X</u>  | <u></u>  | No    |  |
| 11. | Phone Number 202-8   | Ann Satterthwaite<br>379-2898<br>ma2@dcsc.gov                         |               | -        |       |  |
|     | 12. Has the agency developed and/or promoted cost effective, sustainable techniques or practices for this project.   |   |               | nmental  | lly   |  |
|     | a. Will this investment include electronic assets (include   | No <u>X</u>   |               | facility | 7?    |  |
|     |  | No <u>X</u><br>fund this investm                                      | <del></del>   |          |       |  |
|     | Yes _ 2. If "yes," will this investment meet sustainable des Yes _ 3. If "yes," is it designed to be 30% more energy eff   | No<br>ign principles? N<br>No   | A<br>nt code? |          |       |  |
| 13. | 13. Does this investment directly support one of the PMA initi   | atives? If "yes" ch   | eck all th    | at appl  | y: NA |  |
|     | Human C Budget Performance Integ Financial Perform Expanded E-Govern Competitive Son Faith Based and Comm Real Property Asset Manage Eliminating Improper Pay Privatization of Military Ho Research & Development Investment C Housing & Urban Development Management & Perform Broadening Health Insurance Coverage through State Initi "Right Sized" Overseas Pre Coordination of VA & DoD Programs and Sy | ration mance ment meint ments ments musing riteria mance atives sence |               |          |       |  |

a. Briefly describe how this asset directly supports the identified initiative(s)? NA

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit <a href="www.whitehouse.gov/omb/part">www.whitehouse.gov/omb/part</a>.)

|  | Yes <u>X</u>               | No                       |
|--|----------------------------|--------------------------|
| <ul><li>a. If "yes," does this investment address a weakness found during a PART review?</li><li>b. If "yes," what is the name of the PARTed program?</li><li>c. If "yes," what rating did the PART receive?</li></ul>   |                            | No X<br>Courts           |
| 15. Is this investment for information technology?   | Yes                        | No _X                    |
| If the answer to Question 15 is "Yes," complete questions 16-23 below. It answer questions 16-23.  | f the answer is            | "No," do not             |
| For information technology investments only:  16. What is the level of the IT Project? (per CIO Council PM Guidance)   |                            |                          |
|  | Level 1                    |                          |
|  | Level 2                    |                          |
|  | Level 3                    |                          |
| 17. What project management qualifications does the Project Manager ha Guidance)  (1) Project manager has been validated as qualified for this invest (2) Project manager qualification is under review for this investm (3) Project manager assigned to investment, but does not meet rec (4) Project manager assigned but qualification status review has no | ement<br>ent<br>quirements | Council PM               |
| 18. Is this investment identified as "high risk" on the Q4 -FY 2006 agency 'high risk" memo)?  | y high risk rep            | ort (per OMB's           |
| 19. Is this a financial management system?   | Yes                        |                          |
| <ul><li>a. If "yes," does this investment address a FFMIA compliance area?</li><li>1. If "yes," which compliance area:</li><li>2. If "no," what does it address?</li></ul>   | Yes                        | No                       |
| <ul><li>2. If "no," what does it address?</li><li>b. If "yes," please identify the system name(s) and system</li></ul>   |                            |                          |
| acronym(s) as reported in the most recent financial systems  |                            |                          |
| inventory update required by Circular A–11 section 52  |                            |                          |
| 20. What is the percentage breakout for the total FY2009 funding request   |                            | ving?<br>uld total 100%) |
| Hardwa   | · ·                        | •                        |
| Softwar  |                            |                          |
| Service  |                            |                          |
| Othe   |                            |                          |
| 21. If this project produces information dissemination products for the purpublished to the Internet in conformance with OMB Memorandum 05 inventory, schedules and priorities?  |                            |                          |
| Ye   | es                         |                          |

|  |  |   |   |  |  | No<br>NA   |   |   |   |
|--|--|---|---|--|--|--|---|---|---|
| 22. Contact information  | tion of indi   | ividual res   | -   | Name   | ;  | uestions:  |   |   |   |
|  |  |   | Phon  | ne Number<br>Title<br>E-mail                     | ;  |  |   |   |   |
| 23. Are the records precords Administration  |  |   | estment ap  | propriatel                                       | y schedule   |  |   | Archives  | and   |
| Section B: Summ  | ary of Sp  | ending (A   | All Capit   | al Assets  | <b>a</b> )   |  |   |   |   |
| Federal pers<br>and should<br>"Operation/I<br>costs for "P<br>and facilities<br>and/or resto<br>be included        | be excluded Maintenand | ded from ce." The "Full Acque costs shots. The coort. | the amou<br>"TOTAL"<br>juisition,"<br>ould inclu<br>sts associa | estimated<br>and "Ope<br>de long te<br>ated with | on for "P<br>annual coration/Ma<br>rm energy<br>the entire | lanning,"<br>ost of the<br>intenance<br>, environi<br>life-cycle | "Full Ac<br>investmen<br>." For For<br>mental, de<br>of the inv | equisition,'<br>nt is the su<br>ederal buil<br>commission<br>restment s | " and<br>um of<br>ldings<br>oning,<br>hould |
| <b>Table 1: SUMMARY</b> BY+1 and beyond are  |  |   |   |  |  |  | MILLION   | S) (Estimat   | es for                                      |
|  | PY-1<br>and<br>earlier   | PY<br>2007  | CY<br>2008  | BY<br>2009                                       | BY+1<br>2010   | BY+2<br>2011   | BY+3<br>2012  | BY+4<br>and<br>beyond   | Total                                       |
| Planning:  | 0.00   | 0.00  | 0.00  | 0.00   | 0.00   | 0.00   | 0.00  | 0.00  | 0.00  |
| Acquisition:   | 0.00   | 0.00  | 0.00  | 21.50  | 18.00  | 15.50  | 0.00  | 0.00  | 55.00                                       |
| Subtotal Planning & Acquisition:   | 0.00   | 0.00  | 0.00  | 21.50  | 18.00  | 15.50  | 0.00  | 0.00  | 55.00                                       |
| Operations & Maintenance:  | 0.00   | 0.00  | 0.00  | 0.00   | 0.00   | 0.00   | 0.00  | 0.00  | 0.00  |
| TOTAL:   | 0.00   | 0.00  | 0.00  | 21.50  | 18.00  | 15.50  | 0.00  | 0.00  | 55.00                                       |
| Government FTE Co  | sts should   | not be incl   | uded in th  | e amounts  | provided   | above.   |   |   |   |
| Government FTE<br>Costs  |  |   |   |  |  |  |   |   |   |
| Number of FTE  |  |   |   |  |  |  |   |   |   |
| represented by Costs:  |  |   |   |  |  |  |   |   |   |
| <i>Note:</i> For the crosspartner agencies). G   | -  |   |   |  |  | •  |   |   |   |
| <ul><li>2. Will this project r</li><li>a. If "yes," I</li><li>3. If the summary of President's budget re</li></ul> | How many spending  | and in wh<br>has chang                                | nat year?<br>ed from th   | ne FY2008  | _  | es   | No _  | <u>X</u>  |   |

**Section C: Acquisition/Contract Strategy (All Capital Assets)** 

| G (  | 2000 1 Danimart S                               | 2000 2 E Street C 11              |  |
|--|---|-----------------------------------|--|
| Contract or Task Order<br>Number   | 2009-1 Perimeter Security<br>Southeast Quadrant | 2009-2 E Street Corridor          |  |
| Type of Contract/Task Order  | Fixed   | Fixed                             |  |
| Has the contract been awarded (Y/N)  | No  | No                                |  |
| If so what is the date of the award? If not, what is the planned award date?   | Quarter 1 FY 2009                               | Quarter 1 FY 2009                 |  |
| Start date of Contract/Task<br>Order   | Quarter 1 FY 2009                               | Quarter 1 FY 2009                 |  |
| End date of Contract/Task<br>Order   | Quarter 2 FY 2010                               | Quarter 1 FY 2011                 |  |
| Is this an Interagency<br>Acquisition? (Y/N)   | NO  | NO                                |  |
| Is it performance based? (Y/N)   | YES   | YES                               |  |
| Competitively awarded? (Y/N)   | YES   | YES                               |  |
| What, if any, alternative  | NA  | NA                                |  |
| financing option is being used?<br>(ESPC, UESC, EUL, N/A)  |   |                                   |  |
| Is EVM in the contract? (Y/N)  | YES, Courts' Standard                           | YES, Courts' Standard             |  |
| Does the contract include the required security & privacy clauses? (Y/N)   | YES, Courts' Standard                           | YES, Courts' Standard             |  |
| Name of CO   | Joseph E. Sanchez                               | Joseph E. Sanchez                 |  |
| CO Contact information (phone/email)   | 202-879-1700<br>sanchezj@dcsc.gov               | 202-879-1700<br>sanchezj@dcsc.gov |  |
| Contracting Officer<br>Certification Level (Level 1, 2, 3, N/A)  | NA  | NA                                |  |
| If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N) | YES   | YES                               |  |
| 2. If earned value is not required requirement for any of the contra why:  |   | plain                             |  |
| 3. Do the contracts ensure Section   | n 508 compliance?                               | Yes                               |  |
|  |   | No <u>X</u>                       |  |
|  |   | N/A                               |  |

4. Is there an acquisition plan which has been approved in accordance with agency requirements?

a. If "yes," what is the date?b. If "no," will an acquisition plan be developed?

Yes \_\_X\_\_ No \_\_\_\_ 2003

| 1. If "no," briefly explain why: |  |
|----------------------------------|--|
|----------------------------------|--|

#### **Section D: Performance Information (All Capital Assets)**

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

|                | Performance Information Table 1:  |   |   |   |   |  |
|----------------|---|---|---|---|---|--|
| Fiscal<br>Year | Strategic Goal(s)<br>Supported  | Performance Measure   | Actual/ baseline<br>(from Previous<br>Year) | Planned<br>performance<br>Metric (Target)                                 | Performance<br>Metric Results<br>(Actual) |  |
| 2004-<br>2008  | Goal 2.1: The Courts will ensure that physical facilities are easily accessible by all persons.   | Judiciary Square has minimal perimeter security. Existing campus signage does not adequately reflect current court program changes. Current lighting is inadequate for the safety of court personnel and participants as they move between court buildings. | NA  | Install NCPC<br>approved<br>campus security,<br>signage, and<br>lighting. | NA  |  |
| 2004-<br>2008  | Goal 4.1: The Courts will provide personnel and court participants with a safe, secure, functional, and habitable physical environment. | Judiciary Square has minimal perimeter security. Existing campus signage does not adequately reflect current court program changes. Current lighting is inadequate for the safety of court personnel and participants as they move between court buildings. | NA  | Install NCPC<br>approved<br>campus security,<br>signage, and<br>lighting. | NA  |  |

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at <a href="www.egov.gov">www.egov.gov</a>.

|                | Performance Information Table 2: |                         |                          |          |   |                   |  |
|----------------|----------------------------------|-------------------------|--------------------------|----------|---|-------------------|--|
| Fiscal<br>Year | Measurement<br>Area              | Measurement<br>Grouping | Measurement<br>Indicator | Baseline | Planned<br>Improvement<br>to the Baseline | Actual<br>Results |  |
| 2006 -<br>2008 | Mission & Business Results       | NA                      | NA                       | NA       | NA  | NA                |  |
| 2006 -<br>2008 | Customer Results                 | NA                      | NA                       | NA       | NA  | NA                |  |
| 2006 -         | Processes &                      | NA                      | NA                       | NA       | NA  | NA                |  |

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| 2008           | Activities |    |    |    |    |    |
|----------------|------------|----|----|----|----|----|
| 2006 -<br>2008 | Technology | NA | NA | NA | NA | NA |

Section E: Security and Privacy (IT Capital Assets only)
NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only) NA – Not Applicable

# Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments identified as "Planning" or "Full Acquisition", or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

## **Section A: Alternatives Analysis (All Capital Assets)**

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

| 1. | Did you conduct an alternatives analysis for this      | Yes <u>X</u> No              |
|----|--|------------------------------|
|    | project?   | Judiciary Square Master Plan |
|    | a. If "yes," provide the date the analysis was         |                              |
|    | completed?   |                              |
|    | b. If "no," what is the anticipated date this analysis |                              |
|    | will be completed?                                     |                              |
|    | c. If no analysis is planned, please briefly explain   |                              |
|    | why:   |                              |

|                         | 2. Alternatives Analysis Results: Use the results of your alternatives analysis to complete the following table:   |   |   |  |  |  |
|-------------------------|--|---|---|--|--|--|
| Alternative<br>Analyzed | Description of Alternative   | Risk Adjusted<br>Lifecycle Costs<br>estimate  | Risk Adjusted<br>Lifecycle Benefits<br>estimate             |  |  |  |
| Alternative 1           | Implement the approved Judiciary Square Master<br>Plan improvements through an integrated project<br>that includes security, lighting, signage and<br>landscape. | Most Cost Effective -<br>coordinated improvements<br>eliminate the duplication<br>of efforts. | Least disruptive to Court operations in multiple buildings. |  |  |  |
| Alternative 2           | Implement the approved Judiciary Square Master<br>Plan improvements through separate security,<br>lighting, signage and landscape projects                       | Least Cost Effective – will result in the duplication of efforts.                             | Most disruptive to Court operations in multiple buildings.  |  |  |  |

| 3. Which alternative was selected by the Agency's     |               |
|---|---------------|
| Executive/Investment Committee and why was it chosen? | Alternative 1 |

| 4. What specific qualitative benefits will be realized?   | Return on investment is protecting the public, judicial and non-judicial D.C. Courts' staff from harm as well as protecting existing assets that are irreplaceable and preventing the disruption of Court operations that would occur with a major assault on Court facilities. |
|---|---|
| Section B: Risk Management (All Capital Assets)   |   |
| You should have performed a risk assessment during the earnivestment's life-cycle, developed a risk-adjusted life-cycle or manage risk, and be actively managing risk throughout the  | cost estimate and a plan to eliminate, mitigate   |
| <ol> <li>Does the investment have a Risk Management Plan?         <ul> <li>a. If "yes," what is the date of the plan?</li> <li>b. Has the Risk Management Plan been significantly chalast year's submission to OMB?</li> <li>c. If "yes," describe any significant changes:</li> </ul> </li> </ol>                  | Yes X No Capital Projects Plan 2004  unged since Yes No _X  |
| <ul><li>2. If there currently is no plan, will a plan be developed?</li><li>2. If "yes," what is the planned completion date?</li><li>3. If "no," what is the strategy for managing the risks?</li></ul>  | Yes No  |
| 3. Briefly describe how investment risks are reflected in the No risks have been identified with this project.  | life cycle cost estimate and investment schedule:   |
| Section C: Cost and Schedule Performance (All Cap   | oital Assets)   |
| EVM is required only on DME portions of investments. For milestones should still be included in the table (Comparison Baseline). This table should accurately reflect the milestones in the current baseline.   | of Initial Baseline and Current Approved  |
| 1. Does the earned value management system meet the criter ANSI/EIA Standard – 748? Yes No  | ria in Yes <u>X</u> No  |
| 2. Answer the following questions about current cumulative reported below should reflect current actual information. (P Performance information should include both Government a  | er OMB requirements Cost/Schedule   |
| <ul> <li>a. What is the Planned Value (PV)?</li> <li>b. What is the Earned Value (EV)?</li> <li>c. What is the actual cost of work performed (AC)?</li> <li>d. What costs are included in the reported Cost/Schedu Performance information (Government Only/Contra Only/Both)?</li> <li>e. "As of" date:</li> </ul> |   |

| 7. Is the CV% or SV% greater than $\pm 10\%$ ? (CV%= CV/EV x     |      |    |   |
|--|------|----|---|
| 100; $SV\% = SV/PV \times 100$ )                                 | Yes  | No |   |
| a. If "yes," was it the?   | CV   |    |   |
| ·  | SV   |    |   |
|  | Both |    |   |
| b. If "yes," explain the variance:                               |      |    | _ |
| c. If "yes," what corrective actions are being taken?            |      |    | _ |
| d. What is most current "Estimate at Completion"?                |      |    | - |
|  |      |    |   |
| 8. Have any significant changes been made to the baseline during |      |    |   |
| the past fiscal year?  | Yes  | No |   |
| a. If "yes," when was it approved by OMB?                        |      |    |   |
| · · · · · · · · · · · · · · · · · · ·                            |      |    |   |

**9.** Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

|                          | Initial Baseline                     |                                  |       | Current Baseline                         |          |    | Current<br>Baseline<br>Variance |    |                     |
|--------------------------|--------------------------------------|----------------------------------|-------|--|----------|----|---------------------------------|----|---------------------|
| Description of Milestone | Planned Completion Date (mm/dd/yyyy) | Total Cost<br>(\$M)<br>Estimated | (mm/c | pletion<br>Pate<br>ld/yyyy)<br>ed/Actual | Total Co |    | Schedu<br>(# days               |    | Percent<br>Complete |
| Design &                 | October 2012                         | \$55                             | Oct   | NA                                       | \$55     | NA | NA                              | NA | 0%                  |
| Construction             |                                      | million                          | 2012  |  | million  |    |                                 |    |                     |

## Part III: For "Operation and maintenance" Investments ONLY (Steady State)

Part III should be completed only for investments identified as "Operations and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

#### **Section A: Risk Management (All Capital Assets)**

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

| 1. | Does the investment have a Risk Management Plan? a. If "yes," what is the date of the plan?  | Yes | No |  |
|----|--|-----|----|--|
|    | b. Has the Risk Management Plan been significantly changed since last year's submission to OMB? c. If "yes," describe any significant changes: | Yes | No |  |
| 2. | If there currently is no plan, will a plan be developed?   | Yes | No |  |

|         | a.<br>b.              | If "yes," what is the planned completion date?<br>If "no," what is the strategy for managing the risks?   |                 |                 |
|---------|-----------------------|---|-----------------|-----------------|
| Sectio  | on B: C               | Cost and Schedule Performance (All Capital Asse   | ets)            |                 |
| 1.      | a. If "b. If "c. If " | operational analysis conducted?  yes," provide the date the analysis was completed.  yes," what were the results?  no," please explain why it was not conducted and if are any plans to conduct operational analysis in the | Yes             | No              |
| baselir | ne. Mile<br>tive mai  | he following table to compare actual cost performance a estones reported may include specific individual schedul intenance activities, or may be the total of planned annu  | ed preventative | and predictable |
|         | mance i               | are included in the reported Cost/Schedule information (Government Only/Contractor  |                 |                 |

| 2. b Comparison of Plan vs. Actual Performance Table: |                              |                     |  |        |                            |          |  |
|---|------------------------------|---------------------|--|--------|----------------------------|----------|--|
|   | Planned                      |                     | Act  | Actual |                            | Variance |  |
| Description of<br>Milestone                           | Completion Date (mm/dd/yyyy) | Total Cost<br>(\$M) | Completion Date (mm/dd/yyyy)  Total Cost (\$M) |        | Schedule/Cost (# days/\$M) |          |  |
|   |                              |                     |  |        |                            |          |  |
|   |                              |                     |  |        |                            |          |  |
|   |                              |                     |  |        |                            |          |  |

# Part I: Summary Information And Justification (All Capital Assets)

#### **Section A: Overview (All Capital Assets)**

1. Date of Submission: September 2007

2. Agency: District of Columbia Courts

3. Bureau: NA

4. Name of this Capital Asset: HVAC, Electrical and Plumbing Upgrades

5. Unique Project (Investment) Identifier: (For IT investment only, see section <u>53</u>. For all other, use agency ID system.) 95-1712

6. What kind of investment will this be in FY2009? (Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

| Planning                   |          |
|----------------------------|----------|
| Full Acquisition           |          |
| Operations and Maintenance | <u>X</u> |
| Mixed Life Cycle           |          |
| E-Gov/LoBOversight         |          |

- 7. What was the first budget year this investment was submitted to OMB? 1999
- 8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The HVAC, electrical, and plumbing upgrade project will ensure that health and safety concerns for the public and the Courts' personnel are addressed in all of the Courts' buildings, and will enable the Courts to make much needed heating, ventilation, air conditioning, mechanical, plumbing and electrical improvements. Analysis of the condition of the Courts' HVAC, electrical and plumbing systems indicated that it was imperative that this project be undertaken to eliminate identified safety and health hazards and restore adequate lighting and ventilation in the Courts' buildings. Frequent breakdowns of the aging systems negatively impact Court operations and employee productivity and moral. This project began in FY 1999 with the replacement of 25-year-old HVAC equipment in the H. Carl Moultrie Courthouse. Updating its inefficient, outdated infrastructure continues to be an important and ongoing project for the Courts. Since FY 2005, 23 of the 31 air-handling units have been replaced and the circulation and overheating problems in several areas of the Courthouse have been drastically improved. In February 2007 after numerous significant power failures in the Moultrie Courthouse, a study analyzing the main power distribution was completed. The study found major life safety and power distribution issues.

The Courts' FY 2009 request includes the following HVAC, electrical and plumbing upgrades:

- Start replacement of main switchgears, motor control centers, and panel boards per the electrical distribution study;
- Modify the emergency generation systems within the Moultrie Courthouse to correct the capacity

shortfall due years of incremental modifications and additional loads from critical electronic systems;

- Installation of wiring for the Digital HVAC Control System to integrate all buildings into one managed system;
- Continue to upgrade the HVAC systems in the Moultrie Courthouse. Ductwork and VAV changes will be needed to properly distribute air within the Courthouse; and
- Other urgent repairs that are required due to the failure of equipment that is functioning beyond its useful life.

| the Agency    | y's Executive/Invest   | ment Committee   | review this requ   | uest?   | Yes _  | <u>X</u>  | No   |
|---------------|--|--|--|---|--|---|--|
| a. If '       | 'yes," what was the  | date of this appro   | oval? <u>1999</u>  |   | -  |   |  |
| the Project   | Manager review thi   | s Exhibit?   |  |   | Yes _  | <u>X</u>  | No   |
| tact inform   | ation of Project Mar   | Name   | 202-879-2898   |   |  | <del>_</del><br>_<br>   |  |
|               |  |  |  |   |  | conmenta  | lly  |
| a. Will this  | investment include   | electronic assets  | (including com   | puters)?  |  |   |  |
|               |  |  |  |   |  | or facility   | /?   |
| 1.            | If "yes," is an ES   | PC or UESC be  |  |   |  |   | ? NA   |
| 2.            | If "yes," will this  | investment mee   | et sustainable   | design pı   | rinciple   | es? NA  |  |
| 3.            | If "yes," is it des  | signed to be 30  |  |   |  |   | nt code?   |
|               |  |  | Ye   | es  | No   |   |  |
| s this invest | tment directly suppo   | ort one of the PM.   | A initiatives? I   | f "yes" cł  | neck all   | that appl   | y: NA  |
|               | Real<br>Eli<br>Priv<br>Research & Deve   | dget Performance Financial I Expanded E-Competiti Faith Based and Property Asset I minating Impropertization of Militalelopment Investore  Financial Investo | e Integration<br>Performance<br>Government<br>ve Sourcing<br>Community<br>Management<br>er Payments<br>ary Housing<br>nent Criteria  |   |  |   |  |
| 1 t t t       | the Project tact inform the agency ole technique. Will this b. Is this invariant (answer at the context of the context of the agency ole technique.)  1. 2. 3. 4. 5. this investigation of the context of | a. If "yes," what was the the Project Manager review this tact information of Project Manager the agency developed and/or project echniques or practices for the action with the agency developed and/or project Manager agency developed and/or proje | a. If "yes," what was the date of this approach the Project Manager review this Exhibit?  tact information of Project Manager?  Name Phone Number E-mail  the agency developed and/or promoted cost effected techniques or practices for this project.  a. Will this investment include electronic assets to b. Is this investment for new construction or major (answer applicable to non-IT assets only)  1. If "yes," is an ESPC or UESC be 2. If "yes," will this investment med 3. If "yes," is it designed to be 30 NA  So this investment directly support one of the PM.  Hu Budget Performance Financial H Expanded E-C Competiti Faith Based and Real Property Asset M Eliminating Imprope Privatization of Milita Research & Development Investment | the Project Manager review this Exhibit?  tact information of Project Manager?  Name Phone Number 202-879-2898 E-mail  the agency developed and/or promoted cost effective, energy-effole techniques or practices for this project.  YesX_  a. Will this investment include electronic assets (including comyes b. Is this investment for new construction or major retrofit of a Ff (answer applicable to non-IT assets only)  Yes  1. If "yes," is an ESPC or UESC being used to he yes.  2. If "yes," will this investment meet sustainable of Yes," is it designed to be 30% more energy NA | the Project Manager review this Exhibit?  tact information of Project Manager?  Name Phone Number Phone Number E-mail  Mary Ann Satterthwaite Phone Number Satterma2@desc.gov  the agency developed and/or promoted cost effective, energy-efficient are oble techniques or practices for this project.  Wes X No  a. Will this investment include electronic assets (including computers)? Yes No  b. Is this investment for new construction or major retrofit of a Federal by (answer applicable to non-IT assets only)  1. If "yes," is an ESPC or UESC being used to help fund to yes  2. If "yes," will this investment meet sustainable design propers  3. If "yes," is it designed to be 30% more energy efficient NA  Yes  Human Capital  Budget Performance Integration Financial Performance Expanded E-Government Competitive Sourcing Faith Based and Community Real Property Asset Management Eliminating Improper Payments Privatization of Military Housing Research & Development Investment Criteria | the Project Manager review this Exhibit?  The Project Manager review this Exhibit?  The Project Manager?  Name  Phone Number  Phone Number  202-879-2898  E-mail  Mary Ann Satterthwaite  Phone Number  Yes No  A. Will this investment include electronic assets (including computers)?  Yes No X  D. Is this investment for new construction or major retrofit of a Federal building (answer applicable to non-IT assets only)  Yes No X  1. If "yes," is an ESPC or UESC being used to help fund this invest No  2. If "yes," will this investment meet sustainable design principle Yes No  3. If "yes," is it designed to be 30% more energy efficient that NA  Yes No  3. If "yes," is it designed to be 30% more energy efficient that NA  Yes No  Sthis investment directly support one of the PMA initiatives? If "yes" check all Human Capital  Budget Performance Integration  Financial Performance  Expanded E-Government  Competitive Sourcing  Faith Based and Community  Real Property Asset Management  Eliminating Improper Payments  Privatization of Military Housing  Research & Development Investment Criteria | the Project Manager review this Exhibit?    Yes _X |

| Broadening Health Insurance Coverage through State Initiatives "Right Sized" Overseas Presence Coordination of VA & DoD Programs and Systems   |                                     |
|--|-------------------------------------|
| a. Briefly describe how this asset directly supports the identifi  | ed initiative(s)? NA                |
| 14. Does this investment support a program assessed using the Program (PART)? (For more information about the PART, visit <a href="www.whitehous">www.whitehous</a>  |                                     |
|  | Yes <u>X</u> No                     |
| <ul><li>a. If "yes," does this investment address a weakness found during a PART review?</li><li>b. If "yes," what is the name of the PARTed program?</li><li>c. If "yes," what rating did the PART receive?</li></ul>   | Yes NoX                             |
| 15. Is this investment for information technology?   | Yes No <u>X</u>                     |
| If the answer to Question 15 is "Yes," complete questions 16-23 below. answer questions 16-23.   | If the answer is "No," do not       |
| For information technology investments only:  16. What is the level of the IT Project? (per CIO Council PM Guidance)   | )<br>Level 1                        |
|  | Level 2                             |
|  | Level 3                             |
| 17. What project management qualifications does the Project Manager leadidance)  | nave? (per CIO Council PM           |
| <ol> <li>(1) Project manager has been validated as qualified for this invest</li> <li>(2) Project manager qualification is under review for this invest</li> <li>(3) Project manager assigned to investment, but does not meet r</li> <li>(4) Project manager assigned but qualification status review has</li> <li>(5) No Project manager has yet been assigned to this investment</li> </ol> | ment requirements s not yet started |
| 18. Is this investment identified as "high risk" on the Q4 -FY 2006 agen 'high risk" memo)?  | ncy high risk report (per OMB's     |
| <ul><li>19. Is this a financial management system?</li><li>a. If "yes," does this investment address a FFMIA compliance area.</li><li>1. If "yes," which compliance area:</li><li>2. If "no," what does it address?</li><li>b. If "yes," please identify the system name(s) and system</li></ul>   | Yes No<br>? Yes No                  |
| acronym(s) as reported in the most recent financial systems inventory update required by Circular A–11 section 52  |                                     |
| 20. What is the percentage breakout for the total FY2009 funding reque   | (This should total 100%)            |

|  | Software  |
|--|---|
|  | Services  |
|  | Other   |
| 21. If this project produces information dissemination products                                    |   |
| published to the Internet in conformance with OMB Memorinventory, schedules and priorities?        |   |
| 1  | Yes   |
|  | No  |
|  | NA  |
| 22. Contact information of individual responsible for privacy re<br>Name                           | elated questions:                               |
| Phone Number   |   |
| Title  |   |
| E-mail   |   |
| 23. Are the records produced by this investment appropriately s Records Administration's approval? | scheduled with the National Archives and Yes No |

#### **Section B: Summary of Spending (All Capital Assets)**

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

| <b>Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS)</b> (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions) |  |         |         |         |              |              |              |                       |                   |
|--|--|---------|---------|---------|--------------|--------------|--------------|-----------------------|-------------------|
| B1+1 and beyond are  | PY-1<br>and<br>earlier   | PY 2007 | CY 2008 | BY 2009 | BY+1<br>2010 | BY+2<br>2011 | BY+3<br>2012 | BY+4<br>and<br>beyond | Total<br>Unfunded |
| Planning:  | 0.00   | 0.00    | 0.00    | 0.00    | 0.00         | 0.00         | 0.00         | 0.00                  | 0.00              |
| Acquisition:   | 23.09  | 10.33   | 8.00    | 8.00    | 13.70        | 13.70        | 10.60        | 0.00                  | 46.00             |
| Subtotal Planning & Acquisition:   | 23.09  | 10.33   | 8.00    | 8.00    | 13.70        | 13.70        | 10.60        | 0.00                  | 46.00             |
| Operations & Maintenance:  | 0.00   | 0.00    | 0.00    | 0.00    | 0.00         | 0.00         | 0.00         | 0.00                  | 0.00              |
| TOTAL:   | 23.09  | 10.33   | 8.00    | 8.00    | 13.70        | 13.70        | 10.60        | 0.00                  | 46.00             |
| <b>Government FTE Co</b>   | Government FTE Costs should not be included in the amounts provided above. |         |         |         |              |              |              |                       |                   |
| Government FTE<br>Costs  |  |         |         |         | -            |              |              |                       |                   |
| Number of FTE represented by Costs:  |  |         |         |         |              |              |              |                       |                   |

Note: For the cross-agency investments, this table should include all funding (both managing partner and

| partner agencies). Government FTE Costs should not be included as   | part of the TOT. | AL represented. |
|---|------------------|-----------------|
| 2. Will this project require the agency to hire additional FTE's? a. If "yes," How many and in what year? | Yes              | NoX             |
| 3. If the summary of spending has changed from the FY2008   |                  |                 |
| President's budget request, briefly explain those changes:  | - <del></del>    |                 |

# **Section C: Acquisition/Contract Strategy (All Capital Assets)**

| Contracts/Task Orders Table:   |  |                                   |  |  |  |  |
|--|--|-----------------------------------|--|--|--|--|
| Contract or Task Order<br>Number   | 2009-01 Phase II Switchgear<br>Replacement | 2009-02 HVAC Upgrades             |  |  |  |  |
| Type of Contract/Task<br>Order   | Fixed                                      | Fixed                             |  |  |  |  |
| Has the contract been awarded (Y/N)  | No   | No                                |  |  |  |  |
| If so what is the date of<br>the award? If not, what is<br>the planned award date?   | Quarter 1 FY 2009                          | Quarter 1 FY 2009                 |  |  |  |  |
| Start date of<br>Contract/Task Order   | Quarter 1 FY 2009                          | Quarter 1 FY 2009                 |  |  |  |  |
| End date of<br>Contract/Task Order   | Quarter 2 FY 2010                          | Quarter 1 FY 2011                 |  |  |  |  |
| Is this an Interagency<br>Acquisition? (Y/N)   | NO   | NO                                |  |  |  |  |
| Is it performance based? (Y/N)   | YES  | YES                               |  |  |  |  |
| Competitively awarded? (Y/N)   | YES  | YES                               |  |  |  |  |
| What, if any, alternative<br>financing option is being<br>used? (ESPC, UESC,<br>EUL, N/A)                                      | NA   | NA                                |  |  |  |  |
| Is EVM in the contract? (Y/N)  | YES  | YES                               |  |  |  |  |
| Does the contract include<br>the required security &<br>privacy clauses? (Y/N)   | YES<br>Courts' Standard                    | YES<br>Courts' Standard           |  |  |  |  |
| Name of CO   | Joseph E. Sanchez                          | Joseph E. Sanchez                 |  |  |  |  |
| CO Contact information (phone/email)   | 202-879-2801<br>sanchezj@dcsc.gov          | 202-879-2801<br>sanchezj@dcsc.gov |  |  |  |  |
| Contracting Officer<br>Certification Level (Level<br>1, 2, 3, N/A)   | NA   | NA                                |  |  |  |  |
| If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N) | YES  | YES                               |  |  |  |  |

| 2. If earned value is not required or will not be a contract       |  |
|--|--|
| requirement for any of the contracts or task orders above, explain |  |

| why:   |                  |                   |    |
|--|------------------|-------------------|----|
| 3. Do the contracts ensure Section 508 compliance?   | Yes<br>No<br>N/A | X                 |    |
| a. Explain why:  | 1 1/11           |                   |    |
| <ul><li>4. Is there an acquisition plan which has been approved in accordance with agency requirements?</li><li>a. If "yes," what is the date?</li><li>b. If "no," will an acquisition plan be developed?</li><li>1. If "no," briefly explain why:</li></ul> |                  | Yes <u>X</u> 2003 | No |

#### **Section D: Performance Information (All Capital Assets)**

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

|                |  | <b>Performance Infor</b>  | mation Table 1:  |  |   |
|----------------|--|---|--|--|---|
| Fiscal<br>Year | Strategic Goal(s)<br>Supported   | Performance<br>Measure  | Actual/<br>baseline (from<br>Previous<br>Year)   | Planned<br>performance<br>Metric<br>(Target)   | Performance<br>Metric Results<br>(Actual)   |
| 2007           | Goal 4.1: The Courts will provide personnel and court participants with a safe secure, functional, and habitable physical environment. | Air handling units to operate at standard output for building occupancy load. | Air handling units are operating at 10% to 25% below acceptable output standards.      | An air flow<br>quantity measured<br>at 10% to 25%<br>higher CFM.                         | Air flow tests of<br>new air handling<br>units are producing<br>12% to 18% greater<br>air flow. |
| 2009           | Goal 4.1: The Courts will provide personnel and court participants with a safe secure, functional, and habitable physical environment. | Electrical switchgear<br>upgraded to current<br>code standards                | Building is<br>currently served by<br>35 year old<br>switchgear,<br>transformers, etc. | Bring switchgear<br>and main power<br>distribution up to<br>current code<br>requirements | N/A   |

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different

Measurement Areas (for each fiscal year). The PRM is available at <a href="www.egov.gov">www.egov.gov</a>.

|                | Performance Information Table 2: |                         |                          |          |   |                   |  |
|----------------|----------------------------------|-------------------------|--------------------------|----------|---|-------------------|--|
| Fiscal<br>Year | Measurement<br>Area              | Measurement<br>Grouping | Measurement<br>Indicator | Baseline | Planned<br>Improvement<br>to the Baseline | Actual<br>Results |  |
| 2006 -<br>2008 | Mission &<br>Business Results    | NA                      | NA                       | NA       | NA  | NA                |  |
| 2006 -<br>2008 | Customer Results                 | NA                      | NA                       | NA       | NA  | NA                |  |
| 2006 -<br>2008 | Processes & Activities           | NA                      | NA                       | NA       | NA  | NA                |  |
| 2006 -<br>2008 | Technology                       | NA                      | NA                       | NA       | NA  | NA                |  |

Section E: Security and Privacy (IT Capital Assets only)

NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

NA – Not Applicable

## Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments identified as "Planning" or "Full Acquisition", or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

#### **Section A: Alternatives Analysis (All Capital Assets)**

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

| 1. Did | you conduct an alternatives analysis for this project?      | Yes | No | _ |
|--------|---|-----|----|---|
| a.     | If "yes," provide the date the analysis was completed?      |     |    |   |
| b.     | If "no," what is the anticipated date this analysis will be |     |    |   |
|        | completed?  |     |    |   |
| c.     | If no analysis is planned, please briefly explain why:      |     |    |   |

| 2. Alternatives Analysis Results: Use the results of your alternatives analysis to complete the following table: |                            |  |   |  |  |  |
|--|----------------------------|--|---|--|--|--|
| Alternative<br>Analyzed  | Description of Alternative | Risk Adjusted<br>Lifecycle Costs<br>estimate | Risk Adjusted<br>Lifecycle Benefits<br>estimate |  |  |  |
|  |                            |  |   |  |  |  |
|  |                            |  |   |  |  |  |

3. Which alternative was selected by the Agency's

b. What is the Earned Value (EV)?

c. What is the actual cost of work performed (AC)?

d. What costs are included in the reported Cost/Schedule
Performance information (Government Only/Contractor
Only/Both)?

e. "As of" date:

