Research Initiation Grants and Career Advancement Awards to Broaden Participation in Biology (RIG CAA BP)

Program Solicitation
NSF 07-560

Replaces Document(s):
NSF 05-581

National Science Foundation

Directorate for Biological Sciences
    Division of Environmental Biology
    Division of Molecular and Cellular Biosciences
    Division of Biological Infrastructure
    Division of Integrative Organismal Systems

Full Proposal Target Date(s):

January 14, 2008

Second Monday in January each year

REVISION NOTES

In furtherance of the President's Management Agenda, NSF has identified programs that will offer proposers the option to utilize Grants.gov to prepare and submit proposals, or will require that proposers utilize Grants.gov to prepare and submit proposals. Grants.gov provides a single Government-wide portal for finding and applying for Federal grants online.

In response to this program solicitation, proposers may opt to submit proposals via Grants.gov or via the NSF FastLane system.

Please Note: The target date for mid-July has been removed. There is one target date each year in January.

SUMMARY OF PROGRAM REQUIREMENTS

General Information

Program Title:

Research Initiation Grants and Career Advancement Awards to Broaden Participation in Biology (RIG CAA BP)

Synopsis of Program:

With the goal of broadening participation to all biologists including members from groups under-represented in biology, the Directorate for Biological Sciences (BIO) at NSF offers two funding opportunities under this solicitation:

1. Research Initiation Grants (RIG) and

2. Career Advancement Awards (CAA).

Currently, African Americans, Hispanics, Native Americans, Alaska Natives, and Native Hawaiians and other Pacific Islanders are under-represented in biology. These grants are intended to increase the diversity of researchers who apply for and receive BIO funding to

1. initiate research programs early in their careers and

2. advance their careers by adding new approaches or directions to their on-going research programs.

By providing these funding opportunities, BIO intends to further broaden participation of biological researchers who share NSF's commitment to diversity in the following ways:

- Expand the population of role models who will interact with an increasingly diverse student population, the workforce of the future
- Increase the number of scientists at minority serving institutions actively and competitively engaged in research as independent investigators, thereby creating new research opportunities for students from under-represented groups
- Fund biological research projects that use innovative ways to attract and retain members of under-represented groups to careers in biology

Awards are for 24 months and are limited to a maximum of $150,000 total costs (direct plus indirect) with up to an additional $25,000 for equipment. Principal Investigators must be U.S. citizens or lawfully admitted U. S. permanent residents at the time of application; visa-holders are not eligible.

Cognizant Program Officer(s):

- Carter Kimsey, RIG/CAA Coordinator, telephone: 703 292-8470, email: rig-caabp@nsf.gov

- Steven L. Klein, telephone: (703) 292-7122, email: rig-caabp@nsf.gov

- David A. Rockcliffe, telephone: 703 292-7123, email: rig-caabp@nsf.gov

Applicable Catalog of Federal Domestic Assistance (CFDA) Number(s):

- 47.074 --- Biological Sciences

Award Information

Anticipated Type of Award: Standard Grant

Estimated Number of Awards: 25 to 40 per year

Anticipated Funding Amount: $4,000,000 to $7,000,000 Estimated program budget and number of awards are subject to the availability of funds.

Eligibility Information

Organization Limit:

Proposals may only be submitted by the following:

- Universities and colleges: Universities and two- and four-year colleges (including community colleges) located and accredited in the U.S.

  Non-profit, non-academic organizations: Independent museums, observatories, research labs,

professional societies and similar organizations in the U.S. associated with educational or research activities.

Proposers affiliated with Minority-Serving Institutions MSIs, including Historically Black Colleges and Universities (HBCUs), Hispanic-serving institutions (HSIs), and Tribal Colleges and Universities (TCUs) are especially encouraged to apply.

PI Limit:

Co-investigators are not permitted, but collaborations with other scientists and/or visiting scientist status in other laboratories are permitted. Principal investigators must be U.S. citizens or permanent residents.

Limit on Number of Proposals per Organization:

None Specified

Limit on Number of Proposals per PI:

One per target date

Proposal Preparation and Submission Instructions

A. Proposal Preparation Instructions

- **Letters of Intent:** Not Applicable

- **Preliminary Proposal Submission:** Not Applicable

- **Full Proposals:**

    - Full Proposals submitted via FastLane: NSF Proposal and Award Policies and Procedures Guide, Part I: Grant Proposal Guide (GPG) Guidelines apply. The complete text of the GPG is available electronically on the NSF website at: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=gpg.

