

1 DR. HACKMAN: People do come to me with
2 concerns about sleeplessness and jitteriness primarily.
3 I advise them the same thing that is on the label, to
4 discontinue use.

5 The informal recordkeeping that I have as to
6 how many negatives to positives, I would estimate to be
7 maybe one to 10 negative or adverse events to
8 positives. But I do not keep a written log of that, so
9 it is only my best estimate.

10 DR. COATES: Thank you.

11 DR. JONES: Further questions from the panel?

12 [No response.]

13 DR. JONES: Questions from the floor?

14 [No response.]

15 DR. JONES: Being none, thank you, Dr.

16 Hackman.

17 Dr. Fomus from the Council for Responsible
18 Nutrition.

19 DR. FOMUS: Good morning Dr. Jones,
20 distinguished panel, ladies and gentlemen. My name is
21 Kathy Fomus from the Council for Responsible Nutrition
22 and I am substituting for John Cordero.

23 Mr. Cordero regrets that he cannot personally
24 deliver these remarks, however, I am pleased to be here
25 in his place.

1 The Council for Responsible Nutrition was
2 founded in 1973 and represents more than 110 companies
3 in the dietary supplement industry. CRN members adhere
4 to a strong code of ethics and comply with self-
5 regulating dosage limits had label warnings.

6 CRN's member companies manufacture dietary
7 supplements to high-quality standards under CRN's good
8 manufacturing practices which were adopted in the mid
9 1980s.

10 CRN has been actively involved for about
11 seven years with the Food and Drug Administration,
12 other associations and the scientific community in the
13 evaluation of the scientific evidence and policy
14 options for ephedra. Over the last two years or so
15 efforts to resolve issues on safety, dose, and duration
16 have bogged down. Coming to a head with a General
17 Accounting Office report criticizing FDA's procedures
18 and evaluating ephedra.

19 CRN which prides itself on adherence to
20 scientific evidence took the GAO analysis very
21 seriously and contracted with the prestigious and
22 experienced CANTOX Health Science International to
23 perform a quantitative risk assessment. In addition to
24 the CANTOX risk assessment there is a major new
25 clinical trial by Columbia and Harvard Universities

1 that is pending publication.

2 In a letter to Mr. Joseph Levitt, Director of
3 FDA's Center for Food Safety at Applied Nutrition CRN
4 called FDA's attention to the Columbia/Harvard clinical
5 trial as well as the CANTOX risk assessment. Noting
6 that neither report would be available for detailed
7 discussion today, CRN's letter urged a delay in
8 policymaking until these studies could be carefully
9 considered in a fully transparent matter.

10 Given that these will be two of the most
11 comprehensive studies of there types on ephedra, it
12 would see that they are necessary for credible
13 scientific conclusion on which policy might be based.

14 Upon completion these reports should be
15 subject to scrutiny in a public forum. FDA is urged to
16 convene a follow-up meeting to allow public discourse
17 on these studies before reaching any conclusions on
18 ephedra.

19 I would like to take a few moments to
20 describe this emerging evidence. First, the clinical
21 trial conducted by Columbia and Harvard universities.
22 This trial has been completed, the data are being
23 analyzed, and tentative plans have been made to report
24 to results at a conference at the end of October.

25 This study is one of the largest and longest

1 duration clinical trials conducted on ephedra.
2 Additionally it examines the impact of ephedra on a
3 large number of health indicators. A preliminary trial
4 has already been described in a published abstract.

5 In a letter to FDA, Dr. Carol Boozer of
6 Columbia University, and one of the principal give
7 investigators, explained the status of this study and
8 its importance in evaluating ephedra. Given the
9 quality, size, and duration of this clinical trial, it
10 would be premature, unjustifiable, and a public
11 disservice for FDA to reach conclusions on ephedra
12 without waiting for the availability of this data.

13 Second, as I mentioned earlier, CRN has
14 contracted with CANTOX Health Sciences International
15 for a formal risk assessment of ephedra as a dietary
16 supplement. CRN has publicly presented the design and
17 methods of this assessment and has briefed FDA staff on
18 it as well.

19 Following this presentation my colleague, Dr.
20 John Hathcock, will describe the merits of a formal,
21 structured, risk assessment, citing the uses and
22 impacts of the different types of evidence available.
23 CRN believes that FDA should carefully examine the
24 results of the CANTOX risk assessment before reaching
25 scientific conclusions on the safety of ephedra.

