

**Department of Energy
National Nuclear Security Administration
Performance Based Work Statement (PBWS)
For
Personnel Security and Facility Clearance Programs Support Services**

DE-RP52-09NA28681

October 9, 2008

1.0 OBJECTIVE

The objective of this contract is to provide diverse technical personnel security and facility clearance support services required to assist the Personnel Security Department (PSD) in the implementation of security programs for the Department of Energy (DOE), National Nuclear Security Administration (NNSA), NNSA Service Center (SC), and to Office of Secure Transportation (OST) facilities located at Kirtland Air Force Base, Albuquerque, New Mexico.

2.0 BACKGROUND

The mission of NNSA is to strengthen national security through the military application of nuclear energy and by reducing the global threat from terrorism and weapons of mass destruction. DOE/NNSA is a vital contributor to reducing the global nuclear danger through its national security, nuclear safety, nonproliferation activities, and nuclear materials stabilization by supporting a safe, secure, reliable stockpile, and the safe dismantlement and disposal of excess nuclear weapons.

The multi-disciplined NNSA SC safeguards and security programs are the first line of defense against the insider threat and are designed to protect our most vital national assets. These programs directly support protection of NNSA personnel, facilities, nuclear weapons, and information from a full spectrum of threats, most notably from terrorism, which has become of paramount concern post September 11, 2001.

PSD is responsible for the management and implementation of personnel security programs that support Lawrence Livermore National Laboratory, Los Alamos National Laboratory, Pantex Plant, Y-12 Plant, Nevada Test Site, Kansas City Plant, Sandia National Laboratories Albuquerque and California, NNSA SC, and OST. The responsibilities include coordination, development, implementation, and programmatic oversight of DOE and NNSA personnel security policy, including: the Personnel Security Program, Homeland Security Presidential Directive-12 (HSPD-12), Foreign Ownership, Control, or Influence (FOCI), and Facility Authorization and Facility Approval and Registration of Security Activities (FARA) Programs. PSD serves as the primary point of contact for federal, state, and private agencies on matters concerning these safeguards and security programs under NNSA SC cognizance.

OST is responsible for the transportation, security and safeguarding of nuclear explosives, devices and Category I special nuclear material, and other material vital to the national security.

3.0 SCOPE

The purpose of this PBWS is to provide administrative and technical support services for the successful accomplishment of PSD program responsibilities as stated in the Service Delivery Schedule, including all personnel security program support to the NNSA clearance population of 52,000 contractor and federal employees. The personnel security program work involves numerous and varied personnel security access authorization adjudicative and processing activities, as well as requesting and analyzing the required background investigations of approximately 8,200 clearance holders and 4,000 applicants per year. Additionally, PSD conducts security reviews for approximately 9,500 human reliability program incumbents per year and performs the adjudication of approximately 4,000 applicants for HSPD-12

Personal Identification Verification per year. PSD also supports the due process (Administrative Review) described in 10 CFR 710. Approximately 150 cases are in Administrative Review at any one time on an annual basis. Travel is required to NNSA Site Offices, and to DOE and NNSA sites/facilities when necessary to perform assignments associated with PSD program responsibilities. This travel frequently includes as little as 24-48 hours advance notice to conduct high priority personnel security interviews.

The backgrounds of applicants for DOE access authorizations, and incumbents with DOE access authorizations may involve alcohol abuse/dependency, drugs, sex perversion, unreliable and untrustworthy behavior, mental/emotional instability, or other issues as identified in the 10 Code of Federal Regulations 710 (10 CFR 710) – Criteria and Procedures for Determining Eligibility for Access to Classified Matter or Special Nuclear Material, and in the Adjudication Guidelines, approved by the President and revised December 29, 2005. Recommendations by the contractor based on adjudication of these type of issues determine whether an individual receives (or maintains) his/her clearance. For most individuals this determines whether or not they obtain or retain their jobs. The preponderance of personnel security program work and tasks are performed at the NNSA Service Center; however; remote interviews are conducted at Site Offices and facilities.