3. What is the calculated Schedule Performance Index (SPI=
EV/PV)?

4. What is the schedule variance (SV = EV-PV)?

5. What is the calculated Cost Performance Index (CPI =
EV/AC)?

**9. Comparison of Initial Baseline and Current Approved Baseline:** Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

| 6. What is the cost variance $(CV = EV - AC)$ ?  |                         |    |
|--|-------------------------|----|
| 7. Is the CV% or SV% greater than ± 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100) a. If "yes," was it the?   | Yes<br>CV<br>SV<br>Both | No |
| <ul><li>b. If "yes," explain the variance:</li><li>c. If "yes," what corrective actions are being taken?</li><li>d. What is most current "Estimate at Completion"?</li></ul> |                         |    |
| 8. Have any significant changes been made to the baseline during the past fiscal year?  a. If "yes," when was it approved by OMB?  | Yes                     | No |

|                          | Initial Baseline                     |                                  |  |  |   | Currer<br>Baselin<br>Varian | ie |  |  |                     |
|--------------------------|--------------------------------------|----------------------------------|--|--|---|-----------------------------|----|--|--|---------------------|
| Description of Milestone | Planned Completion Date (mm/dd/yyyy) | Total Cost<br>(\$M)<br>Estimated |  |  | Date<br>(mm/dd/yyyy)Total Cost (\$M)<br>Planned/ ActualSchedule/Company<br>(# days/\$M) |                             | 1  |  |  | Percent<br>Complete |
|                          |                                      |                                  |  |  |   |                             |    |  |  |                     |
|                          |                                      |                                  |  |  |   |                             |    |  |  |                     |
|                          |                                      |                                  |  |  |   |                             |    |  |  |                     |
|                          |                                      |                                  |  |  |   |                             |    |  |  |                     |
|                          |                                      |                                  |  |  |   |                             |    |  |  |                     |
|                          |                                      |                                  |  |  |   |                             |    |  |  |                     |

# Part III: For "Operation and maintenance" Investments ONLY (Steady State)

Part III should be completed only for investments identified as "Operations and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

# **Section A: Risk Management (All Capital Assets)**

You should have performed a risk assessment during the early planning and initial concept phase of this

Performance

Only/Both)?

information

investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

| 1.      | Does the investment have a Risk Management Plan?  a. If "yes," what is the date of the plan?  b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?  c. If "yes," describe any significant changes:                    | Yes _X_         No           Capital Projects Plan 2004           Yes         No _X |
|---------|--|---|
| 2.      | If there currently is no plan, will a plan be developed?  a. If "yes," what is the planned completion date?  b. If "no," what is the strategy for managing the risks?  | Yes No  |
| Section | on B: Cost and Schedule Performance (All Capital Asso  | ets)  |
| 1.      | Was operational analysis conducted?  a. If "yes," provide the date the analysis was completed. b. If "yes," what were the results? c. If "no," please explain why it was not conducted and if there are any plans to conduct operational analysis in the future: | Yes X No 1999  Identified potential system failure                                  |
| baselir | nplete the following table to compare actual cost performance and me. Milestones reported may include specific individual schedulative maintenance activities, or may be the total of planned annual).   | ed preventative and predictable   |
| a. Wl   | hat costs are included in the reported Cost/Schedule   | Both  |

|                               | 2. b Com   | parison of l | Plan vs. Actual | Perform | nance Table:  |        |
|-------------------------------|------------|--------------|-----------------|---------|---------------|--------|
| Description of Planned Actual |            |              |                 |         | Variance      |        |
| Milestone                     | Completion | Total        | Completion      | Total   | Schedule/Cost |        |
| 1,1110,000110                 | Date       | Cost         | Date            | Cost    | (# days/\$M)  |        |
| Replace AHU's                 | 10/2005    | \$2.53M      | 10/2005         | \$2.53M | \$0           | 0 days |
| Phase I                       |            |              |                 |         |               |        |
| Replace AHU's                 | 9/2006     | \$2.86M      | 9/2006          | \$2.86M | \$0           | 0 days |
| Phase II                      |            |              |                 |         |               |        |
| Replace AHU's                 | 8/2007     | \$2.6M       | 8/2007          | \$2.6M  | \$0           | 0 days |
| Phase III                     |            |              |                 |         |               | ·      |

(Government

Only/Contractor

# Part I: Summary Information And Justification (All Capital Assets)

| Section A: Overview (All Capital Asse |
|---------------------------------------|
|---------------------------------------|

| 1. | Date of Submission: September 2007   |
|----|--|
| 2. | Agency: District of Columbia Courts  |
| 3. | Bureau: NA   |
| 4. | Name of this Capital Asset: <b>Restroom Improvements</b>   |
| 5. | Unique Project (Investment) Identifier: (For IT investment only, see section <u>53</u> . For all other, use agency ID system.) 95-1712   |
| (  | What kind of investment will this be in FY2009?  Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)  Planning  Full Acquisition  Operations and Maintenance  Mixed Life Cycle  E-Gov/LoBOversight   |
| 7  | 7. What was the first budget year this investment was submitted to OMB? 1999   |
| 8  | 3. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The restroom improvement project will enhance ADA accessibility and rebuild the aging infrastructure of the Courts' restroom facilities by making plumbing, electrical and design improvements. Approximately 10,000 persons enter the Moultrie Courthouse each day, placing heavy use on the Courts' 380 restroom facilities, which now require rebuilding. This is a steady state project that began in November 1999. The remaining phase of the project will: |
|    | <ul> <li>Create new restrooms to increase number of fixtures to replace fixtures lost during ADA upgrades and bring total count up to code;</li> <li>Clean ductwork and repair or replace exhaust fan motor, fan, etc. to return exhaust system to full operation.</li> </ul>  |
| 9  | 9. Did the Agency's Executive/Investment Committee review this request? Yes X No   |
|    | b. If "yes," what was the date of this approval?1999   |
| 1  | 0. Did the Project Manager review this Exhibit?  Yes X  No   |
| 1  | 1. Contact information of Project Manager?  Name  Mary Ann Satterthwaite  Phone Number 202-879-2898  |

| E-mail  | satterma2@dcsc.gov                                    |
|---|---|
| 12. Has the agency developed and/or promoted cost effects sustainable techniques or practices for this project. | ective, energy-efficient and environmentally  YesX No |
| a. Will this investment include electronic assets   |   |
| b.Is this investment for new construction or maj<br>(answer applicable to non-IT assets only)                   |   |
|   | Yes No <u>X</u>                                       |
| 1. If "yes," is an ESPC or UESC being used to   | help fund this investment? NA Yes No                  |
| 2. If "yes," will this investment meet sustainab  |   |
| 3. If "yes," is it designed to be 30% more ener   |   |
| 13. Does this investment directly support one of the PM   | A initiatives? If "yes" check all that apply: NA      |
| Hu  | ıman Capital  |
| Budget Performance  |   |
|   | Performance   |
| Expanded E-   |   |
|   | ive Sourcing  |
| Faith Based and   | · · · · · · · · · · · · · · · · · · ·                 |
| Real Property Asset I   |   |
| Eliminating Improp  |   |
| Privatization of Milit  | •   |
| Research & Development Investr  |   |
| Housing & Urban Development Management &  |   |
| Broadening Health Insurance Coverage through Sta  |   |
| "Right Sized" Overs   |   |
| Coordination of VA & DoD Programs   | and Systems   |
| a. Briefly describe how this asset directly supp  | ports the identified initiative(s)? NA                |
| 14. Does this investment support a program assessed us (PART)? (For more information about the PART, visit y    |   |
|   | Yes <u>X</u> No                                       |
| a. If "yes," does this investment address a weakness fou  | nd during a   |
| PART review?  | Yes No <u>X</u>                                       |
| b. If "yes," what is the name of the PARTed progra  |   |
| c. If "yes," what rating did the PART receive?  | Adequate  |
| 15. Is this investment for information technology?  | Yes No _X   |

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not

| answer questions 16-23.   |                  |                  |
|---|------------------|------------------|
| answer questions 10-25.   |                  |                  |
| For information technology investments only:  |                  |                  |
| 16. What is the level of the IT Project? (per CIO Council PM Guidance)  |                  |                  |
| J 1   | Level 1          |                  |
|   | Level 2          |                  |
|   | Level 3          |                  |
|   |                  |                  |
| 17. What project management qualifications does the Project Manager ha  | ave? (per CIO    | Council PM       |
| Guidance)   |                  |                  |
| (1) Project manager has been validated as qualified for this inves  |                  |                  |
| (2) Project manager qualification is under review for this investm  |                  | <del></del>      |
| <ul><li>(3) Project manager assigned to investment, but does not meet re</li><li>(4) Project manager assigned but qualification status review has</li></ul>                 |                  |                  |
| (5) No Project manager has yet been assigned to this investment   | not yet started  |                  |
| (3) 110 110 jeet manager mas yet been assigned to ans investment  |                  |                  |
|   |                  |                  |
| 18. Is this investment identified as "high risk" on the Q4 -FY 2006 agenc 'high risk" memo)?  | ey high risk rep | ort (per OMB's   |
| 19. Is this a financial management system?  | Yes              | No               |
| a. If "yes," does this investment address a FFMIA compliance area?  | Yes              |                  |
| 1. If "yes," which compliance area:   | 1 05             |                  |
| 2. If "no," what does it address?   |                  |                  |
| b. If "yes," please identify the system name(s) and system  |                  |                  |
| acronym(s) as reported in the most recent financial systems   |                  |                  |
| inventory update required by Circular A-11 section 52   |                  |                  |
| 20. What is the percentage breakout for the total FY2009 funding reques   | t for the follow | ring?            |
| 20. What is the percentage breakout for the total 1-1 2009 funding reques   |                  | ıld total 100%)  |
| Hardwa  | *                | •                |
| Softwa  |                  | <del></del>      |
| Servic  | es               |                  |
| Oth   | ner              |                  |
|   |                  | _                |
| 21. If this project produces information dissemination products for the pupulshed to the Internet in conformance with OMB Memorandum 0 inventory, schedules and priorities? |                  |                  |
| <u>.</u>  | es               |                  |
|   | No               |                  |
|   | ſΑ .             |                  |
|   |                  |                  |
|   | stions:          |                  |
| Phone Number  |                  |                  |
| E   |                  |                  |
| E-mail  |                  |                  |
| 23. Are the records produced by this investment appropriately scheduled   | with the Natio   | nal Archives and |
| Records Administration's approval?  | Yes              |                  |

### **Section B: Summary of Spending (All Capital Assets)**

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

| Table 1: SUMMARY                    | Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for |            |             |            |              |              |              |                       |                   |
|-------------------------------------|---|------------|-------------|------------|--------------|--------------|--------------|-----------------------|-------------------|
| BY+1 and beyond are                 | BY+1 and beyond are for planning purposes only and do not represent budget decisions) |            |             |            |              |              |              |                       |                   |
|                                     | PY–1<br>and<br>earlier  | PY<br>2007 | CY<br>2008  | BY<br>2009 | BY+1<br>2010 | BY+2<br>2011 | BY+3<br>2012 | BY+4<br>and<br>beyond | Total<br>Unfunded |
| Planning:                           | 0.00  | 0.00       | 0.00        | 0.00       | 0.00         | 0.00         | 0.00         | 0.00                  | 0.00              |
| Acquisition:                        | 5.47  | 0.00       | 0.00        | 3.20       | 1.00         | 1.00         | 1.00         | 1.00                  | 7.20              |
| Subtotal Planning & Acquisition:    | 5.47  | 0.00       | 0.00        | 3.20       | 1.00         | 1.00         | 1.00         | 1.00                  | 7.20              |
| Operations & Maintenance:           | 0.00  | 0.00       | 0.00        | 0.00       | 0.00         | 0.00         | 0.00         | 0.00                  | 0.00              |
| TOTAL:                              | 5.47  | 0.00       | 0.00        | 3.20       | 1.00         | 1.00         | 1.00         | 1.00                  | 7.20              |
| <b>Government FTE Co</b>            | sts should  | not be in  | cluded in t | he amount  | s provide    | d above.     |              |                       |                   |
| Government FTE<br>Costs             |   |            |             |            |              |              |              |                       |                   |
| Number of FTE represented by Costs: |   |            |             |            |              |              |              |                       |                   |

*Note:* For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

| 2. Will this project require the agency to hire additional FTE's? | Yes | No <u>X</u> |
|---|-----|-------------|
| a. If "yes," How many and in what year?                           |     |             |
| 3. If the summary of spending has changed from the FY2008         |     |             |
| President's budget request, briefly explain those changes:        |     |             |

# Section C: Acquisition/Contract Strategy (All Capital Assets)

|  | Contracts/Tas                     | sk Orders Table:                             |  |
|--|-----------------------------------|--|--|
| Contract or Task Order<br>Number   | 2009-01 New Restrooms             | 2009-02 Exhaust System<br>Repair/Replacement |  |
| Type of Contract/Task<br>Order   | Fixed                             | Fixed  |  |
| Has the contract been awarded (Y/N)  | No                                | No   |  |
| If so what is the date of<br>the award? If not, what is<br>the planned award date?   | Quarter 1 FY 2009                 | Quarter 1 FY 2009                            |  |
| Start date of<br>Contract/Task Order   | Quarter 1 FY 2009                 | Quarter 1 FY 2009                            |  |
| End date of<br>Contract/Task Order   | Quarter 2 FY 2010                 | Quarter 1 FY 2011                            |  |
| Is this an Interagency<br>Acquisition? (Y/N)   | NO                                | NO   |  |
| Is it performance based? (Y/N)   | YES                               | YES  |  |
| Competitively awarded? (Y/N)   | YES                               | YES  |  |
| What, if any, alternative<br>financing option is being<br>used? (ESPC, UESC,<br>EUL, N/A)                                      | NA                                | NA   |  |
| Is EVM in the contract? (Y/N)  | YES, Courts' Standards            | YES, Courts' Standards                       |  |
| Does the contract include<br>the required security &<br>privacy clauses? (Y/N)   | YES, Courts' Standards            | YES, Courts' Standards                       |  |
| Name of CO   | Joseph E. Sanchez                 | Joseph E. Sanchez                            |  |
| CO Contact information (phone/email)   | 202-879-2801<br>sanchezj@dcsc.gov | 202-879-2801<br>sanchezj@dcsc.gov            |  |
| Contracting Officer<br>Certification Level (Level<br>1, 2, 3, N/A)   | NA                                | NA   |  |
| If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N) | YES                               | YES  |  |
| 2. If earned value is not re requirement for any of the why:   |                                   |  |  |

| requirement for any of the contracts or task orders above, | explain |   |  |
|--|---------|---|--|
| why:   |         |   |  |
| 3. Do the contracts ensure Section 508 compliance?         |         |   |  |
|  | Yes     |   |  |
|  | No      | X |  |
|  | N/A     |   |  |

a. Explain why:

| 4. Is there an acquisition plan which has been approved in |                 |
|--|-----------------|
| accordance with agency requirements?                       | Yes <u>X</u> No |
| a. If "yes," what is the date?                             | 2003            |
| b. If "no," will an acquisition plan be developed?         |                 |
| 1. If "no," briefly explain why:                           |                 |

### **Section D: Performance Information (All Capital Assets)**

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

|                | Pe  | rformance Inform   | nation Table 1:   |  |  |
|----------------|---|--|---|--|--|
| Fiscal<br>Year | Strategic Goal(s)<br>Supported  | Performance<br>Measure   | Actual/ baseline<br>(from Previous<br>Year)   | Planned<br>performance<br>Metric<br>(Target)   | Performance<br>Metric<br>Results<br>(Actual) |
| 2003           | Goal 2.1: The Courts will ensure that physical facilities are easily accessible by all persons. Goal 4.1: The Courts will provide personnel and court participants with a safe, secure, functional, and habitable physical environment. | Meet the plumbing fixture count requirements as per current code in the Moultrie Courthouse. | Currently functioning with a deficit of fixtures due to ADA renovations and a decrease in fixture count in the Moultrie Courthouse. | New public restrooms on Indiana Avenue Level and the Second Floor to meet plumbing fixture count requirements. | NA   |

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at <a href="www.egov.gov">www.egov.gov</a>.

|                |                               | Performa                | nce Information T        | Table 2: |   |                   |
|----------------|-------------------------------|-------------------------|--------------------------|----------|---|-------------------|
| Fiscal<br>Year | Measurement<br>Area           | Measurement<br>Grouping | Measurement<br>Indicator | Baseline | Planned<br>Improvement<br>to the Baseline | Actual<br>Results |
| 2006 -<br>2008 | Mission &<br>Business Results | NA                      | NA                       | NA       | NA  | NA                |

Yes \_\_\_\_ No \_\_\_\_

| 2006 -<br>2008 | Customer Results       | NA | NA | NA | NA | NA |
|----------------|------------------------|----|----|----|----|----|
| 2006 -<br>2008 | Processes & Activities | NA | NA | NA | NA | NA |
| 2006 -<br>2008 | Technology             | NA | NA | NA | NA | NA |

Section E: Security and Privacy (IT Capital Assets only)
NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only) NA – Not Applicable

1. Did you conduct an alternatives analysis for this

If "yes," provide the date the analysis was

If "no," what is the anticipated date this analysis

#### Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments identified as "Planning" or "Full Acquisition", or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

## **Section A: Alternatives Analysis (All Capital Assets)**

project?

completed?

3. Which alternative was selected by the Agency's

Executive/Investment Committee and why was it chosen?

a.

b.

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

| c.                      | Will be completed?  If no analysis is planned, please briefly explawhy: | iin  |   |
|-------------------------|---|--|---|
|                         | natives Analysis Results:   |  |   |
| Use the re              | sults of your alternatives analysis to complete                         | e the following table:                       |   |
| Alternative<br>Analyzed | Description of Alternative  | Risk Adjusted<br>Lifecycle Costs<br>estimate | Risk Adjusted<br>Lifecycle Benefits<br>estimate |
|                         |   |  |   |
|                         |   |  |   |
|                         |   |  |   |
|                         |   |  |   |
|                         |   |  |   |
|                         |   |  |   |
|                         |   |  |   |

Performance information should include both Government and Contractor Costs):

a. What is the Planned Value (PV)?

b. What is the Earned Value (EV)?

c. What is the actual cost of work performed (AC)?

d. What costs are included in the reported Cost/Schedule

Performance information (Government Only/Contractor
Only/Both)?

e. "As of" date:

3. What is the calculated Schedule Performance Index (SPI=
EV/PV)?

4. What is the schedule variance (SV = EV-PV)?

EV/AC)?

5. What is the calculated Cost Performance Index (CPI =

| 6. What is the cost variance $(CV = EV - AC)$ ?  |                 |    |
|--|-----------------|----|
| 7. Is the CV% or SV% greater than $\pm$ 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100) a. If "yes," was it the?   | Yes<br>CV<br>SV | No |
| <ul><li>b. If "yes," explain the variance:</li><li>c. If "yes," what corrective actions are being taken?</li><li>d. What is most current "Estimate at Completion"?</li></ul> | Both            |    |
| 8. Have any significant changes been made to the baseline during the past fiscal year?  a. If "yes," when was it approved by OMB?  | Yes             | No |

**9.** Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

|                          | Initial B                            | aseline                          | Current Baseline                            |  |                                  | Current<br>Baseline<br>Variance |                               |  |                     |
|--------------------------|--------------------------------------|----------------------------------|---|--|----------------------------------|---------------------------------|-------------------------------|--|---------------------|
| Description of Milestone | Planned Completion Date (mm/dd/yyyy) | Total Cost<br>(\$M)<br>Estimated | Completion Date (mm/dd/yyyy) Planned/Actual |  | Total Cost (\$M) Planned/ Actual |                                 | Schedule/Cost<br>(# days/\$M) |  | Percent<br>Complete |
|                          |                                      |                                  |   |  |                                  |                                 |                               |  |                     |
|                          |                                      |                                  |   |  |                                  |                                 |                               |  |                     |

# Part III: For "Operation and maintenance" Investments ONLY (Steady State)

Part III should be completed only for investments identified as "Operations and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

#### **Section A: Risk Management (All Capital Assets)**

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

| 1. Does the investment have a Risk Management Plan? | Yes <u>X</u>  | No             |
|---|---------------|----------------|
| a. If "yes," what is the date of the plan?          | Capital Proje | ects Plan 2004 |
| b. Has the Risk Management Plan been significantly  |               |                |
| changed since last year's submission to OMB?        | Yes           | NoX            |
| c. If "yes," describe any significant changes:      |               |                |

| <ul><li>2. If there currently is no plan, will a plan be developed?</li><li>a. If "yes," what is the planned completion date?</li><li>b. If "no," what is the strategy for managing the risks?</li></ul>                                  | Yes                | No                            |
|---|--------------------|-------------------------------|
| Section B: Cost and Schedule Performance (All Capital Asse  | ets)               |                               |
| <ul><li>1. Was operational analysis conducted?</li><li>a. If "yes," provide the date the analysis was completed.</li><li>b. If "yes," what were the results?</li><li>c. If "no," please explain why it was not conducted and if</li></ul> | Yes                | No _ <u>X</u>                 |
| there are any plans to conduct operational analysis in the future:  | •                  | of required fixture conducted |
| 2. Complete the following table to compare actual cost performance a baseline. Milestones reported may include specific individual schedul corrective maintenance activities, or may be the total of planned annu efforts).               | led preventative a | and predictable               |
| a. What costs are included in the reported Cost/Schedule<br>Performance information (Government Only/Contractor<br>Only/Both)?  |                    |                               |

| 2. b Comparison of Plan vs. Actual Performance Table:                        |              |            |              |                   |           |         |
|--|--------------|------------|--------------|-------------------|-----------|---------|
|  | Planned      |            | Act          | Variance          |           |         |
| Description of   | Completion   |            | Completion   |                   |           |         |
| Milestone  | Date         | Total Cost | Date         | <b>Total Cost</b> | Schedule  | Cost (# |
|  | (mm/dd/yyyy) | (\$M)      | (mm/dd/yyyy) | (\$M)             | days/\$M) |         |
| Complete construction new Public Restrooms 1 <sup>st</sup> & 2 <sup>nd</sup> | Q2 2010      | \$2M       | N/A          | N/A               | N/A       | N/A     |
| Public Restrooms 1 <sup>st</sup> & 2 <sup>nd</sup>                           |              |            |              |                   |           |         |
| Floors   |              |            |              |                   |           |         |
| Complete Exhaust system  | Q1 2011      | \$1.2M     | N/A          | N/A               | N/A       | N/A     |
| repair/ replacement  |              |            |              |                   |           |         |

# Part I: Summary Information And Justification (All Capital Assets)

| Section A: Overview (All Capital Asse |
|---------------------------------------|
|---------------------------------------|

| 1.  | Date of Submission: September 2007   |
|-----|--|
| 2.  | Agency: District of Columbia Courts  |
| 3.  | Bureau: NA   |
| 4.  | Name of this Capital Asset: Elevator and Escalator Repairs and Replacement   |
| 5.  | Unique Project (Investment) Identifier: (For IT investment only, see section <u>53</u> . For all other, use agency ID system.) 95-1712   |
| 6.  | What kind of investment will this be in FY2009? (Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)   |
|     | Planning Full Acquisition Operations and Maintenance Mixed Life Cycle E-Gov/LoBOversight  Planning   Kull Acquisition   E-Gov/LoBOversight   |
| 7.  | What was the first budget year this investment was submitted to OMB? 1999  |
| 8.  | Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The Elevator and Escalator Repairs and Replacement Project will rebuild the nearly 35-year-old escalator equipment in the Moultrie Courthouse and the aging elevator equipment in all D.C. Courts' buildings, to include peripheral equipment and controls in Buildings A and B. In the Moultrie Courthouse, there are public elevators and escalators, secure elevators for judges, freight elevators and prisoner elevators. The Courthouse accommodates 10,000 daily visitors and the largest prisoner control facility in the nation for the U.S. Marshals Service. This multi-year project began in December 1999. Funds maintain the value of this investment. Upcoming projects include upgrades to the North Prisoner elevator and freight elevator. |
| 9.  | Did the Agency's Executive/Investment Committee review this request? YesX No   |
|     | a. If "yes," what was the date of this approval?   |
| 10. | Did the Project Manager review this Exhibit?  Yes X  No  |
| 11. | Contact information of Project Manager?  Name Phone Number  E-mail  Satterma2@dcsc.gov   |

| 12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentall sustainable techniques or practices for this project.  | y       |
|---|---------|
| Yes <u>X</u> No   |         |
| a. Will this investment include electronic assets (including computers)?  Yes No _X   |         |
| b.Is this investment for new construction or major retrofit of a Federal building or facility (answer applicable to non-IT assets only)   | ?       |
| Yes No _X   |         |
| 1. If "yes," is an ESPC or UESC being used to help fund this investment? N  Yes No  | NΑ      |
| 2. If "yes," will this investment meet sustainable design principles? NA  Yes No  |         |
| 3. If "yes," is it designed to be 30% more energy efficient than relevan NA   | t code? |
| Yes No  |         |
| 13. Does this investment directly support one of the PMA initiatives? If "yes" check all that apply   | : NA    |
| Human Capital   |         |
| Budget Performance Integration  |         |
| Financial Performance   |         |
| Expanded E-Government   |         |
| Competitive Sourcing  |         |
| Faith Based and Community   |         |
| Real Property Asset Management  |         |
| Eliminating Improper Payments   |         |
| Privatization of Military Housing   |         |
| Research & Development Investment Criteria  |         |
| •   |         |
| Housing & Urban Development Management & Performance  |         |
| Broadening Health Insurance Coverage through State Initiatives  |         |
| "Right Sized" Overseas Presence   |         |
| Coordination of VA & DoD Programs and Systems   |         |
| a. Briefly describe how this asset directly supports the identified initiative(s)? This proprovides for continuing the efficiency of visitor circulation and building maintenance   |         |
| 14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit <a href="www.whitehouse.gov/omb/part">www.whitehouse.gov/omb/part</a> .)   |         |
| Yes <u>X</u> No _   |         |
| a. If "yes," does this investment address a weakness found  | v       |
| during a PART review?  Yes No   |         |
| b. If "yes," what is the name of the PARTed program?  c. If "yes," what rating did the PART receive?  D.C. Courts  Adequate   |         |
| Jos, was surely and an end of the surely and a surely a surely and a surely a surely and a surely and a surely and a surely a surely a surely a surely and a surely a | X       |

| answer questions 16-23.   |  |
|---|--|
| For information technology investments only:  16. What is the level of the IT Project? (per CIO Council PM Guidan   | ce)  |
| (+  | Level 1                                    |
|   | Level 2                                    |
|   | Level 3                                    |
|   |  |
| 17. What project management qualifications does the Project Manage Guidance)  | er have? (per CIO Council PM               |
| (1) Project manager has been validated as qualified for this ir   |  |
| <ul><li>(2) Project manager qualification is under review for this inve</li><li>(3) Project manager assigned to investment, but does not mee</li></ul>                    |  |
| (4) Project manager assigned but qualification status review l  |  |
| (5) No Project manager has yet been assigned to this investm  |  |
| 18. Is this investment identified as "high risk" on the Q4 -FY 2006 ag  | gency high risk report (per OMB's          |
| 'high risk" memo)?  |  |
| 19. Is this a financial management system?  | Yes No                                     |
| a. If "yes," does this investment address a FFMIA compliance ar   |  |
| 1. If "yes," which compliance area:   |  |
| 2. If "no," what does it address?   |  |
| b. If "yes," please identify the system name(s) and system  |  |
| acronym(s) as reported in the most recent financial systems inventory update required by Circular A–11 section 52   |  |
| 20. What is the percentage breakout for the total FY2009 funding req  | uest for the following?                    |
|   | (This should total 100%)                   |
|   | dware                                      |
|   | ftware                                     |
|   | rvices<br>Other                            |
|   | Other                                      |
| 21. If this project produces information dissemination products for the published to the Internet in conformance with OMB Memorandus inventory, schedules and priorities? |  |
| 1   | Yes  |
|   | No   |
|   | NA   |
| 22. Contact information of individual responsible for privacy related Name  | questions:                                 |
| Dlaga Namahari  |  |
| TP: 41  |  |
| E   |  |
|   | 1    |
| 23. Are the records produced by this investment appropriately schedule Records Administration's approval?   | lled with the National Archives and Yes No |
| records Administration 8 approval!  | 169  |

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not

#### **Section B: Summary of Spending (All Capital Assets)**

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

| <b>Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS)</b> (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions) |                  |         |         |            |              |              |              |                       |                   |
|--|------------------|---------|---------|------------|--------------|--------------|--------------|-----------------------|-------------------|
| BY+1 and beyond are  | PY-1 and earlier | PY 2007 | CY 2008 | BY<br>2009 | BY+1<br>2010 | BY+2<br>2011 | BY+3<br>2012 | BY+4<br>and<br>beyond | Total<br>Unfunded |
| Planning:  | 0.00             | 0.00    | 0.00    | 0.00       | 0.00         | 0.00         | 0.00         | 0.00                  | 0.00              |
| Acquisition:   | 4.28             | 0.00    | 0.00    | 1.30       | .80          | .00          | .00          | .00                   | 2.10              |
| Subtotal Planning & Acquisition:   | 4.28             | 0.00    | 0.00    | 1.30       | .80          | .00          | .00          | .00                   | 2.10              |
| Operations & Maintenance:  | 0.00             | 0.00    | 0.00    | 0.00       | 0.00         | 0.00         | 0.00         | 0.00                  | 0.00              |
| TOTAL:   | 4.28             | 0.00    | 0.00    | 1.30       | .80          | .00          | .00          | .00                   | 2.10              |
| Government FTE Costs should not be included in the amounts provided above.   |                  |         |         |            |              |              |              |                       |                   |
| Government FTE<br>Costs  |                  |         |         |            |              |              |              |                       |                   |
| Number of FTE represented by Costs:  |                  |         |         |            |              |              |              |                       |                   |

*Note:* For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

| 2. Will this project require the agency to hire additional FTE's? | Yes | No <u>X</u> |
|---|-----|-------------|
| a. If "yes," How many and in what year?                           |     |             |
| 3. If the summary of spending has changed from the FY2008         |     |             |
| President's budget request, briefly explain those changes:        |     |             |

## **Section C: Acquisition/Contract Strategy (All Capital Assets)**

| Contracts/Task Orders Table: |                        |                               |  |  |  |  |  |  |
|------------------------------|------------------------|-------------------------------|--|--|--|--|--|--|
| Contract or Task Order       | 2009-01 North Prisoner | 2009-02 Main Freight Elevator |  |  |  |  |  |  |
| Number                       | Elevator               |                               |  |  |  |  |  |  |
| Type of Contract/Task        | Fixed                  | Fixed                         |  |  |  |  |  |  |
| Order                        |                        |                               |  |  |  |  |  |  |
| Has the contract been        | No                     | No                            |  |  |  |  |  |  |
| awarded (Y/N)                |                        |                               |  |  |  |  |  |  |
| If so what is the date of    | Quarter 1 FY 2009      | Quarter 1 FY 2009             |  |  |  |  |  |  |

| the award? If not, what is                                   |                        |  |   |
|--|------------------------|--|---|
| the planned award date?                                      |                        |  |   |
| Start date of  | Quarter 1 FY 2009      | Quarter 1 FY 2009                              |   |
| Contract/Task Order  |                        |  |   |
| End date of  | Quarter 2 FY 2010      | Quarter 1 FY 2011                              |   |
| Contract/Task Order  |                        |  |   |
| Is this an Interagency                                       | NO                     | NO   |   |
| Acquisition? (Y/N)   |                        |  |   |
| Is it performance based?                                     | YES                    | YES  |   |
| (Y/N)  | 1177                   | 1177   |   |
| Competitively awarded?                                       | YES                    | YES  |   |
| (Y/N)  | 27.1                   | 27.1   |   |
| What, if any, alternative                                    | NA                     | NA   |   |
| financing option is being                                    |                        |  |   |
| used? (ESPC, UESC,   |                        |  |   |
| EUL, N/A)  | WEG G                  | AMEGICA AND AND AND AND AND AND AND AND AND AN |   |
| Is EVM in the contract?                                      | YES, Courts' Standard  | YES, Courts' Standards                         |   |
| (Y/N)  | MEG                    | VEC  |   |
| Does the contract include                                    | YES                    | YES  |   |
| the required security &                                      |                        |  |   |
| privacy clauses? (Y/N) Name of CO                            | Joseph E. Sanchez      | Joseph E. Sanchez                              |   |
| CO Contact information                                       | 202-879-2801           | 202-879-2801                                   |   |
|  | sanchezj@dcsc.gov      | sanchezj@dcsc.gov                              |   |
| (phone/email)  | NA NA                  | NA NA  |   |
| Contracting Officer<br>Certification Level (Level            | NA .                   | NA .   |   |
| 1, 2, 3, N/A)  |                        |  |   |
| If N/A, has the agency                                       | YES                    | YES  |   |
| determined the CO  |                        | 1115   |   |
| assigned has the   |                        |  |   |
| competencies and skills                                      |                        |  |   |
| necessary to support this                                    |                        |  |   |
| acquisition? (Y/N)   |                        |  |   |
| 2. If earned value is not re requirement for any of the why: |                        |  |   |
| 3. Do the contracts ensure                                   | Section 508 compliance | Yes<br>No <u>X</u> _<br>N/A                    |   |
| a. Explain why:  |                        |  |   |
|  |                        |  |   |
| 4. Is there an acquisition paccordance with agency re        |                        | Yes <u>X</u> No                                | _ |

## **Section D: Performance Information (All Capital Assets)**

b. If "no," will an acquisition plan be developed? 1. If "no," briefly explain why:

a. If "yes," what is the date?

In order to successfully address this area of the exhibit 300, performance goals must be provided for the

agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

|                | Performance Information Table 1:  |   |   |   |   |  |  |  |  |
|----------------|---|---|---|---|---|--|--|--|--|
| Fiscal<br>Year | Strategic Goal(s)<br>Supported  | Performance Measure   | Actual/ baseline<br>(from Previous<br>Year)   | Planned<br>performance<br>Metric (Target)   | Performance<br>Metric Results<br>(Actual) |  |  |  |  |
| 2007           | Goal 2.1: The Courts will ensure that physical facilities are easily accessible by all persons. | I. Increase security, safety and reliability of the prisoner and freight elevators.     Lessen out of service time due to unscheduled faults. | Original 1978 elevators and escalators needed constant service calls and were regularly out of service. | More closely<br>adhere to USMS<br>standards | NA  |  |  |  |  |

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at <a href="https://www.egov.gov">www.egov.gov</a>.

|                | Performance Information Table 2: |                         |                          |          |   |                   |  |  |  |
|----------------|----------------------------------|-------------------------|--------------------------|----------|---|-------------------|--|--|--|
| Fiscal<br>Year | Measurement<br>Area              | Measurement<br>Grouping | Measurement<br>Indicator | Baseline | Planned<br>Improvement<br>to the Baseline | Actual<br>Results |  |  |  |
| 2006 -<br>2008 | Mission &<br>Business Results    | NA                      | NA                       | NA       | NA  | NA                |  |  |  |
| 2006 -<br>2008 | Customer Results                 | NA                      | NA                       | NA       | NA  | NA                |  |  |  |
| 2006 -<br>2008 | Processes & Activities           | NA                      | NA                       | NA       | NA  | NA                |  |  |  |
| 2006 -<br>2008 | Technology                       | NA                      | NA                       | NA       | NA  | NA                |  |  |  |

Section E: Security and Privacy (IT Capital Assets only)
NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only) NA – Not Applicable

### Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments identified as "Planning" or "Full Acquisition", or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

### **Section A: Alternatives Analysis (All Capital Assets)**

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

| a.                     | u conduct an alternatives analysis for this project If "yes," provide the date the analysis was completed?  If "no," what is the anticipated date this analys will be completed?  If no analysis is planned, please briefly explain why:   | is   | No               |            |
|------------------------|--|--|------------------|------------|
|                        | atives Analysis Results: e results of your alternatives analysis to complet  | e the following table                        | a.               |            |
| Alternativ<br>Analyzed |  | Risk Adjusted<br>Lifecycle Costs<br>estimate | Risk Ad          | e Benefits |
|                        |  |  |                  |            |
|                        |  |  |                  |            |
|                        |  |  |                  |            |
| Executive/             | Iternative was selected by the Agency's Investment Committee and why was it chosen? ecific qualitative benefits will be realized?  |  |                  |            |
| Section B              | : Risk Management (All Capital Assets)   |  |                  |            |
| investment             | d have performed a risk assessment during the assessment during th | e cost estimate and a                        | a plan to elimin |            |
|                        | he investment have a Risk Management Plan? res," what is the date of the plan?   |  | Yes              | No         |
| b. Has<br>last yea     | the Risk Management Plan been significantly clar's submission to OMB? res," describe any significant changes:  | nanged since —                               | Yes              | No         |
| 2. If there            | currently is no plan, will a plan be developed?  |  | Yes              | No         |

| Section | 300 | OMB | Circular | No. | $A_{-11}$ |
|---------|-----|-----|----------|-----|-----------|
|         |     |     |          |     |           |

| <ul><li>a. If "yes," what is the planned completion date?</li><li>b. If "no," what is the strategy for managing the risks?</li><li>3. Briefly describe how investment risks are reflected in the life cycle No risks have been identified with this project.</li></ul>  | cost estimate an        | d investment schedu |
|---|-------------------------|---------------------|
| Section C: Cost and Schedule Performance (All Capital Assets)   |                         |                     |
| EVM is required only on DME portions of investments. For mixed lift milestones should still be included in the table (Comparison of Initial Baseline). This table should accurately reflect the milestones in the in the current baseline.  | Baseline and Cu         | rrent Approved      |
| 1. Does the earned value management system meet the criteria in ANSI/EIA Standard – 748? Yes No   | Yes                     | No                  |
| 2. Answer the following questions about current cumulative cost and reported below should reflect current actual information. (Per OMB Performance information should include both Government and Contractions)   | requirements Cos        |                     |
| <ul> <li>a. What is the Planned Value (PV)?</li> <li>b. What is the Earned Value (EV)?</li> <li>c. What is the actual cost of work performed (AC)?</li> <li>d. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)?</li> <li>e. "As of" date:</li> </ul> |                         |                     |
| 3. What is the calculated Schedule Performance Index (SPI= EV/PV)?  |                         |                     |
| 4. What is the schedule variance (SV = EV-PV)?  |                         |                     |
| 5. What is the calculated Cost Performance Index (CPI = EV/AC)?   |                         |                     |
| 6. What is the cost variance $(CV = EV - AC)$ ?   |                         |                     |
| 7. Is the CV% or SV% greater than ± 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100) a. If "yes," was it the?  | Yes<br>CV<br>SV<br>Both | No                  |
| <ul><li>b. If "yes," explain the variance:</li><li>c. If "yes," what corrective actions are being taken?</li><li>d. What is most current "Estimate at Completion"?</li></ul>  |                         |                     |
| 8. Have any significant changes been made to the baseline during the past fiscal year?  a. If "yes," when was it approved by OMB?   | Yes                     | No                  |

report instead and followed the recommendations provided.