1 This will be the first risk assessment
2 carried out completely in accordance with a set of
3 procedures and guidelines identified in advance using
4 the tolerable upper intake or UL protocol developed by
5 the U.S. National Academy of Sciences. In contrast to
6 FDA's rush to judgment, CRN's evaluation of the science
7 relevant to the safety of ephedra will embrace the
8 totality of available evidence including the
9 Columbia/Harvard data.

10 As we all know, the scientific evaluation
11 process that FDA used in an attempt to justify its 1997
12 proposed rule was strongly -- and I believe accurately
13 -- criticized by GAO. These criticisms led FDA to
14 withdraw most of that proposed rule. CRN strongly
15 recommends that FDA not repeat the mistake of drawing
16 premature and unjustified scientific conclusions as it
17 did in developing its 1997 proposal.

18 Instead CRN urges FDA to use a deliberate,
19 careful, and fully transparent public procedure of
20 scientific assessment so that its future policy
21 position on ephedra, if any, will be fully justified
22 and defensible.

23 Thank you for your attention. I defer the
24 remainder of my time to my colleague Dr. Hathcock who
25 will speak next and questions will be addressed at the

1 end of his presentation.

2 DR. JONES: Thank you, Ms. Fomus.

3 Dr. Hathcock. I am timing you for 15
4 minutes. Just to be clear, I had not been given to
5 understand in advance there was any negotiation of time
6 or anything. So 15 minutes.

7 DR. HATHCOCK: I believe I can accommodate
8 that.

9 DR. JONES: Thank you.

10 DR. HATHCOCK: Thank you, Dr. Jones. Good
11 morning to you and the panelists. The title of my
12 presentation is, risk assessment, an application of
13 criteria for causality to ephedra AERs. The risk
14 assessment is nothing more and absolutely nothing less
15 than a systematic, quantitative evaluation of the
16 potential for a substance to produce adverse effects.
17 For risk assessment to be objective and avoid bias it
18 is crucial that it be performed under an established
19 model, a set of clearly identify procedures and
20 criteria. It is equally important that the risk
21 assessment model to be used with its built-in objective
22 procedures and criteria be selected in advance.

23 Unstructured reviews and evaluations are
24 extremely subject to biases of the reviewer. Excuse me
25 for a moment.

1 The objective of a risk assessment reflects
2 the policy intent behind the decision to use this
3 procedure. Depending upon the scientific evidence
4 available, risk assessment can answer a range of
5 questions. For example, questions which it may answer
6 are given in the following examples.

7 Is this substance toxic at any dose?
8 According to Paris Solis some 500 years ago that one is
9 a foregone conclusion.

10 Another question might be, does a specific
11 daily dose say 00 milligrams produce adverse effects?
12 Another question might be, what is the highest dose
13 that is unlikely to cause adverse effects? Clearly
14 that was the objective of our risk assessment as we
15 will see.

16 Also the appropriateness of our risk
17 assessment model to deserves some clarification. Risk
18 assessment has been most commonly applied to
19 environmental chemicals that include additives. For
20 example the acceptable daily intake or ADI method
21 commonly applied to food additives uses large safety
22 factors sometimes called uncertainty factor usually 10
23 or 100. EPA's reference dose model commonly applied to
24 environmental chemicals in pesticide residues in food,
25 considers five types of uncertainty, with each being

1 assigned a standard default uncertainty factor of 10,
2 3, or 1.

3 Thus the final composite uncertainty factor
4 in the reference dose model can range from 100,000 down
5 to 1. The most commonly selected composite factors
6 though, however, are 10, 30, 100, and 300.

7 In recent years there is a strong movement in
8 the science of quantitative toxicology toward risk
9 assessment models that utilize uncertainty factors that
10 are fully derived from the specific database, thereby
11 avoiding all arbitrary default values.

12 The U.S. National Academy of Sciences Food
13 and Nutrition Board is a leader in this movement.
14 Under financial sponsorship largely from FDA, a
15 subcommittee of the Food and Nutrition Board developed
16 and published its tolerable upper intake level or UL
17 model for application to nutrients. The UL method was
18 a good idea because it is less objective and arbitrary
19 than other methods. It uses better science than the
20 other methods is that it derives the uncertainty
21 factors directly from the database. This approach was
22 necessary because the standard default uncertainty
23 factors such as in the ADI and RFD methods often
24 generate nonsensical answers when applied to nutrients.

25 Illogical answers such as the safe intake

1 being below the RDA often occur because the range
2 between the intakes that are nutritionally useful and
3 the possibly adverse level are less than 10 for some
4 nutrients and less than threefold for a few.

5 In effect, the UL method recognizes that
6 vitamins and minerals are deliberate, intentional, and
7 desirable components of the human diet.

