

E2B(R) CLINICAL SAFETY DATA MANAGEMENT:

DATA ELEMENTS FOR TRANSMISSION OF INDIVIDUAL CASE SAFETY REPORTS

Revision 2

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For questions regarding this draft document contact (CDER) Roger Goetsch 301-770-9299, or (CBER) Lise Stevens-Hawkins 301-827-6085.

ICH HARMONISED TRIPARTITE GUIDELINE

**REVISION OF THE ICH GUIDELINE ON
CLINICAL SAFETY DATA MANAGEMENT:**

**DATA ELEMENTS FOR TRANSMISSION OF
INDIVIDUAL CASE SAFETY REPORTS**

E2B(R)

**Version 2.0
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This Guideline has been developed by the appropriate ICH Expert Working Group E2B(R) and is subject to consultation by the regulatory parties, in accordance with the ICH Process

E2B (R)
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44 **REVISION OF THE ICH GUIDELINE ON**
45 **CLINICAL SAFETY DATA MANAGEMENT :**
46
47 **DATA ELEMENTS FOR TRANSMISSION OF**
48 **INDIVIDUAL CASE SAFETY REPORTS**
49

50 **PREAMBLE**

51 This guideline provides additional information and clarification as well as some modifications to the ICH
52 E2B guideline signed off on July 17, 1997 and modified as E2B(M) guideline in November 2000. It
53 incorporates adjustments based on the experience gained after the implementation of the guideline in the
54 three regions. It is recommended that the reader reviews this document as well as the companion
55 document M2 ICSR Message Specification.
56

57 **1. INTRODUCTION**
58

59 **1.1 Scope of this guideline**

60 The objectives of the working group are to standardize the data elements for transmission of individual
61 case safety reports by identifying and where necessary or advisable within a particular region, by defining
62 the data elements for the transmission of all types of individual case safety reports, regardless of source
63 and destination. This guideline includes data elements of case safety reports for both pre and post approval
64 periods and covers both adverse drug reaction and adverse event reports. It is not intended that this format
65 should be used for cases in the integrated safety summary of a marketing license application dossier. For
66 adverse reactions encountered in clinical trials, this format should be used only for those subject to
67 expedited reporting. The scope of this topic does not encompass the definition of database structures, the
68 design of a paper report form, quality control/quality assurance aspects, or technical security issues.
69

70 **1.2 Background**

71 Because of national and international agreements, rules, and regulations, individual case safety reports of
72 adverse drug reactions and adverse events should be transmitted
73 – from identified reporting sources to regulatory authorities and pharmaceutical companies;
74 – between regulatory authorities;
75 – between pharmaceutical companies and regulatory authorities;
76 – within authorities or pharmaceutical companies;
77 – from clinical investigators, via the sponsor, to ethics committees;
78 – from authorities to the World Health Organization (WHO) Collaborating Center for International Drug
79 Monitoring.
80

81 The transmission of such individual case safety reports relies on paper-based formats (e.g., yellow cards,
82 CIOMS I forms, MedWatch) or electronic media usually by on-line access, tape or file transfer.
83 Considering the large number of potential participants in a world-wide exchange of information, there
84 should be an electronic format capable of accommodating direct database to database transmission using
85 message transfers. Successful electronic transmission of information relies on the definition of common
86 data elements, provided in this document, and standard transmission procedures to be determined by the
87 ICH Electronic Standards for the Transfer of Regulatory Information (ESTRI) Expert Working Group
88 (M2).
89

90 **1.3 Notes on format of this document**

91 Section 2 and its subsections designated A and B contain notes that are directed toward clarifying the
92 nature of the data that should be provided. In addition, there are notes to assist in defining the format that
93 should be used to transmit the data. In order to distinguish between these notes, the format is presented in

94 standard type of a slightly smaller font.

95

96 If a data element has a limited set of choices, the options are presented in ***bold italic type***.

97 The standard allows for this information to be transmitted in encoded format.

98

99 **1.4 Definition of data elements**

100 The format for individual case safety reports includes provisions for transmitting all the relevant data
101 elements useful to assess an individual adverse drug reaction or adverse event report. The data elements
102 are sufficiently comprehensive to cover complex reports from most sources, different data sets, and
103 transmission situations or requirements; therefore, information for each and every data element will not be
104 available for every transmission. In many, if not most instances, a substantial number of the data elements
105 will not be known and therefore not included in the transmission. Where it was deemed important,
106 provisions for unknown/not applicable were included (e.g., outcome, route of administration). However,
107 since the transmission is intended to be electronic, it was thought to be unnecessary to include provisions
108 to assign values of unknown for all data elements. Different ways of including the same data have been
109 provided to cope with differing information contents: e.g., age information can be sent as date of birth and
110 date of reaction/event, age at the time of reaction/event, or patient age group according to the available
111 information (see section B.1.2 and the respective user guidance). In this example, age should be provided
112 by the most precise available data element rather than including multiple elements of redundant data.

113 Structured data are strongly recommended in electronic transmission and provisions for including
114 information in this way have been made. However, structuring of the data also implies the use of
115 controlled vocabularies, which are not yet available for some data elements. Electronic transmission of
116 individual case safety reports should be implemented with MedDRA and the ICH M5 data elements and
117 standards where applicable. The version number of MedDRA for the ICSR should be provided in the new
118 field A.1.0.2 and as indicated in the companion document. MedDRA terms and ICH M5 related
119 standards should be provided as codes.

120

121 In certain instances, there are provisions for the transmission of some free text items, including a full text
122 case summary narrative. The transmission of other unstructured data, such as full clinical records or
123 images is outside the scope of this guideline. However technical recommendations are made in the
124 companion document.

125

126 **1.5 Minimum information**

127 The minimum information for the transmission of a report should include at least one identifiable patient
128 (section B.1), one identifiable reporter (section A.2), one reaction/event (section B.2), and one suspect
129 drug with exceptions as described in user guidance of the section B.4. Because it is often difficult to
130 obtain all the information, any one of several data elements is considered sufficient to define an
131 identifiable patient (e.g., initials, age, sex) or an identifiable reporter (e.g., initials, address, qualification).
132 It is also recognized that the patient and the reporter can be the same individual and still fulfill the
133 minimum reporting criteria. Due to data privacy legislation in some countries the patient's initials cannot
134 be exchanged between countries. However, field B.1.1 may still be populated and user guidance for this
135 field is provided.

136

137 In addition, to properly process the report, the following administrative information should be provided:
138 the sender's (case) safety report unique identifier (A.1.0.1), the date of the most recent information
139 (A.1.7), the worldwide unique case identification number (A.1.10), the sender identifier (A.3.1.2), whether
140 this case fulfills the local criteria for an expedited report (A.1.9), the type of report (A.1.4) and in the case
141 of a "Report from study" the study type in which the reaction(s)/event(s) were observed (A.2.3.3).

142

143 **1.6 General Principles**

144 The complete information available for a case should be provided in each ICSR. This applies to all types
145 of ICSRs, i.e., reports with initial information on the case, follow-up information, and cases highlighted
146 for nullification. The information available should be reported in a fully structured format using the
147 relevant E2B(R) data elements and the applicable standard terminology. Text fields are intended only for
148 additional information, which could not be provided in structured format using a reference standard
149 terminology. However, a case narrative, i.e., a description of the case, should be provided (section B.5).
150 For international transmissions, English is the generally accepted language.

151
152 **2. GUIDELINE: CONTENT OF THE DATA ELEMENTS**
153 The message content contains header information followed by E2B Data Elements. See the M2 ICSR
154 Message Specification for information about the header.

