NPPTL Mission...

To prevent work-related illness and injury by ensuring the development, certification, deployment, and use of personal protective equipment and fully integrated, intelligent ensembles.









This will be accomplished through the advancement and application of personal protective technology standards.







NIOSH-NPPTL Manufacturers Meeting October 11, 2006

Welcome

- 1st Day Respirator Manufacturer
 Certification Topics
- 2nd & 3rd Days NPPTL Research & Standards Development Activities
- Outreach to Stakeholders & Customers







NIOSH-NPPTL Manufacturers Meeting

AGENDA

- NPPTL Overview
- Approval Processing Statistics
- CBRN PAPR, Step 1
- Standard Application Form Revision
- Certified Equipment List & DEIMS Update
- CO2 Dead Space Test
- Audit Logic Update
- Other Certification Topics
- Customer Satisfaction Update







NNPPTL Overview

NPPTL Organizational Structure

Priorities

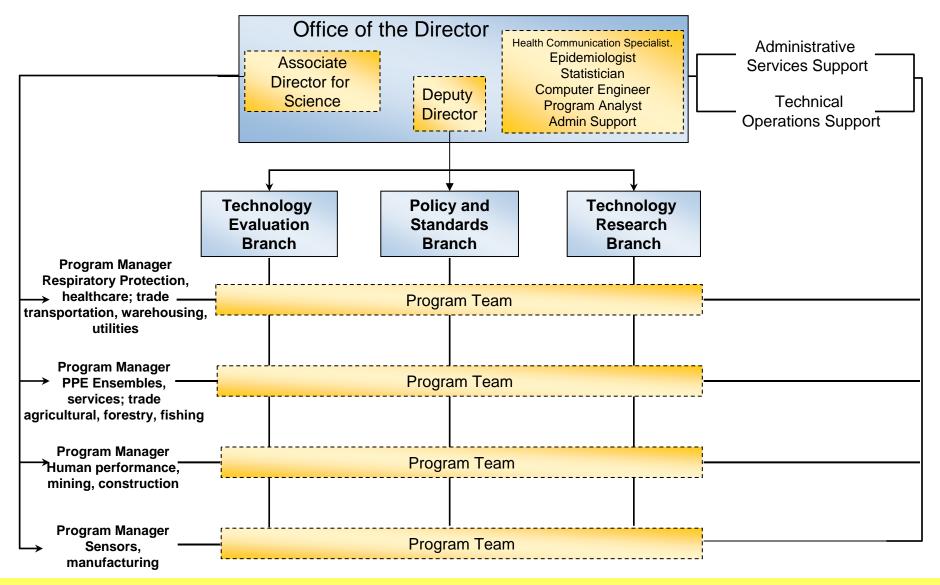
Planning







NPPTL Organizational Chart





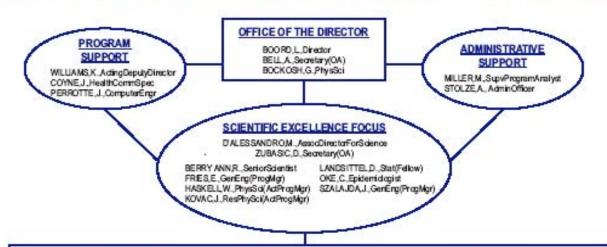








NATIONAL PERSONAL PROTECTIVE TECHNOLOGY LABORATORY



TECHNOLOGY RESEARCH BRANCH

SHAFFER,R,BranchChief THOMPSON,D,ProgOper/est

COCA,A,Fellow GAO,P,PhySci GREENBLATT,J,Fellow RENGASAMYS,Chemist ROBERGE,R,MedicalOfficer SHEPHERDA,GenEng SNKULE,E,PhySci SNYDERJ,PhySci VSCUSLD,Chemist VOE,PhySci WILLIAMSW,Physiologist ZHUANG,Z,GenEng

TECHNOLOGY EVALUATION BRANCH

AHLERS,H.,BranchChief HARVEY,K.,ProgOperAsst

BOOK, D., QA Spec(TL)
DUE IRR, W., GenBidSd
GAVEL, K., GenBidSd
GAVEL, K., GenBidSd
HURD, E., QA Spec
KOCHENDERFER, V., QA Spec
KYRAZIN, BiomedBing
LEVITSKY, YA, QA Spec
MONAHAN, W., PhySci
PARKER, J., GenBing
PETERSON, J., GenBing
POUCHOT, GenBing
POWELKO, R., QA Spec

RETHILL, GenEng
RIFFLE D., GenEng
SENK, M., IT Spec
SHEETS R., QA Spec
SHUBLLAJ, EngTech
SNYDER, D., Mgt&ProgramAsst
STEIN R., GenEng
THORNTON, T., PhySci
WELSHE, EngTech
WULTANGER P., EngTech
WOLFE C., GenEng
ZHUNNG Z, EngTech

POLICY AND STANDARDS DEVELOPMENT BRANCH

HOFFMAN,W.,BranchChief DWORNICK,T.,ProgOperAsst

CLOONAN,T.PhySci ELAYOUBYN.PhySci NEWCOMB,W.GenEng PALYA,F.,GenEng REHAK,T.GenEng

October 2006

FTE: 62







APEX

(Achieving Performance Excellence)

- NPPTL Initiative for Performance Excellence
- Malcolm Baldrige Criteria 7 Categories
 - Leadership
 - Strategic Planning
 - Customer / Market Focus
 - Measurement / Analysis / Knowledge Mgmt
 - Human Resource Focus
 - Process Management
 - Business Result
- Focused Strategic Priorities
 - 7 NPPTL Priorities







NPPTL Priorities

- ✓ STANDARDS FOCUS
- **✓ PERSONAL PROTECTIVE TECHNOLOGY EVALUATIONS**
- ✓ SCIENCE CENTER of EXCELLENCE
- ✓ Outreach
- **✓ PARTNERSHIPS**
- **✓ HUMAN RESOURCE EXCELLENCE**
- **✓ ACHIEVING PERFORMANCE EXCELLENCE (APEX)**







NPPTL Priorities

STANDARDS FOCUS

Increase our focus and enhance the Laboratory's leadership role in the development of standards pertinent to work-related personal protective equipment.

PERSONAL PROTECTIVE TECHNOLOGY EVALUATIONS

Improve our technology evaluation and respirator certification processes.

SCIENCE CENTER of EXCELLENCE

Improve the quality, consistency, and dependability of the science delivered to our customers and stakeholders through a program of rigorous evaluation.

OUTREACH

Improve our communications with stakeholders and customers.

PARTNERSHIPS

Increase the quality, and improve the effectiveness of partnerships with organizations in NIOSH-defined sectors, industry, government and academia.

HUMAN RESOURCE EXCELLENCE

Improve the management of our human resources

ACHIEVING PERFORMANCE EXCELLENCE (APEX)

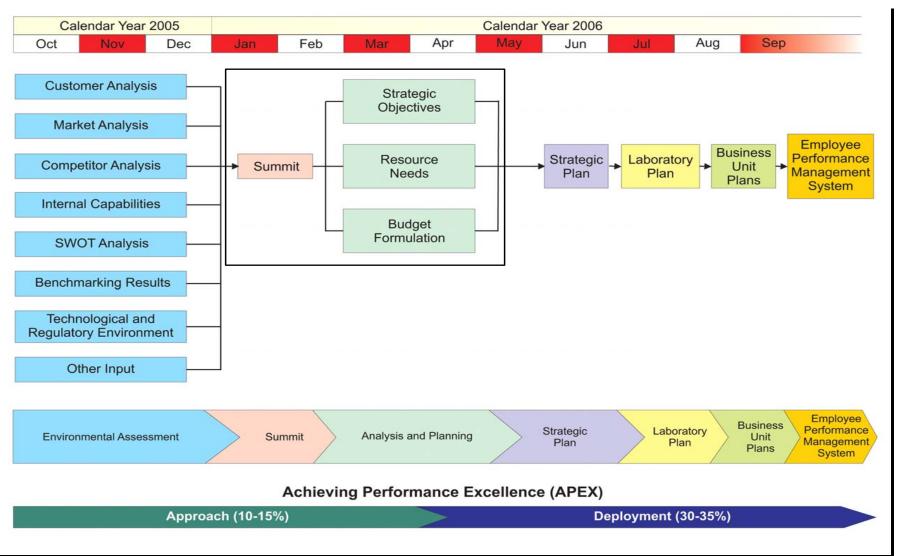
Demonstrate performance excellence in all we do.







NPPTL Strategic Planning Process









Base Budget Ceiling

FY '06 \rightarrow \$12,063,122

 $FY '07 \rightarrow $11,670,970$

(\$ 392,152)

3.3% = Reduction







Sacred cows

Minimum level of funding

– Evaluations: \$600K

(Cost of Quality 3% to 8% Norm.)



