AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT			1. CONT	RACT ID CC	DE	PAGE O	FPAGES	
2. AMENDMI	ENT/MODIFICATION NO.	3. EFFECTIVE DATE See Block 16C	4. REQUISITION/PU	RCHASE	REQ. NO.	5. PROJ	ECT NO. (If a	pplicable)
6. ISSUED B	Y CODE		7. ADMINISTERED	Y (If othe	r than Item 6)	CODE		
U.S. Dep	artment of Energy							
Oak Ridg								
	nent and Contracts Division							
	ark A. Million							
P.O. Box	2001 le, TN 37831-8758							
	D ADDRESS OF CONTRACTOR	Vo., street, county, State a	nd ZIP Code)	(<u>(</u>)	9A. AMENI	DMENT O	FSOLICITAT	ION NO.
· · · · · · · · · · · · · · · · · · ·		,,,,	,	\ <u>\</u>				
UT-Bat	itelle, LLC				9B. DATED	(SEE ITE	M 11)	
Attn: M	lichael J. Frietze, Director	,			10A MODIE	CATION O	F CONTRACT	OPDER NO
	Prime Contract Administration							
	ffice Box 2008				DE-AC	05-00OR	22725	
Oak Ri	dge, TN 37831-6231			"	10B. DATE			
CODE		FACILITY CODE		1		er 18, 1999	-	
	- 11	THIS ITEM APPLIES TO	AMENDMENTS OF SO	LICITATIO	ONS	•		
□ The sha	☐ The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers ☐ is extended, ☐ is not extended.							
	re numbered solicitation is amended acknowledge receipt of this amendm		•		-			
	eting Items 8 and 15, and returning	•	anendment; (b) By ack			•	_	
	ed; or (c) By separate letter or telegi							
ACKNOWLE	DGEMENT TO BE RECEIVED AT	THE PLACE DESIGNATED	FOR THE RECEIPT C	F OFFER	S PRIOR TO	THE HOU	IR AND DAT	E .
	MAY RESULT IN REJECTION OF Y							
	e by telegram or letter, provided eac r and date specified.	n telegram or letter makes	reference to the solicita	tion and tr	nis amendme	nt, and is r	eceived prior	to the
	TING AND APPROPRIATION DA	TA (If required)						
		EM APPLIES ONLY TO M						
	11 1100	THE CONTRACTOR			1601 14.			
CHECK ONE	A. THIS CHANGE ORDER IS ISS	UED PURSUANT TO: (Sp	ecify authority)					
	THE CHANGES SET FORTH	N ITEM 14 ARE MADE IN	THE CONTRACT/ORD	ER NO. 11	NITEM 10A.			
	B. THE ABOVE NUMBERED CO							nges in
	paying office, appropriation da					FAR 43.10)3(b). 	
	C. THIS SUPPLEMENTAL AGRE	EMENT IS ENTERED INT	O PURSUANT TO AUT	HORITY	OF:			
	·							
	D. OTHER (Specify type of modifi	cation and authority)						
\boxtimes	Clause I-112 and P.L. 95-91							
E. IMPORTA	ANT: Contractor 🔲 is not, 🔯 is	required to sign this do	cument and return	2	copies to the	issuing (office.	
14. DESCRI	PTION OF AMENDMENT/MODIFIC	ATION (Organized by UC	F section headings, incl	uding solid	itation/contra	ct subject	matter where	feasible.)
				_				
	urpose of this modification is	to revise Appendix E	by incorporating Re	equirem	ents Chan	ge Notice	e No. OR-	30
attach	ed hereto.							
_								
	vided herein, all terms and conditions of the							
	AND TITLE OF SIGNER (Type or)	ning	16A, NAME AN		OF CONTRA	CTING OF	FIGER (IV	ue or print)
_	L. Turner Financial Officer		Mark A.		cer			
	RACT/OFFEROR	15C. DATE SIGNED	Contract 16B. UNITED S				16C. DA	TE SIGNED
					, ,			
BY 🔇	real Turne	_	BY Mark	2 Q. M	سفيال		9/2	7/07
(Sigr	nature of person authorized to sign)	9/25/07	(Sign	ature of C	ontracting Off	icer)		
NSN 7540-01-						STANDA	RD FORM 30	IREV. 10-83
Previous edition	on unusable		DUPLICAT	re 01	RIGINA	rescribed	Dy GSA FAR (38 CFR 53.24
			DUPLICA					

