

## The Education Expert System (TEES)

ProSight

## PART I - A

**PART I: SUMMARY INFORMATION AND JUSTIFICATION**

*In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.*

*OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)*

**Section A: Overview (All Capital Assets)**

*I.A.1) Date of Submission (mm/dd/yyyy)*

Jul 18, 2006

*I.A.2) Agency*

029 - Department of Veterans Affairs

*I.A.3) Bureau*

00 - Agency Wide Initiatives

*I.A.4) Name of this Investment:(SHORT ANSWER)*

The Education Expert System (TEES)

*I.A.5) Unique Project(Investment) Identifier: Update the UPI using the Exhibit 53 tab.*

029-00-01-14-01-1271-00

*I.A.6) What kind of investment will this be in FY2008? (Please NOTE: Investments moving to O&M ONLY in FY2008, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)*

Acquisition

*I.A.7) What was the first budget year this investment was submitted to OMB?*

FY2001 or earlier

*I.A.8) Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: (LONG ANSWER)*

The Education Service faces a number of challenges that affect the operation of education claims processing. This Project is a response to legislation mandating new benefits and the VA goal of processing 90% of veteran education benefit applications, enrollment certifications and notices of change in student status to final resolution without human intervention. The Education Expert System (TEES) is the proposed approach to achieving the VA Under Secretary's goal. TEES builds on previous VBA initiatives and will replace the existing benefit payment systems with a new system that (1) provides processing for all benefit chapters including Chapter 1607 benefit for Reservists and National Guard soldiers deployed in support of Operation Enduring Freedom and the War on Terrorism and for all training types and (2) works with the VA/VBA corporate database and the data warehouse. TEES will be developed within the VA enterprise framework to allow integration with the One-VA Registration and Eligibility/Contact Management system, when developed. TEES will be in consonance with the VA's goal of sharing data with Department of Defense(DoD). Eligibility data, furnished from DoD will greatly simplify eligibility determination and thus reduce the time required to process benefit claims. It will introduce a significant rules-based processing component that will automatically process at least 90% of all claims received electronically by applying benefit specific business rules and issuing payment for all claims. In addition, the incoming document and the award document will be sent to The Image Management System (TIMS) to be drop filed in the claimant's electronic folder. For all VBA Education Benefit programs, TEES will: - Determine a veteran's entitlement and eligibility for education benefits; - Apply benefit-specific business rules for processing each claim to award; - Process enrollments and payments; - Include complete inquiry functionality, reporting fee functionality, and functionality to audit claims processed by TEES to ensure that rules are applied correctly and to guard against fraudulent claims; and - Provide letters, reports, accounting, and audit trails. TEES was restructured and re-baselined in May 2004. The VA's Enterprise Investment Board (EIB) reviewed TEES in July 2004. This committee ensures that the project is aligned with the VA's technical architecture, mission and strategic goals.

*I.A.9) Did the Agency's Executive/Investment Committee approve this request?*

Yes

*I.A.9.a) If "yes," what was the date of this approval?*

Aug 10, 2006

*I.A.10) Did the Project Manager review this Exhibit?*

Yes

*I.A. 11) Project Managers Contact Information*

	<b>Project Managers Names (SHORT ANSWER)</b>	<b>PM Phone</b>	<b>E-mail (SHORT ANSWER)</b>
<b>Primary in-house</b>	Rodney Alexander	(202) 273-7016	rodney.alexander@va.gov

*I.A. 12) Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.*

No

*I.A. 12.a) Will this investment include electronic assets (including computers)?*

Yes

*I.A. 12.b) Is this investment for construction or retrofit of a federal building or facility? (Answer applicable to non-IT assets only)*

No

*I.A. 12.b.1) If "yes," is an ESPC or UESC being used to help fund this investment?*

*I.A. 12.b.2) If "yes," will this investment meet sustainable design principles?*

*I.A. 12.b.3) If "yes," is it designed to be 30% more energy efficient than relevant code? (Answer applicable to non-IT assets only)*

