

Allocation Resource Center (ARC)-2008

ProSight

PART I - A

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section A: Overview (All Capital Assets)

I.A.1) Date of Submission (mm/dd/yyyy)

Sep 11, 2006

I.A.2) Agency

029 - Department of Veterans Affairs

I.A.3) Bureau

00 - Agency Wide Initiatives

I.A.4) Name of this Investment:(SHORT ANSWER)

Allocation Resource Center(ARC)-2008

I.A.5) Unique Project(Investment) Identifier: Update the UPI using the Exhibit 53 tab.

029-00-01-01-01-1021-00

I.A.6) What kind of investment will this be in FY2008? (Please NOTE: Investments moving to O&M ONLY in FY2008, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Operations and Maintenance

I.A.7) What was the first budget year this investment was submitted to OMB?

FY2004

I.A.8) Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: (LONG ANSWER)

There are five positions at the ARC assigned to work directly with Information Technology (IT) and fall within the ARC's Technical Information Support (TIS) Section. ARC staff provide technical and analytical services supporting the VHA CFO's ability to: develop, implement, and maintain resource allocation methodologies; gather and report on financial aspects of patient workload and cost; classify patients based on care and diagnosis rendered; train and provide information to management officials throughout VA. This effort allows for the appropriate allocation of over \$23 billion to the VA Networks that are responsible for health care to approximately 5 million patients. Patient workload and cost is gathered from the 156 hospitals, 135 nursing homes, 43 domiciliary, and numerous community-based outpatient clinics. The ever-increasing volumes of data gathered at these various locations are made available to the ARC via remotely owned and operated IT systems. The data are gathered, integrated, analyzed and published using ARC IT systems. It would not be possible for the ARC to track and predict workload and costs without information technology. At an aggregate level the ARC produces 751 types of reports consisting of over 7500 detailed monthly reports, 474 detailed quarterly reports and 47 detailed annual reports at the national, VISN and station level. In addition, the ARC provides approximately 300 ad hoc reports and responds to just under 3000 requests each year. The ARC IT system is a milestone IV project in the VA capital planning control process. A post implementation review was conducted in November of 2003. This document addresses the maintenance and supplies for ARC IT systems. A technology refresh of two servers and supporting disk systems (discussed in a previous OMB300), was accomplished in fiscal year 2005 (FY05). Subsequent refreshments of server systems are anticipated based on 3 year cycle. The next equipment refreshment scheduled in FY 2008 has not been funded.

I.A.9) Did the Agency's Executive/Investment Committee approve this request?

Yes

I.A.9.a) If "yes," what was the date of this approval?

May 25, 2006

I.A.10) Did the Project Manager review this Exhibit?

Yes

I.A.11) Project Managers Contact Information

	Project Managers Names (SHORT ANSWER)	PM Phone	E-mail (SHORT ANSWER)
Primary in-house	David Pike PMP	781-849-1837 ext 125	david.pike@va.gov

I.A. 12) Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

No

I.A. 12.a) Will this investment include electronic assets (including computers)?

Yes

I.A. 12.b) Is this investment for construction or retrofit of a federal building or facility? (Answer applicable to non-IT assets only)

No

I.A. 12.b.1) If "yes," is an ESPC or UESC being used to help fund this investment?

I.A. 12.b.2) If "yes," will this investment meet sustainable design principles?

I.A. 12.b.3) If "yes," is it designed to be 30% more energy efficient than relevant code? (Answer applicable to non-IT assets only)

I.A. 13) Does this investment directly support one of the PMA initiatives?

Yes

I.A. 13.a) If "yes," check all that apply:

	PMA Initiatives for XML Submission	PMA Initiatives
		- Human Capital
		- Budget Performance Integration
Yes	Financial Performance	- Financial Performance
		- Expanded E-Government
		- Competitive Sourcing
		- Faith Based and Community
		- Real Property Asset Management
		- Eliminating Improper Payments
		- Privatization of Military Housing
		- Research & Development Investment Criteria
		- Housing & Urban Development Management & Performance
		- Broadening Health Insurance Coverage through State Initiatives
		- "Right Sized" Overseas Presence
		- Coordination of VA & DoD Programs and Systems

I.A. 13.b) Briefly describe how this asset directly supports the identified initiative(s)?(MEDIUM ANSWER)

Financial Performance in VHA is directly supported by the ARC through the development of financial modeling structures that are used in the funding allocation process to the VHA Networks, who in turn distribute funding to the medical centers to support health programs for veterans. This is an important process that directly supports the Presidents Management Addenda for improving financial performance, by

ensuring the funding allocations are not based on erroneous information.

