

Rules-Based Claims Processing (RBCP) - 2008

ProSight

PART I - A

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section A: Overview (All Capital Assets)

I.A.1) Date of Submission (mm/dd/yyyy)

Sep 11, 2006

I.A.2) Agency

029 - Department of Veterans Affairs

I.A.3) Bureau

00 - Agency Wide Initiatives

I.A.4) Name of this Investment:(SHORT ANSWER)

Rules-Based Claims Processing (RBCP)

I.A.5) Unique Project(Investment) Identifier: Update the UPI using the Exhibit 53 tab.

029-00-01-13-01-1261-00

I.A.6) What kind of investment will this be in FY2008? (Please NOTE: Investments moving to O&M ONLY in FY2008, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Planning

I.A.7) What was the first budget year this investment was submitted to OMB?

FY2008

I.A.8) Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: (LONG ANSWER)

Compensation and Pension Service proposes to design and implement a rules-based Automated Information System (AIS) to support the business process of C&P claims processing. C&P Service plans to develop the rules-base in modules to support various aspects of C&P claims processing, including Pension, Dependency and Indemnity Compensation, Burial, Hospital adjustments, and Select aspects of compensation.

Rules-Based Claims Processing (RBCP) will begin with improved pension claims. RBCP supports VA Strategic Goal 3: to honor and serve veterans for their sacrifices, and Strategic Goal 4: to contribute to their socioeconomic well-being with monetary receipt of pension benefits.

The current VBA pension claims adjudication process has major problems. First, variances exist across 57 Regional Offices (ROs), three Pension Maintenance Centers (PMCs), and more than 3,200 Veterans Service Representatives (VSRs) who are primarily responsible for rendering the decision to grant or deny entitlement to VA improved pension benefits. Secondly, the pension process has not been standardized with audit report capability. Finally, no VBA AIS exists to process improved pension claims.

Introducing rules-based decision making into the VBA improved pension claims process where no rating decision is required creates the opportunity to enhance uniformity, substantially reduce variances, and promote standardization of decision making.

Three pension modules are planned for RBCP.

The first module will focus on adjudicating VBA original, live pension and death pension claims not requiring a rating decision.

The second module will address processing of re-opened pension claims and annual Eligibility Verification Reports (EVRs).

The third module will add functionality for processing reductions to a pension award based on a claimant's hospitalization at government expense.

Where entitlement to improved pension benefits is found, RBCP will calculate the monthly pension benefit payable based on the VA Maximum Annual Pension Rate (MAPR) and countable Income for VA Purposes (IVAP) in effect for that month. RBCP will also allow automated processing of reopened pension claims not requiring a rating decision, annual EVRs, and hospitalization adjustments; PMCs ordinarily perform this work. RBCP will interface with VETSNET Awards/FAS to ensure that payment decisions made in RBCP are exported to Awards/FAS, thereby reducing manual operations and promoting e-claims processing.

I.A.9) Did the Agency's Executive/Investment Committee approve this request?

Yes

I.A.9.a) If "yes," what was the date of this approval?

I.A.10) Did the Project Manager review this Exhibit?

Yes

I.A.11) Project Managers Contact Information

	Project Managers Names (SHORT ANSWER)	PM Phone	E-mail (SHORT ANSWER)
Primary in-house	Bernadette Pessagno	202-273-7065	bernadette.pessagno@vba.va.gov

I.A.12) Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

No

I.A.12.a) Will this investment include electronic assets (including computers)?

Yes

I.A.12.b) Is this investment for construction or retrofit of a federal building or facility? (Answer applicable to non-IT assets only)

I.A.12.b.1) If "yes," is an ESPC or UESC being used to help fund this investment?

I.A.12.b.2) If "yes," will this investment meet sustainable design principles?

I.A.12.b.3) If "yes," is it designed to be 30% more energy efficient than relevant code? (Answer applicable to non-IT assets only)

I.A.13) Does this investment directly support one of the PMA initiatives?