**9.** Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

|                                | Initial B                            | aseline                          |   | Current Baseline |   |  | Currer<br>Baselin<br>Varian | ie                |                     |
|--------------------------------|--------------------------------------|----------------------------------|---|------------------|---|--|-----------------------------|-------------------|---------------------|
| Description<br>of<br>Milestone | Planned Completion Date (mm/dd/yyyy) | Total Cost<br>(\$M)<br>Estimated | Completion Date (mm/dd/yyyy) Planned/Actual |                  | DateTotal Cost (\$M)(mm/dd/yyyy)Planned/ Actual |  | Schedu<br>(# days           | ile/Cost<br>/\$M) | Percent<br>Complete |
| Planning                       |                                      |                                  |   |                  |   |  |                             |                   |                     |
| Design & Construction          |                                      |                                  |   |                  |   |  |                             |                   |                     |

## Part III: For "Operation and maintenance" Investments ONLY (Steady State)

Part III should be completed only for investments identified as "Operations and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

#### **Section A: Risk Management (All Capital Assets)**

future:

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

| 1.     | Does the investment have a Risk Management Plan?  | Yes <u>X</u>    | No                 |
|--------|---|-----------------|--------------------|
|        | a. If "yes," what is the date of the plan?  | Capital Proje   | ects Plan 2004     |
|        | b. Has the Risk Management Plan been significantly changed since last year's submission to OMB? c. If "yes," describe any significant changes:                        | Yes             | NoX                |
| 2.     | If there currently is no plan, will a plan be developed?  a. If "yes," what is the planned completion date?  b. If "no " what is the strategy for managing the risks? | Yes             | No                 |
| Sectio | <ul><li>b. If "no," what is the strategy for managing the risks?</li><li>on B: Cost and Schedule Performance (All Capital Asset)</li></ul>                            | ets)            |                    |
| 1.     | Was operational analysis conducted? a. If "yes," provide the date the analysis was completed.   | Yes             | NoX                |
|        | b. If "yes," what were the results?   |                 |                    |
|        | c. If "no," please explain why it was not conducted and if  |                 |                    |
|        | there are any plans to conduct operational analysis in the  | The Count condu | atad an avaluation |
|        | there are any plans to conduct operational analysis in the  | THE COURT COHOU | cted an evaluation |

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- 2. Complete the following table to compare actual cost performance against the planned cost performance baseline. Milestones reported may include specific individual scheduled preventative and predictable corrective maintenance activities, or may be the total of planned annual operation and maintenance efforts).
- a. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)?

| 2. b Comparison of Plan vs. Actual Performance Table:     |                 |               |                    |     |          |          |  |  |  |
|---|-----------------|---------------|--------------------|-----|----------|----------|--|--|--|
| Decemintion of  | Planned         |               | Actu               | ıal | Variance | Variance |  |  |  |
| Description of<br>Milestone                               | Completion Date | Total<br>Cost | Completion<br>Date |     |          | Cost (#  |  |  |  |
| Replace Major<br>Components of North<br>Prisoner Elevator | Q2 2010         | \$0.7M        | N/A                | N/A | N/A      | N/A      |  |  |  |
| Replace Major<br>Components of Main<br>Freight Elevator   | Q1 2011         | \$0.6M        | N/A                | N/A | N/A      | N/A      |  |  |  |

# Part I: Summary Information And Justification (All Capital Assets)

| Se  | ction A: Overview (All Capital Assets)  |
|-----|---|
| 1.  | Date of Submission: September 2007  |
| 2.  | Agency: District of Columbia Courts   |
| 3.  | Bureau: NA  |
| 4.  | Name of this Capital Asset: Fire and Security Alarm Systems   |
| 5.  | Unique Project (Investment) Identifier: (For IT investment only, see section <u>53</u> . For all other, use agency ID system.) 95-1712  |
|     | What kind of investment will this be in FY2009?  ease NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities or to FY2008 should not select O&M. These investments should indicate their current status.)  Planning  Full Acquisition  Operations and Maintenance  Mixed Life Cycle  E-Gov/LoBOversight   |
| 7.  | What was the first budget year this investment was submitted to OMB? 1999   |
| 8.  | <ul> <li>Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The Fire and Security Alarm System project is the phased implementation of a comprehensive upgrade to security within Court buildings.</li> <li>In 2009 the project will include:</li> <li>Installation of a dedicated court telephone system in areas where the U.S. Marshals Service is on a Department of Justice system.</li> <li>Continuation of the installation of the infrastructure of a new sprinkler system for increased fire protection and added life safety.</li> <li>Installation of bullet resistant glass at street level windows of the H. Carl Moultrie Courthouse.</li> </ul> |
| 9.  | Did the Agency's Executive/Investment Committee review this request? Yes <u>X</u> No  |
|     | a. If "yes," what was the date of this approval?2004  |
| 10. | Did the Project Manager review this Exhibit?  Yes X  No   |
| 11. | Contact information of Project Manager?   |
|     | Name Mary Ann Satterthwaite Phone Number 202-879-2898 E-mail satterma2@dcsc.gov   |

| 12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally                            |   |
|--|---|
| sustainable techniques or practices for this project.  |   |
| Yes <u>X</u> No<br>a. Will this investment include electronic assets (including computers)?                                  |   |
| Yes No _X  |   |
| b.Is this investment for new construction or major retrofit of a Federal building or facility?                               |   |
| (answer applicable to non-IT assets only)  |   |
| Yes No _X  |   |
|  |   |
| 1. If "yes," is an ESPC or UESC being used to help fund this investment? NA  |   |
| Yes No   |   |
| 2. If "yes," will this investment meet sustainable design principles? NA   |   |
| Yes No   |   |
| 3. If "yes," is it designed to be 30% more energy efficient than relevant code? NA   |   |
| Yes No   |   |
| 12. Does this investment directly support one of the DMA initiatives? If "year" sheek all that apply NA                      |   |
| 13. Does this investment directly support one of the PMA initiatives? If "yes" check all that apply: NA Human Capital        |   |
| Budget Performance Integration   |   |
| Financial Performance  |   |
| Expanded E-Government  |   |
| Competitive Sourcing   |   |
| Faith Based and Community  |   |
| Real Property Asset Management   |   |
| Eliminating Improper Payments  |   |
| Privatization of Military Housing  |   |
| Research & Development Investment Criteria   |   |
| Housing & Urban Development Management & Performance   |   |
| Broadening Health Insurance Coverage through State Initiatives   |   |
| "Right Sized" Overseas Presence  |   |
| Coordination of VA & DoD Programs and Systems  |   |
|  |   |
| a. Briefly describe how this asset directly supports the identified initiative(s)? NA  |   |
| 14. Does this investment support a program assessed using the Program Assessment Rating Tool                                 |   |
| (PART)? (For more information about the PART, visit <a href="www.whitehouse.gov/omb/part">www.whitehouse.gov/omb/part</a> .) |   |
| (171K1): (1 of more information about the 171K1, visit www.wintenbuse.gov/ointo/part.)                                       |   |
| YesX No  |   |
| a. If "yes," does this investment address a weakness found   |   |
| during a PART review? Yes No _X_   |   |
| b. If "yes," what is the name of the PARTed program?  D.C. Courts  |   |
| c. If "yes." what rating did the PART receive?  Adequate   | _ |
| 15. Is this investment for information technology?  Yes No _X  |   |
| If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23. |   |
| For information technology investments only:   |   |
| 16. What is the level of the IT Project? (per CIO Council PM Guidance)   |   |
| per ere content in content of the resident (per ere content in content of  |   |

| Le  | vel 1<br>vel 2<br>vel 3 |                        |
|---|-------------------------|------------------------|
| 17. What project management qualifications does the Project Manager have Guidance)  | (per CIO C              | Council PM             |
| <ol> <li>Project manager has been validated as qualified for this investme</li> <li>Project manager qualification is under review for this investment</li> <li>Project manager assigned to investment, but does not meet requir</li> <li>Project manager assigned but qualification status review has not</li> <li>No Project manager has yet been assigned to this investment</li> </ol> | rements                 |                        |
| 18. Is this investment identified as "high risk" on the Q4 -FY 2006 agency high risk" memo)?  | igh risk repo           | ort (per OMB's         |
| <ul><li>19. Is this a financial management system?</li><li>a. If "yes," does this investment address a FFMIA compliance area?</li><li>1. If "yes," which compliance area:</li><li>2. If "no," what does it address?</li></ul>   | Yes<br>Yes              | No<br>No               |
| b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A–11 section 52  |                         |                        |
| 20. What is the percentage breakout for the total FY2009 funding request for  |                         | ing?<br>ld total 100%) |
| Hardware<br>Software<br>Services<br>Other   |                         | <br>                   |
| 21. If this project produces information dissemination products for the public published to the Internet in conformance with OMB Memorandum 05-04 inventory, schedules and priorities?  |                         |                        |
| Yes<br>No<br>NA   | -<br>-<br>-             |                        |
| 22. Contact information of individual responsible for privacy related question.  Name   |                         |                        |
| Phone Number  |                         |                        |
| 23. Are the records produced by this investment appropriately scheduled with Records Administration's approval?   | h the Natior            | nal Archives and No    |

# Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All

amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

| Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for |                        |            |            |            |              |              |              |                       |                   |
|---|------------------------|------------|------------|------------|--------------|--------------|--------------|-----------------------|-------------------|
| BY+1 and beyond are for planning purposes only and do not represent budget decisions) |                        |            |            |            |              |              |              |                       |                   |
|   | PY–1<br>and<br>earlier | PY<br>2007 | CY<br>2008 | BY<br>2009 | BY+1<br>2010 | BY+2<br>2011 | BY+3<br>2012 | BY+4<br>and<br>beyond | Total<br>Unfunded |
| Planning:   | 0.00                   | 0.00       | 0.00       | 0.00       | 0.00         | 0.00         | 0.00         | 0.00                  | 0.00              |
| Acquisition:  | 8.19                   | 9.07       | 0.00       | 3.18       | 2.12         | 2.12         | .00          | .00                   | 7.42              |
| Subtotal Planning & Acquisition:  | 8.19                   | 9.07       | 0.00       | 3.18       | 2.12         | 2.12         | .00          | .00                   | 7.42              |
| Operations & Maintenance:   | 0.00                   | 0.00       | 0.00       | 0.00       | 0.00         | 0.00         | 0.00         | 0.00                  | 0.00              |
| TOTAL:  | 8.19                   | 9.07       | 0.00       | 3.18       | 2.12         | 2.12         | .00          | .00                   | 7.42              |
| Government FTE Costs should not be included in the amounts provided above.            |                        |            |            |            |              |              |              |                       |                   |
| Government FTE<br>Costs   |                        |            |            |            |              |              |              |                       |                   |
| Number of FTE represented by Costs:   |                        |            |            |            |              |              |              |                       |                   |

*Note:* For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

| 2. Will this project require the agency to hire additional FTE's? | Yes | No <u>X</u> |
|---|-----|-------------|
| a. If "yes," How many and in what year?                           |     |             |
| 3. If the summary of spending has changed from the FY2008         |     |             |
| President's budget request, briefly explain those changes:        |     |             |

# Section C: Acquisition/Contract Strategy (All Capital Assets)

| Contracts/Task Orders Table:      |                        |   |                        |  |  |  |  |
|-----------------------------------|------------------------|---|------------------------|--|--|--|--|
| Contract or Task Order            | 2009-01                | 2009-02                                 | 2009-03                |  |  |  |  |
| Number                            | USMS Telephone System  | Sprinkler System                        | Bullet Resistant Glass |  |  |  |  |
| Type of Contract/Task             | Fixed                  | Fixed                                   | Fixed                  |  |  |  |  |
| Order                             |                        |   |                        |  |  |  |  |
| Has the contract been             | No                     | No                                      | No                     |  |  |  |  |
| awarded (Y/N)                     |                        |   |                        |  |  |  |  |
| If so what is the date of         | Quarter 1 FY 2009      | Quarter 1 FY 2009                       | Quarter 1 FY 2009      |  |  |  |  |
| the award? If not, what is        |                        |   |                        |  |  |  |  |
| the planned award date?           | 0 1 1 177 2000         | 0 1 1 1 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 | 0 1 177 2000           |  |  |  |  |
| Start date of                     | Quarter 1 FY 2009      | Quarter 1 FY 2009                       | Quarter 1 FY 2009      |  |  |  |  |
| Contract/Task Order               | Overtor 1 EV 2010      | Overton 4 EV 2014                       | Overton 1 EV 2011      |  |  |  |  |
| End date of                       | Quarter 1 FY 2010      | Quarter 4 FY 2014                       | Quarter 1 FY 2011      |  |  |  |  |
| Contract/Task Order               | NO                     | NO                                      | NO                     |  |  |  |  |
| Is this an Interagency            | NO                     | NO                                      | NO                     |  |  |  |  |
| Acquisition? (Y/N)                | YES                    | YES                                     | YES                    |  |  |  |  |
| Is it performance based?          | YES                    | YES                                     | YES                    |  |  |  |  |
| (Y/N)                             | YES                    | YES                                     | YES                    |  |  |  |  |
| Competitively awarded? (Y/N)      | 1ES                    | 1E3                                     | 1 ES                   |  |  |  |  |
| What, if any, alternative         | NA                     | NA                                      | NA                     |  |  |  |  |
| financing option is being         |                        |   |                        |  |  |  |  |
| used? (ESPC, UESC,                |                        |   |                        |  |  |  |  |
| EUL, N/A)                         |                        |   |                        |  |  |  |  |
| Is EVM in the contract?           | YES, Courts' Standards | YES, Courts' Standards                  | YES, Courts' Standards |  |  |  |  |
| (Y/N)                             |                        |   |                        |  |  |  |  |
| Does the contract include         | YES                    | YES                                     | YES                    |  |  |  |  |
| the required security &           |                        |   |                        |  |  |  |  |
| privacy clauses? (Y/N)            |                        |   |                        |  |  |  |  |
| Name of CO                        | Joseph E. Sanchez      | Joseph E. Sanchez                       | Joseph E. Sanchez      |  |  |  |  |
| CO Contact information            | 202-879-2801           | 202-879-2801                            | 202-879-2801           |  |  |  |  |
| (phone/email)                     | sanchezj@dcsc.gov      | sanchezj@dcsc.gov                       | sanchezj@dcsc.gov      |  |  |  |  |
| Contracting Officer               | NA                     | NA                                      | NA                     |  |  |  |  |
| <b>Certification Level (Level</b> |                        |   |                        |  |  |  |  |
| 1, 2, 3, N/A)                     |                        |   |                        |  |  |  |  |
| If N/A, has the agency            | YES                    | YES                                     | YES                    |  |  |  |  |
| determined the CO                 |                        |   |                        |  |  |  |  |
| assigned has the                  |                        |   |                        |  |  |  |  |
| competencies and skills           |                        |   |                        |  |  |  |  |
| necessary to support this         |                        |   |                        |  |  |  |  |
| acquisition? (Y/N)                |                        |   |                        |  |  |  |  |

| 2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, why: |     |          |  |
|--|-----|----------|--|
| 3. Do the contracts ensure Section 508 compliance?   |     |          |  |
| •  | Yes |          |  |
|  | No  | <u>X</u> |  |
|  | N/A |          |  |

a. Explain why:

| 4. Is there an acquisition plan which has been approved in |                 |
|--|-----------------|
| accordance with agency requirements?                       | Yes <u>X</u> No |
| a. If "yes," what is the date?                             | 2003            |
| b. If "no," will an acquisition plan be developed?         |                 |
| 1. If "no," briefly explain why:                           |                 |
|  |                 |

#### **Section D: Performance Information (All Capital Assets)**

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

|                | Performance Information Table 1:  |  |   |  |   |  |  |  |  |  |
|----------------|---|--|---|--|---|--|--|--|--|--|
| Fiscal<br>Year | Strategic Goal(s) Supported   | Performance<br>Measure   | Actual/ baseline<br>(from Previous<br>Year)   | Planned<br>performance<br>Metric (Target)                              | Performance<br>Metric Results<br>(Actual) |  |  |  |  |  |
| 2004           | Goal 4.1: The Courts will provide personnel and court participants with a safe, secure, functional, and habitable physical environment. | Installation of a sprinkler system throughout the Moultrie Courthouse. | No existing sprinkler system.   | Installation of a sprinkler system throughout the Moultrie Courthouse. | 5% complete as<br>of May 2007             |  |  |  |  |  |
| 2004           | Goal 4.1: The Courts will provide personnel and court participants with a safe, secure, functional, and habitable physical environment. | Complete replacement of the existing fire alarm system.                | Existing 1979 fire<br>alarm system has<br>exceeded its useful<br>life.              | New state of the art fire alarm system.                                | 100% complete<br>as of January<br>2005.   |  |  |  |  |  |
| 2009           | Goal 4.1: The Courts will provide personnel and court participants with a safe, secure, functional, and habitable physical environment. | Complete installation<br>of bullet resistant<br>glass on first floor   | 35 year old<br>building was<br>constructed prior<br>to new security<br>requirements | Complete installation<br>of bullet resistant<br>glass on first floor   | N/A                                       |  |  |  |  |  |

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at <a href="https://www.egov.gov">www.egov.gov</a>.

Yes \_\_\_\_ No \_\_\_\_

|                | Performance Information Table 2: |                         |                          |          |   |                   |  |  |  |
|----------------|----------------------------------|-------------------------|--------------------------|----------|---|-------------------|--|--|--|
| Fiscal<br>Year | Measurement<br>Area              | Measurement<br>Grouping | Measurement<br>Indicator | Baseline | Planned<br>Improvement<br>to the Baseline | Actual<br>Results |  |  |  |
| 2006 -<br>2008 | Mission &<br>Business Results    | NA                      | NA                       | NA       | NA  | NA                |  |  |  |
| 2006 -<br>2008 | Customer Results                 | NA                      | NA                       | NA       | NA  | NA                |  |  |  |
| 2006 -<br>2008 | Processes &<br>Activities        | NA                      | NA                       | NA       | NA  | NA                |  |  |  |
| 2006 -<br>2008 | Technology                       | NA                      | NA                       | NA       | NA  | NA                |  |  |  |

**Section E: Security and Privacy (IT Capital Assets only)** 

NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only) NA – Not Applicable

## Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments identified as "Planning" or "Full Acquisition", or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

### **Section A: Alternatives Analysis (All Capital Assets)**

1. Did you conduct an alternatives analysis for this project?

a. If "yes," provide the date the analysis was completed?

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

| completed   | b. If "no," what is the anticipated date this analysis will be completed?  c. If no analysis is planned, please briefly explain why: |  |   |  |
|---|--|--|---|--|
| 2. Alternativ   | ves Analysis Results:  |  |   |  |
| Use the re  | sults of your alternatives analysis to complete  | e the following table:                       |   |  |
| Alternative<br>Analyzed   | Description of Alternative   | Risk Adjusted<br>Lifecycle Costs<br>estimate | Risk Adjusted<br>Lifecycle Benefits<br>estimate |  |
|   |  |  |   |  |
|   |  |  |   |  |
|   |  |  |   |  |
|   |  |  |   |  |
| 3. Which alternative was selected by the Agency's Executive/Investment Committee and why was it chosen? |  |  |   |  |

4. What specific qualitative benefits will be realized?

### **Section B: Risk Management (All Capital Assets)**

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

| <ol> <li>Does the investment have a Risk Management Plan?</li> <li>a. If "yes," what is the date of the plan?</li> </ol>  | Yes                | No                      |
|---|--------------------|-------------------------|
| <ul><li>b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?</li><li>c. If "yes," describe any significant changes:</li></ul>  | Yes                | No                      |
| <ul><li>2. If there currently is no plan, will a plan be developed?</li><li>a. If "yes," what is the planned completion date?</li><li>b. If "no," what is the strategy for managing the risks?</li></ul>  | Yes                | No                      |
| 3. Briefly describe how investment risks are reflected in the life c schedule: No risks have been identified with this project.   | ycle cost estimate | e and investmen         |
| Section C: Cost and Schedule Performance (All Capital Asset   | s)                 |                         |
| EVM is required only on DME portions of investments. For mixe milestones should still be included in the table (Comparison of In Approved Baseline). This table should accurately reflect the mile well as milestones in the current baseline.  1. Does the earned value management system meet the criteria in ANSI/EIA Standard – 748? Yes No | itial Baseline and | Current al baseline, as |
| 2. Answer the following questions about current cumulative cost numbers reported below should reflect current actual information Cost/Schedule Performance information should include both Gov  | and schedule perf  | formance. The irements  |
| <ul> <li>a. What is the Planned Value (PV)?</li> <li>b. What is the Earned Value (EV)?</li> <li>c. What is the actual cost of work performed (AC)?</li> <li>d. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)?</li> <li>e. "As of" date:</li> </ul>                       |                    |                         |
| 3. What is the calculated Schedule Performance Index (SPI= EV/PV)?  |                    |                         |
| 4. What is the schedule variance (SV = EV-PV)?  |                    |                         |

5. What is the calculated Cost Performance Index (CPI =

| EV/AC)?  |                         |    |
|--|-------------------------|----|
| 6. What is the cost variance $(CV = EV - AC)$ ?  |                         |    |
| 7. Is the CV% or SV% greater than ± 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100) a. If "yes," was it the?   | Yes<br>CV<br>SV<br>Both | No |
| <ul><li>b. If "yes," explain the variance:</li><li>c. If "yes," what corrective actions are being taken?</li><li>d. What is most current "Estimate at Completion"?</li></ul> |                         |    |
| 8. Have any significant changes been made to the baseline during the past fiscal year?  a. If "yes," when was it approved by OMB?  | Yes                     | No |

**9. Comparison of Initial Baseline and Current Approved Baseline:** Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

|                          | Initial B                            | aseline                          | Current Baseline                            |                                  | Current<br>Baseline<br>Variance |                     |
|--------------------------|--------------------------------------|----------------------------------|---|----------------------------------|---------------------------------|---------------------|
| Description of Milestone | Planned Completion Date (mm/dd/yyyy) | Total Cost<br>(\$M)<br>Estimated | Completion Date (mm/dd/yyyy) Planned/Actual | Total Cost (\$M) Planned/ Actual | Schedule/Cost<br>(# days/\$M)   | Percent<br>Complete |
| Planning                 |                                      |                                  |   |                                  |                                 |                     |
| Design &<br>Construction |                                      |                                  |   |                                  |                                 |                     |

### Part III: For "Operation and maintenance" Investments ONLY (Steady State)

Part III should be completed only for investments identified as "Operations and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

#### **Section A: Risk Management (All Capital Assets)**

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

| 1. | Does the investment have a Risk Management Plan? | Yes <u>X</u> No             |
|----|--|-----------------------------|
|    | a. If "yes," what is the date of the plan?       | Capital Projects Plan 2004_ |

| <ul><li>b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?</li><li>c. If "yes," describe any significant changes:</li></ul>   | Yes                 | No <u>X</u>     |
|--|---------------------|-----------------|
| <ul><li>a. If there currently is no plan, will a plan be developed?</li><li>b. If "yes," what is the planned completion date?</li><li>c. If "no," what is the strategy for managing the risks?</li></ul>   | Yes                 | No              |
| Section B: Cost and Schedule Performance (All Capital As   | sets)               |                 |
| <ol> <li>Was operational analysis conducted?</li> <li>a. If "yes," provide the date the analysis was completed.</li> <li>b. If "yes," what were the results?</li> <li>c. If "no," please explain why it was not conducted and if there are any plans to conduct operational analysis in the future:</li> </ol> |                     | exceeded their  |
| 2. Complete the following table to compare actual cost performance baseline. Milestones reported may include specific individual scheducorrective maintenance activities, or may be the total of planned and efforts).   | uled preventative a | and predictable |
| a. What costs are included in the reported Cost/Schedule<br>Performance information (Government Only/Contractor<br>Only/Both)?   |                     |                 |

|   | 2. b Comparis                | son of Plan v       | s. Actual Perform            | nance Table:        |                               |
|---|------------------------------|---------------------|------------------------------|---------------------|-------------------------------|
|   | Planned                      |                     | Act                          | ual                 | Variance                      |
| Description of<br>Milestone   | Completion Date (mm/dd/yyyy) | Total Cost<br>(\$M) | Completion Date (mm/dd/yyyy) | Total Cost<br>(\$M) | Schedule/Cost (#<br>days/\$M) |
| Complete USMS Telephone System in existing space                    | Q1 2010                      | \$0.1M              | n/a                          | n/a                 | n/a                           |
| Complete 20% of<br>fire sprinkler<br>installation in<br>Moultrie CH | Q4 2010                      | \$2.08M             | n/a                          | n/a                 | n/a                           |
| Install bullet-<br>resistant glass on 1 <sup>st</sup><br>Floor      | Q1 2011                      | \$1M                | n/a                          | n/a                 | n/a                           |

# Part I: Summary Information And Justification (All Capital Assets)

| 1 a  | 1t 1. Summary mormation And Sustincation (An Capital Assets)  |
|------|---|
| Se   | ction A: Overview (All Capital Assets)  |
| 1. l | Date of Submission: September 2007  |
| 2.   | Agency: District of Columbia Courts   |
| 3.   | Bureau: NA  |
| 4.   | Name of this Capital Asset: Courtrooms and Judges Chambers  |
| 5.   | Unique Project (Investment) Identifier: (For IT investment only, see section <u>53</u> . For all other, use agency ID system.) 95-1712  |
| (Pl  | What kind of investment will this be in FY2009?  ease NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities or to FY2008 should not select O&M. These investments should indicate their current status.)  Planning Full Acquisition Operations and Maintenance Mixed Life Cycle E-Gov/LoBOversight  ———————————————————————————————————  |
| 7.   | What was the first budget year this investment was submitted to OMB? 1999   |
| 8.   | Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: Courtroom and Chambers projects will include the following: (1) Upgrade secure corridors including new fire sprinkler system, lighting, finishes and ADA hardware. (2) Upgrade courtrooms, including architectural modifications to accommodate technology such as additional space for computers, printers and staff for the IJIS system, sound attenuation for digital sound recording, decreased reverberation to improve conditions for the hearing impaired, and power upgrades to support the aforementioned. (3) Install new fire sprinkler system in courtrooms. (4) Replace courtroom finishes that have far exceeded their useful life. (5) Upgrade chambers, including installation of standardized furniture systems, ADA restroom upgrades, and installation of fire sprinkler system. |
| 9.   | Did the Agency's Executive/Investment Committee review this request? Yes X No   |
|      | a. If "yes," what was the date of this approval?1999  |
| 10.  | Did the Project Manager review this Exhibit?  Yes X  No   |
| 11.  | Contact information of Project Manager?  Name Phone Number E-mail  Mary Ann Satterthwaite  202-879-2898  satterma2@dcsc.gov   |

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally

| sustainable techniques or practices for this project.   |                              |
|---|------------------------------|
| Yes <u>X</u>  | No                           |
| a. Will this investment include electronic assets (including compu  | iters)?                      |
|   | No <u>X</u>                  |
| b.Is this investment for new construction or major retrofit of a Fed  |                              |
| (answer applicable to non-IT assets only)   | No V                         |
| Yes   | NOX                          |
| 1. If "yes," is an ESPC or UESC being used to help fund   | d this investment? NA        |
| · · · · · · · · · · · · · · · · · · ·   | No                           |
| 2. If "yes," will this investment meet sustainable design   |                              |
|   | No                           |
| 3. If "yes," is it designed to be 30% more energy efficient   |                              |
| · · · · · · · · · · · · · · · · · · ·   | No                           |
| 165   | 110                          |
| 13. Does this investment directly support one of the PMA initiatives? If "  | yes" check all that apply:NA |
| Human Capital   |                              |
| Budget Performance Integration  |                              |
| Financial Performance   |                              |
| Expanded E-Government   |                              |
| Competitive Sourcing  |                              |
| Faith Based and Community   |                              |
| Real Property Asset Management  |                              |
| Eliminating Improper Payments   |                              |
| Privatization of Military Housing   |                              |
| Research & Development Investment Criteria  | <del></del>                  |
| Housing & Urban Development Management & Performance  |                              |
| Broadening Health Insurance Coverage through State Initiatives  | <del></del>                  |
| "Right Sized" Overseas Presence   |                              |
| Coordination of VA & DoD Programs and Systems   | <del></del>                  |
| 200000000000000000000000000000000000000   | <del></del>                  |
|   |                              |
| a.Briefly describe how this asset directly supports the identified initiative (the PMA Initiatives by updating the Courtrooms and Chambers with new improved air conditioning, new sprinklers for life safety and by choosing finishes. | energy efficient lighting,   |
| 14. Does this investment support a program assessed using the Program A (PART)? (For more information about the PART, visit <a href="https://www.whitehouse.gov">www.whitehouse.gov</a>   | C                            |
| (17111). (1 of more information about the 17111, viole www.winterfouse.)  | <u>ovioniorpari</u> .)       |
|   | Yes <u>X</u> No              |
| 4. If "yes," does this investment address a weakness found  |                              |
| during a PART review?   | Yes No _X_                   |
| 5. If "yes," what is the name of the PARTed program?  | <u>D.C. Courts</u>           |
| 6. If "yes," what rating did the PART receive?  | Adequate                     |
| o. If yes, what failing the the larger receive?   |                              |
| 15. Is this investment for information technology?  | Yes No <u>X</u>              |

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not

| answer questions 16-23.   |                            |                       |
|---|----------------------------|-----------------------|
| For information technology investments only:  16. What is the level of the IT Project? (per CIO Council PM Guidance)  |                            |                       |
|   | Level 1                    |                       |
|   | Level 2                    |                       |
|   | Level 3                    |                       |
| 17. What project management qualifications does the Project Manager has Guidance)  (1) Project manager has been validated as qualified for this investing (2) Project manager qualification is under review for this investing (3) Project manager assigned to investment, but does not meet recommon (4) Project manager assigned but qualification status review has recommon (5) No Project manager has yet been assigned to this investment | tment<br>ent<br>quirements | Council PM            |
| 18. Is this investment identified as "high risk" on the Q4 -FY 2006 agenc 'high risk" memo)?  | y high risk repo           | ort (per OMB's        |
| <ul><li>19. Is this a financial management system?</li><li>a. If "yes," does this investment address a FFMIA compliance area?</li><li>1. If "yes," which compliance area:</li><li>2. If "no," what does it address?</li></ul>   | Yes<br>Yes                 |                       |
| b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A–11 section 52  |                            |                       |
| 20. What is the percentage breakout for the total FY2009 funding request  |                            | ng?<br>ld total 100%) |
| Hardwa  | •                          | •                     |
| Softwa  |                            |                       |
| Service   |                            | _                     |
| Oth   | er                         |                       |
| 21. If this project produces information dissemination products for the pupulshed to the Internet in conformance with OMB Memorandum 05 inventory, schedules and priorities?  |                            |                       |
| Ye  | es                         |                       |
| N   | _                          | <del></del>           |
| N.  | _                          |                       |
| 22. Contact information of individual responsible for privacy related ques  | stions:                    |                       |
| Dhana Numban  |                            |                       |
| Title   |                            |                       |
| E-mail  |                            |                       |

| 23. Are the records produced by this investment appropriately so | cheduled with the Nation | al Archives and |
|--|--------------------------|-----------------|
| Records Administration's approval?                               | Yes                      | No              |

## **Section B: Summary of Spending (All Capital Assets)**

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

| <b>Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS)</b> (Estimates for BY+1 |
|---|
| and beyond are for planning purposes only and do not represent budget decisions)                  |

|  | PY-1 and earlier | PY<br>2007 | CY<br>2008 | BY<br>2009 | BY+1<br>2010 | BY+2<br>2011 | BY+3<br>2012 | BY+4<br>and<br>beyond | Total<br>Unfunded |
|--|------------------|------------|------------|------------|--------------|--------------|--------------|-----------------------|-------------------|
| Planning:  | 0.00             | 0.00       | 0.00       | 0.00       | 0.00         | 0.00         | 0.00         | 0.00                  | 0.00              |
| Acquisition:   | 6.03             | 4.10       | 0.00       | 14.77      | 19.97        | 15.40        | 39.61        | 0.00                  | 89.75             |
| Subtotal Planning & Acquisition:   | 6.03             | 4.10       | 0.00       | 14.77      | 19.97        | 15.40        | 39.61        | 0.00                  | 89.75             |
| Operations & Maintenance:  | 0.00             | 0.00       | 0.00       | 0.00       | 0.00         | 0.00         | 0.00         | 0.00                  | 0.00              |
| TOTAL:   | 6.03             | 4.10       | 0.00       | 14.77      | 19.97        | 15.40        | 39.61        | 0.00                  | 89.75             |
| Government FTE Costs should not be included in the amounts provided above. |                  |            |            |            |              |              |              |                       |                   |
| Government FTE   |                  |            |            |            |              |              |              |                       |                   |

| Government FTE Costs should not be included in the amounts provided above. |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|
| Government FTE   |  |  |  |  |  |  |  |  |
| Costs  |  |  |  |  |  |  |  |  |
| Number of FTE  |  |  |  |  |  |  |  |  |
| represented by   |  |  |  |  |  |  |  |  |
| Costs:   |  |  |  |  |  |  |  |  |

*Note:* For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

| 2. Will this project require the agency to hire additional FTE's? | Yes | NoX |
|---|-----|-----|
| a. If "yes," How many and in what year?                           |     |     |
| 3. If the summary of spending has changed from the FY2008         |     |     |
| President's budget request, briefly explain those changes:        |     |     |

# Section C: Acquisition/Contract Strategy (All Capital Assets)

|  | Contracts/Task   | Orders Table:                     |
|--|--|-----------------------------------|
| Contract or Task Order<br>Number   | 2009-1 Chamber Renovations                                     | 2009-2 Courtroom<br>Renovations   |
| Type of Contract/Task<br>Order   | Fixed  | Fixed                             |
| Has the contract been awarded (Y/N)  | No   | No                                |
| If so what is the date of<br>the award? If not, what is<br>the planned award date?   | Quarter 1 FY 2009  | Quarter 1 FY 2009                 |
| Start date of<br>Contract/Task Order   | Quarter 1 FY 2009  | Quarter 1 FY 2009                 |
| End date of<br>Contract/Task Order   | Quarter 2 FY 2010  | Quarter 1 FY 2011                 |
| Is this an Interagency<br>Acquisition? (Y/N)   | NO   | NO                                |
| Is it performance based? (Y/N)   | YES  | YES                               |
| Competitively awarded? (Y/N)   | YES  | YES                               |
| What, if any, alternative<br>financing option is being<br>used? (ESPC, UESC,<br>EUL, N/A)                                      | NA   | NA                                |
| Is EVM in the contract? (Y/N)  | YES, Courts' Standard  | YES, Courts' Standard             |
| Does the contract include<br>the required security &<br>privacy clauses? (Y/N)   | YES, Courts' Standard  | YES, Courts' Standard             |
| Name of CO   | Joseph E. Sanchez  | Joseph E. Sanchez                 |
| CO Contact information (phone/email)   | 202-879-2801<br>sanchezj@dcsc.gov                              | 202-879-2801<br>sanchezj@dcsc.gov |
| Contracting Officer<br>Certification Level (Level<br>1, 2, 3, N/A)   | NA   | NA                                |
| If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? (Y/N) | YES  | YES                               |
|  | quired or will not be a contra<br>contracts or task orders abo |                                   |

| 2. If earned value is not required or will not be a contra     | act         |             |  |
|--|-------------|-------------|--|
| requirement for any of the contracts or task orders above why: | ve, explain |             |  |
| 3. Do the contracts ensure Section 508 compliance?             |             |             |  |
|  | Yes         |             |  |
|  | No          | X           |  |
|  | N/A         | <del></del> |  |

a. Explain why:

| 4. Is there an acquisition plan which has been approved in |                 |
|--|-----------------|
| accordance with agency requirements?                       | Yes <u>X</u> No |
| a. If "yes," what is the date?                             | 2003            |
| b. If "no," will an acquisition plan be developed?         |                 |
| 1. If "no," briefly explain why:                           |                 |