– Certification: \$766K

(Discretionary \$\$ Only)

-r2p: \$300K

(Outreach + SDOs)









Summit Results

	Non PS & B	PS & B	Total
OD	2.341	2.097	4.438
Technology Evaluation Branch	1.344	2.222	3.566
Policy & Standards Branch	.349	.804	1.153
Technology Research Branch	1.126	1.386	2.512
Total	5.160	6.509	11.669







Sacred cows

'07 Level of Funding

– Evaluations: \$400K (NAS + OPM)

\$200K Other Reviews

\$600K



– Certification: \$1.344K (Discretionary \$\$)

- **r2p**: \$643K









Thank You



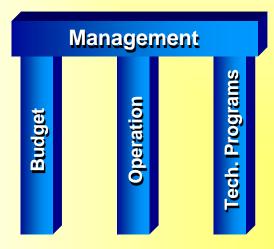




NPPTL 2006 SWOT Analysis (Strengths, Weaknesses, Opportunities, Threats)

- Critical / objective assessment
- Analogous to Business Model
 - Typical business:
 - Senior management
 - Supported by 3 pillars
 - Financial
 - Operation
 - Marketing and sales
 - NPPTL
 - Management (Leadership, Strategic Planning)
 - Supporting pillars
 - Budget (Business Result)
 - Operation (Human Resource, Customer/Market)
 - Technical programs (Process Management, Measurement/Analysis)











NPPTL Management – SWOTs

Strengths

- Dedicated team
- StakeholderNetworking
- Strategic Plan
- StrategicPlanningProcess

Weaknesses

- Alignment Not Achieved:
 - Lab Action
 - Branch Op.

Opportunities

- AchieveAlignmentInitiatives
- EvaluationPrograms
- R2P (Outreach, InformationDissemination)

Threats

Competition (FDA)







NPPTL Budget — SWOTs

Strengths

- Monitoring/ control systems in place
- PS&B strategy
- Supplemental funding (DHS, CDC, TSWG)

Weaknesses

DecreasingBase Budget

Opportunities

- Stakeholders
 Promoting
 Congressional
 Recognition of
 PPT Programs
- Partnerships for Supplemental Funding
- QA Admin
 Fees Module

Threats

Shrinking Funds (Base Budget & Supplemental Funding)







NPPTL Operational – SWOTs

Strengths

- Organized for growth
- Priorities (Focus)Defined
- State Of Art Labs

Weaknesses

- Communication
 Strategy Internal
 and External
- Processes/ procedures not well documented
- Lab Capacity Not Sufficient
- Not ISO qualified

Opportunities

PPT NeedsPlentiful

Threats

 Insufficient lab capacity (LRPL)







NPPTL Programs — SWOTs

Strengths

- Congressional mandate Resp.
 Cert.
- NIOSH PPT Cross Sector Mgmt.
- National & International recognition
- Priorities/Tech.
 Focus Identified
- High visibility programs
- Evaluations

Weaknesses

- Limited Resources:
 - Fiscal
 - Facilities
 - Human

Opportunities

- PPTTechnologyGaps
- Collaboration
 with Partners
 (SDOs,
 Academia,
 Professional
 Organizations)

Threats

- Loss of Focus
- Reduced Funding







National Personal Protective Technology Laboratory

Scientific Excellence Focus

Maryann D'Alessandro
Associate Director for Science











Academia - SDOs - Government Laboratories - Unions - Labor - Manufacturers







Quality Performance Initiatives

Evaluations

- National Academies involvement in NPPTL
- Scientific information product review
- Benchmarking

Customer and Market Knowledge

- Standards Development Committee Involvement
- Public Meetings and feedback
- Customer Satisfaction Groups (Focus Groups)

Customer Relationships and Satisfaction

- Customer Satisfaction Survey (CSS)
- Direct Customer involvement











Academia - SDOs - Government Laboratories - Unions - Labor - Manufacturers

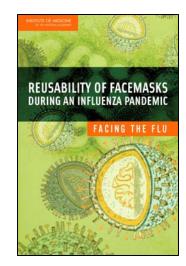






National Academies Involvement in NPPTL

- Committee on PPE for the Workforce (COPPE)
 - Three open meetings in FY06
 - Meeting 1 FY07: Oct 23-24, 2006
 - Workshop: Feb 2007 PPE during an Influenza Pandemic: Research,
 Standards, Certification and Testing Directions
- Review of Anthropometrics Survey and Respirator Panel Modifications
 - Three open meetings in FY06
 - Final report due October 2006
 - Jan Mar 2006 Support to HHS for Committee on the Development of Reusable Facemasks for Use During an Influenza Pandemic
- Review of BLS Survey of Respirator Use
 - Three open meetings in FY06
 - Final report due October 2006
- National Academies Evaluation of Personal Protective Technology (PPT) Cross Sector
 - Evidence Package to National Academies Spring 2007
 - National Academies Evaluation June 2007











NPPTL Customer Satisfaction Survey Method: The Surveys

- Manufacturer & User Surveys
- Survey instruments include:
 - demographic items
 - OPM's core customer satisfaction items
 - NPPTL-specific items
- Surveys pilot-tested in October 2005
- OMB approval for distribution to public: Dec 2005
- Online administration: Dec 5 23, 2005
- Analyze results
- Act on results
- Monitor and evaluate progress

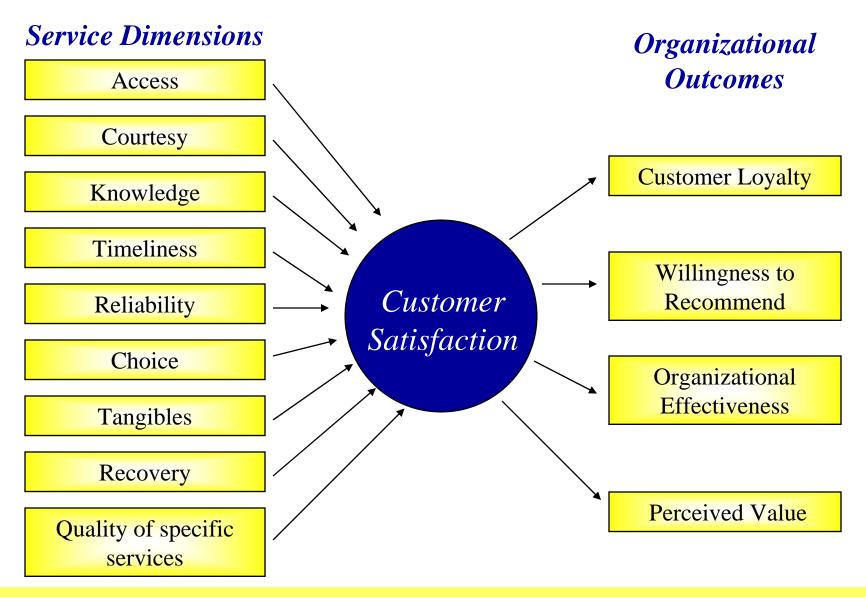








Customer Service Dimensions and Outcomes









NPPTL Customer Satisfaction Survey Results

	Users	Manufacturers
Original Population	666	262
Undeliverables	44	19
Population	622	243
Responses	185	75
Final Response Rate	30%	31%