8 CRN chose the UL risk assessment model for
9 application to ephedra for several reasons. First, the
10 uncertainty factors are fully derived from the
11 database. Second, it acknowledges that ephedra is a
12 deliberate ingredients of dietary supplements. And,
13 third, it addresses the totality of the scientific
14 evidence -- all types of scientific evidence.

15 CRN's objective in applying the risk
16 assessment model was straightforward to identify the
17 highest daily intake that is likely to posed no threat
18 of adverse effects to almost all individuals in the
19 healthy population. The U.S. National Academy of
20 Sciences UL model with all of its built-in definitions,
21 procedures, and criteria, and obligations together with
22 application by a neutral third party was selected to
23 avoid bias. Perhaps that is enough on the principles
24 of risk assessment.

25 Now I would like to address the AERs, what

1 they are they are not; what they mean and what they do
2 not mean; how they should be used to and how they
3 should not be used. And, finally, how they fit into
4 risk assessment and how they do not fit in.

5 To evaluate AERs all other types of data on
6 the human health impacts of any substance it is useful
7 to examine the criteria for causality originally
8 developed in the context of environmental medicine but
9 were recently adapted and incorporated into the U. S.
10 NAS -- by the U. S. NAS model for risk assessment.

11 The criteria include strength of the
12 association, consistency of the association,
13 specificity of association, the temporal relationship,
14 the dose response relationship, biological
15 plausibility, and overall coherence. A body of
16 evidence from several well-designed and conducted
17 clinical trials can measure up strongly against most of
18 these criteria because each factor can be controlled.

19 Epidemiological studies often prove weak
20 against one or more of these criteria especially the
21 strength, consistency, and specificity factors.
22 Congruence of biological effects in epidemiological
23 studies were those observed in animal studies and
24 clinical trials can increase the confidence in the
25 data.

1 AERs must not be overinterpreted. Of course
2 there is a tendency to do this if the effects of
3 biological are plausible and the relationship is
4 temporally logical. Biological plausibility and
5 temporally correct relationships however can never
6 prove causality.

7 Some ephedra AERs passed these two criteria
8 but fail against others. An important consideration is
9 this: there is no need to overinterpreted and depend
10 on AERs is to reach scientific conclusions when there
11 is a sufficient body a evidence of a vastly superior
12 type mainly clinical trial data. Indeed, under these
13 circumstances no decision should be made primarily or
14 solely on the AERs. Instead the only logical way to do
15 a risk assessment on ephedra or any other substance for
16 that matter is to consider the totality of publicly
17 available scientific evidence.

18 Here it is interesting to note that the
19 Nutritional Label and Educational Act demands precisely
20 that approach in deciding whether a health claim can be
21 authorized by FDA. It demands consideration of the
22 totality of the scientific evidence.

23 Likewise, any risk assessment on any
24 substance should consider the totality of the evidence.
25 With regard to the scientific meaning of AERs it is

1 noteworthy that a disclaimer box appears on the screen
2 whenever one searches the FDA's Center for Food Safety
3 and Applied Nutrition web site for AER associated with
4 any product. This disclaimer acknowledges in slightly
5 different words that AERs cannot demonstrate causality.
6 The FDA disclaimer includes the following points:

7 There is no certainty that a reported adverse
8 effect can be attributed to a particular product or
9 ingredient. The total number of adverse events cannot
10 be used to estimate the rate of the adverse event to
11 the population. A reporting of adverse events may be
12 affected by several factors including time in the
13 market and publicity. With this acknowledged
14 limitation on the meaning and significance of the AER
15 it is surprising to see that most of FDA's actions on
16 ephedra have been based primarily on the AERs. If the
17 AERs are flawed what information then can they provide
18 and what information can they not provide? And,
19 finally, what information have they provided about
20 ephedra?

21 AERs are simply reports by persons who
22 believe that an adverse event may have been caused by a
23 product. The publicly available AERs on ephedra range
24 in size from less than one page to approximately 500
25 pages. It is easy but quite fallacious to assume that

1 an AER of hundreds of pages must provide convincing
2 evidence that would pass the causality criteria.

3 Clearly from my examination of them, most of
4 the detailed AERs spend most of their attention in a
5 very detailed characterization of the adverse events
6 and in establishing the person actually took a product
7 containing ephedra. The following false rationale
8 seems to be employed if a person took a product and had
9 an adverse event that is plausible for ephedra, then
10 ephedra is likely to have caused it. It seems to be
11 that simple, but it is fallacious.

12 If that line of thinking had any validity
13 defensible scientific conclusions and policy decisions
14 would have long since been reached and we would not be
15 here today.