I.A.14) Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

No

I.A.14.a) If "yes," does this investment address a weakness found during a PART review?

No

I.A.14.b) If "yes," what is the name of the PARTed program? (SHORT ANSWER)

I.A.14.c) If "yes," what rating did the PART receive?

I.A.15) Is this investment information technology? (See section 53.8 for definition)

Yes

I.A.16) What is the level of the IT Project? (per CIO Council PM Guidance)

Level 1

I.A.17) What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

Qualification Status	Qualification Status for XML Submission	Description
1	(1) Project manager has been validated as qualified for this investment	(1) - Project manager has been validated as qualified for this investment.
		(2) - Project manager qualification is under review for this investment.
		(3) - Project manager assigned to investment, but does not meet requirements.
		(4) - Project manager assigned but qualification status review has not yet started.
		(5) - No Project manager has yet been assigned to this investment.

I.A.18) Is this investment identified as "high risk" on the Q4 - FY 2006 agency high risk report (per OMB's "high risk" memo)?

No

I.A.19) Is this project (investment) a Financial Management System? (see section 53.3 for definition)

Yes

I.A.19.a) If so, does this project (investment) address a FFIA (Federal Financial Managers Integrity Act) compliance area?

No

I.A.19.a.1) If yes, which compliance area?

I.A.19.a.2) If "no," what does it address? (MEDIUM ANSWER)

I.A.19.b) If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52 (LONG ANSWER)

Veterans Equitable Resource Allocation (VERA).

I.A.20) What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Percentage of Total Investment	

% Hardware	10.00
% Software	10.00
% Services	80.00
% Others	

I.A.21) If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

NA

I.A.22) Contact information of individual responsible for privacy related questions:

Contact Name: (SHORT ANSWER)	Heidi Hamzi
Phone Number:	202-565-8346
Title: (SHORT ANSWER)	IT Specialist
E-mail: (SHORT ANSWER)	heidi.hamzi@va.gov

I.A.23) Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

No

PART I - B

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

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Section B: Summary of Funding (All Capital Assets)

I.B.1) FILL IN TABLE IN CURRENT VALUES (in millions)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be EXCLUDED from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Category of Funds	PY-1 and Earlier	PY 2006	CY 2007	BY 2008
Planning				
Budgetary Resources	0.000	0.000	0.000	0.000
Acquisition				
Budgetary Resources	0.000	0.000	0.000	0.000
Total, Sum of Stages				
Total, Resources (Plan & Acq)	0.000	0.000	0.000	0.000
Operations & Maintenance				
Budgetary Resources	6.950	0.972	0.980	0.980
Total, All Stages Resources	6.950	0.972	0.980	0.980
Government FTE Costs	4.461	1.917	0.599	0.656
Govt. FTE Numbers	16	16	5	5
Total, All Stages Resources + FTE	11.411	2.889	1.579	1.636

Government FTE Costs SHOULD NOT be INCLUDED as part of the TOTAL, All Stages Resources represented.

Note: 1) For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). 2) Total, All Stages Resources should equal Total, All Stages Outlays.

I.B.2) Will this project require the agency to hire additional FTE's?

No

I.B.2.a) If Yes, How many and in what year? (MEDIUM ANSWER)

I.B.3) If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes. (LONG ANSWER)

A baseline change request has been entered for the FY 2008 process. Initial submissions from ARC included 5.0 FTEE associated with the ARC IT Project. In FY07 we were instructed that any employee in job series 2210 had to be considered IT and included regardless of duties. In the FY07 and FY08 submissions this change was made and the ARC FTEE went from 5.0 to 16.0. This situation has not been corrected and the additional FTEE have been removed from the 2210 job series and the correct FTEE number is back to 5.0. This will reduce the amount of the ARC IT Project cost by the salary cost of the 11.0 FTEE. The baseline shown in this Exhibit 300 is the latest approved by the Office of Management and Budget (OMB). A revised baseline reflecting the amounts shown in the BY 2008 Summary of Funding table is pending OMB approval.