#### **Section D: Performance Information (All Capital Assets)**

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

|                | Performance Information Table 1:   |  |   |   |   |  |  |  |
|----------------|--|--|---|---|---|--|--|--|
| Fiscal<br>Year | Strategic Goal(s)<br>Supported   | Performance Measure  | Actual/ baseline (from<br>Previous Year)  | Planned<br>performance<br>Metric (Target)     | Performance<br>Metric Results<br>(Actual) |  |  |  |
| 2004-          | Goal 4.1: The<br>Courts will provide<br>personnel and court<br>participants with a | Phased upgrade, floor-by-<br>floor, of courtrooms,<br>chambers, and secure | 1. Existing Chambers and secure corridors have had no major renovations in 15 years.                    | 1. Renovate ten chambers per year.            |   |  |  |  |
| 2006           | safe, secure,<br>functional<br>environment.  | corridors with minimal Court disruption.                                   | 2. Existing courtrooms have had no major electrical upgrades and improvements to public spectator areas | 2. Renovation of sixteen courtrooms per year. |   |  |  |  |

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at <a href="https://www.egov.gov">www.egov.gov</a>.

|                | Performance Information Table 2: |                         |                          |          |   |                   |  |  |
|----------------|----------------------------------|-------------------------|--------------------------|----------|---|-------------------|--|--|
| Fiscal<br>Year | Measurement<br>Area              | Measurement<br>Grouping | Measurement<br>Indicator | Baseline | Planned<br>Improvement<br>to the Baseline | Actual<br>Results |  |  |
| 2006 -<br>2008 | Mission &<br>Business Results    | NA                      | NA                       | NA       | NA  | NA                |  |  |
| 2006 -<br>2008 | Customer Results                 | NA                      | NA                       | NA       | NA  | NA                |  |  |
| 2006 -<br>2008 | Processes &<br>Activities        | NA                      | NA                       | NA       | NA  | NA                |  |  |

| 2006 -<br>2008 | Technology | NA | NA | NA | NA | NA |
|----------------|------------|----|----|----|----|----|

Section E: Security and Privacy (IT Capital Assets only)

NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

NA – Not Applicable

## Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments identified as "Planning" or "Full Acquisition", or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

### **Section A: Alternatives Analysis (All Capital Assets)**

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

| 2. | Did y | ou conduct an alternatives analysis for this ?                         | Yes | No |
|----|-------|--|-----|----|
|    | a.    | If "yes," provide the date the analysis was completed?                 |     |    |
|    | b.    | If "no," what is the anticipated date this analysis will be completed? |     |    |
|    | c.    | If no analysis is planned, please briefly explain why:                 |     |    |

| 3. Alternatives Analysis Results: Use the results of your alternatives analysis to complete the following table: |                                     |  |   |  |  |  |
|--|-------------------------------------|--|---|--|--|--|
| Alternative<br>Analyzed  | Description of Alternative          | Risk Adjusted<br>Lifecycle Costs<br>estimate | Risk Adjusted<br>Lifecycle Benefits<br>estimate |  |  |  |
|  |                                     |  |   |  |  |  |
|  | native was selected by the Agency's |  |   |  |  |  |

| 3. Which alternative was selected by the Agency's     |  |
|---|--|
| Executive/Investment Committee and why was it chosen? |  |

4. What specific qualitative benefits will be realized?

#### **Section B: Risk Management (All Capital Assets)**

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate

| or manage risk, and be actively managing risk throughout the investr  | nent's life-cycle.   |                  |
|---|----------------------|------------------|
| 2. Does the investment have a Risk Management Plan? a. If "yes," what is the date of the plan?  | Yes                  | No               |
| <ul><li>b. Has the Risk Management Plan been significantly changed sir last year's submission to OMB?</li><li>c. If "yes," describe any significant changes:</li></ul>  | Yes                  | No               |
| <ul><li>2. If there currently is no plan, will a plan be developed?</li><li>a. If "yes," what is the planned completion date?</li><li>b. If "no," what is the strategy for managing the risks?</li></ul>  | Yes                  | No               |
| 3. Briefly describe how investment risks are reflected in the life schedule: No risks have been identified with this project.   | e cycle cost estimat | e and investment |
| Section C: Cost and Schedule Performance (All Capital Ass   | sets)                |                  |
| EVM is required only on DME portions of investments. For mixed limitestones should still be included in the table (Comparison of Initia Baseline). This table should accurately reflect the milestones in the in the current baseline.  | l Baseline and Curre | nt Approved      |
| 1. Does the earned value management system meet the criteria in ANSI/EIA Standard – 748? Yes No   | Yes                  | No               |
| 2. Answer the following questions about current cumulative cost and reported below should reflect current actual information. (Per OMB Performance information should include both Government and Cont  | requirements Cost/S  |                  |
| <ul> <li>a. What is the Planned Value (PV)?</li> <li>b. What is the Earned Value (EV)?</li> <li>c. What is the actual cost of work performed (AC)?</li> <li>d. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)?</li> <li>e. "As of" date:</li> </ul> |                      |                  |
| 3. What is the calculated Schedule Performance Index (SPI= EV/PV)?  |                      |                  |
| 4. What is the schedule variance (SV = EV-PV)?  |                      |                  |
| 5. What is the calculated Cost Performance Index (CPI = EV/AC)?   |                      |                  |
| 6. What is the cost variance $(CV = EV - AC)$ ?   |                      |                  |
| 7. Is the CV% or SV% greater than ± 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100)   | Yes                  | No               |

| a. If "yes," was it the?   | CV<br>SV<br>Both |    |  |
|--|------------------|----|--|
| <ul><li>b. If "yes," explain the variance:</li><li>c. If "yes," what corrective actions are being taken?</li><li>d. What is most current "Estimate at Completion"?</li></ul> |                  |    |  |
| 8. Have any significant changes been made to the baseline during the past fiscal year?  a. If "yes," when was it approved by OMB?  | Yes              | No |  |

**9.** Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

|                          | Initial Ba                           | aseline                          | Current Baseline                            |  |          | Currer<br>Baselin<br>Varian | ie                |                   |                     |
|--------------------------|--------------------------------------|----------------------------------|---|--|----------|-----------------------------|-------------------|-------------------|---------------------|
| Description of Milestone | Planned Completion Date (mm/dd/yyyy) | Total Cost<br>(\$M)<br>Estimated | Completion Date (mm/dd/yyyy) Planned/Actual |  | Total Co | ost (\$M)<br>/ Actual       | Schedu<br>(# days | ile/Cost<br>/\$M) | Percent<br>Complete |
| Planning                 |                                      |                                  |   |  |          |                             |                   |                   |                     |
| Design &<br>Construction |                                      |                                  |   |  |          |                             |                   |                   |                     |

## Part III: For "Operation and maintenance" Investments ONLY (Steady State)

Part III should be completed only for investments identified as "Operations and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

#### **Section A: Risk Management (All Capital Assets)**

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

| c. | Does the investment have a Risk Management Plan?   | Yes <u>X</u>    | No            |  |
|----|--|-----------------|---------------|--|
|    | a. If "yes," what is the date of the plan?   | Capital Project | ets Plan 2004 |  |
|    | b. Has the Risk Management Plan been significantly changed since last year's submission to OMB? c. If "yes," describe any significant changes: | Yes             | No <u>X</u>   |  |
| d. | If there currently is no plan, will a plan be developed?  a. If "yes," what is the planned completion date?                                    | Yes             | No            |  |
|    | b. If "no," what is the strategy for managing the risks?   |                 |               |  |

Only/Both)?

# **Section B: Cost and Schedule Performance (All Capital Assets)**

| 4. Was operational analysis conducted?  | Yes <u>X</u> No                 |
|---|---------------------------------|
| a. If "yes," provide the date the analysis was completed.   | Facilities Master Plan 2002     |
| b. If "yes," what were the results?   | Courtroom upgrades needed.      |
| c. If "no," please explain why it was not conducted and if  |                                 |
| there are any plans to conduct operational analysis in the  |                                 |
| future:   |                                 |
| 2. Complete the following table to compare actual cost performance as baseline. Milestones reported may include specific individual schedule corrective maintenance activities, or may be the total of planned annual efforts). | ed preventative and predictable |
| a. What costs are included in the reported Cost/Schedule  |                                 |
| Performance information (Government Only/Contractor   |                                 |

| 2. b Comparison of Plan vs. Actual Performance Table: |                              |                     |                              |                  |                            |  |  |
|---|------------------------------|---------------------|------------------------------|------------------|----------------------------|--|--|
|   | Planned                      |                     | Act                          | ual              | Variance                   |  |  |
| Description of<br>Milestone                           | Completion Date (mm/dd/yyyy) | Total Cost<br>(\$M) | Completion Date (mm/dd/yyyy) | Total Cost (\$M) | Schedule/Cost (# days/\$M) |  |  |
| Complete renovation of 10 Judges Chambers             | Q2 2010                      | \$8M                | n/a                          | n/a              | n/a                        |  |  |
| Complete renovation of 6 courtrooms                   | Q1 2011                      | \$6.77M             | n/a                          | n/a              | n/a                        |  |  |

## Part I: Summary Information And Justification (All Capital Assets)

| 1. I | ate of | Submission: | September | 2007 |
|------|--------|-------------|-----------|------|
|------|--------|-------------|-----------|------|

- 2. Agency: District of Columbia Courts
- 3. Bureau: NA
- 4. Name of this Capital Asset: General Repair Projects
- 5. Unique Project (Investment) Identifier: (For IT investment only, see section <u>53</u>. For all other, use agency ID system.) 95-1712
- 6. What kind of investment will this be in FY2009?

(Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

| Planning                   |    |
|----------------------------|----|
| Full Acquisition           |    |
| Operations and Maintenance | X_ |
| Mixed Life Cycle           |    |
| E-Gov/LoBOversight         |    |

- 7. What was the first budget year this investment was submitted to OMB? Steady State
- 8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The General Repair Projects is designed to provide capital improvements to the aging infrastructure of the Courts' facilities by making various necessary improvements to the Moultrie Courthouse, Building A, and Building B. It includes replacing interior sign systems in the buildings, providing accessibility for the handicapped, painting, and, in general repairing and restoring these aging facilities.

The General Repair Project for FY 2009 will include both exterior and interior projects:

- Replacing fixtures, lighting, flooring, ceiling tiles and other related repairs in office areas in all Courts' Buildings.
- Reconfiguring public counters and workspace in compliance with ADA.
- General painting, carpet, and window treatment replacement.
- Adding 5" of new reinforced concrete to the garage floor in the Moultrie Courthouse. (Concrete was deleted in the original construction.)
- Readjusting loading dock height.
- Continuing exterior window and door replacement in the Moultrie Courthouse to conserve energy and reduce utility costs.
- Urgent or emergent repairs, as required due to failure of equipment that is functioning beyond its useful life.
- Implementation of the Courts interior wayfinding and signage program.
- 9. Did the Agency's Executive/Investment Committee review this request? Yes <u>X</u> No \_\_\_

| a. If "yes," what was the date of this approval?1999  |
|---|
| 10. Did the Project Manager review this Exhibit?  Yes X No  |
| 11. Contact information of Project Manager?  Name Phone Number E-mail  Mary Ann Satterthwaite  202-879-2898  satterma2@dcsc.gov   |
| 12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.  Yes X No  a. Will this investment include electronic assets (including computers)?   |
| Yes No _X_  b.Is this investment for new construction or major retrofit of a Federal building or facility?  (answer applicable to non-IT assets only)  Yes No _X_   |
| <ol> <li>If "yes," is an ESPC or UESC being used to help fund this investment? NA</li></ol>   |
| Yes No  3. If "yes," is it designed to be 30% more energy efficient than relevant code?  NA  Yes No   |
| 13. Does this investment directly support one of the PMA initiatives? If "yes" check all that apply: NA   |
| Human Capital Budget Performance Integration Financial Performance Expanded E-Government Competitive Sourcing Faith Based and Community Real Property Asset Management Eliminating Improper Payments Privatization of Military Housing Research & Development Investment Criteria Housing & Urban Development Management & Performance Broadening Health Insurance Coverage through State Initiatives "Right Sized" Overseas Presence Coordination of VA & DoD Programs and Systems |

a. Briefly describe how this asset directly supports the identified initiative(s)? Directly supports the upgrade of existing infrastructure for energy efficiency, maximized space use and replacement of failing systems.

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit <a href="www.whitehouse.gov/omb/part">www.whitehouse.gov/omb/part</a>.)

|                                     |  | Yes <u>X</u>      | No            |
|-------------------------------------|--|-------------------|---------------|
| a.                                  | If "yes," does this investment address a weakness found during a PART review?  | Yes               | No <u>X</u>   |
| b.                                  | If "yes," what is the name of the PARTed   |                   | ourts         |
| 0.                                  | program?   | ·                 | <u>e</u>      |
| c.                                  | If "yes," what rating did the PART receive?  |                   |               |
| 15. Is this inve                    | estment for information technology?  | Yes               | No <u>X</u>   |
| If the answer to<br>answer question | o Question 15 is "Yes," complete questions 16-23 below. Ions 16-23.  | f the answer is ' | 'No," do not  |
| For informatio                      | n technology investments only:   |                   |               |
| 16. What is the                     | e level of the IT Project? (per CIO Council PM Guidance)   |                   |               |
|                                     |  | Level 1           |               |
|                                     |  | Level 2           |               |
|                                     |  | Level 3           |               |
| 17. What proje Guidance)            | ect management qualifications does the Project Manager ha  | we? (per CIO C    | ouncil PM     |
| (3) Pro<br>(4) Pro                  | oject manager qualification is under review for this investment, but does not meet repject manager assigned but qualification status review has a Project manager has yet been assigned to this investment | quirements        |               |
| 18. Is this inve                    | stment identified as "high risk" on the Q4 -FY 2006 agence memo)?  | y high risk repo  | rt (per OMB's |
| 19. Is this a fin                   | ancial management system?  | Yes               | No            |
| 1. If "y                            | ' does this investment address a FFMIA compliance area? yes," which compliance area:   | Yes               | No            |
|                                     | no," what does it address? ' please identify the system name(s) and system   |                   |               |
| acronym(s                           | as reported in the most recent financial systems applied by Circular A–11 section 52   |                   |               |
| 20 What is 41-                      | a paraentage breekout for the total EV2000 funding an access   | t for the fellow: | na?           |
| 20. What is the                     | e percentage breakout for the total FY2009 funding reques  |                   | d total 100%) |
|                                     | Hardwa   | •                 | •             |
|                                     | Softwa   |                   |               |
|                                     | Servic   |                   |               |
|                                     | Oth  |                   | _             |
| published                           | ect produces information dissemination products for the put to the Internet in conformance with OMB Memorandum 0: schedules and priorities?  |                   |               |
| · · · <b>,</b>                      | Ye   | es _              |               |
|                                     | N  | lo _              |               |

| 22. Contact information   | on of indiv                             | vidual res                                       | ponsible f                                      | or privac  | y related  | NA questions:   |   |  |                                      |
|---|---|--|---|--|--|---|---|--|--------------------------------------|
|   |   | ·  |   | Nam<br>ne Numbe  | e  |   |   |  |                                      |
|   |   |  | 1 HOL   | Titl   | -  |   |   |  |                                      |
|   |   |  |   | E-mai  | il   |   |   |  |                                      |
| 23. Are the records pr<br>Records Administration  |   |  | stment ap                                       | propriate  | ly schedu  |   |   | Archives a   | and                                  |
| Section B: Summar   | ry of Spe                               | nding (A   | All Capit                                       | al Asset   | s)   |   |   |  |                                      |
| personnel costs sl be excluded "Operation/Maint for "Planning," facilities, life-cyc restoration costs. in this report.  Table 1: SUMMARY | from the enance." The costs shape costs | e amou The "TOT quisition,' nould incl associate | nts sho FAL" estin ' and "Clude long d with the | wn for<br>mated and<br>Operation/<br>term ene<br>e entire li | "Plant<br>nual cost<br>Maintena<br>rgy, envi<br>fe-cycle | ning," "I<br>of the inve<br>ance." For<br>ronmental,<br>of the inve | Full Acquestment is the or Federal decommis stment show | nisition," ne sum of buildings sioning, and the incl | and<br>costs<br>and<br>nd/or<br>uded |
| and beyond are for plan   | PY-1 and                                |  |   |  |  |   | BY+3<br>2012  | BY+4<br>and  | Total<br>Unfunded                    |
| Planning:   | <b>earlier</b> 0.00                     | 0.00   | 0.00  | 0.00   | 0.00   | 0.00  | 0.00  | <b>beyond</b> 0.00                                   | 0.00                                 |
| Acquisition :   | 28.37                                   | 12.40  | 2.60  | 9.54   | 8.45   | 5.30  | 5.30  | 0.00   | 28.59                                |
| Subtotal Planning & Acquisition:  | 28.37                                   | 12.40  | 2.60  | 9.54   | 8.45   | 5.30  | 5.30  | .00  | 28.59                                |
| Operations & Maintenance:   | 0.00                                    | 0.00   | 0.00  | 0.00   | 0.00   | 0.00  | 0.00  | 0.00   | 0.00                                 |
| TOTAL:  | 28.37                                   | 12.40  | 2.60  | 9.54   | 8.45   | 5.30  | 5.30  | .00  | 28.59                                |
| <b>Government FTE Cost</b>  | ts should n                             | ot be incl                                       | uded in th                                      | e amounts  | s provided   | l above.  |   |  |                                      |
| Government FTE<br>Costs   |   |  |   |  |  |   |   |  |                                      |
| Number of FTE   |   |  |   |  |  |   |   |  |                                      |
| represented by Costs:   |   |  |   |  |  |   |   |  |                                      |
| Note: For the cross-ag partner agencies). Gov   |   |  |   |  |  |   |   |  | r and                                |
| <ul><li>2. Will this project red</li><li>a. If "yes," He</li><li>3. If the summary of s</li><li>President's budget red</li></ul>          | ow many a spending h                    | and in wh<br>as change                           | at year?<br>ed from th                          | ne FY200   |  | Yes   | . No  | <u>X_</u>  |                                      |

# Section C: Acquisition/Contract Strategy (All Capital Assets)

|  | Contracts/Task                      | Orders Table:     |    |
|--|-------------------------------------|-------------------|----|
| Contract or Task Order Number  | 2009-1 Interim                      |                   |    |
|  | Upgrades<br>Fixed                   |                   |    |
| Type of Contract/Task Order  |                                     |                   |    |
| Has the contract been awarded  | No                                  |                   |    |
| (Y/N)  If so what is the date of the   | Quarter 1 FY 2009                   |                   |    |
| award? If not, what is the planned   | Quarter 1 1 1 2009                  |                   |    |
| award date?  |                                     |                   |    |
| Start date of Contract/Task  | Quarter 1 FY 2009                   |                   |    |
| Order  |                                     |                   |    |
| End date of Contract/Task Order  | Quarter 2 FY 2010                   |                   |    |
| Is this an Interagency<br>Acquisition? (Y/N)   | NO                                  |                   |    |
| Is it performance based? (Y/N)   | YES                                 |                   |    |
| Competitively awarded? (Y/N)   | YES                                 |                   |    |
| What, if any, alternative  | NA                                  |                   |    |
| financing option is being used?  |                                     |                   |    |
| (ESPC, UESC, EUL, N/A)   |                                     |                   |    |
| Is EVM in the contract? (Y/N)  | YES, Courts'                        |                   |    |
| Does the contract include the  | Standard<br>YES, Courts'            |                   |    |
|  | Standard                            |                   |    |
| required security & privacy clauses? (Y/N)   | Standard                            |                   |    |
| Name of CO   | Joseph E. Sanchez                   |                   |    |
| CO Contact information   | 202-879-2801                        |                   |    |
| (phone/email)  | sanchezj@dcsc.gov                   |                   |    |
| Contracting Officer Certification  | NA S                                |                   |    |
| Level (Level 1, 2, 3, N/A)   |                                     |                   |    |
| If N/A, has the agency determined  | YES                                 |                   |    |
| the CO assigned has the  |                                     |                   |    |
| competencies and skills necessary  |                                     |                   |    |
| to support this acquisition? (Y/N)   |                                     |                   |    |
| <ul><li>2. If earned value is not required or requirement for any of the contract why:</li><li>3. Do the contracts ensure Section</li></ul>              | ts or task orders abo               |                   |    |
| a. Explain why:  |                                     |                   | _  |
| 4. Is there an acquisition plan which accordance with agency requirement a. If "yes," what is the dat b. If "no," will an acquisit 1. If "no," briefly e | ents?<br>e?<br>ion plan be develope | Yes <u>X</u> 2003 | No |
| 1. II no, briefly e  | xpiaiii wiiy:                       |                   |    |

#### **Section D: Performance Information (All Capital Assets)**

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

|                | Performance Information Table 1:   |  |   |  |   |
|----------------|--|--|---|--|---|
| Fiscal<br>Year | Strategic Goal(s)<br>Supported   | Performance Measure  | Actual/ baseline (from<br>Previous Year)  | Planned<br>performance<br>Metric (Target)  | Performance<br>Metric Results<br>(Actual)                   |
| 2009           | Goal 2.1: The<br>Courts will ensure<br>that physical<br>facilities are easily<br>accessible by all<br>persons.   | Increase the accessibility of Court facilities.  | The D.C. Courts inventory includes 645,000 OSF of space. The D.C. Courts have ongoing projects to improve accessibility to all buildings.   | Reconfigure public counters and workspace in compliance with ADA and implement the Courts interior wayfinding and signage program. | NA  |
| 2009           | Goal 4.1: The<br>Courts will provide<br>personnel and<br>court participants<br>with a safe, secure,<br>functional, and<br>habitable physical<br>environment. | Improved energy efficiency through the replacement of doors and windows, repair of mortar joints and exterior masonry. Structural repairs to parking garage. Emergency repairs to equipment. | The D.C. Courts inventory includes 645,000 OSF of space. Currently occupied Court's Buildings A and B were constructed in the 1930's and the Moultrie Courthouse was constructed in the 1970's. All Court buildings are continually used and require ongoing capital refurbishment. | To maintain safe and functional facilities.  | Performance<br>results limited<br>due to limited<br>funding |

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at <a href="https://www.egov.gov">www.egov.gov</a>.

|                | Performance Information Table 2: |                         |                          |          |   |                   |
|----------------|----------------------------------|-------------------------|--------------------------|----------|---|-------------------|
| Fiscal<br>Year | Measurement<br>Area              | Measurement<br>Grouping | Measurement<br>Indicator | Baseline | Planned<br>Improvement<br>to the Baseline | Actual<br>Results |
| 2006 -<br>2008 | Mission & Business<br>Results    | NA                      | NA                       | NA       | NA  | NA                |

| 2006 -<br>2008 | Customer Results          | NA | NA | NA | NA | NA |
|----------------|---------------------------|----|----|----|----|----|
| 2006 -<br>2008 | Processes &<br>Activities | NA | NA | NA | NA | NA |
| 2006 -<br>2008 | Technology                | NA | NA | NA | NA | NA |

Section E: Security and Privacy (IT Capital Assets only)
NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only) NA – Not Applicable

## Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments identified as "Planning" or "Full Acquisition", or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

#### **Section A: Alternatives Analysis (All Capital Assets)**

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

| 2.          | Did you c  | onduct an alternatives analysis for this project                | t?          | Yes  | No                 |
|-------------|--|---|-------------|------|--------------------|
|             |  | "yes," provide the date the analysis was                        |             |      |                    |
|             |  | ompleted?   |             |      |                    |
|             |  | "no," what is the anticipated date this analys                  | 1S _        |      |                    |
|             | will be completed?                                   |   |             |      |                    |
|             | c. If no analysis is planned, please briefly explain |   |             |      |                    |
|             | W  | hy:   |             |      |                    |
| 2           | A 14 a um a 4  | was Amalusia Dasulta.   |             |      |                    |
| 3.          |  | ves Analysis Results:   | . (1 C. 11  |      |                    |
|             |  | sults of your alternatives analysis to complet                  |             |      | T =                |
| <b>Δ</b> I1 | ternative  |   | Risk Adju   | ctod | Risk Adjusted      |
|             |  |   | •           |      | <u> </u>           |
|             | alyzed   | <b>Description of Alternative</b>                               | Lifecycle ( |      | Lifecycle Benefits |
|             |  | Description of Alternative                                      | •           |      | <u> </u>           |
|             |  | Description of Alternative                                      | Lifecycle ( |      | Lifecycle Benefits |
|             |  | Description of Alternative                                      | Lifecycle ( |      | Lifecycle Benefits |
|             |  | Description of Alternative                                      | Lifecycle ( |      | Lifecycle Benefits |
|             |  | Description of Alternative                                      | Lifecycle ( |      | Lifecycle Benefits |
| An          | nalyzed  | Description of Alternative  mative was selected by the Agency's | Lifecycle ( |      | Lifecycle Benefits |
| 3. `        | nalyzed  Which alter                                 |   | Lifecycle ( |      | Lifecycle Benefits |
| 3. Ex       | which alter  | native was selected by the Agency's                             | Lifecycle ( |      | Lifecycle Benefits |

# **Section B: Risk Management (All Capital Assets)**

| You should have performed a risk assessment during the early planning and initial concept phase of thi       |
|--|
| investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigat |
| or manage risk, and be actively managing risk throughout the investment's life-cycle.                        |

| 3.         | Does the investment have a Risk Management Plan? a. If "yes," what is the date of the plan?   | Yes            | No                  |
|------------|---|----------------|---------------------|
|            | b. Has the Risk Management Plan been significantly changed since last year's submission to OMB? c. If "yes," describe any significant changes:  | Yes            | No                  |
| 2. I       | f there currently is no plan, will a plan be developed? b. If "yes," what is the planned completion date? c. If "no," what is the strategy for managing the risks?  | Yes            | No                  |
|            | Briefly describe how investment risks are reflected in the life cycle cost risks have been identified with this project.  | estimate and i | nvestment schedule: |
| Sec        | ction C: Cost and Schedule Performance (All Capital Assets)   |                |                     |
| mil<br>Bas | M is required only on DME portions of investments. For mixed lifecyclestones should still be included in the table (Comparison of Initial Base seline). This table should accurately reflect the milestones in the initial becurrent baseline.                    | line and Curre | ent Approved        |
|            | Does the earned value management system meet the criteria in ISI/EIA Standard – 748? Yes No   | Yes            | No                  |
| rep        | Answer the following questions about current cumulative cost and schedorted below should reflect current actual information. (Per OMB require formance information should include both Government and Contractor  | ements Cost/S  |                     |
|            | f. What is the Planned Value (PV)? g. What is the Earned Value (EV)? h. What is the actual cost of work performed (AC)? i. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? j. "As of" date: |                |                     |
| 3. V       | What is the calculated Schedule Performance Index (SPI= EV/PV)?   |                |                     |
| 4. V       | What is the schedule variance (SV = EV-PV)?   |                |                     |
| 5. V       | What is the calculated Cost Performance Index (CPI =  |                |                     |

EV/AC)?

| 6. What is the cost variance (CV = EV–AC)?   |                         |    |
|--|-------------------------|----|
| 7. Is the CV% or SV% greater than $\pm$ 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100) a. If "yes," was it the?   | Yes<br>CV<br>SV<br>Both | No |
| <ul><li>b. If "yes," explain the variance:</li><li>c. If "yes," what corrective actions are being taken?</li><li>d. What is most current "Estimate at Completion"?</li></ul> |                         |    |
| 8. Have any significant changes been made to the baseline during the past fiscal year?  a. If "yes," when was it approved by OMB?  | Yes                     | No |

**9. Comparison of Initial Baseline and Current Approved Baseline:** Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

|                          |                                      |                                  |   |  | t Baseline                       |  | Currer<br>Baselin<br>Varian   | ie |                     |
|--------------------------|--------------------------------------|----------------------------------|---|--|----------------------------------|--|-------------------------------|----|---------------------|
| Description of Milestone | Planned Completion Date (mm/dd/yyyy) | Total Cost<br>(\$M)<br>Estimated | Completion Date (mm/dd/yyyy) Planned/Actual |  | Total Cost (\$M) Planned/ Actual |  | Schedule/Cost<br>(# days/\$M) |    | Percent<br>Complete |
| Planning                 |                                      |                                  |   |  |                                  |  |                               |    |                     |
| Design &<br>Construction |                                      |                                  |   |  |                                  |  |                               |    |                     |

### Part III: For "Operation and maintenance" Investments ONLY (Steady State)

Part III should be completed only for investments identified as "Operations and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

### **Section A: Risk Management (All Capital Assets)**

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

| d. | Does the investment have a Risk Management Plan?   | Yes <u>X</u>  | No            |
|----|--|---------------|---------------|
|    | a. If "yes," what is the date of the plan?         | Capital Proje | cts Plan 2004 |
|    | b. Has the Risk Management Plan been significantly |               |               |
|    | changed since last year's submission to OMB?       | Yes           | No <u>X</u>   |
|    | c. If "yes," describe any significant changes:     |               |               |

| e.                         | If there currently is no plan, will a plan be developed?  a. If "yes," what is the planned completion date?  b. If "no," what is the strategy for managing the risks?  | Yes                          | No                    |
|----------------------------|--|------------------------------|-----------------------|
| Sectio                     | on B: Cost and Schedule Performance (All Capital Assets  | )                            |                       |
| 4.                         | Was operational analysis conducted?  a. If "yes," provide the date the analysis was completed.  b. If "yes," what were the results?  c. If "no," please explain why it was not conducted and if there are any plans to conduct operational analysis in the future: | Yes <u>X</u><br>Facilities M | No<br>aster Plan 2002 |
| baselir                    | nplete the following table to compare actual cost performance aga<br>ne. Milestones reported may include specific individual scheduled<br>tive maintenance activities, or may be the total of planned annual<br>o).  | preventative an              | nd predictable        |
| a. Wl<br>Perfori<br>Only/F | ` =  |                              |                       |

| 2. b Comparison of Plan vs. Actual Performance Table:              |                              |                  |                                 |                        |                               |  |  |  |
|--|------------------------------|------------------|---------------------------------|------------------------|-------------------------------|--|--|--|
|  | Planned                      |                  | Actual                          |                        | Variance                      |  |  |  |
| Description of<br>Milestone  | Completion Date (mm/dd/yyyy) | Total Cost (\$M) | Completion Date<br>(mm/dd/yyyy) | Total<br>Cost<br>(\$M) | Schedule/Cost<br>(# days/\$M) |  |  |  |
| Complete Select Upgrades for non-Reorganization Divisions          | Q2 2010                      | \$3M             | n/a                             | n/a                    | n/a                           |  |  |  |
| Structural repairs to the parking garage floor and service roadway | Q2 2010                      | \$2M             | n/a                             | n/a                    | n/a                           |  |  |  |

## Part I: Summary Information And Justification (All Capital Assets)

### **Section A: Overview (All Capital Assets)**

1. Date of Submission: September 2007

2. Agency: District of Columbia Courts

3. Bureau: NA

4. Name of this Capital Asset: Technology Infrastructure

- 5. Unique Project (Investment) Identifier: (For IT investment only, see section <u>53</u>. For all other, use agency ID system.) 95-1712
- 6. What kind of investment will this be in FY2009? (Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

| Planning                   |          |
|----------------------------|----------|
| Full Acquisition           | <u>X</u> |
| Operations and Maintenance |          |
| Mixed Life Cycle           |          |
| E-Gov/LoBOversight         |          |

- 7. What was the first budget year this investment was submitted to OMB? 2007
- 8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The Information Technology (IT) Technology Infrastructure Modernization initiative is a multi-year effort to modernize IT infrastructure and align routine replacement of equipment with a replenishment schedule that promotes cost effectiveness and cost predictability and is consistent with industry best practice. This initiative covers shared IT infrastructure and equipment dedicated to operate the D.C. Courts.

This project will improve the technical quality of the D.C. Courts' integrated case management system and its most critical information asset. D.C. Courts completed the integrated case management system implementation in 2006. This will standardize the Family Court, Civil Division, Criminal Division and other Superior Court components on a single integrated system. Typically, organizations that migrate to an integrated information system on the scale of the Courts' case management system make significant investment in consolidating IT infrastructure, automating IT management tasks, and improving their information security risk posture. Because of budgetary constraints D.C. Courts' IT infrastructure has not been upgraded to address server consolidation, data integrity, systems management and user support integration, information security management and continuity of operations. This project will address these shortcomings and help ensure the reliability, availability, security and scalability of Information Technology critical systems supporting the Courts' operation.