As described in DOE Manual 470.4-1, *Safeguards And Security Program Planning and Management*, FOCI determinations are made on prospective contractors and, if applicable, its tier parents, prior to the NNSA SC granting of a facility clearance or awarding of a contract requiring access authorizations. Information is obtained to determine whether offerors/bidders or contractors are owned, controlled, or influenced by a foreign person and whether, as a result, the potential for an undue risk to the common defense and national security may exist. Administrative determinations are made regarding whether a facility is eligible to access, receive, produce, use, or store classified information, nuclear materials, or DOE property of significant monetary value. Approximately 400 FOCI determinations, 400 FOCI certifications, and 2,400 Contract Security Classification Specifications are processed for Facility Clearance and Registration per year by PSD. The population of active facility clearances is approximately 850.

At the time of contract award and subsequent performance, the contractor shall provide qualified and skilled personnel, equipment, supplies, services, materials, and training necessary to provide the required technical and administrative support services to perform work as described herein, except what is expressly cited in the contract as being furnished by the government.

Technical guidance is available at all times, however, the contractor is responsible for training contractor employees and shall ensure that all contractor employees are sufficiently qualified to perform the technical tasks and functions as described in the Specific Requirements. All technical direction is provided by the NNSA Service Center Contracting Officer's Representative (COR).

Work is largely sedentary. Workspace is limited in an "open" office environment subject to constant noise and distraction. Continuous heavy workload with deadlines causes stress and may necessitate overtime. During the conduct of interviews and delivering of suspensions or administrative review correspondence and documents, the contractor many times encounters unwilling, hostile, and/or emotional subjects. Work involves travel for the conduct of personnel security interviews, training, surveys, testifying at administrative hearings, and attendance at conferences and meetings.

Location of work includes DOE/NNSA offices at DOE/NNSA sites, contractor offices, and other locations visited in fulfillment of contract requirements.

4.0 SPECIFIC REQUIREMENTS:

The contractor shall provide technical support expertise for the implementation of the DOE Personnel Security Program to include clearance processing, adjudication of investigative reports or other security related information, management and administration of Human Reliability and Special Access Programs, the Administrative Review process, HSPD-12, the Foreign Ownership Control and Influence Program (FOCI) and the Facility Approval and Registration of Security Activities (FARA) Program. Clearance and

adjudicative actions shall be completed in accordance with Executive Orders, Presidential Directives, U.S. Code of Federal Regulations, and DOE Headquarters, NNSA and NNSA SC orders, policies, directives, standards, regulations, and PSD policies and standard operating procedures (SOPs). The contractor uses experience in applying procedures, policies, and/or precedents; determines when further information is required; contacts appropriate source(s) to obtain information and maintains a range of professional contacts within and outside the NNSA, NNSA Service Center, and the Personnel Security Department, being tactful and articulate; and performs routine statistical data analysis related to clearance and adjudicative actions and expenditures. DOE/NNSA technical guidance is available at all times, however, the contractor should work independently with reviews conducted by DOE/NNSA oversight to ensure assignments and deliverables are completed against stated performance objectives and tasks. The contractor makes recommendations only; final decisions regarding access authorizations, HSPD-12 and FARA and FOCI determinations are made by DOE.

The contractor will provide the expertise to ensure the timely and effective administration and completion of the numerous adjudicative, clearance management and processing activities, actions, and products. These deliverables and activities and their associated timeframes include those identified in the Service Delivery Schedule of the PBWS. DOE reserves the right to add, delete, or modify deliverables, performance standards, and timeframes as necessary to ensure that the personnel security program is managed and executed in accordance with applicable laws, statutes, codes, and DOE/NNSA Orders, guidelines, directives, and policies.

At contract award, the contractor shall ensure that all personnel are fully trained and qualified to perform technical tasks and functions described herein.

4.1 Program Management

The Program Manager is responsible for overall Program Management, Program Planning and Administration; Personnel Development and Training, serves as a single point of contact to the NNSA Service Center, and represents the contractor in dealing with senior NNSA Service Center management; manages resources, costs, and conflicts; provides overall direction to contractor personnel; provides reports identified in the Reporting Requirements Checklist; or as designated in the scope of work and task assignments in accordance with Section 3.0 and Section 4.0 of this PBWS, ensures the quality and timeliness of deliverables and completed tasks, and travels as required to perform assignments associated with PSD program responsibilities.