PART I - C

PART I: SUMMARY INFORMATION AND JUSTIFICATION
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In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

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Section C: Acquisition/Contract Strategy (All Capital Assets)
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I.C.1) If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why? (LONG ANSWER)

PCHS-2 is a centrally negotiated mandated IT acquisition source. We will be purchasing COTS (off the shelf) IT hardware and associated software via the PCHS-2.

I.C.2) Do the contracts ensure Section 508 compliance?

Yes

I.C.2.a) If the Contracts WILL NOT ensure Section 508 Compliance, explain why:

I.C.3) Is there an acquisition plan which has been approved in accordance with agency requirements?

Yes

I.C.3.a) If "yes," what is the date?

Jul 31, 2006

I.C.3.b) If "no," will an acquisition plan be developed?

I.C.3.b.1) If "no," briefly explain why: (MEDIUM ANSWER)

PART I - D

PART I: SUMMARY INFORMATION AND JUSTIFICATION

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Section D: Performance Information (All Capital Assets)

I.D.1) In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives that this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60%, increase citizen participation by 300% a year to achieve an overall citizen participation rate of 75% by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestone, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

For Existing IT projects that have previously submitted Exhibit 300s:

--> If you completed Table 1 last year, please use Table 1 to report for fiscal year 2005 and Table 2 for fiscal years 2006 through at least 2009.

--> If you completed only Table 2 last year, please use Table 2 to report for fiscal years 2006 through at least 2009.

For projects that are submitting Exhibit 300s for the first time:

--> Use Table 2.

--> Report on Performance Measures for at least two years, i.e., FY 2008 and 2009, FY 2009 and 2010.

--> If the project will have data for 2007 that you wish to include, add extra lines in Table 2 and complete all information in this single table.

--> At least one performance goal should be met by BY+1.

PERFORMANCE INFORMATION TABLE 2:

Please use Table 2 and the FEA Performance Reference Model (PRM) to identify the performance information pertaining to this major IT Investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year).

Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvements to the Baseline	Actual Results
2006	Mission and Business Results	Health Care Administration	Percentage of designated allocation distributed.	The allocation model is capable of distributing 98% of the designated resources.	The model will distribute 100% of designated funding to support VA efforts to ensure a smooth transition of returning soldiers.	Actual results will be determined by measuring the percentage of funds distributed in support of goals related to ensuring a smooth transition and honoring veterans.
2006	Customer Results	Customer Services	Percentage of reports published.	The baseline (established in FY05) is that 90% of reports were published according to schedule.	Plan is to achieve 96% (or more) of the reports available as scheduled.	Actual results will be determined by measuring the percentage of reports published in support of goals related to ensuring a smooth transition and honoring veterans.
2006	Processes and Activities	Cost Accounting / Performance Measurement	The Monthly Program Cost Report, Part 3, will balance with Part 1 within a	Initial version of Monthly Program Cost Report, Part 3, matches Part 1	Greater detail will be added to initial Monthly Program Cost Report resulting in detailed cost and workload	Actual results will be determined by measuring the percentage difference

			tolerance of 96%.	within 94%.	information at facility division and CBOC level, and the tolerance will be raised to 98%.	between MPCR Part 1 and Part 3 in support of goals related to ensuring a smooth transition and honoring veterans.
2006	Technology	Availability	Percentage of automated system availability will be based on percentage of up time.	Prior to FY05 system availability (uptime excluding scheduled maintenance downtime) averaged 95% uptime.	System will be available at least 96% of the time (excluding downtime for scheduled maintenance).	Actual results will be determined by measuring the percentage of up time in support of goals related to ensuring a smooth transition and honoring veterans.
2007	Mission and Business Results	Health Care Administration	Percentage of designated allocation distributed.	The allocation model is capable of distributing 98% of the designated resources.	The model will distribute 100% of designated funding to support VA efforts to ensure a smooth transition of returning soldiers.	Actual results will be determined by measuring the percentage of funds distributed in support of goals related to ensuring a smooth transition and honoring veterans.
2007	Customer Results	Customer Services	Percentage of reports published.	The baseline (established in FY05) is that 90% of reports were published according to schedule.	Plan is to achieve 96% (or more) of the reports available as scheduled.	Actual results will be determined by measuring the percentage of reports published in support of goals related to ensuring a smooth transition and honoring veterans.
2007	Processes and Activities	Cost Accounting / Performance Measurement	The Monthly Program Cost Report, Part 3, will balance with Part 1 within a tolerance of 96%.	Initial version of Monthly Program Cost Report, Part 3, matches Part 1 within 94%.	Greater detail will be added to initial Monthly Program Cost Report resulting in detailed cost and workload information at facility division and CBOC level, and the tolerance will be raised to 98%.	Actual results will be determined by measuring the percentage difference between MPCR Part 1 and Part 3 in support of goals related to ensuring a smooth transition and honoring veterans.
2007	Technology	Availability	Percentage of automated system availability will be based on percentage of up time.	Prior to FY05 system availability (uptime excluding scheduled maintenance downtime) averaged 95% uptime.	System will be available at least 96% of the time (excluding downtime for scheduled maintenance).	Actual results will be determined by measuring the percentage of up time in support of goals related to ensuring a smooth transition and honoring veterans.
2008	Mission and Business	Health Care Administration	Percentage of designated	The allocation model is capable	The model will distribute 100% of	Actual results will be determined by