    - Full Proposals submitted via Grants.gov: NSF Grants.gov Application Guide: A Guide for the Preparation and Submission of NSF Applications via Grants.gov Guidelines apply (Note: The NSF Grants.gov Application Guide is available on the Grants.gov website and on the NSF website at: http://www.nsf.gov/bfa/dias/policy/docs/grantsgovguide.pdf)

B. Budgetary Information

- **Cost Sharing Requirements:** Cost Sharing is not required under this solicitation.

- **Indirect Cost (F&A) Limitations:** Not Applicable

- **Other Budgetary Limitations:** Other budgetary limitations apply. Please see the full text of this solicitation for further information.

C. Due Dates

- **Full Proposal Target Date(s):**

    January 14, 2008

    Second Monday in January each year

Proposal Review Information Criteria

Merit Review Criteria: National Science Board approved criteria. Additional merit review considerations apply. Please see the full text of this solicitation for further information.

Award Administration Information

Award Conditions: Standard NSF award conditions apply.

Reporting Requirements: Additional reporting requirements apply. Please see the full text of this solicitation for further information.

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I. INTRODUCTION

To address the need for more diversity in its programs, the Directorate for Biological Sciences (BIO) at NSF offers Research Initiation Grants and Career Advancement Awards to Broaden Participation in Biology (RIG CAA BP).

Data gathered by the U.S. Department of Labor s Bureau of Labor Statistics and the National Science Foundation s Division of Science Resource Statistics document the under-representation of some groups in the U.S. scientific workforce. African Americans, Hispanics, Native Americans, and American Indians as a group constituted 24 per cent of the U.S. civilian labor force in 2005, but only 11 per cent of the total science and engineering workforce and 9 per cent of the biological, agricultural, and life sciences workforce. The percentage of Alaskan Natives, Native Hawaiians and Pacific Islanders is even lower. Women are not under-represented in the fields of biology supported by BIO.

The intent of RIG and CAA awards is to promote the participation of scientists from all segments of the scientific community, including those from under-represented groups and scientists at minority serving institutions. Our goal is to increase the number of proposals to BIO from individuals who can serve as role models for the U.S. scientific workforce of the future. Another goal is to support innovative plans for recruiting and retaining a broad representation of researchers in programs supported by these grants.

II. PROGRAM DESCRIPTION

Two funding opportunities to broaden participation in biology are covered by this solicitation:

1. Research Initiation Grants (RIG) and

2. Career Advancement Awards (CAA).

RIG awards are for beginning investigators (see Additional Eligibility Information below) to undertake activities, such as acquisition of preliminary data or development of collaborations, that will lead to formulation of competitive grant applications.

CAA awards are intended to provide support for scientists other than beginning investigators to undertake specific activities that will enhance their career development and competitiveness for research funds, for example, by acquiring new tools or skills to address contemporary research questions in biology.

The purpose of each is to broaden the participation of and to increase opportunities for all scientists including those from groups under-represented in biology, in order to encourage individuals to become actively and competitively engaged in research as independent investigators.

A specific goal of this program is to make BIO programs more inclusive in the future. Thus, one measure of success of these programs will be the number of future proposals received by BIO from awardees of RIG or CAA grants and from members of under-represented groups influenced or mentored by RIG or CAA awardees.

Research proposed in this program must be within the purview of the Directorate for Biological Sciences, which does not include research with disease-related goals such as work on the molecular basis, etiology, diagnosis or treatment of physical or mental disease, abnormality, or malfunction in human beings or animals. Research using animal models of such conditions or the development or testing of drugs or other procedures for their treatment also are not eligible for support.

III. AWARD INFORMATION

BIO expects to invest from $4,000,000 to $7,000,000 beginning in FY 2008. The duration for these awards is 24 months. They are limited to a maximum of $150,000 in total (direct plus indirect) costs with a possible addition of up to $25,000 for equipment. Durations may be longer, if circumstances warrant and are justified. The number of awards are subject to the availability of funds.

IV. ELIGIBILITY INFORMATION

Organization Limit:

Proposals may only be submitted by the following:

- Universities and colleges: Universities and two- and four-year colleges (including community colleges) located and accredited in the U.S.

   Non-profit, non-academic organizations: Independent museums, observatories, research labs, professional societies and similar organizations in the U.S. associated with educational or research activities.