Provides expertise to maintain a budget reporting system adequate to account for the expenditure of personnel security and security investigation funds; travel funds; prepares annual spend plans and projections, monthly expenditure reports to DOE and NNSA Headquarters, NNSA Service Center Budget and Resources Management Department, and NNSA sites/facilities; and prepares ad hoc reports as requested.

4.2 Training

Formal DOE/NNSA training opportunities for the technical requirements and tasks described in this PBWS are limited. The contractor shall provide expertise to train personnel security specialists to perform tasks specified in this PBWS. All personnel security specialists shall meet training requirements for adjudication and complete refresher training as provided and established by DOE, NNSA or PSD. All personnel security specialists supporting Site Surveys will complete Initial Survey training provided by DOE prior to participating in a survey. The contractor shall review and maintain accuracy of training records and document performance requirements and competencies related to training.

4.3 Adjudication

The contractor shall provide expertise to review and analyze investigative reports in order to be able to correctly identify and evaluate derogatory and mitigating information and make a recommendation regarding an individual's eligibility or continued eligibility for a DOE access authorization; complete security reviews for the Human Reliability Program (HRP) and Sensitive Compartment Information (SCI) cases; prepare adjudicative deliverables as described in Service Delivery Schedule of this PBWS to include the following: prepare written case evaluations and recommend appropriate security action(s) for

adjudication tasks; prepare letters of interrogatory (LOIs), reports, or other security related documents, as appropriate; conduct in-depth personnel security interviews (PSIs) in accordance with DOE directives, policies and procedures; prepare interview summaries and recommend appropriate security action; prepare correspondence related to any subsequent security action, such as psychiatric evaluations, clearance suspensions, administrative reviews to include preparation of the statement of concerns (SOC); and testify before a Hearing Officer during Administrative Review hearings when deemed appropriate by PSD management. The annual average of letters of interrogatory prepared for all sites is 1,100. The following are annual averages of local PSIs by site:

NNSA Service Center	110
Sandia National Laboratories, New Mexico	410
Los Alamos National Laboratory	420

4.3.1 Remote Personnel Security Interviews

The contractor shall provide expertise to conduct in depth personnel security interviews (PSI's) at Lawrence Livermore National Laboratory, Pantex Plant, Y-12 Plant, Nevada Test Site, Kansas City Plant, and Sandia National Laboratories California in accordance with DOE directives, policies and procedures; prepare interview summaries and recommend appropriate security action, such as psychiatric evaluations, clearance suspensions, and administrative reviews. The contractor staff performing the PSI's shall reside within a 50-mile radius of the nearest metropolitan area or town adjacent to the site. The following are annual averages of PSIs conducted by site:

Sandia National Laboratories, California	30
Lawrence Livermore National Laboratory	160
Nevada Test Site	100
Pantex Plant	140
Kansas City Plant	80
Y-12 Plant	150

4.4 HSPD-12

The contractor shall provide expertise for the processing and adjudication of Homeland Security Presidential Directive-12 (HSPD-12) personal identity verification requests, which includes verifying that all appropriate paperwork is included within the HSPD-12 file according to DOE policy, and that a final adjudicative decision has been made regarding the individual's eligibility for a personal identity credential in accordance with DOE, NNSA and PSD policies; querying Office of Personnel Management (OPM) Personnel Investigations Processing System (PIPS) database, making appropriate data entries into the GSA Personal Identity Verification system used by DOE and the NNSA SC, copying case closing transmittals from Personnel Security Clearance Files of the corresponding subject; and notifying sites of HSPD-12 eligibility via an addendum to the Access Authorization Report. Approximately 4,000 applicants are processed and adjudicated for HSPD-12 clearance eligibility per year.