DOE Form (04/1991)			RCN No. OR-30
	Department of Energy MENTS CHANGE NOTI	CE	Page 1 of 10
PROJECT: Contract Baseline	e Documentation	LOCATION: (Dak Ridge, Tennessee
CONTRACTOR: UT-Battell	e, LLC		
Regula	CO5-00OR22725, Clause I.112, Laws, tions, and DOE Directives (Dec. DEAR 970.5204-2	DATE OF CON	NTRACT: April 1, 2005
applicable documents, which have above-referenced clause. This is health (ES&H) requirements. In sets and S/RID functional areas s	we been assessed against the terms and con- a complete numbered list of administrative formation regarding ES&H-related directive	ditions of the subje e requirements and ves and their applic and S/RIDs have a	No. DE-AC05-00OR22725, the attached list of act contract in accordance with the la representation of environment, safety, and cability can only be obtained from specific WSS an ES&H footnote. Changes to Appendix E are
	EXECUTIVE SUMMARY OF	DIRECTIVE C	HANGES
ADDITIONS: DOE M 470-4-4, Change 1 ORO O 220, Ch VII, Chg 5	DOE O 450.1, Admin., Chg 1 ORO O 420, Ch XI, Chg 2		30, Ch II, Chg 5 70, Ch IX, Chg 5
DELETIONS: DOE M 470-4-4 ORO O 130, Ch II, Chg 4	DOE O 137.1A ORO O 220, Ch VII, Chg 4	DOE O 450 ORO O 42	0.1, Chg 2 0, Ch XI, Chg 1
EXTENSIONS:			
UPDATES: WSS Set 1 , Change 56			
IMPLEMENTATION PLA DOE M 470-4-4, Compliance DOE O 226.1, Implementatio DOE O 243.2, Implementatio	n Plan closed	ATUS):	

DATE:

9/11/07

ADMINISTRATIVE CORRECTION:

DOE AUTHORIZING SIGNATURE:

Johnny O. Moore Contracting Officer's Representative

List B - List of Applicable Directives

DOE Directives DOE Directives may be found at the following address: http://www.directives.doe.gov/

		http://www.urrectives.uoe.gov/		1
Required Compliance Document	Document Date	Title	Through Change	Notes and Comments
DOE O 110.3A	01/25/2007	Conference Management		
DOE O 130.1	09/29/1995	Budget Formulation		
DOE M 140.1-1B	03/30/2001	Interface with the Defense Nuclear Facilities Safety Board		
DOE O 142.1	01/13/2004	Classified Visits Involving Foreign Nationals		
DOE O 142.2A	12/15/2006	Voluntary Offer Safeguards Agreement and Additional Protocol with the International Atomic Energy Agency		
DOE O 142.3	06/18/2004	Unclassified Foreign Visits and Assignments Program		
DOE O 151.1C, Attachment 2	11/02/2005	Comprehensive Emergency Management System		ES&H-related Directive included in S/RID. See Footnote (2).
Compliance Line: Implem	nentation Plan a	pproved by DOE on 10/14/2006.		
DOE N 153.2	08/11/2003	Connectivity to National Atmospheric Release Advisory Center (NARAC)		ES&H-related Directive included in S/RID. See Footnote (2).
DOE M 200.1-1, Chapter 9	02/15/2000	Telecommunications Security Manual		
DOE O 200.1	09/30/1996	Information Management Program		
DOE N 203.1	10/02/2000	Software Quality Assurance		Expiration date extended to 12/31/2001 by DOE N 251.40.
DOE M 205.1-3	04/17/2006	Telecommunications Security Manual		
DOE M 205.1-4	03/08/2007	National Security System Manual		
Compliance Line: Implem	nentation Plan d	lue to DOE by 08/1/2007.		
DOE N 205.10	02/19/2004	Cyber Security Requirements for Risk Management		Expiration date extended to 03/18/2006 by DOE N 205.15.
DOE O 205.1A	12/04/2006	Department of Energy Cyber Security Management		
Compliance Line: Implem	nentation Plan d	lue to DOE by 10/11/2007.		
DOE N 205.11	02/19/2004	Security Requirements for Remote Access to DOE and Applicable Contractor Information Technology Systems		Expiration date extended to 03/18/2006 by DOE N 205.15.
Compliance Line: Implem	nentation Plan (Revision 1) approved by DOE on 08/28/2006.		
DOE N 205.2	11/01/1999	Foreign National Access to DOE Cyber Systems		Expiration date extended to 09/30/2006 by DOE N 205.16.