   Proposers affiliated with Minority-Serving Institutions MSIs, including Historically Black Colleges and Universities (HBCUs), Hispanic-serving institutions (HSIs), and Tribal Colleges and Universities (TCUs) are especially encouraged to apply.

PI Limit:

Co-investigators are not permitted, but collaborations with other scientists and/or visiting scientist status in other laboratories are permitted. Principal investigators must be U.S. citizens or permanent residents.

Limit on Number of Proposals per Organization:

None Specified

Limit on Number of Proposals per PI:

One per target date

Additional Eligibility Info:

You are eligible to apply under this program solicitation only if you

- are a citizen, national or legally admitted permanent resident of the United States AND
- hold a doctoral degree or have equivalent experience in NSF-supported fields AND
- present a plan that shows how the proposed activities will increase (1) the participation of scientists from under-represented groups and (2) the numbers of such individuals that serve as role models for the scientific workforce of the future AND
- you meet the specific eligibility criteria for RIG or CAA listed below.

For RIG, you are eligible if you

- are a new investigator, *i.e.*, in your first academic appointment as a faculty member or research-related position other than a postdoctoral appointment in a U.S. college or university eligible to receive NSF support AND
- have not previously served as Principal Investigator or co-Principal Investigator on an independent federal research grant. Previous federal support through a doctoral dissertation improvement award, as a Fellow (postdoctoral or graduate), or through a fellowship research starter grant is not disqualifying.

For CAA, you are eligible if you

- hold a faculty or research-related position in a U.S. college or university eligible to receive NSF support AND
- are an established investigator (by virtue of previous grant support, receipt of tenure, experienced in directing an independent research program, etc.) whether or not you've received independent federal support for your research.

V. PROPOSAL PREPARATION AND SUBMISSION INSTRUCTIONS

A. Proposal Preparation Instructions

Full Proposal Preparation Instructions: Proposers may opt to submit proposals in response to this Program Solicitation via Grants.gov or via the NSF FastLane system.

- Full proposals submitted via FastLane: Proposals submitted in response to this program solicitation should be prepared and submitted in accordance with the general guidelines contained in the NSF Grant Proposal Guide (GPG). The complete text of the GPG is available electronically on the NSF website at: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=gpg. Paper copies of the GPG may be obtained from the NSF Publications Clearinghouse, telephone (703) 292-7827 or by e-mail from pubs@nsf.gov. Proposers are reminded to identify this program solicitation number in the program solicitation block on the NSF Cover Sheet For Proposal to the National Science Foundation. Compliance with this requirement is critical to determining the relevant proposal processing guidelines. Failure to submit this information may delay processing.

- Full proposals submitted via Grants.gov: Proposals submitted in response to this program solicitation via Grants.gov should be prepared and submitted in accordance with the NSF Grants.gov Application Guide: A Guide for the Preparation and Submission of NSF Applications via Grants.gov. The complete text of the NSF Grants.gov Application Guide is available on the Grants.gov website and on the NSF website at: (http://www.nsf.gov/bfa/dias/

policy/docs/grantsgovguide.pdf). To obtain copies of the Application Guide and Application Forms Package, click on the Apply tab on the Grants.gov site, then click on the Apply Step 1: Download a Grant Application Package and Application Instructions link and enter the funding opportunity number, (the program solicitation number without the NSF prefix) and press the Download Package button. Paper copies of the Grants.gov Application Guide also may be obtained from the NSF Publications Clearinghouse, telephone (703) 292-7827 or by e-mail from pubs@nsf.gov.

Because of the special nature of RIG and CAA proposals, applicants are strongly encouraged to read this program solicitation in its entirety before sending inquiries to rig-caabp@nsf.gov.

In addition to following the general format contained in the GPG or NSF Grants.gov Application Guide, proposals submitted in response to this program solicitation must also adhere to the following special instructions.

For Research Initiation Grants for Broadening Participation (RIG):

The title on the cover page must identify the proposal as a RIG. "**RIG:**" should precede the title of your research project in the title section of the NSF cover page.

Co-investigators are not permitted on RIG, but collaborations with and/or visiting scientist status in other laboratories are permitted.

