Health Admin Center (HAC) IT Operations - 2008   ProSight

PART I - A

PART I: SUMMARY INFORMATION AND JUSTIFICATION
In Part I, complete Sections A. B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.
OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section A: Overview (All Capital Assets)
I.A.1) Date of Submission (mm/dd/yyyy)
Jul 14, 2006
I.A.2) Agency
029 - Department of Veterans Affairs
I.A.3) Bureau
00 - Agency Wide Initiatives
I.A.4) Name of this Investment:(SHORT ANSWER)
Health Admin Center (HAC) IT Operations-2008
I.A.5) Unique Project(Investment) Identifier: Update the UPI using the Exhibit 53 tab.
029-00-01-01-01-1040-00
I.A.6) What kind of investment will this be in FY2008? (Please NOTE: Investments moving to O&M ONLY in FY2008, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)
Operations and Maintenance
I.A.7) What was the first budget year this investment was submitted to OMB?
FY2002
I.A.8) Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: (LONG ANSWER)
HAC provides a variety of critical programs mandated by Congress and delivers quality services to veterans and their family members. HAC's IT operations are closely linked with the business and support the Center's goals through the use of leading IT solutions. The 3 key components of these operations that this project supports are: (1) telecom support; (2) technical support and; (3) purchase and maintenance of capital equipment. HAC IT operations are considered "steady state." The objective of the HAC is to be the VA expert in health plan management with a mission to efficiently administer health plans. The HAC establishes benefits policy, determines eligibility, processes claims and checks for fraud, waste and abuse. The role of the HAC has expanded from its original mission of supporting the CHAMPVA to also include administration of the Department's Foreign Medical Program, Spina Bifida Healthcare Program, Children of Women Vietnam Veterans Health Care Program, VA Diagnostic Related Grouping Recovery Audit, and VHA Mail Management Office. HAC's IT operations have also expanded to meet the broader role to support its mission. These operations are required to support the HAC's automated claims processing system, the eligibility and authorization systems, the call center, interactive intranet and internet web pages for beneficiaries and providers and various other HAC activities. IT operations assist the HAC with administrative functions that are provided to several VHA CFO Field Offices and to the Health Enrollment Center in Atlanta. The focus of this project is maintaining and enhancing the three key components of HAC's IT operations: (1) Telecom Support-The HAC IT telecom component includes the establishment and maintenance of a call center that handles all beneficiary inquiries. Telecom links to the Department of Defense (DoD), Centers for Medicare and Medicaid Services (CMS), EDI clearing houses, VA Medical Centers (support of the CHAMPVA in-house Treatment Initiative (CITI) program) and with the Consolidated Mail Out Pharmacy (Meds by Mail Program). (2) Technical Support: IT technical assistance is necessary to support many HAC systems, including: Claims processing system, Eligibility and authorization systems, Comprehensive intranet/internet websites for stakeholders - (3) Purchase & Maintenance of Capital Equipment: HAC IT department continually upgrades and improves the capital equipment assets to support the HAC's mission and various programs.
I.A.9) Did the Agency's Executive/Investment Committee approve this request?
Yes
I.A.9.a) If "yes," what was the date of this approval?
Jun 6, 2006
I.A.10) Did the Project Manager review this Exhibit?

Yes

I.A.11) Project Managers Contact Information

	Project Managers Names (SHORT ANSWER)	PM Phone	E-mail (SHORT ANSWER)
Primary in-house	Joe Williams	720-889-2346	joseph.williams@va.gov

I.A.12) Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes

I.A.12.a) Will this investment include electronic assets (including computers)?

Yes

I.A.12.b) Is this investment for construction or retrofit of a federal building or facility? (Answer applicable to non-IT assets only)

No

I.A.12.b.1) If "yes," is an ESPC or UESC being used to help fund this investment?

I.A.12.b.2) If "yes," will this investment meet sustainable design principles?

I.A.12.b.3) If "yes," is it designed to be 30% more energy efficient than relevant code? (Answer applicable to non-IT assets only)

I.A.13) Does this investment directly support one of the PMA initiatives?