This investment will reduce costs and improve efficiencies in four ways. First, it will improve efficiency and lower indirect costs by reducing the impact of unreliable performance and unplanned outages on the productivity of the Superior Court operations that the case management system automates. Second, investment in consolidating IT infrastructure and improving systems management will improve the efficiency of IT personnel responsible for supporting the Courts' case management system. Third, standardizing replacement cycles for equipment will reduce component failure rates and the impact of high failure rates on employee productivity and cost predictability. Finally, investing in continuity of operations will mitigate the risk of a disaster rendering the Courts' critical systems non-operational for an extended and unacceptable period of time.

| 9.  | Did the Agency  | y's Executive/Investr                         | ment Committee                                  | review this requ                                | est?      | Yes <u>X</u>     | No       |
|-----|-----------------|---|---|---|-----------|------------------|----------|
|     | a. If "yes      | s," what was the date                         | of this approval?                               | 2007  |           |                  |          |
| 10. | Did the Project | t Manager review this                         | s Exhibit?                                      |   |           | Yes X            | No       |
| 11. | Contact inform  | nation of Project Man                         | ager?<br>Name<br>Phone Number<br>E-mail         | Yuan Burns<br>202 879-0028<br>Yuan.Burns@c      | lcsc.gov_ |                  |          |
|     |                 | developed and/or proques or practices for the |   |   |           |                  | tally    |
|     | a. Will this    | s investment include e                        | electronic assets                               | Yes <u>X</u><br>(including comp<br>Yes <u>X</u> | outers)?  |                  |          |
|     |                 | vestment for new con<br>applicable to non-IT  | -   | or retrofit of a F                              |           | -                | ity?     |
|     | 1.              | If "yes," is an ESI                           | PC or UESC be                                   | •   |           | his investmer    | nt? NA   |
|     | 2.              | If "yes," will this                           | investment mee                                  | et sustainable d                                | lesign pı |                  | L        |
|     | 3.              | If "yes," is it des                           | igned to be 30°                                 |   |           |                  | ant code |
|     |                 |   |   | Ye  | s         | No               |          |
| 13. | Does this inves | stment directly suppor                        | rt one of the PM                                | A initiatives? If                               | "yes" ch  | neck all that ap | ply: NA  |
|     |                 |   | lget Performance<br>Financial F<br>Expanded E-O | Performance Government ve Sourcing              |           |                  |          |

| Real Property Asset Management Eliminating Improper Payments Privatization of Military Housing Research & Development Investment Criteria Housing & Urban Development Management & Performance Broadening Health Insurance Coverage through State Initiatives "Right Sized" Overseas Presence Coordination of VA & DoD Programs and Systems   |                             |                      |
|---|-----------------------------|----------------------|
| b. Briefly describe how this asset directly supports the identif  | ied initiative(s)           | ? NA                 |
| 14. Does this investment support a program assessed using the Program A (PART)? (For more information about the PART, visit <a href="www.whitehouse.">www.whitehouse.</a>   |                             | ng Tool              |
|   | Yes <u>X</u>                | No                   |
| <ul><li>4. If "yes," does this investment address a weakness found during a PART review?</li><li>5. If "yes," what is the name of the PARTed program?</li><li>6. If "yes," what rating did the PART receive?</li></ul>  |                             | No <u>X</u><br>ourts |
| 15. Is this investment for information technology?  | Yes X                       | No                   |
| If the answer to Question 15 is "Yes," complete questions 16-23 below. I answer questions 16-23.  | f the answer is '           | 'No," do not         |
| For information technology investments only: NA 16. What is the level of the IT Project? (per CIO Council PM Guidance)  |                             |                      |
|   | Level 1                     | X                    |
|   | Level 2                     |                      |
|   | Level 3                     |                      |
| 17. What project management qualifications does the Project Manager has Guidance)  (1) Project manager has been validated as qualified for this investment (2) Project manager qualification is under review for this investment (3) Project manager assigned to investment, but does not meet received (4) Project manager assigned but qualification status review has received (5) No Project manager has yet been assigned to this investment | tment<br>nent<br>quirements | ouncil PMX           |
| 18. Is this investment identified as "high risk" on the Q4 -FY 2006 agenc 'high risk" memo)? No   | y high risk repo            | rt (per OMB's        |
| <ul><li>19. Is this a financial management system?</li><li>a. If "yes," does this investment address a FFMIA compliance area?</li><li>1. If "yes," which compliance area:</li><li>2. If "no," what does it address?</li></ul>   | Yes<br>Yes                  | No                   |
| b. If "yes," please identify the system name(s) and system  | technology                  |                      |

| acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52   | -                    |   |
|---|----------------------|---|
| 20. What is the percentage breakout for the total FY2009 funding  | Hardware<br>Software | the following? (This should total 90% 10% 10% 10% 10% 10% 10% 10% 10% 10% 1 |
| 21. If this project produces information dissemination products published to the Internet in conformance with OMB Memorinventory, schedules and priorities? |                      |   |
| 22. Contact information of individual responsible for privacy re<br>Name<br>Phone Number<br>Title<br>E-mail   | Yuan Burns           | <u>S</u><br><u>28</u><br><u>ector</u><br><u>Technology</u>                  |
| 23. Are the records produced by this investment appropriately s Records Administration's approval? NA   |                      | the National Archives and No  |

### **Section B: Summary of Spending (All Capital Assets)**

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

| <b>Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS)</b> (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions) |                        |         |            |            |              |              |              |                           |                   |
|--|------------------------|---------|------------|------------|--------------|--------------|--------------|---------------------------|-------------------|
|  | PY-1<br>and<br>earlier | PY 2007 | CY<br>2008 | BY<br>2009 | BY+1<br>2010 | BY+2<br>2011 | BY+3<br>2012 | BY+4<br>and<br>beyon<br>d | Total<br>Unfunded |
| Planning:  | 0.00                   | 0.00    | 0.00       | 0.00       | 0.00         | 0.00         | 0.00         | 0.00                      | 0.00              |
| Acquisition:   | 19.98                  | 5.73    | 0.00       | 1.33       | 1.33         | .99          | .99          | 0.00                      | 4.64              |
| Subtotal Planning & Acquisition:   | 0.00                   | 0.00    | 0.00       | 1.33       | 1.33         | .99          | .99          | 0.00                      | 4.64              |
| Operations & Maintenance:  | 0.00                   | 0.00    | 0.00       | 0.00       | 0.00         | 0.00         | 0.00         | 0.00                      | 0.00              |
| TOTAL:   | 19.98                  | 5.73    | 0.00       | 1.33       | 1.33         | .99          | .99          | 0.00                      | 4.64              |
| Government FTE Costs should not be included in the amounts provided above.   |                        |         |            |            |              |              |              |                           |                   |
| Government<br>FTE Costs  |                        |         |            |            |              |              |              |                           |                   |
| Number of FTE represented by Costs:  |                        |         |            |            |              |              |              |                           |                   |

*Note:* For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

| 2. Will this project require the agency to hire additional FTE's? | Yes | No <u>X</u> |
|---|-----|-------------|
| a. If "yes," How many and in what year?                           |     |             |
| 3. If the summary of spending has changed from the FY2008         |     |             |
| President's budget request, briefly explain those changes:        |     |             |

# **Section C: Acquisition/Contract Strategy (All Capital Assets)**

| Contracts/Task Orders Table:  |                    |  |  |  |
|-------------------------------|--------------------|--|--|--|
| Contract or Task Order Number | 2009-01 Technology |  |  |  |
|                               | Infrastructure     |  |  |  |
| Type of Contract/Task Order   | Fixed              |  |  |  |
| Has the contract been awarded | No                 |  |  |  |

| (Y/N)  |                                  |                |    |
|--|----------------------------------|----------------|----|
| If so what is the date of the                | Quarter 1 FY 2009                |                |    |
| award? If not, what is the planned           |                                  |                |    |
| award date?                                  |                                  |                |    |
| Start date of Contract/Task Order            | Quarter 1 FY 2009                |                |    |
| End date of Contract/Task Order              | Quarter 2 FY 2010                |                |    |
| Is this an Interagency                       | NO                               |                |    |
| Acquisition? (Y/N)                           | VEG                              |                |    |
| Is it performance based? (Y/N)               | YES                              |                |    |
| Competitively awarded? (Y/N)                 | YES                              |                |    |
| What, if any, alternative financing          | NA                               |                |    |
| option is being used? (ESPC, UESC, EUL, N/A) |                                  |                |    |
| Is EVM in the contract? (Y/N)                | YES                              |                |    |
| Does the contract include the                | YES                              |                |    |
| required security & privacy                  |                                  |                |    |
| clauses? (Y/N)                               |                                  |                |    |
| Name of CO                                   | Louis Parker                     | -              |    |
| CO Contact information                       | Louis.Parker 202 879-2803        |                |    |
| (phone/email)                                |                                  |                |    |
|  | Louis.Parker@dcsc.gov            |                |    |
| <b>Contracting Officer Certification</b>     | NA                               |                |    |
| Level (Level 1, 2, 3, N/A)                   |                                  |                |    |
| If N/A, has the agency determined            | YES                              |                |    |
| the CO assigned has the                      |                                  |                |    |
| competencies and skills necessary            |                                  |                |    |
| to support this acquisition? (Y/N)           |                                  |                |    |
|  |                                  |                |    |
| 2. If earned value is not required or        | r will not be a contract         |                |    |
| requirement for any of the contract          |                                  |                |    |
| why:   | s or task orders accord, emplant |                |    |
|  |                                  |                |    |
| 3. Do the contracts ensure Section           | 508 compliance?                  |                |    |
| 5. Do the contracts ensure section           | •                                | es ——          |    |
|  |                                  | NoX_           |    |
|  |                                  | [/A            |    |
| a. Explain why:                              | 14/                              |                |    |
| a. Explain why.                              |                                  |                |    |
| 4. Is there an acquisition plan which        | h has been approved in           |                |    |
| accordance with agency requireme             |                                  | Yes <u>X</u> 1 | No |
| a. If "yes," what is the date                |                                  | ·              |    |
| b. If "no," will an acquisiti                |                                  |                |    |
| 1. If "no," briefly                          |                                  |                |    |
| 1. 11 110, 0116119                           | CAPIGIII WIIY.                   |                |    |

## **Section D: Performance Information (All Capital Assets)**

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve

efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

|                | Performance Information Table 1:  |   |   |  |  |  |  |  |
|----------------|---|---|---|--|--|--|--|--|
| Fiscal<br>Year | Strategic<br>Goal(s)<br>Supported   | Performance Measure   | Actual/<br>baseline (from<br>Previous<br>Year)      | Planned<br>performance<br>Metric<br>(Target) | Performance<br>Metric<br>Results<br>(Actual)   |  |  |  |
| FY 2003        | 4.2 The Courts will provide technology that supports efficient and effective case processing, court management, and judicial decisionmaking | Implementation of a enterprise-wide integrated case management system. Ensuring the efficient operation of the case management system | 18 disparate<br>systems that were<br>not integrated | A single integrated case management system.  | 95% The system implementation was completed in FY2006 with modifications and customizations ongoing. |  |  |  |

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at <a href="https://www.egov.gov">www.egov.gov</a>.

|                | Performance Information Table 2: |    |         |    |  |                   |  |  |
|----------------|----------------------------------|----|---------|----|--|-------------------|--|--|
| Fiscal<br>Year |                                  |    | Raselin |    | Planned<br>Improvement<br>to the<br>Baseline | Actual<br>Results |  |  |
| 2006 -<br>2008 | Mission &<br>Business<br>Results | NA | NA      | NA | NA   | NA                |  |  |
| 2006 -<br>2008 | Customer<br>Results              | NA | NA      | NA | NA   | NA                |  |  |
| 2006 -<br>2008 | Processes & Activities           | NA | NA      | NA | NA   | NA                |  |  |
| 2006 -<br>2008 | Technology                       | NA | NA      | NA | NA   | NA                |  |  |

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## **Section E: Security and Privacy (IT Capital Assets only)**

In order to successfully address this area of the business case, each question below must be answered at the system/application level, not at a program or agency level. Systems supporting this investment on the planning and operational systems security tables should match the systems on the privacy table below. Systems on the Operational Security Table must be included on your agency FISMA system inventory and should be easily referenced in the inventory (i.e., should use the same name or identifier).

All systems supporting and/or part of this investment should be included in the tables below, inclusive of both agency owned systems and contractor systems. For IT investments under development, security and privacy planning must proceed in parallel with the development of the system(s) to ensure IT security and privacy requirements and costs are identified and incorporated into the overall lifecycle of the system(s).

Please respond to the questions below and verify the system owner took the following actions:

| 1. | Have the IT security costs for the system(s) been identified and integrated into the overall costs of the investment: | Yes | No | _X |
|----|---|-----|----|----|
| a. | If "yes," provide the "Percentage IT Security" for the budget year:   |     |    |    |
|    | s identifying and assessing security and privacy risks a part   |     |    |    |
|    | the overall risk management effort for each system opporting or part of this investment.                              | Yes | No | X  |

| 3. Systems in Planning – Security Table: |  |  |  |  |  |
|--|--|--|--|--|--|
| Name of<br>System                        | Planned or Actual C&A<br>Completion Date |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |

| 4. Operational Systems – Security Table: |   |  |   |                         |   |  |                                  |
|--|---|--|---|-------------------------|---|--|----------------------------------|
| Name of<br>System                        | Agency/ or<br>Contractor<br>Operated<br>System? | NIST FIPS<br>199 Risk<br>Impact level<br>(High,<br>Moderate,<br>Low) | Has C&A<br>been<br>Completed,<br>using NIST<br>800-37?<br>(Y/N) | Date<br>C&A<br>Complete | What<br>standards<br>were used<br>for the<br>Security<br>Controls<br>tests?"<br>(FIPS<br>200/NIST<br>800-53, NIST<br>800-26,<br>Other, N/A) | Date<br>Complete(d):<br>Security<br>Control<br>Testing | Date the contingency plan tested |
|  |   |  |   |                         |   |  |                                  |
|  |   |  |   |                         |   |  |                                  |
|  |   |  |   |                         |   |  |                                  |
|  |   |  |   |                         |   |  |                                  |

| 5. Have any weaknesses, not yet remediated, related to any of the systems part of or supporting this investment been identified by the agency or IG?  a. If "yes," have those weaknesses been incorporated into the agency's plan of action and milestone process? | Yes | No |
|--|-----|----|
| 6. Indicate whether an increase in IT security funding is requested to remediate IT security weaknesses? a. If "yes," specify the amount, provide a general description of the weakness, and explain how the funding request will remediate the weakness.          | Yes |    |
| 7. How are contractor security procedures monitored, verified, and validated by the agency for the contractor systems above?   |     |    |

| 8. Planning & Operational Systems – Privacy Table: |                                       |   |   |  |  |  |  |
|--|---------------------------------------|---|---|--|--|--|--|
| (a) Name of<br>System                              | (b) Is this a<br>new system?<br>(Y/N) | (c) Is there a Privacy Impact Assessment (PIA) that covers this system? (1,2,3,4) | (d) Is the PIA available to the public? (1,2,3) | (e) Is a System of Records Notice (SORN) required for this system? (Y/N) | (f) Was a new or<br>amended SORN<br>published in FY<br>06? (1,2,3,4,5) |  |  |
|  |                                       |   |   |  |  |  |  |
|  |                                       |   |   |  |  |  |  |
|  |                                       |   |   |  |  |  |  |

#### Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

| 1. Is this investment included in your agency's target enterprise architecture?  a. If "no," please explain why?  | Yes | No | _N/A |
|---|-----|----|------|
| <ul> <li>2. Is this investment included in the agency's EA Transition Strategy?</li> <li>a. If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.</li> <li>b. If "no," please explain why?</li> </ul> | Yes | No | N/A  |

**3. Service Component Reference Model (SRM) Table :** Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov.

| Agency<br>Component<br>Name | Agency<br>Component<br>Description | FEA SRM<br>Service<br>Type | FEA SRM<br>Component<br>(a) | FEA Service Component Reused (b) Component Name UPI |  | Internal or<br>External<br>Reuse? (c) | BY<br>Funding<br>Percentage<br>(d) |
|-----------------------------|------------------------------------|----------------------------|-----------------------------|---|--|---------------------------------------|------------------------------------|
| Tunic                       | Description                        | Type                       | (u)                         | rame  |  | rease. (c)                            | (u)                                |
|                             |                                    |                            |                             |   |  |                                       |                                    |
|                             |                                    |                            |                             |   |  |                                       |                                    |
|                             |                                    |                            |                             |   |  |                                       |                                    |

- a. Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.
- b. A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.
- c. 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.
- d. Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

| <b>4. Technical Reference Model (TRM) Table:</b> To demonstrate how this major IT investment aligns with the |                            |                             |                             |   |  |  |
|--|----------------------------|-----------------------------|-----------------------------|---|--|--|
| FEA Technical R  | deference Model (TRM), pl  | lease list the Service A    | Areas, Categories, St       | tandards, and Service                                     |  |  |
| Specifications su  | pporting this IT investmen | t.                          |                             |   |  |  |
| FEA SRM<br>Component (a)   | FEA TRM Service Area       | FEA TRM Service<br>Category | FEA TRM<br>Service Standard | Service Specification (b) (i.e., vendor and product name) |  |  |
|  |                            |                             |                             |   |  |  |
|  |                            |                             |                             |   |  |  |
|  |                            |                             |                             |   |  |  |
|  |                            |                             |                             |   |  |  |

- Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications
- b. In the Service Specification field, agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

| 2. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?  a. If "yes," please describe.  | Yes No           |
|--|------------------|
| 6. Does this investment provide the public with access to a government automated information system?  a. If "yes," does customer access require specific software (e.g., a specific web browser version)?  1. If "yes," provide the specific product name(s) and version number(s) of the required software and the date when the public will be able to access this investment by any software (i.e. to ensure equitable and timely access of government information and services). | Yes No<br>Yes No |

### Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments identified as "Planning" or "Full Acquisition", or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

### **Section A: Alternatives Analysis (All Capital Assets)**

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

| 1. Did you co | onduct an alternatives analysis for this project?   | Yes                      | NoX                         |
|---------------|---|--------------------------|-----------------------------|
|               | f "yes," provide the date the analysis was completed?   |                          |                             |
|               | f "no," what is the anticipated date this analys  |                          |                             |
|               | vill be completed?  |                          |                             |
|               | f no analysis is planned, please briefly explain why:   | l                        |                             |
| · ·           | vily.   |                          |                             |
|               |   |                          |                             |
| 2.Alternativ  | es Analysis Results:  |                          |                             |
| Use the       | esults of your alternatives analysis to complete  | e the following table:   |                             |
| Alternative   |   | Risk Adjusted            | Risk Adjusted               |
|               |   |                          |                             |
| Analyzed      | <b>Description of Alternative</b>   | Lifecycle Costs          | <b>Lifecycle Benefits</b>   |
| Analyzed      | Description of Alternative  | Lifecycle Costs estimate | Lifecycle Benefits estimate |
| Analyzed      | Description of Alternative  | _                        | •                           |
| Analyzed      | Description of Alternative  | _                        | •                           |
| Analyzed      | Description of Alternative  | _                        | •                           |
| Analyzed      | Description of Alternative  | _                        | •                           |
| Analyzed      | Description of Alternative  | _                        | •                           |
| 3. Which alto | Properties of Alternative  ernative was selected by the Agency's exestment Committee and why was it chosen? | _                        | •                           |

### **Section B: Risk Management (All Capital Assets)**

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

| 4. Does the investment have a Risk Management Plan? a. If "yes," what is the date of the plan?   | Yes                             | NoX                 |
|--|---------------------------------|---------------------|
| <ul><li>b. Has the Risk Management Plan been significantly chasince last year's submission to OMB?</li><li>c. If "yes," describe any significant changes:</li></ul>  | anged Yes                       | No <u>X</u>         |
| 2. If there currently is no plan, will a plan be developed?  f. If "yes," what is the planned completion date? g. If "no," what is the strategy for managing the risks?                                      | Fy2009                          | No                  |
| 3. Briefly describe how investment risks are reflected in the No risks have been identified with this project.   | life cycle cost estimate and in | evestment schedule: |
| Section C: Cost and Schedule Performance (All Cap  | pital Assets)                   |                     |
| EVM is required only on DME portions of investments. For milestones should still be included in the table (Comparison Baseline). This table should accurately reflect the milestone in the current baseline. | of Initial Baseline and Curren  | nt Approved         |
| 1. Does the earned value management system meet the crite ANSI/EIA Standard – 748? Yes No  | eria in<br>Yes <u>X</u>         | No                  |
| 2. Answer the following questions about current cumulative reported below should reflect current actual information. (Ferformance information should include both Government at                              | Per OMB requirements Cost/Se    |                     |
| k. What is the Planned Value (PV)?   |                                 |                     |
| 1. What is the Earned Value (EV)?  |                                 |                     |
| <ul><li>m. What is the actual cost of work performed (A</li><li>n. What costs are included in the reported</li></ul>   | .C)?                            |                     |
| Cost/Schedule Performance information (Government Only/Contractor Only/Both)?  o. "As of" date:  |                                 |                     |
| 3. What is the calculated Schedule Performance Index (SPI-EV/PV)?  | =                               |                     |
| 4. What is the schedule variance (SV = EV-PV)?   |                                 |                     |
| 5. What is the calculated Cost Performance Index (CPI = EV/AC)?  |                                 |                     |
| 6. What is the cost variance $(CV = EV - AC)$ ?  |                                 |                     |
| 7. Is the CV% or SV% greater than $\pm$ 10%? (CV%= CV/E 100; SV%= SV/PV x 100) a. If "yes," was it the?  | V x  Yes CV SV                  | No                  |

|  | Both |         |
|--|------|---------|
| b. If "yes," explain the variance:                               |      |         |
| c. If "yes," what corrective actions are being taken?            |      |         |
| d. What is most current "Estimate at Completion"?                |      |         |
| 8. Have any significant changes been made to the baseline during |      |         |
| the past fiscal year?  | Yes  | No      |
| a. If "yes," when was it approved by OMB?                        |      | <u></u> |
|  |      |         |

**9. Comparison of Initial Baseline and Current Approved Baseline:** Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

|                                | Initial Ba                           | aseline                          | Current Baseline |  | Current<br>Baseline<br>Variance |     |  |  |                     |
|--------------------------------|--------------------------------------|----------------------------------|------------------|--|---------------------------------|-----|--|--|---------------------|
| Description<br>of<br>Milestone | Planned Completion Date (mm/dd/yyyy) | Total Cost<br>(\$M)<br>Estimated | (mm/c            | CompletionTotal Cost (\$M)Schedule/Cost(mm/dd/yyyy)Planned/ Actual(# days/\$M) |                                 | , , |  |  | Percent<br>Complete |
| Planning                       |                                      |                                  |                  |  |                                 |     |  |  |                     |
| Design &<br>Construction       |                                      |                                  |                  |  |                                 |     |  |  |                     |

# Part III: For "Operation and maintenance" Investments ONLY (Steady State)

Part III should be completed only for investments identified as "Operations and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

### **Section A: Risk Management (All Capital Assets)**

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

| h. | Does the investment have a Risk Management Plan? a. If "yes," what is the date of the plan?  | Yes | No |   |
|----|--|-----|----|---|
|    | b. Has the Risk Management Plan been significantly changed since last year's submission to OMB? c. If "yes," describe any significant changes: | Yes | No |   |
| i. | If there currently is no plan, will a plan be developed?  a. If "yes," what is the planned completion date?                                    | Yes | No |   |
|    | b. If "no," what is the strategy for managing the risks?   |     |    | - |

# **Section B: Cost and Schedule Performance (All Capital Assets)**

| <ul><li>2. Was operational analysis conducted?</li><li>a. If "yes," provide the date the analysis was completed.</li><li>b. If "yes," what were the results?</li><li>c. If "no," please explain why it was not conducted and if there are any plans to conduct operational analysis in the future:</li></ul> | Yes               | No             |
|--|-------------------|----------------|
| 2. Complete the following table to compare actual cost performance aga baseline. Milestones reported may include specific individual scheduled corrective maintenance activities, or may be the total of planned annual efforts).  | l preventative ar | nd predictable |
| a. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)?   |                   |                |

| 2. b Comparison of Plan vs. Actual Performance Table: |                              |                                |     |                               |  |  |
|---|------------------------------|--------------------------------|-----|-------------------------------|--|--|
| Planned   |                              |                                | Act | Variance                      |  |  |
| Description of<br>Milestone                           | Completion Date (mm/dd/yyyy) | Total Cost Completion Date CSM |     | Schedule/Cost (#<br>days/\$M) |  |  |
|   |                              |                                |     |                               |  |  |

# Part I: Summary Information And Justification (All Capital Assets)

|    | it is building information that businession (in capital rissess)   |
|----|--|
| Se | ction A: Overview (All Capital Assets)   |
| 1. | Date of Submission: September 2007   |
| 2. | Agency: District of Columbia Courts  |
| 3. | Bureau: NA   |
| 4. | Name of this Capital Asset: Restoration of the Old Courthouse  |
| 5. | Unique Project (Investment) Identifier: (For IT investment only, see section <u>53</u> . For all other, use agency ID system.) 95-1712   |
| 6. | What kind of investment will this be in FY2009?  (Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)  Planning Full Acquisition  Substitute Ty2008 and Maintenance Mixed Life Cycle E-Gov/LoBOversight  |
| 7. | What was the first budget year this investment was submitted to OMB? 1999  |
| 8. | Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The relocation of the Court of Appeals from its existing location in the Moultrie Courthouse to the Old Courthouse at 430 E Street is a critical step toward meeting the space needs of the D.C. Court of Appeals and providing critical additional space for Superior Court operations, including the newly formed Family Court, in the Moultrie Building. The re-adaptation of the Old Courthouse for modern-day use as a functional courthouse includes restoration of this 200 year old national historic landmark, expansion of the courthouse, and construction of an underground parking garage west of the historic building. The project will permit the adaptive reuse of this historic structure and architectural jewel to house the highest court of the District of Columbia. The Restoration of the Old Courthouse Project is a recommendation of both the D.C. Courts Facilities Master Plan and the Judiciary Square Master Plan and has been fully integrated into the Courts' long-term strategy for achieving their mission and strategic goals. |
|    | Design and construction documents for the restoration and expansion are complete and the National Capital Planning Commission (NCPC), Commission of Fine Arts (CFA), and D.C. Historic Preservation Office have approved the project. The project was publicly bid and awarded in March of 2006 to a General Contractor on a fixed fee basis and construction is currently underway.   |
| 9. | Did the Agency's Executive/Investment Committee review this request? Yes <u>X</u> No   |
|    | a. If "yes," what was the date of this approval?   |

| 10. Did the Project Manager review this Exhibit?  |   | Yes_                     | <u>X</u>    | No        |
|---|---|--------------------------|-------------|-----------|
| 11. Contact information of Project Manager?  Name Phone Number E-mail   | Mary Ann Satterthwait<br>202-879-2898<br>satterma2@dcsc.gov   |                          | <del></del> |           |
| 12. Has the agency developed and/or promoted cost effe sustainable techniques or practices for this project.  | ctive, energy-efficient a   | nd envi                  | ronment     | tally     |
| a. Will this investment include electronic assets   | Yes X No (including computers)? Yes No No   |                          |             |           |
| b.Is this investment for new construction or maj<br>(answer applicable to non-IT assets only)                 |   |                          | or facili   | ity?      |
| <ol> <li>If "yes," is an ESPC or UESC be</li> <li>If "yes," will this investment me</li> </ol>                | Yeset sustainable design p  | No _<br>principl<br>No _ | les? NA<br> |           |
| 3. If "yes," is it designed to be 30 NA   | % more energy effici  |                          |             | ant code? |
| 13. Does this investment directly support one of the PM   | A initiatives? If "yes" c   | heck al                  | l that ap   | ply: NA   |
| Budget Performance<br>Financial I<br>Expanded E-  | Performance Government ve Sourcing Community Management er Payments ary Housing nent Criteria Performance te Initiatives eas Presence |                          |             |           |
| a.Briefly describe how this asset directly suppor vacant real estate to create a new home for the             |   | re(s)? R                 | euse pre    | eviously  |
| 14. Does this investment support a program assessed usi (PART)? (For more information about the PART, visit y | www.whitehouse.gov/on   |                          | -           |           |

| <ul><li>a. If "yes," does this investment address a weakness found during a PART review?</li><li>b. If "yes," what is the name of the PARTed program?</li><li>c. If "yes," what rating did the PART receive?</li></ul>   | Yes<br>                              | Courts                       |
|--|--------------------------------------|------------------------------|
| 15. Is this investment for information technology?   | Yes                                  | No <u>X</u>                  |
| If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer questions 16-23.  | ne answer is "                       | 'No," do not                 |
| For information technology investments only:  16. What is the level of the IT Project? (per CIO Council PM Guidance)   |                                      |                              |
| Le   | evel 1                               |                              |
| Le   | evel 2                               |                              |
|  | evel 3                               |                              |
| <ul> <li>17. What project management qualifications does the Project Manager have Guidance)</li> <li>(1) Project manager has been validated as qualified for this investmen</li> <li>(2) Project manager qualification is under review for this investmen</li> <li>(3) Project manager assigned to investment, but does not meet requi</li> <li>(4) Project manager assigned but qualification status review has not</li> <li>(5) No Project manager has yet been assigned to this investment</li> </ul> | ent<br>it<br>irements<br>yet started |                              |
| 18. Is this investment identified as "high risk" on the Q4 -FY 2006 agency high risk" memo)?   | iigh risk repo                       | rt (per OMB's                |
| <ul> <li>19. Is this a financial management system?</li> <li>a. If "yes," does this investment address a FFMIA compliance area?</li> <li>1. If "yes," which compliance area:</li> <li>2. If "no," what does it address?</li> <li>b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A–11 section 52</li> </ul>   | Yes<br>Yes                           |                              |
| 20. What is the percentage breakout for the total FY2009 funding request for  Hardware Software Services Other   | (This should                         | d total 100%)<br>-<br>-<br>- |
| 21. If this project produces information dissemination products for the published to the Internet in conformance with OMB Memorandum 05-0 inventory, schedules and priorities?   |                                      |                              |
| Yes  | _                                    |                              |
| No   | _                                    |                              |
| NA   |                                      |                              |

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22. Contact information of individual responsible for privacy related questions:

|  | ii risset i ia   | n and Dus   | mess case   | Summar y   |  |   | 1011 500 01                             | VID CITCUIA  | 11 110.71                                |
|--|--|---|---|--|--|---|---|--|--|
|  |  |   | Pho   | one Numb<br>Tit  | er<br>le   |   |   |  |  |
|  | •  | •   | vestment a  | appropriat   | ely schedu   |   |   |  |  |
| Section B: Summ  | nary of S  | pending   | (All Cap  | ital Asse  | ts)  |   |   |  |  |
| amounts represent<br>personnel costs she<br>excluded from the<br>"TOTAL" estimate<br>and "Operation/Ma<br>term energy, envir | budget a ould be in amounts sled annual caintenance conmental,   | uthority is cluded on hown for cost of the decommend. | in millions ily in the r "Planning, e investmen ederal build issioning, | s, and are cow design "Full Ae nt is the sidings and and/or re | e rounded<br>nated "Go<br>cquisition,<br>um of cost<br>facilities,<br>storation of | to three<br>vernment<br>"and "Op<br>ts for "Pla<br>life-cycle | decimal<br>FTE Cosperation/Monning," "I | places.  t," and sho laintenance full Acqui ould include | Federal ould be e." The sition," de long |
|  |  |   |   |  |  |   |   | S) (Estimat  | tes for                                  |
| b 1+1 and beyond are   | PY-1<br>and  | PY<br>2007  | CY 2008*  | BY<br>2009   | BY+1<br>2010   | BY+2<br>2011  | BY+3<br>2012                            | BY+4<br>and<br>beyond                                    | Total                                    |
| Planning:  | 0.00   | 0.00  | 0.00  | 0.00   | 0.00   | 0.00  | 0.00                                    | 0.00   | 0.00                                     |
| Acquisition :  | 100.06   | 23.00   | 30.00   | 0.00   | 0.00   | 0.00  | 0.00                                    | 0.00   | 153.06                                   |
| Subtotal Planning & Acquisition:   | 100.06   | 23.00   | 30.00   | 0.00   | 0.00   | 0.00  | 0.00                                    | 0.00   | 153.06                                   |
| Operations &   | 0.00   | 0.00  | 0.00  | 0.00   | 0.00   | 0.00  | 0.00                                    | 0.00   | 0.00                                     |
| Maintenance:   |  |   |   |  |  |   |   |  |  |
| TOTAL:   |  |   |   |  |  |   | 0.00                                    | 0.00   | 153.06                                   |
|  | sts should   | not be incl   | luded in the  | e amounts  | provided   | above.  |   |  |  |
| Government FTE Costs   |  |   |   |  |  |   |   |  |  |
| Number of FTE  |  |   |   |  |  |   |   |  |  |
| represented by Costs:  |  |   |   |  |  |   |   |  | <u> </u>                                 |
|  | And earlier   2007   2008*   2009   2010   2011   2012   2012   2012   2013   2012   2013   2012   2013   2012   2013 |   |   |  |  |   |   |  |  |
| the time of this sub   | mission.   |   |   |  |  |   |   |  |  |
|  |  |   |   |  |  | _   |   |  |  |
| a. If "yes,"   | How man  | y and in v  | what year?  |  |  | Yes   | _ No                                    | _ <u>X_</u>  |  |
|  |  |   |   |  | J8   |   |   |  |  |

**Section C: Acquisition/Contract Strategy (All Capital Assets)** 

| Contracts/Task Orders Table:  |                            |         |                                     |  |  |  |
|---|----------------------------|---------|-------------------------------------|--|--|--|
| Contract or Task Order  | 2009-1 Old D.C. Courthouse |         |                                     |  |  |  |
| Number  | CBD 06007                  |         |                                     |  |  |  |
| Type of Contract/Task   | FIXED                      |         |                                     |  |  |  |
| Order   | VIDO                       |         |                                     |  |  |  |
| Has the contract been   | YES                        |         |                                     |  |  |  |
| awarded (Y/N)  If so what is the date of the  | MARCH 2006                 |         |                                     |  |  |  |
| award? If not, what is the  | MARCH 2000                 |         |                                     |  |  |  |
| planned award date?   |                            |         |                                     |  |  |  |
| Start date of Contract/Task   | MARCH 2006                 |         |                                     |  |  |  |
| Order   |                            |         |                                     |  |  |  |
| End date of Contract/Task   | JULY 2008                  |         |                                     |  |  |  |
| Order   |                            |         |                                     |  |  |  |
| Is this an Interagency  | NO                         |         |                                     |  |  |  |
| Acquisition? (Y/N)  |                            |         |                                     |  |  |  |
| Is it performance based?  | YES                        |         |                                     |  |  |  |
| (Y/N)   |                            |         |                                     |  |  |  |
| Competitively awarded?  | YES                        |         |                                     |  |  |  |
| (Y/N)   | NI A                       |         |                                     |  |  |  |
| What, if any, alternative   | NA                         |         |                                     |  |  |  |
| financing option is being   |                            |         |                                     |  |  |  |
| used? (ESPC, UESC, EUL, N/A)  |                            |         |                                     |  |  |  |
| Is EVM in the contract?   | YES                        |         |                                     |  |  |  |
| (Y/N)   | 120                        |         |                                     |  |  |  |
| Does the contract include the   | YES                        |         |                                     |  |  |  |
| required security & privacy   |                            |         |                                     |  |  |  |
| clauses? (Y/N)  |                            |         |                                     |  |  |  |
| Name of CO  | Joseph E. Sanchez          |         |                                     |  |  |  |
| CO Contact information  | 202-879-2801               |         |                                     |  |  |  |
| (phone/email)   | sanchezj@dcsc.gov          |         |                                     |  |  |  |
| Contracting Officer   | NA                         |         |                                     |  |  |  |
| Certification Level (Level 1,   |                            |         |                                     |  |  |  |
| 2, 3, N/A) If N/A, has the agency   | YES                        |         |                                     |  |  |  |
| determined the CO assigned  | IES                        |         |                                     |  |  |  |
| has the competencies and  |                            |         |                                     |  |  |  |
| skills necessary to support   |                            |         |                                     |  |  |  |
| this acquisition? (Y/N)   |                            |         |                                     |  |  |  |
| 2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:  3. Do the contracts ensure Section 508 compliance?  Yes |                            |         |                                     |  |  |  |
|   |                            | No      | <u></u>                             |  |  |  |
|   |                            | N/A     | <del></del>                         |  |  |  |
| a. Explain why:   |                            | 1 1/1 1 |                                     |  |  |  |
| 4. Is there an acquisition plan accordance with agency requia. If "yes," what is the b. If "no," will an accordance with accordance with agency requirements.                                 | rements?                   |         | Yes <u>X</u> No <u></u> <u>2003</u> |  |  |  |

1. If "no," briefly explain why:

### **Section D: Performance Information (All Capital Assets)**

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

|                | Performance Information Table 1:  |   |   |  |   |  |  |  |  |
|----------------|---|---|---|--|---|--|--|--|--|
| Fiscal<br>Year | Performance Measure   |   | Actual/baseline<br>(from Previous<br>Year)  | Planned<br>performance<br>Metric (Target)                      | Performance<br>Metric Results<br>(Actual) |  |  |  |  |
| 2004-<br>2008  | Goal 2.1: The Courts will ensure that physical facilities are easily accessible by all persons.                 | Renovate the Old Courthouse to more efficiently use the Courts' available occupiable space in Judiciary Square. | The building will be 100% habitable in 2008 | 100% occupancy of<br>53,000 OSF<br>currently<br>uninhabitable. |   |  |  |  |  |
| 2004-<br>2008  | Goal 4.1: The Courts will provide personnel and court participants with a safe, secure, functional environment. | Renovate the Old Courthouse to more efficiently use the Courts' available occupiable space in Judiciary Square. | The building will be 100% habitable in 2008 | 100% occupancy of 53,000 OSF currently uninhabitable.          |   |  |  |  |  |

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at <a href="https://www.egov.gov">www.egov.gov</a>.

|                | Performance Information Table 2: |                         |                          |          |                                     |                   |  |  |  |  |
|----------------|----------------------------------|-------------------------|--------------------------|----------|-------------------------------------|-------------------|--|--|--|--|
| Fiscal<br>Year | Measurement<br>Area              | Measurement<br>Grouping | Measurement<br>Indicator | Baseline | Planned Improvement to the Baseline | Actual<br>Results |  |  |  |  |
| 2006 -<br>2008 | Mission & Business<br>Results    | NA                      | NA                       | NA       | NA                                  | NA                |  |  |  |  |
| 2006 -<br>2008 | Customer Results                 | NA                      | NA                       | NA       | NA                                  | NA                |  |  |  |  |
| 2006 -<br>2008 | Processes &<br>Activities        | NA                      | NA                       | NA       | NA                                  | NA                |  |  |  |  |
| 2006 -<br>2008 | Technology                       | NA                      | NA                       | NA       | NA                                  | NA                |  |  |  |  |

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# Section E: Security and Privacy (IT Capital Assets only) NA – Not Applicable

# Section F: Enterprise Architecture (EA) (IT Capital Assets only) NA – Not Applicable

### Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments identified as "Planning" or "Full Acquisition", or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

### **Section A: Alternatives Analysis (All Capital Assets)**

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

| 1.Did you cor | nduct an alternatives analysis for this project?                       | Yes <u>X</u> No                           |
|---------------|--|---|
| a.            | If "yes," provide the date the analysis was completed?                 | 1993 Feasibility Study<br>(2002 Revision) |
| b.            | If "no," what is the anticipated date this analysis will be completed? |   |
| c.            | If no analysis is planned, please briefly explain why:                 |   |

| 2.Alternatives Analysis Results: Use the results of your alternatives analysis to complete the following table: |  |  |   |  |  |  |
|---|--|--|---|--|--|--|
| Alternative<br>Analyzed   | Description of Alternative                           | Risk Adjusted Lifecycle<br>Costs estimate      | Risk Adjusted Lifecycle<br>Benefits estimate  |  |  |  |
| Alternative 1   | Renovation for D.C. Court of Appeals                 | Excellent – Most Cost<br>Effective Alternative | Courthouse is an excellent fit for the needs of the Court of Appeals.                         |  |  |  |
| Alternative 2   | Renovation for Divisions under the Executive Officer | Fair   | Building will not accommodate operations. Would result in a split operations.                 |  |  |  |
| Alternative 3   | Renovation for Civil Division                        | Fair   | Building will not accommodate operations. Would result in a split operations.                 |  |  |  |
| Alternative 4   | Renovation for Criminal Courts                       | Poor   | Courthouse is an historic structure that cannot accommodate security needs of Criminal Court. |  |  |  |

| 3. Which alternative was selected by the Agency's Executive/Investment Committee and why was it chosen? | Alternative 1 allows for a separate identity of the Court of Appeals                 |
|---|--|
| 4. What specific qualitative benefits will be realized?   | Will allow for the full consolidation of the Family Court in the Moultrie Courthouse |

