

Maximum Amount of Carryover

To provide effective program management, an awardee must be able to develop and execute spend plans, make procurements and let contracts on schedule, and otherwise assure the infrastructure capacity to support the attainment of programmatic objectives. One outcome of an effective management infrastructure is the full expenditure of funds awarded in the budget period. Beginning with Budget Period 10 (BP10), awardees shall be required to return to CDC (acting on the behalf of the Secretary of Health and Human Services) the portion of the unexpended amount that exceeds the maximum permitted to be carried over to the following budget period.

Maximum Percentage Calculation

For the purpose of this calculation, “unobligated funds” (Numerator) will refer to the amounts reported as unobligated on the financial status report (FSR) due November 9, 2008. “Total funds awarded” (Denominator) will refer to the total of federal funds awarded (new and unobligated) as reflected on the last approved Notice of Award (NoA) for the applicable budget year; calculations will exclude pandemic influenza funds.

For BP10, the calculation will be:

$$\frac{\text{Numerator}}{\text{Denominator}} = \frac{\text{unobligated funds reported for BP8}}{\text{total amount awarded for BP8}} = \text{proportion of unobligated dollars}$$

This calculation will result in an average percentage of unobligated dollars across the program. This figure will become the allowable maximum proportion of unobligated that can be carried forward into Fiscal Year 2009 (BP10) and will be applied to all awardees.

The following graphic tool reflects the implementation dates impacting the maximum percentage calculation for BP10.

Budget Period	BP Start Date	BP End Date	FSR Due Date	Calculation Date
8	08/10/07	08/09/08	11/09/08	02/01/09