Yes

I.A.13.a) If "yes," check all that apply:

	PMA Initiatives for XML Submission	PMA Initiatives
No		- Human Capital
No		- Budget Performance Integration
No		- Financial Performance
Yes	Expanded E-Government	- Expanded E-Government
No		- Competitive Sourcing
No		- Faith Based and Community
No		- Real Property Asset Management
No		- Eliminating Improper Payments
No		- Privatization of Military Housing
No		- Research & Development Investment Criteria
No		- Housing & Urban Development Management & Performance
No		- Broadening Health Insurance Coverage through State Initiatives
No		- "Right Sized" Overseas Presence
Yes	Coordination of VA and DoD Programs and Systems	- Coordination of VA & DoD Programs and Systems

I.A.13.b) Briefly describe how this asset directly supports the identified initiative(s)?(MEDIUM ANSWER)

Expanded Electronic Government: The current percentage of electronic claims submitted for HAC programs is 45%, and the plan is to grow to 60% by FY 08. By end of FY 06, a number of CHAMPVA and other program forms will be available to be filled out by beneficiaries through web-based applications. Coordination of VA and DoD Programs and Systems: Telecom aspects of HAC project allow links to the DoD. Web applications are available to facilitate the exchange of information with the regional offices.

I.A.14) Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

No

I.A.14.a) If "yes," does this investment address a weakness found during a PART review?

I.A.14.b) If "yes," what is the name of the PARTed program? (SHORT ANSWER)

I.A.14.c) If "yes," what rating did the PART receive?

I.A.15) Is this investment information technology? (See section 53.8 for definition)

Yes

I.A.16) What is the level of the IT Project? (per CIO Council PM Guidance)

Level 1

I.A.17) What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

Qualification Status	Qualification Status for XML Submission	Description
1	(1) Project manager has been validated as qualified for this investment	(1) - Project manager has been validated as qualified for this investment.
		(2) - Project manager qualification is under review for this investment.
		(3) - Project manager assigned to investment, but does not meet requirements.
		(4) - Project manager assigned but qualification status review has not yet started.
		(5) - No Project manager has yet been assigned to this investment.

I.A.18) Is this investment identified as "high risk" on the Q4 - FY 2006 agency high risk report (per OMB's 'high risk' memo)?

Yes

I.A.19) Is this project (investment) a Financial Management System? (see section 53.3 for definition)

Yes

I.A.19.a) If so, does this project (investment) address a FFMIA (Federal Financial Managers Integrity Act) compliance area?

No

I.A.19.a.1) If yes, which compliance area?

I.A.19.a.2) If "no," what does it address? (MEDIUM ANSWER)

I.A.19.b) If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A–11 section 52 (LONG ANSWER)

Currently, the HAC IT Operations project is not directly mapped to the Agency's financial management system inventory. This project will be placed in the pool to be mapped to the financial management system inventory. Information on this project's status as indicated in the inventory will be provided as it is updated.

I.A.20) What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Percentage of Total Investment	
% Hardware	27.00
% Software	9.00
% Services	64.00
% Others	

I.A.21) If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

Yes

I.A.22) Contact information of individual responsible for privacy related questions:

Contact Name: (SHORT ANSWER)	Heidi Hamzi
Phone Number:	202-565-8346
Title: (SHORT ANSWER)	IT Specialist
E-mail: (SHORT ANSWER)	heidi.hamzi@va.gov

I.A.23) Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

No

PART I - B

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A. B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section B: Summary of Funding (All Capital Assets)

I.B.1) FILL IN TABLE IN CURRENT VALUES (in millions)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be EXCLUDED from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Category of Funds	PY-1 and Earlier	PY 2006	CY 2007	BY 2008
Planning				
Budgetary Resources	0.000	0.000	0.000	0.000
Acquisition				
Budgetary Resources	0.000	0.000	0.000	0.000
Total, Sum of Stages				
Total, Resources (Plan & Acq)	0.000	0.000	0.000	0.000
Operations & Maintenance				
Budgetary Resources	27.100	9.226	7.020	7.020
Total, All Stages Resources	27.100	9.226	7.020	7.020
Government FTE Costs	3.600	4.074	4.276	4.400
Govt. FTE Numbers	51	51	51	51
Total, All Stages Resources + FTE	30.700	13.300	11.296	11.420

Government FTE Costs SHOULD NOT be INCLUDED as part of the TOTAL, All Stages Resources represented.