16 Many of the AERs do not contain information
17 that would shed any light on the specificity of the
18 association. Many of the AERs do not contain product
19 information. Many of the AERs that do contain some
20 product information are nevertheless hopelessly
21 confounded by congruent consumption of other substances
22 that carry equally convincing biological plausibility
23 and with the same temporal relationship.

24 For ephedra all other stimulants can confound
25 interpretation of an AER. Examples of confounding

1 stimulants include the OTC drugs containing ephedrine,
2 pseudoephedrine, and other similarly acting compounds,
3 caffeine and other methylzanthines from OTC drugs,
4 nicotine and a wide variety of foods and beverages
5 contain one or more of those ingredients.

6 Also and AER can be confounded by
7 pre-existing medical conditions that not only could
8 help contribute to a reaction to ephedra, but also
9 could have been the primary or perhaps the only cause
10 of the event. One AER in particular provides an
11 excellent example. A middle-aged man somewhat
12 overweight drank coffee, took an ephedra supplement,
13 what jogging, and died of a heart attack. We have
14 heard this one discussed already.

15 Post-mortem examination showed that his two
16 largest coronary arteries were more than 75 percent
17 closed by atherosclerotic plaque. Did the jogging
18 contribute to the heart attack? Did the caffeine cause
19 or contribute to it? Did he ephedra cause or
20 contribute? Would it have happened anyway? The only
21 scientifically defensible answer is this: nobody
22 knows. I wish we did, but we don't. And there is no
23 way to analyze AERs to avoid such uncertainties because
24 the best documented AERs simply do not provide all the
25 needed information.

1 If the individual AERs cannot support a cause
2 and effect conclusion does the number or pattern of
3 AERs on ephedra provide sufficient information? As
4 anyone might wish to that that were true, the answer is
5 a firm no. Whether causally related or not, and nobody
6 can tell, the number of AERs would be expected to
7 increase with the length of time in the market and the
8 number of people using the product.

9 The number of ephedra AERs per year has
10 varied with a much stronger temporal relationship to
11 publicity from FDA than to increases in sales. If the
12 accumulated AERs nominally related to ephedra have no
13 identifiable meaning, could well documented AERs show
14 cause and effect? Unfortunately, the answer is no.

15 AERs alone can never answer this question;
16 what is the rate of identical adverse events in a
17 similar population who did not consume the product?

18 A large body of well-documented AERs adds up
19 to not much. Precisely what it does add up to it is
20 one-half of a case controlled study. The controls are
21 missing.

22 Even if the study were completed with
23 inclusion of appropriate controls it would still be an
24 epidemiological study and would pale in comparison with
25 a greater meaning of controlled clinical trials.

1 In summary, the criteria for causality
2 adopted by the National Academy of Sciences demand that
3 we pay a greater attention and depend primarily on
4 clinical trial data instead of AERs and excessive risk
5 on ephedra. The only time to AERs should dominate the
6 evaluation is when they are the only evidence available
7 and when other evidence cannot be ethically obtained.

8 In general, AERs should be used in as a flag
9 to identify areas for additional research.

10 To reiterate comments made by my colleague,
11 Dr. Fomus, a few moments ago no scientific conclusions
12 on ephedra should be reached until the data from the
13 forthcoming Columbia/Harvard study -- clinical trial
14 are available and can be evaluated through a public
15 process. But with or without those new data any safety
16 evaluation of ephedra should depend strongly on the
17 substantial available evidence from clinical trials and
18 not from the inherently insufficient AERs.

19 Thank you for your attention, I look forward
20 to questions.

21 DR. JONES: Thank you, Dr. Hathcock.

22 I would presume you and Dr. Fomus would take
23 questions together. I appreciate your combining your
24 time. Questions from the panel, Dr. Coates.

25 DR. COATES: Dr. Hathcock and Dr. Fomus, I

1 will not ask you about the status of the clinical study
2 to which you referred, I suspect we will get some more
3 information about that from the principals.

4 DR. HATHCOCK: I hope so.

5 DR. COATES: But could I ask you what the
6 status is of the quantitative risk assessment that you
7 have done in collaboration with CANTOX and when
8 approximately can one expect to see results?

9 DR. HATHCOCK: We have received a preliminary
10 draft. We are not making the results of that public
11 because we believe that it would be an improper thing
12 to do to issue even tentative conclusions in the face
13 of the new major forthcoming evidence that might cause
14 a major revision. We believe that the impact of the
15 Columbia/Harvard study will be to greatly increased the
16 confidence that we have in the data that would either
17 decrease the uncertainty factor or change the new
18 observed adverse effect level.