Yes

I.A.13.a) If "yes," check all that apply:

	PMA Initiatives for XML Submission	PMA Initiatives
Yes	Human Capital	- Human Capital
		- Budget Performance Integration
		- Financial Performance
Yes	Expanded E-Government	- Expanded E-Government
		- Competitive Sourcing
		- Faith Based and Community
		- Real Property Asset Management
		- Eliminating Improper Payments
		- Privatization of Military Housing
		- Research & Development Investment Criteria
		- Housing & Urban Development Management & Performance
		- Broadening Health Insurance Coverage through State Initiatives

	- "Right Sized" Overseas Presence
	- Coordination of VA & DoD Programs and Systems

I.A.13.b) Briefly describe how this asset directly supports the identified initiative(s)?(MEDIUM ANSWER)

Strategic Management of Human Capital.
 RBCP will capture and blend in one IT application VA pension law, regulations, VBA manual provisions, and training materials, which will preserve institutional knowledge lost through retirement.
 Expanded E-Government.
 RBCP supports high quality customer service and will decrease government operating costs by eliminating re-keying of redundant data.

I.A.14) Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

No

I.A.14.a) If "yes," does this investment address a weakness found during a PART review?

I.A.14.b) If "yes," what is the name of the PARTed program? (SHORT ANSWER)

I.A.14.c) If "yes," what rating did the PART receive?

I.A.15) Is this investment information technology? (See section 53.8 for definition)

Yes

I.A.16) What is the level of the IT Project? (per CIO Council PM Guidance)

Level 1

I.A.17) What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

Qualification Status	Qualification Status for XML Submission	Description
1	(1) Project manager has been validated as qualified for this investment	(1) - Project manager has been validated as qualified for this investment.
		(2) - Project manager qualification is under review for this investment.
		(3) - Project manager assigned to investment, but does not meet requirements.
		(4) - Project manager assigned but qualification status review has not yet started.
		(5) - No Project manager has yet been assigned to this investment.

I.A.18) Is this investment identified as "high risk" on the Q4 - FY 2006 agency high risk report (per OMB's "high risk" memo)?

No

I.A.19) Is this project (investment) a Financial Management System? (see section 53.3 for definition)

No

I.A.19.a) If so, does this project (investment) address a FFMA (Federal Financial Managers Integrity Act) compliance area?

I.A.19.a.1) If yes, which compliance area?

I.A.19.a.2) If "no," what does it address? (MEDIUM ANSWER)

I.A.19.b) If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52 (LONG ANSWER)

N/A

I.A.20) What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Percentage of Total Investment	
% Hardware	15.00
% Software	20.00
% Services	65.00
% Others	0.00

I.A.21) If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

NA

I.A.22) Contact information of individual responsible for privacy related questions:

Contact Name: (SHORT ANSWER)	Heidi Hamzi
Phone Number:	202-565-8346
Title: (SHORT ANSWER)	IT Specialist
E-mail: (SHORT ANSWER)	heidi.hamzi@va.gov

I.A.23) Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

No

PART I - B

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section B: Summary of Funding (All Capital Assets)

I.B.1) FILL IN TABLE IN CURRENT VALUES (in millions)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be EXCLUDED from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Category of Funds	PY-1 and Earlier	PY 2006	CY 2007	BY 2008
Planning				
Budgetary Resources	0.000	0.000	0.000	0.000
Acquisition				
Budgetary Resources	0.000	0.000	0.000	0.000
Total, Sum of Stages				
Total, Resources (Plan & Acq)	0.000	0.000	0.000	0.000
Operations & Maintenance				
Budgetary Resources	0.000	0.000	0.000	0.000
Total, All Stages Resources	0.000	0.000	0.000	0.000
Government FTE Costs	0.000	0.000	0.000	0.000
Govt. FTE Numbers	0	0	0	0
Total, All Stages Resources + FTE	0.000	0.000	0.000	0.000

Government FTE Costs SHOULD NOT be INCLUDED as part of the TOTAL, All Stages Resources represented.

Note: 1) For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). 2) Total, All Stages Resources should equal Total, All Stages Outlays.

I.B.2) Will this project require the agency to hire additional FTE's?

