

APPLICATION FOR FEDERAL ASSISTANCE

OMB Approval No. 0348-0043

		2. DATE SUBMITTED	Applicant Identifier
1. TYPE OF SUBMISSION: Application <input type="checkbox"/> Preapplication <input type="checkbox"/> <input checked="" type="checkbox"/> Construction <input type="checkbox"/> Construction <input type="checkbox"/> <input checked="" type="checkbox"/> Non-Construction <input type="checkbox"/> Non-Construction <input type="checkbox"/>		3. DATE RECEIVED BY STATE	State Application Identifier
		4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier
5. APPLICANT INFORMATION			
Legal Name: State of New Water Department of Health and Environment		Organizational Unit: NWDHE Drinking Water Section	
Address (give city, county, state, and zip code): Capitol City Capitol County New Water, 11111		Name and telephone number of person to be contacted on matters involving this application (give area code) Jane Jones, 111-111-1111	
6. EMPLOYER IDENTIFICATION NUMBER (EIN): 1 1 1 1 1 1 1 1		7. TYPE OF APPLICANT: (enter appropriate letter in box) A	
8. TYPE OF APPLICATION: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) <input type="checkbox"/> <input type="checkbox"/> A. Increase Award B. Decrease Award C. Increase Duration D. Decrease Duration Other (Specify): _____		A. State H. Independent School Dist. B. County I. State Controlled Institution of Higher Learning C. Municipal J. Private University D. Township K. Indian Tribe E. Interstate L. Individual F. Intermunicipal M. Profit Organization G. Special District N. Other (Specify) _____	
		9. NAME OF FEDERAL AGENCY: U.S. Environmental Protection Agency	
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: TITLE: Capitalization Grant for the Drinking Water State Revolving Fund 6 6 4 6 8		11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Federal Fiscal Year 1997 Federal Capitalization Grant for the New Water Drinking Water Revolving Loan Fund: Funding for the construction of public water system facilities / projects and set-aside activities as described in the attached Intended Use Plan	
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States etc.): State of New Water			
13. PROPOSED PROJECT		14. CONGRESSIONAL DISTRICTS OF:	
Start Date	Ending Date	a. Applicant Statewide	b. Project Statewide
15. ESTIMATED FUNDING:		16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?	
a. Federal	\$ 20,000,000	a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE _____	
b. Applicant	\$ 8,580,000	b. NO. <input type="checkbox"/> PROGRAM IS NOT COVERED BY E.O. 12372	
c. State	\$ 0	<input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW	
d. Local	\$ 0		
e. Other	\$ 0		
f. Program Income	\$ 0		
g. TOTAL	\$ 28,580,000	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> Yes If "Yes" attach an explanation <input checked="" type="checkbox"/> No	
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.			
a. Type Name of Authorized Representative Samuel Smith		b. Title Director of Administrative and Employee Services Administration	c. Telephone Number 111-111-2222
d. Signature of Authorized Representative			e. Date Signed

BUDGET INFORMATION - Non-Construction Programs

OMB Approval No. 0348-0044

SECTION A - BUDGET SUMMARY						
Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. DWRLF Projects	66.468	\$	\$	\$ 15,000,000	\$ 8,100,000	\$ 23,100,000
2. DWRLF Set-asides	66.468			5,000,000	480,000	5,480,000
3.						
4.						
5. TOTALS		\$	\$	\$ 20,000,000	\$ 8,580,000	\$ 28,580,000
SECTION B - BUDGET CATEGORIES						
6. OBJECT CLASS CATEGORIES	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)	
	(1) DWRLF Projects	(2) DWRLF Set-asides	(3)	(4)		
a. Personnel	\$	\$ 2,365,000	\$	\$	\$ 2,365,000	
b. Fringe Benefits		592,000			592,000	
c. Travel		27,000			27,000	
d. Equipment		134,000			134,000	
e. Supplies						
f. Contractual		1,792,000			1,792,000	
g. Construction						
h. Other	23,100,000	600,000			23,700,000	
i. Total Direct Charges (sum of 6a - 6h)	23,100,000	5,480,000			28,580,000	
j. Indirect Charges						
k. TOTALS (sum of 6i and 6j)	\$ 23,100,000	\$ 5,480,000	\$	\$	\$ 28,580,000	
7. Program Income	\$	\$	\$	\$	\$	

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SECTION C - NON-FEDERAL RESOURCES

(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS
8. DWRLF Projects	\$ 8,100,000	\$	\$	\$ 8,100,000
9 DWRLF Set-asides	480,000			480,000
10				
11				
12. TOTAL (sum of lines 8 and 11)	\$ 8,580,000	\$	\$	\$ 8,580,000

SECTION D - FORECASTED CASH NEEDS

13. Federal	(Total for 1st Year)	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
	\$ 4,680,256	\$ 780,929	\$ 1,086,635	\$ 1,290,308	\$ 1,522,385
14. NonFederal	965,612	145,650	216,184	268,650	335,127
15. TOTAL (sum of lines 13 and 14)	\$ 5,645,868	\$ 926,579	\$ 1,302,819	\$ 1,558,958	\$ 1,857,512