*I.A. 13) Does this investment directly support one of the PMA initiatives?*

Yes

*I.A. 13.a) If "yes," check all that apply:*

	<b>PMA Initiatives for XML Submission</b>	<b>PMA Initiatives</b>
Yes	Human Capital	- Human Capital
		- Budget Performance Integration
		- Financial Performance
Yes	Expanded E-Government	- Expanded E-Government
		- Competitive Sourcing
		- Faith Based and Community
		- Real Property Asset Management
		- Eliminating Improper Payments
		- Privatization of Military Housing
		- Research & Development Investment Criteria
		- Housing & Urban Development Management & Performance
		- Broadening Health Insurance Coverage through State Initiatives
		- "Right Sized" Overseas Presence
		- Coordination of VA & DoD Programs and Systems

*I.A. 13.b) Briefly describe how this asset directly supports the identified initiative(s)?(MEDIUM ANSWER)*

E-Gov - TEES will deliver productivity gains by processing web-based, veteran originated, education claims faster and more accurately, and eliminate data redundancy by using the VBA corporate db. It will reduce claim processing time (mission critical) by obtaining eligibility data from DoD. It supports the movement away from paper-based processing (approvals, applications, certifications). It will leverage Human Capital by being developed using captured knowledge of subject-matter-experts.

I.A.14) Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit [www.whitehouse.gov/omb/part](http://www.whitehouse.gov/omb/part).)

No

I.A.14.a) If "yes," does this investment address a weakness found during a PART review?

No

I.A.14.b) If "yes," what is the name of the PARTed program? (SHORT ANSWER)

I.A.14.c) If "yes," what rating did the PART receive?

I.A.15) Is this investment information technology? (See section 53.8 for definition)

Yes

I.A.16) What is the level of the IT Project? (per CIO Council PM Guidance)

Level 2

I.A.17) What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

Qualification Status	Qualification Status for XML Submission	Description
1	(1) Project manager has been validated as qualified for this investment	(1) - Project manager has been validated as qualified for this investment.
		(2) - Project manager qualification is under review for this investment.
		(3) - Project manager assigned to investment, but does not meet requirements.
		(4) - Project manager assigned but qualification status review has not yet started.
		(5) - No Project manager has yet been assigned to this investment.

I.A.18) Is this investment identified as "high risk" on the Q4 - FY 2006 agency high risk report (per OMB's "high risk" memo)?

No

I.A.19) Is this project (investment) a Financial Management System? (see section 53.3 for definition)

No

I.A.19.a) If so, does this project (investment) address a FFIA (Federal Financial Managers Integrity Act) compliance area?

I.A.19.a.1) If yes, which compliance area?

I.A.19.a.2) If "no," what does it address? (MEDIUM ANSWER)

TEES will replace the legacy educational benefit payment systems with a new system.

I.A.19.b) If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52 (LONG ANSWER)

Not Applicable

I.A.20) What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Percentage of Total Investment	
% Hardware	10.00
% Software	5.00
% Services	85.00
% Others	

I.A.21) If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

NA

I.A.22) Contact information of individual responsible for privacy related questions:

<b>Contact Name: (SHORT ANSWER)</b>	Heidi Hamzi
<b>Phone Number:</b>	202-565-8346
<b>Title: (SHORT ANSWER)</b>	IT Specialist
<b>E-mail: (SHORT ANSWER)</b>	Heidi.Hamzi@va.gov

I.A.23) Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

No

**PART I - B**

**PART I: SUMMARY INFORMATION AND JUSTIFICATION**

*In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.*

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**Section B: Summary of Funding (All Capital Assets)**

*I.B.1) FILL IN TABLE IN CURRENT VALUES (in millions)*

*(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)*

*Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be EXCLUDED from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.*