Yes

<i>I.B.2.a) If Yes, How many and in what year? (MEDIUM ANSWER)</i>
FY 2008 – 4 FTE FY 2009 – 2 FTE
<i>I.B.3) If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes. (LONG ANSWER)</i>
N/A

PART I - C

PART I: SUMMARY INFORMATION AND JUSTIFICATION
--

<i>In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.</i>

<i>OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)</i>
--

Section C: Acquisition/Contract Strategy (All Capital Assets)
--

<i>I.C.1) If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why? (LONG ANSWER)</i>

<i>I.C.2) Do the contracts ensure Section 508 compliance?</i>

NA

<i>I.C.2.a) If the Contracts WILL NOT ensure Section 508 Compliance, explain why:</i>

NA

<i>I.C.3) Is there an acquisition plan which has been approved in accordance with agency requirements?</i>
--

No

<i>I.C.3.a) If "yes," what is the date?</i>

<i>I.C.3.b) If "no," will an acquisition plan be developed?</i>

Yes

<i>I.C.3.b.1) If "no," briefly explain why: (MEDIUM ANSWER)</i>

An acquisition plan will be formulated beginning Oct 1, 2007.

PART I - D

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section D: Performance Information (All Capital Assets)

I.D.1) In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives that this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60%, increase citizen participation by 300% a year to achieve an overall citizen participation rate of 75% by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestone, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

For Existing IT projects that have previously submitted Exhibit 300s:

--> If you completed Table 1 last year, please use Table 1 to report for fiscal year 2005 and Table 2 for fiscal years 2006 through at least 2009.

--> If you completed only Table 2 last year, please use Table 2 to report for fiscal years 2006 through at least 2009.

For projects that are submitting Exhibit 300s for the first time:

--> Use Table 2.

--> Report on Performance Measures for at least two years, i.e., FY 2008 and 2009, FY 2009 and 2010.

--> If the project will have data for 2007 that you wish to include, add extra lines in Table 2 and complete all information in this single table.

--> At least one performance goal should be met by BY+1.

PERFORMANCE INFORMATION TABLE 2:

Please use Table 2 and the FEA Performance Reference Model (PRM) to identify the performance information pertaining to this major IT Investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year).

Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvements to the Baseline	Actual Results
2008	Mission and Business Results	Customer Services	Increase percentage of pension recipients who are informed of the full range of available benefits through customer service. Supports VA Strategic Goal 4.4 which is to raise the socioeconomic status of VA pension recipients.	FY 2004, 40%		
2008	Processes and Activities	Timeliness	Improve average days to process non-rating pension actions. Strategic target is 60 days. (VA Strategic Goal Objective 3.2.)	FY 2005, 68 days		
2008	Customer Results	Accuracy of Service or Product Delivered	Improve national accuracy rate for pension authorization work. Strategic target is 98%. (VA Strategic Goal Objective 3.2.)	FY 2005, 86%		
2008	Technology	User Satisfaction	Customer end-user satisfaction. No data is available showing end-user satisfaction with current process for processing VBA pension claims not requiring a rating decision.	Survey of end-users will need to be performed to gather baseline data		

PART I - E

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section E: Security and Privacy (IT Capital Assets only)

System/Application Level Information:

In order to successfully address this area of the business case, each question below must be answered at the system/application level, not at a program or agency level. Systems supporting this investment on the planning and operational systems security tables should match the systems on the privacy table below. Systems on the Operational Security Table must be included on your agency FISMA system inventory and should be easily referenced in the inventory (i.e., should use the same name or identifier).

All systems supporting and/or part of this investment should be included in the tables below, inclusive of both agency owned systems and contractor systems. For IT investments under development, security and privacy planning must proceed in parallel with the development of the system(s) to ensure IT security and privacy requirements and costs are identified and incorporated into the overall lifecycle of the system(s).

I.E.1) Identified the IT security costs for the system(s) and have integrated those costs into the overall costs of the investment:

Yes

I.E.2) Is identifying and assessing security and privacy risks a part of the overall risk management effort for each system supporting or part of this investment.

Yes

I.E.3) Have any weaknesses, not yet remediated, related to any of the systems part of or supporting this investment been identified by the agency or IG?

No

I.E.3.a) If "yes," have those weaknesses been incorporated into the agency's plan of action and milestone process?

PART I - F

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

I.F.1) Is this investment included in your agency's target enterprise architecture?

Yes

I.F.1.a) If "no," please explain why? (LONG ANSWER)

I.F.2) Is this investment included in the agency's EA Transition Strategy?