List B - List of Applicable Directives

DOE Directives DOE Directives may be found at the following address:

http://www.directives.doe.gov/

		http://www.directives.doe.gov/		
Required Compliance Document	Document Date	Title	Through Change	Notes and Comments
DOE N 205.3	11/23/1999	Password Generation, Protection, and Use		Expiration date extended to 09/30/2006 by DOE N 205.16.
DOE N 205.8	02/11/2004	Cyber Security Requirements for Wireless Devices and Information Systems		Expiration date extended to 03/18/2006 by DOE N 205.15.
DOE N 205.9	02/19/2004	Certification and Accreditation Process for Information Systems Including National Security Systems		Expiration date extended to 03/18/2006 by DOE N 205.15.
DOE N 206.3	11/22/2005	Personal Identity Verification		Expiration date extended to 03/22/2007 by DOE N 251.67.
DOE O 210.2	06/12/2006	DOE Corporate Operating Experience Program		
Compliance Line: Implen	nentation Plan a	pproved by DOE on 11/30/2006.		
DOE O 221.1	03/22/2001	Reporting Fraud, Waste, and Abuse To The Office of Inspector General		
DOE O 221.2	03/22/2001	Cooperation With The Office of Inspector General		
DOE O 225.1A, Attachment 1	11/26/1997	Accident Investigation		
DOE O 226.1	09/15/2005	Implementation of Department of Energy Oversight Policy		
DOE M 231.1-2	08/19/2003	Occurrence Reporting and Processing of Operations Information		ES&H-related Directive included in S/RID. See Footnote (2).
DOE O 241.1A	04/09/2001	Scientific and Technical Information Management	1 10/14/2003	
DOE O 243.1	02/03/2006	Records Management Program		
Compliance Line: Implen	nentation Plan, I	Revision 1, approved by DOE on 04/18/2007.	-	-
DOE O 243.2	02/02/2006	Vital Records		
DOE M 251.1-1B	08/16/2006	Departmental Directives Program Manual		
DOE O 251.1B	08/16/2006	Departmental Directives Program		
DOE O 252.1	11/19/1999	Technical Standards Program		
DOE O 350.1	09/30/1996	Contractor Human Resource Management Programs	1 05/08/1998	
DOE O 350.2A	10/29/2003	Use of Management and Operating or Other Facility Management Contractor Employees for Services to DOE in the Washington, D.C., Area		
DOE O 413.1A	04/18/2002	Management Control Program		

List B - List of Applicable Directives

DOE Directives DOE Directives may be found at the following address:					
Required Compliance Document	Document Date	http://www.directives.doe.gov/	Through Change	Notes and Comments	
DOE O 413.2B	04/19/2006	Laboratory Directed Research and Development			
DOE M 413.3-1, except Chapters 1 through 3	03/28/2003	Project Management for the Acquisition of Capital Assets			
DOE O 413.3A	07/28/2006	Program and Project Management for the Acquisition of Capital Assets			
DOE O 414.1C	06/17/2005	Quality Assurance		ES&H-related Directive included in WSS. See Footnote (2).	
Compliance Line: Implem	nentation Plan, F	Revision 1, approved by DOE on 12/08/2006.			
DOE O 420.1B, Attachment 2, Chapter II	12/22/2005	Facility Safety		Administrative Directive (with implementation assumptions) added to the Appendix E Baseline List B. See Footnote (3)	
DOE O 420.1B	12/22/2005	Facility Safety		ES&H-related Directive included in WSS. See Footnote (2).	
Compliance Line 1: Imple	ementation Plan	approved by DOE on 12/08/2006 for DOE O	420.1B, Attachr	ment 2, Chapter III.	
		approved by DOE on 01/22/2007 for DOE O approved by DOE on 11/30/2006 for DOE O approved by Accelerator Facilities			
DOE O 425.1C	03/13/2003	Startup and Restart of Nuclear Facilities		ES&H-related Directive included in WSS. See Footnote (2).	
DOE O 430.1B	09/24/2003	Real Property Asset Management			
DOE O 430.2A	04/15/2002	Departmental Energy and Utilities Management			
DOE O 433.1A	02/13/2007	Maintenance Management Program for DOE Nuclear Facilities		ES&H-related Directive included in WSS. See Footnote (2).	
Compliance Line: Implen	nentation is in a	ccordance with a Maintenance Implementation	n Plan required	by the Order.	
DOE M 435.1-1	07/09/1999	Radioactive Waste Management Manual		ES&H-related Directive included in WSS. See Footnote (2).	
DOE O 435.1	07/09/1999	Radioactive Waste Management		ES&H-related Directive included in WSS. See Footnote (2).	