Category of Funds	PY-1 and Earlier	PY 2006	CY 2007	BY 2008
<b>Planning</b>				
<b>Budgetary Resources</b>	1.700	0.000	0.000	0.000
<b>Acquisition</b>				
<b>Budgetary Resources</b>	6.300	3.000	3.000	3.452
<b>Total, Sum of Stages</b>				
<b>Total, Resources (Plan &amp; Acq)</b>	8.000	3.000	3.000	3.452
<b>Operations &amp; Maintenance</b>				
<b>Budgetary Resources</b>	0.000	0.000	0.000	0.000
<b>Total, All Stages Resources</b>	8.000	3.000	3.000	3.452
<b>Government FTE Costs</b>	4.330	0.508	0.668	1.363
<b>Govt. FTE Numbers</b>	44	5	6	12
<b>Total, All Stages Resources + FTE</b>	12.330	3.508	3.668	4.815

*Government FTE Costs SHOULD NOT be INCLUDED as part of the TOTAL, All Stages Resources represented.*

*Note: 1) For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). 2) Total, All Stages Resources should equal Total, All Stages Outlays.*

*I.B.2) Will this project require the agency to hire additional FTE's?*

No

*I.B.2.a) If Yes, How many and in what year? (MEDIUM ANSWER)*

*I.B.3) If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes. (LONG ANSWER)*

The baseline shown in this Exhibit 300 is the latest approved by the Office of Management and Budget (OMB). A revised baseline reflecting the amounts shown in the BY 2008 Summary of Funding table is pending OMB approval.

<b>PART I - C</b>
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<b>PART I: SUMMARY INFORMATION AND JUSTIFICATION</b>
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<i>In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.</i>
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<b>Section C: Acquisition/Contract Strategy (All Capital Assets)</b>
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<i>I.C.1) If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why? (LONG ANSWER)</i>
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The contract for WEAMS was awarded before EVM requirements were finalized in VA. Per VA Directive #6061, starting December 2006, all future contracts will have EVM requirements.

For the WEAMS project, the deliverables undergo strict quality reviews via VA personnel and Independent Verification and Validation (IV&V). To further protect the taxpayers investment, a change control process is also in place and strictly adhered to. Changes require approval from all VA service areas involved in the project (e.g., Education Service, Office of Information Technology, Systems Architecture, etc.). Change approval is required by VA executives if schedule and costs changes are significant (>5%).

These quality assurance practices will continue to be used for all forthcoming TEES work.

<i>I.C.2) Do the contracts ensure Section 508 compliance?</i>
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Yes
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<i>I.C.2.a) If the Contracts WILL NOT ensure Section 508 Compliance, explain why:</i>
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<i>I.C.3) Is there an acquisition plan which has been approved in accordance with agency requirements?</i>
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No
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<i>I.C.3.a) If "yes," what is the date?</i>
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<i>I.C.3.b) If "no," will an acquisition plan be developed?</i>
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Yes
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<i>I.C.3.b.1) If "no," briefly explain why: (MEDIUM ANSWER)</i>
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The acquisition plan shall be developed by December 31st 2006.
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**PART I - D**

**PART I: SUMMARY INFORMATION AND JUSTIFICATION**

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**Section D: Performance Information (All Capital Assets)**

*I.D.1) In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives that this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60%, increase citizen participation by 300% a year to achieve an overall citizen participation rate of 75% by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestone, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.*

*For Existing IT projects that have previously submitted Exhibit 300s:*

*--> If you completed Table 1 last year, please use Table 1 to report for fiscal year 2005 and Table 2 for fiscal years 2006 through at least 2009.*

*--> If you completed only Table 2 last year, please use Table 2 to report for fiscal years 2006 through at least 2009.*

*For projects that are submitting Exhibit 300s for the first time:*

*--> Use Table 2.*

*--> Report on Performance Measures for at least two years, i.e., FY 2008 and 2009, FY 2009 and 2010.*

*--> If the project will have data for 2007 that you wish to include, add extra lines in Table 2 and complete all information in this single table.*