Tenure or tenure-track status is not an eligibility factor, but the proposer must be the Principal Investigator. The submitting institution must provide a letter from the department chair or dean pledging its support for the proposed activities. If the proposer is not in a tenure-track appointment, the institution must state its contractual agreement with the proposer if the appointment period and requested grant award dates are not congruent. If the institution requires that a tenured or tenure-track faculty member serve as the responsible person for grant administration at the departmental level, and the proposer is not in that status, another individual may serve as the faculty sponsor. In that case, on the cover page in the "Names" block on the first "Co-PI/PD" line, type "Other Endorsement" above the name of the faculty sponsor.

Project Description. This narrative description, not to exceed 15 pages (including tables, figures, and other visual supplements), is the principal part of the proposal. It is a detailed statement of the work to be undertaken and will be the basis for evaluation of the intellectual merit and broader impact of the proposal. It should contain:

- A brief description of the proposer's overall research goals.
- A detailed description of the proposed activities, including any preliminary data already available or a description of data that the proposer plans to obtain.
- The relationship of the proposed activities to the proposer's projected longer term research goals.
- A discussion of how those activities will facilitate development of a subsequent research proposal.
- A specific explanation of how the award would broaden the participation of individuals from under-represented groups in the areas of the biological sciences supported by BIO.

Budget (see below for limitations, and consult GPG or NSF Grants.gov Application Guide for instructions on budget preparation). All proposers should include funds in the budget for travel to the Washington, DC area for a one-day meeting of awardees.

- Budget Justification (not to exceed 3 pages). A budget justification should be attached, explaining each line item for which funds are requested. Major cost items or unusual situations should be explained.

Special Information and Supplementary Documentation: Except as indicated below, all information necessary for the review of an RIG proposal must be contained in the 15-page project description and the accompanying bibliography, biographical sketch, and budget justification. For RIG proposals, the following are specific exceptions and are required:

- A letter from the institution (a department chair or dean) must be supplied that addresses the availability of facilities and support for the research. It should be scanned in and submitted in the "Supplementary Documents" section.
- Letters describing collaborative arrangements and commitments, if any, should also be scanned and submitted in the "Supplementary Documents" section.

For Career Advancement Award for Broadening Participation (CAA):

The title on the cover page must identify the proposal as a CAA. "**CAA:**" should precede the title of your research project in the title section of the NSF cover page.

Co-investigators are not permitted on CAAs, but collaborations with scientists in other laboratories are permitted. Also permitted is visiting scientist status in another laboratory or facility.

Project Description. This narrative description, not to exceed 15 pages (including tables, figures, and other visual supplements), is the principal part of the proposal. It is a detailed description of the activities to be undertaken and is the basis for evaluating the intellectual merit and broader impact of the proposal. It should contain:

- A brief statement of the proposer's research interests and goals.
- Description of the proposed research, studies, and/or other activities.
- A discussion of how the proposed activities will advance the research career of the proposer, for example, through a change in research direction or by becoming proficient in new techniques for application to his/her research.
- An explanation of how the award will broaden the participation of individuals from under-represented groups in the areas of the biological sciences supported by BIO.
- If applicable, a statement of results from prior NSF support. (See GPG or NSF Grants.gov Application Guide for information required)

Budget. (See below for limitations, and consult GPG or NSF Grants.gov Application Guide for instructions on budget preparation.) All proposers should include funds in the budget for travel to the Washington, DC area for a one-day meeting of awardees.

- Budget Justification (not to exceed 3 pages). A budget justification should be attached, explaining each line item for which funds are requested. Major cost items or unusual situations and inclusions should be explained.

Special Information and Supplementary Documentation: Except as indicated below and in the GPG or NSF Grants.gov Application Guide, all information necessary for the review of a CAA should be contained in the 15-page project description and the accompanying bibliography, biographical sketch, and budget justification. For CAA proposals, the following are specific exceptions and are required:

- A letter of support for the proposer's activities by the institution (department chair or dean) must be supplied. It should be scanned in and submitted in the "Supplementary Documents" section.
- Letters describing collaborative arrangements and commitments, if applicable, should also be scanned and submitted in the "Supplementary Documents" section.

B. Budgetary Information

Cost Sharing: Cost sharing is not required under this solicitation.

Other Budgetary Limitations:

Project Budget: RIG and CAA awards are limited to a maximum of $150,000 in total (direct and indirect) costs for a period of 24 months with a possible addition of up to $25,000 for equipment, if required.