Note: 1) For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). 2) Total, All Stages Resources should equal Total, All Stages Outlays.

I.B.2) Will this project require the agency to hire additional FTE's?

No

I.B.2.a) If Yes, How many and in what year? (MEDIUM ANSWER)

I.B.3) If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes. (LONG ANSWER)
The baseline shown in this Exhibit 300 is the latest approved by the Office of Management and Budget (OMB). A revised baseline reflecting the amounts shown in the BY 2008 Summary of Funding table is pending OMB approval.

PART I - C

PART I: SUMMARY INFORMATION AND JUSTIFICATION
In Part I, complete Sections A. B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.
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Section C: Acquisition/Contract Strategy (All Capital Assets)
I.C.1) If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why? (LONG ANSWER)
Purchase orders are fixed price. Please refer to document: IT Project Office FY 2008 OMB Table C.xls for additional contracts
I.C.2) Do the contracts ensure Section 508 compliance?
Yes
I.C.2.a) If the Contracts WILL NOT ensure Section 508 Compliance, explain why:
I.C.3) Is there an acquisition plan which has been approved in accordance with agency requirements?
Yes
I.C.3.a) If "yes," what is the date?
Apr 3, 2006
I.C.3.b) If "no," will an acquisition plan be developed?
I.C.3.b.1) If "no," briefly explain why: (MEDIUM ANSWER)

PART I - D

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A. B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

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Section D: Performance Information (All Capital Assets)

I.D.1) In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives that this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60%, increase citizen participation by 300% a year to achieve an overall citizen participation rate of 75% by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestone, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

For Existing IT projects that have previously submitted Exhibit 300s:

--> If you completed Table 1 last year, please use Table 1 to report for fiscal year 2005 and Table 2 for fiscal years 2006 through at least 2009.

--> If you completed only Table 2 last year, please use Table 2 to report for fiscal years 2006 through at least 2009.

For projects that are submitting Exhibit 300s for the first time:

--> Use Table 2.

--> Report on Performance Measures for at least two years, i.e., FY 2008 and 2009, FY 2009 and 2010.

--> If the project will have data for 2007 that you wish to include, add extra lines in Table 2 and complete all information in this single table.

--> At least one performance goal should be met by BY+1.

PERFORMANCE INFORMATION TABLE 2:

Please use Table 2 and the FEA Performance Reference Model (PRM) to identify the performance information pertaining to this major IT Investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year).

Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvements to the Baseline	Actual Results
2006	Mission and Business Results	Financial Management	Administrative Dollars to Benefit Dollars Ratio	8.1%	Improve Ratio of Administrative dollars to Benefit Dollars to 8%. Supports Strategic Goal #5 by contributing to the socioeconomic well-being of the Nation.	To be measured at the end of the FY
2006	Customer Results	Efficiency	Payments: Reduce time to process claims	95% of CHAMPVA claims processed in 30 days	95% of CHAMPVA claims processed in 30 days despite 11.1% increase in claims volume. Supports Strategic Goal #1: Timely services. Supports VA Performance Measure #2.2.4: Number of days to process original claims.	To be measured at the end of the FY
2006	Technology	Operations and Maintenance Costs	Increased system availability during production hours	99.5 % system uptime	Improve System availability during production hours to 99.6%. Supports Strategic Goal #2: Improve the reliability of service. Supports VA Performance Measure #1.2.10: Service Delivery: Customer Satisfaction.	To be measured at the end of the FY
2006	Processes and Activities	Efficiency	Increase percentage of claims processed	40% of claims processed electronically	Increase percentage of claims processed electronically to 45%. Supports Strategic Goal	To be measured at the end