List B - List of Applicable Directives

DOE Directives DOE Directives may be found at the following address: http://www.directives.doe.gov/

Required Compliance Document	Document Date	Title	Through Change	Notes and Comments
DOE O 440.2B	11/27/2002	Aviation Management and Safety	1 11/19/2006	ES&H-related Directive included in WSS. See Footnote (2).
DOE M 442.1-1	11/16/2006	Differing Professional Opinions Manual for Technical		
DOE O 442.1A	06/06/2001	Department of Energy Employee Concerns Program		
DOE O 443.1	05/15/2000	Protection of Human Subjects		
DOE O 450.1	01/15/2003	Environmental Protection Program	1 01/03/2007	ES&H-related Directive included in WSS. See Footnote (2). This DOE Order is Administrative Change 1.
DOE M 450.3-1	01/25/1996	The Department of Energy Closure Process for Necessary and Sufficient Sets of Standards		
DOE M 450.4-1	11/01/2006	Integrated Safety Management System Manual		
DOE O 460.1B	04/04/2003	Packaging and Transportation Safety		ES&H-related Directive included in WSS. See Footnote (2).
DOE O 470.2B	10/31/2002	Independent Oversight and Performance Assurance Program		
DOE O 470.3A	11/29/2005	Design Basis Threat Policy		
Compliance Line: Implen	nentation Plan a	pproved on 05/05/2006.		
DOE M 470.4-1	08/26/2005	Safeguards and Security Program Planning and Management	1 03/07/2006	
Compliance Line: Implen	nentation Plan a	pproved by DOE on 08/28/06.		
DOE M 470.4-2	08/26/2005	Physical Protection	1 03/07/2006	
Compliance Line: Implen	nentation Plan a	pproved by DOE on 04/10/2007.		
DOE M 470.4-3	08/26/2005	Protective Force	1 03/07/2006	
Compliance Line: Implen	nentation Plan a	pproved by DOE on 08/28/06.		
DOE M 470.4-4	08/26/2005	Information Security	1 06/29/2007	
DOE M 470.4-5	08/26/2005	Personnel Security		
DOE M 470.4-6	08/26/2005	Nuclear Material Control and Accountability	1 08/14/2006	

List B - List of Applicable Directives

DOE Directives DOE Directives may be found at the following address:

http://www.directives.doe.gov/

Document Date Hittle Change Woltes and Comments			http://www.directives.doe.gov/	i	
DOE M 471.1-1 06/30/2000 Identification and Protection of Unclassified Controlled Nuclear Information Manual 06/30/2000 Identification and Protection of Unclassified Controlled Nuclear Information 07/07/2006 by DOE N 251.64. Expiration date extended to 07/07/2006 by DOE N 251.64. Expiration date extended to 07/07/2006 by DOE N 251.64. DOE M 471.3-1 04/09/2003 Official Use Only Information ODE 0 471.3 04/09/2003 Identifying and Protecting Official Use Only Information ODE M 475.1-1A 02/26/2001 Identifying and Protecting Official Use Only Information ODE M 475.1-1A 02/26/2001 Identifying Classified Information ODE M 475.1-1A 01/03/2001 DOE M 481.1-1A 01/03/2001 Program Reimbursable Work For Non-Federal Sponsors Process Manual ODE 0 482.1 01/12/2001 DOE Coperative Research and Development Agreements Manual ODE 0 483.1 01/12/2001 DOE Cooperative Research and Development Agreements Manual ODE 0 484.1 08/17/2006 Pricing of Departmental Materials and Services Services ODE 0 522.1 11/03/2003 Accounting ODE M 573.1-1 07/12/2000 OPE M 573.1-1 OPE O 580.1 12/07/2005 Department of Energy Personal Property Management Program ODE M 573.1-1 OPE O 580.1 12/07/2005 Department of Energy Personal Property Management Program ODE O 580.1 12/07/2005 Department of Energy Personal Property Holisting and Rigging Manual) DOE SEM-Felated Directive Included in WSs. See Footnote (2).	Required Compliance Document		Title		Notes and Comments
DOE 0 471.1A	DOE M 471.1-1	06/30/2000		•	07/07/2006 by DOE N
DOE M 471.2-3A	DOE O 471.1A	06/30/2000			07/07/2006 by DOE N
Oct	DOE M 471.2-3A	07/11/2002			
Information	DOE M 471.3-1	04/09/2003			
DOE M 475.1-1A O2/26/2001 Identifying Classified Information O3/03/2006 by DOE N 251.61.	DOE O 471.3	04/09/2003			
DOE M 481.1-1A O1/03/2001 Reimbursable Work For Non-Federal Sponsors Process Manual ODE O 482.1 O1/12/2001 DOE Facilities Technology Partnering Programs ODE M 483.1-1 O1/12/2001 DOE Cooperative Research and Development Agreements Manual ODE O 483.1 O1/12/2001 DOE Cooperative Research and Development Agreements ODE O 484.1 O8/17/2006 Reimbursable Work for the Department of Homeland Security Pricing of Departmental Materials and Services ODE O 522.1 11/03/2004 Pricing of Departmental Materials and Services ODE O 534.1B O1/06/2003 OFficial Foreign Travel ODE O 551.1B O8/19/2003 Official Foreign Travel ODE O 580.1 12/07/2005 Mail Services User's Manual ODE O 580.1 12/07/2005 Mail Services User's Manual ODE O 580.1 12/07/2005 Mail Services User's Manual ODE O 580.1 Department of Energy Personal Property Management Program CODE-STD-1090-2004 O6/01/2004 Holsting and Rigging Standard (Formerly included in WSS. See Footnote (2). Compliance Line: Implementation Plan, Revision 1, approved by DOE on 03/10/2006. ES&H-related Directive included in WSS. See Footnote (2).	DOE M 475.1-1A	02/26/2001	Identifying Classified Information		03/03/2006 by DOE N
Sponsors Process Manual 09/28/2001 DOE 0 482.1 01/12/2001 DOE Facilities Technology Partnering Programs DOE M 483.1-1 01/12/2001 DOE Cooperative Research and Development Agreements Manual DOE 0 483.1 01/12/2001 DOE Cooperative Research and Development Agreements Manual DOE 0 483.1 01/12/2001 DOE Cooperative Research and Development Agreements DOE 0 484.1 08/17/2006 Reimbursable Work for the Department of Homeland Security Pricing of Departmental Materials and Services DOE 0 522.1 11/03/2004 Pricing of Departmental Materials and Services DOE 0 534.1B 01/06/2003 Accounting DOE 0 551.1B 08/19/2003 Official Foreign Travel DOE M 573.1-1 07/12/2000 Mail Services User's Manual DOE 0 580.1 12/07/2005 Department of Energy Personal Property Management Program DOE-STD-1090-2004 06/01/2004 Hoisting and Rigging Standard (Formerly Hoisting and Rigging Manual) DOE OSTD-1186-2004 08/01/2004 Specific Administrative Controls ES&H-related Directive included in WSS. See Footnote (2).	DOE O 475.1	12/10/2004	Counterintelligence Program		
DOE M 483.1-1 DOE M 483.1-1 DOE O 483.1 DOE O 483.1 DOE O 483.1 DOE O 484.1 DOE O 484.1 DOE O 522.1 DOE O 522.1 DOE O 534.1B DOE O 551.1B DOE O 551.	DOE M 481.1-1A	01/03/2001			