155
156 The data elements are divided into sections pertaining to:

157 A: Administrative and Identification Information

158 A.1 - Identification of the case safety report

159 A.2 - Primary source(s) of information

160 A.3 - Information on sender and receiver of case safety report

161 B: Information on the Case:

162 B.1 - Patient characteristics

163 B.2 - Reaction(s)/event(s)

164 B.3 - Results of tests and procedures relevant to the investigation of the patient

165 B.4 - Drug(s) information

166 B.5 - Narrative case summary and further information

167

168

169 **A. ADMINISTRATIVE AND IDENTIFICATION INFORMATION**

170

171 **A.1 Identification of the case safety report**

172

173 **A.1.0.1 Sender's (case) safety report unique identifier**

174 User Guidance:

175

176 This identifier should remain constant in subsequent transmissions of the case by the same sender. Retransmitters
177 should replace this value with their own unique identifier. The value should be a concatenation of "country code-
178 company or regulator name-report number". Country code is the country of the primary source of the report (A.1.1).
179 The company or regulator name is an internationally unique abbreviation or code for the sender's organisation. The
180 report number is the organisation's international case number. Each component is separated from the other by a hyphen.
181 For example, a report transmitted by a company to a regulatory authority concerning a case from France would populate
182 A.1.0.1 with "FR-companyname-12345" where 12345 is a company's unique case report number.

183

184 In the case of an organisational change, (e.g., a merger between companies or a name change), follow up reports should
185 be identified in A.1.0.1 by the identifier of the newly named organisation. However, the worldwide unique case
186 identifier number (A.1.10) used in previous transmissions of the case should remain the same (see below).

187

188 **A.1.0.2 MedDRA version used in this case safety report**

189 User Guidance:

190

191 See the companion document for appropriate format of the version. Only one version of MedDRA should be used to
192 code all the relevant data elements. The version that should be used is always the last one released by the maintenance
193 organisation.

194

195 **A.1.1 Identification of the country of the primary source**

196 User Guidance:

197

198 Generally, this item would be the only country provided. This country should be that of the reporter (see Glossary). Provisions
199 have been made to include other countries for unusual cases concerning foreign travel and sources of manufactured material

200 (A.1.2 and B.4.k.2.3). For example a patient living in country A experienced headache while traveling in country B; this headache
201 was suspected to be an adverse drug reaction and was reported by a healthcare professional in country C. This field should be
202 populated with the code of country C. See the companion document for appropriate country codes.

203

204 **A.1.2 Identification of the country where the reaction/event occurred**

205 User Guidance:

206

207 This should be the country where the reaction occurred (i.e., the reaction occurred while the patient was traveling, but the report
208 was made by a health professional on the patient's return). In the example provided in the paragraph above, this field should be
209 populated with the code of country B, the country in which the traveler experienced the reaction.

210

211 **A.1.3 Date of this transmission**

212 User Guidance:

213

214 A full precision date should be used (i.e., day, month, year)

215

216 **A.1.4 Type of report**

217 - *Spontaneous report*

218 - *Report from study*

219 - *Other*

220 - *Not available to sender* (unknown)

221 User Guidance:

222

223 A separate category for the designation of a literature source is covered in item A.2.2 and is not duplicated in this section which is
224 intended to capture the type of report. If the case in the literature arises from spontaneous observations, "type of report" should be
225 *Spontaneous report*. If the case arises from a study, "type of report" should be *Report from study* and the field A.2.3.3 should be
226 populated with the appropriate value (see the User Guidance for that field). If it is unclear from the literature report whether or not
227 the case(s) cited are spontaneous observations or whether they arise from a study, then this item should be *Other*.

228

229 Differentiation between types of studies (e.g. clinical trials or others) should be given in section A.2.3.3).

230

231 The *Not available to sender* option allows for the transmission of information by a secondary sender (e.g., regulatory authority)
232 where the initial sender did not specify the type of report; it differs from *Other*, which indicates that the sender knows the type of
233 report but cannot fit it into the categories provided.

234

235 **A.1.5 Seriousness**

236 User Guidance:

237

238 It is assumed that case seriousness is assessed by the reporter, otherwise it should be assessed by the sender.

239

240 **A.1.5.1 Serious**

241 - *Yes/no*

242

243 **A.1.5.2 Seriousness criteria (more than one can be chosen)**

244 - *Results in death*

245 - *Is life-threatening*

246 - *Requires inpatient hospitalization or prolongation of existing hospitalization*

247 - *Results in persistent or significant disability/incapacity (as per reporter's opinion)*

248 - *Is a congenital anomaly/birth defect*

249 - *Other medically important condition*

250 User Guidance:

251

252 The terms *life-threatening* and *other medically important condition* are defined in the ICH E2A and E2D guidelines.
253 All the criteria apply to the case as a whole and should not be confused with the outcome(s) of individual
254 reactions(s)/event(s) that are provided in section B.2.i.6. In addition section B.2.i.2.2 can be used to identify the
255 seriousness criteria of each reaction/event in accordance with the user guidance for that section.

256

257 **A.1.6 Date report was first received from source**

258 User Guidance:

259
260 For senders dealing with initial information, this should be the date the information was received from the primary source. When
261 retransmitting information received from another regulatory agency or another company or any other secondary source, A.1.6
262 should be the date the retransmitter first received the information.

263
264 A full precision date should be used (i.e., day, month, year).

266 **A.1.7 Date of the most recent information for this case**

267 User Guidance:

268
269 This date should be changed each time follow up information is received by the sender. However if the case is amended for any
270 other reason (e.g., internal review by the sender or expert opinion) this date should not be changed but the field A.1.13 should be
271 populated with the value “amendment” indicating that the case was amended by the sender. (See the User Guidance for the field
272 A.1.13)

273
274 Because reports are sent at different times to multiple receivers, the initial/follow up status is dependent upon the receiver. For
275 this reason an item to capture follow-up status is not included. However, the date of receipt of the most recent information taken
276 together with the “sender identifier” (A.3.2) and “sender’s (case) report unique identifier” (A.1.0.1) provide a mechanism for each
277 receiver to identify whether the report being transmitted is an initial or follow-up report. For this reason these items are considered
278 critical for each transmission.

279 A full precision date should be used (i.e., day, month, year).

280

281 **A.1.8 Additional available documents held by sender**

282

283 **A.1.8.1 Are additional documents available?**

284 **-yes/no**

285

286 **A.1.8.2 List of documents held by sender**

287 User Guidance:

288

289 The documents received from the primary source (e.g., clinical records, hospital records, autopsy reports) should be
290 listed. It is recognized that these documents might not be obtainable in many instances.

291

292 **A.1.9 Does this case fulfill the local criteria for an expedited report?**

293 **- yes/no**

294

295 User Guidance:

296

297 The definition of expedited is dependent upon the local regulatory requirements. This item should be used by the sender to
298 indicate whether the case fulfills the local expedited requirements. When the countries of origin and destination of the
299 transmission differ, the receiver should be aware that the information might not be applicable to the receiver’s country’s
300 regulatory requirements.

301

302 **A.1.10 Worldwide unique case identification number.**

303 User Guidance:

304

305 Only A.1.10.1 or A.1.10.2 should be used. No case should have more than one of these items completed. The contents of
306 whichever item is used should remain unchanged for any transmissions subsequent to the original transmission.

307 When a regulator is the initial sender, A.1.10.1 should be used.

308 When an entity other than a regulator is the initial sender, A.1.10.2 should be used. When a sender has not previously received a
309 valid E2B/M2 report electronically, the identifiers (content and format) in A.1.0.1 and A.1.10.1 or A.1.10.2 should be identical.
310 Retransmitters should use their own sender’s (case) safety report unique identifier (A.1.0.1), but not change A.1.10.1 or A.1.10.2.
311 See examples in attachment 2.