4.5 Clearance Management and Processing

The contractor shall provide expertise in document control to prepare/process, route/transmit, and control personnel security documents and files, and provide clearance management deliverables as described in Service Delivery Schedule of this PBWS to include the following: review clearance packages for initial clearances and reinvestigations to ensure information is complete and consistent with procedures, policies and/or precedents; transmit the reviewed clearance packages to the appropriate investigative agency, process the investigation to the PSD adjudication organization, and support the PSD Help Desk. Clearance packages must be processed, completed, and transmitted in accordance with DOE and Office of Personnel Management (OPM) requirements, including processing the requests in the OPM Electronic Questionnaires for Investigations Processing (e-QIP) system. Documents include clearance request forms, security questionnaires; fingerprint charts, foreign residence and foreign interest questionnaires,

and any other documents provided in support of the clearance action. The following are annual averages for clearance processing actions:

Grants	3,500
Upgrades	490
Reinstates	800
Terminations	6,600
Extensions	1,600
Transfers	1,300
Continues	7,000
Cancellations	780

4.6 Security Related Documents

Provide expertise to review for completeness and process other security-related documents, such as requests for clearance extensions, transfers, reinstatements, terminations, spouse/cohabitant data documents, incident reports, requests for personnel security files or information therein, and any other requests related to a personnel security action; process microfilm/microfiche into hard copy reports; access credit report system and produce credit reports; query Office of Personnel Management (OPM) data base for investigation status.

4.7 Foreign Ownership, Control, or Influence Program

The contractor shall provide technical support expertise for the implementation of the Foreign Ownership, Control, or Influence (FOCI) Program. The contractor shall screen, review, and evaluate documents including those that contain substantial foreign interests, and make recommendations regarding the company's FOCI. The contractor shall assure completeness of documentation, identify and analyze foreign interests and mitigating information, prepare detailed written analysis of all available information, and make a written determination or recommendations for further action. The contractor shall provide fully qualified and trained employees to conduct in-depth interviews with company officials and attorneys representing companies and provide guidance or input regarding the company's FOCI. The contractor shall provide guidance and training to the M&O contractors and others as required, regarding FOCI.

4.8 Facility Clearances and Registration of Safeguards and Security Activities

The contractor shall provide technical expertise for the registration of facility clearances and security activities. The contractor shall ensure that all requirements of the facility clearance have been met; to include FOCI, and justifying security activity. The contractor must provide fully qualified and trained employees that have a comprehensive knowledge of the Safeguards and Security Information Management System (SSIMS), and register, update, and maintain Facility Data and Approval Records (FDAR's) and Contract Security Classification Specifications (CSCS's) as required.

4.9 Resolution of Findings

The contractor shall assist with the resolution of findings as a result of internal or external audits, assessments, surveys and reviews. Support shall include root cause analysis of findings, preparation of corrective action plans and milestones as appropriate. The contractor shall assure that milestones are met and that corrective actions are taken to ensure closure and validation of findings.

4.10 Site Surveys

The contractor shall support PSD in conducting site surveys of access authorization, FOCI and FC/FARA procedures and practices at sites requesting surveys in accordance with DOE directives and PSD procedures. This support shall include the development of a data call and Survey Inspection Plan, document reviews, interviews, and preparation of the Survey Report. A minimum of 25 percent of adjudicators shall be trained to conduct surveys. Travel to Site Offices/facilities is required. Travel will be required for up to two weeks to support off-site surveys. PSD conducts 4 to 8 off-site surveys per year.

4.11 Duplication of Security Records

The contractor shall provide expertise for the duplication of security records, including personnel security files, to support various security initiatives, such as Administrative Review, psychiatric evaluations, and special program clearances.

4.12 Records Management

The contractor shall provide expertise to operate the personnel security vault by maintaining the control and accountability for the NNSA Service Center active and terminated personnel security files, to include classified and unclassified files or documents; operate the personnel security bar code system to ensure efficient file tracking and retrieval; facilitate the development and implementation of DOE Records Inventory and Disposition Schedules; recommend changes to records management procedures to facilitate personnel security operations; and maintain personnel security files in strict accordance with DOE/NNSA Orders, guidelines, directives, procedures, and policies. The contractor shall be responsible and accountable for all personnel security files in its possession or control. PSD maintains approximately 52,000 active personnel security files.