*--> At least one performance goal should be met by BY+1.*

**PERFORMANCE INFORMATION TABLE 2:**

*Please use Table 2 and the FEA Performance Reference Model (PRM) to identify the performance information pertaining to this major IT Investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year).*

Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvements to the Baseline	Actual Results
2005	Customer Results	Customer Satisfaction	Customer Satisfaction	54.5 Days	Reduce by 10 days average days to process an original claim.	33 Days to process claims
2005	Mission and Business Results	Customer Services	Customer Satisfaction	85% satisfaction	Increase satisfaction rate to 86%	96% satisfaction
2005	Processes and Activities	Efficiency	Efficiency	93.7% accuracy	Increase payment accuracy rate to 95%	96% accuracy
2005	Technology	Overall Costs	Overall Costs	<10% Deviation	The actual overall costs will not deviate more than 10% of planned costs	Not achieved due to new legislative requirement
2006	Customer Results	Customer Satisfaction	Customer Satisfaction	13 Days to process claims	12 days to process claims.	19 days to process claims
2006	Mission and Business Results	Customer Services	Customer Satisfaction	95% satisfaction	Increase satisfaction rate to 97%	No data yet available
2006	Processes and Activities	Efficiency	Efficiency	95% accuracy	Increase payment accuracy rate to 97%	95% accuracy
2006	Technology	Overall Costs	Overall Costs	<10% Deviation	The actual overall costs will not deviate more than 10% of planned costs	No data yet available



2007	Customer Results	Customer Satisfaction	Customer Satisfaction	12 Days to process claims	12 days to process claims	
2007	Mission and Business Results	Customer Services	Customer Satisfaction	96% satisfaction	Increase satisfaction rate to 97%	
2007	Processes and Activities	Efficiency	Efficiency	95% accuracy	Increase payment accuracy rate to 95%	
2007	Technology	Overall Costs	Overall Costs	<10% Deviation	The actual overall costs will not deviate more than 10% of planned costs	
2008	Customer Results	Customer Satisfaction	Customer Satisfaction	12 Days to process claims	12 days to process claims.	
2008	Mission and Business Results	Customer Services	Customer Satisfaction	96% satisfaction	Increase satisfaction rate to 97%	
2008	Processes and Activities	Efficiency	Efficiency	95% accuracy	Increase payment accuracy rate to 95%	
2008	Technology	Overall Costs	Overall Costs	<10% Deviation	The actual overall costs will not deviate more than 10% of planned costs	

**PART I - E**

**PART I: SUMMARY INFORMATION AND JUSTIFICATION**

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**Section E: Security and Privacy (IT Capital Assets only)**

**System/Application Level Information:**

*In order to successfully address this area of the business case, each question below must be answered at the system/application level, not at a program or agency level. Systems supporting this investment on the planning and operational systems security tables should match the systems on the privacy table below. Systems on the Operational Security Table must be included on your agency FISMA system inventory and should be easily referenced in the inventory (i.e., should use the same name or identifier).*

*All systems supporting and/or part of this investment should be included in the tables below, inclusive of both agency owned systems and contractor systems. For IT investments under development, security and privacy planning must proceed in parallel with the development of the system(s) to ensure IT security and privacy requirements and costs are identified and incorporated into the overall lifecycle of the system(s).*

*I.E.1) Identified the IT security costs for the system(s) and have integrated those costs into the overall costs of the investment:*

Yes

*I.E.2) Is identifying and assessing security and privacy risks a part of the overall risk management effort for each system supporting or part of this investment.*

Yes

*I.E.3) Have any weaknesses, not yet remediated, related to any of the systems part of or supporting this investment been identified by the agency or IG?*

No

*I.E.3.a) If "yes," have those weaknesses been incorporated into the agency's plan of action and milestone process?*

**PART I - F**

**PART I: SUMMARY INFORMATION AND JUSTIFICATION**

*In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.*

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**Section F: Enterprise Architecture (EA) (IT Capital Assets only)**

*In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.*

*I.F.1) Is this investment included in your agency's target enterprise architecture?*

Yes

*I.F.1.a) If "no," please explain why? (LONG ANSWER)*

Not Applicable.