Guidelines for Interpreting Results

Favorability of Results

• Excellent: 90% - 100% favorable

Good: 80% - 89% favorable

• Acceptable: 66% - 79% favorable

Marginal: 50% - 65% favorable

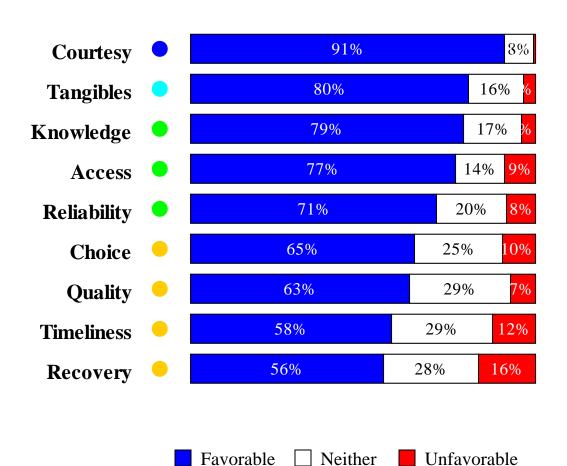
Critical: 0% - 50% favorable







NPPTL CSS Results: Manufacturers



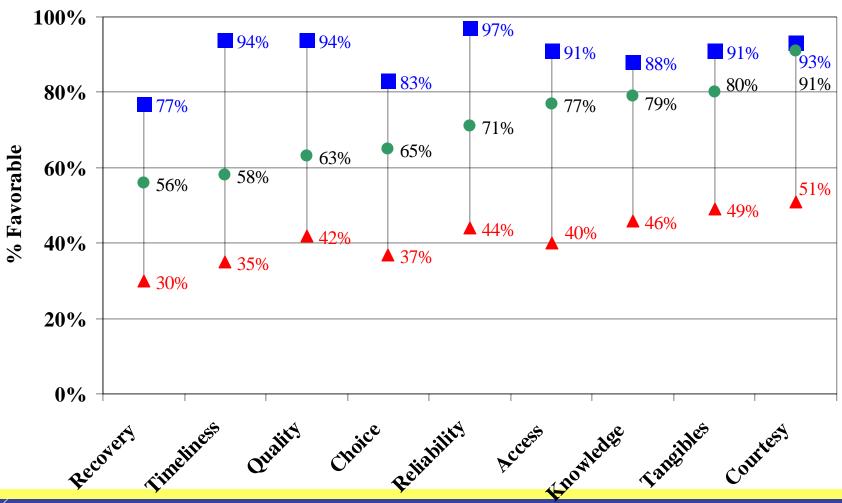






Benchmarks: Manufacturers

■ High Benchmark **▲** Low Benchmark **●** NPPTL-Manufacturers

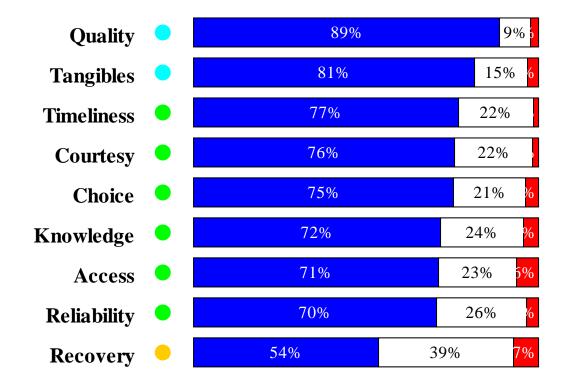


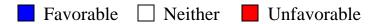






NPPTL CSS Results: Users





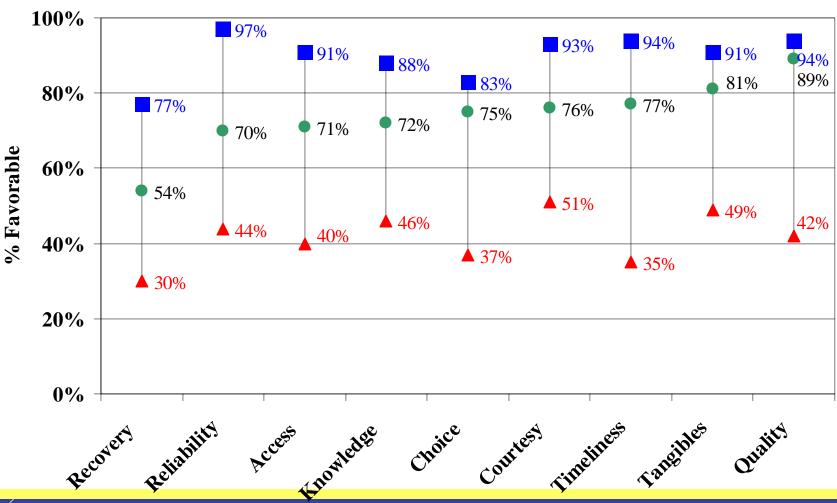






Benchmarks: Users

■ High Benchmark ▲ Low Benchmark ● NPPTL-Manufacturers

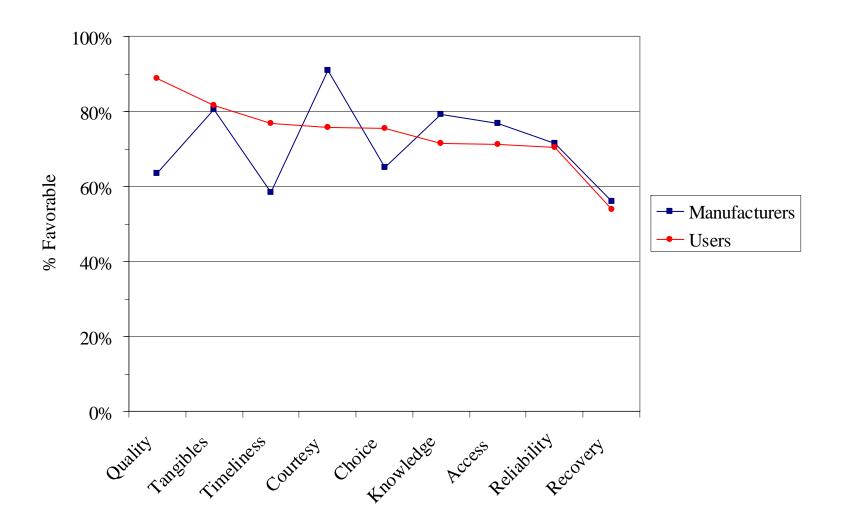








Results: Dimension Profiles









Now that we have the survey results where do we go from here?

- Identify areas to improve within branches
- Create the Customer Satisfaction Groups
 - Keep customers satisfied on an ongoing basis
 - Provide customers easy way to voice concerns/complaints
 - Provide customers easy way to seek more information







Customer Satisfaction Groups

- Get Customer input on a regular basis
 - Groups are a resource for direct customer contact
 - Allows for regular input in keeping up with the changing personal protective equipment market
- Customer Satisfaction Group Results
 - Verify NPPTL improvement areas
 - Verify marketplace opportunities
 - Recommend action plans on specific issues involving NPPTL







Customer Satisfaction Activity at NPPTL Customer Satisfaction Groups



Three meetings in 2006

- Manufacturers Washington, DC Apr 2006
- Fire Services Pittsburgh, PA Sept 2006
- Fire Services Arlington, VA Oct 2006



- Health Care
- Manufacturing
- Manufacturers















Actions to Address Manufacturers' Issues

Quality

- ISO 17025 Certification Project
- Improving standard application form (SAF)
- Improving and posting standard test procedures (STPs)
- Involvement in SDOs to address color coding issues
- Input on Manufacturer's meeting agenda

Timeliness

- Streamlining certification process
- Meeting lead time
- Clarify meaning of 90 day approval

Recovery

- Improving methods for handling requests for additional information
- Moving forward to install more CBRN testing at NIOSH
- Adding additional filter penetration testing equipment
- Manufacturers Arbitration Group
 - Composed of NPPTL experts not directly involved in issue of concern

Research updates

Monthly updates on listserv and ENews







Next Steps

- Continue to act on results
- Monitor and evaluate progress
- Conduct the Second NPPTL Customer Satisfaction Surveys for Manufacturers and PPE Users.

JAN 2007 Finalize survey wording

- FEB 2007 Obtain names and email addresses for customers

MAR 2007 Administer survey

APR 2007 Provide executive briefing and feedback reports







Quality Partnerships Enhance Worker Safety & Health









Contact information: Maryann D'Alessandro - bpj5@cdc.gov

Visit Us at: http://www.cdc.gov/niosh/npptl/default.html

Disclaimer: The findings and conclusions in this presentation have not been formally disseminated by the National Institute for Occupational Safety and Health and should not be construed to represent any agency determination or policy

Thank you







Recovery

Problems and complaints are resolved quickly with minimal effort on the customer's part and problems do not recur.

- Problems and complaints are resolved quickly.
- Problems and complaints are resolved with minimal effort on the customer's part.
- There are well-defined systems for linking customer feedback and complaints to employees who can act on this information.
- I am satisfied with the way the staff handles problems or mistakes.
- The staff is flexible in finding solutions to problems.







Quality

What the customer receives from the service provider or the perception of excellence of the product or service received.

- How would you rate the overall quality of service you received?
- From the list of services below, how would you rate the quality of each specific type of service?







Timeliness

Promptness in receiving or providing promised materials and/or service.

- Overall, NPPTL personnel provide timely service.
- (Other items were customized for this dimension. These items are not used to calculate a dimension score.)