312

313 **A.1.10.1 Regulatory authority’s case report number**

314

315 **A.1.10.2 Other sender’s case report number**

316

317 **A.1.11 Other case identifiers in previous transmissions**

318 **-yes**

319 User Guidance:

320
321 This item should be completed only if the answer is yes.
322

323 **A.1.11.1 Source(s) of the case identifier (e.g., name of the company, name of regulatory**
324 **agency) (repeat as necessary)**

325 User Guidance:

326
327 This repeatable item should be used in conjunction with A.1.11.2 to provide all other case identifiers electronically
328 transmitted, perhaps by multiple other senders. If the case has been received from another sender all other case
329 identifiers included in A.1.11.1 and A.1.11.2 should be present. In addition the identifier of the previous sender
330 (A.1.0.1) should be included here by the retransmitter. See examples in attachment 2
331

332 **A.1.11.2 Case identifier(s)**

333
334 **A.1.12 Identification number of the report which is linked to this report (repeat as necessary)**

335 User Guidance:

336
337 This section should be used to identify reports or cases that warrant being evaluated together. This includes, but is not limited to, a
338 mother-child pair where both had reactions/events, siblings with common exposure, several reports involving the same patient
339 (e.g., a report sent via paper without a valid E2B/M2 electronic report identifier), several similar reports from same reporter
340 (cluster). The reason for the linkage between ICSRs should be provided in B.5.4. See examples in attachment 2.
341

342 **A.1.13 Report nullification / amendment**

343 **- nullification**

344 **- amendment**

345 User Guidance:

346
347 This item should be used to indicate that a previously transmitted report is either considered completely void (nullified), (for
348 example when the whole case was found to be erroneous), or amended, (for example when after an internal review or according to
349 an expert opinion some items have been modified such as adverse event terms, seriousness, seriousness criteria or causality
350 assessment). It is important to use the same case report number previously submitted. The date originally reported in A.1.7
351 should not be changed in an amended report.
352

353 **A.1.13.1 Reason for nullification / amendment (free text)**

354
355 **A.1.14 Was the case medically confirmed, if not initially from a health professional?**

356 **- yes/no**

357 User Guidance:

358
359 This section should be completed if the primary source of information was a lawyer, consumer, or other non-health professional.
360 It is important because of regional differences in regulations concerning lay reports.
361

362 **A.2 Primary source(s) of information**

363 The primary source(s) of the information is the person who reports the facts. This should be distinguished
364 from senders (secondary sources) who are transmitting the information, (e.g., industry to regulatory
365 authority).

366 Any or all of the three subsections (A.2.1, A.2.2, A.2.3) can be used. In the case of a published study or
367 published individual case, the reporter would be the investigator or first author, and details on publication
368 and trial type should also be provided.
369

370 **A.2.1 Primary source(s) (repeat as necessary)**

371
372 **A.2.1.1 Reporter identifier (name or initials)**

373 User Guidance:

374
375 The identification of the reporter could be prohibited by certain national confidentiality laws or directives. The
376 information should be provided when it is in conformance with the regional confidentiality requirements. In any case, at
377 least one subsection should be completed to ensure there is an identifiable reporter. If only the name of the reporter is
378 known and providing this name is prohibited because of confidentiality requirements, initials can be used.
379

380 **A.2.1.2 Reporter's address**

381 User Guidance:

382
383 See the companion document for format specifications.
384

385 **A.2.1.3 Country**

386 User Guidance:

387
388 See the companion document for format specifications.
389

390 **A.2.1.4 Qualification**

391 – *Physician*

392 – *Pharmacist*

393 – *Other health professional*

394 – *Lawyer*

395 – *Consumer or other non health professional*

396 User Guidance:

397
398 In some regions, consumer and lawyer reports should be transmitted only when there is medical confirmation.
399

400 **A.2.2 Literature reference(s)**

401 User Guidance:

402
403 References should be provided in the Vancouver Convention (known as "Vancouver style") as developed by the International
404 Committee of Medical Journal Editors. The standard format, as well as formats for special situations can be found in the
405 following reference which is in the Vancouver style. International Committee of Medical Journal Editors. Uniform requirements
406 for manuscripts submitted to biomedical journals. N Engl J Med 1997; 336:309-15.
407

408 **A.2.3 Study identification**

409 **A.2.3.0 Study registration number**

410 User Guidance

411
412 This field should be populated with the study registration number if applicable.
413
414

415 **A.2.3.1 Study name**

416 User Guidance:

417
418 This field should be populated by the study name as approved by the regulator in each region.
419

420 **A.2.3.2 Sponsor study number**

421 User Guidance:

422
423 This section should be completed only if the sender is the study sponsor or has been informed of the study number by
424 the sponsor.
425

426 **A.2.3.3 Study type in which the reaction(s)/event(s) were observed**

427 – *Clinical trials*

428 – *Individual patient use (e.g., "compassionate use" or named patient basis)*

429 – *Other studies (e.g., pharmacoepidemiology, pharmacoconomics, intensive monitoring)*

430 User Guidance:
431

432 This information should be provided if the field A.1.4 Type of report has been populated with “Report from study”.

433

434 **A.3 Information on sender of case safety report**

435

436 **A.3.1 Type**

437 – *Pharmaceutical company*

438 – *Regulatory authority*

439 – *Health professional*

440 – *Regional pharmacovigilance center*

441 – *WHO collaborating center for international drug monitoring*

442 – *Other (e.g. distributor, study sponsor, or contract research organization)*

443 User Guidance:

444

445 In this context, a pharmaceutical company includes biotechnology companies and other manufacturers required to
446 submit individual case safety reports.

447

448 **A.3.2 Sender identifier**

449 User Guidance:

450

451 Identifies the sender, (e.g., company name or regulatory authority name). It is important that this item should be completed.

452

453 **A.3.3 Person responsible for sending the report**

454 User Guidance:

455

456 The name of person in the company or agency who is responsible for the authorization of report dissemination. This would
457 usually be the same person who signs the covering memo for paper submissions. The inclusion of the name of this person in the
458 transmission could be subject to national or international regulations.

459

460 **A.3.4 Sender’s address, fax, telephone and E-mail address**

461

462 **B. INFORMATION ON THE CASE**

463

464 **B.1 Patient characteristics**

465 User Guidance:

466

467 This section applies to the subject who experienced one or several adverse reactions/events.

468 In cases where a fetus or nursing infant is exposed to one or several drugs through the parent and experience one or several
469 adverse reactions/events, information on both the parent and the child/fetus should be provided. Reports of these cases are
470 referred to as parent-child/fetus reports. The following general principles should be used for filing these reports.

471

472 If there has been no reaction/event affecting the child/fetus, the parent-child/fetus report does not apply; i.e., the B.1 fields below
473 apply only to the parent (mother or father) who experienced the adverse reaction/event.

474 For those cases describing miscarriage or fetal demise or early spontaneous abortion, only a parent report is applicable, i.e., the
475 B.1. fields below apply to the mother. However, if suspect drug(s) were taken by the father this information should be indicated in
476 the field B.4.k.13.

477 If both the parent and the child/fetus sustain adverse events, two separate reports, i.e., one for the parent (mother or father) and
478 one for the child/fetus, should be provided but they should be linked by using sections A.1.12 in each report.

479

480 If only the child/fetus has an adverse reaction/event (other than early spontaneous abortion/fetal demise) the information provided
481 in this section applies only to the child/fetus, and characteristics concerning the parent (mother or father) who was the source of
482 exposure to the suspect drug should be provided in section B.1.10.