# **Section B: Risk Management (All Capital Assets)**

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

|                             | Does the investment have a Risk Management Plan?  a. If "yes," what is the date of the plan?  b. Has the Risk Management Plan been significantly changed since  | 20  | Yes _\(\frac{\Sigma}{2}\) Capita  | No<br>ct Plan 2004            |   |
|-----------------------------|---|---|-----------------------------------|-------------------------------|---|
|                             | last year's submission to OMB? c. If "yes," describe any significant changes:   |   | Yes                               |                               | No _X   |
|                             | there currently is no plan, will a plan be developed?  a. If "yes," what is the planned completion date?  b. If "no," what is the strategy for managing the risks?  | _   | Yes                               |                               | No  |
| Rese<br>scop<br>soil<br>The | riefly describe how investment risks are reflected in the life cycle earch on physical conditions was undertaken as part of the project be of work. Numerous borings have been completed for this project types, and the depth of foundations for the Court of Appeals for the se investigations assess the risks involved in locating a new underdings and have allowed for mitigation of potential risks. | s's feasibile<br>ect to deter<br>he Armed | ity to as<br>mine the<br>Forces a | sess an<br>e water<br>and the | d estimate the table of the site, Old Courthouse. |
| Sec                         | tion C: Cost and Schedule Performance (All Capital Asse   | ets)                                      |                                   |                               |   |
| mile<br>Base                | M is required only on DME portions of investments. For mixed lifestones should still be included in the table (Comparison of Initial eline). This table should accurately reflect the milestones in the inne current baseline.  | Baseline a                                | and Curi                          | ent Ap                        | proved  |
|                             | ooes the earned value management system meet the criteria in SI/EIA Standard – 748? Yes No  | Yes                                       | <u>X</u>                          | No                            |   |
| repo                        | answer the following questions about current cumulative cost and orted below should reflect current actual information. (Per OMB rormance information should include both Government and Contra   | requiremei                                | nts Cost                          |                               |   |
|                             | f. What is the Planned Value (PV)?  |   | \$32.00 1                         | М                             |   |
|                             | g. What is the Earned Value (EV)?   |   | \$33.56                           |                               | <del></del>                                       |
|                             | h. What is the actual cost of work performed (AC)?  |   | \$33.56                           |                               |   |
|                             | i. What costs are included in the reported Cost/Schedule  |   | •                                 |                               |   |
|                             | Performance information (Government Only/Contractor   |   |                                   |                               |   |
|                             | Only/Both)?   |   | Both                              |                               | <del></del>                                       |
|                             | j. "As of" date:  | <u>Ju</u>                                 | ly 31, 2                          | 007                           |   |
| 3. W                        | What is the calculated Schedule Performance Index (SPI=   |   |                                   |                               |   |
|                             | EV/PV)?   |   | 1.05                              |                               |   |

| 4. What is the schedule variance $(SV = EV-PV)$ ?                | \$_1.56 M       |
|--|-----------------|
| 5. What is the calculated Cost Performance Index (CPI =          | 1               |
| EV/AC)?  | 0               |
| 6. What is the cost variance $(CV = EV - AC)$ ?                  | _               |
| 7. Is the CV% or SV% greater than $\pm 10\%$ ? (CV%= CV/EV x     |                 |
| 100; $SV\% = SV/PV \times 100$ )                                 | Yes No _X       |
| a. If "yes," was it the?   | CV              |
| a. If yes, was it the:   |                 |
|  |                 |
|  | Both            |
| b. If "yes," explain the variance:                               | <u></u> -       |
| c. If "yes," what corrective actions are being taken?            |                 |
| d. What is most current "Estimate at Completion"?                |                 |
| di William is most compression .                                 |                 |
| 8. Have any significant changes been made to the baseline during |                 |
| the past fiscal year?  | Yes No X        |
| a. If "ves." when was it approved by OMB?                        | 105 NO <u>A</u> |
| a. II ves. when was it addroved by Olyid!                        |                 |

**9. Comparison of Initial Baseline and Current Approved Baseline:** Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

|   | Initial Bas                          | seline                           |   | Current       | t Baselin                        | e     | Current<br>Baseline<br>Variance |      |                     |
|---|--------------------------------------|----------------------------------|---|---------------|----------------------------------|-------|---------------------------------|------|---------------------|
| Description of<br>Milestone   | Planned Completion Date (mm/dd/yyyy) | Total Cost<br>(\$M)<br>Estimated | Completion Date (mm/dd/yyyy) Planned/Actual |               | Total Cost (\$M) Planned/ Actual |       | Schedule/Cost<br>(# days/\$M)   |      | Percent<br>Complete |
| Design, bid, award<br>the contract, and<br>complete the Old<br>Courthouse<br>Restoration. | Dec. 2008                            | \$85 million                     | April<br>2009                               | April<br>2009 | \$129                            | \$129 | 91                              | \$44 | 23%                 |

# Part III: For "Operation and maintenance" Investments ONLY (Steady State)

Part III should be completed only for investments identified as "Operations and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

## **Section A: Risk Management (All Capital Assets)**

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

|                                 | Does the investment have a Risk Management Plan?  a. If "yes," what is the date of the plan?   | Yes            | No              |
|---------------------------------|--|----------------|-----------------|
| C                               | <ul><li>b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?</li><li>c. If "yes," describe any significant changes:</li></ul>   |                | No              |
| а                               | If there currently is no plan, will a plan be developed? a. If "yes," what is the planned completion date? b. If "no," what is the strategy for managing the risks?  | Yes            | No              |
| Section                         | B: Cost and Schedule Performance (All Capital Assets   | s)             |                 |
| a<br>t<br>t                     | Was operational analysis conducted?  a. If "yes," provide the date the analysis was completed.  b. If "yes," what were the results?  c. If "no," please explain why it was not conducted and if there are any plans to conduct operational analysis in the future: | Yes            | No              |
| baseline.                       | lete the following table to compare actual cost performance aga<br>Milestones reported may include specific individual scheduled<br>te maintenance activities, or may be the total of planned annual   | l preventative | and predictable |
| a. What<br>Performa<br>Only/Bot | ` _  |                |                 |

| 2. b Comparison of Plan vs. Actual Performance Table: |                              |                     |                              |                     |                            |  |  |
|---|------------------------------|---------------------|------------------------------|---------------------|----------------------------|--|--|
|   | Planned                      |                     | Actual                       |                     | Variance                   |  |  |
| Description of<br>Milestone                           | Completion Date (mm/dd/yyyy) | Total Cost<br>(\$M) | Completion Date (mm/dd/yyyy) | Total Cost<br>(\$M) | Schedule/Cost (# days/\$M) |  |  |
|   |                              |                     |                              |                     |                            |  |  |
|   |                              |                     |                              |                     |                            |  |  |

## Part I: Summary Information And Justification (All Capital Assets)

#### **Section A: Overview (All Capital Assets)**

1. Date of Submission: September 2007

2. Agency: District of Columbia Courts

3. Bureau: NA

- 4. Name of this Capital Asset: Moultrie Courthouse **Annex Renovation for Juvenile Holding**
- 5. Unique Project (Investment) Identifier: (For IT investment only, see section <u>53</u>. For all other, use agency ID system.) 95-1712
- 6. What kind of investment will this be in FY2009? (Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

| Planning                   |                                |
|----------------------------|--------------------------------|
| Full Acquisition           | $\underline{X}$ (fully funded) |
| Operations and Maintenance | <del></del>                    |
| Mixed Life Cycle           |                                |
| E-Gov/LoBOversight         |                                |

- 7. What was the first budget year this investment was submitted to OMB? 2005
- 8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The Family Court Act of 2001 requires that the District of Columbia immediately begin establishing an operating Family Court that is separate and physically distinct from the Superior Court. The Moultrie Courthouse Annex renovation is one of a series of capital projects necessary to establish a fully consolidated Family Court. This project is fully coordinated with the long-range recommendations of the D.C. Courts Facilities Master Plan.

The Renovation and Expansion of Juvenile Holding Facilities in the Moultrie Annex will provide new, versatile secure facilities for both Juvenile Detainees and Juveniles-At-Risk. These facilities will eliminate the mixing of the public with Juvenile Detainees, allow the U.S. Marshals Service to improve the separation of prisoner populations between group and single cells, and provide improved holding area layouts and electronic surveillance equipment. Existing holding facilities for Juveniles and Juveniles-At-Risk are sub-standard and under-sized. Holding facilities also are not directly connected to the Moultrie Courthouse prisoner circulation corridors and elevators, which requires Juveniles-At-Risk to be escorted in shackles through public and judicial corridors to reach courtrooms. This situation is neither safe nor secure.

The design for the Renovation and Expansion of Juvenile Holding Facilities in the Moultrie Annex has been developed through extensive interviews and presentations to the D.C. Courts judiciary, the U.S. Marshals Service, and the District's Department of Youth Rehabilitation Services. The project has been designed in accordance with the U.S. Marshal Service Requirements and Specifications for Engineering, Architecture, Electronics, and Security Hardware.

| 9.  | Did the Agency's Executive/Investment Committee revi    | ew this req    | uest?      | Yes_       | <u>X</u> | No            |
|-----|---|----------------|------------|------------|----------|---------------|
|     | a. If "yes," what was the date of this approval         | 2005_          |            | _          |          |               |
| 10. | Did the Project Manager review this Exhibit?            |                |            | Yes_       | <u>X</u> | No            |
| 11. | Contact information of Project Manager?                 |                |            |            |          |               |
|     | · · · · · · · · · · · · · · · · · · ·                   | ıry Ann Sat    | terthwait  | e          |          |               |
|     | Phone Number $\frac{202}{202}$                          |                |            |            |          |               |
|     | E-mail <u>sat</u>                                       | terma2@do      | sc.gov_    |            |          |               |
| 12. | Has the agency developed and/or promoted cost effective | e, energy-e    | fficient a | nd envi    | ronmer   | ntally        |
| sus | tainable techniques or practices for this project.      |                |            |            |          | •             |
|     | Ye  | s <u>X</u>     | No         |            |          |               |
|     | a. Will this investment include electronic assets (inc  |                |            |            |          |               |
|     | Ye  | s              | No         | X          |          |               |
|     | b.Is this investment for new construction or major re   | etrofit of a l | Federal b  | uilding    | or faci  | lity?         |
|     | (answer applicable to non-IT assets only)               |                |            |            |          |               |
|     | Ye  | s              | No         | <u> X_</u> |          |               |
|     | 1 If " " ' EQDC HEQC  - '                               |                | 1 6 1      | 41-11      |          | 40 NI A       |
|     | 1. If "yes," is an ESPC or UESC being                   |                | -          |            |          | nt! NA        |
|     | O TC " " '11 11 ' '                                     |                | es         |            |          |               |
|     | 2. If "yes," will this investment meet su               |                |            |            |          | A             |
|     | 2 16 " " 1 1 1 200"                                     |                | es         |            |          | . 1.0         |
|     | 3. If "yes," is it designed to be 30% r NA              | nore energ     | gy effici  | ent tha    | an rele  | vant code?    |
|     | 22  | Y              | es         | No _       |          |               |
| 13. | Does this investment directly support one of the PMA in | itiatives? I   | f "ves" c  | heck al    | l that a | pply: NA      |
|     |   |                |            |            |          | · F - J · - · |
|     |   | Capital        |            |            | -        |               |
|     | Budget Performance Int                                  | -              |            |            | -        |               |
|     | Financial Perfo   |                |            |            | -        |               |
|     | Expanded E-Gov  |                |            |            | -        |               |
|     | Competitive S   |                |            |            | -        |               |
|     | Faith Based and Con                                     | •              |            |            | -        |               |
|     | Real Property Asset Mana                                | -              |            |            | -        |               |
|     | Eliminating Improper P                                  |                |            |            | -        |               |
|     | Privatization of Military                               | _              |            |            | -        |               |
|     | Research & Development Investment                       |                |            |            | -        |               |
|     | Housing & Urban Development Management & Perfo          |                |            |            | -        |               |
|     | Broadening Health Insurance Coverage through State In   | ıtiatives      |            |            |          |               |

| "Right Sized" Or   |  |                  |             |
|--|--|------------------|-------------|
| Coordination of VA & DoD Progra  | ims and Systems  |                  |             |
| a. Briefly describe how this asset directly supp   | oorts the identified initia  | tive(s)? NA      |             |
| 14. Does this investment support a program assessed (PART)? (For more information about the PART, vi   |  |                  | g Tool      |
|  |  | Yes <u>X</u>     | No          |
| <ul><li>d. If "yes," does this investment address a weaduring a PART review?</li><li>e. If "yes," what is the name of the PART for the strength of the PART received.</li></ul>  | d program?   |                  | No <u>X</u> |
| 15. Is this investment for information technology?   |  | Yes              | No <u>X</u> |
| If the answer to Question 15 is "Yes," complete que answer questions 16-23.  | stions 16-23 below. If the   | he answer is "N  | No," do not |
| For information technology investments only: 16. What is the level of the IT Project? (per CIO Co  | Le   | evel 1 _         |             |
|  | Le   | evel 3           |             |
| 17. What project management qualifications does th   |  | -                | ıncil PM    |
| Guidance)  (1) Project manager has been validated as qualification is under recommendated (2) Project manager qualification is under recommendated (3) Project manager assigned to investment, (4) Project manager assigned but qualification (5) No Project manager has yet been assigned   | ualified for this investme<br>eview for this investmen<br>but does not meet requ<br>on status review has not | ent              |             |
| 18. Is this investment identified as "high risk" on the 'high risk" memo)?   | e Q4 -FY 2006 agency l   | nigh risk report | (per OMB's  |
| <ul> <li>19. Is this a financial management system?</li> <li>a. If "yes," does this investment address a FFM.</li> <li>1. If "yes," which compliance area:</li> <li>2. If "no," what does it address?</li> <li>b. If "yes," please identify the system name(s) a acronym(s) as reported in the most recent financinventory update required by Circular A-11 sec</li> </ul> | and system<br>cial systems   | Yes<br>Yes       |             |
| 20. What is the percentage breakout for the total FY   | Hardware<br>Software   | (This should     |             |
|  | Other  |                  |             |
|  | Oulei  |                  |             |

| 21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?  Yes  No  NA  |                              |                              |                              |                              |                              |                                      |                      | ncy                  |                              |
|--|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|--------------------------------------|----------------------|----------------------|------------------------------|
| 22.Contact information of individual responsible for privacy related questions:  Name Phone Number Title E-mail  |                              |                              |                              |                              |                              |                                      |                      |                      |                              |
| 23. Are the records process and the records Administration of the records are the records process.   | tion's approv                | al?                          |                              | -                            |                              |                                      | National A           |                      | d                            |
| 1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report. |                              |                              |                              |                              |                              |                                      |                      |                      |                              |
| Table 1: SUMMARY and beyond are for pla  |                              |                              |                              |                              |                              |                                      | IILLIONS)            | (Estimates           | for BY+1                     |
| and beyond are for pia   | PY–1 and earlier             | PY 2007                      | CY<br>2008                   | BY 2009                      | BY+1                         | BY+2                                 | BY+3                 | BY+4                 | Total                        |
|  |                              |                              |                              |                              | 2010                         | 2011                                 | 2012                 | and<br>beyond        | Unfunded                     |
| Planning:  | 0.00                         | 0.00                         | 0.00                         | 0.00                         | 0.00                         | 0.00                                 | 0.00                 | <b>beyond</b> 0.00   | Unfunded 0.00                |
| Acquisition:   | 0.00<br>8.32                 | 0.00<br>3.10                 | 0.00                         |                              |                              |                                      |                      | beyond               | Unfunded                     |
| Acquisition :<br>Subtotal Planning &   | 8.32                         | 3.10                         | 0.00                         | 0.00                         | 0.00                         | 0.00                                 | 0.00                 | 0.00<br>0.00         | Unfunded 0.00                |
| Acquisition:   |                              |                              |                              | 0.00                         | 0.00                         | 0.00                                 | 0.00                 | <b>beyond</b> 0.00   | 0.00<br>0.00                 |
| Acquisition : Subtotal Planning & Acquisition: Operations &  | 8.32<br>8.32                 | 3.10                         | 0.00                         | 0.00                         | 0.00<br>0.00                 | 0.00<br>0.00<br>0.00                 | 0.00                 | 0.00<br>0.00<br>0.00 | 0.00<br>0.00<br>0.00         |
| Acquisition: Subtotal Planning & Acquisition: Operations & Maintenance:  | 8.32<br>8.32<br>0.00<br>8.32 | 3.10<br>3.10<br>0.00<br>3.10 | 0.00<br>0.00<br>0.00<br>0.00 | 0.00<br>0.00<br>0.00<br>0.00 | 0.00<br>0.00<br>0.00<br>0.00 | 0.00<br>0.00<br>0.00<br>0.00<br>0.00 | 0.00<br>0.00<br>0.00 | 0.00<br>0.00<br>0.00 | 0.00<br>0.00<br>0.00<br>0.00 |
| Acquisition: Subtotal Planning & Acquisition: Operations & Maintenance: TOTAL: Government FTE Co   | 8.32<br>8.32<br>0.00<br>8.32 | 3.10<br>3.10<br>0.00<br>3.10 | 0.00<br>0.00<br>0.00<br>0.00 | 0.00<br>0.00<br>0.00<br>0.00 | 0.00<br>0.00<br>0.00<br>0.00 | 0.00<br>0.00<br>0.00<br>0.00<br>0.00 | 0.00<br>0.00<br>0.00 | 0.00<br>0.00<br>0.00 | 0.00<br>0.00<br>0.00<br>0.00 |
| Acquisition: Subtotal Planning & Acquisition: Operations & Maintenance: TOTAL: Government FTE Co   | 8.32<br>8.32<br>0.00<br>8.32 | 3.10<br>3.10<br>0.00<br>3.10 | 0.00<br>0.00<br>0.00<br>0.00 | 0.00<br>0.00<br>0.00<br>0.00 | 0.00<br>0.00<br>0.00<br>0.00 | 0.00<br>0.00<br>0.00<br>0.00<br>0.00 | 0.00<br>0.00<br>0.00 | 0.00<br>0.00<br>0.00 | 0.00<br>0.00<br>0.00<br>0.00 |

| 3. If the summary of spending has changed from the FY2008  |  |
|--|--|
| President's budget request, briefly explain those changes: |  |

# Section C: Acquisition/Contract Strategy (All Capital Assets)

| Contracts/Task Orders Table:                                    |                                   |             |  |  |
|---|-----------------------------------|-------------|--|--|
| Contract or Task Order Number                                   | 2009-01 Juvenile Holding          |             |  |  |
|   | CSP07014                          |             |  |  |
| Type of Contract/Task Order                                     | FIXED                             |             |  |  |
| Has the contract been awarded                                   | YES                               |             |  |  |
| (Y/N)   | NA DOM 2005                       |             |  |  |
| If so what is the date of the                                   | MARCH 2007                        |             |  |  |
| award? If not, what is the planned award date?                  |                                   |             |  |  |
| Start date of Contract/Task                                     | MARCH 2007                        |             |  |  |
| Order   | MARCH 2007                        |             |  |  |
| End date of Contract/Task Order                                 | DECEMBER 2007                     |             |  |  |
| Is this an Interagency  | NO                                |             |  |  |
| Acquisition? (Y/N)  | 110                               |             |  |  |
| Is it performance based? (Y/N)                                  | YES                               |             |  |  |
| Competitively awarded? (Y/N)                                    | YES                               |             |  |  |
| What, if any, alternative financing                             | NA                                |             |  |  |
| option is being used? (ESPC,                                    |                                   |             |  |  |
| UESC, EUL, N/A)   |                                   |             |  |  |
| Is EVM in the contract? (Y/N)                                   | YES                               |             |  |  |
| Does the contract include the                                   | YES                               |             |  |  |
| required security & privacy                                     |                                   |             |  |  |
| clauses? (Y/N)  |                                   |             |  |  |
| Name of CO  | Joseph E. Sanchez                 |             |  |  |
| CO Contact information  | sanchezj@dcsc.gov<br>202-879-2801 |             |  |  |
| (phone/email)   | NA                                |             |  |  |
| Contracting Officer Certification<br>Level (Level 1, 2, 3, N/A) | NA                                |             |  |  |
| If N/A, has the agency determined                               | YES                               |             |  |  |
| the CO assigned has the   | I Li3                             |             |  |  |
| competencies and skills necessary                               |                                   |             |  |  |
| to support this acquisition? (Y/N)                              |                                   |             |  |  |
| to support this wednesday (2/11)                                |                                   |             |  |  |
| 2. If earned value is not required o                            | r will not be a contract          |             |  |  |
| requirement for any of the contract                             |                                   |             |  |  |
| why:  | r                                 |             |  |  |
|   |                                   |             |  |  |
| 3. Do the contracts ensure Section                              | 508 compliance?                   |             |  |  |
|   | Yes                               | <del></del> |  |  |
|   | No                                | <del></del> |  |  |
|   | N/A                               | <del></del> |  |  |
| a. Explain why:   | IV/A                              |             |  |  |
| u. Dapium wny.  |                                   |             |  |  |
| 4. Is there an acquisition plan which                           | ch has been approved in           |             |  |  |
| accordance with agency requireme                                |                                   | YesX No     |  |  |
| a. If "yes," what is the date                                   |                                   | 2003        |  |  |
| b. If "no," will an acquisit                                    |                                   |             |  |  |
| 1. If "no," briefly e   |                                   | <del></del> |  |  |
| 1. II IIO, OHEHY E.   |                                   |             |  |  |

### **Section D: Performance Information (All Capital Assets)**

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

|                | Performance Information Table 1:   |  |  |   |   |  |  |  |
|----------------|--|--|--|---|---|--|--|--|
| Fiscal<br>Year | Supported  | Performance<br>Measure   | Actual/baseline<br>(from Previous<br>Year)                         | Planned<br>Performance<br>Metric (Target) | Performance<br>Metric Results<br>(Actual) |  |  |  |
| 2006-<br>2008  | Goal 4.1: The Court will provide personnel and court participants with a safe, secure, functional environment. | Construction of a new holding facility in the Moultrie Courthouse to U.S.M.S. standards. | Existing juvenile holding facility has outdated security features. | New secure facility.                      | NA  |  |  |  |
| 2006-<br>2008  | Goal 4.1: The Court will provide personnel and court participants with a safe, secure, functional environment. | Decrease in the potential co-mingling of adult and juvenile detainees.                   |  | New vertical transportation element.      | NA  |  |  |  |

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at <a href="https://www.egov.gov">www.egov.gov</a>.

|                | Performance Information Table 2: |                         |                          |          |   |                   |  |  |
|----------------|----------------------------------|-------------------------|--------------------------|----------|---|-------------------|--|--|
| Fiscal<br>Year | Measurement<br>Area              | Measurement<br>Grouping | Measurement<br>Indicator | Baseline | Planned<br>Improvement<br>to the Baseline | Actual<br>Results |  |  |
| 2006 -<br>2008 | Mission &<br>Business Results    | NA                      | NA                       | NA       | NA  | NA                |  |  |
| 2006 -<br>2008 | Customer Results                 | NA                      | NA                       | NA       | NA  | NA                |  |  |
| 2006 -<br>2008 | Processes & Activities           | NA                      | NA                       | NA       | NA  | NA                |  |  |
| 2006 -<br>2008 | Technology                       | NA                      | NA                       | NA       | NA  | NA                |  |  |

## Section E: Security and Privacy (IT Capital Assets only) NA – Not Applicable

# Section F: Enterprise Architecture (EA) (IT Capital Assets only) NA – Not Applicable

### Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments identified as "Planning" or "Full Acquisition", or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

## **Section A: Alternatives Analysis (All Capital Assets)**

why:

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

| 1. Did you conduct an alternatives analysis for this project? | Yes <u>X</u> No |
|---|-----------------|
| a. If "yes," provide the date the analysis was completed?     |                 |
| b. If "no," what is the anticipated date this analysis        |                 |
| will be completed?  |                 |
| c. If no analysis is planned, please briefly explain          |                 |

| 2. Alternatives Analysis Results: Use the results of your alternatives analysis to complete the following table: |                                     |                     |   |  |  |  |
|--|-------------------------------------|---------------------|---|--|--|--|
| Alternative  |                                     |                     | Risk Adjusted Lifecycle   |  |  |  |
| Analyzed   | <b>Description of Alternative</b>   | Lifecycle Costs     | Benefits estimate   |  |  |  |
|  |                                     | estimate            |   |  |  |  |
| Alternative 1  | Juvenile Holding in Moultrie        | Most cost effective | Locates Juvenile Holding as part of the consolidated Family Court and reuses existing courtrooms and secure circulation systems in the Moultrie Courthouse.                               |  |  |  |
| Alternative 2  | Juvenile Holding in Buildings A & B | Not cost effective  | Does not locate Juvenile Holding as part of the consolidated Family Court. Would require the complete reconstruction of building circulation systems to accommodate detainee circulation. |  |  |  |
| Alternative 3  | Juvenile Holding in Leased Space    | Not feasible        | Appropriate leased space not available in close proximity to the Family Court   |  |  |  |

3. Which alternative was selected by the Agency's Executive/Investment Committee and why was it chosen?

<u>Alternative 1 - most cost effective</u> with the greatest operational benefit.

| 4. What specific qualitative benefits will be realized?   | Greater security and a decrease in the potential co-mingling of adult and juvenile detainees.                            |
|---|--|
| Section B: Risk Management (All Capital Assets)   |  |
| You should have performed a risk assessment during the earl investment's life-cycle, developed a risk-adjusted life-cycle cor manage risk, and be actively managing risk throughout the   | ost estimate and a plan to eliminate, mitigate   |
| <ol> <li>Does the investment have a Risk Management Plan?         <ul> <li>a. If "yes," what is the date of the plan?</li> <li>b. Has the Risk Management Plan been significantly chan last year's submission to OMB?</li> <li>c. If "yes," describe any significant changes:</li> </ul> </li> </ol>  | Yes <u>X</u> No <u>Capital Projects Plan 2004</u> ged since Yes <u>No X</u> No <u>X</u>                                  |
| <ul><li>2. If there currently is no plan, will a plan be developed?</li><li>k. If "yes," what is the planned completion date?</li><li>l. If "no," what is the strategy for managing the risks?</li></ul>  | Yes No   |
| 3. Briefly describe how investment risks are reflected in schedule:   | ·  |
| EVM is required only on DME portions of investments. For mailestones should still be included in the table (Comparison of Baseline). This table should accurately reflect the milestones in the current baseline.  1. Does the earned value management system meet the criteria ANSI/EIA Standard – 748? Yes No   | nixed lifecycle investments, O&M of Initial Baseline and Current Approved in the initial baseline, as well as milestones |
| <ul> <li>2. Answer the following questions about current cumulative coreported below should reflect current actual information. (Per Performance information should include both Government and</li> <li>a. What is the Planned Value (PV)?</li> <li>b. What is the Earned Value (EV)?</li> <li>c. What is the actual cost of work performed (AC)?</li> </ul> | r OMB requirements Cost/Schedule   |
| <ul> <li>d. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contract Only/Both)?</li> <li>e. "As of" date:</li> <li>3. What is the calculated Schedule Performance Index (SPI=</li> </ul>  | e  |
| EV/PV)?   | 1.09   |

| 4. What is the schedule variance $(SV = EV-PV)$ ?                | .09              |                 |
|--|------------------|-----------------|
| 5. What is the calculated Cost Performance Index (CPI =          | 1                |                 |
| EV/AC)?  | 0                |                 |
| 6. What is the cost variance $(CV = EV - AC)$ ?                  | <u> </u>         |                 |
| 7. Is the CV% or SV% greater than $\pm 10\%$ ? (CV%= CV/EV x     |                  |                 |
| $100; SV\% = SV/PV \times 100)$                                  | Yes              | No <u>X</u>     |
| a. If "yes," was it the?   | CV               |                 |
|  | SV               |                 |
|  | Both             |                 |
| b. If "yes," explain the variance:                               |                  |                 |
| c. If "yes," what corrective actions are being taken?            |                  |                 |
| •  |                  |                 |
| d. What is most current "Estimate at Completion"?                |                  |                 |
| 8. Have any significant changes been made to the baseline during |                  |                 |
| the past fiscal year?  | Yes              | NoX_            |
| a. If "yes," when was it approved by OMB?                        |                  |                 |
| 9. Comparison of Initial Baseline and Current Approved Baseline  | Complete the fol | lowing table to |
| F  | 1 1 1 1 6        | 1 1 7           |

**9. Comparison of Initial Baseline and Current Approved Baseline:** Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

|                             | Initial B                            | Baseline Current Baseline        |                                |             | Current<br>Baseline<br>Variance  |         |                               |    |                     |
|-----------------------------|--------------------------------------|----------------------------------|--------------------------------|-------------|----------------------------------|---------|-------------------------------|----|---------------------|
| Description of<br>Milestone | Planned Completion Date (mm/dd/yyyy) | Total Cost<br>(\$M)<br>Estimated | Complete Date (mm/dd/ Planned/ | ′уууу)      | Total Cost (\$M) Planned/ Actual |         | Schedule/Cost<br>(# days/\$M) |    | Percent<br>Complete |
| Design/<br>Preconstruction  | December 2005                        | \$ 3.9 M                         | Jan<br>2007                    | Jan<br>2007 | \$ .7 M                          | \$ .7 M | 0                             | 0  | 100%                |
| Construction                | June 2007                            | \$ 8.1 M                         | Dec<br>2008                    | NA          | \$10.7 M                         | NA      | NA                            | NA | 13%                 |

## Part III: For "Operation and maintenance" Investments ONLY (Steady State)

Part III should be completed only for investments identified as "Operations and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

#### **Section A: Risk Management (All Capital Assets)**

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

| 3. | Does the investment have a Risk Management Plan?   | Yes | No |  |
|----|--|-----|----|--|
|    | a. If "yes," what is the date of the plan?         |     |    |  |
|    | b. Has the Risk Management Plan been significantly |     |    |  |

|                                   | hanged since last year's submission to OMB? . If "yes," describe any significant changes:   | Yes                | No          |
|-----------------------------------|---|--------------------|-------------|
| a                                 | f there currently is no plan, will a plan be developed?  If "yes," what is the planned completion date?  If "no," what is the strategy for managing the risks?  | Yes                | No          |
| Section 1                         | B: Cost and Schedule Performance (All Capital Assets)   |                    |             |
| a.<br>b<br>c.<br>th               | Vas operational analysis conducted?  If "yes," provide the date the analysis was completed.  If "yes," what were the results?  If "no," please explain why it was not conducted and if here are any plans to conduct operational analysis in the uture: | Yes                | No          |
| 2. Comple baseline.               | ete the following table to compare actual cost performance again<br>Milestones reported may include specific individual scheduled per<br>maintenance activities, or may be the total of planned annual of   | preventative and p | oredictable |
| a. What<br>Performat<br>Only/Both | ` <u></u>   |                    |             |

| 2. b Comparison of Plan vs. Actual Performance Table: |                              |                  |     |                     |                               |  |  |
|---|------------------------------|------------------|-----|---------------------|-------------------------------|--|--|
| Planned   |                              |                  | Act | Variance            |                               |  |  |
| Description of<br>Milestone                           | Completion Date (mm/dd/yyyy) | (\$M) Date (\$M) |     | Total Cost<br>(\$M) | Schedule/Cost (#<br>days/\$M) |  |  |
|   |                              |                  |     |                     |                               |  |  |
|   |                              |                  |     |                     |                               |  |  |

# Part I: Summary Information And Justification (All Capital Assets)

| <u> 1</u> | 1 t 1. Summary Information And Justification (All Capital Assets)   |
|-----------|---|
| Se        | ction A: Overview (All Capital Assets)  |
| 2.        | Date of Submission: September 2007  |
| 3.        | Agency: District of Columbia Courts   |
| 4.        | Bureau: NA  |
| 5.        | Name of this Capital Asset: Moultrie Courthouse – C Street Expansion  |
| 6.        | Unique Project (Investment) Identifier: (For IT investment only, see section <u>53</u> . For all other, use agency ID system.) 95-1712  |
| 7.        | What kind of investment will this be in FY2009?  (Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)  Planning  Full Acquisition  Operations and Maintenance  Mixed Life Cycle  E-Gov/LoBOversight  ———————————————————————————————————  |
| 8.        | What was the first budget year this investment was submitted to OMB? 2003   |
| 9.        | Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The project goal is to fully consolidate the Family Court and build upon the work completed as part of the John Marshall Level Interim Renovation, and to meet court wide space needs. This expansion proposes an addition to the Moultrie Courthouse on the south side, facing C Street. This approach is dependent on several projects, including the restoration and expansion of the Old D.C. Courthouse, acquisition of Building C, and existing building renovations. The project is coordinated with the Moultrie Courthouse Renovation and Reorganization and is fully coordinated with the long-range recommendations of the D.C. Courts Facilities Master Plan. This project must be conducted in carefully planned phases to accommodate court operations in the Moultrie Courthouse as the construction proceeds. |
|           | To complete the full consolidation required, the D.C. Courts propose expansion of the Moultrie Courthouse. In May 2001, the D.C. Courts and GSA completed their Facilities Master Plan documenting system-wide space needs and addressing alternatives for meeting these needs. In May 2003, the D.C. Courts, with GSA management, completed the "Feasibility Study for the Expansion of the H. Carl Moultrie I Courthouse".  |
| 10.       | Did the Agency's Executive/Investment Committee review this request? Yes <u>X</u> No  |
|           | a. If "yes," what was the date of this approval?  |

| 11.      | Did the Project Manager review   | this Exhibit?   |   | YesX_                   | No      |
|----------|--|---|---|-------------------------|---------|
| 12.      |  | Ianager?<br>Name<br>Phone Number<br>E-mail  | Mary Ann Satterthwait<br>202-879-2898<br>satterma2@dcsc.gov   |                         |         |
|          | a. Will this investment include el   | is project.   | Yes X No (including computers)? Yes No No   | <br>X                   | ·       |
|          | (answer applicable to non-IT a   |   | Yes No  |                         | icinty: |
|          | <ol> <li>If "yes," is an ESP</li> <li>If "yes," will this in</li> <li>If "yes," is it design</li> </ol>                        | nvestment mee   | Yeset sustainable design p<br>Yes   | No<br>principles?<br>No | NA      |
| 40.5     | NA es this investment directly support   |   |   | No                      |         |
| l<br>Bro | Budg<br>F<br>Real P<br>Elim<br>Privat<br>Research & Devel<br>Housing & Urban Development M<br>padening Health Insurance Covera | Hunget Performance Financial F Expanded E-C Competiti aith Based and Property Asset M inating Improperization of Milita copment Investmanagement & F age through State t Sized" Overse DoD Programs a set directly supp | man Capital Integration Performance Government we Sourcing Community Management er Payments ary Housing nent Criteria Performance e Initiatives has Presence and Systems orts the identified initia | tive(s)? NA             |         |
|          | es this investment support a progra<br>)? (For more information about th   |   | www.whitehouse.gov/or   |                         | No      |
| a.       | If "yes," does this investment add   | dress a weaknes   |   | ~                       |         |

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|                  | during a PART review?   | Yes              | No <u>X</u>     |
|------------------|---|------------------|-----------------|
| b.               | If "yes," what is the name of the PARTed program?   | D.C. C           | Courts          |
| c.               | If "yes," what rating did the PART receive?   | Adeqı            | <u>iate</u>     |
| 15. Is           | this investment for information technology?   | Yes              | No <u>X</u>     |
|                  | nswer to Question 15 is "Yes," complete questions 16-23 below. If questions 16-23.  | the answer is    | "No," do not    |
| For inf          | formation technology investments only:  |                  |                 |
| 16. Wł           | nat is the level of the IT Project? (per CIO Council PM Guidance)   |                  |                 |
|                  | I   | Level 1          |                 |
|                  | I   | Level 2          |                 |
|                  | I   | Level 3          |                 |
| 17. Wh<br>Guidar | nat project management qualifications does the Project Manager hav  | re? (per CIO (   | Council PM      |
|                  | <ol> <li>(1) Project manager has been validated as qualified for this investment</li> <li>(2) Project manager qualification is under review for this investment</li> <li>(3) Project manager assigned to investment, but does not meet request.</li> <li>(4) Project manager assigned but qualification status review has not</li> <li>(5) No Project manager has yet been assigned to this investment</li> </ol> | ent<br>uirements |                 |
| 18. Is t<br>'hi  | his investment identified as "high risk" on the Q4 -FY 2006 agency gh risk" memo)?  | high risk rep    | ort (per OMB's  |
| b.               | his a financial management system?  If "yes," does this investment address a FFMIA compliance area?  1. If "yes," which compliance area:  2. If "no," what does it address?  If "yes," please identify the system name(s) and system ronym(s) as reported in the most recent financial systems rentory update required by Circular A–11 section 52  | YesYes           |                 |
| 20 W             | act is the percentage breekout for the total EV2000 funding request   | for the fellow   | vina?           |
| 20. W            | nat is the percentage breakout for the total FY2009 funding request   |                  | ıld total 100%) |
|                  | Hardware  |                  | •               |
|                  | Software  |                  |                 |
|                  | Services  |                  |                 |
|                  | Othe  |                  | <u></u>         |
| pu               | his project produces information dissemination products for the published to the Internet in conformance with OMB Memorandum 05-rentory, schedules and priorities?  |                  |                 |
|                  | Yes   | ;<br>_           |                 |
|                  | No  | -                |                 |
|                  | NA  |                  |                 |
| 22 Ca            | ntact information of individual responsible for privacy related quest   | tions:           |                 |
| 22. CO           | Name  | aons.            |                 |

|  | risset i ian an   | u Dusine.  | ss case so  |  |   | Section  |   | - Circular 1  |                                    |
|--|---|--|---|--|---|--|---|---|------------------------------------|
|  |   |  | Phon  | e Numbe<br>Title<br>E-mai  | e   |  |   |   | _<br>_<br>_                        |
| 23. Are the records Records Administra   |   |  | tment ap  | propriate  | ly schedule   | ed with the Yes  |   | Archives ar   | nd                                 |
| Section B: Summ  | ary of Spen   | ding (A  | ll Capit  | al Assets  | s)  |  |   |   |                                    |
| 1. Provide the total amounts represent personnel costs sho excluded from the a "TOTAL" estimated and "Operation/Mai term energy, environmentire life-cycle of the same control of the same | budget authould be included mounts showed annual cost intenance." Internance, and the cost intenance intenance, and the cost intenance intenance intenance. | ority in a led only a n for "Ploof the in For Feder commissi | millions,<br>in the ro<br>anning,"<br>vestment<br>ral buildi<br>oning, an | and are w designation of the sure of the s | rounded ated "Gove quisition," m of costs facilities, 1 toration co | to three dernment F1 and "Operation "Plannife-cycle co | ecimal plance of the Cost," ation/Main ing," "Fullosts should | aces. Fed<br>and should<br>atenance."'<br>Acquisition | eral<br>l be<br>The<br>on,"<br>ong |
| Table 1: SUMMARY and beyond are for pla  |   |  |   |  |   |  | IILLIONS  | ) (Estimates  | for BY+1                           |
|  | PY–1 and earlier  | PY<br>2007   | CY<br>2008  | BY<br>2009   | BY+1<br>2010  | BY+2<br>2011   | BY+3<br>2012  | BY+4<br>and<br>beyond                                 | Total<br>Unfunded                  |
| Planning:  | 0.00  | 0.00   | 0.00  | 0.00   | 0.00  | 0.00   | 0.00  | 0.00  | 0.00                               |
| Acquisition:   | 0.00  | 0.00   | 0.00  | 0.00   | 9.54  | 68.90  | 0.00  | 0.00  | 78.44                              |
| Subtotal Planning & Acquisition:   | 0.00  | 0.00   | 0.00  | 0.00   | 9.54  | 68.90  | 0.00  | 0.00  | 78.44                              |
| Operations & Maintenance:  | 0.00  | 0.00   | 0.00  | 0.00   | 0.00  | 0.00   | 0.00  | 0.00  | 0.00                               |
| TOTAL:   | 0.00  | 0.00   | 0.00  | 0.00   | 9.54  | 68.90  | 0.00  | 0.00  | 78.44                              |
| Government FTE   | Costs should  | not be i   | ncluded i   | in the an  | ounts pro   | vided abov   | ve.   |   |                                    |
| Government FTE Costs Number of FTE represented by Costs:   |   |  |   |  |   |  |   |   |                                    |
| Note: For the cross-partner agencies). G  2. Will this project r   | require the ag<br>How many ar<br>f spending ha  | TE Costs ency to h ad in wha s changed                       | should n<br>ire additi<br>t year?<br>d from th                            | ot be inclosed on all FTE e FY2008   | luded as pa   | -  | _   | resented.   | and                                |