National Personal Protective Technology Laboratory

Respirator Manufacturer's Meeting Crowne Plaza Pittsburgh South Pittsburgh, Pa

October 11, 2006







National Personal Protective Technology Laboratory

Heinz Ahlers - Approval Processing Statistics Update

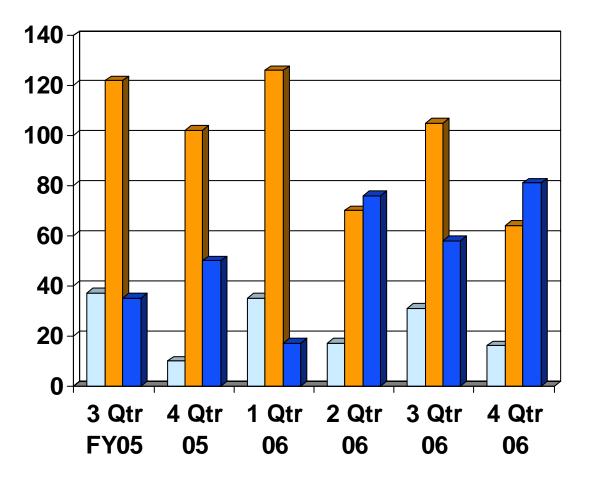
October 11, 2006

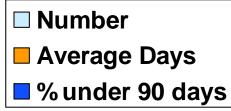






Air Supplying Applications w/o CBRN



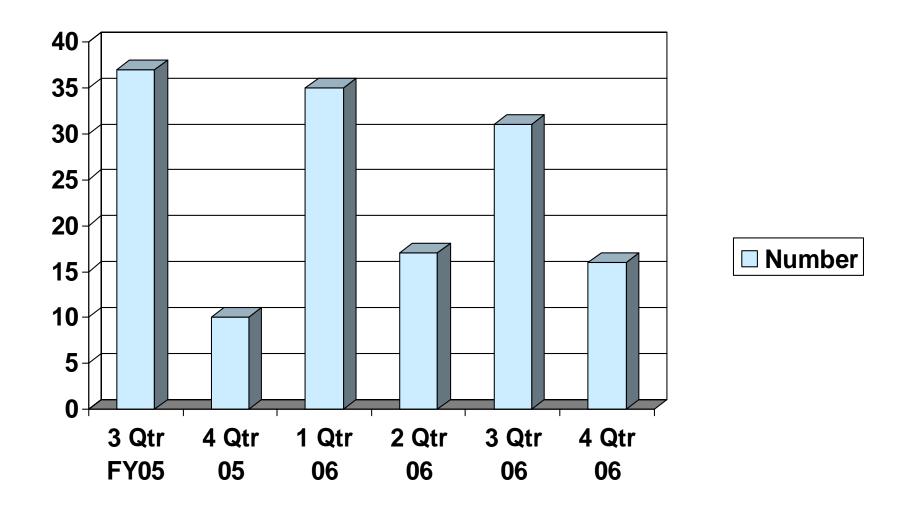








Air Supplying Applications w/o CBRN

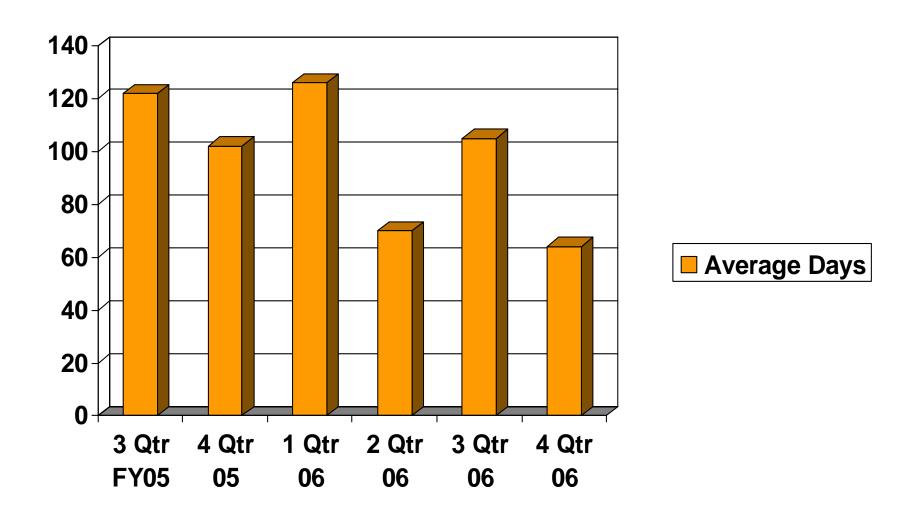








Air Supplying Applications

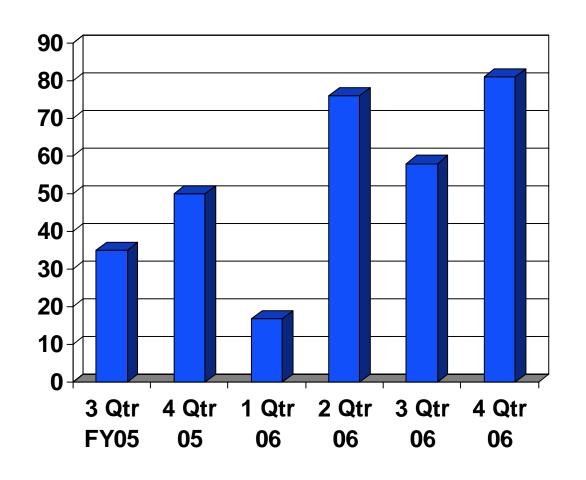








Air Supplying Applications

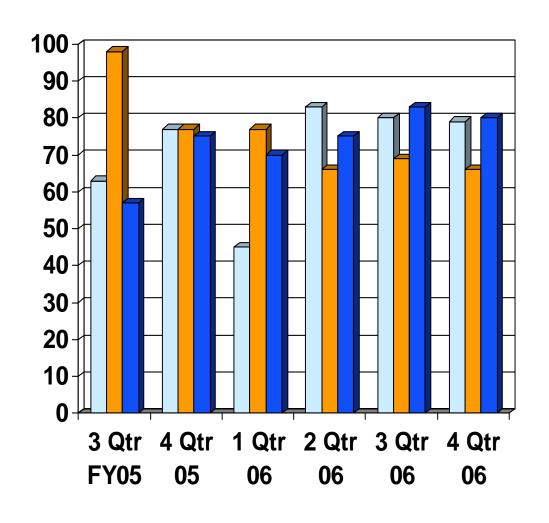


■ % under 90 days







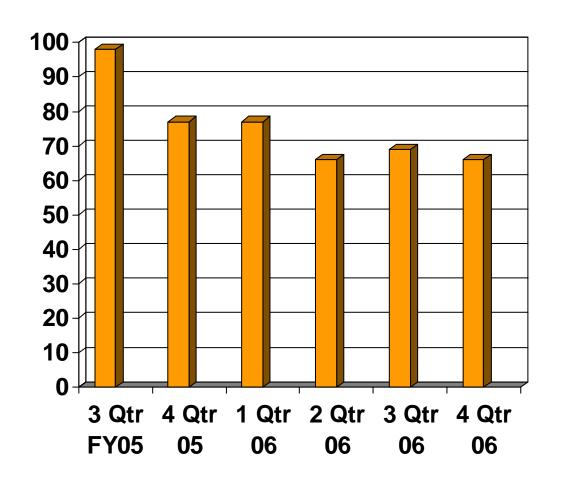










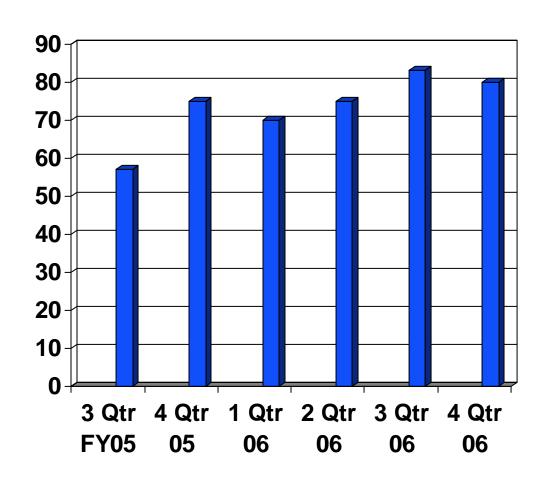


average days







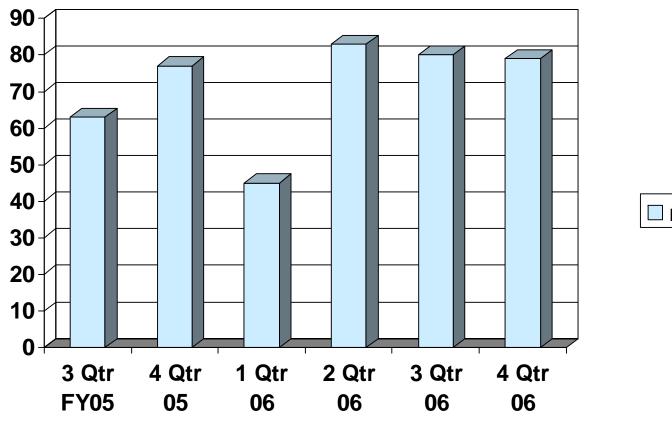


■ % less than 90 days









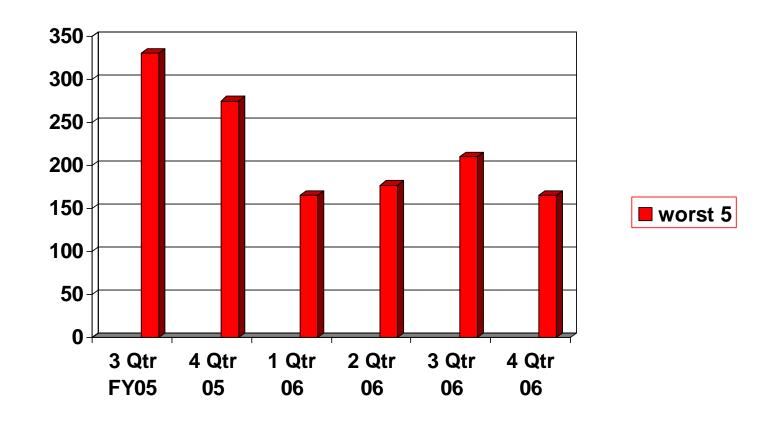
number







Air Purifying Applications Worst 5 Application Times

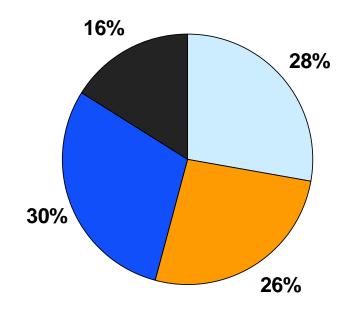








Time Distribution FY 2006



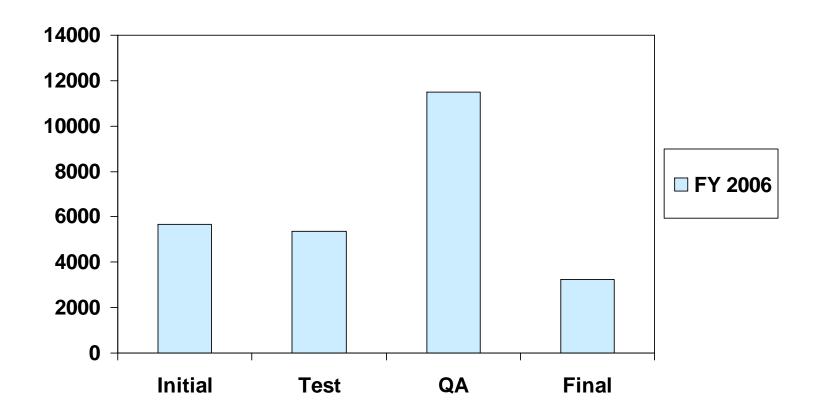








Time Distribution FY 2006









Questions?