483

484 If both parents are the source of the suspect drug(s) then the case should reflect the mother’s information in section B.1.10 and the
485 case narrative (section B.5.1) should describe the entire case, including the father’s information.

486

487 **B.1.1 Patient (name or initials)**

488 User Guidance:

489

490 It is important that this field is populated. The identification of the patient may be prohibited by certain national confidentiality

491 laws or directives. The information should be provided when it is in conformance with the confidentiality requirements. This also
492 applies to medical record number(s) (B.1.1.1).
493 If the initials of the patient are unknown to the sender, this field should be populated with “UNKNOWN”.
494 If the initials are known to the sender but cannot be transmitted due to data privacy requirements, this field should be populated
495 with “PRIVACY”.
496

497 **B.1.1.1 Patient medical record number(s) and the source(s) of the record number (if**
498 **allowable)**

499 User Guidance:

500
501 Record numbers can include the health professional record(s) number(s), hospital record(s) number(s), or patient/subject
502 identification number in a study. The source of the number should be specified to ensure the possibility of retrieval
503 when possible and desirable.
504

505 **B.1.2 Age information**

506 User Guidance:

507
508 Only one of the elements describing age should be used. The choice should be based upon the most precise information available.
509

510 **B.1.2.1 Date of birth**

511 User Guidance:

512
513 If the full date of birth is not known, an incomplete date can be used. If only an approximate age is available this
514 information can be captured in section B.1.2.2.
515

516 **B.1.2.2 Age at time of onset of reaction/event**

517 User Guidance:

518
519 If several reactions/events are in the report, the age at the time of the first reaction/event should be used. For fetal
520 reaction(s)/event(s) the next item B.1.2.2.1 “Gestation period when reaction/event was observed in the fetus” should be
521 used.

522 When providing the age in decades, please note that, for example, the 7th decade refers to a person in his/her 60’s.
523 See the companion document for format specifications.
524

525 *B.1.2.2.1 Gestation period when reaction/event was observed in the fetus*

526 User Guidance:

527
528 The gestation period at the time of exposure is captured in section B.4.k.9. See the companion document for format
529 specifications.
530

531 **B.1.2.3 Patient age group (as per reporter)**

- 532 – *Neonate*
- 533 – *Infant*
- 534 – *Child*
- 535 – *Adolescent*
- 536 – *Adult*
- 537 – *Elderly*

538
539 User Guidance:

540
541 These terms are not defined in this document and are intended to be used as they were reported by the primary source.
542 This section should be completed only when the age is not provided more specifically in sections B.1.2.1 or B.1.2.2.
543

544 **B.1.3 Body weight (kg)**

545 User Guidance:

546
547 Body weight at the time of the event/reaction.
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549 **B.1.4 Height (cm)**

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B.1.5 Sex

User guidance:

See the companion document for format specifications.

B.1.6 Last menstrual period date

User guidance:

Imprecise dates can be included, (i.e., month, and year or year only). See the companion document for format specifications.

B.1.7 Relevant medical history and concurrent conditions (not including reaction/event)

B.1.7.1 Structured information on relevant medical history including onset and resolution date as well as relevant comments. (repeat as necessary)

Disease / surgical procedure / etc.	Start date	Continuing Y/N/U	End date	Comments	Family history Y

User Guidance:

Medical judgment should be exercised in completing this section. Information pertinent to understanding the case is desired (such as diseases, conditions such as pregnancy, surgical procedures, psychological trauma, risk factors, etc.). In case of prematurity, the birth weight should be recorded in the comments. Each of the items in the table can be repeated as appropriate. If precise dates are not known and a text description aids in understanding the medical history, or if concise additional information is helpful in showing the relevance of the past medical history, this information can be included in the Comments column. In order to identify relevant medical information of the family (e.g., hereditary diseases) a flag should be added to the appropriate disease(s). MedDRA LLT code should be used in the main descriptive column for disease/surgical procedure/etc. Imprecise dates can be used for both start and end dates. See the companion document for format specifications for the continuing column.

B.1.7.2 Text for relevant medical history and concurrent conditions (not including reaction/event)

User Guidance:

If structured information is not available in the sender’s database, this field should be used. Otherwise, it is preferable to send structured data in segment B.1.7.1.

B.1.8 Relevant past drug history (repeat as necessary)

Name of drug as reported	MedID	PhPID	Start date	End date	Indication	Reactions

User Guidance:

This segment concerns drugs previously taken. It does not concern drugs taken concomitantly or drugs which might have potentially been involved in the current reaction(s)/event(s). Information concerning concomitant and other suspect drugs should be included in section B4. The information provided here can also include previous experience with similar drugs. Medical judgment should be exercised in completing this section. When completing the item concerning the name of the drug, it is important to use the words provided by the primary source. Trade name, generic name or class of drug can be used. To standardise this information, the ICH M5 guideline should be used. Based on the medicinal product name as reported by the primary source, the most specific identifier, being either the Medicinal Product Identifier (MedID) or the Pharmaceutical Product Identifier (PhPID) should be provided. If a MedID and a PhPID for the reported medicinal product are not available, this field should be left blank. The term "none" should be used when there is no previous exposure to the drug or vaccine. MedDRA LLT code should be used in the Indication and Reaction columns. In the event of previous exposure to drug(s) or vaccine(s) without reaction, the MedDRA code “No adverse drug effect” should be used in the Reaction column. Imprecise dates can be used for both start and end dates.

602 **B.1.9 In case of death**

603 **B.1.9.1 Date of death**

604 User Guidance:

605
606 An imprecise date can be used. See the companion document for format specifications.
607

608 **B.1.9.2 Reported cause(s) of death (repeat as necessary)**

609 User Guidance:

610 MedDRA LLT code should be used
611
612

613 **B.1.9.3 Was autopsy done?**

614 *Yes/No/Unknown*
615

616 **B.1.9.4 Autopsy-determined cause(s) of death (repeat as necessary)**

617 User Guidance:

618 MedDRA LLT code should be used
619
620

621 **B.1.10 For a parent-child/fetus report, information concerning the parent**

622 User Guidance:

623
624 This section should be used in the case of a parent-child/fetus report where the parent had no reaction/event. See user guidance for
625 section B.1. Guidance regarding confidentiality is provided in B.1.1, and should be considered before providing the parent
626 identification. For the subsections B.1.10.4 through B.1.10.8, the guidances provided for B.1.3 through B.1.5 and B.1.7 through
627 B.1.8 should be reviewed.
628

629 **B.1.10.1 Parent identification**

630
631 **B.1.10.2 Parent age information**

632 User Guidance:

633
634 The date of birth should be used if the precise birthday is known; otherwise the age should be used.
635

636 *B.1.10.2.1 Date of birth of parent*

637 User Guidance:

638
639 If the full date of birth is not known, an incomplete date can be used. See the companion document for format
640 specifications.

641 *B.1.10.2.2 Age of parent*
642

643 **B.1.10.3 Last menstrual period date**

644 User Guidance:

645
646 A full precision date should be used. See the companion document for format specifications. If a precise date is not
647 available, the gestation period at time of exposure in B.4.k.9 should be completed.

