

1 using a long catheter. We are using a short needle
2 with directly the serum hooked to the needle, but
3 if you are using a long catheter, concentration may
4 make a big difference.

5 Finally, revascularization is occasionally
6 being done in the same area as the area where cells
7 were put in, which completely confuses the results.

8 This is, for example, the Spanish study,
9 what you see is that, what they call the untreated
10 segments, that it is segments which had just
11 bypassed, the wall motion score went from 1.2 to
12 1.1 and 1, but really, this is almost normal
13 motion, so obviously, it makes it easier to
14 demonstrate that in the other segments which have
15 bypass surgery and cells, the improvement was
16 greater.

17 This is a summary of our data from the
18 Phase I trial. We had an improvement in the
19 functional status and an increase in ejection
20 fraction. These results are meaningless because
21 these patients had associated bypass surgery.

22 So, we rather looked at the number of
23 scarred segments, and I remind you these were
24 akinetic segments without viability on dobutaminic
25 echocardiography without any possibility for

1 revascularization. So, we looked at the changes in
2 the contractions of these segments which have been
3 grafted with cells.

4 So, initially, obviously, there was no
5 motion since it was one of the inclusion criteria,
6 and afterwards we had, at two different time
7 points, approximately 60 percent of segments
8 regaining some function.

9 I am not saying that these segments were
10 normally contracting, they were not. There was a
11 slight and modest improvement. This was a blinded
12 assessment, in other words, we blinded the dates of
13 the echo tapes and asked independent
14 echocardiographers to review them and to grade
15 them. There was a modest improvement, not normal
16 contraction, but it was sufficient to push us to
17 move forward to the Phase II study.

18 I just show you a couple of examples.
19 This is a flat exterior wall, no motion at all, and
20 this is the same wall with the systolic thickening
21 following myoblast transplantation. This is the
22 MRI study which does not project on the screen. I
23 have it on the computer, but not on the screen.

24 You see here the interior infarct which
25 has been grafted, and you can appreciate an

1 improvement in wall motion in the postoperative
2 period. This is an exterior infarct. You see the
3 thin wall here, which has been grafted, and this is
4 the post-op pattern with a thickening of the wall.

5 I add intentionally that these patients
6 also had bypasses in the left system. I don't like
7 the slides where you see pre-transplantation,
8 post-transplantation, just omitting that in
9 addition, there was either bypass surgery or
10 balloon angioplasty.

11 This is another example of an interior
12 infarct pre-transplantation and bypass to the
13 posterior descending coronary artery and the
14 post-op, with an improvement in the wall motion.

15 So, now, can it be due to the
16 revascularization of the PDA? It is unlikely, but
17 it cannot be eliminated.

18 So, basically, this is the design of the
19 MAGIC, the Phase II trial which has been initiated
20 now in Europe, in different countries in Europe.
21 It is targeted to include 300 patients in different
22 countries, and to emphasize what Dr. Ruskin was
23 mentioning earlier, it is a placebo-controlled
24 study. In other words, patients following
25 randomization have a muscular biopsy and they have

1 eventually injection of a placebo solution in
2 addition to their bypass surgery.

3 There are three arms, one control and two
4 treated groups, one having 400 million, the other
5 having 800 million cells. The production of cells,
6 and this is probably important, has been
7 centralized in two sites, one in Paris and one in
8 Boston, and it is exactly the same technology which
9 is used in the two sites.

10 The primary endpoint is the improvement in
11 the contractility of the segments which have been
12 grafted with cells in the core lab and in a blinded
13 fashion. In addition to that, we are obviously
14 looking at major adverse cardiovascular events at
15 the one-year follow-up time.

16 I would like to move on now before
17 finishing to some clinically relevant perspectives
18 which may have really clinical implications in the
19 near future.

20 First of all, so far we have been talking
21 primarily of ischemia cardiomyopathy, but as
22 mentioned by Dr. Perin, there are other causes of
23 heart failure in particular non-ischemic, globally
24 dilated cardiomyopathy.

25 So, we have been interested in assessing

1 myoblast transplantation in this particular
2 context, and use a particular genetic strain of
3 hamsters which develop a non-ischemic dilated
4 cardiomyopathy, and randomize the animals to
5 receive either autologous skeletal myoblasts,
6 because phenotypically, these myoblasts are free
7 from the disease, or culture medium.

8 To make a long story short, you see that
9 there is a definite improvement in function which
10 correlates with a major engraftment of cells in
11 this non-ischemic myocardium. I think it just
12 brings another piece of evidence that maybe
13 something good is occurring.

14 The second problem is cell death.
15 Regardless of the cell type, cell death is
16 extremely high, 80, 90 percent of cells are dying
17 shortly after the injections for a variety of
18 causes, in particular, apoptosis, but also
19 ischemia. It makes sense since we are injecting
20 cells in scar areas which receive very little
21 vascularization. So, even if myoblasts are fairly
22 resistant, they die nevertheless.

23 So, now there are several studies
24 suggesting that the co-induction of angiogenesis
25 may be an effective means of improving survival of

1 the cells, and ultimately, of improving function of
2 the animals.

3 This is a study comparing transplantation
4 of fetal cardiomyocytes, injection of fibroblast
5 growth factor, or a combination of both. As you
6 can see, function is improved when you combine the
7 two therapies.

8 Recently, we have duplicated this study
9 except that we used myoblasts and another growth
10 factor, and we found exactly similar results.

11 So, there are different ways of inducing
12 angiogenesis, and I know Dr. Epstein is going to
13 discuss that, but the point I wanted to make, this
14 is, you know, the difference in cell survival
15 between myoblasts alone and myoblasts plus an
16 angiogenic growth factor.

17 The point I would like to make is that
18 probably in the future, you will have to deal with
19 proposal of studies trying to combine cell
20 transplantation with some form of angiogenesis just
21 to optimize cell survival and potentiate the
22 benefits of the intervention.

23 A third point regards cycling. This is
24 the muscular biopsy of the patient who died. I
25 previously talked about this patient who died from

1 a stroke. Initially, in this biopsy, and this is
2 not unexpected, you find fast skeletal myosin and
3 slow type myosin. You don't find fibers, virtually
4 no fibers co-expressing fast and slow.

5 When we looked at the heart of this dead
6 patient, we found approximately 30 percent of cells
7 co-expressing fast and slow myosin, which means
8 that although once again these myotubes remain
9 myotubes and do not turn to cardiac cells, it seems
10 that some of them may incur some phenotypic changes
11 in response to their new myocardial environment and
12 start expressing slow myosin, which as you know is
13 a fatigue-resistant myosin.

14 So, this is important and should be put in
15 parallel with this study showing that if you
16 co-culture myoblasts in cardiac cells,
17 cardiomyocytes, this is the green myoblast, this is
18 an antibody against a cardiac troponin and against
19 another cardiac marker, some of the myoblasts, as
20 you can appreciate here, will express some cardiac
21 markers.

22 Now, what is shown here is that if you
23 stop the beating of the co-culture fetal cells,
24 there is no myoblasts which can acquire cardiac
25 cell characteristics. Conversely, if you subject

1 the preparation to a cyclic stretch, then, the
2 stretch makes some of these myoblasts able to
3 express the cardiac markers.

4 In other words, it is quite possible that
5 in vivo, the cyclic contraction of the neighboring
6 cardiomyocytes leads to the expression of some
7 cardiac markers and leaves the slow myosin in the
8 grafted cells, and the practical implication could
9 be that maybe combining cell transplantation with
10 ventricular stimulation, resynchronization could
11 actually improve the extent by which the grafted
12 cells express slow myosin and become fatigue
13 resistant.

14 So, once again, because this is a
15 clinically used modality, biventricular
16 resynchronization, in the future, we may have to
17 deal with studies trying to combine ventricular
18 resynchronization with cell transplantation.

19 Finally, a few words about the routes of
20 delivery, I have talked about epicardial
21 injections, we are also looking at the scaffolds,
22 which are just put on top of the infarcted area.
23 We are currently working on polyurethane, as well
24 as collagen patches. Obviously, it is less
25 traumatic and maybe it could reduce a little bit

1 the extent of cell death. This is a pattern after
2 a couple of weeks.

3 Now, the catheters, I know that this issue
4 will be discussed this afternoon. I just want to
5 say that from a surgical perspective, I am amazed
6 by the fact that many clinical studies have been
7 initiated in spite of the fact that we had few data
8 on cell retention, functionality, cell viability is
9 not the only issue.

10 It is not because you see myoblasts, that
11 they are going to turn into myotubes. You really
12 have also to assess the functionality, the ability
13 for these cells to become myotubes, long-term
14 engraftment, as well as the possible interactions
15 between catheter materials and the cells.

16 Most of the studies published so far in
17 the preclinical setting have dealt with technical
18 feasibility rather than functional efficacy, and
19 the various routes have not really been compared.

20 Having said that, we are very interested
21 in the percutaneous routes, and in our group, we
22 have interventional cardiologists working in that.
23 I must say that we have been primarily interested
24 by the transvenous cell injection through the
25 coronary sinus, and this is the summary of the

1 study which was presented last week at the ACC,
2 which is a functional study that is a sheep model
3 of myocardial infarction in which we injected cells
4 through this catheter.

5 You can appreciate that it allows a real
6 delivery of the myoblasts, which turn into
7 myotubes, and this correlated with a significant
8 improvement in function. So, this is a not a
9 feasibility study, this is a true efficacy study,
10 which is encouraging at least with regard to this
11 particular catheter.

12 So, these are maybe the challenges of
13 the future, in the setting of bone marrow, the
14 famous MAPS, the mesenchymous adult report in
15 cells, which feature distant advantages, possible
16 disadvantages. We are currently working on the
17 cells, cardiac progenitors, and I am sure Michael
18 Schneider will have a lot of things to say about
19 that. Also, possible embryonic stem cells.

20 What is also important now is to compare
21 cells between them, and not exclusively with
22 controls. This is true, for example, with the bone
23 marrow.

24 I just would like to show you a recent
25 study that we have done comparing skeletal

1 myoblasts, CD133 progenitors or culture medium in a
2 randomized study, and the result is that there is
3 virtually no difference between the CD133 and the
4 myoblasts in terms of function.

5 If you look at histology, it is easy to
6 find the myotube. It is co-expressed, you know,
7 specific markers like myosin heavy chain. It has
8 been extremely difficult to identify the CD133. We
9 have to rely on PCR to find some of them, which
10 means that probably very few are still present
11 after one month.

12 So, I think it is important to compare the
13 cells, and to some extent, given the amount of data
14 which have accumulated over years, skeletal
15 myoblasts may provide a sort of benchmark for
16 testing other cell types.

17 A similar study is being done in Doris
18 Taylor's lab showing basically that there was no
19 difference between skeletal myoblasts and
20 mesenchymous cells.

21 Once again, we are back to the question
22 which was raised by Michael Schneider. This is the
23 setting of chronic heart failure, and in this
24 particular setting, current evidence will rather
25 favor skeletal myoblasts.

1 This is a completely different setting
2 from acute MI in which bone marrow cells seem to
3 generate impressive results, but they are different
4 patient populations, and it is quite possible that
5 the acute stage of the MI, the bone marrow cells
6 receive appropriate signals which allow them to
7 improve function. The setting may be quite
8 different where you are dealing with heart failure
9 patients and old scars for which apparently,
10 skeletal myoblasts look more suitable for improving
11 function.

12 So, I just would like to close by two
13 general slides summarizing a little bit what we
14 have learned from our 10-year experience in the
15 field.

16 Regarding preclinical issues, it is clear
17 that screening experiments have to be done in
18 rodents, but I think it is critically important to
19 validate that in large animal models before
20 arriving to clinical trials.

21 We have a good example of that with the
22 combination of bone marrow cells and JCSF. You are
23 aware of the initial study by Orlic's group showing
24 a regeneration of mouse myocardium by combining
25 JCSF and bone marrow cell transplantation.

1 This mouse study could now be duplicated
2 by two independent groups including Orlic's group
3 in primates, and then we have the Lancet paper last
4 week showing that there was a higher rate of
5 restenosis in patients receiving these two
6 therapies.

7 So, this jump from the mouse to the man
8 without an intervening large animal model seems to
9 be maybe a little questionable.