4.13 Information Management and Data Entry

The contractor shall provide expertise to administer and maintain all intra- and inter-office information management systems that include the DOE and NNSA Service Center and other government information management systems for personnel security, facility security, government property, and investigations budget databases.

The data entry includes the following activities: create and update data base records of personnel security actions using the personnel security data base residing on a mainframe at DOE Headquarters; facilitate the electronic processing of the Questionnaire for National Security Positions (QNSP) through the e-QIP system, create and update database records of personnel security actions using the NNSA Service Center data base designed to provide an audit trail for clearance actions and a tracking system for file location; create and update facility clearance and registration information, update database records of government property used by the contractor, update database records of budget information regarding initial investigations and reinvestigations, update database records of HSPD-12 personal identification verification determinations.

The contractor shall ensure information is complete and accurate and complies with DOE/NNSA directives, guidelines, procedures, and policies. The contractor shall determine when further information is required to reflect an accurate history; reconcile the DOE Headquarters personnel security data against the NNSA Service Center data and Site Office data as requested; reconcile the DOE Human Resources employment records against access authorization activity as requested.

DOE/NNSA technical guidance is available at all times and should be acquired when unusual questions arise related to changes to be made to an access authorization clearance history. Only authorized personnel shall perform deletion of a clearance record.

The contractor shall extract data necessary to prepare budget, statistical, management, survey/inspection, reconciliation, and ad hoc reports; extract data necessary to prepare briefing materials; administer and maintain an automated reinvestigation system adequate to track the reinvestigation process of clearance holders due for reinvestigation, such as to identify individuals due for reinvestigation, requests for and receipt of clearance paperwork, and submission to the investigative agency; administer and maintain a clearance action tracking system sufficient to identify specific clearance activities and time lines of clearance requests, such as to accurately and timely identify the nature of clearance requests received, status, and completion of personnel security clearance and adjudication activities and actions. This information and data is proprietary and shall not be disseminated outside of PSD without authorization.

4.14 **Correspondence**

The contractor shall provide expertise to prepare draft and final correspondence, including forms, letters, and memorandums, related to security activities. Documents are available on templates and are to be completed in accordance with requirements cited in DOE correspondence manuals, PSD standard operating procedures, and the specific form instructions.

4.15 **Mail Station**

The contractor shall provide expertise to maintain a PSD mail station adequate to: a) receive, time/date stamp, and distribute incoming mail; b) prepare outgoing correspondence for mailing by ensuring appropriate signatures and attachments, date stamp, copy as required, and distribute as appropriate for internal/external delivery; c) package mail, including classified mail and personnel security files, in accordance with DOE and NNSA regulations, Administrative Review procedures, Privacy Act and classified mail procedures, as appropriate.

5.0 **Deliverables**

Deliverables required by this task order shall be submitted to the COR or his or her designee. The COR shall establish the exact contents of the deliverables. The contractor shall be responsible for delivering the number of copies (quantity to be determined for each deliverable) in the media and in electronic format as specified by the COR. The COR or the designee will inspect, accept or reject deliverables. The COR will notify the contractor of any required changes or clarifications needed. Unless otherwise stipulated, the contractor will have two business days to make adjustments and re-submit a deliverable. Deliverables include those items listed in the Reporting Requirements Checklist; or as designated in the scope of work and task assignments in accordance with Section 3.0 and Section 4.0 of this PBWS.

6.0 **Service Delivery Schedule**

NNSA shall evaluate contractor performance and deliverables against the following criteria to determine final acceptance and completion of tasks described in Section 4.0 Specific Requirements and Section 5.0 Deliverables.