648 **B.1.10.4 Body weight (kg) of parent**

649 **B.1.10.5 Height (cm) of parent**

650 **B.1.10.6 Sex of parent**
651

652 **B.1.10.7 Relevant medical history and concurrent conditions of parent (not including**
653 **reaction/event)**

654 *B.1.10.7.1 Structured information (parent)(repeat as necessary)*

Disease / surgical procedure/ etc.	Start date	Continuing Y/N/U	End date	Comments

655

656 User Guidance:

657
658 MedDRA LLT code should be used in the main descriptive column for disease/surgical procedure/etc.
659

660 *B.1.10.7.2 Text for relevant medical history and concurrent conditions of*
661 *parent (not including reaction/event)*

662 **B.1.10.8 Relevant past drug history of parent (repeat as necessary)**

Name of drug as reported	MedID	PhPID	Start date	End date	Indication	Reactions (if any and known)

663
664 User Guidance:

665
666 To standardise this information, the ICH M5 guideline should be used. Based on the medicinal product name as reported by the
667 primary source, the most specific identifier, being either the Medicinal Product Identifier (MedID) or the Pharmaceutical Product
668 Identifier (PhPID) should be provided. If a MedID and a PhPID for the reported medicinal product are not available, this field
669 should be left blank. MedDRA LLT code should be used in the Indication and Reaction columns.
670
671

672 **B.2 Reaction(s)/event(s)**

673 User Guidance:

674
675 The designation of “i” in this section indicates that each item is repeatable and that it corresponds to the same “i” in all
676 subsections. A separate block (i) should be used for each reaction/event term. For example, if two reactions are observed, the first
677 reaction would be described in items B.2.1.0 through B.2.1.6, and the other reaction would be described in items B.2.2.0 through
678 B.2.2.6.
679

680 **B.2.i.0 Reaction/event as reported by the primary source**

681 User Guidance:

682
683 The original reporter's words and/or short phrases used to describe the reaction/event should be provided. These can
684 also be included in the narrative B.5.1.
685

686 **B.2.i.1 Reaction/event in MedDRA terminology**

687 User Guidance:

688
689 Only the MedDRA Lowest Level Term (LLT) most closely corresponding to the reaction/event as reported by the
690 primary source should be provided. In the exceptional circumstance when a MedDRA term cannot be found the sender
691 should use good clinical judgment to complete this item with the best MedDRA approximation (see MedDRA™ TERM
692 SELECTION:POINTS TO CONSIDER). MedDRA terms should be provided as code.
693

694 **B.2.i.2 Term highlighted by the reporter and seriousness at event level**

695
696 *B.2.i.2.1 Term highlighted by the reporter*

697
698 **- yes, highlighted by the reporter**

699 User Guidance:

700
701 A highlighted term is a reaction/event that the primary source indicated was a major concern or reason for reporting the
702 case. If the information is not explicitly provided by the initial reporter the term should not be considered a highlighted
703 term.
704

705 *B.2.i.2.2 Seriousness criteria at event level (more than one can be chosen)*

706 **- Results in death**

707 **- Is life-threatening**

708 **- Requires inpatient hospitalization or prolongation of existing hospitalization**

709 **- Results in persistent or significant disability/incapacity (as per reporter's opinion)**

710 **- Is a congenital anomaly/birth defect**

711 **- Other medically important condition**

712 User Guidance:

713
714 The seriousness criteria of the reaction/event should be based on the definitions provided in the ICH E2A and E2D
715 guidelines.
716

717 **B.2.i.3 Date of start of reaction/event**

718 User Guidance:

719 See the companion document for format specifications.
720

721 **B.2.i.4 Date of end of reaction/event**

722 User Guidance:

723
724 This field should include the date corresponding to the date the reaction/event is assessed as resolved/recovered or
725 resolved/recovered with sequelae (B.2.i.6).
726

727 **B.2.i.5 Duration of reaction/event**

728 User Guidance:

729
730 This section can usually be computed from start/end of reaction/event. Both dates and duration can be useful (e.g., for a
731 reaction/event of short duration such as anaphylaxis or arrhythmia).
732 Imprecise dates can be used. See the companion document for format specifications.
733

734 **B.2.i.6 Outcome of reaction/event at the time of last observation**

- 735 – *recovered/resolved*
- 736 – *recovering/resolving*
- 737 – *not recovered/not resolved*
- 738 – *recovered/resolved with sequelae*
- 739 – *fatal*
- 740 – *unknown*

741
742 User Guidance:

743
744 In case of irreversible congenital anomalies the choice *not recovered/not resolved* should be used.
745 “*Fatal*” should be used when death is possibly related to the reaction/event. Considering the difficulty of deciding
746 between "reaction/event caused death" and "reaction/event contributed significantly to death", both were grouped in a
747 single category. Where the death is unrelated, according to both the reporter and the sender, to the reaction/event, death
748 should not be selected here, but should be reported only under section B.1.9.
749

750 **B.3 Results of tests and procedures relevant to the investigation of the patient**

751 User Guidance:

752
753 This section should capture the tests and procedures performed to diagnose or confirm the reaction/event, including those tests
754 done to investigate (exclude) a non-drug cause (e.g., serologic tests for infectious hepatitis in suspected drug-induced hepatitis).
755 Both positive and negative results should be reported. While structured information is preferable, provisions have been made to
756 transmit the information as free text in B.3.2.
757

758 **B.3.1 Structured information (repeat as necessary)**

Date	Test	Result	Unit	Normal low range	Normal high range	More information available (Y/N)

759
760 User Guidance:

761
762 Imprecise dates can be used; units and normal ranges should be in free text unless covered by a controlled vocabulary. The
763 column entitled "more information available" accepts only yes or no (see the companion document for the appropriate format).
764 “Yes” means that more documentation is available upon request e.g., ECG strips, chest Xray. “No” means that no more
765 documentation is available.
766 MedDRA LLT codes should be used to code test names.

If results and units cannot be split, B.3.2 should be used. More than one test can be included in B.3.2.

B.3.2 Results of tests and procedures relevant to the investigation

B.4 Drug(s) information

User Guidance:

This section covers both suspect drugs and concomitant medications (including biologics). In addition, the section can be used to identify drugs thought to have an interaction. For each drug, the characterization of the drug role (B.4.k.1) is that indicated by the primary reporter, (i.e., the original source of the information) and the sender. The designation of "k" in this section indicates that each item is repeatable and that it corresponds to the same "k" in all subsections. A separate block (k) should be used for each drug. Drugs used to treat the reaction/event should not be included here.

B.4.k.1 Characterization of drug role

Suspect / Concomitant / Interacting / Drug Not Administered / Blinded

User Guidance:

This field contains the characterization of the drug as provided by primary reporter or if this information is missing, by the sender. All spontaneous reports should have at least one suspect drug (see Section 1.5). If the reporter indicates a suspected interaction, "*interacting*" should be selected. All interacting drugs are considered to be suspect drugs.

"*Drug not administered*" can be used for example in two circumstances:

- in clinical trial: if the adverse event occurred after the informed consent was signed but prior to the administration of the study drug e.g., during the screening period or the washout procedure. In general the adverse event should be reported as due to the trial procedure. In that case, the rest of the section B.4 should be left blank and the information on the suspect cause of the event should be provided in the section B.5.
- medication error: if the patient did not receive the actual prescribed drug but another one, repeatable section B.4 should be completed with the information about the prescribed drug (including the fact that it was not administered), as well as the information on the dispensed drug as the "suspect" drug.

"*Blinded*":

The ICH E2A guideline recommends that the case safety reports with blinded therapy should not be reported. However, if it is important to exchange a case safety report during a clinical trial, this value should be used. In that case the fields of the section B.4.k.2 Drug identification should be populated with the characteristics of all the blinded study drug(s).

B.4.k.2 Drug identification

User Guidance:

Medicinal product names and active ingredient names should be provided as they were reported. To standardise this information, the ICH M5 guideline should be used. In case of investigational drugs, only a code might be known and provided. If more than one active ingredient is specified, each should be included in item B.4.k.2.2, and can be repeated as necessary.