19 DR. COATES: Could I follow up? The
20 quantitative risk assessment as you have described it
21 is based on what you have said is the totality of
22 evidence; does that include unpublished clinical trials
23 and other clinical studies? Or does it -- is it
24 restricted to the published peer reviewed literature?
25 And so I guess the question you can anticipate is, if

1 the Columbia/Harvard study is at a certain stage well
2 then its publication dictates the publication release
3 of the results of the Q&A?

4 DR. HATHCOCK: We are including in the risk
5 assessment all data that are publicly available whether
6 they are peer reviewed or not, and that is clearly
7 identified whether they are or not and that can be
8 taken into account and others judging the validity of
9 the process. But if and when the appropriate data and
10 details of methodology are released, whether or not
11 it's in a peer reviewed paper, we will cite that source
12 and acknowledge the status and proceed.

13 DR. JONES: Dr. Philen.

14 DR. PHILEN: My questions were primarily
15 about the study, so if perhaps somebody later on is
16 going to be better prepared to answer it, I will just
17 wait.

18 DR. HATHCOCK: About the clinical trials --

19 DR. JONES: We do have a presentation.

20 DR. HATHCOCK: -- I understand that Dr.
21 Boozer is on the program and --

22 DR. JONES: Yes, Drs. Boozer and Daly.

23 DR. HATHCOCK: -- I would not presume to
24 preempt her.

25 DR. JONES: Very good. Dr. Salive.

1 DR. SALIVE: Marcel Salive, NIH. You have
2 called into question any adverse event reports that are
3 confounded by anything. I guess I have a question. I
4 mean, are you calling into question the other
5 ingredients in the product as a confounding issue?
6 Because to me that is really begging the question
7 considerably if the products are not a pure synthetic
8 product, there are going to be multiple ingredients
9 like we heard yesterday and so they all have some
10 effects all the body obviously.

11 DR. HATHCOCK: What I am doing is saying that
12 when you look at a published case report or series of
13 case reports, or clinical trial, or a pharmacokinetics
14 study, or animal study, or whatever, you apply the
15 criteria for causality. Going through those then with
16 AERs you are forced to apply those to one case at a
17 time. Many of them are going to fall out because,
18 well, a temporally incorrect relationship in the
19 reverse direction makes it nonsense of course. If
20 there is a major confounding by pre-existing condition
21 or concurrent consumption one has to take that into
22 account in determining whether there is likely validity
23 to the report.

24 The overall analysis of AERs so depends on
25 doing all of those and then seeing what's left. I am

1 not saying that we totally throw out anything that is
2 even slightly confounded that one person drank one cup
3 of coffee per day would that be considered confounded
4 and likely not, but that is my judgment.

5 DR. SALIVE: Okay. A follow-up question is
6 if that is, the case then you are saying also you would
7 consider pre-existing conditions a confounding issue?

8 My reading of the trials that have been done
9 so far there are many exclusionary criteria for
10 pre-existing conditions and so even the clinical trials
11 do not provide any data on safe use of the product in
12 people with pre-existing conditions of which there are
13 a fairly large number in the country who may be
14 interested in taking the product and want to know about
15 the safety profile, you know, that might be relevant to
16 them; how could that information be obtained?

17 DR. HATHCOCK: Ultimately and under the
18 National Academy's UL model, they have provisions for
19 evaluation of subpopulations. Good data on
20 subpopulations is helpful. It is necessary to really
21 make any judgments other than speculative judgments.

22 If one concludes though that you want to
23 remove any possibility of an adverse effect based on
24 a pre-existing condition or a sensitivity that has not
25 yet been documented there is no end to that. The only

1 acceptable -- if the only acceptable risk is zero, then
2 there is no way to go to any possible use of the
3 product. I do not believe this is warranted and
4 certainly the National Academy model recognizes that
5 certain subpopulations simply have to be removed from
6 consideration such as they have not published on this
7 yet, but I can imagine that they will conclude that on
8 -- relationship thenalanine intake. I imagine that
9 they will reach that conclusion on Wilson's disease
10 patients in relationship to copper intake. I published
11 on this a couple of times and if you set a copper limit
12 based on Wilson's disease subjects you are going to
13 have a level that is deficient for everybody else.

14 DR. JONES: Thank you. I see one question
15 from the floor. If there are other questions, please
16 get to the microphone. Please go ahead, sir.

17 MR. MOWERY: Dan Mowery from the American
18 Phytotherapy Research Laboratory. I have a couple of
19 questions about the funding of the studies if possible.
20 We know that under Douche the burden of proof
21 ultimately rests with FDA on some of these issues. Are
22 any other funds for the studies these studies that we
23 referred to today coming from FDA or from a government
24 source?