10 It is also important that this preclinical
11 study be designed just like clinical studies with
12 appropriate controls and blinded assessment, but
13 having said that, we must be aware that all these
14 models have serious limitations at what point we
15 are not able really to model the very complex
16 situation of heart failure patients with a
17 long-standing coronary artery disease. A good
18 example is that in our preclinical work, we have
19 never seen any arrhythmia in any of the animals.

20 Regarding clinical issues, it is important
21 to have a well characterized cell therapy product.
22 I am not sure that once the feasibility has been
23 demonstrated in small pilot trials, it is necessary
24 to multiply this 10-patient studies, because the
25 amount of information that can be collected from

1 these small studies is indeed limited once
2 visibility has been established, and I think it is
3 rather important to move on to larger clinical
4 trials focusing in efficacy and safety, and
5 allowing to draw more meaningful conclusions,
6 safety, the arrhythmias with the myoblasts and
7 possibly instant restenosis with the bone marrow,
8 and efficacy obviously is left ventricular function
9 and major inverse cardiovascular events.

10 So, we are really now at very early stage,
11 as you know, in the field. We have some evidence
12 that the myoblasts, among others, may improve
13 function, but we still have a lot of basic
14 questions to answer, and in the meantime, I don't
15 think we can make any progress without the
16 implementation of well-designed clinical trials
17 more or less resembling those which have been
18 designed for drugs with appropriate controls,
19 randomization, blinded assessment, and so on,
20 because this is the only way really to know whether
21 hosts will be matched or not.

22 I would like to acknowledge obviously all
23 those who have participated in this endeavor with a
24 special thanks for those who really did the work.

25 Thank you very much.

1 [Applause.]

2 DR. RAO: We will be open for questions.

3 Go ahead, Dr. Borer.

4 Q&A

5 DR. BORER: First of all, Dr. Menasché, I
6 have to tell you I think that was one of the most
7 exciting talks I have heard in a long time. That
8 was really wonderful.

9 I have some specific questions. I will
10 only give a couple of them, so that everybody else
11 can talk, and then maybe ask a few more later.

12 During your many years of preclinical
13 studies, I am sure you made efforts to determine
14 whether there were aspects of the preparation that
15 could increase the plasticity of the myoblasts, so
16 that they would manifest themselves more as
17 cardiomyocytes than as myocytes.

18 If you did, number one, did you find
19 anything that altered the character, that increased
20 plasticity, because if it did, that suggests that
21 maybe the current preparation isn't the end of the
22 line, maybe one could do better.

23 With that in mind, you mentioned that you
24 saw increased evidence of differentiation into
25 cardiomyocytes or more cardiomyocyte

1 characteristics in the beating setting.

2 So, I wonder--I am sure you thought about
3 it--but I wonder if you did culture any of the
4 cells, rather than on flat plates, on flexor cell
5 plates where periodic stress was applied in the
6 culture phase, so that you could perhaps generate
7 some of these cardiomyocyte characteristics before
8 injection.

9 That is one set of questions, and a second
10 question I would like to just put in here, because
11 it's a one-word answer, if these cells are
12 electrically isolated, as you mentioned, how is it
13 that they were caused to be in concert with the
14 rest of the heart?

15 DR. MENASCHE: Regarding your first
16 question, to be honest, we have not found any trick
17 during the cell culture process which could really
18 increase the transdifferentiation of these
19 myoblasts into cardiomyocytes, and really, I don't
20 think--I am thinking of the works of Chuck Murray,
21 for example--I think no one has really shown that
22 changes in the culture conditions could really make
23 them turn into cardiomyocytes.

24 The only evidence that can acquire some
25 cardiac-like characteristics is this expression of

1 slow type myosin. We are currently exploring the
2 possibility maybe of increasing the expression of
3 these slow myosin isoform by implantation
4 stimulation of the cells, but these are experiments
5 which now are going to be done, and this was the
6 reason why I was mentioning ventricular stimulation
7 as a potential additive in the future to the
8 clinical trials.

9 But to summarize, no, we have not found
10 any particular intervention, although maybe we have
11 not found the right one, which could increase the
12 proportion of cardiac-like skeletal myoblasts.
13 Now, the mechanisms, I don't know; from scratch, I
14 don't know.

15 There are different possibilities. One is
16 a limitation of remodeling. I am not sure if there
17 is a predominant mechanism because in our patients,
18 we have had some evidence of improved systolic
19 function, but we have never seen a reduction in
20 left ventricular diastolic dimensions.

21 Another possibility is that GAB junctions
22 are not the only ways for electrical impulses to
23 travel across the heart, and as you have seen on
24 the film, these cells retain excitable properties.
25 In order words, if you excite them, they will

1 contract.

2 So, it is not completely impossible that
3 in areas where physically, they are very close to
4 the neighboring cardiomyocytes, they may be
5 directly excited by electrotonic currents, and
6 there is a third hypothesis we are currently
7 exploring, and which is the paracrine hypothesis.

8 It is quite possible, and it has been
9 shown for bone marrow, for example, that these
10 cells secrete various growth factors or cytokines,
11 and so on, that can positively affect the function
12 of the host cardiomyocytes.

13 For example, in our studies we have found
14 that myoblasts and myotubes from patients secrete
15 very large amount of IGF-1, which has important
16 effects on tissue regeneration. So, maybe it has
17 nothing to do with their countertype properties,
18 but rather with the fact their behavior, small
19 factors releasing good factors for the heart.

20 So, we are currently playing with all
21 these hypotheses, but I don't have any definite
22 answer.

23 DR. RAO: So, it is pretty clear, like Dr.
24 Schneider pointed out earlier, that mechanism is an
25 issue that is still not clear.

1 DR. MENASCHE: No, it is not clear at all.
2 The only thing is I don't think that you could
3 infer from the lack of connexin 43 expression, that
4 improvement in function is not possible. I think
5 both should be dissociated.

6 DR. RAO: Dr. Kurtzberg.

7 DR. KURTZBERG: You mentioned studies with
8 bone marrow derived AC133 cells. I wonder what the
9 rationale behind the selection was and why you
10 thought there would be an advantage to using
11 selected cells over whole bone marrow.

12 DR. MENASCHE: The reason is that we first
13 did a large animal study with whole bone marrow in
14 the sheep model of myocardial infarction, once
15 again, a chronic infarct. So, we injected the
16 whole bone marrow and we didn't find anything, no
17 improvement in function, no limitation in
18 remodeling, no evidence for transdifferentiation of
19 cells.

20 So, we said, well, maybe the whole bone
21 marrow is not the appropriate medium for this
22 particular setting, let's try to purify the cells,
23 and we went to the CD133. The results were
24 slightly better in that. There was some
25 improvement in function compared with controls, but

1 the improvement was not greater than that we got
2 with the myoblast.

3 Currently, we are comparing now myoblasts
4 with AMAPCs, so we have tried to pick the different
5 populations, in a stepwise approach, test all of
6 them.

7 DR. RAO: Dr. Cannon.

8 DR. CANNON: Thank you for your talk, it
9 was most interesting. I am Richard Cannon from
10 NHLBI.

11 My question is in any of your preclinical
12 animal work, did you ever inject your myoblast
13 culture preparations or cell suspensions into the
14 circulation to see where they might end up and what
15 toxicity they might cause.

16 This may not be an issue with an
17 intra-operative injection into scar, but I would
18 imagine with a catheter-based approach, it is
19 conceivable that despite the operator's best
20 efforts, some of these cells might be injected into
21 systemic circulation.

22 Do you have any data on where the cells
23 end up, do they lodge in the brain or the kidneys,
24 do they cause any toxicity or injury to other
25 tissues?

1 DR. MENASCHE: Well, in the preclinical
2 studies we have done, we have not found evidence
3 for, first of all, all the injections were direct
4 intramyocardial injections, so it may be difficult
5 to find them in the brain or in the liver.

6 We have not found them disseminated
7 throughout the body, but I must say that maybe if
8 we had done more extensive studies, autopsy studies
9 of the brain or the lungs, or any other organ,
10 maybe we could have found some of them.

11 We have never injected intentionally the
12 cells intravenously just to see what was happening.
13 I don't believe it is a real issue because even
14 when you are injecting them intraoperatively in
15 humans, it is clear that some of them are escaping
16 through the lymphatic system or in the venous
17 system, and so far we have never seen any evidence
18 for unexpected or unusual complications.

19 But I agree with you that if you are
20 expecting some leakage of the cells in the systemic
21 circulation, this is probably a point that should
22 be addressed more extensively than we have done.

23 DR. RAO: I have a practical question.
24 Did you, when you looked at the cells, ever look at
25 BRD incorporation to see whether cells continue to

1 divide at any time?

2 DR. MENASCHE: Yes, absolutely, including
3 in the human trial. In the human trial, we always
4 keep aliquot, initially, we kept aliquots of cells
5 and just let them grow, and this is why we have
6 been able to show that these cells were
7 differentiating into myotubes including in heart
8 failure patients.

9 DR. RAO: Did you ever take your samples,
10 and look at freeze/thaw? You know, you grow them
11 in cell culture, can you freeze these cells and do
12 they behave the same way when you send them to
13 another site like you are planning in the Phase II
14 trial, for example?

15 DR. MENASCHE: Absolutely, we have done
16 that and we have validated that after thawing, they
17 retain their ability to differentiate into
18 myotubes.

19 DR. RAO: Have they been done in any
20 transplant paradigm, or has it only been done by
21 looking at they are forming myotubes in culture?

22 DR. MENASCHE: Both. We have several
23 preclinical studies in rats and in sheep, in which
24 we have used cryopreserved and thawed cells with
25 apparently functional outcomes similar to those we

1 had with fresh primary myoblasts.

2 This is the reason why actually we got
3 permission to freeze them should they become
4 necessary for logistical reasons in the Phase II.

5 DR. BORER: You mentioned that about 95
6 percent of the cells that you inject have CD56
7 characteristics. That suggests that there is some
8 alteration in some of the cells or perhaps a
9 different cell line is growing in parallel, in the
10 cultures that you are using, so I wonder, number
11 one, how many passages do you use before
12 administering the cells, and, number two, is the
13 reproduction error rate increased with passage in
14 any meaningful way, and does it make any
15 difference?

16 Obviously, a lot of these cells that you
17 inject are nonviable. When you inject them, they
18 don't survive. I don't know which ones are
19 surviving and which ones aren't. But it seems to me
20 that the number of passages employed may affect the
21 ultimate outcome of the injection, and I wonder if
22 you have some data on that from your preclinical
23 work.

24 DR. MENASCHE: We used three to four
25 passages, but it has been shown by Chuck Murray

1 that if you multiply passaging, you may end up with
2 a population of differentiation of effective cells,
3 in which case you might end up with some unexpected
4 overgrowth without any functional benefit.

5 So, it is probably important not to
6 multiply passaging too much. With three to four
7 passages, we have been able to reach the target
8 numbers of cells, 400- or 800 million of cells. We
9 don't go beyond that.

10 DR. TSIATIS: In your randomized clinical
11 trial, do you actually have formal stopping rules
12 for either safety or efficacy, and, if so, what are
13 they, or what is the general philosophy for
14 monitoring?

15 DR. MENASCHE: It is primarily based on
16 the judgments of the DMSB given the type of
17 surgical population we are dealing with. It is
18 difficult to have stopping rules, just as you can
19 have with drugs, for example, for each adverse
20 event is reviewed by the DSMB, and based on that,
21 they would decide whether the study has to be
22 stopped or not.

23 DR. RAO: Dr. Neylan.

24 DR. NEYLAN: Thank you.

25 I have another preclinical question. I

1 was wondering if you had an opportunity to compare
2 the morphology and functionality of the myoblasts
3 when these are injected either into the akinetic
4 areas or perhaps into an area resected, and thus
5 undergoing a normal reparative milieu, and whether
6 perhaps under that milieu, there might be a
7 different behavior of these cells or expression.

8 DR. MENASCHE: Really, basically, our
9 model has been the model of, you know, coronary
10 ligation creating myocardial infarction, so you
11 really end up with an akinetic scar. I cannot
12 answer this question.

13 DR. NEYLAN: You never had the chance to
14 maybe resect that, maybe adhere to the natural
15 surgical tendency of cutting things out.