B.4.k.2.0 Medicinal product unique identifier

User Guidance:

Based on the medicinal product name as reported by the primary source, the most specific identifier either the Medicinal Product Identifier (MedID) or the Pharmaceutical Product Identifier (PhPID) should be provided. If a MedID and a PhPID for the reported medicinal product are not available, this field should be left blank.

B.4.k.2.0.1 MedID and MedID operation date

B.4.k.2.0.2 PhPID and PhPID operation date

B.4.k.2.1 Medicinal product name as reported by the primary source

User Guidance:

The name should be that used by the reporter. It is recognized that a single product can have different proprietary names in different countries, even when produced by a single manufacturer.

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B.4.k.2.2 Active ingredient identifier (repeat as necessary)

User Guidance:

Each active ingredient should be specified individually by repeating this section. For each active ingredient, the ICH M5 active ingredient TermID should be provided if available. If the active ingredient TermID is not available, the INN or the active ingredient name or the drug identification code should be provided.

B.4.k.2.2.1 Active ingredient name

B.4.k.2.2.2 Active ingredient TermID and TermID operation date

B.4.k.2.3 Identification of the country where the drug was obtained.

User Guidance:

See the companion document for the appropriate codes and format.

B.4.k.3 Holder and authorization/application number of drug

User Guidance:

If the ICH M5 MedID is not available for the reported medicinal product, the name of the holder should be provided with the authorization number in the country where the drug was obtained when the case report is sent to that country. These items apply to both applications and authorizations. Pharmaceutical companies should provide this information for their own suspect drug(s).

B.4.k.3.1 Authorization/Application Number

B.4.k.3.2 Country of authorization/application

User Guidance:

See the companion document for the appropriate codes and format.

B.4.k.3.3 Name of holder/applicant

B.4.k.4 Structured Dosage Information (repeat as necessary)

(e.g., 2 mg three times a day)

<i>B.4.k.4.1 dose (number)</i>	<i>2</i>
<i>B.4.k.4.2 dose (unit)</i>	<i>mg</i>
<i>B.4.k.4.3 number of separate dosages</i>	<i>3</i>
<i>B.4.k.4.4 number of units in the interval</i>	<i>1</i>
<i>B.4.k.4.5 definition of the interval unit</i>	<i>day</i>

User Guidance:

For B.4.k.4.2 the dose unit should be provided in accordance with the ICH M5 units and measurements controlled vocabulary if available. For each unit, the respective TermID and the TermID operation date should be specified. Please note the above side-by-side illustration of how the structured dosage should be provided. For the more complex example of 5mg (in one dose) every other day, subsections B.4.k.4.1 through B.4.k.4.5 would be 5, mg, 1, 2, day, respectively. In the same way, 50mg daily would be 50, mg, 1, 1, day.

In the case of a parent-child/fetus report, the dosage section applies to the parental dose.

If any of these pieces of information is unknown, the field should be left blank.

For a dosage regimen that involves more than one dosage form and/or changes in dosage, the information should be provided in section B.4.k.4.10 as text. Categories for "definition of the interval unit" are described in attachment 1

B.4.k.4.6 Date of start of drug

B.4.k.4.7 Date of last administration

User Guidance:

884
885 For ongoing drug administration after the onset of the reaction/event, this item should be blank and Action(s) taken with
886 drug (B.4.k.11) should be used.
887

888 *B.4.k.4.8 Duration of drug administration*

889 User Guidance:

890
891 This item should be used if exact dates of drug administration are not available at the time of the report, but there is
892 information concerning the duration of drug administration. The information requested is the overall duration of drug
893 administration and covers intermittent administration. See the companion document for the appropriate format.
894

895 *B.4.k.4.9 Batch/lot number*

896 User Guidance:

897
898 This information is particularly important for vaccines and biologics. The most specific information available should be
899 provided. For expiration date and other related information, see additional information on drug (B.4.k.13).
900

901 *B.4.k.4.10 Dosage text*

902 User Guidance:

903
904 This item should be used in cases where provision of structured dosage information is not possible.
905

906 **B.4.k.5 Cumulative dose to the reaction/event**

907 User Guidance:

908
909 The cumulative dose provided should be the total dose administered until the first sign, symptom or reaction. Where
910 possible, cumulative dose to the reaction/event should be structured as follows: (For standardised units see the user
911 guidance of B.4.k.4.2.)
912

913 *B.4.k.5.1 cumulative dose to first reaction (number)*

914 *B.4.k.5.2 cumulative dose to first reaction (unit)*

916 **B.4.k.6 Pharmaceutical Dose form**

917 User Guidance:

918
919 Pharmaceutical dose form should be provided as TermID using the ICH M5 pharmaceutical dose form controlled
920 vocabulary. If the pharmaceutical dose form TermID is not available, free text in B.4.k.6.1 should be used.
921

922 *B.4.k.6.1 Pharmaceutical dose form*

923 *B.4.k.6.2 Pharmaceutical dose form TermID and TermID operation date*

925 **B.4.k.7 Route of administration**

926 User Guidance:

927
928 Route of administration should be provided as TermID using the ICH M5 Route of administration controlled
929 vocabulary. If the route of administration TermID is not available, free text in B.4.k.7.1 should be used. For a parent-
930 child/fetus report, this indicates the route of administration of a drug given to the child/fetus. This is usually an indirect
931 exposure, such as transmammary, but can include more usual routes of administration for other drugs given to the child.
932 The parent's route of administration should be provided in B.4.k.8.
933

934 *B.4.k.7.1 Route of administration*

935 *B.4.k.7.2 Route of administration TermID and TermID operation date*

937 **B.4.k.8 Parent route of administration (in case of a parent child/fetus report)**

938 User Guidance:

939
940 This section should be used in a parent-child/fetus report and linked parent reports to indicate the route of
941 administration to the parent. The parent route of administration should be provided as TermID using the ICH M5 Route
942 of administration controlled vocabulary. If the Route of administration TermID is not available, free text in B.4.k.8.1

943 should be used.

944 *B.4.k.8.1 Parent Route of administration*

946 *B.4.k.8.2 Route of administration TermID and TermID operation date*

948 **B.4.k.9 Gestation period at time of exposure**

949 User Guidance:

950
951 The gestational age at the time of the earliest exposure should be used. Gestation period at time of exposure should be
952 expressed by providing both a number and designation of units of days, weeks, months or trimester. See the companion
953 document for format specifications.

955 **B.4.k.10 Indication for use in the case** (repeat as necessary)

956 User Guidance:

957
958 The indication as reported by the primary source should be provided in B.4.k.10.1. The MedDRA LLT code should be
959 used in B.4.k.10.2.

961 *B.4.k.10.1 Indication as reported by the primary source*

962 *B.4.k.10.2 Indication in MedDRA terminology (LLT code)*

964 **B.4.k.11 Action(s) taken with drug**

965 - *Drug withdrawn*

966 - *Dose reduced*

967 - *Dose increased*

968 - *Dose not changed*

969 - *Unknown*

970 - *Not applicable*

971 User Guidance:

972
973 These data, taken together with the outcome of the reaction (B.2.i.6), provide the information concerning dechallenge.
974 “*Not applicable*” should be used in circumstances such as when the patient has died or the treatment had been
975 completed prior to reaction/event.
976

978
979 **B.4.k.12 Drug-reaction(s)/event(s) matrix** (repeat B.4.k.12.1 through B.4.k.12.4 as necessary)

980 *B.4.k.12.1 Reaction(s)/event(s) assessed*

981 User Guidance:

982
983 Generally the reaction(s)/event(s) assessed are ordered from the most important or the most serious to the least
984 important. MedDRA LLT code should be used.