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT

(a) Grant Program	FUTURE FUNDING PERIODS (Years)			
	(b) First	(c) Second	(d) Third	(e) Fourth
16 DWRLF Projects	\$ 10,143,885	\$ 10,086,277	\$	\$
17 DWRLF Set-asides	1,804,000	700,000	200,000	
18				
19				
20. TOTALS (sum of lines 16 - 19)	\$ 11,947,885	\$ 10,786,277	\$ 200,000	\$

SECTION F - OTHER BUDGET INFORMATION

21. Direct Charges:	22. Indirect Charges:
<p>23. Remarks: Section C, 9(b) – The state program management set-aside requires a one to one state match beyond the 20 percent state match for federal capitalization grants. However, credit is given for the match and over-match funding provided by the state for the Public Water Supply Supervision (PWSS) grant in SFY 1993 and for the state over-match in the SFY 1999 PWSS funding. Using these match credits, the state satisfies \$720,000 of the required \$1,200,000 in match for this set-aside. The remaining \$480,000 is provided as an additional state contribution to the program.</p>	

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1. 2% Tech. Asst.		\$	\$	\$ 400,000	\$	\$ 400,000
2.						
3..						
4.						
5. TOTALS		\$	\$	\$ 400,000	\$	\$ 400,000
SECTION B - BUDGET CATEGORIES						
6. OBJECT CLASS CATEGORIES		GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
		(1) 2% Tech. Asst.	(2)	(3)	(4)	
a. Personnel		\$	\$	\$	\$	\$
b. Fringe Benefits						
c. Travel						
d. Equipment						
e. Supplies						
f. Contractual		400,000				400,000
g. Construction						
h. Other						
i. Total Direct Charges (sum of 6a - 6h)		400,000				400,000
j. Indirect Charges						
k. TOTALS (sum of 6i and 6j)		\$ 400,000	\$	\$	\$	\$ 400,000
7. Program Income		\$	\$	\$	\$	\$

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		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. 4% Admin		\$	\$	\$ 800,000	\$	\$ 800,000
2.						
3.						
4.						
5. TOTALS		\$	\$	\$ 800,000	\$	\$ 800,000
SECTION B - BUDGET CATEGORIES						
6. OBJECT CLASS CATEGORIES	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)	
	(1) 4% Admin	(2)	(3)	(4)		
a. Personnel	\$ 587,000	\$	\$	\$	\$ 587,000	
b. Fringe Benefits	147,000				147,000	
c. Travel						
d. Equipment	39,000				39,000	
e. Supplies						
f. Contractual	27,000				27,000	
g. Construction						
h. Other						
i. Total Direct Charges (sum of 6a - 6h)	800,000				800,000	
j. Indirect Charges						
k. TOTALS (sum of 6i and 6j)	\$ 800,000	\$	\$	\$	\$ 800,000	
7. Program Income		\$	\$	\$	\$	\$

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		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. SWP Prog. Admin.		\$	\$	\$ 339,286	\$ 135,714	\$ 475,000
2. PWSS				417,856	167,144	585,000
3. Cap. Dev.				221,429	88,571	147,000
4. Op. Cert.				221,429	88,571	215,000
5. TOTALS		\$	\$	\$ 1,200,000	\$ 480,000	\$ 1,680,000
SECTION B - BUDGET CATEGORIES						
6. OBJECT CLASS CATEGORIES	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)	
	(1) SWP Prog. Admin.	(2) PWSS	(3) Cap. Dev.	(4) Op. Cert.		
a. Personnel	\$ 376,000	\$ 208,000	\$ 246,000	\$ 164,000	\$ 994,000	
b. Fringe Benefits	95,000	52,000	61,000	41,000	249,000	
c. Travel	4,000		3,000		7,000	
d. Equipment		95,000				
e. Supplies						
f. Contractual		230,000		105,000	335,000	
g. Construction						
h. Other						
i. Total Direct Charges (sum of 6a - 6h)	475,000	585,000	310,000	310,000	1,680,000	
j. Indirect Charges						
k. TOTALS (sum of 6i and 6j)	\$ 475,000	\$ 585,000	\$ 310,000	\$ 310,000	\$ 1,680,000	
7. Program Income	\$	\$	\$	\$	\$	

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		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. SW Assessment		\$	\$	\$ 2,000,000	\$	\$ 2,000,000
2. SW Land Acq.				600,000		600,000
3.						
4.						
5. TOTALS		\$	\$	\$ 2,600,000	\$	\$ 2,600,000
SECTION B - BUDGET CATEGORIES						
6. OBJECT CLASS CATEGORIES		GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
		(1) SW Assessment	(2) SW Land Acq.	(3)	(4)	
a. Personnel		\$ 784,000	\$	\$	\$	\$ 784,000
b. Fringe Benefits		196,000				196,000
c. Travel		20,000				20,000
d. Equipment						
e. Supplies						
f. Contractual		1,000,000				1,000,000
g. Construction						
h. Other			600,000			600,000
i. Total Direct Charges (sum of 6a - 6h)		2,000,000				2,000,000
j. Indirect Charges						
k. TOTALS (sum of 6i and 6j)		\$ 2,000,000	\$ 600,000	\$	\$	\$ 2,600,000
7. Program Income		\$	\$	\$	\$	\$

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