# **Section C: Acquisition/Contract Strategy (All Capital Assets)**

| Contracts/Task Orders Table:   |                       |              |   |  |
|--|-----------------------|--------------|---|--|
| Contract or Task Order   | 2009-01 Design &      | Jucis Table: |   |  |
| Number   | Preconstruction       |              |   |  |
| - 10   | Fixed                 |              |   |  |
| Type of Contract/Task<br>Order   | Tixed                 |              |   |  |
| Has the contract been  | No                    |              |   |  |
| awarded (Y/N)  |                       |              |   |  |
| If so what is the date of the  | FY 2010               |              |   |  |
| award? If not, what is the   |                       |              |   |  |
| planned award date?  |                       |              |   |  |
| Start date of Contract/Task  | FY 2010               |              |   |  |
| Order  |                       |              |   |  |
| End date of Contract/Task  | FY 2011               |              |   |  |
| Order  |                       |              |   |  |
| Is this an Interagency   | No                    |              |   |  |
| Acquisition? (Y/N)   |                       |              |   |  |
| Is it performance based?   | Yes                   |              |   |  |
| (Y/N)  |                       |              |   |  |
| Competitively awarded?   | Yes                   |              |   |  |
| (Y/N)  |                       |              |   |  |
| What, if any, alternative  | NA                    |              |   |  |
| financing option is being  |                       |              |   |  |
| used? (ESPC, UESC, EUL,  |                       |              |   |  |
| N/A)   | **                    |              |   |  |
| Is EVM in the contract?  | Yes                   |              |   |  |
| (Y/N)  | Yes                   |              |   |  |
| Does the contract include the  | ies                   |              |   |  |
| required security & privacy clauses? (Y/N)   |                       |              |   |  |
| Name of CO   | Joseph E. Sanchez     |              |   |  |
| CO Contact information   | sanchezj@dcsc.gov     |              |   |  |
| (phone/email)  | 202-879-2801          |              |   |  |
| Contracting Officer  | NA                    |              |   |  |
| Certification Level (Level 1,  |                       |              |   |  |
| 2, 3, N/A)   |                       |              |   |  |
| If N/A, has the agency   | YES                   |              |   |  |
| determined the CO assigned   |                       |              |   |  |
| has the competencies and   |                       |              |   |  |
| skills necessary to support  |                       |              |   |  |
| this acquisition? (Y/N)  |                       |              |   |  |
| 2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why: |                       |              |   |  |
| 2 De dhe cart  |                       |              |   |  |
| 3. Do the contracts ensure Se  | ction 508 compliance? |              |   |  |
|  |                       | Yes          |   |  |
|  |                       | No <u>X</u>  |   |  |
|  |                       | N/A          | _ |  |

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a. Explain why:

| 4. Is there an acquisition plan which has been approved in |                 |
|--|-----------------|
| accordance with agency requirements?                       | Yes <u>X</u> No |
| a. If "yes," what is the date?                             | 2003            |
| b. If "no," will an acquisition plan be developed?         |                 |
| 1. If "no," briefly explain why:                           |                 |

### **Section D: Performance Information (All Capital Assets)**

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

|                | Performance Information Table 1:  |                     |  |   |   |  |  |  |
|----------------|-----------------------------------|---------------------|--|---|---|--|--|--|
| Fiscal<br>Year | Strategic<br>Goal(s)<br>Supported | Performance Measure | Actual/baseline<br>(from Previous<br>Year) | Planned<br>performance<br>Metric (Target) | Performance<br>Metric Results<br>(Actual) |  |  |  |
|                |                                   |                     |  |   |   |  |  |  |
|                |                                   |                     |  |   |   |  |  |  |

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at <a href="www.egov.gov">www.egov.gov</a>.

| Performan      | Performance Information Table 2:      |    |                          |          |   |                   |  |  |  |
|----------------|---------------------------------------|----|--------------------------|----------|---|-------------------|--|--|--|
| Fiscal<br>Year | Measurement Area Measurement Grouping |    | Measurement<br>Indicator | Baseline | Planned<br>Improvement to<br>the Baseline | Actual<br>Results |  |  |  |
| 2006 -<br>2008 | Mission & Business<br>Results         | NA | NA                       | NA       | NA  | NA                |  |  |  |
| 2006 -<br>2008 | Customer Results                      | NA | NA                       | NA       | NA  | NA                |  |  |  |
| 2006 -<br>2008 | Processes &<br>Activities             | NA | NA                       | NA       | NA  | NA                |  |  |  |
| 2006 -<br>2008 | Technology                            | NA | NA                       | NA       | NA  | NA                |  |  |  |

Section E: Security and Privacy (IT Capital Assets only)
NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only) NA – Not Applicable

## Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments identified as "Planning" or "Full Acquisition", or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

### **Section A: Alternatives Analysis (All Capital Assets)**

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

| 1. | Did you conduct an alternatives analysis for this           | Yes <u>X</u> No               |
|----|---|-------------------------------|
|    | project?  | _2002 Facilities Master Plan_ |
| 2. | If "yes," provide the date the analysis was completed?      |                               |
| 3. | If "no," what is the anticipated date this analysis will be |                               |
|    | completed?  |                               |
| 4. | If no analysis is planned, please briefly explain why:      |                               |

| 2. Alternatives Analysis Results: Use the results of your alternatives analysis to complete the following table: |   |   |   |  |  |  |  |
|--|---|---|---|--|--|--|--|
| Alternative<br>Analyzed  | <b>Description of Alternative</b>   | Risk Adjusted Lifecycle<br>Costs estimate | Risk Adjusted Lifecycle<br>Benefits estimate  |  |  |  |  |
| Alternative 1  | Consolidating the Family Court within the Moultrie Courthouse – The D.C. Courts are proceeding with this alternative because it has the greatest quantitative as well as qualitative return on investment. An underlying assumption of this alternative includes the use of existing courtrooms and circulation systems within the Moultrie Courthouse.   | Best Cost Effectiveness                   | Using and augmenting existing resources has a major cost benefit, made even greater by the high cost of constructing new specialized Court facilities.  |  |  |  |  |
| Alternative 2  | Consolidating the Family Court in other Court Buildings – Court Buildings A and B were considered for the consolidation of the Family Court. This alternative was not chosen for a number of reasons. Neither building is large enough to house the full Family Court and would require the physical split of functions between the two buildings. Judiciary Square is an historic open space, and the National Law Enforcement Memorial occupies the major public space between the two buildings. | Fair Cost Effectiveness                   | Above ground linkages are not possible given this context. Below grade, the Metro's red line runs between the two buildings, creating a major obstacle to below grade connections. In addition, these buildings were designed during an era when security was not central to courthouse design and thus they are not up to contemporary standards.  Transport of inmates would be highly problematic. |  |  |  |  |

| Alternative 3                             | Consolidating the Family Court in new space - Investigation has indicated that not enough significant blocks of space capable of accommodating the new Family Court in its entirety are immediately available for purchase within close proximity of Judiciary Square. | Unfeasible             |                                       | Leasing of space<br>Court would be c<br>would require a r<br>infrastructure and<br>investment by the<br>alternative did no<br>term cost benefits<br>Courts. | ostly and<br>najor<br>d security<br>e Courts. This<br>ot have long- |
|---|--|------------------------|---------------------------------------|---|---|
|   | native was selected by the Agency's estment Committee and why was it   |                        | Al                                    | ternative 1   |   |
| 4. What specif                            | ic qualitative benefits will be realize  |                        |                                       | existing infrastron of operation  |   |
| Section B: R                              | isk Management (All Capital A  | Assets)                |                                       |   |   |
| investment's l                            | ave performed a risk assessment du<br>ife-cycle, developed a risk-adjusted<br>x, and be actively managing risk thro  | life-cycle cost estin  | nate and a                            | plan to elimin  |   |
| a. If "yes,"<br>b. Has the<br>last year's | nvestment have a Risk Management what is the date of the plan? Risk Management Plan been significate submission to OMB? 'describe any significant changes:   | ce<br>                 | Yes <u>X</u> <u>Captial Proje</u> Yes | No<br>cts Plan 2004<br>NoX  |   |
| f. If "yes                                | ently is no plan, will a plan be deve<br>s," what is the planned completion of<br>"what is the strategy for managing   | _                      | Yes                                   | No  |   |
| 3. Briefly desc                           | ribe how investment risks are reflec   | eted in the life cycle | cost estin                            | nate and invest   | ment schedule   |
| Section C: C                              | ost and Schedule Performance   | (All Capital Asso      | ets)                                  |   |   |
| milestones sho                            | red only on DME portions of investre ould still be included in the table (Cost table should accurately reflect the paseline.   | omparison of Initial   | Baseline a                            | and Current Ap  | pproved   |
|   | rned value management system mee<br>ndard – 748? Yes No  | et the criteria in     | Yes                                   | _X No   | )   |
| reported below                            | following questions about current c<br>v should reflect current actual information should include both Gov   | nation. (Per OMB 1     | requireme                             | nts Cost/Sched  |   |
| a. What                                   | is the Planned Value (PV)?   |                        |                                       |   |   |

| <ul> <li>b. What is the Earned Value (EV)?</li> <li>c. What is the actual cost of work performed (AC)?</li> <li>d. What costs are included in the reported Cost/Schedule<br/>Performance information (Government Only/Contractor<br/>Only/Both)?</li> <li>e. "As of" date:</li> </ul> |                         |             |
|---|-------------------------|-------------|
| 3. What is the calculated Schedule Performance Index (SPI= EV/PV)?  |                         |             |
| 4. What is the schedule variance (SV = EV-PV)?  |                         |             |
| 5. What is the calculated Cost Performance Index (CPI = EV/AC)?   |                         |             |
| 6. What is the cost variance $(CV = EV - AC)$ ?   |                         | <del></del> |
| 7. Is the CV% or SV% greater than ± 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100) a. If "yes," was it the?  | Yes<br>CV<br>SV<br>Both | No          |
| <ul><li>b. If "yes," explain the variance:</li><li>c. If "yes," what corrective actions are being taken?</li><li>d. What is most current "Estimate at Completion"?</li></ul>  |                         |             |
| 8. Have any significant changes been made to the baseline during the past fiscal year?  a. If "yes," when was it approved by OMB?   | Yes                     | No          |

**9.** Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

|                             | Initial Ba                           | Current Baseline                 |       |  |  | Curre<br>Baseli<br>Varia            | ine |                      |                     |
|-----------------------------|--------------------------------------|----------------------------------|-------|--|--|-------------------------------------|-----|----------------------|---------------------|
| Description of<br>Milestone | Planned Completion Date (mm/dd/yyyy) | Total Cost<br>(\$M)<br>Estimated | (mm/c |  |  | Total Cost (\$M)<br>Planned/ Actual |     | lule/Cost<br>vs/\$M) | Percent<br>Complete |
| Design                      | July 2011                            | \$ 9 M                           |       |  |  |                                     |     |                      |                     |

## Part III: For "Operation and maintenance" Investments ONLY (Steady State)

Part III should be completed only for investments identified as "Operations and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

## **Section A: Risk Management (All Capital Assets)**

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

| 1.               | Does the investment have a Risk Management Plan? a. If "yes," what is the date of the plan?  | Yes              | No             |
|------------------|--|------------------|----------------|
|                  | <ul><li>b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?</li><li>c. If "yes," describe any significant changes:</li></ul>   | Yes              | No             |
| 2.               | If there currently is no plan, will a plan be developed?  a. If "yes," what is the planned completion date?  b. If "no," what is the strategy for managing the risks?  | Yes              | No             |
| Sectio           | on B: Cost and Schedule Performance (All Capital Asset   | s)               |                |
| 1.               | Was operational analysis conducted?  a. If "yes," provide the date the analysis was completed.  b. If "yes," what were the results?  c. If "no," please explain why it was not conducted and if there are any plans to conduct operational analysis in the future: | Yes              | No             |
| baselin          | replete the following table to compare actual cost performance again. Milestones reported may include specific individual schedulective maintenance activities, or may be the total of planned annual ).   | l preventative a | nd predictable |
| a. Wh<br>Perform | ` _  |                  |                |

| 2. b Comparison of Plan vs. Actual Performance Table: |  |  |                              |                     |                            |  |  |  |
|---|--|--|------------------------------|---------------------|----------------------------|--|--|--|
|   | Planned  |  | Act                          | Variance            |                            |  |  |  |
| Description of<br>Milestone                           | Completion Date (mm/dd/yyyy)  Total Cost (\$M) |  | Completion Date (mm/dd/yyyy) | Total Cost<br>(\$M) | Schedule/Cost (# days/\$M) |  |  |  |
|   |  |  |                              |                     |                            |  |  |  |
|   |  |  |                              |                     |                            |  |  |  |

## **Part I: Summary Information And Justification (All Capital Assets)**

| Se  | ction A: Overview (All Capital Assets)   |  |                                 |
|-----|--|--|---------------------------------|
| 1.  | Date of Submission: September 2007   |  |                                 |
| 2.  | Agency: District of Columbia Courts  |  |                                 |
| 3.  | Bureau: NA   |  |                                 |
| 4.  | Name of this Capital Asset: Building A Modernization   |  |                                 |
| 5.  | Unique Project (Investment) Identifier: (For IT investment only, see section gagency ID system.) 95-1712   | 53. For all other,   | use                             |
| (Pl | What kind of investment will this be in FY2009?  ease NOTE: Investments moving to O&M ONLY in FY2009, with Planning/A  or to FY2008 should not select O&M. These investments should indicate their  Planning  Full Acquisition  Operations and Maintenance  Mixed Life Cycle  E-Gov/LoBOversight   |  |                                 |
| 7.  | What was the first budget year this investment was submitted to OMB? 2003  | 3  |                                 |
| 8.  | Provide a brief summary and justification for this investment, including a brief this closes in part or in whole an identified agency performance gap: This P of capital projects necessary to establish a fully consolidated Family Court. It constructed in the 1930's following a Congressional appropriation. The Built renovation was complete and occupied in July 2007 on time and within budg exterior renovation is in the planning phase. The exterior work will include a masonry cleaning, refurbishment of the existing windows to improve energy modifications to provide ADA accessibility, improved lighting and upgrade of This project is fully coordinated with the long-range recommendations of the Master Plan and the Judiciary Square Master Plan. | roject is one of a<br>Building A was<br>ding A interior<br>et. The Building<br>masonry restorat<br>efficiency, entry<br>of the security po | A series  A A ion,  retrimeter. |
| 9.  | Did the Agency's Executive/Investment Committee review this request?  a. If "yes," what was the date of this approval?2003   | Yes <u>X</u>   | No                              |
| 10. | Did the Project Manager review this Exhibit?   | Yes X  | No                              |
| 11. | Contact information of Project Manager?  Name Phone Number E-mail  Mary Ann Satterthwaite 202-879-2898 satterma2@dcsc.gov  |  |                                 |

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

|  | Yes _ <u>X</u>   | No               |             |                        |         |
|--|--|------------------|-------------|------------------------|---------|
| a. Will this investment include electronic assets  | (including co  | _                |             |                        |         |
| b.Is this investment for new construction or maj<br>(answer applicable to non-IT assets only)  |  |                  |             | r facility             | ?       |
| ()   | Yes  | No _             | <u>X_</u> _ |                        |         |
| 1. If "yes," is an ESPC or UESC being  | -  | fund this<br>Yes |             |                        |         |
| 2. If "yes," will this investment meet s   | sustainable de   |                  | iples? NA   |                        |         |
| 3. If "yes," is it designed to be 30% m  | ore energy ef  |                  | n relevant  | code? N                | ΙA      |
| 13. Does this investment directly support one of the PM  | A initiatives?   | If "yes"         | check all   | that apply             | y: NA   |
| Budget Performance<br>Financial I<br>Expanded E-   | Performance Government ive Sourcing Community Management per Payments cary Housing ment Criteria Performance te Initiatives eas Presence and Systems ports the ident | Program .        | Assessme    | ent Ratir              | ng Tool |
|  |  | Ye               | es <u>X</u> | No_                    |         |
| <ul><li>a. If "yes," does this investment address a weakness a PART review?</li><li>d. If "yes," what is the name of the PARTed proge. If "yes," what rating did the PART receive?</li></ul> | gram?  |                  |             | No _<br>ourts_<br>ate_ |         |
| 15. Is this investment for information technology?   |  | Ye               | es          | No                     | X       |
| If the engine to Operation 15 is "Ves." complete question  | na 16 92 hala  | u. If the e      | namoria (   | ·No"do                 | not     |

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.

| For information technology investments only:  16. What is the level of the IT Project? (per CIO Council PM Guidan  | uca)      |               |                      |
|--|-----------|---------------|----------------------|
| 10. What is the level of the 11 Hoject: (per Clo Council I W Guidan  | Lev       | el 1          |                      |
|  | Lev       |               | <del></del>          |
|  | Lev       |               |                      |
|  | Lev       | CI J          |                      |
| 17. What project management qualifications does the Project Manage Guidance)   | er have?  | (per CIO Co   | ouncil PM            |
| (1) Project manager has been validated as qualified for this in  | nvestmen  | ıt            | ·                    |
| (2) Project manager qualification is under review for this inv   |           |               |                      |
| (3) Project manager assigned to investment, but does not med   | _         |               |                      |
| <ul><li>(4) Project manager assigned but qualification status review 1</li><li>(5) No Project manager has yet been assigned to this investment</li></ul>                 |           | et started    |                      |
| 18. Is this investment identified as "high risk" on the Q4 -FY 2006 as 'high risk" memo)?  | gency hig | gh risk repoi | rt (per OMB's        |
| 19. Is this a financial management system?   |           | Yes           | No                   |
| <ul><li>a. If "yes," does this investment address a FFMIA compliance ar</li><li>1. If "yes," which compliance area:</li></ul>  |           |               | No                   |
| 2. If "no," what does it address?  | -         |               |                      |
| b. If "yes," please identify the system name(s) and system   |           |               |                      |
| acronym(s) as reported in the most recent financial systems inventory update required by Circular A–11 section 52  |           |               |                      |
| inventory update required by Circular 71-11 section 32   | -         |               |                      |
| 20. What is the percentage breakout for the total FY2009 funding rec   |           |               | ng?<br>d total 100%) |
| Har  |           | ( 11118 SHOUL | ,                    |
|  |           |               |                      |
|  |           |               |                      |
|  | Other     |               | _                    |
| 21. If this project produces information dissemination products for the published to the Internet in conformance with OMB Memorandu inventory, schedules and priorities? |           |               |                      |
| ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,  | Yes       |               |                      |
|  | No        |               |                      |
|  | NA        |               |                      |
|  |           |               |                      |
| 22. Contact information of individual responsible for privacy related Name   | -         |               |                      |
| Dhone Number   |           |               |                      |
| Title  |           |               |                      |
| г ч  |           |               |                      |
|  |           |               |                      |
| 23. Are the records produced by this investment appropriately schedul Records Administration's approval?   |           |               | al Archives and      |
| records reministration s approvat:   | 108       |               | 110                  |

### **Section B: Summary of Spending (All Capital Assets)**

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

| <b>Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS)</b> (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions) |                  |            |            |            |              |              |              |                       |                   |
|--|------------------|------------|------------|------------|--------------|--------------|--------------|-----------------------|-------------------|
|  | PY-1 and earlier | PY<br>2007 | CY<br>2008 | BY<br>2009 | BY+1<br>2010 | BY+2<br>2011 | BY+3<br>2012 | BY+4<br>and<br>beyond | Total<br>Unfunded |
| Planning:  | 0.00             | 0.00       | 0.00       | 0.00       | 0.00         | 0.00         | 0.00         | 0.00                  | 0.00              |
| Acquisition:   | 9.62             | 11.00      | 0.00       | 0.00       | 0.00         | 0.00         | 0.00         | 0.00                  | 0.00              |
| Subtotal Planning & Acquisition:   | 9.62             | 11.00      | 0.00       | 0.00       | 0.00         | 0.00         | 0.00         | 0.00                  | 0.00              |
| Operations & Maintenance:  | 0.00             | 0.00       | 0.00       | 0.00       | 0.00         | 0.00         | 0.00         | 0.00                  | 0.00              |
| TOTAL:   | 9.62             | 11.00      | 0.00       | 0.00       | 0.00         | 0.00         | 0.00         | 0.00                  | 0.00              |
| <b>Government FTE</b>  | Costs should     | not be in  | icluded i  | in the an  | nounts pro   | vided abo    | ve.          |                       |                   |
| Government FTE<br>Costs  |                  |            |            |            |              |              |              |                       |                   |
| Number of FTE represented by Costs:  |                  |            |            |            |              |              |              |                       |                   |

*Note:* For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

| 2. Will this project require the agency to hire additional FTE's? | Yes | NoX |
|---|-----|-----|
| a. If "yes," How many and in what year?                           |     |     |
| 3. If the summary of spending has changed from the FY2008         |     |     |
| President's budget request, briefly explain those changes:        |     |     |

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Section C: Acquisition/Contract Strategy (All Capital Assets)

|  | 0 1 1 1 1 2 2                     | 1 75 11            |
|--|-----------------------------------|--------------------|
| _  | Contracts/Task On                 | rders Table:       |
| Contract or Task Order<br>Number   | 2009-01 Building A<br>CSP-05-039  |                    |
| Type of Contract/Task<br>Order   | Fixed                             |                    |
| Has the contract been awarded (Y/N)  | Yes                               |                    |
| If so what is the date of the award? If not, what is the planned award date?   | September 2005                    |                    |
| Start date of Contract/Task<br>Order   | September 2005                    |                    |
| End date of Contract/Task<br>Order   | December 2007                     |                    |
| Is this an Interagency<br>Acquisition? (Y/N)   | No                                |                    |
| Is it performance based? (Y/N)   | Yes                               |                    |
| Competitively awarded?<br>(Y/N)  | Yes                               |                    |
| What, if any, alternative<br>financing option is being<br>used? (ESPC, UESC, EUL,<br>N/A)  | NA                                |                    |
| Is EVM in the contract? (Y/N)  | Yes                               |                    |
| Does the contract include the required security & privacy clauses? (Y/N)   | Yes                               |                    |
| Name of CO   | Joseph E. Sanchez                 |                    |
| CO Contact information (phone/email)   | sanchezj@dcsc.gov<br>202-879-2801 |                    |
| Contracting Officer<br>Certification Level (Level 1,<br>2, 3, N/A)   | NA                                |                    |
| If N/A, has the agency<br>determined the CO assigned<br>has the competencies and<br>skills necessary to support<br>this acquisition? (Y/N) | Yes                               |                    |
| 2. If earned value is not requirequirement for any of the cowhy:   |                                   |                    |
| 3. Do the contracts ensure Se  | ction 508 compliance?             | <br>Yes            |
|  |                                   | No <u>X</u><br>N/A |

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a. Explain why:

| 4. Is there an acquisition plan which has been approved in |              |    |
|--|--------------|----|
| accordance with agency requirements?                       | Yes <u>X</u> | No |
| a. If "yes," what is the date?                             | 2003         |    |
| b. If "no," will an acquisition plan be developed?         |              |    |
| 1. If "no," briefly explain why:                           |              |    |

### **Section D: Performance Information (All Capital Assets)**

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

|                | ·  | Performance Informa  | tion Table 1:  |  |  |
|----------------|--|--|--|--|--|
| Fiscal<br>Year | Strategic Goal(s)<br>Supported   | Performance<br>Measure   | Actual/baseline<br>(from Previous<br>Year)   | Planned<br>performance<br>Metric (Target)  | Performance<br>Metric Results<br>(Actual)  |
| 2007           | Goal 4.1: The Court<br>will provide<br>personnel and court<br>participants with a<br>safe, secure,<br>functional<br>environment. | Relocate the Probate Division to Building A in order to further consolidate Family Court functions and more adequately meet the needs of the District's residents. | Under construction and on schedule.  | Upgrade of 60,000<br>OSF of Class C<br>office space into<br>Class A office space<br>and reclamation of<br>existing courtrooms<br>for civil proceedings.  | Construction<br>completed on time<br>and on budget with<br>all 60,000 OSF of<br>space upgraded,<br>occupied and<br>functional. |
| 2008           | Goal 4.1: The Court<br>will provide<br>personnel and court<br>participants with a<br>safe, secure,<br>functional<br>environment. | Exterior building restoration to maintain the building façade, improve energy efficiency, provide accessibility and improve safety.                                | Building has suffered<br>from deferred<br>maintenance. Windows<br>and doors are in a<br>severe state of<br>deterioration. Building<br>masonry requires re-<br>pointing and cleaning. | The exterior work will include masonry restoration, masonry cleaning, refurbishment of the existing windows to improve energy efficiency, entry modifications to provide ADA accessibility, improved lighting and upgrade of the security perimeter. | NA   |

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping"

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identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at <a href="www.egov.gov">www.egov.gov</a>.

|                | Performance Information Table 2: |                         |                          |          |   |                   |
|----------------|----------------------------------|-------------------------|--------------------------|----------|---|-------------------|
| Fiscal<br>Year | Measurement<br>Area              | Measurement<br>Grouping | Measurement<br>Indicator | Baseline | Planned<br>Improvement to<br>the Baseline | Actual<br>Results |
| 2006 -<br>2008 | Mission & Business<br>Results    | NA                      | NA                       | NA       | NA  | NA                |
| 2006 -<br>2008 | Customer Results                 | NA                      | NA                       | NA       | NA  | NA                |
| 2006 -<br>2008 | Processes &<br>Activities        | NA                      | NA                       | NA       | NA  | NA                |
| 2006 -<br>2008 | Technology                       | NA                      | NA                       | NA       | NA  | NA                |

Section E: Security and Privacy (IT Capital Assets only)
NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only) NA – Not Applicable

### Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments identified as "Planning" or "Full Acquisition", or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

#### **Section A: Alternatives Analysis (All Capital Assets)**

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

| l.Did you | conduct an alternatives analysis for this project?  | Yes | No |
|-----------|---|-----|----|
| a.        | If "yes," provide the date the analysis was         |     |    |
|           | completed?  |     |    |
| b.        | If "no," what is the anticipated date this analysis |     |    |
|           | will be completed?                                  |     |    |
| c.        | If no analysis is planned, please briefly explain   |     |    |

### 2. Alternatives Analysis Results:

why:

Use the results of your alternatives analysis to complete the following table:

| Alternative<br>Analyzed | <b>Description of Alternative</b>  | Risk Adjusted Lifecycle<br>Costs estimate | Risk Adjusted Lifecycle<br>Benefits estimate |
|-------------------------|--|---|--|
| -                       |  |   |  |
|                         |  |   |  |
|                         |  |   |  |
|                         |  |   |  |
|                         | native was selected by the Agency's estment Committee and why was it   |   |  |
| 4. What specif          | ic qualitative benefits will be realize  | ed?                                       |  |
| Section B: R            | isk Management (All Capital A  | Assets)                                   |  |
| investment's li         | eve performed a risk assessment du<br>fe-cycle, developed a risk-adjusted<br>a, and be actively managing risk thro   | life-cycle cost estimate and              | a plan to eliminate, mitigate                |
|                         | nvestment have a Risk Management' what is the date of the plan?  | t Plan?                                   | Yes X No<br>Capital Projects Plan            |
| b. Has the last year's  | Risk Management Plan been significant submission to OMB? describe any significant changes:   | icantly changed since                     | Yes No _X                                    |
| a. If "yes              | rently is no plan, will a plan be of a," what is the planned completion of what is the strategy for managing   | late?                                     | Yes No                                       |
| 3. Briefly desc         | ribe how investment risks are reflec   | eted in the life cycle cost esti          | mate and investment schedule:                |
| Section C: C            | ost and Schedule Performance   | (All Capital Assets)                      |  |
| milestones sho          | ed only on DME portions of investre ould still be included in the table (Costable should accurately reflect the paseline.  | omparison of Initial Baseline             | and Current Approved                         |
|                         | rned value management system mee<br>ndard – 748? Yes No  |   | s <u>X</u> No                                |
| reported below          | following questions about current cy should reflect current actual information should include both Government  | nation. (Per OMB requirement              | ents Cost/Schedule                           |
| b. Wha                  | at is the Planned Value (PV)? at is the Earned Value (EV)? at is the actual cost of work perform at costs are included in the reported Performance information (Govern | Cost/Schedule                             |  |

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**9.** Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

| Only/Contractor Only/Both)?  |                         |    |   |
|--|-------------------------|----|---|
| e. "As of" date:   |                         |    |   |
| 3. What is the calculated Schedule Performance Index (SPI= EV/PV)?   |                         |    | _ |
| 4. What is the schedule variance $(SV = EV-PV)$ ?  |                         |    | _ |
| 5. What is the calculated Cost Performance Index (CPI = EV/AC)?  |                         |    | _ |
| 6. What is the cost variance $(CV = EV - AC)$ ?  |                         |    | _ |
| 7. Is the CV% or SV% greater than ± 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100) a. If "yes," was it the?   | Yes<br>CV<br>SV<br>Both | No |   |
| <ul><li>b. If "yes," explain the variance:</li><li>c. If "yes," what corrective actions are being taken?</li><li>d. What is most current "Estimate at Completion"?</li></ul> |                         |    |   |
| 8. Have any significant changes been made to the baseline during the past fiscal year?  a. If "yes," when was it approved by OMB?  | Yes                     | No |   |

**9. Comparison of Initial Baseline and Current Approved Baseline:** Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

|                          | Initial Ba                           | Current Baseline                 |               |              | Current<br>Baseline<br>Variance |              |                     |             |      |
|--------------------------|--------------------------------------|----------------------------------|---------------|--------------|---------------------------------|--------------|---------------------|-------------|------|
| Description of Milestone | Planned Completion Date (mm/dd/yyyy) | Total Cost<br>(\$M)<br>Estimated | <b>\\</b> . / |              | Schedule<br>(# days/\$          |              | Percent<br>Complete |             |      |
| Construction completion  | Sept. 2006                           | \$21 M                           | Dec<br>2007   | July<br>2007 | \$19 M                          | \$18.95<br>M | - 6<br>months       | - 0.05<br>M | 100% |

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## Part III: For "Operation and maintenance" Investments ONLY (Steady State)

Part III should be completed only for investments identified as "Operations and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

## **Section A: Risk Management (All Capital Assets)**

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

| 1.         | Does the investment have a Risk Management Plan? a. If "yes," what is the date of the plan?  | Yes            | No              |
|------------|--|----------------|-----------------|
|            | <ul><li>b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?</li><li>c. If "yes," describe any significant changes:</li></ul>   | Yes            | No              |
| 2.         | If there currently is no plan, will a plan be developed?  a. If "yes," what is the planned completion date?  b. If "no," what is the strategy for managing the risks?  | Yes            | No              |
| Sec        | etion B: Cost and Schedule Performance (All Capital Asset  | s)             |                 |
| 1.         | Was operational analysis conducted?  a. If "yes," provide the date the analysis was completed. b. If "yes," what were the results? c. If "no," please explain why it was not conducted and if there are any plans to conduct operational analysis in the future: | Yes            | No              |
| bas<br>cor | Complete the following table to compare actual cost performance ageline. Milestones reported may include specific individual scheduled rective maintenance activities, or may be the total of planned annual ports).   | d preventative | and predictable |
| Per        | What costs are included in the reported Cost/Schedule formance information (Government Only/Contractor y/Both)?  |                |                 |

| 2. b Comparison of Plan vs. Actual Performance Table: |                              |                     |                              |                     |                    |         |
|---|------------------------------|---------------------|------------------------------|---------------------|--------------------|---------|
|   | Planned                      |                     | Act                          | ual                 | Variance           |         |
| Description of<br>Milestone                           | Completion Date (mm/dd/yyyy) | Total Cost<br>(\$M) | Completion Date (mm/dd/yyyy) | Total Cost<br>(\$M) | Schedule/days/\$M) | Cost (# |
|   |                              |                     |                              |                     |                    |         |

# Part I: Summary Information And Justification (All Capital Assets)

| Se  | ction A: Overview (All Capital Assets)  |
|-----|---|
| 1.  | Date of Submission: September 2007  |
| 2.  | Agency: District of Columbia Courts   |
| 3.  | Bureau: NA  |
| 4.  | Name of this Capital Asset: Building B Modernization  |
| 5.  | Unique Project (Investment) Identifier: (For IT investment only, see section $\underline{53}$ . For all other, use agency ID system.) 95-1712   |
| 6.  | What kind of investment will this be in FY2009? (Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)  |
|     | Planning Full Acquisition  Operations and Maintenance Mixed Life Cycle E-Gov/LoBOversight  Mixed Life Cycle   |
| 7.  | What was the first budget year this investment was submitted to OMB? 2007   |
| 8.  | Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The Building B Modernization Project is a long-term project that includes three phases of work. Phases I and II, which were completed as part of the implementation of the Family Court Act, addressed construction necessary to consolidate the public face of the Family Court: the relocation of Small Claims Court and Landlord Tenant Court and upgrading of building systems, and interior reconfiguration. Phase III will address final occupancy fit out and relocation of Budget and Finance from leased swing space. These renovations respond to the Family Court Act of 2001, and are fully coordinated with the long-range recommendations of the D.C. Courts' Facilities Master Plan. |
| 9.  | Did the Agency's Executive/Investment Committee review this request? Yes <u>X</u> No  |
|     | a. If "yes," what was the date of this approval?  |
| 10. | . Did the Project Manager review this Exhibit?  Yes <u>X</u> No   |
| 11. | . Contact information of Project Manager?  Name Phone Number E-mail  Mary Ann Satterthwaite  202-879-2898  satterma2@dcsc.gov   |

| 12. Has the agency developed and/or promoted cost effect sustainable techniques or practices for this project.   | tive, energy-ef                 | fficient and enviro | onmentally      |
|--|---------------------------------|---------------------|-----------------|
|  | YesX                            | No                  |                 |
| a. Will this investment include electronic assets (  |                                 |                     |                 |
|  | Yes                             | _                   |                 |
| b.Is this investment for new construction or majo (answer applicable to non-IT assets only)  |                                 |                     | or facility?    |
|  | Yes                             | No <u>X</u> _       |                 |
| 1. If "yes," is an ESPC or UESC being used   | to help fund                    |                     |                 |
|  |                                 | Yes                 | No              |
| 2. If "yes," will this investment meet sustain   | nable design p                  |                     |                 |
|  |                                 | Yes                 | No              |
| 3. If "yes," is it designed to be 30% more er  | nergy efficien                  | t than relevant c   | ode? NA         |
|  |                                 | Yes                 | No              |
| 13. Does this investment directly support one of the PMA   | A initiatives? I<br>nan Capital | f "yes" check all   | that apply: NA  |
| Budget Performance   |                                 |                     |                 |
| Financial Po   |                                 |                     |                 |
| Expanded E-G   |                                 |                     |                 |
| Competitiv   |                                 |                     |                 |
| Faith Based and C  | -                               |                     |                 |
| Real Property Asset M  | •                               | ·                   |                 |
| Eliminating Imprope  | -                               |                     |                 |
| Privatization of Milita  |                                 |                     |                 |
| Research & Development Investment  |                                 |                     |                 |
| Housing & Urban Development Management & Po  |                                 |                     |                 |
| Broadening Health Insurance Coverage through State   |                                 |                     |                 |
|  |                                 |                     |                 |
| "Right Sized" Oversea  |                                 |                     |                 |
| Coordination of VA & DoD Programs and  | nd Systems                      |                     |                 |
| b. Briefly describe how this asset directly supports the i modernization supports the PMA initiative by tempor for Social Services, then as a permanent space for sor  | rarily being use                | ed as a Courts ow   | ned swing space |
| 14. Does this investment support a program assessed usin (PART)? (For more information about the PART, visit well as w |                                 |                     | ng Tool         |
|  |                                 | Yes <u>X</u>        | No              |
| 2. If "yes," does this investment address a weakness   | s found                         |                     |                 |
| during a PART review?  |                                 | Yes                 | No <u>X</u>     |
| 3. If "yes," what is the name of the PARTed pro  | ogram?                          |                     | urts            |
| If "yes," what rating did the PART receive?  | 0                               |                     | te              |
|  |                                 |                     |                 |
| 15. Is this investment for information technology?   |                                 | Yes                 | No <u>X</u>     |

| answer questions 16-23.  |                 |                 |
|--|-----------------|-----------------|
| For information technology investments only:   |                 |                 |
| 16. What is the level of the IT Project? (per CIO Council PM Guidance)   |                 |                 |
|  | Level 1         |                 |
|  | Level 2         |                 |
|  | Level 3         |                 |
| 17. What project management qualifications does the Project Manager ha   | ve? (per CIO (  | Council PM      |
| (1) Project manager has been validated as qualified for this invest  | ment            |                 |
| (2) Project manager qualification is under review for this investm   |                 |                 |
| (3) Project manager assigned to investment, but does not meet rec  |                 |                 |
| (4) Project manager assigned but qualification status review has n   | ot yet started  |                 |
| (5) No Project manager has yet been assigned to this investment  |                 |                 |
| 18. Is this investment identified as "high risk" on the Q4 -FY 2006 agency 'high risk" memo)?  | y high risk rep | ort (per OMB's  |
| 10. In this of financial management anothers?  | Vas             | NI              |
| 19. Is this a financial management system?  a. If "yes," does this investment address a FFMIA compliance area?   | Yes<br>Yes      | _ No<br>_ No    |
| 1. If "yes," which compliance area:  | 168             |                 |
| 2. If "no," what does it address?  |                 |                 |
| b. If "yes," please identify the system name(s) and system   |                 |                 |
| acronym(s) as reported in the most recent financial systems  |                 |                 |
| inventory update required by Circular A–11 section 52  |                 |                 |
| and the second s |                 |                 |
| 20. What is the percentage breakout for the total FY2009 funding request   |                 |                 |
|  | •               | ıld total 100%) |
|  | e               |                 |
| Softwar  |                 |                 |
| Service  |                 |                 |
| Othe   | er              |                 |
| 21. If this project produces information dissemination products for the purpublished to the Internet in conformance with OMB Memorandum 05 inventory, schedules and priorities?  |                 |                 |
| Ye   | es.             |                 |
| N  |                 |                 |
| N <sub>2</sub>   |                 |                 |
| 22. Contact information of individual responsible for privacy related ques   | tions:          |                 |
| DI X I   |                 |                 |
| TT: 1  |                 |                 |
| E-mail   |                 |                 |
| <u>L</u> 5-111411  |                 |                 |