No

I.F.2.a) If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment. (MEDIUM ANSWER)

I.F.2.b) If "no," please explain why? (LONG ANSWER)

RBCP is new technology, and that is why it is not in the agency's EA Transition Strategy. RBCP will conform with current VBA EA principles and practices.

This investment is identified in the VBA Enterprise Architecture (EA) and complies with EA principles and practices. Those principles and practices include:

- The alignment of IT investments to the business functions being automated;
- The re-use of business and technology components to the extent possible; and
- The consolidation of multiple processes and applications into a single process or application where practical.

Compliance with the five standards based architectural elements are described below:

1. Access Method - To ensure that all system users, employees and veterans have the easiest access to applications and data, the technology vision includes Web access that is Browser based.
2. Development Process and Tools – Modernizing our processes and tools facilitates our migration toward the technology vision. This modernization includes the use of the iterative development lifecycle and the associated requirements, modeling, design, testing and management software development tools. J2EE and JAVA are the acceptable framework and programming languages, respectively.
3. Operating System – Standardization on a single operating environment provides both economic, development and operational benefits. We have adopted UNIX as that standard environment. Applications within that environment may be common services or applications specific to a unique business requirement. When deciding between common service and specific applications, there is a strong preference towards common services.
4. Data Management - The use of the VBA corporate database as the single repository of data will continue and will be expanded. Data elements within the corporate database may be common data or data specific to a unique business requirement. When deciding between these two approaches there is a strong preference to common data elements.
5. Internal and External Interfaces – To simplify internal and external communication we will migrate to the XML messaging system. External data will be converted into the XML system-messaging standard. Internal system messages will also use the XML system-messaging standard.

I.F.3) FEA SERVICE REFERENCE MODEL:

I.F.3) Identify the service components funded by this major IT investment (e.g.,knowledge management, content

management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

SERVICE COMPONENT TABLE:

	Agency Component Name (SHORT ANSWER)	Agency Component Description (MEDIUM ANSWER)	FEA SRM Service Type	FEA SRM Component (a*)	FEA Service Component Reused : Component Name (b*)	FEA Service Component Reused : UPI (b*)	Internal or External Reuse? (c*)	BY Funding Percentage (d*)
1	Customer Services	RBCP supports the delivery and maintenance of VA pension payments to veterans and eligible dependents. RBCP will calculate the pension monetary award based on the maximum allowable annual pension rate and countable income for VA purposes.	Customer Relationship Management	Customer / Account Management			No Reuse	6.000
2	Customer Services	RBCP will be an interactive business rules engine providing the end-user employee with an electronic interface for help and training related to processing VA pension claims.	Customer Initiated Assistance	Online Help			No Reuse	7.000
3	Customer Services	RBCP will be an interactive business rules engine providing the end-user employee with an electronic interface for help and training related to processing VA pension claims.	Customer Initiated Assistance	Online Tutorials			No Reuse	7.000
4	Process Automation Services	RBCP will assist VBA employees to adjudicate VA pension claims not requiring a rating decision. RBCP provides a uniform procedure across all 57 ROs and 3 PMCs to manage the business life cycle of a VA pension claim.	Tracking and Workflow	Case Management			No Reuse	6.000
5	Process Automation Services	RBCP will assist VBA employees to adjudicate VA pension claims not requiring a rating decision. RBCP	Tracking and Workflow	Process Tracking			No Reuse	6.000

		provides a uniform procedure across all 57 ROs and 3 PMCs to manage the business life cycle of a VA pension claim.						
6	Business Management Services	RBCP is an automated information system using a business rules engine to process VA pension claims not requiring a rating decision. The pension rule-base will conform to applicable VA law, regulations, and procedures.	Management of Processes	Business Rule Management			No Reuse	15.000
7	Business Management Services	Use of a business rules engine to process select pension claims will introduce uniformity and standardization of decision making across 57 ROs and 3 PMCs. Another benefit of rules-based pension claims processing is increased quality/accuracy.	Management of Processes	Quality Management			No Reuse	15.000
8	Business Management Services	RBCP is an automated information system for processing certain VA pension claims. RBCP will be used by over 3,330 employees located in 57 ROs and 3 PMCs.	Organizational Management	Workgroup / Groupware			No Reuse	10.000
9	Digital Asset Services	RBCP will be an interactive business rules engine which can provide employees training and reference to VA pension law, regulations, and procedures.	Content Management	Content Authoring			No Reuse	1.000
10	Digital Asset Services	RBCP will be an interactive automated information system for adjudicating VA pension claims not requiring a rating decision.	Content Management	Content Publishing and Delivery			No Reuse	1.000
11	Digital Asset	The RBCP business	Document	Document			No Reuse	1.000