16 DR. MENASCHE: I try to refrain from that.
17 I have cardiologists as bodyguards, so it would
18 just refrain you from doing that.

19 DR. MULE: You had mentioned that the vast
20 majority of cells that are injected will die, and
21 clearly, there is room for improvement with perhaps
22 increasing angiogenesis, and so forth.

23 About the kinetics of myotube formation in
24 the ischemic areas, is it a dynamic process, in
25 other words, once you inject the cells, myotubes

1 will form over a given period of time, and then no
2 more tubes will form, or additional tubes are
3 generated over a prolonged period of time, and do
4 those tubes, when they are formed, remain viable
5 for the extension of the observation period?

6 DR. MENASCHE: It is difficult to tell
7 you. The kinetic studies indicate that although a
8 substantial number of cells die, the remaining ones
9 obviously proliferate in different shape over a
10 period which seems to extend, say, two to three
11 weeks.

12 At least in patients when we have seen
13 improvements, we have never seen improvements
14 before one month, and in animals, it is difficult
15 to see any improvement before two weeks.

16 Now, afterwards, the longest follow-up we
17 have is 14 months in animals, but we cannot know
18 whether the myotubes that we found at the end of
19 the experiments were present since the beginning or
20 whether they have been continuously regenerating.

21 The interesting observation, however, I
22 don't know whether it really answers your question,
23 is that these myotubes harbor new myoblasts, so
24 when you look at them with electron microscopy, you
25 clearly see, on their basal lamina of these

1 myotubes, newly formed myoblast cells, so they are
2 able to regenerate their own pool of precursor
3 cells.

4 Now, whether these cells participate in
5 the formation of new myotubes, I don't know.

6 DR. RAO: The last comment, Dr. Noguchi,
7 and then we move on.

8 DR. NOGUCHI: I am sorry to have prolonged
9 this, but it is just fascinating. Of course, FDA
10 always loves these controls, but to follow up on
11 Dr. Mulé's question, is it a question of liability,
12 do the cells have to be alive, or you have a
13 myotube has some structure, and then I just recall
14 there is, in tumor biology, an old effect called
15 the reverse effect where if you have a few viable
16 cells with a lot of dead cells, you can actually
17 get tumors developing from one cell where normally,
18 you might need a million or 10 million.

19 I am just wondering if you have done any
20 mixtures of dead and live cells to really see how
21 much is viability, how much is surrounding stuff.

22 DR. MENASCHE: No, we have not done that
23 intentionally. We have just completed a study in
24 which we have looked more carefully at the patterns
25 not only of cell death, but also of cell

1 proliferation.

2 So, we know that we have this mix of dead
3 cells and living cells, but we have not done an
4 intentional mixing of them to see whether there was
5 any tumor formation.

6 Regarding oncogenicity, we have learned a
7 lot from our colleagues working in the field of
8 dystrophic myopathies, and it really seems that
9 these cells have a very low tumor-retaining
10 potential.

11 In the newt mice in which we have injected
12 our human myoblasts, we have never seen any tumor
13 in spite of the fact that many of these cells
14 expectedly died.

15 DR. RAO: Thank you, Doctor.

16 We will move on to our next speaker, Dr.
17 Epstein.

18 **Bone Marrow Cell Therapy for Angiogenesis:**

19 **Present and Future**

20 DR. EPSTEIN: It is really an honor to
21 have been asked to speak to this very august group.

22 I wanted to emphasize because I really do
23 think it is important in this, an ever-growing
24 field to make sure that disclosure is presented,
25 and I have a number of potential conflicts of

1 interest, which I hope in no way influences what I
2 will be talking about to you for the next 20 or 30
3 minutes.

4 I will talking about bone marrow cells and
5 angiogenesis. I wanted to start out and make a
6 careful distinction. Dr. Schneider brought this up
7 in his earlier questions, but basically, what we
8 are considering today is really the use of bone
9 marrow cells, stem cells, progenitor cells for
10 myogenesis, but also for angiogenesis.

11 It is critically important to understand
12 that these are very distinct targets with
13 undoubtedly different mechanisms and certainly
14 very, very different issues, and therefore will
15 have a profound impact on how the FDA I think
16 judges whether or not a particular proposal is
17 meritorious.

18 For example--and you have heard this very
19 eloquently discussed by Dr. Menasché--for
20 myogenesis, the transdifferentiation of adult
21 progenitor cells or skeletal myoblasts is a
22 critically important issue. Maybe you don't need
23 transdifferentiation into cardiac myocytes to
24 improve myocardial contractility, but nonetheless,
25 it is a very important issue to consider.

1 Also, if you think about how many cells
2 are present in a large myocardial scar, the issue
3 of adequate numbers of cells to replace the scar to
4 cause a significant biologic effect has to be
5 considered, and you have heard a very eloquent
6 presentation and some demonstration relating to
7 this.

8 Now, the issues relating to angiogenesis
9 are, as I indicated a moment ago, different.
10 Transdifferentiation is really not an important
11 factor, because what has been recognized most
12 recently is that cytokine secretion, exerting a
13 paracrine effect can induce proliferation and
14 remodeling of existing vessels.

15 So, it is not necessary, although it may
16 happen, it is not necessary for the cells that you
17 are injecting to turn into blood vessels. They
18 could induce the development of already existing
19 blood vessels, and the adequate number of cells
20 relating to angiogenesis is not nearly of similar
21 concern secondary to these paracrine effects that
22 have an amplifying activity of the individual cells
23 that are injected.

24 So, these are very different issues, each
25 are very important. I think the path to myogenesis

1 is going to be a longer one. I think that there
2 are a lot of problems that still have to be solved,
3 and I think the issue of angiogenesis, we have gone
4 along that path for probably a longer period of
5 time, and my sense is that we are closer to pivotal
6 clinical trials even when one considers cell
7 therapy, but I will be focusing my remarks on
8 angiogenesis.

9 The first thing I wanted to point out,
10 which is obvious to anyone who is involved in the
11 field now, is how complex the molecular and
12 cellular mechanisms are that are involved in
13 collateral formation.

14 This is a slide I always like to show.
15 This is a cartoon showing different genes
16 expressed, either increased expression or decreased
17 expression, four different times, different
18 amounts, two actually wind up with a collateral.

19 So, there are multiple, multiple genes
20 that are necessary to actually form a new
21 collateral vessel. Just to illustrate the
22 importance of interactions between different
23 angiogenic cytokines, I wanted to show you the
24 results of a study that we did a couple of years
25 ago using a rabbit ear.

1 So, here is the ear. It is supplied by
2 three major vessels. This is a laser doppler image
3 which is color coded for velocity. Red is highest
4 velocity, green is intermediate, and blue is low
5 velocity. If you tie off two of these three
6 vessels, you have a marked decrease in flow, and
7 the nice thing about the rabbit ear is that you
8 could observe this hourly if you wanted, and you
9 could with this laser doppler do repeated analyses
10 of the changing flow with time.

11 What you can also do is focus on a
12 particular area of interest and measure tissue
13 perfusion and the change in tissue perfusion that
14 occurs with time.

15 Here is the tying off of these vessels,
16 resulting in a profound decrease in flow, which
17 gradually recovers over several weeks, and in this
18 particular model, it is quite interesting. It
19 plateaus off below normal flow, so this is a model
20 of chronic hypoperfusion, which makes it kind of
21 interesting.

22 In this model, we looked at what happens
23 with endogenous VEGF levels, and VEGF is a key
24 angiogenic molecule, so we measured VEGF by western
25 blot before the induction of ischemia, and there is

1 essentially no VEGF present, however, if we measure
2 VEGF levels throughout the course of this, and even
3 at the end, there is a low level of VEGF present.
4 So, this is further indication that we are dealing
5 with a chronically ischemic preparation that has a
6 background of VEGF present.

7 The next issue that we wanted to document,
8 we took the model during the period of chronic
9 ischemia, and we take that now as our starting
10 point for this experiment, where we had an
11 angiopoietin-1 gene within an adenoviral vector, so
12 that is the transgene, which we inject
13 intradermally in the region of hypoperfusion in the
14 ear.

15 We inject it and we see over the course of
16 time, a major increase in collateral flow and
17 tissue perfusion, but remember there is background
18 VEGF present. If we coinject with the adenovirus
19 expressing angiopoietin-1, an inhibitor of VEGF,
20 and this is a soluble VEGF receptor, so it sops up
21 and inactivates whatever VEGF is present, it
22 obliterates the collateral-forming effects of
23 angiopoietin, so it just is an example of how you
24 need multiple factors to develop your collaterals.

25 If any one of these is perturbed, you

1 could seriously influence the course of collateral
2 development.

3 Now, just as background for the cell
4 therapy, there have been a number of adequately
5 powered, randomized studies that have been
6 performed using individual cytokines for
7 angiogenesis, and basically, these are either basic
8 FGF or VEGF used in the coronary circulation or the
9 peripheral vasculature, either the protein was
10 injected or a gene encoding the protein were
11 injected.

12 As of the moment, there have been no
13 definitive and robust beneficial results. There is
14 trends, there is some encouragement, there is some
15 early positive results, but nothing to really get
16 excited about.

17 Of course, as I indicated, all of these
18 randomized studies to date have involved a single
19 agent to promote collateral development, and it was
20 these considerations about four or five years ago
21 that provided the impetus for developing and
22 testing a second generation of angiogenesis
23 strategies, which is the use of cell therapy, which
24 had the potential to deliver multiple
25 collaterogenic cytokines.

1 I just wanted to show this slide. I am
2 not an expert at all in stem cells, progenitor
3 cells, but I just wanted to indicate to you what
4 has been used in clinical trials or in late-stage
5 preclinical trials. So, hematopoietic stem cells
6 characterized by positive CD34-133, which do
7 progress to endothelial progenitor cells and then
8 to endothelial cells, which have been shown to lead
9 to an increase in collateral flow.

10 Now, more recently, monocyte lineage cells
11 have been demonstrated. These are characterized by
12 the lack of CD34, but having CD14 and 45 MAC-1,
13 these monocyte lineage cells have been shown, not
14 to produce endothelial cells directly, but
15 nonetheless, are capable of inducing collateral
16 formation.

17 We have used freshly aspirated bone marrow
18 cells that have been filtered and directly
19 injected. These are autologous into pig ischemic
20 hearts, as well as patients. You have heard about
21 monocyte-derived bone marrow cells. Dr. Perin used
22 these in his study.

23 We have been also working now with
24 mesenchymal stem cells or stromal cells. There are
25 multiple terms that have been used to describe

1 these. These are CD34-negative, 45-negative cells,
2 and these have been shown to produce collaterals.

3 Now, I won't get into this in any detail,
4 but you should be aware of the fact that some of
5 these cells are believed to incorporate into
6 developing collaterals, with that being a major
7 mechanism by which they enhance the development of
8 collaterals, whereas, other interventions are not
9 believed to have that as a major mechanism, but the
10 major mechanism being the secretion of all sorts of
11 cytokines and growth factors that lead through a
12 paracrine effect to the development of either new
13 collaterals or the enhancement of existing
14 collaterals.

15 What I will be talking about, because all
16 of our recent work has been done with these
17 mesenchymal stem or stromal cells, I will be
18 talking about that for the next few minutes, and
19 these we refer to MSCs.

20 So, these MSCs, just to start or justify
21 our further studies, were cultured in vitro and
22 assayed. The conditioned medium was assayed, and
23 here is our control cells which produce small
24 amounts of VEGF MCP-1 and FGF, but the MSCs produce
25 really quite large amounts of these angiogenic

1 cytokines.

2 So, we were very excited about that,
3 thinking that they could be little factories that
4 might enhance collateral development. So, this is
5 the mouse hind limb model. This is laser doppler
6 imaging, as I showed you with the rabbit ear. Here
7 is the mouse's tail and the two hind limbs, and the
8 femoral artery is ligated at day zero, and this is
9 followed now every few days, and you can see there
10 is some return of function under control
11 conditions.

12 This is just injecting media that had not
13 been exposed to cells, and here is what we see with
14 media alone and with the control cell. This is
15 mature aortic endothelial cells.

16 But then when we inject into the hind limb
17 MSCs, we see a quite marked improvement in
18 perfusion, and this can be quantitated as shown in
19 this slide. So, this was a very exciting
20 demonstration for us, which was repeated multiple
21 times in different experiments.