Development Agreements Manual DOE O 483.1 DOE O 483.1 DOE Cooperative Research and Development Agreements Reimbursable Work for the Department of Homeland Security Pricing of Departmental Materials and Services DOE O 522.1 11/03/2004 Pricing of Departmental Materials and Services DOE O 534.1B 01/06/2003 Accounting DOE O 551.1B 08/19/2003 Official Foreign Travel DOE M 573.1-1 07/12/2000 Mail Services User's Manual DOE O 580.1 12/07/2005 Department of Energy Personal Property Management Program DOE-STD-1090-2004 O6/01/2004 Hoisting and Rigging Standard (Formerly Hoisting and Rigging Manual) DOE O 580.1 ES&H-related Directive included in WSS. See Footnote (2). ES&H-related Directive included in WSS. See Footnote (2).	DOE O 482.1	01/12/2001			
Development Agreements DOE 0 484.1 DOE 0 484.1 DOE 0 522.1 DOE 0 522.1 DOE 0 534.1B DOE 0 551.1B DOE 0 551.1B DOE 0 573.1-1 DOE 0 580.1 DO	DOE M 483.1-1	01/12/2001	· ·		
Homeland Security DOE 0 522.1 11/03/2004 Pricing of Departmental Materials and Services DOE 0 534.1B 01/06/2003 Accounting DOE 0 551.1B 08/19/2003 Official Foreign Travel DOE M 573.1-1 07/12/2000 Mail Services User's Manual DOE 0 580.1 12/07/2005 Department of Energy Personal Property Management Program DOE-STD-1090-2004 06/01/2004 Hoisting and Rigging Standard (Formerly Hoisting and Rigging Manual) DOE-STD-1186-2004 08/01/2004 Specific Administrative Controls ES&H-related Directive included in WSS. See Footnote (2).	DOE O 483.1	01/12/2001			
Services DOE 0 534.1B DOE 0 534.1B O1/06/2003 Accounting OOE 0 551.1B O8/19/2003 Official Foreign Travel DOE M 573.1-1 O7/12/2000 Mail Services User's Manual DOE 0 580.1 Department of Energy Personal Property Management Program DOE-STD-1090-2004 Hoisting and Rigging Standard (Formerly Hoisting and Rigging Manual) Compliance Line: Implementation Plan, Revision 1, approved by DOE on 03/10/2006. DOE-STD-1186-2004 O8/01/2004 Specific Administrative Controls ES&H-related Directive included in WSS. See Footnote (2).	DOE O 484.1	08/17/2006			
DOE 0 551.1B 08/19/2003 Official Foreign Travel DOE M 573.1-1 07/12/2000 Mail Services User's Manual DOE 0 580.1 12/07/2005 Department of Energy Personal Property Management Program DOE-STD-1090-2004 06/01/2004 Hoisting and Rigging Standard (Formerly Hoisting and Rigging Manual) DOE-STD-1090-2004 DOE-STD-1090-2004 O8/01/2004 Specific Administrative Controls DOE-STD-1186-2004 08/01/2004 Specific Administrative Controls DOE-STD-1186-2004 O8/01/2004 Specific Administrative Controls	DOE O 522.1	11/03/2004	9 1		
DOE M 573.1-1 O7/12/2000 Mail Services User's Manual Department of Energy Personal Property Management Program DOE-STD-1090-2004 O6/01/2004 Hoisting and Rigging Standard (Formerly Hoisting and Rigging Manual) Compliance Line: Implementation Plan, Revision 1, approved by DOE on 03/10/2006. DOE-STD-1186-2004 O8/01/2004 Specific Administrative Controls ES&H-related Directive included in WSS. See Footnote (2).	DOE O 534.1B	01/06/2003	Accounting		
DOE O 580.1 12/07/2005 Department of Energy Personal Property Management Program DOE-STD-1090-2004 O6/01/2004 Hoisting and Rigging Standard (Formerly Hoisting and Rigging Manual) Compliance Line: Implementation Plan, Revision 1, approved by DOE on 03/10/2006. DOE-STD-1186-2004 O8/01/2004 Department of Energy Personal Property Management Property Management Program ES&H-related Directive included in WSS. See Footnote (2). ES&H-related Directive included in WSS. See Footnote (2).	DOE O 551.1B	08/19/2003	Official Foreign Travel		
Management Program DOE-STD-1090-2004 O6/01/2004 Hoisting and Rigging Standard (Formerly Hoisting and Rigging Manual) Compliance Line: Implementation Plan, Revision 1, approved by DOE on 03/10/2006. DOE-STD-1186-2004 O8/01/2004 Specific Administrative Controls ES&H-related Directive included in WSS. See Footnote (2). ES&H-related Directive included in WSS. See Footnote (2).	DOE M 573.1-1	07/12/2000	Mail Services User's Manual		
DOE-STD-1090-2004 06/01/2004 Hoisting and Rigging Standard (Formerly Hoisting and Rigging Manual) included in WSS. See Footnote (2). Compliance Line: Implementation Plan, Revision 1, approved by DOE on 03/10/2006. DOE-STD-1186-2004 08/01/2004 Specific Administrative Controls ES&H-related Directive included in WSS. See Footnote (2).	DOE O 580.1	12/07/2005			
DOE-STD-1186-2004 08/01/2004 Specific Administrative Controls ES&H-related Directive included in WSS. See Footnote (2).	DOE-STD-1090-2004	06/01/2004			included in WSS. See
DOE-STD-1186-2004 08/01/2004 Specific Administrative Controls included in WSS. See Footnote (2).	Compliance Line: Implen	nentation Plan,	Revision 1, approved by DOE on 03/10/2006.		
Compliance Line: Implementation Plan, Revision 1, approved by DOE on 11/30/2006.	DOE-STD-1186-2004	08/01/2004	Specific Administrative Controls		included in WSS. See
	Compliance Line: Implen	nentation Plan,	Revision 1, approved by DOE on 11/30/2006.		