	Services	rules engine will redirect the user to other documents and related content to support VA pension claims processing.	Management	Referencing				
12	Digital Asset Services	The user will be able to edit and revise individual pension claims investigations to arrive at a final decision.	Document Management	Document Revisions			No Reuse	1.000
13	Digital Asset Services	The user will be able to edit and revise individual pension claims investigations to arrive at a final decision.	Document Management	Document Review and Approval			No Reuse	1.000
14	Digital Asset Services	RBCP will store individual pension claim investigations which can be retrieved by VA and its stakeholders.	Knowledge Management	Information Retrieval			No Reuse	1.000
15	Digital Asset Services	RBCP will store individual pension claim investigations which can be retrieved by VA and its stakeholders.	Knowledge Management	Information Sharing			No Reuse	1.000
16	Digital Asset Services	RBCP will utilize a business rules engine and create a rule-base which maps to all applicable VA pension law, regulations, and procedures. The result is a VA pension expert system.	Knowledge Management	Knowledge Engineering			No Reuse	2.000
17	Digital Asset Services	RBCP will store individual pension claim investigations, which facilitates collection of pension data and information.	Knowledge Management	Knowledge Capture			No Reuse	1.000
18	Digital Asset Services	RBCP will store individual pension claim investigations, which become the basis for final pension decision	Knowledge Management	Knowledge Distribution and Delivery			No Reuse	1.000

		sent to the claimant.						
19	Digital Asset Services	RBCP is a smart system, which uses rules-based technology to process VA pension claims.	Knowledge Management	Smart Documents			No Reuse	1.000
20	Business Analytical Services	RBCP will standardize VA pension claims processing and support use of dynamic reports.	Reporting	Ad Hoc			No Reuse	4.000
21	Business Analytical Services	RBCP will standardize VA pension claims processing and support audit report capability of pension decisions across 57 ROs and 3 PMCs.	Reporting	Standardized / Canned			No Reuse	4.000
22	Back Office Services	RBCP will automate pension claims processing, and determine the monetary amount of the pension payment based on the maximum annual pension rate and countable income for VA purposes. Determining the monetary amount of the pension payment will support the process of accounts payable.	Financial Management	Payment / Settlement			No Reuse	4.000
23	Back Office Services	RBCP will interface with VETSNET AWARDS and FAS to support the development, processing, and payment of VA pension benefits to veterans and their eligible dependents.	Data Management	Data Exchange			No Reuse	4.000
24								
25								
26								
27								
28								
29								

30								
31								
32								
33								
34								
35								
36								
37								
38								
39								
40								
41								
42								
43								
44								
45								
46								
47								
48								
49								
50								

NOTE:

(a*) - Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

(b*) - A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

(c*) - 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

(d*) - Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

I.F.4) FEA TECHNICAL REFERENCE MODEL:

I.F.4) To demonstrate how this major IT investment aligns with Reference Model (TRM), please list the Service Areas, Service Specifications supporting this IT investment.

TECHNICAL REFERENCE MODEL TABLE:

	FEA SRM Component (a*)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard
1	Customer / Account	Service Access and Delivery	Service Requirements	Legislative / Compliance