22 Now, I won't belabor the number of studies
23 that have been done here. It is in your handout
24 that was distributed, I think it is page 20 and 22,
25 but I will just go over a couple of the highlights.

1 There have been 7 or probably 8 published
2 studies in either chronic ischemia--and this is
3 angiogenesis studies, no myogenesis--in chronic
4 ischemia or in acute myocardial infarction.

5 The points to be made are, number one, all
6 of these studies have shown safety, they have shown
7 feasibility, and they have all showed positive
8 trends, they have been encouraging, but as Dr.
9 Menasché very carefully pointed out with his own
10 myogenesis studies, when you are dealing with such
11 small numbers of patients, none of these studies
12 was randomized, double-blinded. There is no way
13 you could draw any conclusions regarding efficacy.

14 So, it is encouraging and it certainly
15 would indicate that additional studies are
16 necessary, but we can't make any inferences whether
17 the strategies that work in an animal model very
18 reproducibly necessarily work in humans.

19 Now, I want to point out, and I think we
20 have to be aware of this upfront, and any
21 investigator who is involved in the field has to be
22 aware of it, that there are potential problems with
23 any angiogenic strategy including cell-based
24 strategy.

25 For example, genetics. Here are some

1 beautiful studies done by Birgit Kantor in
2 collaboration with us. This is microscopic CT
3 imaging of two different strains of mice. This is
4 the femur, tibia, and this is the femoral artery,
5 and the femoral artery had been ligated, and you
6 can see that the C57 black 6 mouse has an
7 extraordinary capacity to develop collaterals,
8 however, about C. mouse, same ligation site, has a
9 paucity of collaterals. Well, clearly, the same
10 thing must relate to humans.

11 Another thing that was raised earlier is
12 the enormous variability amongst patients to
13 respond to angiogenic interventions. These are not
14 patients, these are mice, and this is the typical
15 experiment that I showed earlier, looking at laser
16 doppler perfusion.

17 The mouse has the femoral artery ligated,
18 and there is a gradual recovery of flow in young
19 mice, however, if you look at knockout mice that
20 have high cholesterol levels, their capacity to
21 develop collaterals is significantly impaired.

22 Now, if you take an old mouse--these are
23 mice about 18 to 20 months of age--they are really
24 having trouble developing collaterals, and then if
25 you take an old mouse who has high cholesterol

1 levels, they are really in bad shape.

2 Now, no one has demonstrated this
3 relationship in humans, but I am certain that it
4 occurs. So, there is going to be different
5 capacities of different individuals to develop
6 collaterals, and undoubtedly reflecting different
7 potential to respond to angiogenic interventions.

8 Now, here is something I would like Dr.
9 Schneider to look at, because he said this has not
10 been published before, but it has been published.
11 This is in I think JACC in 2002, but when we did
12 our clinical study, injecting autologous filtered,
13 freshly aspirated bone marrow cells into ischemic
14 myocardium of patients, we took an aliquot of these
15 cells and cultured them, and looked at VEGF
16 production, as well as other cytokine production,
17 and over the course of time, one sees an increase
18 in VEGF production, so these cells do have the
19 capacity to produce different angiogenic cytokines
20 including VEGF, but that is the mean data.

21 If you look at the individual data, there
22 is marked patient-to-patient variability in the
23 capacity to express VEGF, so here is a patient who
24 really has a great capacity to produce VEGF,
25 whereas, this is a patient who hardly can produce

1 VEGF at all, and it is not a great stretch to think
2 that this patient may not respond as vigorously to
3 cell therapy as the patient whose cells have a
4 great capacity to produce VEGF.

5 Now, we didn't look at enough patients to
6 be able to make such correlations, but I am sure
7 that this is an issue that has to be addressed, as
8 Dr. Schneider really pointed out before.

9 Now, let's look at the cells we are
10 injecting, and this was also raised earlier, so we
11 are looking here at a HIF-1--I will just get into
12 that in a moment--but it is a transcription factor
13 that is a key modulator of the cells response to
14 ischemia, so let's take it for the moment that it
15 is a key angiogenic factor, so this is a Western
16 showing HIF levels, and the first thing I want you
17 to concentrate on is under normoxic conditions,
18 young and old, HIF is not present, it is mostly
19 absent as a matter of fact, in the absence of
20 hypoxia.

21 Now, in the young mice, if you expose
22 these cells to hypoxia over 12 hours, there is a
23 major increase in HIF protein, and then that has
24 important compensatory effects on the cells'
25 response to hypoxia, however, cells derived from

1 old mice have a markedly impaired ability to form
2 HIF in response to hypoxia, so there are
3 age-related changes in the capacity of cells--these
4 are MSCs--to perform in a way that we would expect
5 them to if they were going to have a potent effect
6 on collaterals.

7 So, HIF is a master switch gene in the
8 presence of hypoxia, a heterodimer is formed,
9 HIF-1-alpha, and HIF-1-beta, which attaches to the
10 promotor of many genes and turns these genes on,
11 and amongst the genes are multiple genes related to
12 angiogenesis, VEGF, VEGF receptor, FGF, et cetera.

13 Now, just to show the biologic effects of
14 what I just showed you before, that is, the
15 inability to increase HIF protein in response to
16 hypoxia, here are young and old mice, and we are
17 looking at VEGF levels. These are cells, MSCs
18 growing in culture, and here is the intrinsic VEGF
19 production.

20 When we expose young cells to hypoxia,
21 there is a major increase in VEGF production,
22 mediated mainly by HIF-1 reduction, but old mice
23 not only have the lower levels of HIF-1, but they
24 have a lower target production of HIF-1, that is,
25 VEGF production.

1 So, these are real phenomenon I think that
2 we have to be aware of and begin to start thinking
3 about when we are dealing with any angiogenic
4 intervention, but certainly with the cell
5 therapies.

6 So, these considerations provided the
7 impetus to test another generation of angiogenic
8 strategies, and this relates again to one of the
9 earlier questions of the panelists, and we are very
10 much involved in genetic manipulation of these MSCs
11 to see if we could further enhance their ability to
12 secrete angiogenic cytokines and so to improve
13 collateral flow.

14 This is a construct of Genzyme. They have
15 been very helpful in working with us in this. In
16 the absence of severe hypoxia, these two dimers of
17 HIF, HIF-1-beta and alpha, there is no heterodimer
18 formed because HIF-1-alpha is rapidly degraded.

19 So, to overexpress HIF-1-alpha, so that
20 the heterodimer can form, we transfect these cells
21 with an adenoviral vector that has the HIF-1-alpha
22 transgene and that has a deletion insertion,
23 putting on a herpes sequence VP16, which stabilizes
24 the protein under normoxic conditions, so we are
25 able to overexpress HIF-1-alpha, the heterodimer

1 can be formed, and the genes can be transactivated.

2 So, we then looked at the capacity of this
3 intervention to cause these MSCs to secrete
4 angiogenic cytokines, so this is VEGF. The cells
5 now are exposed to just hypoxia, and you can see
6 there is about a doubling of the amount of VEGF
7 present, but when we transfect these cells with the
8 HIF-1-alpha, there is a huge increase in VEGF
9 production, and the same thing is true for
10 fibroblast growth factor.

11 So, this was really exciting to us because
12 we saw that we could genetically manipulate these
13 cells to make them at least in vitro more like a
14 better collateral enhancer.

15 We then went to our mouse ischemic hind
16 limb model to test this concept. Here are our
17 control cells, mature aortic endothelial cells.
18 Here are our non-transfected MSCs, and here are our
19 transfected MSCs. So, not only do we see an effect
20 in vitro, but we see what would have been predicted
21 from the in vitro effects in vivo.

22 I think that this is probably something
23 that we have to think about given the effects of
24 various risk factors on the ability of cells to
25 achieve their desired effects.

1 I did want to point out for the panel that
2 down the line, we are not only going to be talking
3 about cells, but cell-derived products in
4 cardiovascular therapy, and I will just spend a
5 moment on this, and mention the effects of
6 conditioned medium on collateral development.

7 As I showed you before, if you grow cells
8 in culture and allow them to produce whatever
9 goodies they are producing, and then you take the
10 media and you inject that media into the ischemic
11 hind limb of mice, you get--well, that will be the
12 next slide--but here is what I showed you before,
13 so these in the media contains more VEGF, more
14 MCP-1, more FGF, and multiple other gene products
15 that we haven't tested, but when we put this
16 conditioned medium into the ischemic hind limb of
17 the mouse, here is the control again, here is our
18 control.

19 Here is the injection of the MSC
20 conditioned medium. We see that the media alone
21 has the capacity to increase collaterals. So, this
22 undoubtedly is going to be something that you are
23 not going to see an application to this, I don't
24 think in the next few months, but in the next six
25 months or a year, I think that the cell products is

1 another very interesting way to use the angiogenic
2 potential that bone marrow cells have.

3 Just to show that this is biologically
4 important, we looked at the number of collateral
5 vessels the media increased, the number of
6 collateral vessels, the strength of the leg as an
7 ambulatory score, the media increased that, and
8 also the amount of atrophy that occurs in the calf
9 as a result of ischemia, and the media injection
10 decreases that, so it was a biologically relevant
11 intervention.

12 I just wanted to mention safety concerns,
13 and I was interested in Dr. Menasché's comment
14 about this. There are multiple well-known ones
15 that are usually tracked, and I won't get into
16 this, but I would just alert you to something that
17 is more theoretical than proven, but I think you
18 have to be aware of it and at least consider it
19 when you are considering the safety of angiogenic
20 interventions.

21 That is--and this is a general rule that I
22 have come up with--whatever induces angiogenesis,
23 induces atherogenesis, and I refer to this as the
24 Janus phenomenon. Janus was a Greek god with two
25 heads, so that when he looks out one way, he is

1 also looking at the other. That goodness can also
2 be badness, there are no free lunches.

3 So, I would think that any angiogenesis
4 intervention, one of the potential side effects
5 that one should look for is the acceleration of the
6 atherogenic process.

7 This is just a slide that is still in
8 development, but basically, it shows that when you
9 induce ischemia in a hind limb, you have decreased
10 PO₂. This activates cytokine release, which
11 activates bone marrow cells, splenocytes, many
12 inflammatory cells.

13 We now know that inflammatory cells are
14 critically important to the development of
15 collaterals. Macrophages have been shown to be
16 critical. We have shown that both CD4,
17 t-lymphocytes, and CD8 t-lymphocytes are critical
18 to collateral development, but these same factors,
19 these same inflammatory factors also have been well
20 described to lead to, much longer than
21 angiogenesis, an acceleration of atherosclerosis.

22 So, if it causes angiogenesis, think very
23 hard as to whether it might be worsening the
24 atherosclerotic process.

25 The conclusions: single molecule-based

1 strategies to improve collateral flow, although
2 effective in animals, have yet to be proven
3 efficacious in patients. Cell-based strategies
4 have great promise because of the ability of bone
5 marrow derived progenitor cells to secrete multiple
6 collaterogenic cytokines.

7 However, cell-based therapies also have
8 the potential of achieving suboptimal effects
9 because of the effects of aging and other risk
10 factors on cell function. The optimal strategy has
11 yet to be identified, but genetic manipulation of
12 cells would appear to hold great promise, and use
13 of cell products, such as conditioned medium
14 derived from cells, will also undoubtedly be
15 explored as a therapeutic strategy in the near
16 future.

17 Thank you.

18 [Applause.]

19 DR. RAO: Thank you, Doctor.

20 We have time for a few quick questions.

21 **Q&A**

22 DR. SCHNEIDER: Steve, as an exploratory
23 tool, conditioned medium for angiogenesis makes a
24 lot of sense for the reasons that you articulated,
25 but as a therapeutic product, that would be true

1 if, and only if, conditioned medium contained
2 products that could not be identified or could not
3 be added combinatorily from defined factors.

4 So, it seems to me it will be especially
5 useful in those conditioned medium experiments to
6 test the effect of specific blockers and find out,
7 at a reductionist level, what the components are.
8 If it were as simple as angiopoietin and VEGF, one
9 could use angiopoietin and VEGF.