			electronically		#1: Provide efficient health care. Supports VA Performance Measure E 3.4: Paperwork Reduction.	of the FY
2007	Mission and Business Results	Financial Management	Administrative Dollars to Benefit Dollars Ratio	Ratio of Administrative dollars to Benefit Dollars is 8%.	Improve Ratio of Administrative dollars to Benefit Dollars to 8%. Supports Strategic Goal #5 by contributing to the socioeconomic well-being of the Nation.	To be measured at the end of the FY
2007	Customer Results	Efficiency	Payments: Reduce time to process claims	95% of CHAMPVA claims processed in 30 days.	95% of CHAMPVA claims processed in 30 days despite 3.3% increase in claims volume. Supports Strategic Goal #1: Timely services. Supports VA Performance Measure #2.2.4: Number of days to process original claims.	To be measured at the end of the FY
2007	Technology	Operations and Maintenance Costs	Increased system availability during production hours	System availability during production hours to 99.6%.	Improve System availability during production hours to 99.6%+. Supports Strategic Goal #2: Improve the reliability of service. Supports VA Performance Measure #1.2.10: Service Delivery: Customer Satisfaction.	To be measured at the end of the FY
2007	Processes and Activities	Efficiency	Increase percentage of claims processed electronically	Percentage of claims processed electronically at 45%.	Increase percentage of claims processed electronically to 55%. Supports Strategic Goal #1: Provide efficient health care. Supports VA Performance Measure E 3.4: Paperwork Reduction.	To be measured at the end of the FY
2008	Mission and Business Results	Financial Management	Administrative Dollars to Benefit Dollars Ratio	Ratio of Administrative dollars to Benefit Dollars at 8%.	Improve Ratio of Administrative dollars to Benefit Dollars to 7.9%. Supports Strategic Goal #5 by contributing to the socioeconomic well-being of the Nation.	To be measured at the end of the FY
2008	Customer Results	Efficiency	Payments: Reduce time to process claims	95% of CHAMPVA claims processed in 30 days.	95% of CHAMPVA claims processed in 30 days despite 4.5% increase in claims volume. Supports Strategic Goal #1: Timely services. Supports VA Performance Measure #2.2.4: Number of days to process original claims.	To be measured at the end of the FY
2008	Technology	Operations and Maintenance Costs	Increased system availability during production hours	System availability during production hours at 99.6%+.	Improve System availability during production hours to 99.7%+. Supports Strategic Goal #2: Improve the reliability of service. Supports VA Performance Measure #1.2.10: Service Delivery: Customer Satisfaction.	To be measured at the end of the FY
2008	Processes and Activities	Efficiency	Increase percentage of claims processed electronically	Percentage of claims processed electronically at 55%.	Increase percentage of claims processed electronically to 65%. Supports Strategic Goal #1: Provide efficient health care. Supports VA	To be measured at the end of the FY

					Performance Measure E 3.4: Paperwork Reduction.	

PART I - E

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A. B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

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Section E: Security and Privacy (IT Capital Assets only)

System/Application Level Information:

In order to successfully address this area of the business case, each question below must be answered at the system/application level, not at a program or agency level. Systems supporting this investment on the planning and operational systems security tables should match the systems on the privacy table below. Systems on the Operational Security Table must be included on your agency FISMA system inventory and should be easily referenced in the inventory (i.e., should use the same name or identifier).

All systems supporting and/or part of this investment should be included in the tables below, inclusive of both agency owned systems and contractor systems. For IT investments under development, security and privacy planning must proceed in parallel with the development of the system(s) to ensure IT security and privacy requirements and costs are identified and incorporated into the overall lifecycle of the system(s).

I.E.1) Identified the IT security costs for the system(s) and have integrated those costs into the overall costs of the investment:

Yes

I.E.2) Is identifying and assessing security and privacy risks a part of the overall risk management effort for each system supporting or part of this investment.

Yes

I.E.3) Have any weaknesses, not yet remediated, related to any of the systems part of or supporting this investment been identified by the agency or IG?

Yes

I.E.3.a) If "yes," have those weaknesses been incorporated into the agency's plan of action and milestone process?

Yes

PART I - F

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A. B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

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Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

I.F.1) Is this investment included in your agency's target enterprise architecture?

Yes

I.F.1.a) If "no," please explain why? (LONG ANSWER)

I.F.2) Is this investment included in the agency's EA Transition Strategy?

No

I.F.2.a) If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment. (MEDIUM ANSWER)

I.F.2.b) If "no," please explain why? (LONG ANSWER)

This is a steady state project.