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not

represented by

Costs:

| 23. Are the records produced by this investment appropriately sc Records Administration's approval? | cheduled with the National Archives and Yes No |
|---|--|
| Section B: Summary of Spending (All Capital Assets)   |  |
| 1. Provide the total estimated life-cycle cost for this investmen                                   | t by completing the following table. All       |

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions) PY-1 BY+4 PY CY BY BY+1BY+2BY+3 Total and and 2008 2009 2010 2007 2011 2012 earlier beyond 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 Planning: 0.000.00 0.00 0.00 0.00 2.00 13.00 0.00 0.00 15.00 Acquisition: Subtotal Planning & 0.00 0.00 0.00 0.00 2.00 13.00 0.00 0.00 15.00 Acquisition: Operations & 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 Maintenance: 0.00 0.00 0.00 0.00 2.00 13.00 0.00 0.00 15.00 TOTAL: Government FTE Costs should not be included in the amounts provided above. Government FTE Costs Number of FTE

*Note:* For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

| 2. Will this project require the agency to hire additional FTE's? | Yes | No <u>X</u> |
|---|-----|-------------|
| a. If "yes," How many and in what year?                           |     |             |
| 3. If the summary of spending has changed from the FY2008         |     |             |
| President's budget request, briefly explain those changes:        |     |             |
|   |     |             |

**Section C: Acquisition/Contract Strategy (All Capital Assets)** 

|  | Contracts/Task (                  | Orders Table:    |      |
|--|-----------------------------------|------------------|------|
| Contract or Task Order   | 2009-1 Budget & finance           |                  |      |
| Number   | Relocation from off-site          |                  |      |
| Type of Contract/Task<br>Order   | Fixed                             |                  |      |
| Has the contract been awarded (Y/N)  | No                                |                  |      |
| If so what is the date of the award? If not, what is the planned award date?   | -                                 |                  |      |
| Start date of Contract/Task<br>Order   | 2010                              |                  |      |
| End date of Contract/Task<br>Order   | 2012                              |                  |      |
| Is this an Interagency<br>Acquisition? (Y/N)   | No                                |                  |      |
| Is it performance based? (Y/N)   | Yes                               |                  |      |
| Competitively awarded? (Y/N)   | Yes                               |                  |      |
| What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)   | NA                                |                  |      |
| Is EVM in the contract? (Y/N)  | Yes                               |                  |      |
| Does the contract include the required security & privacy clauses? (Y/N)   | Yes                               |                  |      |
| Name of CO   | Joseph E. Sanchez Jr.             |                  |      |
| CO Contact information (phone/email)   | 202-879-2801<br>sanchezj@dcsc.gov |                  |      |
| Contracting Officer<br>Certification Level (Level 1,<br>2, 3, N/A)   | NA                                |                  |      |
| If N/A, has the agency<br>determined the CO assigned<br>has the competencies and<br>skills necessary to support<br>this acquisition? (Y/N) | Yes                               |                  |      |
| 2. If earned value is not requirement for any of the cowhy:  |                                   |                  |      |
| 3. Do the contracts ensure Se  | ection 508 compliance?            | Yes<br>No<br>N/A | <br> |

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a. Explain why:

| 4. Is there an acquisition plan which has been approved in |                 |
|--|-----------------|
| accordance with agency requirements?                       | Yes <u>X</u> No |
| a. If "yes," what is the date?                             | 2003            |
| b. If "no," will an acquisition plan be developed?         |                 |
| 1. If "no," briefly explain why:                           |                 |

### **Section D: Performance Information (All Capital Assets)**

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

|                | Performance Information Table 1:  |   |   |   |   |
|----------------|---|---|---|---|---|
| Fiscal<br>Year | Strategic<br>Goal(s)<br>Supported   | Performance Measure   | Actual/baseline<br>(from Previous<br>Year)  | Planned<br>performance<br>Metric (Target)   | Performance<br>Metric Results<br>(Actual) |
| 2004-<br>2008  | Goal 4.1: The Courts will provide personnel and court participants with a safe, secure, functional, and habitable physical environment. | Building B, as it currently existed, could not accommodate the Divisions that needed to relocate to allow for the initial consolidation of the Family Court in Moultrie Courthouse. | Relocation of Landlord and Tenant Court and Small Claims Court to Building B for Phase I Family Court Consolidation | Upgrade of 51,000<br>OSF of Class C<br>office space into<br>Class A office space<br>and renovation of<br>existing courtrooms. | NA  |

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at <a href="https://www.egov.gov">www.egov.gov</a>.

| Performance Information Table 2: |                               |                         |                          |          |   |                   |
|----------------------------------|-------------------------------|-------------------------|--------------------------|----------|---|-------------------|
| Fiscal<br>Year                   | Measurement<br>Area           | Measurement<br>Grouping | Measurement<br>Indicator | Baseline | Planned<br>Improvement to<br>the Baseline | Actual<br>Results |
| 2006 -<br>2008                   | Mission & Business<br>Results | NA                      | NA                       | NA       | NA  | NA                |

| 2006 -<br>2008 | Customer Results          | NA | NA | NA | NA | NA |
|----------------|---------------------------|----|----|----|----|----|
| 2006 -<br>2008 | Processes &<br>Activities | NA | NA | NA | NA | NA |
| 2006 -<br>2008 | Technology                | NA | NA | NA | NA | NA |

Section E: Security and Privacy (IT Capital Assets only)
NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only) NA – Not Applicable

## Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments identified as "Planning" or "Full Acquisition", or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

### **Section A: Alternatives Analysis (All Capital Assets)**

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

| 1. | Did you conduct an alternatives analysis for this      | Yes <u>X</u> No             |
|----|--|-----------------------------|
|    | project?   | 2002 Facilities Master Plan |
|    | a. If "yes," provide the date the analysis was         |                             |
|    | completed?   |                             |
|    | b. If "no," what is the anticipated date this analysis | Following Master Plan       |
|    | will be completed?                                     |                             |
|    | c. If no analysis is planned, please briefly explain   |                             |

| 2. Alternatives Analysis Results: Use the results of your alternatives analysis to complete the following table: |   |   |  |  |  |  |
|--|---|---|--|--|--|--|
| Alternative<br>Analyzed  | Description of Alternative  | Risk Adjusted<br>Lifecycle Costs  | Risk Adjusted<br>Lifecycle Benefits                                    |  |  |  |
|  |   | estimate  | estimate   |  |  |  |
| Alternative 1  | Relocating Court functions to Buildings A & B and Consolidating the Family Court within the Moultrie Courthouse | Reprogramming of this existing building provides an excellent return on investment. | Operationally efficient – provides long term life cycle cost benefits. |  |  |  |
| Alternative 2  | Consolidating the Family Court in Court Buildings A and B   | Poor return on investment   | Operationally inefficient  – no long term benefits                     |  |  |  |
| Alternative 3  | Consolidating the Family Court in new space   | NA – Appropriate space or property unavailable                                      | NA – Appropriate space or property unavailable                         |  |  |  |

| 3. Which alternative was selected by the Agency's Executive/Investment Committee and why was it chosen?   | Following Master Plan   |
|---|---|
| 4. What specific qualitative benefits will be realized?   | The Building B Modernization Project is one of a series of steps planned for full Family Court consolidation. The project is in keeping with the mandates of the Family Court Act and through evaluation was proven to provide the greatest system efficiencies for the Family Court and the entire court system. |
| Section B: Risk Management (All Capital Assets)   |   |
| You should have performed a risk assessment during the early pla<br>investment's life-cycle, developed a risk-adjusted life-cycle cost es<br>or manage risk, and be actively managing risk throughout the inves                     | timate and a plan to eliminate, mitigate  |
| 1. Does the investment have a Risk Management Plan? a. If "yes," what is the date of the plan?  | Yes <u>X</u> No <u>Capital Projects Plan 2004</u>   |
| <ul><li>b. Has the Risk Management Plan been significantly changed s last year's submission to OMB?</li><li>c. If "yes," describe any significant changes:</li></ul>  | Yes No <u>X</u>   |
| <ul><li>2. If there currently is no plan, will a plan be developed?</li><li>c. If "yes," what is the planned completion date?</li><li>d. If "no," what is the strategy for managing the risks?</li></ul>                            | Yes No  |
| 3. Briefly describe how investment risks are reflected in the life cyc<br>Risk Management is an ongoing part of the project's design process<br>construction schedule due to unforeseen field conditions associated                 | s. Possible risks include delays in the   |
| Section C: Cost and Schedule Performance (All Capital A   | ssets)  |
| EVM is required only on DME portions of investments. For mixed milestones should still be included in the table (Comparison of Initi Baseline). This table should accurately reflect the milestones in the in the current baseline. | al Baseline and Current Approved  |
| 1. Does the earned value management system meet the criteria in ANSI/EIA Standard – 748? Yes No   | Yes _X No   |
| 2. Answer the following questions about current cumulative cost ar reported below should reflect current actual information. (Per OMI Performance information should include both Government and Cor                                | B requirements Cost/Schedule  |
| <ul><li>a. What is the Planned Value (PV)?</li><li>b. What is the Earned Value (EV)?</li></ul>  |   |

| <ul> <li>c. What is the actual cost of work performed (AC)?</li> <li>d. What costs are included in the reported Cost/Schedule<br/>Performance information (Government<br/>Only/Contractor Only/Both)?</li> <li>e. "As of" date:</li> </ul> |                         |    | -<br>-<br>- |
|--|-------------------------|----|-------------|
| 3. What is the calculated Schedule Performance Index (SPI= EV/PV)?   |                         |    | -           |
| 4. What is the schedule variance $(SV = EV-PV)$ ?  |                         |    | -           |
| 5. What is the calculated Cost Performance Index (CPI = EV/AC)?  |                         |    | -           |
| 6. What is the cost variance $(CV = EV - AC)$ ?  |                         |    |             |
| 7. Is the CV% or SV% greater than $\pm$ 10%? (CV%= CV/EV x 100; SV%= SV/PV x 100) a. If "yes," was it the?   | Yes<br>CV<br>SV<br>Both | No |             |
| <ul><li>b. If "yes," explain the variance:</li><li>c. If "yes," what corrective actions are being taken?</li><li>d. What is most current "Estimate at Completion"?</li></ul>   |                         |    |             |
| 8. Have any significant changes been made to the baseline during the past fiscal year?  a. If "yes," when was it approved by OMB?  | Yes                     | No |             |

**9.** Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

|                          | Initial Ba                           | Current Baseline                 |  |    | Current<br>Baseline<br>Variance |    |    |                     |      |
|--------------------------|--------------------------------------|----------------------------------|--|----|---------------------------------|----|----|---------------------|------|
| Description of Milestone | Planned Completion Date (mm/dd/yyyy) | Total Cost<br>(\$M)<br>Estimated | Completion Date (\$M) (mm/dd/yyyy) Planned/Actual  Cost (\$M) Planned/ Actual  Schedule/C (# days/\$M) |    | ( <b>\$M</b> )<br>Planned/      |    |    | Percent<br>Complete |      |
| Phase I & II             | NA                                   | NA                               | NA   | NA | NA                              | NA | NA | NA                  | 100% |
| Phase III                | July 2012                            | \$15<br>million                  | July<br>2012   | NA | \$15<br>million                 | NA | NA | NA                  | 0%   |

## Part III: For "Operation and maintenance" Investments ONLY (Steady State)

Part III should be completed only for investments identified as "Operations and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

## **Section A: Risk Management (All Capital Assets)**

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

| <ol> <li>Does the investment have a Risk Management Plan?</li> <li>a. If "yes," what is the date of the plan?</li> </ol>   | Yes                | No              |
|--|--------------------|-----------------|
| b. Has the Risk Management Plan been significantly changed since last year's submission to OMB? c. If "yes," describe any significant changes:   | Yes                | No              |
| <ul><li>2. If there currently is no plan, will a plan be developed?</li><li>a. If "yes," what is the planned completion date?</li><li>b. If "no," what is the strategy for managing the risks</li></ul>  | Yes?               | No              |
| Section B: Cost and Schedule Performance (All Capital A  | ssets)             |                 |
| <ul><li>2. Was operational analysis conducted?</li><li>a. If "yes," provide the date the analysis was completed.</li><li>b. If "yes," what were the results?</li><li>c. If "no," please explain why it was not conducted and if there are any plans to conduct operational analysis in the future:</li></ul> | Yes                | No              |
| 2. Complete the following table to compare actual cost performance baseline. Milestones reported may include specific individual scheocorrective maintenance activities, or may be the total of planned an efforts).   | duled preventative | and predictable |
| a. What costs are included in the reported Cost/Schedul Performance information (Government Only/Contractor Only/Both)?  |                    |                 |

| 2. b Comparison of Plan vs. Actual Performance Table: |                              |                     |                              |                     |                               |  |
|---|------------------------------|---------------------|------------------------------|---------------------|-------------------------------|--|
|   | Planned                      |                     | Act                          | Variance            |                               |  |
| Description of<br>Milestone                           | Completion Date (mm/dd/yyyy) | Total Cost<br>(\$M) | Completion Date (mm/dd/yyyy) | Total Cost<br>(\$M) | Schedule/Cost (#<br>days/\$M) |  |
|   |                              |                     |                              |                     |                               |  |
|   |                              |                     | _                            |                     |                               |  |
|   |                              |                     |                              |                     |                               |  |

# Part I: Summary Information And Justification (All Capital Assets)

| Se  | ction A: Overview (All Capital Assets)   |
|-----|--|
| 1.  | Date of Submission: September 2007   |
| 2.  | Agency: District of Columbia Courts  |
| 3.  | Bureau: NA   |
| 4.  | Name of this Capital Asset: <b>East Garage – New Construction</b>  |
| 5.  | Unique Project (Investment) Identifier: (For IT investment only, see section <u>53</u> . For all other, use agency ID system.) 95-1712   |
| (Pl | What kind of investment will this be in FY2009?  ease NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities or to FY2008 should not select O&M. These investments should indicate their current status.)  Planning Full Acquisition Operations and Maintenance Mixed Life Cycle E-Gov/LoBOversight  E-Gov/LoBOversight  |
| 7.  | What was the first budget year this investment was submitted to OMB? 2003  |
| 8.  | Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: This project will provide secure, underground parking for judges and court staff and replace surface parking with green space to return Judiciary Square to a park-like setting for public enjoyment. The D.C. Courts currently have parking adjacent to Building A, on the west side of the building, and adjacent to Building B, on the east side of the building. This project is detailed in the Judiciary Square Master Plan and meets goals of the D.C. Courts as well as the District of Columbia. The project is included in the DDOT Judiciary Square Transportation and Security Study. With high terror and security concerns, security of Judicial and non-judicial D.C. Courts' staff is critical, and the East Garage will provide a safe and secure environment for judges and staff. |
| 9.  | Did the Agency's Executive/Investment Committee review this request? Yes X No  |
|     | a. If "yes," what was the date of this approval?   |
| 10. | Did the Project Manager review this Exhibit?  Yes X  No  |
| 11. | Contact information of Project Manager?  Name Phone Number E-mail  Mary Ann Satterthwaite  202-879-2898  satterma2@dcsc.gov  |

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally

| sustainable techniques or practices for this project.   |   |
|---|---|
|   | Yes <u>X</u> No                             |
| a. Will this investment include electronic assets (i  |   |
|   | Yes No _X                                   |
| b.Is this investment for new construction or major (answer applicable to non-IT assets only)          | retrofit of a Federal building or facility? |
|   | Yes No <u>X</u>                             |
| •   | 10 <u>A</u>                                 |
| 1. If "yes," is an ESPC or UESC beir  | ng used to help fund this investment? NA    |
| • /   | Yes No                                      |
| 2. If "yes," will this investment meet  | sustainable design principles? NA           |
| 2 If " " ' 1 1 1 200/   | Yes No                                      |
| 3. If "yes," is it designed to be 30% NA  | more energy efficient than relevant code?   |
|   | Yes No                                      |
| 13. Does this investment directly support one of the Fapply: NA                                       | 'MA initiatives? If "yes" check all that    |
| Hum   | an Capital                                  |
| Budget Performance I  | •   |
| Financial Pe  |   |
| Expanded E-Go   |   |
| Competitive   |   |
| Faith Based and C   |   |
| Real Property Asset Ma  | •   |
| Eliminating Improper  | -   |
| Privatization of Militar  |   |
| Research & Development Investme   |   |
| Housing & Urban Development Management & Pe   |   |
| Broadening Health Insurance Coverage through State  |   |
| "Right Sized" Overseas  |   |
| Coordination of VA & DoD Programs an  |   |
| a. Briefly describe how this asset directly support   | rts the identified initiative(s)? NA        |
| 14. Does this investment support a program assessed (PART)? (For more information about the PART, vis |   |
| (TAKI): (For more information about the TAKI, vis   | it www.wintenouse.gov/oino/part.)           |
|   | Yes <u>X</u> No                             |
| a. If "yes," does this investment address a weakness for  |   |
| a PART review?  | Yes No <u>X</u>                             |
| b. If "yes," what is the name of the PARTed prog  |   |
| c. If "yes," what rating did the PART receive?  | Adequate                                    |
| 15. Is this investment for information technology?  | Yes No <u>X</u>                             |
| If the answer to Question 15 is "Yes." complete questions   | 16-23 below. If the answer is "No." do not  |

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.

For information technology investments only:

| 16. What is the level of the IT Project? (per CIO Council PM Guid  | dance)               |                |                   |
|--|----------------------|----------------|-------------------|
|  | Le                   | evel 1         | - <u></u> -       |
|  | Le                   | evel 2         |                   |
|  | Le                   | evel 3         |                   |
| 17. What project management qualifications does the Project Man Guidance)                                | ager have            | ? (per CIO C   | ouncil PM         |
| (1) Project manager has been validated as qualified for this   | is investme          | ent            |                   |
| (2) Project manager qualification is under review for this   | investmen            | t              |                   |
| (3) Project manager assigned to investment, but does not   |                      |                |                   |
| (4) Project manager assigned but qualification status revie  |                      | yet started    |                   |
| (5) No Project manager has yet been assigned to this investigation                                       | stment               |                |                   |
| 18. Is this investment identified as "high risk" on the Q4 -FY 2000 (high risk" memo)?                   | 6 agency h           | igh risk repo  | rt (per OMB's     |
|  |                      | **             |                   |
| 19. Is this a financial management system?  a. If "yes," does this investment address a FFMIA compliance | 2 0 200 2            | Yes<br>Yes     |                   |
| 1. If "yes," which compliance area:  | e area?              | 1 es           | NO                |
| 2. If "no," what does it address?  |                      |                |                   |
| b. If "yes," please identify the system name(s) and system   |                      |                |                   |
| acronym(s) as reported in the most recent financial systems  |                      |                |                   |
| inventory update required by Circular A-11 section 52  |                      |                |                   |
| 20. What is the percentage breakout for the total FY2009 funding   | request fo           |                |                   |
| <b>,</b>   | T 1                  | •              | d total 100%)     |
|  | Hardware<br>Software |                | _                 |
|  | Services             |                | _                 |
|  | Other                |                | _                 |
| 21. If this project produces information dissemination products for                                      |                      | c, are these p | -<br>roducts      |
| published to the Internet in conformance with OMB Memorar inventory, schedules and priorities?           | ndum 05-0            | 4 and include  | ed in your agency |
|  | Yes                  |                |                   |
|  | No                   |                |                   |
|  | NA                   |                |                   |
| 22. Contact information of individual responsible for privacy relat                                      | ted questio          | ons:           |                   |
| Name   |                      |                |                   |
| Phone Number   |                      |                |                   |
| Title  |                      |                |                   |
| E-mail <sub>_</sub>  |                      |                |                   |
|  |                      |                |                   |
| 23. Are the records produced by this investment appropriately sch  |                      |                |                   |
| Records Administration's approval?   | r e                  | es             | No                |

## **Section B: Summary of Spending (All Capital Assets)**

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

| <b>Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS)</b> (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions) |                     |            |            |            |              |              |              |                       |                   |
|--|---------------------|------------|------------|------------|--------------|--------------|--------------|-----------------------|-------------------|
|  | PY–1 and<br>earlier | PY<br>2007 | CY<br>2008 | BY<br>2009 | BY+1<br>2010 | BY+2<br>2011 | BY+3<br>2012 | BY+4<br>and<br>beyond | Total<br>Unfunded |
| Planning:  | 0.00                | 0.00       | 0.00       | 0.00       | 0.00         | 0.00         | 0.00         | 0.00                  | 0.00              |
| Acquisition:   | 0.00                | 0.00       | 0.00       | 0.00       | 0.00         | 5.30         | 33.39        | 0.00                  | 38.69             |
| Subtotal Planning & Acquisition:   | 0.00                | 0.00       | 0.00       | 0.00       | 0.00         | 5.30         | 33.39        | 0.00                  | 38.69             |
| Operations & Maintenance:  | 0.00                | 0.00       | 0.00       | 0.00       | 0.00         | 0.00         | 0.00         | 0.00                  | 0.00              |
| TOTAL:   | 0.00                | 0.00       | 0.00       | 0.00       | 0.00         | 5.30         | 33.39        | 0.00                  | 38.69             |
| Government FTE Costs should not be included in the amounts provided above.   |                     |            |            |            |              |              |              |                       |                   |
| Government FTE<br>Costs  |                     |            |            |            |              |              |              |                       |                   |
| Number of FTE represented by Costs:  |                     |            |            |            |              |              |              |                       |                   |

*Note:* For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

| 2. Will this project require the agency to hire additional FTE's? | Yes | NoX |
|---|-----|-----|
| a. If "yes," How many and in what year?                           |     |     |
| 3. If the summary of spending has changed from the FY2008         |     |     |
| President's budget request, briefly explain those changes:        |     |     |

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**Section C: Acquisition/Contract Strategy (All Capital Assets)** 

| C  | ontracts/Task Orde    | rc Toble | n•    |    |  |
|--|-----------------------|----------|-------|----|--|
| Contract or Task Order Number  | 2009-01 East Garage   | 15 1 aun | ē.    |    |  |
|  | Fixed                 |          |       |    |  |
| Type of Contract/Task Order Has the contract been awarded (Y/N)            | No                    |          |       |    |  |
| If so what is the date of the award? If                                    | FY 2011               |          |       |    |  |
| not, what is the planned award date?                                       | 1 1 2011              |          |       |    |  |
| Start date of Contract/Task Order  | FY 2011               |          |       |    |  |
| End date of Contract/Task Order  | FY 2013               |          |       |    |  |
| Is this an Interagency Acquisition?  | No                    |          |       |    |  |
| (Y/N)  | No                    |          |       |    |  |
| Is it performance based? (Y/N)   | Yes                   |          |       |    |  |
| Competitively awarded? (Y/N)   | Yes                   |          |       |    |  |
| What, if any, alternative financing  | NA                    |          |       |    |  |
| option is being used? (ESPC, UESC,   |                       |          |       |    |  |
| EUL, N/A)  |                       |          |       |    |  |
| Is EVM in the contract? (Y/N)  | Yes                   |          |       |    |  |
| Does the contract include the required                                     | Yes                   |          |       |    |  |
| security & privacy clauses? (Y/N)  |                       |          |       |    |  |
| Name of CO   | Joseph E. Sanchez     |          |       |    |  |
| CO Contact information (phone/email)                                       | sanchezj@dcsc.gov     |          |       |    |  |
| 4 ,  | 202-879-2801          |          |       |    |  |
| Contracting Officer Certification Level                                    | NA                    |          |       |    |  |
| (Level 1, 2, 3, N/A)   | Yes                   |          |       |    |  |
| If N/A, has the agency determined the CO assigned has the competencies and | 1 es                  |          |       |    |  |
| skills necessary to support this   |                       |          |       |    |  |
| acquisition? (Y/N)   |                       |          |       |    |  |
| acquisition: (1/14)  |                       |          |       |    |  |
| 2. If earned value is not required or will                                 | not be a contract     |          |       |    |  |
| •  |                       | lain     |       |    |  |
| requirement for any of the contracts or to                                 | ask orders above, exp | nam      |       |    |  |
| why:   |                       |          |       |    |  |
| 0.5.4.500  | 11 0                  |          |       |    |  |
| 3. Do the contracts ensure Section 508 c                                   | ompliance?            |          |       | _  |  |
|  |                       | Yes      |       | _  |  |
|  |                       | No       |       | _  |  |
|  |                       | N/A      | X     |    |  |
| a. Explain why:  |                       |          |       |    |  |
|  |                       |          |       |    |  |
| 4. Is there an acquisition plan which has                                  | been approved in      |          |       |    |  |
| accordance with agency requirements?                                       |                       |          | YesX  | No |  |
| a. If "yes," what is the date?   |                       |          | _2003 |    |  |
| b. If "no," will an acquisition pl   | an be developed?      |          |       |    |  |
| 1. If "no," briefly explanation  |                       |          |       |    |  |
| 1. II no, oneny expire   |                       |          |       |    |  |

### **Section D: Performance Information (All Capital Assets)**

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and

external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

|                | Performance Information Table 1:   |   |  |   |   |  |  |  |  |
|----------------|--|---|--|---|---|--|--|--|--|
| Fiscal<br>Year | Strategic<br>Goal(s)<br>Supported  | Performance Measure   | Actual/baseline<br>(from Previous<br>Year)   | Planned<br>performance<br>Metric (Target)   | Performance<br>Metric Results<br>(Actual) |  |  |  |  |
| 2011           | Goal 4.1: The Court will provide personnel and court participants with a safe, secure, functional environment. | This project will provide secure, underground parking for judges and court staff and replace surface parking with green space to return Judiciary Square to a park-like setting for public enjoyment. | The D.C. Courts currently have parking adjacent to Building A, on the west side of the building, and adjacent to Building B, on the east side of the building. | Replace the<br>unprotected surface<br>parking lots with<br>secure underground<br>parking. | NA  |  |  |  |  |

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at <a href="https://www.egov.gov">www.egov.gov</a>.

|                | Performance Information Table 2: |                         |                          |          |   |                   |  |  |  |
|----------------|----------------------------------|-------------------------|--------------------------|----------|---|-------------------|--|--|--|
| Fiscal<br>Year | Measurement<br>Area              | Measurement<br>Grouping | Measurement<br>Indicator | Baseline | Planned<br>Improvement<br>to the Baseline | Actual<br>Results |  |  |  |
| 2006 -<br>2008 | Mission &<br>Business Results    | NA                      | NA                       | NA       | NA  | NA                |  |  |  |
| 2006 -<br>2008 | Customer Results                 | NA                      | NA                       | NA       | NA  | NA                |  |  |  |
| 2006 -<br>2008 | Processes &<br>Activities        | NA                      | NA                       | NA       | NA  | NA                |  |  |  |
| 2006 -<br>2008 | Technology                       | NA                      | NA                       | NA       | NA  | NA                |  |  |  |

Section E: Security and Privacy (IT Capital Assets only) NA – Not Applicable

Section F: Enterprise Architecture (EA) (IT Capital Assets only) NA - Not Applicable

## Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments identified as "Planning" or "Full Acquisition", or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

### **Section A: Alternatives Analysis (All Capital Assets)**

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

| 1. | Did you conduct an alternatives analysis for this                         | Yes <u>X</u> No                   |
|----|---|-----------------------------------|
|    | project?  | Judiciary Square Master Plan 2005 |
|    | d. If "yes," provide the date the analysis was                            |                                   |
|    | completed?  |                                   |
|    | e. If "no," what is the anticipated date this analysis will be completed? |                                   |
|    | f. If no analysis is planned, please briefly explain why:                 |                                   |

| 2. Alternatives Analysis Results: Use the results of your alternatives analysis to complete the following table: |  |  |   |  |  |  |  |
|--|--|--|---|--|--|--|--|
| Description of Alternative   |  | Risk Adjusted Lifecycle<br>Costs estimate  | Risk Adjusted Lifecycle<br>Benefits estimate  |  |  |  |  |
| Alternative 1  | Construct new underground East Garage    | Most cost effective – provides secure parking and lowers operating costs.  | Will both benefit the Courts by providing secure parking and benefit the public by restoring green landscape to Judiciary square. |  |  |  |  |
| Alternative 2  | Continue use of existing surface parking | Unfeasible – does not meet the requirements of the approved Master Plan and would result in higher operating costs and greater risk to judges and staff. | No benefits.  |  |  |  |  |

3. Which alternative was selected by the Agency's

Executive/Investment Committee and why was it chosen?

Alternative 1- cost effective & beneficial to the Courts & the City

4. What specific qualitative benefits will be realized?

Will provide secure parking and restore landscaped open space to Judiciary Square.

### **Section B: Risk Management (All Capital Assets)**

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate

| or manage risk, and be actively managing risk throughout the investment's   | life-cycle.               |                          |
|---|---------------------------|--------------------------|
| <ol> <li>Does the investment have a Risk Management Plan?</li> <li>a. If "yes," what is the date of the plan?</li> </ol>  | Yes <u>X</u><br>Capital F | No<br>Projects Plan 2006 |
| <ul><li>b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?</li><li>c. If "yes," describe any significant changes:</li></ul>  |                           | NoX                      |
| <ul><li>2. If there currently is no plan, will a plan be developed?</li><li>c. If "yes," what is the planned completion date?</li><li>d. If "no," what is the strategy for managing the risks?</li></ul>  | Yes                       | No                       |
| 3. Briefly describe how investment risks are reflected in the life cycl schedule:   | e cost estimat            | e and investment         |
| Section C: Cost and Schedule Performance (All Capital Assets)   |                           |                          |
| EVM is required only on DME portions of investments. For mixed lifecycle milestones should still be included in the table (Comparison of Initial Base Baseline). This table should accurately reflect the milestones in the initial being the current baseline. | line and Currer           | nt Approved              |
| 1. Does the earned value management system meet the criteria in ANSI/EIA Standard – 748? Yes No   | Yes <u>X</u>              | No                       |
| 2. Answer the following questions about current cumulative cost and schedule reported below should reflect current actual information. (Per OMB requirement Performance information should include both Government and Contractor                               | rements Cost/So           |                          |
| f. What is the Planned Value (PV)?  |                           |                          |
| <ul><li>g. What is the Earned Value (EV)?</li><li>h. What is the actual cost of work performed (AC)?</li></ul>  |                           |                          |
| i. What costs are included in the reported Cost/Schedule  |                           |                          |
| Performance information (Government Only/Contractor Only/Both)?   |                           |                          |
| j. "As of" date:  |                           |                          |
| 3. What is the calculated Schedule Performance Index (SPI= EV/PV)?  |                           |                          |
| 4. What is the schedule variance (SV = EV-PV)?  |                           |                          |
| 5. What is the calculated Cost Performance Index (CPI = EV/AC)?   |                           |                          |
| 6. What is the cost variance (CV = EV–AC)?  |                           |                          |

| 7. Is the CV% or SV% greater than $\pm$ 10%? (CV%= CV/EV x       |                  |                   |
|--|------------------|-------------------|
| $100; SV\% = SV/PV \times 100)$                                  | Yes              | No                |
| a. If "yes," was it the?   | CV               |                   |
| ·  | SV               |                   |
|  | Both             |                   |
| b. If "yes," explain the variance:                               |                  |                   |
| c. If "yes," what corrective actions are being taken?            |                  |                   |
| d. What is most current "Estimate at Completion"?                |                  |                   |
| 8. Have any significant changes been made to the baseline during |                  |                   |
| the past fiscal year?  | Yes              | No                |
| a. If "yes," when was it approved by OMB?                        |                  |                   |
| O Comparison of Initial Recaling and Current Approved Recaling   | · Complete the f | following table t |

**9.** Comparison of Initial Baseline and Current Approved Baseline: Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

|                             | Initial B                            | aseline                          | Current Baseline |  |  | Current<br>Baseline<br>Variance |  |                     |                     |
|-----------------------------|--------------------------------------|----------------------------------|------------------|--|--|---------------------------------|--|---------------------|---------------------|
| Description of<br>Milestone | Planned Completion Date (mm/dd/yyyy) | Total Cost<br>(\$M)<br>Estimated | (mm/c            | pletion<br>Pate<br>Id/yyyy)<br>ed/Actual |  | Cost (\$M)<br>d/ Actual         |  | ule/Cost<br>vs/\$M) | Percent<br>Complete |
| East Garage                 | FY 2013                              | \$ 38.69 M                       |                  |  |  |                                 |  |                     |                     |

## Part III: For "Operation and maintenance" Investments ONLY (Steady State)

Part III should be completed only for investments identified as "Operations and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

### **Section A: Risk Management (All Capital Assets)**

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

| 1. | Does the investment have a Risk Management Plan?  | Yes | No |
|----|---|-----|----|
|    | <ul><li>a. If "yes," what is the date of the plan?</li><li>b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?</li><li>c. If "yes," describe any significant changes:</li></ul> | Yes | No |
| 2. | If there currently is no plan, will a plan be developed?  a. If "yes," what is the planned completion date?   | Yes | No |
|    | b. If "no," what is the strategy for managing the risks?  |     |    |

# **Section B: Cost and Schedule Performance (All Capital Assets)**

| 11. Was operational analysis conducted?   | Yes             | No              |
|---|-----------------|-----------------|
| a. If "yes," provide the date the analysis was completed.   |                 |                 |
| b. If "yes," what were the results?   |                 |                 |
| c. If "no," please explain why it was not conducted and if  |                 |                 |
| there are any plans to conduct operational analysis in the  |                 |                 |
| future:   |                 |                 |
| 2. Complete the following table to compare actual cost performance a baseline. Milestones reported may include specific individual schedul corrective maintenance activities, or may be the total of planned annuel efforts). | ed preventative | and predictable |
| a. What costs are included in the reported Cost/Schedule  |                 |                 |
| Performance information (Government Only/Contractor   |                 |                 |
| Only/Both)?   |                 |                 |

| 2. b Comparison of Plan vs. Actual Performance Table: |                              |                     |  |          |                               |  |  |
|---|------------------------------|---------------------|--|----------|-------------------------------|--|--|
| Planned   |                              |                     | Act  | Variance |                               |  |  |
| Description of<br>Milestone                           | Completion Date (mm/dd/yyyy) | Total Cost<br>(\$M) | Completion Date (mm/dd/yyyy)  Total Cost (\$M) |          | Schedule/Cost (#<br>days/\$M) |  |  |
|   |                              |                     |  |          |                               |  |  |
|   |                              |                     |  |          |                               |  |  |
|   |                              |                     |  |          |                               |  |  |