10 DR. EPSTEIN: Right, it's a very good
11 point. My own feeling is, having been in this
12 field now for 12--more than that--14 years, it is
13 so complex and the number of factors that are
14 involved in collateral development are not 4 or 5,
15 but they are dozens, and maybe even more than that,
16 that I personally will not waste time trying to
17 figure out what two products are enough or what
18 three products, I don't believe that, but the
19 cells, they know how to develop collaterals, I mean
20 they are doing it all the time, so I will go with
21 cell therapy, and I will allow someone else to look
22 at what combination of three factors might be
23 optimal.

24 It may be that you could find such
25 factors, but don't forget, not only do you have to

1 know what factors are present, but you have to know
2 in what concentrations, and so on.

3 I think the cells, they are eliminating so
4 many of the issues that if we were going to look at
5 individual cytokines, we would have to explore for
6 years, so it is a good point, but I think that the
7 practical issues, given the huge complexity of
8 this, would be overwhelming.

9 DR. SCHNEIDER: To follow up on your
10 comment, which I would share, that it is extremely
11 likely that engineered cells will outperform naive
12 cells, I would like to ask the participants from
13 FDA what additional hurdles are seen in the
14 consideration of gene-engineered cells to be
15 applied to these therapeutic situations.

16 DR. NOGUCHI: I think we can answer in
17 general that actually, we have a fairly rich
18 experience with gene-modified cells that have been
19 given to individuals for a whole variety of
20 diseases, not too many for cardiovascular, but I
21 wouldn't expect that we would have very much
22 difficulty in really being able to handle that.

23 DR. RAO: Dr. Mulé.

24 DR. MULE: Combining your presentation
25 with Dr. Menasché's, I was sitting here wondering

1 what is known about, if one takes whole bone marrow
2 cells and perhaps Dr. Kurtzberg can add to this,
3 with Dr. Menasché's studies, if there are cells
4 within the marrow that can give rise to myotubes,
5 and you combine that with a population of cells
6 that could be responsible for collaterogenesis, the
7 issue is are they the same cell or are we at a
8 period in time where we can identify two subsets
9 within the marrow that conceivably could be
10 combined to overcome some of the issues of
11 viability that Dr. Menasché has talked about.

12 If the answer is no, we are not there yet,
13 then, it begs the question if one were to use an
14 adenovirus to introduce a gene to improve
15 collaterogenesis into the cell population, that is
16 identifiable for producing myotubes, the issue is
17 does that manipulation adversely impact the ability
18 of that cell to create myotubes.

19 DR. EPSTEIN: Well, those are sensational
20 questions, and I have never thought of this last
21 one before, but it is certainly--you know, it is so
22 easy to do harm, and it is so hard to do good, so I
23 mean your question is very apt, I mean does the
24 very expression of the cytokines that enhance
25 angiogenesis, might it interfere with myogenic

1 potential, I don't think anybody has done that
2 experiment. Hopefully, the answer will be no, but
3 it certainly is an experiment that has to be done.

4 The MSCs that we are deriving from the
5 bone marrow do not differentiate into myoblasts,
6 and it would be a very interesting experiment to
7 take Dr. Menasché's approach and mix these in with
8 the skeletal myoblasts to see, because I can't
9 understand how, if you have a scar, and you are
10 injecting cells into the scar, and you do nothing
11 about the blood flow, why those cells won't turn
12 into scar. The blood supply clearly was
13 demonstrated to be inadequate because you have got
14 scar.

15 Of course, Dr. Menasché is actually doing
16 some experiments now using the same molecule that
17 we are using to induce collateral formation, so it
18 certainly is a very, very important strategy to
19 test.

20 DR. KURTZBERG: In answer to the other
21 question raised, I don't personally think we know
22 which subsets are important yet, or whether subsets
23 are more important than whole cell preparations. I
24 think that all should be the focus of questions
25 going forward.

1 Evan Snyder has an interesting model of
2 spinal cord injury and repair. It's a rat model.
3 They ligate, take a hunk of spinal cord and then
4 inject allogeneic cells and look at repair, and
5 they see repair and re-formation and re-connection
6 of nerves, but when they went back and looked to
7 see what cells did it, it turned out they were host
8 cells that were facilitated by something that the
9 allogeneic cells brought to the table, although
10 they don't know what.

11 To me, that just points out how much we
12 don't know and how complex the process is, and how
13 much more we need to study.

14 DR. RAO: One last question. To me, and I
15 am somewhat naive in this field, there is a
16 difference between new vessel initiation and
17 collateral formation of regrowth in terms of the
18 factors which had acquired, and so on, and it seems
19 to me in some models of cardiac ischemia, what we
20 are looking at are completely ischemic regions and
21 long term, which there is no regrowth, and if you
22 had to do anything, it would be new vessel
23 formation.

24 Would it be fair to say that we can't
25 extrapolate from the current models that you talked

1 about in terms of the reigation and
2 revascularization, or is it reasonable to be able
3 to extrapolate from those models to what you think
4 might happen in a cardiac model?

5 DR. EPSTEIN: Well, I think it is
6 reasonable to extrapolate because we demonstrate
7 that we are able to improve perfusion, but your
8 question is really a very interesting one, and that
9 is, there used to be a major emphasis that
10 increased perfusion is just due to angiogenesis or
11 the development of new capillaries.

12 Well, I think most people involved in the
13 field would agree at this point that capillaries
14 don't increase flow. They facilitate the
15 distribution of flow, and what you need is an
16 increase in conductance vessels or arteriogenesis
17 to truly produce an overall increase in flow.

18 However, we have some preliminary data to
19 suggest that both processes are real, that
20 angiogenesis is a part of arteriogenesis, and that
21 you need the development of capillaries, that the
22 development of new vessels, capillaries, can
23 remodel to form collaterals.

24 That is why I don't use the term anymore
25 of angiogenesis. I say "collaterogenesis," because

1 it gets away from the mechanistic aspects, which
2 are critically important, and we still don't have
3 the answer what cytokines produce angiogenesis,
4 what are important in terms of arteriogenesis, and
5 are both important to actually optimize the
6 development of collaterals, so we still have a
7 couple of years I think to go to answer that
8 question.

9 DR. RAO: One last question

10 DR. HARLAN: When you showed the
11 adenoviral HIF transfected cell lines and showed
12 that those were more efficient at elaborating
13 cytokines and inducing vessel growth, it adds
14 another question, that then becomes, however,
15 potentially anyway, a less well refined product,
16 the cell-conditioned medium from those cells, and
17 in view again of what we heard when we started
18 today, as we move forward with thinking about
19 delivering products to people, you want them to be
20 defined, and I wonder if you would comment on that.

21 DR. EPSTEIN: Well, if this field is to
22 move forward, I think that criteria is going to
23 have to be eliminated because there is no way you
24 are going to be able to define--maybe I am
25 exaggerating--the hundreds of molecules that these

1 cells are producing. We don't even know how to
2 measure them.

3 But I am sure the FDA allows the infusion
4 of serum and plasma from one individual to another.
5 Do you know what is in that serum?

6 DR. HARLAN: I am not the FDA.

7 [Laughter.]

8 DR. EPSTEIN: So, there is a precedent for
9 not knowing what you are injecting. To be honest,
10 we are injecting cells, and we know a few of the
11 molecules that they are secreting, but we have no
12 idea of the concentration, and whether it is going
13 to vary from one patient to another, and if a
14 patient has diabetes or has hypercholesterol, so
15 believe me, if you going to be compulsive and say
16 we have to know the concentration, when, over the
17 course of time, those molecules are up, and what is
18 their interaction, we have to stop this field right
19 now, it can't move forward, and it is too bad. I
20 mean you would like to know everything, but this is
21 not a characteristic of cell therapy.

22 DR. RAO: On that note, we will break for
23 lunch. We broke a little late, so we will try and
24 come back a little bit later, but not too much, so
25 we will shoot for 1:00.

1 [Whereupon, at 12:15 p.m., the proceedings
2 were recessed, to be resumed at 1:00 p.m.]

1 DR. McFARLAND: Thank you, Dr. Rao, and
2 welcome back from lunch.

3 [Slide.]

4 This slide is intended to remind me to
5 answer the implicit question which may have been
6 raised, and the question is: Isn't the FDA putting
7 the cart before the horse?

8 The answer is, well, yes, in a way. We
9 thought that it would be good to focus and give
10 people a peek at what is in the cart below those
11 flat-screen monitors, I suppose, before we spend
12 the afternoon dealing with the horse which is
13 pulling the cart, the draft horse of product
14 development being preclinical studies and product
15 characterization.

16 [Slide.]

17 As Dr. Rao said, I am Richard McFarland,
18 and I am in the Office of Cell Tissue and Gene
19 Therapy in CBER.

20 [Slide.]

21 What I am going to do, I have been charged
22 with providing a perspective, FDA perspective to
23 the preclinical and manufacturing issues of cell
24 therapies for cardiac diseases.

25 First, I am going to describe the general

1 framework in which the FDA conducts our
2 science-based assessment of safety of novel
3 cellular therapies prior to allowing clinical
4 trials to proceed.

5 Second, I am going to describe the goals
6 of preclinical testing, safety testing in general,
7 and then a little specific about how that applies
8 to cellular therapies for cardiac disease, and
9 finally introduce the speakers for the rest of the
10 afternoon.

11 [Slide.]

12 FDA review is product-based, and it
13 parallels prudent product development. This is in
14 contra-distinction to the NIH grant process,
15 which is more based on diseases and organ systems,
16 which is illustrated just in the administrative
17 structure of the two agencies, NIH being primarily
18 divided by institutes, and FDA being primarily
19 divided by products that we regulate.

20 That means that our FDA review is
21 dependent on the characteristics of a specific
22 product, and the preclinical studies are designed
23 to support the use of specific products, and the
24 clinical trial design that we review is designed to
25 be supported by manufacturing and preclinical data.

1 That product-based review is framed by
2 regulations. I think I am the one designated to
3 get ready for regulations from the FDA.

4 [Slide.]

5 These are selections from the
6 Investigational New Drug regulations. I want to
7 highlight a few things, that being that regulations
8 stipulate there is adequate information about
9 pharmacological and toxicological studies, that the
10 sponsor has concluded that it is reasonably safe,
11 and that the kind, duration, and scope of those
12 required tests vary with the nature of the proposed
13 clinical investigations.

14 [Slide.]

15 A little further down in the regs, for
16 each toxicology study that is intended primarily to
17 support the safety of the proposed clinical
18 investigation, a full tabulation of data suitable
19 for detailed review should be submitted.

20 This is kind of critical to the way that
21 we do the review in that we need to get enough data
22 to do a detailed review.

23 [Slide.]

24 Let's back up for a minute and go to
25 preclinical evaluation in general. What are the

1 goals of preclinical evaluation with a perspective
2 of supporting trials?

3 One is to provide a rationale for the
4 proposed therapy, discern mechanism of action,
5 identify "at risk" patient populations, recommend
6 safe starting doses and escalation schemes for
7 humans, do a preliminary risk/benefit assessment,
8 and to identify parameters for potential clinical
9 monitoring.

10 [Slide.]

11 I will talk a little more specifically
12 about use of preclinical models for cellular
13 therapies. Preclinical models are used to provide
14 the scientific rationale with the cellular product
15 intended for clinical use, to understand cell
16 function, trafficking, and differentiation as all
17 these factors impinge on safety, as well as
18 modeling of routes of administration.

19 [Slide.]

20 If we had an ideal animal model for
21 cardiac cell therapies, it would have a similar
22 pathophysiology to humans that would improve the
23 predictability of human risk from the models,
24 similar anatomy to humans, which would allow us to
25 use various routes of delivery including catheters

1 of various types, with actually the clinical
2 catheter, it would also allow us to do extensive
3 dose exploration of cells, and it would be
4 immune-tolerant to human cells, so you could
5 actually use a human cellular product.

6 [Slide.]

7 Well, such an animal model doesn't really
8 exist, so we often use syngeneic animal models of
9 cardiac diseases because they can provide us useful
10 data for assessment of safety.

11 That would be cells from analogous cell
12 source processed in a similar way from animals,
13 autologous cells in the animal species or syngeneic
14 species, gives rise to potential processing,
15 formulation, and storage differences, and limited
16 product characterization both preclinically and
17 clinically leads to some uncertainty in addition to
18 the uncertainty that is inherent in the modes
19 themselves.