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DOE Directives DOE Directives may be found at the following address: http://www.directives.doe.gov/

		http://www.directives.doe.gov/		
Required Compliance Document	Document Date	Title	Through Change	Notes and Comments
DOE O 1340.1B	01/07/1993	Management of Public Communications Publications and Scientific, Technical, and Engineering Publications		
DOE O 1350.1	10/28/1981	Audiovisual and Exhibits Management	1 03/26/1984	
DOE O 1450.4	11/12/1992	Consensual Listening-In To Or Recording Telephone/Radio Conversations		
DOE O 2340.1C	06/08/1992	Coordination of General Accounting Office Activities		See Footnote (1).
DOE O 5400.5	02/08/1990	Radiation Protection of the Public and the Environment		ES&H-related Directive included in WSS. See Footnote (2).
DOE O 5480.19	07/09/1990	Conduct of Operations Requirements for DOE Facilities	2 10/23/2001	ES&H-related Directive included in WSS. See Footnote (2).
Compliance Line: Implem	nentation Plan a	pproved 06/12/2002 for Change 1.		
DOE O 5480.20A	11/15/1994	Personnel Selection, Qualification, and Training Requirements for DOE Nuclear Facilities	1 07/12/2001	ES&H-related Directive included in WSS. See Footnote (2).
DOE O 5560.1A	05/08/1985	Priorities and Allocations Program		
DOE O 5639.8A	07/23/1993	Security of Foreign Intelligence Information and Sensitive Compartmented Information Facilities		
DOE O 5660.1B	05/26/1994	Management of Nuclear Materials		

List B - List of Applicable Directives

ORO Directives ORO Directives may be found at the following address:

	http://www.ornl.gov/doe_oro_dmg/orchklst.htm					
Required Compliance Document	Document Date	Title	Through Change	Notes and Comments		
ORO O 130, Chapter	05/15/1996	Shutdown of Departmental Operations Upon Failure by Congress to Enact Appropriations	5 06/24/2007			
ORO O 150, Chapter I	09/30/1996	Comprehensive Emergency Management System	6 04/22/2004	ES&H-related Directive included in S/RID. See Footnote (2).		
ORO O 150, Chapter IV	05/31/1996	Radiological Assistance Program, (RAP)	3 02/08/2001	ES&H-related Directive included in S/RID. See Footnote (2).		
ORO O 220, Chapter II	09/30/1996	Cooperation with the Office of Inspector General	4 09/06/2005			
ORO O 220, Chapter III	05/31/1996	Establishment of Management Decisions on Office of Inspector General Reports	5 05/02/2006			
ORO O 220, Chapter IV	05/31/1996	Coordination of General Accounting Office Activities	4 04/29/2005			
ORO O 220, Chapter VII	05/31/1996	Audit Resolution and Follow-up	5 05/08/2007			
ORO O 250, Chapter I	08/13/1996	ORO Standards Management Program Overview	5 04/18/2006			
ORO O 250, Chapter II	08/13/1996	ORO Directives System	6 04/18/2006			
ORO O 250, Chapter IV	08/13/1996	Impact Assessments	4 04/18/2006			
ORO O 250, Chapter V	08/13/1996	Development, Approval, and Maintenance of Work Smart Standards Sets	6 04/18/2006			
ORO O 250, Chapter VI	09/30/1996	Implementation Plans and Exemption Requests	5 04/18/2006			
ORO O 250, Chapter VII	08/13/1996	Maintenance of Standards/Requirements Identification Documents	4 04/18/2006			
ORO O 250, Chapter VIII	04/27/2001	Requirements Change Notices	2 04/18/2006			
ORO O 250, Chapter X	10/31/2003	DOE Directives System	1 04/18/2006			
ORO O 350, Chapter III	05/09/2006	Federal Labor Standards	4 05/09/2006			
ORO O 410, Chapter I	09/24/1996	Work Authorization	3 01/12/2004			
ORO O 410, Chapter II	05/31/1996	Management of Nuclear Materials	4 11/16/2006			
ORO O 420, Chapter XI	04/04/2000	Authorization Agreements	2 04/13/2007			