I.F.3) FEA SERVICE REFERENCE MODEL:

	I.F.3) Identify the service components funded by this major IT investment (e.g.,knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.whitehouse.gov/omb/egov/.

SERVICE COMPONENT TABLE:

	Agency Component Name(SHORT ANSWER)	Agency Component Description (MEDIUM ANSWER)	FEA SRM Service Type	FEA SRM Component (a*)	FEA Service Component Reused : Component Name (b*)	FEA Service Component Reused : UPI (b*)	Internal or External Reuse? (c*)	BY Funding Percentage (d*)
1	Customer Account Management	Customer and Account management is organized by the HAC IT system for One-Stop service on claim and payment information	Customer Relationship Management	Customer / Account Management	Customer / Account Management		No Reuse	0.000
2	Call Center Mangement	HAC IT system provides the Call Center beneficiary advisors with beneficiary and provider claim	Customer Relationship Management	Call Center Management	Call Center Management		No Reuse	0.000

		information.						
3	Process Tracking	HAC IT system automates the tracking of claims for receipt, certification and payment.	Tracking and Workflow	Process Tracking	Process Tracking		No Reuse	0.000
4	Payment/Settleme-nt	HAC IT system automates the receipt, certification and payment of specific claims of medical coverage plans	Financial Management	Payment / Settlement	Payment / Settlement		No Reuse	0.000
5	Data Exchange	HAC IT system facilitates the exchange of beneficiary and eligibility data to business partners	Data Management	Data Exchange	Data Exchange		No Reuse	0.000
6	System Resource Monitoring	HAC IT system provides daily log reports and other system reports such as storage capacity and status of backup systems.	Systems Management	System Resource Monitoring	System Resource Monitoring		No Reuse	0.000
7	Software Distribution	HAC IT system distributes software patches, virus updates and new software versions to servers and the desktop users.	Systems Management	Software Distribution	Software Distribution		No Reuse	0.000
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	NOTE:
	(a*) - Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.
	(b*) - A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.
	(c*) - 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.
	(d*) - Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

I.F.4) FEA TECHNICAL REFERENCE MODEL:

	I.F.4) To demonstrate how this major IT investment aligns with Reference Model (TRM), please list the Service Areas, Service Specifications supporting this IT investment.

TECHNICAL REFERENCE MODEL TABLE:

	FEA SRM Component (a*)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard
1	Customer / Account Management	Service Access and Delivery	Access Channels	Web Browser
2	Customer / Account Management	Service Access and Delivery	Access Channels	Collaboration / Communications
3	Customer / Account Management	Service Platform and Infrastructure	Support Platforms	Platform Independent
4	Customer / Account Management	Service Platform and Infrastructure	Support Platforms	Platform Dependent
5	Customer / Account Management	Service Platform and Infrastructure	Delivery Servers	Application Servers
6	Customer / Account Management	Service Platform and Infrastructure	Database / Storage	Database
7	Customer / Account Management	Service Platform and Infrastructure	Database / Storage	Storage
8	Customer / Account Management	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)
9	Customer / Account Management	Component Framework	Interoperability	Dynamic Server-Side Display
10	Customer / Account Management	Service Access and Delivery	Delivery Channels	Intranet
11	Customer / Account Management	Service Access and Delivery	Delivery Channels	Intranet
12	Call Center Management	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)
13	Call Center Management	Service Access and Delivery	Delivery Channels	Virtual Private Network (VPN)
14	System Resource Monitoring	Component Framework	Data Management	Database Connectivity
15	System Resource Monitoring	Service Access and Delivery	Service Transport	Service Transport
16	Data Exchange	Service Interface and Integration	Integration	Middleware