	Results		allocation distributed.	of distributing 98% of the designated resources.	designated funding to support VA efforts to ensure a smooth transition of returning soldiers.	measuring the percentage of funds distributed in support of goals related to ensuring a smooth transition and honoring veterans.
2008	Customer Results	Customer Services	Percentage of reports published.	The baseline (established in FY05) is that 90% of reports were published according to schedule.	Plan is to achieve 96% (or more) of the reports available as scheduled.	Actual results will be determined by measuring the percentage of reports published in support of goals related to ensuring a smooth transition and honoring veterans.
2008	Processes and Activities	Cost Accounting / Performance Measurement	The Monthly Program Cost Report, Part 3, will balance with Part 1 within a tolerance of 96%.	Initial version of Monthly Program Cost Report, Part 3, matches Part 1 within 94%.	Greater detail will be added to initial Monthly Program Cost Report resulting in detailed cost and workload information at facility division and CBOC level, and the tolerance will be raised to 98%.	Actual results will be determined by measuring the percentage difference between MPCR Part 1 and Part 3 in support of goals related to ensuring a smooth transition and honoring veterans.
2008	Technology	Availability	Percentage of automated system availability will be based on percentage of up time.	Prior to FY05 system availability (uptime excluding scheduled maintenance downtime) averaged 95% uptime.	System will be available at least 96% of the time (excluding downtime for scheduled maintenance).	Actual results will be determined by measuring the percentage of up time in support of goals related to ensuring a smooth transition and honoring veterans.

PART I - E

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

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Section E: Security and Privacy (IT Capital Assets only)

System/Application Level Information:

In order to successfully address this area of the business case, each question below must be answered at the system/application level, not at a program or agency level. Systems supporting this investment on the planning and operational systems security tables should match the systems on the privacy table below. Systems on the Operational Security Table must be included on your agency FISMA system inventory and should be easily referenced in the inventory (i.e., should use the same name or identifier).

All systems supporting and/or part of this investment should be included in the tables below, inclusive of both agency owned systems and contractor systems. For IT investments under development, security and privacy planning must proceed in parallel with the development of the system(s) to ensure IT security and privacy requirements and costs are identified and incorporated into the overall lifecycle of the system(s).

I.E.1) Identified the IT security costs for the system(s) and have integrated those costs into the overall costs of the investment:

Yes

I.E.2) Is identifying and assessing security and privacy risks a part of the overall risk management effort for each system supporting or part of this investment.

Yes

I.E.3) Have any weaknesses, not yet remediated, related to any of the systems part of or supporting this investment been identified by the agency or IG?

No

I.E.3.a) If "yes," have those weaknesses been incorporated into the agency's plan of action and milestone process?

PART I - F

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

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Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

I.F.1) Is this investment included in your agency's target enterprise architecture?

Yes

I.F.1.a) If "no," please explain why? (LONG ANSWER)

No target Enterprise Architecture has been provided by the VA Office of Information and Technology.

I.F.2) Is this investment included in the agency's EA Transition Strategy?

No

I.F.2.a) If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment. (MEDIUM ANSWER)

I.F.2.b) If "no," please explain why? (LONG ANSWER)

This is an Operations and Maintenance program.