Technology Evaluation Branch

Heinz Ahlers Important Initiatives

October 11, 2006







Overview

- DEIMS Update
- NFPA CBRN Update
- "No Test" applications
- Facepiece Fit Testing Canisters
- Approval Fee Update
- CBRN PAPR







DIEMS Update

- Major focus over the next three years
- Improve the Matrix requirements and allow manufacturer access to Matrix information
- Improve the SAF
- Internal search improvements







CBRN PAPR

 There will be two presentations on the CBRN PAPR Standard, please hold questions until the end.







"No Test" Applications

- The "No Test" application has been used in the past to speed processing
- 42 CFR 84.35 (e) gives NIOSH the authority to determine if testing is required.
- NIOSH will tend to require testing.
- Applications for extensions of approval to update drawing revisions that do not involve changes in process or materials will likely have a no test decision







Facepiece Fit Testing Canisters

- Facepiece Fit testing issues will be discussed under other related topics.
- NIOSH intends to allow surrogate test canisters as stated in the May 19, 2006 Letter to All Respirator Manufacturers







Approval Fee Update

- NIOSH testing and approval fees are seriously out of date.
- NIOSH is gathering data on actual testing cost and will generate a new fee schedule proposal.







Questions?







National Personal Protective Technology Laboratory

Terry Thornton -- CBRN PAPR Step 1 Technical Requirements Review

October 11, 2006







NIOSH implementation concept repackaged the standard requirements into a two step approach for the CBRN PAPR:

Step 1

- Implement CBRN PAPR via regulatory authorities.
- Limited elements of new technology (4 additional tests)
 combined with existing 42 CFR Part 84 requirements.

Step 2

- Implement a PAPR 42 CFR Part 84 module via rulemaking.
- CBRN requirements would be a type of PAPR under the new 42 CFR Part 84 module
- Technology advancements addressed through the rulemaking process







CBRN PAPR Step1

Performance criteria under 42 CFR Part 84

Special tests under 42 CFR Part 84.63(c)

- Durability conditioning (tight-fitting)
- Chemical agent permeation and penetration resistance against Distilled Sulfur Mustard (HD) and Sarin (GB)
- Laboratory Respirator Protection Level (LRPL)
- Canister test challenge and test breakthrough concentrations







Minimum Requirements of 42 CFR Part 84

Test #	Title		
1	Initial DOP HE protection (if applicable)		
3	Exhalation resistance, blower off (tight-fitting)		
4	Exhalation valve leakage (if applicable)		
5/5A	IAA fit test		
7	Inhalation resistance with blower off (tight-fitting)		
12	PAPR Air Flow*		
25	Silica Dust		
30	Sound Level (if applicable)		
60	ESLI visibility (if applicable)		
61	ESLI damage resistance (if applicable)		

*115 Lpm for tight-fitting, 170 Lpm for loose-fitting







Durability conditioning - CBRN tight-fitting PAPR only (Reference STP CBRN-0311)

Purpose of Tests:

To perform environmental storage, transportation shock and drop tests on the CBRN tight-fitting PAPR to qualify durability and to detect any <u>initial</u> <u>life cycle failures</u>.

Goal:

To ensure CBRN tight-fitting PAPR provides adequate respiratory protection after being subjected to normal environmental storage, transportation and rough handling conditions by the user.







Test	Test Method	Test Conditions	Duration	Notes
Hot Diurnal Cold Constant	Mil-Std-810F 501.4 Mil-Std-810F	(35°C/ 95°F) to (71°C/ 160°F), 24 Hour cycle Basic Cold, -32°C	3 Weeks Diurnal Cycle 3 Days	PAPR, Battery and Canisters
Humidity	502.4 Mil-Std-810E 507.3	(-24°F), Constant Realistic, Natural Cycle Humidity Profiles in the	5 Days "quick look"	Batteries in MPC as indicated by Users Instructions.
	007.0	U.S. (range 88ºF @ 88%RH– 05ºF @ 59%RH, 24 hr period)	Mil-Std-810E Table 507.3-II	
Transportation Vibration	Mil-Std-810F 514.5	U. S. Roadway Vibration, Unrestrained	12 hours/axis, 3 Axes Total duration = 36 hours = 12,000 miles	Gas Service Life, Filtration (P100) and Filtration After OV Gas Life
Drop Test: In Minimum Packaging Configuration	Canisters Only	1 drop per filter (on one of the 3 axis)	Height of 3 feet	Canisters Only







Live Agent Test (LAT): HD and GB Agent Reference STPs CBRN - 0550 and 0551

- Resists the permeation and penetration of distilled sulfur mustard (HD) and Sarin (GB) chemical agents
- Breathing machine operating at an airflow rate of 40 L/min, 36 respirations per minute, 1.1 liters tidal volume.
- Blower is running and including all components and accessories except for the battery (or batteries)







Live Agent Test (LAT): HD and GB Agent

- Blower is running and including all components and accessories except for the battery (or batteries)
- Eight (8) hour test time, the laboratory shall integrate a power supply delivering the correct voltage and current so that the PAPR operates at the rated flow for the entire test duration.
- The manufacturer will be required to supply the correct rated battery voltage for their PAPR system.
 The manufacturer may be required to supply a means to connect their PAPR system to the power supply, i.e. power leads.







Live Agent Test (LAT): HD and GB Agent

Tight-fitting PAPR

- QLAT performed on two PAPR (one for HD, one for GB)
- Durability Conditioning
- RLAT performed on four PAPR (two for HD and two for GB).

Loose-fitting PAPR

LAT performed on six PAPR (three for HD and three for GB).







LRPL Requirements

Reference STPs CBRN - 0550 and 0551

- All PAPRs (tight-fitting and loose-fitting)
 - -10,000 for $\geq 95\%$ with blower on
- Tight-fitting PAPR
 - -2,000 for $\geq 95\%$ with blower off
 - -Modified, sample size of 8







Test Conditions

- Three tests at 25% RH, 25°C at capacity requested
- Three tests at 80% RH, 25°C at capacity requested
- Canisters tested at 115 Lpm per system
- Cartridges tested at 170 Lpm per system
- Individually tested at flow divided by least number of elements on the system







Tight-fitting Approval

	Test Concentration (ppm)	Breakthrough Concentration (ppm)
Ammonia	2,500	12.5
Cyanogen chloride	300	2
Cyclohexane	2,600	10
Formaldehyde	500	1
Hydrogen cyanide	940	4.7*
Hydrogen sulfide	1,000	5.0
Nitrogen Dioxide	200	1 ppm NO ₂ or 25 ppm NO [†]
Phosgene	250	1.25
Phosphine	300	0.3
Sulfur dioxide	1,500	5







Loose-fitting Approval

	Test Concentration (ppm)	Breakthrough Concentration (ppm)
Ammonia	1,250	12.5
Cyanogen chloride	150	2
Cyclohexane	1,300	10
Formaldehyde	250	1
Hydrogen cyanide	470	4.7*
Hydrogen sulfide	500	5.0
Nitrogen Dioxide	100	1 ppm NO ₂ or 25 ppm NO [†]
Phosgene	125	1.25
Phosphine	150	0.3
Sulfur dioxide	750	5







CBRN PAPR Technical Review Test Times

Filter Capacity	Test Time (min)	Filter Capacity (ppm-min)
Capacity # 1	15	Test Concentration X 15
Capacity # 2	30	Test Concentration X 30
Capacity # 3	45	Test Concentration X 45
Capacity # 4	60	Test Concentration X 60
Capacity # 5	90	Test Concentration X 90
Capacity # 6	120	Test Concentration X 120







Particulate / Aerosol Testing

- Must meet 99.97% efficiency
- Canisters tested at 115 Lpm per system
- Cartridges tested at 170 Lpm per system
- Individually tested at flow divided by least number of elements on the system
- 20 canisters/cartridges against DOP
- 6 canisters / cartridges after cyclohexane







National Personal Protective Technology Laboratory

Jeffrey Peterson -- CBRN PAPR Step 1 Submission Overview

October 11, 2006







CBRN PAPR Step 1 Submission Overview

- Requirements for CBRN PAPR Step 1 Approval
 - Must meet PAPR performance criteria from 42
 CFR Part 84, as applicable
 - Must meet additional performance requirement criteria under NIOSH 42 CFR Part 84.63(c)







- All submittals related to CBRN PAPR approval will be given priority in the processing queues
- Anticipated cost for a CBRN PAPR is approximately \$115,500.00
- Minimum time frame for completion of CBRN PAPR tests is approximately 10-12 weeks







- Options for Submitting Approvals
 - Option 1
 - Submit configuration that is intended to be the CBRN PAPR configuration for 42 CFR 84 approval
 - Can submit unit for HE protection only or other protections. If this
 configuration is to be marketed, the canister cannot carry a dual
 label and will need a unique part number
 - Upon announcement of CBRN PAPR program, submit a new request to have additional testing under 42 CFR 84.63(c) initiated in order to obtain CBRN PAPR approval
 - Option 2
 - Wait for the CBRN PAPR program to be announced and submit CBRN PAPR configuration
 - 42 CFR 84 testing will be done first to demonstrate compliance to 42 CFR 84
 - Upon successful completion of 42 CFR 84 testing, additional testing under 42 CFR 84.63(c) will be initiated