Performance Objective	PBWS Reference	Minimum Performance Standard	Assessment Methods (Random sampling, periodic sampling, visual exam, trend analysis, customer complaint, reports, 100 % sampling)
Provide a cadre of fully trained Personnel Security Specialists.	4.2	100% of Personnel Security Specialists have completed training required by DOE one year after the date of hire.	Verification of training records by PSD Training Coordinator.
Complete adjudicative phase to meet Intelligence Reform and Terrorism Prevention Act of 2004, and DOE/NNSA and PSD requirements.	4.3	Clearance determination is made for 90% of all applicants for a personnel security clearance within 20 calendar days of receipt of a background investigation. Clearance determinations for all incumbents will be made in compliance with DOE Manual 470.4-5 and applicable PSD SOP requirements	Reports from Case Action Tracking System (CATS) and Central Personnel Clearance Index (CPCI) databases.
Complete security reviews for the Human Reliability Program (HRP) and prepare the Case Evaluation Summary if	4.3	Meet time elements defined in 10 CFR 712 and applicable PSD SOPs.	Verification by Processing Management Division.

Performance Objective	PBWS Reference	Minimum Performance Standard	Assessment Methods (Random sampling, periodic sampling, visual exam, trend analysis, customer complaint, reports, 100 % sampling)
applicable.			
Complete Sensitive Compartmented Information (SCI) checklist and prepare appropriate correspondence.	4.3	Meet time elements defined in DOE M 470.4-5, <i>Personnel Security</i> and Director of Central Intelligence Directive No. 6/4	Verification by Processing Management Division.
Complete adjudicative and processing tasks.	4.3, 4.3.1, 4.5	Meet time elements defined in DOE M 470.4-5 and applicable PSD SOPs.	Reports from CATS and CPCI databases.
Complete adjudicative and processing of Incident Reports and self reports.	4.3, 4.5, and 4.6	Meet time elements defined in DOE M 470.4-5 and applicable PSD SOPs.	Verification by Personnel Security Adjudication and Personnel Security and Support Divisions.
Complete the Administrative Review process activities.	4.3	Meet time elements for processing of cases in administrative review defined in 10 CFR 710, General Criteria and Procedures for Determining Eligibility for Access to Classified Matter or Special Nuclear Material and applicable PSD SOPs.	Reports from CATS database.
Complete Presidential Directive-12 (HSPD-12) activities to render eligibility determinations.	4.4	Meet time elements established by DOE N 206.4, <i>Personal Identification Verification</i> , DOE Policy Guidance (Oct 13, 2005 <i>Clay Sell Memorandum: Homeland Security Presidential Directive-12 (HSPD-12)</i>), applicable PSD SOPs and PSD internal policy.	Information from HSPD-12 Database and Personal Identity Verification (PIV) File.
Process Clearance requests in Electronic Questionnaires for Investigations Processing (e-QIP).	4.5	Meet time elements established by DOE Policy Guidance (Feb. 20, 2008 Glenn S. Podonsky Memorandum: ITRPA Personnel Security Provisions Update) and PSD internal policy..	Verification of date by Processing Management Division.
Process FOCI determinations, changes, updates, and annual certifications.	4.7	Meet time elements established by DOE M 470.4-1 and applicable PSD SOPs.	FOCI Database verification.
Take action to register facility clearances and safeguards and security activities.	4.8	Meet time elements established by DOE M 470.4-1 and applicable PSD SOPs.	Verification of date stamp by the FOCI Program Manager.
Monthly Status Reports provided on time.	5.0	100% of reports delivered by the 15 th calendar day and are accurate with no major deficiencies.	COR verification

7.0 Government Furnished Property

The NNSA SC will provide office space, furniture, and equipment necessary for the performance of the work. Typical office supplies and consumables will be provided. Information technology equipment and standard software will be provided. The contractor must supply those items unique to their operations, such as time clock, time cards, or other materials necessary to track hours or expenditures. The NNSA SC will provide a "standard suite" of commercial off-the-shelf software consisting of Microsoft Word, Excel, PowerPoint, Access, Exchange/Outlook Mail and Internet Explorer, and Adobe Acrobat Reader. Additionally, the contractor will be provided the Case Action Tracking System (CATS) software. Purchase of IT equipment or software not provided shall require the Contracting Officer's approval prior to purchase.

8.0 Core Work Hours

The contractor shall provide 100 percent personnel coverage to conduct personnel security services between the core hours of 9:00 a.m. and 3:00 p.m. Monday through Friday, except during government holidays defined in the contract.