Budget Justification (not to exceed 3 pages). A budget justification should be attached, explaining each line item for which funds are requested. Major cost items or unusual situations and inclusions should be explained.

Appendices: Appendices are not allowed.

C. Due Dates

- **Full Proposal Target Date(s):**

    January 14, 2008

        Second Monday in January each year

If your proposal is submitted before or on the target date, it will be reviewed at the next panel meeting of the assigned program. If your proposal is received after the target date, it will be reviewed by the next panel only if there is sufficient time to include it in that panel and if the panel's proposal load permits.

D. FastLane/Grants.gov Requirements

- **For Proposals Submitted Via FastLane:**

Detailed technical instructions regarding the technical aspects of preparation and submission via FastLane are available at: https://www.fastlane.nsf.gov/a1/newstan.htm. For FastLane user support, call the FastLane Help Desk at 1-800-673-6188 or e-mail fastlane@nsf.gov. The FastLane Help Desk answers general technical questions related to the use of the FastLane system. Specific questions related to this program solicitation should be referred to the NSF program staff contact(s) listed in Section VIII of this funding opportunity.

Submission of Electronically Signed Cover Sheets. The Authorized Organizational Representative (AOR) must electronically sign the proposal Cover Sheet to submit the required proposal certifications (see Chapter II, Section C of the Grant Proposal Guide for a listing of the certifications). The AOR must provide the required electronic certifications within five working days following the electronic submission of the proposal. Further instructions regarding this process are available on the FastLane Website at: https://www.fastlane.nsf.gov/fastlane.jsp.

- **For Proposals Submitted Via Grants.gov:**

Before using Grants.gov for the first time, each organization must register to create an institutional profile. Once registered, the applicant's organization can then apply for any federal grant on the Grants.gov website. The Grants. gov's Grant Community User Guide is a comprehensive reference document that provides technical information about Grants.gov. Proposers can download the User Guide as a Microsoft Word document or as a PDF document. The Grants.gov User Guide is available at: http://www.grants.gov/CustomerSupport. In addition, the NSF Grants.gov Application Guide provides additional technical guidance regarding preparation of proposals via Grants.gov. For Grants.gov user support, contact the Grants.gov Contact Center at 1-800-518-4726 or by email: support@grants. gov. The Grants.gov Contact Center answers general technical questions related to the use of Grants.gov. Specific questions related to this program solicitation should be referred to the NSF program staff contact(s) listed in Section VIII of this solicitation.

Submitting the Proposal: Once all documents have been completed, the Authorized Organizational Representative (AOR) must submit the application to Grants.gov and verify the desired funding opportunity and agency to which the application is submitted. The AOR must then sign and submit the application to Grants.gov. The completed application will be transferred to the NSF FastLane system for further processing.

VI. NSF PROPOSAL PROCESSING AND REVIEW PROCEDURES

Proposals received by NSF are assigned to the appropriate NSF program where they will be reviewed if they meet NSF proposal preparation requirements. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF who are experts in the particular fields represented by the proposal. These reviewers are selected by Program Officers charged with the oversight of the review process. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officer's discretion. Submission of such names, however, is optional. Care is taken to ensure that reviewers have no conflicts of interest with the proposal.

A. NSF Merit Review Criteria

All NSF proposals are evaluated through use of the two National Science Board (NSB)-approved merit review criteria: intellectual merit and the broader impacts of the proposed effort. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities.

The two NSB-approved merit review criteria are listed below. The criteria include considerations that help define them. These considerations are suggestions and not all will apply to any given proposal. While proposers must address both merit review criteria, reviewers will be asked to address only those considerations that are relevant to the proposal being considered and for which the reviewer is qualified to make judgements.

What is the intellectual merit of the proposed activity?
How important is the proposed activity to advancing knowledge and understanding within its own field or across different fields? How well qualified is the proposer (individual or team) to conduct the project? (If appropriate, the reviewer will comment on the quality of the prior work.) To what extent does the proposed activity suggest and explore creative, original, or potentially transformative concepts? How well conceived and organized is the proposed activity? Is there sufficient access to resources?

What are the broader impacts of the proposed activity?
How well does the activity advance discovery and understanding while promoting teaching, training, and learning? How well does the proposed activity broaden the participation of underrepresented groups (e.g.,

gender, ethnicity, disability, geographic, etc.)? To what extent will it enhance the infrastructure for research and education, such as facilities, instrumentation, networks, and partnerships? Will the results be disseminated broadly to enhance scientific and technological understanding? What may be the benefits of the proposed activity to society?