20 [Slide.]

21 Add to that, we have added complexity due
22 to innovative delivery systems, many of which have
23 not been tested for delivery of cells. Common
24 delivery systems that we have seen, intraoperative
25 transepicaldial injection usually during CABG,

1 catheter-mediated transendocardial injection, and
2 catheter-mediated via cardiac vein, all of which I
3 think were discussed this morning.

4 [Slide.]

5 I only want to present a short scaffold of
6 the animal models that have been published so far,
7 and our speakers this afternoon will much more
8 extensively discuss the data.

9 There have been data in small animal
10 models, often cryoinjury, occasionally coronary
11 artery ligation to give an ischemic area damaged
12 myocardium. One of the advantages of the small
13 animal systems is that you have them available, at
14 least in mouse and rat, to use a human cellular
15 product to give you an idea of function and safety
16 of those cells. Primarily, it has been in mouse,
17 rat, and rabbit.

18 [Slide.]

19 Large animal models, typically, dog,
20 sheep, and pig, and we have seen some of those data
21 this morning, as well, an ameroid constrictor used
22 to generate an ischemic area has been a popular
23 model of disease. They are amenable to catheter
24 administration and more amenable to clinical
25 monitoring modalities, however, you are stuck with

1 using syngeneic cells in this situation.

2 [Slide.]

3 The regulations say that the data should
4 be adequate and extensive. What are the potential
5 sources of data to support initiation of clinical
6 trials?

7 Well, the gold standard would really be
8 preclinical studies specifically designed to
9 support a specific trial with a specific cell.

10 We also have data from other potential
11 sources: existing animal studies that were
12 designed to answer other questions, in-vitro
13 studies, clinical trials using the "same" product.

14 [Slide.]

15 However, we use data that are published
16 and unpublished. Using published data either from
17 animal studies or human studies as sole support for
18 initiation of clinical trials raises some
19 questions, some complexities, because often these
20 studies are not designed to answer a toxicologic
21 question, and therefore, adequate toxicology
22 endpoints may not have been incorporated into the
23 design.

24 If they were incorporated into the design,
25 but not in the publication, we need access to those

1 data. Published reports may provide sufficient
2 information for independent review.

3 [Slide.]

4 There are some limitations in using
5 published studies. Protocols in the studies need
6 to be sufficiently detailed. We need to be able to
7 do our independent review as per our regulations.
8 We need to see specifics of the route of
9 administration.

10 We need to see catheter specifics, such as
11 identity of the catheter, flow rate, pressures,
12 effects of catheters on cells, location of
13 injection in relation to the ischemic area, and
14 protocols, either animal studies or human
15 protocols, we need the control details of the
16 "routine" monitoring and analytical plans.

17 [Slide.]

18 The data must be presented in sufficient
19 detail.

20 In-process and lot-release data from
21 manufacturing need to be presented in sufficient
22 detail for us to know exactly what the product is,
23 and complete study reports for both animal and
24 clinical studies.

25 [Slide.]

1 Cellular products used in published
2 reports may not be comparable to the intended
3 clinical product. Often, in published reports,
4 there is insufficient data to allow us to make a
5 comparability assessment, and that is either
6 because the data don't exist or due to editorial
7 constraints of the publication.

8 [Slide.]

9 So, given the limitations of the framework
10 or the window at which FDA is required to look at
11 these, and the detail that we are required to look
12 for prudent product development raises some
13 regulatory challenges.

14 These are rather recurrent regulatory
15 challenges. This is does the submission contain
16 sufficient information to assess risk to the
17 subjects in the proposed trial. It is a question
18 that we ask at the end of our review. Were
19 adequate preclinical studies performed? If they
20 were performed, were the data submitted in
21 sufficient detail to conduct an independent review?

22 If sufficient data are present, then we
23 get to the question, are the risk to human subjects
24 reasonable and significant?

25 [Slide.]

1 That gives you an idea of the framework
2 with which we need to look through to the window of
3 science and what we are obligated to do as we make
4 an assessment.

5 There is discretion, there is ability to
6 be flexible within the regulations, and what we are
7 asking the committee to do over these two days is
8 to give us an idea of what the state of the science
9 is. It will be reflected in the questions that we
10 will be discussing tomorrow afternoon.

11 What is the state of the science? What
12 is a reasonable amount of data for us to be looking
13 at?

14 This afternoon, we are going to have two
15 speakers that are focusing primarily on cells.
16 Doris Taylor from the University of Minnesota and
17 Silviu Itescu from Columbia.

18 After the break, followed by Dr. Nick
19 Jensen from the Center for Devices and Radiologic
20 Health at the FDA, who will focus on delivery
21 devices and some of the issues that are related to
22 development and testing of delivery devices, which
23 are an integral part of our preclinical
24 development.

25 Then, Robert Lederman from the NIH will be

1 discussing some of his experiences from being in
2 the trenches of doing studies with devices, cardiac
3 diseases.

4 DR. RAO: Thank you.

5 We will now have Dr. Taylor.

6 **Guest Presentations**

7 **Myoblasts: The First Generation Cells for**

8 **Cardiac Repair: What Have We Learned**

9 DR. TAYLOR: Thank you. I have to confess
10 that if I had seen those previous slides before I
11 had signed my talk, it would be a completely
12 different talk, so bear with me.

13 I am going to be talking about some of our
14 data and some of the data from the rest of the
15 field, but I think what I really want to focus on
16 is myoblasts for cardiovascular repair and what
17 lessons we can learn from the cells that have been
18 used for the longest period of time preclinically,
19 and I think another way to think about this is gene
20 therapy revisited, are we going to do it all over
21 again.

22 I think the point that I want to make is
23 that there are a lot of lessons that we can learn
24 from the gene therapy field as we are going forward
25 with cell therapy, and I think it is important to

1 take those lessons away from this. I will be glad
2 to talk about that in more detail if people have
3 questions later.

4 I like to start with this because this is
5 what I tell the people in my lab, and I think it is
6 true - make everything as simple as it is, and no
7 simpler, from Einstein.

8 Philippe will recognize this. This is an
9 image I borrowed from his Lancet manuscript in
10 2001, showing the first patient into whom myoblasts
11 were actually delivered clinically. I actually use
12 it to illustrate what I think is the salient point
13 here, which is that most of us are dealing with
14 animals as well as in patients, infarcted
15 myocardium, where a process of events has occurred
16 that starts with inflammation, moves to cardiocyte
17 apoptosis, a remodeling and compensation process
18 that you heard about in extreme detail this
19 morning, scar expansion, decompensation, and
20 progression to failure.

21 The truth of the matter is we are trying
22 to intervene in this with either cells, genes, or
23 devices, but we don't know where in this cascade we
24 are actually intervening, nor do we know where we
25 should be intervening.

1 I think most of us who got into this field
2 envisioned it, first, as a field where we would
3 intervene early after an acute myocardial
4 infarction to try to prevent the slippery slope
5 here of remodeling, scar expansion, decompensation,
6 and failure, but the truth of the matter is that
7 most of the patients in whom studies have been done
8 are patients who have already progressed to some
9 degree of failure.

10 Although we initially started to begin to
11 look at prevention and repair of not only cardiac
12 injury, but also vascular injury, we are now really
13 trying to understand whether or not we can move
14 back up this scale in a reverse remodeling way, or
15 to grow new cells, and I think those are the
16 questions that are really out there in the field
17 right now.

18 The Holy Grail in this field then is that
19 transplanted cells cannot not only engraft, but
20 restore blood flow and contractility to injured
21 myocardium, and all of you know, because you have
22 seen some of the data from Philippe and are fairly
23 well versed in this field or you wouldn't be here,
24 that there is more than 15 years of preclinical
25 data in rabbit and dog, there is at least 1 to 5

1 years of preclinical data in pig, rat, mouse,
2 sheep, and now hamster, all of which showed in our
3 preclinical models that transplanting autologous
4 skeletal muscle derived cells was safe, effective,
5 and feasible, and therefore, Phase I, surgical and
6 intervascular studies were initiated worldwide.

7 I think the future that we will probably
8 ultimately all try to examine is what is ultimately
9 the best cell for cardiac repair. I have been
10 asked to focus on myoblasts, so I am going to do
11 that although a little bit later this afternoon, I
12 am going to talk necessarily a little bit about
13 comparisons among cell types because I think it
14 really begins to ask questions about mechanism
15 that we have to address as we are going forward.

16 Obviously, the best cell may be some
17 autologous bone marrow-derived product. It may be
18 a cell plus or minus a therapeutic gene to either
19 promote angiogenesis or some other signaling
20 cascade that maybe promote cell survival.
21 Ultimately, it may be embryonic stem cells although
22 I would submit at least in this country, we are a
23 number of years away from those cells, not only
24 because we don't understand how to regulate their
25 differentiation, but because we also don't

1 understand how to make them stop dividing
2 appropriately.

3 Then, obviously, you can't be from the
4 University of Minnesota without talking about
5 adult-derived stem cells.

6 So, what are the questions in the field?
7 I am just going to put my opinions out there. I
8 think just keep these in mind as a background as we
9 go forward.

10 Is there a best cell? I don't think there
11 is a best cell. I think it really depends on the
12 patients, the time after injury, the dose and a
13 number of other factors.

14 Is there just a better way to get it
15 there? One of the questions that we keep coming
16 back to over and over and over is whether or not
17 cell therapy is just going to ultimately be another
18 local drug delivery problem, and whether or not we
19 are really going to be able to get the cells to
20 where we need them or whether they have the
21 capacity to actually migrate there or home there,
22 and I think that is going to be an important
23 phenomenon to begin to explore.

24 The other side of that is do the cells go
25 where we want them, or do the cells go where we

1 want them and somewhere else where we don't want
2 them, and I think we will come back to that.

3 Should we just use growth factors and
4 forget cells? I am not going to focus on that.

5 Is there a future for biologic devices,
6 and is the real question dose, timing, and choosing
7 the right patients for the right cell? I would
8 submit that it probably is.

9 So, where are we in this field? Well,
10 this is a table that I copied from a review by
11 Loren Field, and the table goes on for slides, just
12 to show that in terms of preclinical myoblast
13 transplantation, there is a huge amount of data out
14 there, and what the data really begin to show is
15 that there are a lot of different cells that have
16 been used, there are a lot of different species
17 that have been used from mouse, rat, rabbit, pig,
18 dog, and sheep, that these cells have been injected
19 either into normal heart, cryo-injured heart,
20 hearts where vessels have been occluded, and that
21 surprisingly, most people didn't actually measure
22 improvements in function or in angiogenesis or in
23 survival.

24 I think that is important to consider as
25 we really try to pull together the summary of data

1 from myoblast cell therapy.

2 So, how do we really do this? Well, for
3 myoblasts, you basically take a chunk of muscle and
4 you grow cells in vitro, and you end up with cells
5 in a dish, and then you deliver these cells to the
6 injured myocardium and you measure the effect.

7 So, you inject them, you deliver them, and
8 you measure the effect. So, I am going to go
9 through the different parameters here, talking
10 primarily about myoblasts and what exists in each
11 of these areas.

12 So, the cells are typically referred to as
13 myoblasts, but the bottom line is these are
14 muscle-derived cells that contain, not only
15 myoblasts, but also often more fibroblasts than
16 myoblasts, a number of cells called SP or site
17 population cells, and then a whole lot of other
18 cells that we don't necessarily know how to
19 characterize yet.

20 So, this is a very heterogeneous cell
21 population, and you do take these cells and you
22 grow them in a dish for several weeks, and what
23 that means is that all of us are exposing these
24 cells to serum-containing medium, and that what
25 Arnold Kaplan learned years ago from mesenchymal

1 cells is that when you are using serum, what is in
2 that serum, FDA regulations notwithstanding,
3 matters, and that the lot number of serum is going
4 to give you a different outcome in terms of the
5 numbers of these cells and what their phenotype is
6 when you are done at the end of the day.

7 In terms of injury models where myoblasts
8 have been delivered, the primary injury models are
9 either acute myocardial infarction, acute being
10 anywhere from a week to one month, and primarily
11 that has been a cryoinjury model or coronary artery
12 ligation.