	Management			
2	Online Help	Service Access and Delivery	Service Requirements	Legislative / Compliance
3	Online Tutorials	Service Access and Delivery	Service Requirements	Legislative / Compliance
4	Case Management	Service Platform and Infrastructure	Delivery Servers	Application Servers
5	Process Tracking	Component Framework	Data Management	Reporting and Analysis
6	Business Rule Management	Component Framework	Business Logic	Platform Independent
7	Quality Management	Service Access and Delivery	Service Requirements	Legislative / Compliance
8	Workgroup / Groupware	Service Interface and Integration	Integration	Enterprise Application Integration
9	Content Authoring	Component Framework	Business Logic	Platform Independent
10	Content Publishing and Delivery	Component Framework	Business Logic	Platform Independent
11	Document Referencing	Component Framework	Business Logic	Platform Independent
12	Document Revisions	Component Framework	Business Logic	Platform Independent
13	Document Review and Approval	Component Framework	Business Logic	Platform Independent
14	Information Retrieval	Component Framework	Business Logic	Platform Independent
15	Information Sharing	Component Framework	Business Logic	Platform Independent
16	Knowledge Engineering	Component Framework	Business Logic	Platform Independent
17	Knowledge Capture	Component Framework	Business Logic	Platform Independent
18	Knowledge Distribution and Delivery	Service Platform and Infrastructure	Delivery Servers	Application Servers
19	Smart Documents	Service Platform and Infrastructure	Delivery Servers	Application Servers
20	Ad Hoc	Component Framework	Data Management	Reporting and Analysis
21	Standardized / Canned	Component Framework	Data Management	Reporting and Analysis
22	Payment / Settlement	Service Access and Delivery	Access Channels	Other Electronic Channels
23	Data Exchange	Service Access and Delivery	Access Channels	Other Electronic Channels
24				
25				
26				
27				
28				
29				
30				
31				
32				
33				
34				

35				
36				
37				
38				
39				
40				
41				
42				
43				
44				
45				
46				
47				
48				
49				
50				

	NOTE:
	<i>(a*) - Service Components identified in the previous question(I.F.3) should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications</i>
	<i>(b*) - In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.</i>
	<i>I.F.5) Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?</i>
	No
	<i>I.F.5.a) If "yes," please describe. (LONG ANSWER)</i>
	<i>I.F.6) Does this investment provide the public with access to a government automated information system?</i>
	No
	<i>I.F.6.a) If "yes," does customer access require specific software (e.g., a specific web browser version)?</i>

PART II - B

PART II: PLANNING, ACQUISITION AND PERFORMANCE INFORMATION

Part II should be completed only for investments which in FY2008 will be in "Planning" or "Full Acquisition," investments, i.e., selected one of these three choices in response to Question 6 in Part I, Section A above.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section B - RISK MANAGEMENT (All Capital Assets)

II.B.1) Does the investment have a Risk Management Plan?

No

II.B.1.a) If "yes," what is the date of the plan?

II.B.1.b) Has the Risk Management Plan been significantly changed since last year's submission to OMB?

II.B.1.c) If "yes," describe any significant changes: (LONG ANSWER)

II.B.2) If there currently is no plan, will a plan be developed?

Yes

II.B.2.a) If "no," what is the strategy for managing the risks? (LONG ANSWER)

II.B.3) Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule: (LONG ANSWER)

RBCP is in the planning and development stages. A portion of the FY 2008 planning dollars, currently forecast as \$2.45 million, will be allocated for honing the mitigation strategy for the previously mentioned risks and updating the to be developed Risk Management Plan.

PART II - C

Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments which in FY2008 will be in "Planning" or "Full Acquisition," investments, i.e., selected one of these three choices in response to Question 6 in Part I, Section A above.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

C) Cost and Schedule Performance:

Identify in this section the proposed change to the original or current OMB-approved baseline. What are the new cost and schedule goals for the phase or segment/module (e.g., what are the major investment milestones or events; when will each occur; and what is the estimated cost to accomplish each one)? If this is a new investment in the FY 2008 Budget year or if the agency does not intend to propose a new baseline modification, this section will be blank for your budget submission.

II.C.1) Is the department requesting a change in the performance baseline?

No

II.C.2) Does the earned value management system meet the criteria in ANSI/EIA Standard – 748?

No

II.C.3) What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs).

Contractor and Government

II.C.4) Comparison of Initial Baseline and Current Approved Baseline:

II.C.4) Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions).

Description of Milestone	Init BL Planned Completion Date	Current BL Completion Date Planned	Current BL Completion Date Actual
Concept Definition & Development	Feb 29, 2008	Feb 29, 2008	