- Option 1 Process
 - Obtain 42 CFR 84 approval of the PAPR system intended to be CBRN PAPR approved
 - After receiving 42 CFR 84 approval, submit a request for a new approval and reference the approval number and NIOSH Task Number under which 42 CFR 84 testing was completed
 - Add CBRN as the last four characters of the applicant assigned reference number (AAR)
 - Put both the 42 CFR 84 and CBRN PAPR on the same assembly Matrix
 - Submit label drafts, pre-test data and quality documents as required for any new submittal
 - Submit the sufficient number of hardware samples required for CBRN evaluation as specified by the Hardware Requirements Guide which will be an interactive web page that manufacturers will have access to
 - Manufacturer will be invoiced and must pay fees prior to receiving approval/denial letter







Option 2 Process

- Submit a request for a new CBRN PAPR approval
 - Add CBRN as the last four characters of the applicant assigned reference number (AAR)
 - Identify only the CBRN PAPR configuration on the assembly Matrix
 - Submit label drafts, pre-test data and quality documents as required for any new submittal
 - Submit the sufficient number of hardware samples required for CBRN evaluation as specified by the Hardware Requirements Guide as well as enough hardware to complete the 42 CFR 84 compliance evaluation as per the Respirator Selection Guide contained in the Standard Application Procedures
 - All 42 CFR 84 testing will be completed before any additional testing required under 42 CFR 84.63(c) will be performed
 - Manufacturer will be invoiced and must pay fees prior to receiving approval/denial letter







- CBRN PAPR's will be marked with a CBRN rating and the canister/label shall be olive (Munshell notation 7.5 Y 5/6)
 - Loose fitting Protections will be listed as CBRN PAPR CAP 1
 - Tight fitting protections will be listed as CBRN PAPR CAP 1
- Standard Cautions and Limitations for CBRN PAPR's
 - Loose fitting CBRN PAPR's will need to have Cautions and Limitations A, B, C, F, H, I, J, L, M, N, O, R, S, Y, GG, QQ, UU, and VV listed as per hand-out
 - Tight fitting CBRN PAPR's will need to have Cautions and Limitations A, F, H, I, J, L, M, N, O, R, S, Y, Z, BB, CC, GG, UU and VV listed as per hand-out







Once PAPR system(s) have been evaluated as per 42 CFR 84 requirements and achieved 42 CFR 84 approval, manufacturers may apply for retrofit kits to upgrade existing 42 CFR 84 approved PAPR's to CBRN PAPR's as per the requirements that will be listed in the final version of the Statement of Standard





Questions?







National Personal Protective Technology Laboratory

John Perrotte
Ann Levitsky

UPDATE OF THE STANDARD APPLICATION FORM REVISIONS

October 11, 2006







STANDARD APPLICATION FORM

Standard Application Form (SAF) Minor Revisions

The SAF and the DEIMS (internal system used to track application information) will be slightly modified in October 2006 to incorporate new information for NFPA and SEI as listed below. This information will be added to the SAF to help processing and coordination of the application between the two agencies.

- Checkbox for CBRN/NFPA Joint Application
- Checkbox for SEI Retrofit

SAF Update

- Review of the SAF for redevelopment is currently in progress
- Upgrade the technology to ASP.NET and SQL Server
- Create an application that is platform independent
- Make SAF more user friendly





STANDARD APPLICATION PROCEDURE

Revision 1 of the "Standard Application Procedure for the Certification of Respirators under 42 CFR Part 84" was released in July 2005.

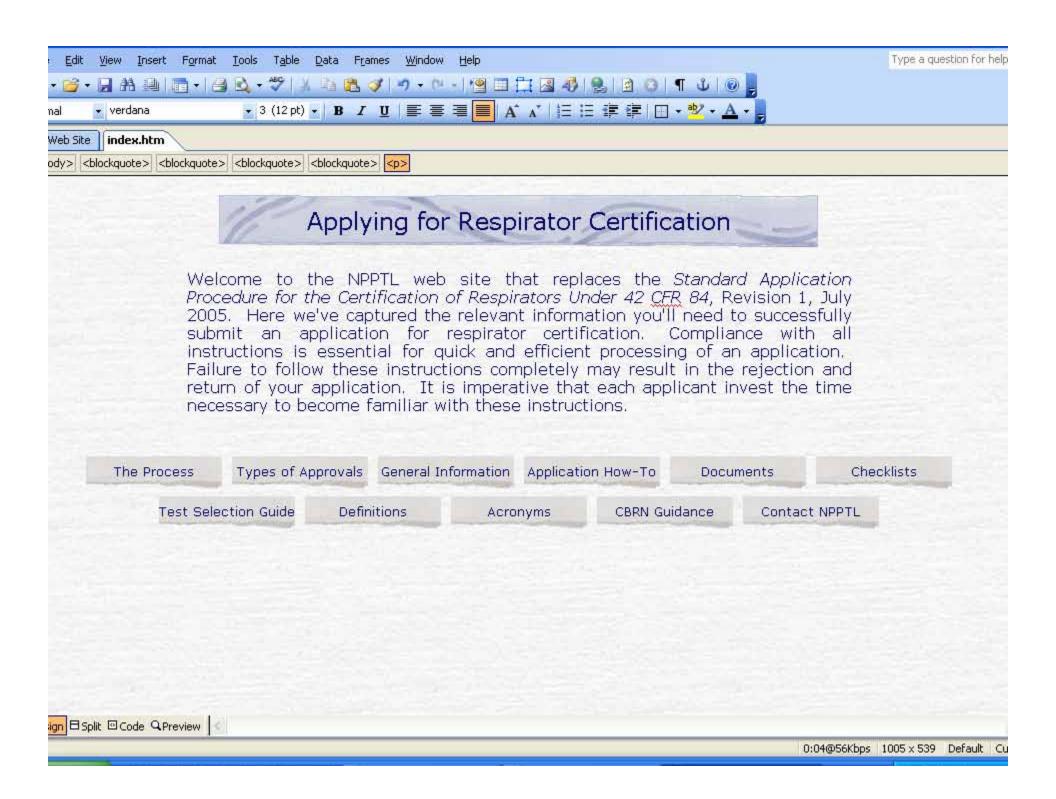
A definite improvement over the original issue, but still hard to find information.

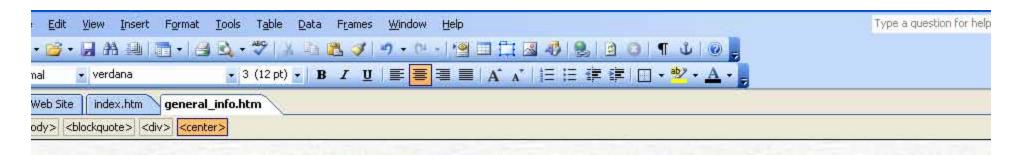
A web-based interactive procedure is being developed and should be available by March 2007.











General Information

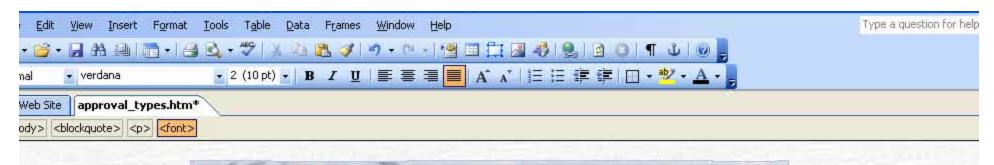
New Approval and Extension of Approval applications must contain the following items. If any of the items are not submitted, the applicant must state the reason why, i.e., "has not changed since TN-xxxxx."

_

Assembly Matrix Service Life Plans User's Instructions PQP QA Manual Test Samples and Ha

Pre-Test Data Drawing Info File Naming Cautions/Limitations Protections Approval Schedules

NIOSH Documents Denial Criteria Fees



Types of Approvals

There are three basic types of applications for which NIOSH issues formal certificates of approval when the submitted items meet all of the applicable requirements of 42 CFR Part 84:

New Approvals Extension of Approva QA

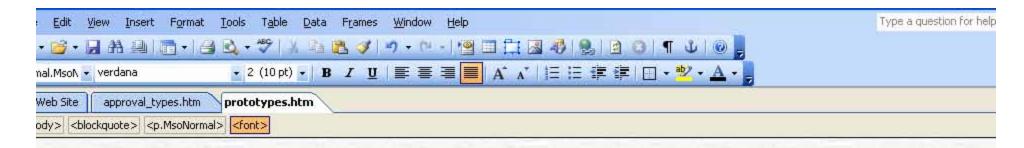
Products may be submitted for certification while still in the <u>prototype</u> stage. However, NIOSH will not issue a formal certificate of approval for prototype hardware. In addition to the three approval types listed above, you may sometimes have to re-submit an application for approval or submit an Amended Application:

RESUBMITTAL APPLICATIONS

If your application is for hardware or documentation that has been previously submitted to NIOSH and denied, select request type 'Resubmittal of New' or 'Resubmittal of Extension' as appropriate. The Reason for Application must include the changes made to address the product or documentation deficiencies, an explanation why the product or documentation now meets NIOSH requirements, and the task number (TN) of the previously denied application. Failure to provide this information will result in your application being returned, unprocessed.

AMENDED APPLICATIONS

An amended application is submitted only at NIOSH's request, and is used on open applications that have an inaccuracy somewhere in the application. Manufacturers should submit only the portion of the application requested by NIOSH. The application will retain the same Applicant-Assigned Reference Number and NIOSH-assigned Task Number. NIOSH will advise the applicant as to any additional documents required to be submitted for an amended application.