Examples illustrating activities likely to demonstrate broader impacts are available electronically on the NSF website at: http://www.nsf.gov/pubs/gpg/broaderimpacts.pdf.

NSF staff also will give careful consideration to the following in making funding decisions:

Integration of Research and Education
One of the principal strategies in support of NSF's goals is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions provide abundant opportunities where individuals may concurrently assume responsibilities as researchers, educators, and students and where all can engage in joint efforts that infuse education with the excitement of discovery and enrich research through the diversity of learning perspectives.

Integrating Diversity into NSF Programs, Projects, and Activities
Broadening opportunities and enabling the participation of all citizens -- women and men, underrepresented minorities, and persons with disabilities -- is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports.

Additional Review Criteria:

For RIG and CAA, of paramount importance are both the scientific merit of the proposed research and the extent to which the proposed activities will broaden participation of individuals from under-represented groups in the areas of the biological sciences supported by BIO.

Also, for RIG, the proposal will be judged on the potential of the research initiation activities to produce sufficient preliminary data to serve as the basis for a competitive research proposal to BIO.

And, for CAA, an important review criterion is the degree to which the career advancement activities are specified in the proposal and their potential to advance the career of the PI in a foreseeable way beyond the obvious benefit of Federal support to the investigator.

B. Review and Selection Process

Proposals submitted in response to this program solicitation will be reviewed by Ad hoc Review and/or Panel Review.

Reviewers will be asked to formulate a recommendation to either support or decline each proposal. The Program Officer assigned to manage the proposal's review will consider the advice of reviewers and will formulate a recommendation.

After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF is striving to be able to tell applicants whether their proposals have been declined or recommended for funding within six months. The time interval begins on the deadline or target date, or receipt date, whichever is later. The interval ends when the Division Director accepts the Program Officer's recommendation.

A summary rating and accompanying narrative will be completed and submitted by each reviewer. In all cases, reviews are treated as confidential documents. Verbatim copies of reviews, excluding the names of the reviewers, are sent to the Principal Investigator/Project Director by the Program Officer. In addition, the proposer will receive an explanation of the decision to award or decline funding.

In all cases, after programmatic approval has been obtained, the proposals recommended for funding will be forwarded to the Division of Grants and Agreements for review of business, financial, and policy implications and the processing and issuance of a grant or other agreement. Proposers are cautioned that only a Grants and Agreements Officer may make commitments, obligations or awards on behalf of NSF or authorize the expenditure of funds. No commitment on the part of NSF should be inferred from technical or budgetary discussions with a NSF Program Officer. A Principal Investigator or organization that makes financial or personnel commitments in the absence of a grant or cooperative agreement signed by the NSF Grants and Agreements Officer does so at their own risk.

VII. AWARD ADMINISTRATION INFORMATION

A. Notification of the Award

Notification of the award is made to *the submitting organization* by a Grants Officer in the Division of Grants and Agreements. Organizations whose proposals are declined will be advised as promptly as possible by the cognizant NSF Program administering the program. Verbatim copies of reviews, not including the identity of the reviewer, will be provided automatically to the Principal Investigator. (See Section VI.B. for additional information on the review process.)

B. Award Conditions

An NSF award consists of: (1) the award letter, which includes any special provisions applicable to the award and any numbered amendments thereto; (2) the budget, which indicates the amounts, by categories of expense, on which NSF has based its support (or otherwise communicates any specific approvals or disapprovals of proposed expenditures); (3) the proposal referenced in the award letter; (4) the applicable award conditions, such as Grant General Conditions (GC-1); * or Research Terms and Conditions * and (5) any announcement or other NSF issuance that may be incorporated by reference in the award letter. Cooperative agreements also are administered in accordance with NSF Cooperative Agreement Financial and Administrative Terms and Conditions (CA-FATC) and the applicable Programmatic Terms and Conditions. NSF awards are electronically signed by an NSF Grants and Agreements Officer and transmitted electronically to the organization via e-mail.

*These documents may be accessed electronically on NSF's Website at http://www.nsf.gov/awards/managing/ award_conditions.jsp?org=NSF. Paper copies may be obtained from the NSF Publications Clearinghouse, telephone (703) 292-7827 or by e-mail from pubs@nsf.gov.