13 The question that really arises--and then
14 those have varied dramatically in size, the timing
15 after creation of this infarction to the delivery
16 of cells matters, and inflammation, so are these
17 the same as a clinically relevant injury? Well,
18 the size differs, the timing differs, and the
19 inflammation differs with regard to what is
20 actually seen in patients.

21 In terms of cells, the myoblasts,
22 primarily how they have been delivered, more than
23 90 percent of the cells preclinically and
24 clinically have been delivered by surgical
25 approach.

1 Some preclinical data exists in terms of
2 delivering cells via catheter in a pig, but again
3 there are open questions about dose, about where
4 those cells are delivered, about when those cells
5 are delivered, and there is virtually no data out
6 there about the vehicle in which the cells are
7 given.

8 Typically, people either say they inject
9 the cells in saline or they inject them in the cell
10 growth medium minus the serum.

11 As I said up here, lot number matters, so
12 the vehicle differs dramatically.

13 The next issue that you have to deal with,
14 if you have got cells and you grow them and you
15 inject them into an animal, is how you measure the
16 outcome, and the question of safety is obviously an
17 open one, and none of us really have addressed the
18 safety question in preclinical models.

19 We didn't really know that there was going
20 to be a safety issue. I was talking at lunch about
21 the fact that, you know, we had a number of animals
22 drop dead over the course of our studies when we
23 were doing these experiments early on, but we
24 assumed it was because we were doing open-chest
25 surgeries on these animals to create the infarct,

1 to deliver the cells, to measure cardiac function,
2 not that it could have anything to do with a
3 potential electrical effect of the cells in vivo.

4 So, we had to then go back and evaluate
5 whether or not safety was even at all compromised
6 or relevant in these animal models, so none of us
7 are really measuring safety. There have been two
8 studies reported, one in a pig model of holter
9 monitoring animals, and we just presented some data
10 at ACC in rabbit, monitoring electrical effects of
11 myoblasts, and I will show some of those data in a
12 minute.

13 The other issue in terms of measuring
14 outcome is function. Typically, in rodents,
15 isolated heart preps have been used to measure
16 function although in some cases, sonomicrometry is
17 used. I have actually put the methods here in
18 order of I believe their ability to actually give
19 you useful information.

20 I think the isolated heart prep is the
21 least useful because it is subject to a lot of
22 variability, it is subject to baseline drift, it is
23 subject to flow and rate factors, and it is subject
24 to ischemia in vitro.

25 Echocardiography is obviously used

1 clinically, as well as experimentally, but it
2 varies dramatically with the operator and the
3 orientation of the probes, so you can make echo
4 tell you just about anything you want.

5 If you really want to measure work done in
6 a region of the heart, I submit that you have to go
7 back and do sonomicrometry in that area and use
8 crystals to actually measure the ability of that
9 region of the myocardium to move in an electrically
10 and mechanically meaningful way.

11 Then, more recently, cine MRI has really
12 come to the fore in terms of our ability to make
13 measurements in not only people, but animals, as
14 well.

15 But measuring function is pretty useless
16 unless it correlates with histology, and we begin
17 to ask questions about angiogenesis and myogenesis,
18 and we don't always correlate histology with
19 outcome, and, in fact, one of the issues that comes
20 up over and over is clinically, as well as
21 experimentally, there is a disconnect between the
22 number of cells we can find in the heart and the
23 functional improvement we see, which begins to ask
24 questions about mechanism.

25 So, in terms of myoblasts, what are these

1 cells? As I said, there are myoblasts or
2 fibroblasts and there are SP cells. I propose that
3 the mechanism of repair of these cells depends on
4 the number of cell types that you have present and
5 the percentage of each.

6 I believe myoblasts know to become muscle,
7 and they are capable of myogenesis. I believe the
8 fibroblasts not only secrete an angiogenic factor
9 FGF, but also act as a growth factor and a mitogen
10 for myoblasts.

11 That has been known for years. Judy
12 Swain's data, they actually keep myoblasts alive
13 and keep myoblasts proliferating over a fairly
14 extended period of time, FGF does.

15 SP cells, I believe are more likely to be
16 angiogenic and also possibly to fuse with other
17 cells in the myocardium. That is based on data
18 primarily from our group showing that the more
19 immature a cell is, the more likely it is to fuse.

20 So, in terms of our animal models, I think
21 the question we have to ask is what do the patients
22 look like first, and in the myoblast trials, those
23 patients have been post infarction, usually greater
24 than one month, up to many years. The average in
25 one of the studies was 6.7 years.

1 Most of those patients are in need of
2 revascularization, they have an ejection fraction
3 of less than 35 percent, and they have heart
4 failure.

5 If you look at the European experience,
6 Philippe has already talked about some of this, and
7 I am not going to go over these in detail. This is
8 a slide that was given to me by Peter Smits from
9 Rotterdam.

10 You look at Spain and Poland, and
11 obviously the French study, and then the U.S.
12 studies, both Arizona heart and Bioheart Mount
13 Sinai study, and then the Bioheart study in Europe,
14 and I should say for the sake of disclosure that I
15 have had a relationship with Bioheart, so take
16 these data with a grain of salt, that all of these
17 patients are heart failure patients.

18 The average in this study is 6.7 years
19 post-infarction, so these are patients who are
20 already pretty sick, and who have significant
21 electrical abnormalities already.

22 How do the preclinical patients compare?
23 Well, for myoblast studies, these animals all have
24 acute cardiac injury, either, as I said, cryoinjury
25 or coronary artery ligation. Very few, if any,

1 studies deal with occlusion reperfusion, which is
2 what happens clinically.

3 You can open up virtually any artery in
4 the heart now, but nobody is doing preclinical
5 studies where we do ischemia reperfusion. We are
6 just now moving in that direction.

7 I think the reason initially for at least
8 us, and I believe for other people, was that we
9 wanted to kill everything that was there, so that
10 anything we found was due to something we put in,
11 and so we started with cryoinjury where we applied
12 a minus 70 degrees C probe to the surface of the
13 heart to wipe out that region of the heart, but it
14 raises questions about the inflammatory process,
15 which we are coming to understand is critical in
16 terms of potential homing of cells and the
17 potential mechanism.

18 Most of the preclinical studies, the cells
19 are delivered two to three weeks post-injury, and
20 there is a one- to three-month follow-up.
21 Clinically, this isn't exactly relevant.

22 I just told you that every clinical study
23 is at least one month post-injury and sometimes six
24 to seven years post-injury, so we are not looking
25 at the same milieu into which we put these cells.

1 In term of heart failure models, there are
2 a few models out there. Dan Burkoff's group has
3 recently published a study in dog where they used
4 microspheres to actually create a heart failure
5 model, and have gotten data with myoblasts that
6 actually look very similar to some of the data
7 gathered in earlier models.

8 None of the studies to date have really
9 used any animals with LVAD support, and yet there
10 are clinical trials beginning to move forward in
11 that, and actually, one has already been completed
12 in that context. So, we would expect that with a
13 completely unloaded heart, we might have very
14 different phenomena.

15 In terms of cardiomyopathy, there is a
16 hamster model and mouse genetic models that have
17 begun to be used for myoblast transplantation, and
18 Magdia Koob's group has actually published
19 reasonable data in terms of a rat model of
20 adriamycin toxicity. So, we are beginning to get a
21 plethora of models in which we can look at myoblast
22 transplantation.

23 I put up here actually the little bit of
24 physiology that I could pull together about some of
25 the different animal models just to make a few

1 points.

2 One is that when you start looking at
3 these different injury models in mouse, rat,
4 rabbit, dog, pig, sheep, and humans, that there
5 really are significant differences in rodents and
6 the larger animals.

7 Mouse and rat, you know, the hearts are
8 pretty darn small. Their heart rate consequently
9 is very high. These animals have very few
10 collaterals, and they express completely different
11 contractile proteins than are expressed in the
12 majority of the heart.

13 Moreover, the action potential in the
14 electrical capacity of the mice and rat is very
15 different. There is no plateau phase in the action
16 potential in mice, and the action potential
17 duration is on the order of 10 milliseconds. In
18 humans, it is on the order of 250 milliseconds.

19 Rabbit is the first animal model where you
20 begin to get numbers and conditions that resemble
21 humans, and that is why we chose rabbit early on,
22 and I would submit that in terms of feasibility
23 studies, rabbit is a good entry level animal under
24 most conditions except where you are trying to do
25 stem cell work.

1 When you are trying to do stem cell work,
2 we don't have the markers for stem cells in most of
3 these other species that we do in rat, mouse, and
4 humans, so that is when mice, rats, and humans, or
5 maybe pigs, some of the human cytokines and
6 antibodies cross to pig, but not all of them, so
7 you can begin to do some of those studies in pig.

8 Nonetheless, in terms of feasibility
9 studies, I think rabbit is a good model, and you
10 move up from there.

11 So, let's look at each of these. In terms
12 of myoblast in the mouse, you can begin to track
13 the cells because you can use genetic models of
14 where the cells actually express different markers
15 that are unavailable in the animals in which you
16 inject the cells.

17 You can begin to isolate and characterize
18 stem cells including the stem cells in muscle, but
19 you can't characterize from larger species. You
20 can use immunocompromised mice for human cells, but
21 you are missing an important component, which is
22 the inflammatory component, and we are beginning to
23 understand again relates to homing and perhaps even
24 recruitment of cells.

25 What is the advantage of a rat versus a

1 mouse? Well, the main advantage is it is larger
2 than a mouse, so you can do a few more things, but
3 you can still track the cells and isolate stem
4 cells, and you can still have an immunocompromised
5 model.

6 The rabbit, the bottom line about rabbits
7 is most people make antibodies in rabbits, not
8 against rabbits, so it is really hard to find the
9 tools that you need to do some of the evaluations
10 downstream, but it is still a relatively
11 inexpensive model with cardiac characteristics very
12 similar to humans.

13 The pig, obviously, the size is good, and
14 the geometry is good for catheter-based studies.
15 One of the points I want to make about delivery of
16 these cells, and I think Philippe showed it when he
17 was talking about his clinical trials, and we have
18 done the same thing in terms of our preclinical
19 studies, surgical studies, is that when you inject
20 these cells surgically, most of us have delivered
21 the cells parallel to the surface of the heart.

22 We have done that for years because we
23 really thought it was going to increase the number
24 of cells that we could get into the myocardium.
25 Yet, all of the catheter-based studies deliver the

1 cells perpendicular to the surface of the heart,
2 and it is not completely unexpected that geometry
3 may make a difference in terms of how these cells
4 actually function in the myocardium.

5 So, I think it is important to evaluate
6 the geometry of the cells in some of these larger
7 animal models.

8 So, how do you choose an animal model for
9 the myoblast studies? I think feasibility and
10 costs are obviously important, whether or not you
11 are going to do high throughput studies and need to
12 track your cells. Rodent and hamster I think are
13 best for those. Rabbit is best in terms of
14 beginning to be physiologically relevant to humans
15 in terms of heart rate and scalability. I will
16 show some data in a minute in terms of scalability.

17 The large animal models are obviously much
18 more physiologically relevant. You can get a sense
19 of dose. You can use conventional delivery methods
20 that you would use in humans. You can do the right
21 functional assessments, and the heart size and
22 geometry is very similar to a human.

23 So, what exists for myoblasts? Well, as I
24 said, the route of administration has been
25 primarily surgical or percutaneous. Intravenous

1 and intracoronary studies are just beginning
2 although we published some intracoronary data, that
3 was the first thing we published in '96.

4 Myoblasts are unlike stem cells or unlike
5 bone marrow mononuclear cells. They are very much
6 like stromal cells. They are big. When we put
7 myoblasts in the coronary circulation, what we
8 found is that we got profound ST elevations, and we
9 saw transient ischemia every time we injected these
10 cells.

11 So, we actually think that with large
12 cells, that the way they are actually having an
13 effect in the myocardium is creating essentially a
14 microinfarct clogging the vessels and then getting
15 out of the vessels as a result of that.

16 Mononuclear cells are much smaller, and I
17 think don't have the same effect.

18 In terms of dose, in a mouse, typically,
19 you give about 1,000 cells. Some people go as high
20 as a million, but typically, 1,000 is enough to
21 begin to see an effect.