985
986 *B.4.k.12.2 Relatedness of drug to reaction(s)/event(s)* (repeat B.4.k.12.2.1 through B.4.k.12.2.3 as
987 necessary)

988 User Guidance:

989
990 This section provides the means to transmit the degree of suspected relatedness of each drug to the reaction(s)/event(s).
991 The repeating items could also be used to provide the assessment of relatedness by different sources or methods of
992 assessment. For the purpose of reporting, there is an implied suspicion of causality for spontaneous reports. It is
993 recognized that information concerning the relatedness, especially for spontaneous reports, is often subjective and might
994 not be available.

995 • The following example illustrates the extensive functionality contained in this section.

996 • Assume a patient being treated with two medications: Drug A and Drug B.

997 • Assume the patient has had three adverse events: Event 1, Event 2, and Event 3

998 • The reporter provided assessment of causality for events 1 and 2 for both Drug A and Drug B, but not for either drug
999 concerning event 3. The reporter’s assessment of causality is based on overall impression, which the sender codes as
1000 “global introspection”.

1001 • The sender applies two methods of causality assessment, one with an algorithm (coded algorithm) and the other a

1002 bayesian analysis that provides a decimal probability (coded Bardi) but the sender does so only for the drug the sender
 1003 manufactures (in this case Drug A).
 1004 • From the above there are 4 sets of data for the reporter (2drugsX2eventsX1method of assessment) and 6 sets for the
 1005 sender (1drugX3eventsX2methods of assessment) for a total 10 sets of data.
 1006 • The appropriate item with the information is B.4.k.12.2 (and its 3 subfields 1-3). In this example, k is replaced by Drug
 1007 A and Drug B respectively. Please note the subfields 1-3 are repeatable. Thus:
 1008

B.4.k.12.1	B.4.k.12.2.1	B.4.k.12.2.2	B.4.k.12.2.3
k(1) = DRUG A			
event1	reporter	global introspection	related
	company	algorithm	possibly related
	company	Bardi	0.76
event2	reporter	global introspection	not related
	company	algorithm	possibly related
	company	Bardi	0.48
event3	company	algorithm	unlikely related
	company	Bardi	0.22
k(2) = DRUG B			
event1	reporter	global introspection	not related
event2	reporter	global introspection	not related

1009 The order of the rows is not important since each one represents a complete set, however, the E2B message and M2
 1010 specifications state that all assessments for Drug A (k=1) should appear before Drug B (k=2).
 1011 For subsection B.4.k.12.1 MedDRA LLT codes should be used. Subsections B.4.k.12.2.1 through B.4.k.12.2.3 do not
 1012 call for a standardised methodology.
 1013
 1014

- 1015 *B.4.k.12.2.1 Source of assessment* (e.g., initial reporter, investigator, regulatory agency, company)
- 1016 *B.4.k.12.2.2 Method of assessment* (e.g., global introspection, algorithm, Bayesian calculation).
- 1017 *B.4.k.12.2.3 Result*

1018
 1019 *B.4.k.12.3 Time intervals between drug administration and start of reaction/event*
 1020 User Guidance:

1021
 1022 The major uses of intervals are to cover circumstances both in which the dates are known but the interval is very short
 1023 (e.g., minutes, such as in anaphylaxis), and in which only imprecise dates are known but more information concerning
 1024 the interval is known. Dates if available, should be transmitted in the appropriate items, rather than intervals. If the
 1025 sender wants to provide time intervals as well then the first day of administration should be counted as “1”.
 1026 The complexity of using intervals highlights the desirability of providing dates. See the companion document for format
 1027 specifications.
 1028

1029 *B.4.k.12.3.1 Time interval between beginning of drug administration and start of reaction/event*

1030
 1031 *B.4.k.12.3.2 Time interval between last dose of drug and start of reaction/event*

1032
 1033 *B.4.k.12.4 Did reaction recur on readministration?*
 1034 **- yes/no/unknown**

1035 User Guidance:

1036
 1037 Unknown indicates that a rechallenge was done but it is not known whether the reaction recurred. This field should not
 1038 be completed if it is unknown whether a rechallenge was done.
 1039

1040 **B.4.k.13 Additional information on drug**

1041 User Guidance:

1042
 1043 This should be used to specify any additional information pertinent to the case that is not covered by above sections
 1044 (e.g., beyond expiration date, batch and lot tested and found to be within specifications). This item can also be used to
 1045 provide additional information concerning the indication for the drug. For cases where the suspect drug was taken by
 1046 the father, this should be indicated in this field as e.g., Drug taken by the father.

- 1047
1048 **B.5 Narrative case summary and further information** (repeat as necessary)
1049
1050 **B.5.1 Case narrative including clinical course, therapeutic measures, outcome and additional**
1051 **relevant information**
1052 User Guidance:
1053
1054 A focused, factual and clear description of the case should be given, including the words or short phrases used by the reporter.
1055
1056 **B.5.2 Reporter's comments**
1057 User Guidance:
1058
1059 This item should be used to include the reporter's comments on the diagnosis, causality assessment or other issues considered
1060 relevant.
1061
1062 **B.5.3 Sender's diagnosis/syndrome and/or reclassification of reaction/event** (repeat as necessary)
1063 User Guidance:
1064
1065 This section provides the sender with an opportunity to combine signs and symptoms that were reported into a succinct diagnosis.
1066 The reasoning would be included in section B.5.4. MedDRA LLT code should be used.
1067
1068 **B.5.4 Sender's comments**
1069 User Guidance:
1070
1071 This section provides information concerning the sender's assessment of the case and can be used to describe disagreement with,
1072 and/or alternatives to the diagnoses given by the initial reporter. In case of linkage of multiple ICSRs using A.1.12, the reason
1073 should be provided in these comments.
1074
1075
1076
1077 **3. GLOSSARY**
1078 **Parent-child/fetus report:** Report in which the administration of medicines to a parent results in a
1079 suspected reaction/event in a child/fetus.
1080
1081 **Receiver:** The intended recipient of the transmission.
1082
1083 **Reporter:** Reporter is the primary source of the information, i.e., the person who initially reports the facts.
1084 This should be distinguished from the sender of the message, though the reporter could also be a sender.
1085
1086 **Sender:** The person or entity creating the message for transmission. Although the reporter and sender can
1087 be the same person, the function of the sender should not be confused with that of the reporter.

1088	
1089	ATTACHMENT 1
1090	
1091	Definition of Interval List
1092	Minutes
1093	Hours
1094	Days
1095	Weeks
1096	Months
1097	Years
1098	Cyclical
1099	As necessary
1100	Total

1101 **ATTACHMENT 2**

1102
1103 **Examples of how to populate fields relevant to identifying cases and their reports**

1104 The figure provides an example of how one would populate the fields relevant to identifying cases and their reports.
1105 Patient XX suffers three separate adverse events (AE1, AE2, AE3) spaced over a time period.

1106
1107 **Example of a simple single report from a company to a regulator**

1108 Hospital X reports AE1 to Company K who then in turn sends ICSR1 to Regulator. Population of relevant fields for
1109 this case is illustrated in the first row of the table. Company K populates A.1.0.1 with Company K's (case) safety
1110 report unique identifier "JP-K-001".

1111 Company K populates A.1.10.2 with "JP-K-001" because company K is the initial sender of the report. Because
1112 there has not been a previous E2B/M2 electronic report, the identifiers in A.1.0.1 and A.1.10.2 are the same.