More comprehensive information on NSF Award Conditions and other important information on the administration of NSF awards is contained in the NSF *Award & Administration Guide* (AAG) Chapter II, available electronically on the NSF Website at http://www.nsf.gov/publications/pub_summ.jsp?ods_key=aag.

C. Reporting Requirements

For all multi-year grants (including both standard and continuing grants), the Principal Investigator must submit an annual project report to the cognizant Program Officer at least 90 days before the end of the current budget period. (Some programs or awards require more frequent project reports). Within 90 days after expiration of a grant, the PI also is required to submit a final project report.

Failure to provide the required annual or final project reports will delay NSF review and processing of any future funding increments as well as any pending proposals for that PI. PIs should examine the formats of the required reports in advance to assure availability of required data.

PIs are required to use NSF's electronic project-reporting system, available through FastLane, for preparation and submission of annual and final project reports. Such reports provide information on activities and findings, project participants (individual and organizational) publications; and, other specific products and contributions. PIs will not be required to re-enter information previously provided, either with a proposal or in earlier updates using the electronic system. Submission of the report via FastLane constitutes certification by the PI that the contents of the report are accurate and complete.

The proposer must keep in mind that a final report must be substantive. It must be accepted by the Program Officer responsible for the program through which initial funding was granted. Failure to submit a report will prevent the PI from receiving future funding from NSF until a report is received.

RIG - Reporting Requirements: Standard NSF reporting requirements apply. Two additional statements are required:

1. An explanation of how the award contributed to broadening participation in the scientific workforce, particularly in the areas of the biological sciences supported by BIO.
2. A description of how the results of the award will contribute to the submission of a future NSF research proposal.

CAA - Reporting Requirements: Standard NSF reporting requirements apply. Two additional statements are required:

1. An explanation of how the award contributed to broadening participation in the scientific workforce, particularly in the areas of the biological sciences supported by BIO.

2. A description of how the award contributed or will contribute to the career advancement of the PI and to the submission of a future NSF research proposal.

VIII. AGENCY CONTACTS

General inquiries regarding this program should be made to:

- Carter Kimsey, RIG/CAA Coordinator, telephone: 703 292-8470, email: rig-caabp@nsf.gov

- Steven L. Klein, telephone: (703) 292-7122, email: rig-caabp@nsf.gov

- David A. Rockcliffe, telephone: 703 292-7123, email: rig-caabp@nsf.gov

For questions related to the use of FastLane, contact:

- FastLane Help Desk, telephone: 1-800-673-6188; e-mail: fastlane@nsf.gov.

For questions relating to Grants.gov contact:

- Grants.gov Contact Center: If the Authorized Organizational Representatives (AOR) has not received a confirmation message from Grants.gov within 48 hours of submission of application, please contact via telephone: 1-800-518-4726; e-mail: support@grants.gov.

IX. OTHER INFORMATION

The NSF Website provides the most comprehensive source of information on NSF Directorates (including contact information), programs and funding opportunities. Use of this Website by potential proposers is strongly encouraged. In addition, MyNSF (formerly the Custom News Service) is an information-delivery system designed to keep potential proposers and other interested parties apprised of new NSF funding opportunities and publications, important changes in proposal and award policies and procedures, and upcoming NSF Regional Grants Conferences. Subscribers are informed through e-mail or the user's Web browser each time new publications are issued that match their identified interests. MyNSF also is available on NSF's Website at http://www.nsf.gov/mynsf/.

Grants.gov provides an additional electronic capability to search for Federal government-wide grant opportunities. NSF funding opportunities may be accessed via this new mechanism. Further information on Grants.gov may be obtained at http://www.grants.gov.

ABOUT THE NATIONAL SCIENCE FOUNDATION

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NSF funds research and education in most fields of science and engineering. It does this through grants and cooperative agreements to more than 2,000 colleges, universities, K-12 school systems, businesses, informal science organizations and other research organizations throughout the US. The Foundation accounts for about one-fourth of Federal support to academic institutions for basic research.

NSF receives approximately 40,000 proposals each year for research, education and training projects, of which approximately 11,000 are funded. In addition, the Foundation receives several thousand applications for graduate and postdoctoral fellowships. The agency operates no laboratories itself but does support National Research Centers, user facilities, certain oceanographic vessels and Antarctic research stations. The Foundation also supports cooperative research between universities and industry, US participation in international scientific and engineering efforts, and educational

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