*I.F.2) Is this investment included in the agency's EA Transition Strategy?*

Yes

*I.F.2.a) If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment. (MEDIUM ANSWER)*

VBA Enterprise Architecture Application Migration Strategy

*I.F.2.b) If "no," please explain why? (LONG ANSWER)*

**I.F.3) FEA SERVICE REFERENCE MODEL:**

*I.F.3) Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.*

**SERVICE COMPONENT TABLE:**

	<b>Agency Component Name(SHORT ANSWER)</b>	<b>Agency Component Description (MEDIUM ANSWER)</b>	<b>FEA SRM Service Type</b>	<b>FEA SRM Component (a*)</b>	<b>FEA Service Component Reused : Component Name (b*)</b>	<b>FEA Service Component Reused : UPI (b*)</b>	<b>Internal or External Reuse? (c*)</b>	<b>BY Funding Percentage (d*)</b>
1	Customer Analytics	provides claim details upon request	Customer Relationship Management	Customer Analytics			No Reuse	8.000
2	Customer/Account Management	provides management insight into claim status	Customer Relationship Management	Customer / Account Management			No Reuse	8.000
3	Case/Issue Management	managing the claim through the process	Tracking and Workflow	Case Management			No Reuse	8.100
4	Requirements Management	identifies the components of the claim	Management of Processes	Requirements Management			No Reuse	8.000
5	Quality Management	enables more accurate claim adjudication	Management of Processes	Quality Management			No Reuse	8.000

6	Risk Management	minimizes payment errors that result from processing mistakes	Management of Processes	Risk Management			No Reuse	8.000
7	Information Retrieval	retrieval of claim information	Knowledge Management	Information Retrieval			No Reuse	8.300
8	Library/Storage	storage of claim information	Document Management	Library / Storage			No Reuse	8.300
9	Ad Hoc	enables non-standard reporting on claims	Reporting	Ad Hoc			No Reuse	8.300
10	Standardized/Canned	enables standard reporting on claims	Reporting	Standardized / Canned			No Reuse	8.300
11	Data Exchange	centralizes data repository	Data Management	Data Exchange			No Reuse	8.400
12	Payment/Settlement	enables timely and accurate payments	Financial Management	Payment / Settlement			No Reuse	8.300
13	Certification and Accreditation	ensure secure access to benefit records	Security Management	Certification and Accreditation			No Reuse	1.000
14	Identification and Authentication	Validate user credentials	Security Management	Identification and Authentication			No Reuse	1.000
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**NOTE:**

(a\*) - Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

(b\*) - A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

(c\*) - 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

(d\*) - Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

**I.F.4) FEA TECHNICAL REFERENCE MODEL:**

*I.F.4) To demonstrate how this major IT investment aligns with Reference Model (TRM), please list the Service Areas, Service Specifications supporting this IT investment.*