I.F.3) FEA SERVICE REFERENCE MODEL:

I.F.3) Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

SERVICE COMPONENT TABLE:

	Agency Component Name (SHORT ANSWER)	Agency Component Description (MEDIUM ANSWER)	FEA SRM Service Type	FEA SRM Component (a*)	FEA Service Component Reused : Component Name (b*)	FEA Service Component Reused : UPI (b*)	Internal or External Reuse? (c*)	BY Funding Percentage (d*)
1	Modeling	This is IT support of a closed IT system specifically addressed in the EA. The proposed refreshment of server technology is based on systems available via the national PCHS contract. A primary function performed by the ARC involves the design and implementation of the VERA model.	Knowledge Discovery	Modeling	Data Warehouse		No Reuse	0.000
2	Resource Planning	A component of the system are the five primary	Human Capital / Workforce	Resource Planning and Allocation	Information Sharing		No Reuse	0.000

		websites that allow users to gain access to the data and review the reports.	Management					
3	Mathematical	This is IT support of a closed IT system specifically addressed in the EA. The proposed refreshment of server technology is based on systems available via the national PCHS contract. A primary function performed by the ARC involves the design and implementation of the VERA model.	Analysis and Statistics	Mathematical	Decision Support and Planning		No Reuse	0.000
4								
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NOTE:

(a) - Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.*

(b) - A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.*

(c) - 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.*

(d) - Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.*

I.F.4) FEA TECHNICAL REFERENCE MODEL:

I.F.4) To demonstrate how this major IT investment aligns with Reference Model (TRM), please list the Service Areas, Service Specifications supporting this IT investment.

TECHNICAL REFERENCE MODEL TABLE:

	FEA SRM Component (a*)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard
1	Data Warehouse	Service Platform and Infrastructure	Database / Storage	Database
2	Information Sharing	Service Platform and Infrastructure	Delivery Channels	Web Servers
3	Decision Support and Planning	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers
4	Information Sharing	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)
5	Data Integration	Service Interface and Integration	Integration	Database
6	Digital Signature Management	Component Framework	Security	Certificates / Digital Signatures
7	Network Management	Component Framework	Data Management	Database Connectivity
8	Decision Support and Planning	Component Framework	Data Management	Reporting and Analysis
9	Information Sharing	Service Interface and Integration	Integration	Database
10	Data Warehouse	Service Platform and Infrastructure	Database / Storage	Database
11	Information Sharing	Service Interface and Integration	Delivery Channels	Web Servers
12	Data Warehouse	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers
13	Network Management	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)
14	Digital Signature Management	Component Framework	Security	Certificates / Digital Signatures
15	Network Management	Component Framework	Data Management	Database Connectivity
16	Decision Support and Planning	Component Framework	Data Management	Reporting and Analysis
17	Information Sharing	Service Access and Delivery	Access Channels	Web Browser
18	Network Management	Service Access and Delivery	Service Transport	Wide Area Network (WAN)
19	Network Management	Service Access and Delivery	Service Transport	Network Devices / Standards
20	Information Sharing	Service Access and Delivery	Delivery Channels	Intranet
21	Computer / Telephony Integration	Service Access and Delivery	Service Requirements	Authentication / Single Sign-on
22	Information Sharing	Service Access and Delivery	Service Requirements	Hosting
23	Information Sharing	Service Access and Delivery	Service Requirements	Legislative / Compliance
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	<i>NOTE:</i>
	<i>(a*) - Service Components identified in the previous question(I.F.3) should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications</i>
	<i>(b*) - In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.</i>
	<i>I.F.5) Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?</i>
	No
	<i>I.F.5.a) If "yes," please describe. (LONG ANSWER)</i>
	<i>I.F.6) Does this investment provide the public with access to a government automated information system?</i>
	No
	<i>I.F.6.a) If "yes," does customer access require specific software (e.g., a specific web browser version)?</i>

PART III - A

Part III: For "Operation and Maintenance" investments ONLY (Steady State)

Part III should be completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

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Section A - RISK MANAGEMENT (All Capital Assets)

In order to successfully address this issue on the business case and capital asset plan, you must have performed a risk assessment at the initial concept, included mandatory risk elements defined below and demonstrate active management of the risk throughout the life-cycle of the investment.