22 In our hands, in rabbit, the lowest dose
23 at which we see an effect is 3×10^7 cells. We
24 tried 10^7 , 3×10^7 , 10^8 , and 3×10^8 , and this is the
25 range in which we see the most effect.

1 In pig, it is about 3×10^8 , and what we
2 found, you know, pig is about 10 times bigger than
3 a rabbit, you need about 10 times as many cells.
4 Rabbit is about I think about 4,000 times the size
5 of a mouse, and we found that we need many more
6 than 4,000 more cells in a rabbit than we do in a
7 mouse. So, I don't think you can really
8 extrapolate from mouse, but I think you can begin
9 to extrapolate at the size of rabbit and go up.

10 In terms of cell location and where
11 myoblasts have been injected, you pick a surgical
12 fellow who is doing the experiments, and you will
13 get a different location of injection virtually
14 every time, I guarantee it, and you are not going
15 to convince them otherwise that their way isn't the
16 right way to do it. It is completely ignored in
17 most of the preclinical studies.

18 There might be mention of one injection or
19 two injections or three injections, but in terms of
20 the exact location, I couldn't tell you, I couldn't
21 find in the literature where the majority of
22 injections occur. I know in my own lab, it is not
23 consistent from study to study.

24 In terms of timing, myoblasts have been
25 injected two to four weeks post-injury. The

1 vehicle has been PBS cell growth medium minus
2 serum, or it is completely ignored, there is no
3 mention of it.

4 So, this is the slide again I borrowed
5 from Philippe's work to illustrate how he did some
6 of the early injections with a bent needle again
7 parallel to the surface of the heart, and also that
8 the injections are done, not just in the center of
9 the infarct, but in the periinfarct region, as
10 well.

11 Similarly, with a percutaneous approach,
12 and this is another slide from Peter Smits, in the
13 first patient who received cells, and in the
14 majority of cases now with percutaneous myoblast
15 delivery, cells are delivered in the periinfarct
16 region, in the normal region of myocardium, and
17 very few of the injections percentagewise actually
18 end up in the infarcted cell, and that may have an
19 effect on safety, and I will show some preclinical
20 data that support that.

21 So, the majority of injections are
22 surgical, and you can inject the cells and find
23 them in the center of the infarct. What you get
24 surgically when you inject these cells is one or
25 two things.

1 On a great day when you are really lucky,
2 you get what looks like a chunk of steak in the
3 center of the heart. On a typical day, you see
4 something that looks more like this, where you have
5 patchy regions of cells distributed through the
6 infarct rather than these large fibers that you see
7 here, and these patchy cells distributed throughout
8 the infarct are not necessarily talking to each
9 other, but they are all oriented with the
10 extracellular matrix.

11 You can see there are some small vessels
12 here, here. We often see large vessels in the
13 infarct, as well.

14 These are preclinical data from my group,
15 but they don't look too dissimilar from what you
16 see from Pagani's paper from myoblasts in an
17 LVAD-supported human heart or, in fact, the data
18 that Philippe showed you earlier of the myoblasts
19 surrounded by scar in a patient 17 1/2 months after
20 injection.

21 I am not going to talk about stromal cells
22 because that is not my job today, but what I am
23 going to begin to talk about is delivery.
24 Assessing delivery requires that we be able to
25 track the cells. In vivo, we have chosen SPECT or

1 MRI most recently, although I think PET is going to
2 be a good method, as well.

3 That has to correlate in vitro with
4 histology and appropriate markers. If I don't make
5 any other point today, take home the fact that
6 using desmin, using phospholamban, using GATA-4,
7 using all of these markers that people claim are
8 cardiac markers, are not cardiac-specific markers.
9 You find these markers in other muscle cells, you
10 find these markers in undifferentiated progenitor
11 cells.

12 If you look in C2C12 skeletal muscle
13 cells, you can see phospholamban, you can see
14 connexin 43, you can see in some cases, in
15 progenitor cells, you see GATA-4. You have got to
16 use markers that are specific for cardiocytes if
17 you are going to call these cells cardiac cells,
18 and the only markers that I know of right now, that
19 I believe are specific for cardiocytes, are channel
20 markers that are actually not expressed in skeletal
21 muscle.

22 As skeletal muscle matures, it expresses
23 many cardiac-specific proteins, and as skeletal
24 muscle matures, it expresses cardiac markers, as
25 cardiac muscle matures, it expresses skeletal

1 markers. So, we don't know where in that process
2 we are, so we can't really use those markers.

3 This is an image showing that we can begin
4 to visualize these cells in the heart. These are
5 indium-111 labeled myoblasts present in a short
6 axis view by SPECT imaging of a rabbit heart
7 showing that we can actually co-deliver
8 tetrafosmin, see perfusion, see the dropoff in
9 perfusion here with the infarct, and then see the
10 indium-labeled cells in the center of the image.

11 So, we are beginning to believe that we
12 can actually track cells over time now. This is
13 also a cine MRI of a rabbit heart, and these are
14 data that were all gathered at Duke. This is a
15 rabbit heart, so at the level of rabbit, although
16 we can now do the same thing in a mouse, we can
17 iron label our cells in a way that we believe
18 doesn't affect proliferation or viability of the
19 cells, and begin to see them in the center of the
20 infarct region, the infarct region here being
21 contrast-enhanced in white.

22 So, we can start now to label these cells.
23 We have followed these cells out to four months in
24 this way and can still find them. When we kill the
25 cells and then inject them, the iron label goes

1 away over about two to three days, so we are fairly
2 convinced that the iron is present in viable cells.

3 So, the other issues in terms of measuring
4 outcome, I think I have already made this point,
5 safety is an open question, and I think what I take
6 away from the field so far is if you don't look,
7 you won't find it, and that we didn't look, and now
8 I think it is important that we begin to do holter
9 monitoring and other electrically relevant studies,
10 and those are going to require large animals, pig,
11 rabbit. You can't do those in mouse and rat, not
12 at 300 to 600 beats per minute. You are really not
13 going to be able to see a VF or a VT.

14 In terms of function, I think if the goal
15 here with myoblasts is really to find an
16 ischemia-resistant cell that is electrically
17 compatible with a healthy heart, we have got to
18 also look at electrical activity of these cells
19 over time.

20 This is again a slide that Peter Smits
21 provided showing clinical data and the number of
22 VPCs per visit in some of the early patients who
23 had cells delivered, and I modified the slide a bit
24 to show times at which patients have actually died
25 after cell delivery.

1 What you begin to see is that there is a
2 window of time from about a week to a month where
3 there seems to be an increased incidence of
4 electrical abnormalities. When we have done animal
5 studies now, we see that same sort of window from
6 about 3 days to about 3 1/2 weeks, and then it
7 drops off and we don't see the incidence after that
8 period of time.

9 So, the safety may depend on the cell
10 dose. We have found that if we just look at PVCs
11 in our animal models, that as we increase dose, we
12 increase the number of PVCs, and it may also depend
13 on location.

14 We have found that if we inject cells in
15 the center of the infarct and we measure PVCs, and
16 this is actually 10^8 cells, 10^7 is not functionally
17 relevant, 10^8 is, 10^9 is, we found that if we inject
18 cells in the center of the infarct, we see PVCs and
19 no monomorphic VT.

20 If we begin to inject cells in the border
21 zone, we not only increase the number of PVCs we
22 see, but we start seeing runs of ventricular
23 tachycardia. If we inject cells in both the center
24 and the periphery, we see essentially the same
25 thing, and more up-to-date were just presented at

1 the ACC from my lab.

2 What is interesting is we began to take
3 these cells back out of heart, what we found is
4 that their action potential duration changed, that
5 initially, the cells had an action potential
6 duration of on the order of 20 seconds, and over
7 time it increased to something on the order of 120
8 milliseconds, but it is still not compatible with
9 the surrounding heart.

10 We have also done some modeling data.
11 What we believe is that if you have these cells
12 coupled to each other, that is a good thing in the
13 center of the infarct, but that you don't want them
14 coupled to the remainder of the heart until they
15 are electrically compatible with the remainder of
16 the heart, and yet clinically, very little
17 attention has been paid to location.

18 Again, it is an issue that we didn't know
19 we were going to have to address, and now we have
20 got to go back and address. In fact, some of the
21 locations of injections could explain why there
22 have been ventricular tachycardia in some of these
23 patients.

24 The only possibility is, you know, over
25 that window of time, we don't know if these cells

1 are integrating, dying, or changing their
2 phenotype, we have no idea, and I think we really
3 have to begin to elucidate that.

4 So, in a standardized model where we know
5 how myoblasts function, we have now got to look at
6 location, dose, and route of administration.

7 I think I have already said this, so I am
8 not going to really belittle, spend time on
9 function especially other than to say we have
10 begun to collect a lot of data now with a lot of
11 different cell types and a lot of different growth
12 factors, and what we have begun to realize is that
13 virtually anything we put into the heart, cells,
14 myoblasts, fibroblasts, bone marrow stromal cells,
15 bone marrow mononuclear cells, growth factors
16 including VEGF and other growth factors, improve
17 the mechanical properties of the scar, and change
18 diastolic performance.

19 They do that first, before they have any
20 effect on systolic performance, usually by several
21 weeks. What we figure is that having something
22 alive in the scar is better than having just this
23 dense collagen matrix, and it really doesn't seem
24 to matter what you have alive in the scar, if it is
25 vessels, if it is muscle, if it is whatever, you

1 improve compliance.

2 But we don't see the corresponding
3 improvement in systolic performance, at least not
4 with fibroblasts in our hand, but we do with
5 myoblasts, we don't with VEGF, but we have now with
6 bone marrow stromal cells and bone marrow
7 mononuclear cells.

8 These are some data that just came out in
9 Circulation showing that, that if we use crystals
10 to measure regional stroke work in our sham-treated
11 animals, regional function gets worse, but in our
12 myoblast-treated animals, function goes from pretty
13 bad to better, and in our bone marrow stromal cell
14 animals, the same thing is true.

15 I think that really raises a question
16 about mechanism, but the positive outcome in our
17 hands at least is dose dependent, 10^7 no effect, 10^8
18 positive effect, sham continues to get worse.

19 What is interesting is this is not just
20 improvement versus cell number. This is log of
21 injected cells, but this is the percentage of
22 animals that actually improve.

23 So, what we found is that the percentage
24 of animals increases with cell dose, as well.

25 So, will myoblast transfer work in

1 patients? Philippe already has told us that it
2 will, and we have begun to believe that, in fact,
3 that there are different mechanisms of action for
4 these cells.

5 I think I will just very quickly go
6 through the last couple slides. We believe that
7 myoblasts improve both regional and global function
8 in the heart based on our preclinical data.

9 If we use cine MRI and actually measure
10 thickness in the wall of the myocardium over time
11 and global wall thickening, so areas where cells
12 were not injected, we use contrast to define where
13 the infarct is, and this is area that has no
14 contrast in it, so the remainder of the heart
15 actually gets better, wall thickening improves in
16 the cell treated, but not in the control vehicle
17 injected animals.

18 Regional wall thickening where we actually
19 inject the cells gets better to a greater degree,
20 so we only measured this where there was a
21 transmural infarct. We didn't measure it in
22 regions at the periphery of the infarct where there
23 can be tethering going on. So, we use contrast and
24 only measured it in the region.

25 Diastolic volume decreased, heart weight

1 decreased, so global indices of failure also
2 improved.

3 Every cell we and virtually anyone has
4 injected seems to work, which either means the
5 myocardium is easier to repair than we thought or
6 we don't understand what is happening and we aren't
7 looking at the data correctly.

8 I would like to believe it is this, and I
9 am actually going to posit this in a little bit,
10 but I have a bad feeling. I would also submit that
11 they work despite the fact that we don't know how
12 to get the cells there in large numbers, and we
13 can't always find them histologically, and that we
14 don't really know what to look for.

15 These cells may be promoting angiogenesis,
16 myogenesis, they may just be unloading the heart,
17 changing wall stress. They may be secreting
18 paracrine factors that recruit other endogenous
19 stem cells to the area of injury, either cells from
20 the heart, if that's your fancy, of cells from the
21 bone marrow, or maybe a combination thereof, or
22 maybe they work because we are lacking long-term
23 follow-up in both animals and patients, and we
24 haven't asked the right questions.

25 So, I will just stop by saying we have