17	Data Exchange	Service Interface and Integration	Interoperability	Data Format / Classification
18	Data Exchange	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment
19	Payment / Settlement	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers
20	Payment / Settlement	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals
21	Payment / Settlement	Component Framework	Security	Certificates / Digital Signatures
22	Payment / Settlement	Component Framework	Business Logic	Platform Independent
23	Payment / Settlement	Component Framework	Business Logic	Platform Dependent
24	Payment / Settlement	Component Framework	Data Interchange	Data Exchange
25	Payment / Settlement	Component Framework	Data Management	Reporting and Analysis
26	Payment / Settlement	Service Platform and Infrastructure	Hardware / Infrastructure	Wide Area Network (WAN)
27	Payment / Settlement	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)
28	Payment / Settlement	Service Platform and Infrastructure	Support Platforms	Platform Dependent
29	Personnel Administration	Service Platform and Infrastructure	Support Platforms	Platform Dependent
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	NOTE:
	(a*) - Service Components identified in the previous question(I.F.3) should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications
	(b*) - In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.
	I.F.5) Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?
	No
	I.F.5.a) If "yes," please describe. (LONG ANSWER)
	N/A
	I.F.6) Does this investment provide the public with access to a government automated information system?
	No
	I.F.6.a) If "yes," does customer access require specific software (e.g., a specific web browser version)?

PART III - A

Part III: For "Operation and Maintenance" investments ONLY (Steady State)
Part III should be completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.
OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section A - RISK MANAGEMENT (All Capital Assets)
In order to successfully address this issue on the business case and capital asset plan, you must have performed a risk assessment at the initial concept, included mandatory risk elements defined below and demonstrate active management of the risk throughout the life-cycle of the investment.
For all investments, both IT and non-IT, you must discuss each of the following risks and present your plans to eliminate, mitigate, or manage risk, with milestones and completion dates. If there is no risk to the investment achieving its goals from a risk category, indicate so. If there are other risks identified, include them. Risk assessments should include risk information from all stakeholders and should be performed at the initial concept stage and then monitored and controlled throughout the life-cycle of the investment. Risk assessments for all investments must include: 1) schedule ; 2) initial costs; 3) life-cycle costs); 4) technical obsolescence; 5) feasibility; 6) reliability of systems; 7) dependencies and interoperability between this investment and others; 8) surety (asset protection) considerations; 9) risk of creating a monopoly for future procurements; 10) capability of agency to manage the investment; and 11) overall risk of investment failure.
In addition, for IT investments, risk must be discussed in the following categories 12) organizational and change management; 13) business; 14) data/info; 15) technology; 16) strategic; 17) security; 18) privacy; and 19) project resources. For security risks, identify under the Description column the level of risk as high, medium, or basic. What aspect of security determines the level of risk, i.e., the need for confidentiality of information, availability of information or the system, reliability of the information or system? Under the Current Status column, list the milestones remaining to mitigate the risk.
Moreover, for each risk category with a probability of occurrence of at least medium and impact of at least medium, please indicate whether or not the costs to mitigate the risk have been incorporated into your lifecycle cost estimates in the summary of spending stages section of this Exhibit 300. If not, please also indicate why in your response.
III.A.1) Does the investment have a Risk Management Plan?
Yes
III.A.1.a) If "yes," what is the date of the plan?
Apr 4, 2006
III.A.1.b) Has the Risk Management Plan been significantly changed since last year's submission to OMB?
No
III.A.1.c) If "yes," describe any significant changes: (LONG ANSWER)
III.A.2) If there currently is no plan, will a plan be developed?
III.A.2.a) If "yes," what is the planned completion date?
III.A.2.b) If "no," what is the strategy for managing the risks? (LONG ANSWER)

PART III - B

Part III: For "Operation and Maintenance" investments ONLY (Steady State)
Part III should be completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.
OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

III.B) Cost and Schedule Performance:
III.B.1) Was operational analysis conducted?
Yes
III.B.1.a) If "yes," provide the date the analysis was completed.
Jul 2, 2006
III.B.1.b) If "yes," what were the results? (LONG ANSWER)
The project is working within the desired thresholds and is expected to accomplish the performance goals.
III.B.1.c) If "no," please explain why it was not conducted and if there are any plans to conduct operational analysis in the future: (LONG ANSWER)
III.B.2) Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in $ Millions).
III.B.2.a) What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs).
Contractor and Government
III.B.2.b) Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in $ Millions).

Description of Milestone	Current BL Completion Date Planned	Current BL Completion Date Actual
Maintenace/Steady state support	Sep 30, 2005	Sep 30, 2005
Maintenace/Steady state support	Sep 30, 2006	Sep 30, 2006
Maintenace/Steady state support	Sep 30, 2007	
Maintenace/Steady state support	Sep 30, 2008	