List B - List of Applicable Directives

ORO Directives ORO Directives may be found at the following address:

http://www.ornl.gov/doe_oro_dmg/orchklst.htm					
Required Compliance Document	Document Date	Title	Through Change	Notes and Comments	
ORO O 420, Chapter XVI	08/28/2006	Fire Protection		Administrative Directive (with implementation assumptions) added to the Appendix E Baseline List B. See Footnote (3)	
ORO O 430, Chapter II	06/14/1996	Energy and Utilities Management	4 08/21/2006		
ORO O 440, Chapter V	09/30/1996	Employee Concerns Management System	5 04/24/2007		
ORO O 470, Chapter I	09/30/1996	Safeguards and Security Program	3 10/29/2004		
ORO O 470, Chapter IX	05/15/1996	Control and Accountability of Nuclear Materials	5 05/18/2007		
ORO O 470, Chapter VII	05/15/1996	Protection and Control of Safeguards and Security Interests	5 03/08/2007		
ORO O 530, Chapter III	06/18/1996	Accounting	6 02/06/2007		
ORO O 550, Chapter II	09/26/2001	Foreign Travel Authorization,	2 01/22/2007		

List B - List of Applicable Directives

Work Smart Standards (WSS) Sets and Standards/Requirements Identification Documents (S/RIDs)

WSS Sets and S/RIDs can be found at the following address:

http://sbms.ornl.gov/sbms/wsshome/wss.html

Required Compliance Document	Approval Date	Title	Change # Approval Date	Notes and Comments
WSS Set 1	07/25/1996	Other Industrial, Radiological, and Non- Radiological Hazard Facilities	56 06/01/2007	
WSS Set 2	09/30/1996	Radiochemical Research Facilities (Buildings 2026 and 5505)	18 04/16/2007	
WSS Set 3	09/30/1996	Accelerator Facilities	3 09/10/2004	
WSS Set 4	12/17/1996	Radioisotope Development Laboratory (Building 3047)	18 04/16/2007	
WSS Set 5	12/17/1996	Radiochemical Engineering Development Center (Buildings 7920, 7930, and Support Areas)	17 04/16/2007	
WSS Set 7	05/07/1997	Irradiated Materials Examination and Testing Facility and Irradiated Fuels Examination Laboratory (Buildings 3025E, 3525, and Support Areas)	17 04/16/2007	
WSS Set 8	05/07/1997	Construction and Construction-like Activities	8 02/20/2007	
WSS Set 9	04/14/1998	Engineering Design of Standard Industrial, Radiological, Non-Reactor Category 2 and 3 Nuclear, and Accelerator Facilities	8 06/21/2006	
WSS Set 10	09/10/1998	High Flux Isotope Reactor and its associated facilities	20 04/16/2007	
WSS Set 12	05/15/2000	Chem-Bio Facility (Building 5507A)	1 03/12/2002	
WSS Set 13	04/18/2003	Spallation Neutron Source	3 02/05/2007	
S/RID	11/20/1997	Occurrence Reporting	2 11/06/2003	
S/RID	11/05/1996	Emergency Management	9 03/09/2006	

FOOTNOTES:

- (1) This document is not directly applicable to the Contractor; it is included in the list of applicable documents because the Contractor must provide certain information or input to DOE in order for DOE to comply with requirements specified in the document.
- (2) This document is ES&H-related and appears in one or more of the current Work Standards (WSS) Sets or is incorporated in the Standards/Requirements Identification Document (S/RID). In an S/RID or WSS Set, the document may be referenced in its entirety or only certain chapters, paragraphs, or sections. Additional information regarding directives and their applicability may be obtained from specific WSS sets.
- (3) This is an Administrative Directive (with implementation assumptions) added to the Appendix E Baseline List B; These implementation assumptions are located at: http://sbms.ornl.gov/SBMS/WSSHome/listbias.htm