For all investments, both IT and non-IT, you must discuss each of the following risks and present your plans to eliminate, mitigate, or manage risk, with milestones and completion dates. If there is no risk to the investment achieving its goals from a risk category, indicate so. If there are other risks identified, include them. Risk assessments should include risk information from all stakeholders and should be performed at the initial concept stage and then monitored and controlled throughout the life-cycle of the investment. Risk assessments for all investments must include: 1) schedule ; 2) initial costs; 3) life-cycle costs); 4) technical obsolescence; 5) feasibility; 6) reliability of systems; 7) dependencies and interoperability between this investment and others; 8) surety (asset protection) considerations; 9) risk of creating a monopoly for future procurements; 10) capability of agency to manage the investment; and 11) overall risk of investment failure.

In addition, for IT investments, risk must be discussed in the following categories 12) organizational and change management; 13) business; 14) data/info; 15) technology; 16) strategic; 17) security; 18) privacy; and 19) project resources. For security risks, identify under the Description column the level of risk as high, medium, or basic. What aspect of security determines the level of risk, i.e., the need for confidentiality of information, availability of information or the system, reliability of the information or system? Under the Current Status column, list the milestones remaining to mitigate the risk.

Moreover, for each risk category with a probability of occurrence of at least medium and impact of at least medium, please indicate whether or not the costs to mitigate the risk have been incorporated into your lifecycle cost estimates in the summary of spending stages section of this Exhibit 300. If not, please also indicate why in your response.

III.A.1) Does the investment have a Risk Management Plan?

Yes

III.A.1.a) If "yes," what is the date of the plan?

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III.A.1.b) Has the Risk Management Plan been significantly changed since last year's submission to OMB?

No

III.A.1.c) If "yes," describe any significant changes: (LONG ANSWER)

III.A.2) If there currently is no plan, will a plan be developed?

III.A.2.a) If "yes," what is the planned completion date?

III.A.2.b) If "no," what is the strategy for managing the risks? (LONG ANSWER)

PART III - B

Part III: For "Operation and Maintenance" investments ONLY (Steady State)
Part III should be completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.
 OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

III.B) Cost and Schedule Performance:

III.B.1) Was operational analysis conducted?

No

III.B.1.a) If "yes," provide the date the analysis was completed.

III.B.1.b) If "yes," what were the results? (LONG ANSWER)

III.B.1.c) If "no," please explain why it was not conducted and if there are any plans to conduct operational analysis in the future: (LONG ANSWER)

VA has plans to conduct Operational Analysis on this program. VA Directive 6061 requires the use of post implementation reviews and operational analysis on operations and maintenance efforts for sustainment (system operation/steady state) investments (or the sustainment portion of mixed life cycle projects) to promote more effective management oversight. VA is now completing a guide which will require regular use of Operational Analysis to formally assess how well an investment is meeting program objectives, customer needs, and is performing with baseline performance goals. The VA operational analysis will involve the collection of information concerning an investment's performance and the comparison of this performance with an established baseline. Performance measures are required in order to determine how well the asset supports customer and stakeholders, how well the asset is managed by the agency, how well the IT system is operating and how well the asset is meeting established performance goals. The outputs of the operational analysis are recommendations to VA agency resources managers as to the asset's continued use, modification, improvement or termination.

III.B.2) Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions).

III.B.2.a) What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs).

Contractor and Government

III.B.2.b) Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions).

Description of Milestone	Current BL Completion Date Planned	Current BL Completion Date Actual
Established Program Office in 1982. Began OMB process in 2002. Start and end dates are for operating (FTEE, technology refreshment, maintenance and so forth) costing purposes only.	Sep 30, 2002	Sep 30, 2002
Established Program Office in 1982. Began OMB process in 2002. Start and end dates are for operating (FTEE, technology refreshment, maintenance and so forth) costing purposes only.	Sep 30, 2003	Sep 30, 2003
Established Program Office in 1982. Began OMB process in 2002. Start and end dates are for operating (FTEE, technology refreshment, maintenance and so forth) costing purposes only.	Sep 30, 2004	Sep 30, 2004
Established Program Office in 1982. Began OMB process in 2002. Start and end dates are for operating (FTEE, technology refreshment, maintenance and so forth) costing purposes only.	Sep 30, 2005	Sep 30, 2005
Established Program Office in 1982. Began OMB process in 2002. Start and end dates are for operating (FTEE, technology refreshment, maintenance and so forth) costing purposes only.	Sep 30, 2006	Sep 30, 2006
Established Program Office in 1982. Began OMB process in 2002. Start and	Sep 30, 2007	