25 DR. HATHCOCK: I am not certain. I think I

1 know, but I am not certain, and I would prefer that the
2 funding about the Columbia/Harvard study be answered by
3 the principal investigator. That would be speculation
4 for me to preempt that.

5 The UL model development was funded by FDA
6 principally through the National Academy. Its
7 application on ephedra was funded by the Council for
8 Responsible Nutrition and we, as Dr. Fomus said, we are
9 a trade association representing manufacturers.

10 DR. MOWERY: Are you aware of any other
11 studies being conducted now besides the ones that you
12 referred to on ephedra or ephedrine for that matter or
13 ephedrine caffeine?

14 DR. HATHCOCK: Since that is asking for an
15 awareness, maybe some of our federal colleagues up
16 front here could answer. Are you aware of questions --
17 I mean, of studies of ephedra being funded by the
18 federal government?

19 DR. JONES: It looks like the answer to that
20 is a no. Thank you.

21 DR. HATHCOCK: My answer was that I was not.

22 DR. JONES: Colonel Myers.

23 COL. MYERS: Colonel Ester Myers from the Air
24 Force. How will you address in your analysis the
25 departure from one of the major premises of the

1 National Academy of Sciences which is to rely only on
2 peer reviewed data?

3 DR. HATHCOCK: We are relying principally on
4 peer reviewed data but we are also analyzing the AERs
5 which are not peer reviewed. We all or acknowledging
6 the status, peer review, or otherwise so the criteria
7 -- scientific criteria being applied are there except
8 for peer review and we are acknowledging whether or not
9 the source was peer reviewed. But of course, all AERs
10 fit in that category of non-peer reviewed data.

11 DR. JONES: Thank you. Thank you very much,
12 Dr. Fomus and Dr. Hathcock.

13 We proceed now to Mark Blumenthal of the
14 American Botanical Council.

15 DR. BLUMENTHAL: Good morning, Dr. Jones,
16 members of the panel, audience. I am Mark Blumenthal,
17 founder and Executive Director of the American
18 Botanical Council, a nonprofit research and educational
19 organization in Austin, Texas, founded in 1988.

20 ABC is an organization of the scientists
21 interested in research and education on medicinal
22 plants, herbs and phytomedicines and the dissemination
23 of accurate responsible science-based information on
24 these materials.

25 ABC is a nonmember-based organization and is

1 neither a consumer organization or a trade association
2 but consistently deals with research, market, and
3 regulatory issues about herbs and phytomedicines,
4 issues of concern to consumers health care
5 practitioners academia, industry, and regulators.

6 ABC receives financial support from the
7 general public, from the sale of educational materials
8 and from donations from individuals, foundations, and
9 members of the business community including both herb
10 and pharmaceutical industries.

11 I have received no direct consideration or
12 support from any member of industry for my expenses and
13 appearance at this hearing.

14 By way of introduction, as part of my role at
15 ABC, I am also the editor of Herbal Gram, a peer
16 reviewed quarterly publication on herbal research,
17 market issues, and regulation, and that has covered
18 these subjects since 1983. I am also the senior editor
19 of a book called: The Complete German Commission E
20 Monographs, Therapeutic Guide to Herbal Medicine, and
21 senior editor of a follow-up publication, Herbal
22 Medicine: Expanded Commission E Monographs, published
23 this year.

24 Finally I also served as an adjunct associate
25 professor of medicinal chemistry at the College of

1 Pharmacy at the University of Texas at Austin where I
2 teach a course in herbs and phytomedicines to fifth-
3 year of pharmacy students.

4 I am most grateful for the opportunity to
5 testify at this hearing. My goal is to contribute to a
6 rational view of the herb ephedra as it has been
7 reported previously in articles published in the
8 HerbalGram, plus additional information from the
9 monographs of ephedra produced by ABC into two books
10 cited above. These books are based on the evaluations
11 conducted on herbs and phytomedicines in Germany by the
12 esteemed Commission E, an expert panel of physicians,
13 pharmacologists, and pharmacists of the German Federal
14 Institute of Drugs and Medical Devices, an agency
15 analogous to the U.S. Food and Drug Administration.

16 The Commission E evaluated all of the
17 available scientific and medical literature that had
18 been published on ephedra and its alkaloids up to and
19 around 1990 and formulated a monograph to be used as a
20 package insert for ephedra products sold as
21 nonprescription drugs in German pharmacies.