9.0 Applicable Documents

The work performed supports tasks derived from the following federal laws, codes, and DOE orders, manuals, and regulations:

Public Law 108–458 – Intelligence Reform and Terrorism Prevention Act of 2004

Executive Orders (EO) (Includes all applicable amendments):

- E.O. 10450 – Security Requirements For Government Employees
- E.O. 10865 – Safeguarding Classified Information Within Industry
- E.O. 12333 – United States Intelligence Activities
- E.O. 12564 – Drug-Free Federal Workplace
- E.O. 12829 – National Industrial Security Program
- E.O. 12968 – Access to Classified Information
- E.O. 12958 – Classified National Security Information
- E.O. 13292 – Further Amendment to E.O. 12958, as Amended, Classified National Security Information

Presidential Directives

- Homeland Security Presidential Directive (HSPD)-12
- National Security Decision Directive 298, National Operations Security Program

Code of Federal Regulations:

Title 5, Code of Federal Regulations (CFR):

- Part 732 – National Security Positions
- Part 736 – Personnel Investigations

Title 10, Code of Federal Regulations (CFR):

- Part 707 – Workplace Substance Abuse Programs at DOE Sites
- Part 709 – Polygraph Examination Regulation
- Part 710 (Subpart A) – Criteria and Procedures for Determining Eligibility for Access to Classified Matter or Special Nuclear Material
- Part 712 -- Human Reliability Program
- Part 725 – Permits for Access to Restricted Data
- Part 1008 – Records Maintained on Individuals (Privacy Act)
- Part 1016 – Safeguarding of Restricted Data
- Part 1017 – Identification and Protection of Unclassified Controlled Nuclear Information
- Part 1045 – Nuclear Classification and Declassification

Title 10, Code of Federal Regulations (CFR):

- Part 851 – Worker Safety and Health Program

Title 48 Code of Federal Regulations (CFR):

- Part 970.2201 – Basic Labor Policies

United States Code (USC) (Includes all applicable amendments):

- Title 5 USD, 552 – The Freedom of Information Act, as Amended in 2002
- Title 5 USC, 552a – Privacy Act of 1974 (Public Law 93579)
- Title 21 USC, 802 – Controlled Substances Act of 1970
- Title 42 USC, et. seq. – Atomic Energy Act of 1954

Director of Central Intelligence Directive (DCID) No. 6/4 – Personnel Security Standards and Procedures Governing Eligibility for Access to Sensitive Compartmented Information

Department of Defense – National Industrial Security Program Operating Manual (DoD 5220.22-M) and Supplement

DOE Notices/Orders/Manuals:

DOE N 206.5 – Response and Notification Procedures for Data Breaches Involving Personally Identifiable Information

DOE O 200.1 – Information Management Program

DOE O 232.1-2 – Occurrence Reporting and Processing of Operations Information

DOE Order 470.4, Safeguards and Security Program, dated 8/26/05

DOE M 470.4-1 Chg 1 – Safeguards and Security Program Planning and Management, dated 8/26/05

DOE M 470.4-5 – Personnel Security, dated 8/26/2005 (will be replaced by DOE M 470.XXX)

DOE O 471.1A – Identification and Protection of Unclassified Controlled Nuclear Information

DOE M 471.1-1 Chg1 – Identification and Protection of Unclassified Controlled Nuclear Information Manual

DOE M 470.4-4, Chg 1, Information Security

DOE N 470.3 - Reciprocal Recognition of Existing Personnel Security Clearances

DOE M 475.1-1B – Manual for Identifying Classified Information

DOE O 481.1C – Work for Others (Non-Department of Energy Funded Work)

DOE O 5639.8A – Security of Foreign Intelligence Information and Sensitive Compartmented Information Facilities

DOE O 5660.1B – Management of Nuclear Materials

DOE O 5670.1A – Management and Control of Foreign Intelligence

DOE O 475.1 – Counterintelligence Program

DoD 5220.22-M – National Industrial Security Program Operating Manual (NISPOM)

DOE Policy Guidance, February 20, 2008 Glenn S. Podonsky Memorandum Intelligence Reform and Terrorism Prevention Act of 2004 Personnel Security Provisions Update.