**TECHNICAL REFERENCE MODEL TABLE:**

FEA SRM Component (a*)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard
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1	Customer Analytics	Component Framework	Presentation / Interface	Static Display
2	Customer / Account Management	Service Platform and Infrastructure	Delivery Servers	Application Servers
3	Case Management	Service Platform and Infrastructure	Delivery Servers	Application Servers
4	Requirements Management	Service Platform and Infrastructure	Database / Storage	Database
5	Quality Management	Service Access and Delivery	Service Requirements	Legislative / Compliance
6	Risk Management	Service Access and Delivery	Service Requirements	Legislative / Compliance
7	Information Retrieval	Service Platform and Infrastructure	Database / Storage	Database
8	Library / Storage	Component Framework	Data Management	Reporting and Analysis
9	Ad Hoc	Component Framework	Data Management	Reporting and Analysis
10	Standardized / Canned	Component Framework	Data Management	Reporting and Analysis
11	Data Exchange	Component Framework	Data Interchange	Data Exchange
12	Payment / Settlement	Service Access and Delivery	Access Channels	Other Electronic Channels
13	Certification and Accreditation	Component Framework	Security	Supporting Security Services
14	Identification and Authentication	Component Framework	Security	Supporting Security Services
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	<i>NOTE:</i>
	<i>(a*) - Service Components identified in the previous question(I.F.3) should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications</i>
	<i>(b*) - In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.</i>
	<i>I.F.5) Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?</i>
	No
	<i>I.F.5.a) If "yes," please describe. (LONG ANSWER)</i>
	Not Applicable
	<i>I.F.6) Does this investment provide the public with access to a government automated information system?</i>
	Yes
	<i>I.F.6.a) If "yes," does customer access require specific software (e.g., a specific web browser version)?</i>
	No

**PART II - B**

**PART II: PLANNING, ACQUISITION AND PERFORMANCE INFORMATION**

*Part II should be completed only for investments which in FY2008 will be in "Planning" or "Full Acquisition," investments, i.e., selected one of these three choices in response to Question 6 in Part I, Section A above.*

*OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)*

**Section B - RISK MANAGEMENT (All Capital Assets)**

*II.B.1) Does the investment have a Risk Management Plan?*

Yes

*II.B.1.a) If "yes," what is the date of the plan?*

Oct 16, 2006

*II.B.1.b) Has the Risk Management Plan been significantly changed since last year's submission to OMB?*

No

*II.B.1.c) If "yes," describe any significant changes: (LONG ANSWER)*

*II.B.2) If there currently is no plan, will a plan be developed?*

*II.B.2.a) If "no," what is the strategy for managing the risks? (LONG ANSWER)*

*II.B.3) Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule: (LONG ANSWER)*

The Integrated Project Team (IPT) completes a risk analysis and risks are evaluated and rated base upon the probability of incidence and impact to lifecycle implementation costs. Devising a plan to address each occurrence mitigates those risks, which will affect cost and schedule if they are realized. Costs are determined based upon the probability of occurrence and the impact determined through the risk analysis. Cost and schedule reserves are developed from accumulating the risk costs and schedule adjustments then incorporating them into the project. Thereby, creating a buffer to mitigate the risks in the event of risks occurring. This will allow the investment to absorb minor changes and therefore eliminating the need to rebaseline or to realign project costs and schedule that exceed the approved baseline.



**PART II - C**

**Part II: Planning, Acquisition And Performance Information**

*Part II should be completed only for investments which in FY2008 will be in "Planning" or "Full Acquisition," investments, i.e., selected one of these three choices in response to Question 6 in Part I, Section A above.*

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

**C) Cost and Schedule Performance:**

*Identify in this section the proposed change to the original or current OMB-approved baseline. What are the new cost and schedule goals for the phase or segment/module (e.g., what are the major investment milestones or events; when will each occur; and what is the estimated cost to accomplish each one)? If this is a new investment in the FY 2008 Budget year or if the agency does not intend to propose a new baseline modification, this section will be blank for your budget submission.*

II.C.1) Is the department requesting a change in the performance baseline?

Yes

II.C.2) Does the earned value management system meet the criteria in ANSI/EIA Standard – 748?

No

II.C.3) What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs).

Contractor and Government

**II.C.4) Comparison of Initial Baseline and Current Approved Baseline:**

*II.C.4) Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions).*

Description of Milestone	Init BL Planned Completion Date	Current BL Completion Date Planned	Current BL Completion Date Actual
WEAMS Development, Data Conversion, & Deployment		Dec 1, 2006	Oct 16, 2006
ECAP Re-platform & Enhancements		Apr 11, 2008	
Chapter 1607		Sep 30, 2008	
Prior Years TEES Development		Sep 30, 2005	Sep 30, 2005