22 ABC is well aware of the concerns being
23 expressed by various members of the public,
24 organizations, and regulatory bodies over the potential
25 risks involved with the use of this herb in dietary

1 supplements in the U.S. During the past five years
2 HerbalGram has published at least 12 articles
3 documenting the use and misuse of ephedra, the research
4 on this herb, and its regulatory situation. In
5 addition, we have published both the Commission E
6 monograph and the expanded version and we are currently
7 completing a more thorough literature review and
8 assessment of clinical and therapeutic literature on
9 ephedra for a series of monographs we are producing for
10 continuing medical education for health-care
11 professionals.

12 The Commission E monograph published in
13 January 1991, limits the approved use of ephedra to
14 "disease of the respiratory tract with mild
15 bronchospasms in adults and children over the age of
16 six." Of course, the contraindications, side effects,
17 and herb-drug interactions are also mentioned,
18 consistent with those already noted in various
19 presentations during this hearing.

20 Of probable interest to you, however, as
21 members of the expert panel is the dosage that
22 Commission E has set for ephedra which I give you now:

23 Single doses and single dosage form for
24 adults are "herb preparations corresponding to 15 to 30
25 milligrams total alkaloids, calculated as ephedrine."

1 And for children, herb preparations corresponding to
2 0.5 milligrams total alkaloids per kilogram of body
3 weight. The maximum daily dosage for adults according
4 to Commission E is 300 milligrams of total alkaloids
5 per day; for children it is two milligrams total
6 alkaloids per kilogram of body weight.

7 The duration of use for this relatively high
8 adult dose is held to short-term use for the indication
9 as just mentioned.

10 In the second book cited about herbal
11 medicine: expanded Commission E monographs, we have
12 added additional dosage information and numerous
13 references to the primary and secondary scientific
14 literature. I have provided copies of these monographs
15 and the articles from HerbalGram for your possible
16 future reference and for the record.

17 In reviewing the available medical and
18 ethnobotanical literature for the preparation of the
19 monographs on ephedra, we noted that despite
20 considerable documentation in the ethnobotanical
21 literature, from traditional Chinese medicine, there
22 are few published clinical studies on this herb, most
23 research having been conducted on isolated ephedra
24 alkaloids already cited here, usually ephedrine and
25 pseudoephedrine, or some Chinese herbal combinations of

1 ephedra with other herbs, but not singly.

2 We are aware of at least for clinical studies
3 on the herb ephedra not including the Harvard/Columbia
4 study being referenced earlier. The first, White et
5 al, 1997, dealt with the effects of powdered ephedra
6 herb capsules in 375 milligrams capsules containing
7 approximately a total of 26 milligrams of total
8 ephedrine alkaloids. Conducted on 12 normal tensive
9 adults between the age of 23 to 40. Although the
10 authors concluded that "pharmacodynamic aspects of
11 ingestion of ma huang in a normal tensive, young
12 population was fairly benign," they also cautioned
13 about the use of ephedra with other stimulants in high
14 doses.

15 The trial small size is an obvious weakness,
16 indicating the need for further large-scale studies.

17 The second trial, Nasser, et al, 1999,
18 conducted at the Obesity Research Center, St.
19 Luke's-Roosevelt Hospital Center, at Columbia
20 University in New York, has not been published in a
21 peer reviewed journal, at least not into our knowledge.
22 We found the abstract in a FASEB publication in 1999.
23 This trial dealt with thermogenesis using a commercial
24 combination of herbal ingredients including ma huang
25 called Metabolife 356 in an eight-week trial on 48

1 healthy subjects. The total daily intake was 72
2 milligrams of ephedra alkaloids with 240 milligrams
3 caffeine.

4 Of the 48 people completing the trial out of
5 67 that had initially been randomized, 24 using this
6 herb combination had greater weight reduction versus
7 the placebo, lower percentage of body fat and lower
8 serum triglyceride levels. The authors concluded that
9 the herbal formula promotes weight loss but may also
10 produce undesirable side effects in some subjects,
11 noted as dry mouth, heart palpitations, changes in
12 blood pressure, and insomnia. Because the study does
13 not appear to have been peer reviewed, we are not
14 certain about what conclusions can be drawn.

15 Gary Huber, M.D., of the Texas Nutrition
16 Institute, has conducted to recent clinical trials
17 which are currently in press which I believe he will
18 discuss this afternoon during the hearing. We welcome
19 his efforts to conduct clinical trials on preparations
20 containing herbal material, not merely the isolated
21 ephedrine-type alkaloids. As has been pointed out in
22 this hearing and elsewhere, these ephedra dietary
23 supplements are not single ingredient products -- they
24 are chemically complex mixtures. Thus, it is important
25 that ephedrine and related alkaloids not be viewed and

1 unassessed in a vacuum.