1113
1114 **Example of company to company to company to regulator transmission**

1115 Hospital X reports AE1 to Company B who then in turn sends ICSR2 to Company C.

1116 Population of relevant fields for this case is illustrated in the second row of the table. Company B populates A.1.0.1
1117 with Company B's (case) safety report unique identifier "JP-B-001".

1118 Company B populates A.1.10.2 with "JP-B-001" because company B considers itself the initial sender of the report
1119 because it is unaware that Company K also sent an ICSR for this case.

1120 Company C sends ICSR3 to Company D. The third row of the table indicates how Company C populates the relevant
1121 fields. Company C populates A.1.0.1 with "JP-C-001".

1122 Company C populates A.1.10.2 with "JP-B-001", leaving the field unchanged from the way Company B
1123 populated it. In addition, Company C populates A.1.11.1 (Source of the case identifier) with the name of company B,
1124 "B". A.1.11.2 is populated with Case Identifier in the Previous Transmission by Company B "JP-B-001".

1125 Company D sends ICSR4 to Regulator. The fourth row of the table indicates how Company D populates the relevant
1126 fields. Company D populates A.1.0.1 with "JP-D-001". Company D retains in fields A.1.10.2, A.1.11.1, and
1127 A.1.11.2 the information populated by Company C, and Company D adds to the retained information in repeatable
1128 field A.1.11.1 "C" to represent that Company C is another source of the case identifier, and Company D adds in field
1129 A.1.11.2 "JPC-001" to represent Company C's case identifier from the previous transmission.

1130
1131 **Example of a simple single report with follow-up from a company to a regulator**

1132 Hospital X reports AE1 to Company E who then in turn sends ICSR5 to Regulator. Population of relevant fields for
1133 this case is illustrated in the fifth row of the table. Company E populates A.1.0.1 with Company E's (case) safety
1134 report unique identifier "JP-E-001". Company E populates A.1.10.2 with "JP-E-001" because company E is the
1135 initial sender of the report.

1136 Because to Company E's knowledge, there has not been a previous E2B/M2 electronic report, the identifiers in
1137 A.1.0.1 and A.1.10.2 are the same.

1138 ICSR6 represents Hospital X's follow-up information about AE1 to Company E. Company E submits follow-up to
1139 ICSR5 to the regulator. The relevant fields, A.1.0.1 and A.1.10.2, are populated the same as for ICSR5. ICSR6, a
1140 follow-up report, is differentiated from ICSR5 by A.1.7, Date of Receipt of the Most Recent Information for this
1141 Report.

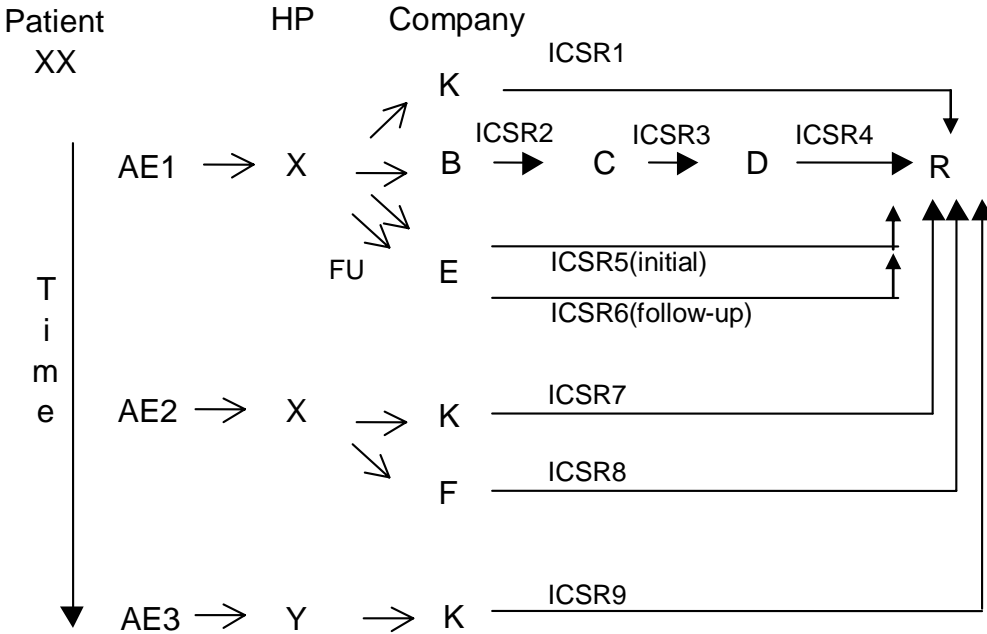
1142
1143 **Example of Linking Two Separate Adverse Events Affecting the Same Patient**

1144 Patient XX later suffers a separate adverse event, AE2. Hospital X reports AE2 to Company K who then in turn
1145 sends ICSR7 to Regulator. Population of relevant fields for this new case is illustrated in the seventh row of the
1146 table. Company K populates A.1.0.1 with Company K's (case) safety report unique identifier "JP-K-002". Company
1147 K assigns a new (case) safety report unique identifier "JP-K-002" because "JP-K-001", as described above, represent
1148 a separate adverse event. Company K populates A.1.10.2 with "JP-K-002" because company K is the initial sender
1149 of the report. Because there has not been a previous E2B/M2 electronic report, the identifiers in A.1.0.1 and A.1.10.2
1150 are the same. The previous report from Company K, "JP-K-001", for patient XX should be represented in A.1.12,
1151 Identification Number of the Report which is Linked to this Report.

1152 In a contrasting example, Hospital X also reports AE2 to Company F. Company F had not previously received an AE
1153 concerning Patient XX, and therefore there is no linked report and A.1.12 is not populated. As in the first example
1154 concerning ICSR1, ICSR8 is a simple single report from a company to a regulator.

1155
1156 **Example of Linking Three Separate Adverse Events Affecting the Same Patient**

1157 Patient XX later suffers a third, separate and distinct adverse event, AE3. Hospital Y reports AE3 to Company K
 1158 who then in turn sends ICSR9 to Regulator. Population of relevant fields for this new case is illustrated in the ninth
 1159 row of the table. Company K populates A.1.0.1 with Company K's (case) safety report unique identifier "JP-K-003".
 1160 Company K assigns a new (case) safety report unique identifier "JP-K-003" because "JP-K-001" and "JP-K-002", as
 1161 described above, represent separate, adverse events. Company K populates A.1.10.2 with "JPK-003" because
 1162 company K is the initial sender of the report. The previous reports from Company K, "JP-K-001" and "JP-K-002",
 1163 for patient XX should be represented in the repeatable field A.1.12, Identification Number of the Report which is
 1164 Linked to this Report.



AE: Adverse Event report(case)
 HP: Hospital observing the event
 → Report of AE
 → ICSR report
 FU : Follow up

1165

Tabular representation of fields contents for the above examples

	A.1.0.1.	A.1.10.2	A.1.11.1	A.1.11.2	A.1.12
ICSR1(K)	JP-K-001	JP-K-001			
ICSR2(B)	JP-B-001	JP-B-001			
ICSR3(C)	JP-C-001	JP-B-001	B	JP-B-001	
ICSR4(D)	JP-D-001	JP-B-001	B C	JP-B-001 JP-C-001	
ICSR5(E)	JP-E-001	JP-E-001			*
ICSR6(E)	JP-E-001	JP-E-001			*
ICSR7(K)	JP-K-002	JP-K-002			JP-K-001
ICSR8(F)	JP-F-001	JP-F-001			
ICSR9(K)	JP-K-003	JP-K-003			JP-K-001 JP-K-002

*These cases have different dates of most recent information (A.1.7)

1166
1167
1168