2 Direct comparisons to the herb ephedra and
3 evaluations of the herb should be made. It ABC
4 welcomes additional properly designed clinical trials
5 that might provide more data about the potential
6 benefits and potential risks of the herb ephedra.

7 ABC also believes that dietary supplement
8 products containing ephedra should remain on the market
9 and that they may be required to be manufactured
10 according to proper good manufacturing practices and we
11 welcome FDA's hopefully eminent publication of final
12 regulations in this matter. And these products should
13 be sold in reasonable dosage levels as already proposed
14 by the American Herbal Products Association.

15 Also, these products should be labeled with
16 appropriate warnings, consistent with the label
17 warnings issued by AHPA in 1994 and subsequently
18 revised, plus other relevant policy from AHPA, as
19 presented by Michael McGuffin at this hearing yesterday
20 afternoon.

21 Just as OTC drug products containing a
22 ephedra-type alkaloids are sold to be used "only as
23 directed," we believe similarly that most consumers
24 would adhere to appropriate label directions and
25 warnings. In addition, we believe a consumer education

1 campaign should be conducted that presents potential
2 risks and potential benefits and well-documented
3 benefits in a reasonable and impartial manner.

4 Finally, I present a brief statement from a
5 member of the ABC Board of Trustees, Professor Varro E.
6 Tyler, Dean and distinguished professor of
7 Pharmacognosies Emeritus at the School of Pharmacy at
8 Purdue University. Prof. Tyler is well-known in the
9 United States as a leading advocate of rational herbal
10 use. He was the author -- senior author of four
11 editions of the textbook Pharmacognosy and is the
12 author or co-author of several leading books on herbal
13 medicine. He was also the vice president for academic
14 affairs at Purdue and Dean of the School of Pharmacy
15 for 20 years.

16 Dr. Tyler says, "in general, ephedra is safe
17 but should not be used more than about 125 to 150
18 milligrams of alkaloids per day, 25 milligrams at four
19 to six times per day dosages. Before taking it, people
20 should be careful that they are not one of the people
21 with the contraindications noted in label warnings.

22 Is someone contemplates going on an ephedra diet, they
23 should first be checked out by a physician before doing
24 so and monitored during the period of the diet. If
25 caffeine is going to be used in any form during the use

1 of ephedra, the dose of ephedra probably should be
2 lowered."

3 By lower amounts, Prof. Tyler told me that he
4 believes that 100 milligrams per day appears to be a
5 reasonable dosage as it would constitute a 20 to 33
6 percent reduction from the recommended maximum that he
7 says is 125 to 150 milligrams. Also this 100
8 milligrams represents a 66 percent reduction in the
9 maximum allowed for short-term acute purposes by the
10 Commission E.

11 Those are my comments. Thank you for your
12 time and attention. I will be happy to answer any
13 questions if I can.

14 DR. JONES: Thank you very much, Mr.
15 Blumenthal.

16 Questions from the panel? Dr. Salive.

17 DR. SALIVE: Marcel Salive, NIH. When you
18 recommend a consumer education campaign based on
19 well-documented evidence, what are you referring to?
20 Could you outline it a little bit?

21 MR. BLUMENTHAL: Thank you. I would like to
22 qualify that. To my knowledge, there is not
23 sufficient, well-documented evidence to develop a
24 well-sought out consumer education campaign as far as
25 the potential benefits all of ma huang and the risks

1 and therefore that would have also to be subjected to
2 waiting for proper clinical trials to be conducted and
3 published, hopefully those been conducted by Dr. Huber
4 and the ones that are from Columbia/Harvard might
5 constitute sufficient documentation.

6 We believe that peer reviewed scientific
7 evidence, in addition to the ethnobotany, in addition
8 to the pharmacology, in addition to the AERs, as a
9 properly evaluated are part of a rational basis for
10 conducting a campaign. But we believe that
11 well-controlled clinical trials would have to be part
12 of that and right now we believe that the evidence is
13 to the scanty for that at this point.

14 DR. JONES: No other questions from the
15 panel.

16 Dr. McLaughlin.

17 DR. McLAUGHLIN: Yes, Mark, the answer I gave
18 to the question about the 12-week limitation for the
19 duration of taking ephedra products was a speculative
20 answer. I wonder if you can -- probably should be
21 discounted if you can give us a better answer to that.
22 Where did the 12 weeks come from?

23 MR. BLUMENTHAL: As I remember, several years
24 ago, the state of Ohio was contemplating banning
25 ephedra products and making them prescription only and