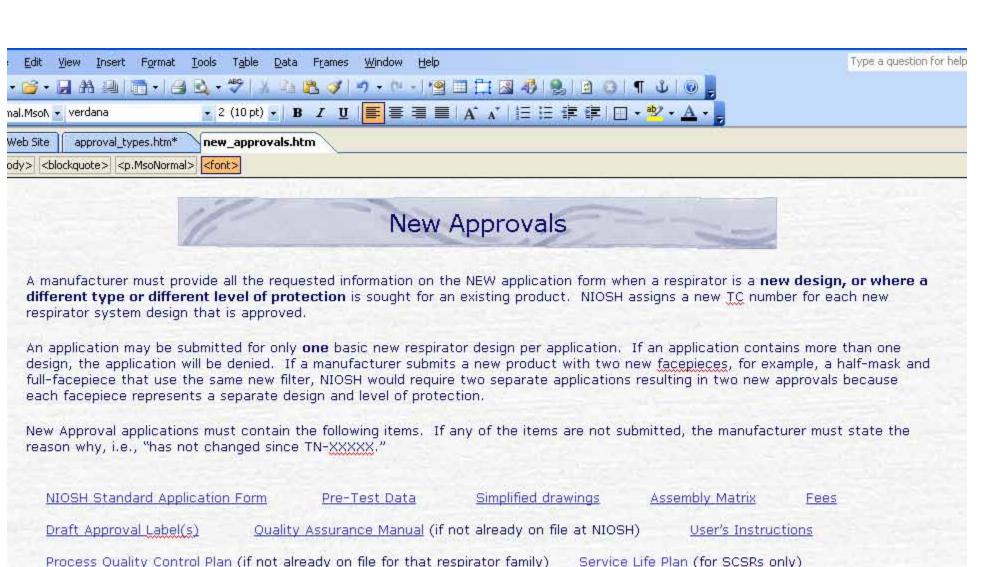
Prototypes

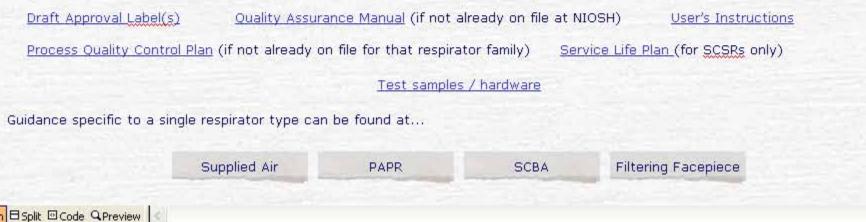
Respirators may be submitted for certification while still in the prototype stage. However, NIOSH will not issue a formal certificate of approval for prototype hardware. NIOSH issues certificates of approval only for individual, completely assembled respirators that are indicative of regular production units and which meet the applicable construction, performance, and respiratory protection requirements set forth in 42 CFR Part 84.

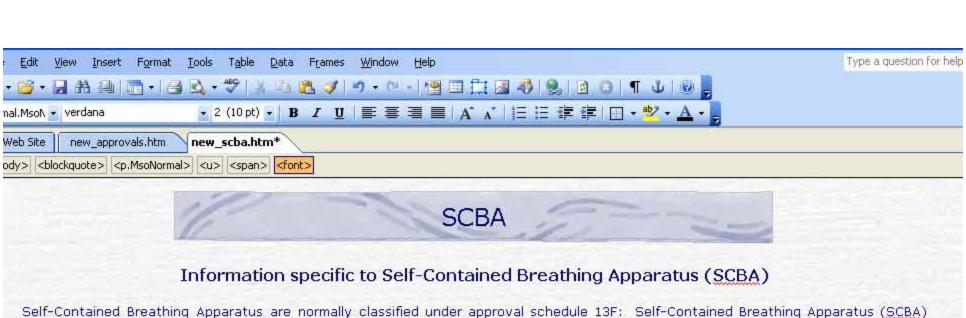
A prototype unit is defined as a respirator that (a) involves a new design produced using temporary molds, non-production tooling, or regular production tooling in a new fashion, and (b) has demonstrated by the manufacturer's pre-testing to meet 42 CFR 84 minimum design and performance requirements.

Submit a prototype respirator using a NEW application form. You must state "prototype testing only - product is not submitted for certification" in the 'Reason for Application' section. Pre-test data must be submitted. NIOSH will examine, inspect, and test the prototype respirator in accordance with 42 CFR Part 84. If it is found that the respirator meets the minimum requirements set forth in 42 CFR Part 84, NIOSH will inform the applicant in writing of the results of the examinations, inspections, and tests.

NIOSH may request samples made on regular production tooling and production quality control (Ref. 84.30 (c)).







for entry or escape, demand or pressure-demand, open-circuit or closed-circuit, Self-Contained Self-Rescuers (SCSR), and combination escape only Self-Contained Breathing Apparatus/Supplied-Air Respirator (ESCBA/SAR).

The approval labels must be included in the User's Instructions for all Air-Supplied Respirators, including combination airpurifying/supplied-air respirators and combination gas mask/supplied-air respirators. The approval label may be an insert in the User's Instructions.

Major sub-assemblies which must be listed on the approval labels and assembly matrix (if they are part of the respirator assembly) include, but are not limited to:

Facepiece (including hood, helmet, etc.)

Breathing tube

Regulator assembly

Pneumatic assembly

Harness and backpack assembly

Cylinder and valve assembly Accessories (optional on approval label, required on assembly matrix)

Service life plan (SCSR only) and User's Instructions (required on assembly matrix)

Links to document examples specific to SCBAs:

Assembly matrix, Continuous-flow SAR

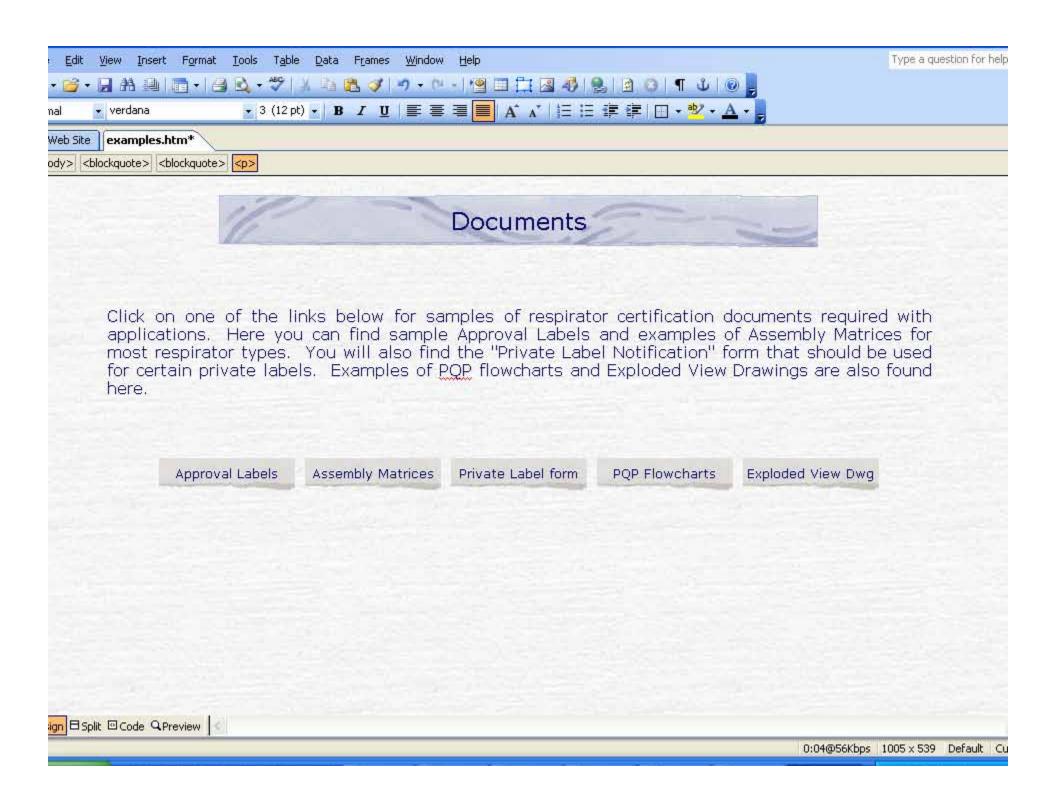
SCBA drawing checklist

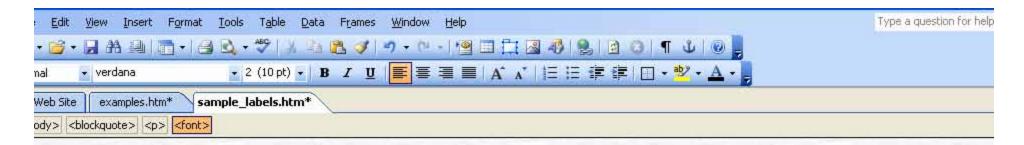
SCSR drawing checklist

Draft approval label for combination SCBA/SAR

Draft approval label for SCBA

Draft approval label for SAR with egress cartridges





Approval Labels

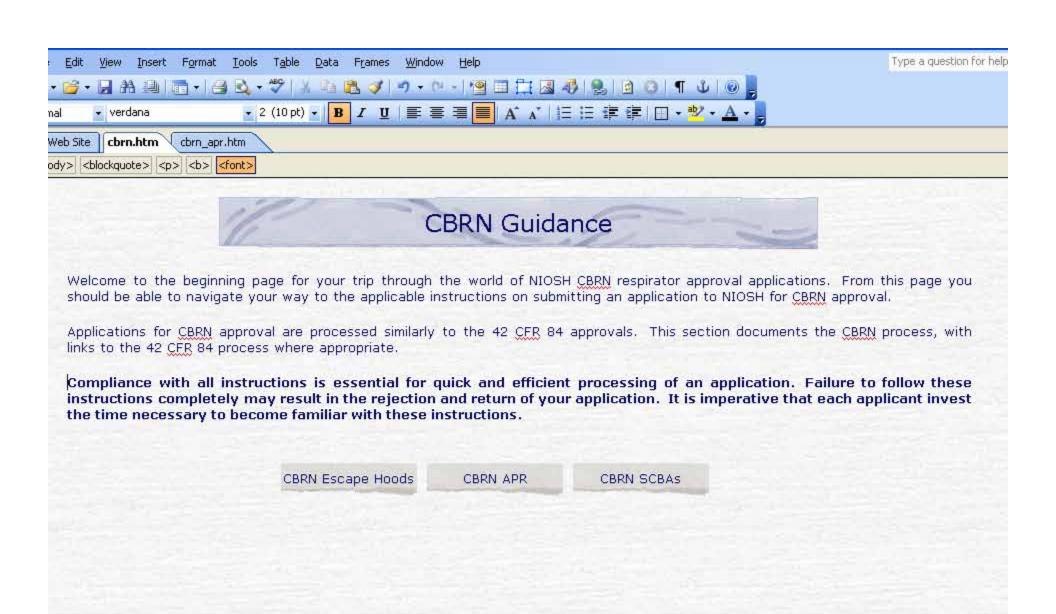
Approval labels used in final User's Instructions, on packaging, or on devices must be legible. Labeling requirements will vary based on the type and intended use of the respirator. To see sample label formats for different respirator types, click on a button at the bottom of this page. The list of protections must be in the same order and identical in every way to the matrix. Submit draft versions of the appropriate labels. If you're not able to submit draft labels, you must obtain pre-authorization from NIOSH for each application.

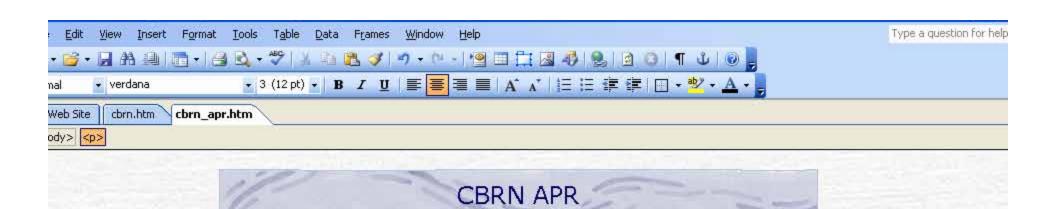
Labels must be submitted for all New Approvals and for Extensions of Approval where the components change. Labels must be done in Excel and follow the format of the examples below. All major sub-assemblies in the approved respirator configuration must be on the approval label. Accessories may be listed on the approval label, but are not required. Due to the large size of the files when the NIOSH and DHHS logos are imbedded, NIOSH will accept draft labels with the location of the logos noted. The manufacturer is responsible for inserting the logos during label production. Approval Labels may not contain future submittals or show unapproved assemblies.

If the respirator contains electrical components and the manufacturer wishes to list the product **on the NIOSH approval label** as intrinsically safe, first obtain intrinsic safety approval from the Mine Safety and Health Administration (MSHA) under Title 30, CFR, Part 18 and submit verification of such approval in the application.

Click on a link below to view a sample approval label:



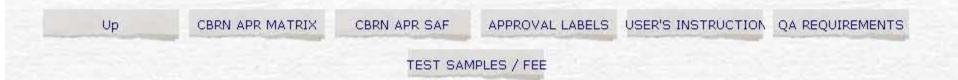


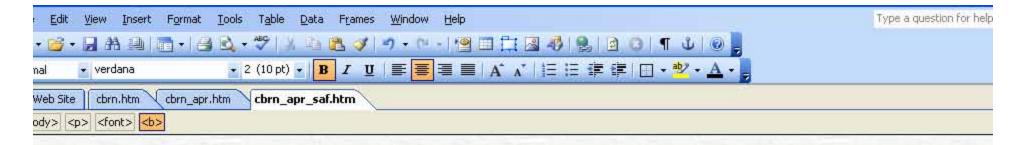


Instructions for New Air-Purifying Respirator CBRN Approval

GENERAL

- a. The applicant may request approval in one of two ways: 1) as a complete application with all the required hardware and all presubmission test data except for GB and HD testing, or 2) in an abbreviated format (qualifier application) that consists of three test samples along with an application. It is not necessary to provide any pre-submission test data with a qualifier application. For both types of applications, all of the remaining required documentation (e.g., matrices, draft labels, drawings, etc.) must be provided in a timely manner.
- b. The qualifier application is offered as a way to mitigate the full cost of testing for hardware that may fail critical tests. The applicant will be notified after the first-stage Live-Agent Testing (LAT) is completed. Upon successful completion of first-stage LAT, the applicant will have up to 8 weeks to submit an amended application that must include all pre-submission test data, the list of test equipment, and the remainder of the test hardware.
- c. An invoice for payment of all fees will be submitted to the applicant at the conclusion of the tests.





CBRN APR SAF

STANDARD APPLICATION FORM

Start with a New Approval application form. Follow the form completion guidance except as modified below:

- a. Add the suffix "CBRN" to your Applicant Assigned Reference Number.
- b. Include in the "Reason for Application," the phrase "This request is for a new CBRN APR configuration." State the capacity of the respirator and include the respirator description and all related part numbers for components and accessories as identified on the assembly matrix.
- c. Select the type of respirator as "Gas and Vapor." Skip the selections for specific contaminants and select "Other Gasses and Vapors." Then type in CBRN Cap X where X is the cartridge capacity. Capacity is defined as follows: Cap 1 = minimum 15 minutes test time, Cap 2 = minimum 30 minutes test time, etc.
- d. The components and accessories to be tested at RDECOM must be clearly identified as the "worst case" configuration. The logic and rationale for determining the worst case configuration, i.e. engineering or fault analyses, must be clearly stated. Applicants must be aware that if multiple accessories are submitted for approval, additional QLAT and RLAT tests may be required to verify the integrity of all components against Live Agents.
- e. Complete the remainder of the application form in the usual manner

Up CBRN APR MATRIX CBRN APR SAF APPROVAL LABELS USER'S INSTRUCTION QA REQUIREMENTS

TEST SAMPLES / FEE

STANDARD APPLICATION PROCEDURE

- Are there any volunteers to kick the tires on the pre-release procedure once it's on the internet?
- It's YOUR procedure and any comments and suggestions for improvement will gladly be accepted.
- Please see Ann sometime today, or send email to <u>AOL&@CDC.GOV</u> and she'll send you the URL once the procedure is ready for review.







Questions?







National Personal Protective Technology Laboratory

John Perrotte

DEIMS and CEL Update

October 11, 2006







Certified Equipment List and DEIMS Update

Certified Equipment List – Updates and Redevelopment

- CEL updated October 3, 2006--monthly updates planned
- Why CEL Website had not been updated
 - Processes failed to complete, which required manual intervention
 - Software updates applied and/or technology changes required
 - Software application and methods currently defined for data storage
- CEL Redevelopment
 - Objective: Provide a real time product that meets the needs of stakeholders, manufacturers, and NPPTL
 - Redefine the current business process used for updates
 - Develop and modernize the application storage/update procedures
 - Eliminate MS Access databases and transfer to SQL Server tables







Certified Equipment List and DEIMS Update

- DEIMS Update (What we are doing to improve DEIMS, the internal system used to track application information?)
 - Enhance and improve each business process
 - Upgrade the technology to ASP.NET and SQL Server
 - Create a browser-based application that is platform independent
 - Provide the same functionality to stakeholders and manufacturers
- Currently the DEIMS redevelopment will begin with the following project phases
 - Standard Application Form (SAF)
 - Assembly Matrix and Manufacturer Database
 - Respirator Audit Logic (RAL)
 - Certified Equipment List (CEL)
 - AS Lab data







Questions?







National Personal Protective Technology Laboratory

Gary Walbert
Upgrade of CO₂ Dead Space Test System

October 11, 2006







- Objectives for Upgrading the CO₂ Dead Space Test System
 - Improve accuracy in setting test conditions and performing data analysis
 - Reduce variability from test to test make more repeatable and less subjective
 - Allow manufacturers to duplicate the test system using commercially available components for direct correlation with NIOSH testing and certification data results







- What has happened since December, 2005?
 - Received Sheffield Head headform and halftorso
 - Received breathing machine
 - Received all remaining test components including instruments, tubing, fittings, valves and calibration gases







- What has happened since December, 2005?
 - Completed installation of all equipment and instruments and assembly of the instrument cabinet
 - Installed personal computer, data acquisition system (DAS) input/output (I/O) device, and LabVIEW software application for data monitoring/recording
 - Connected instruments to DAS I/O device







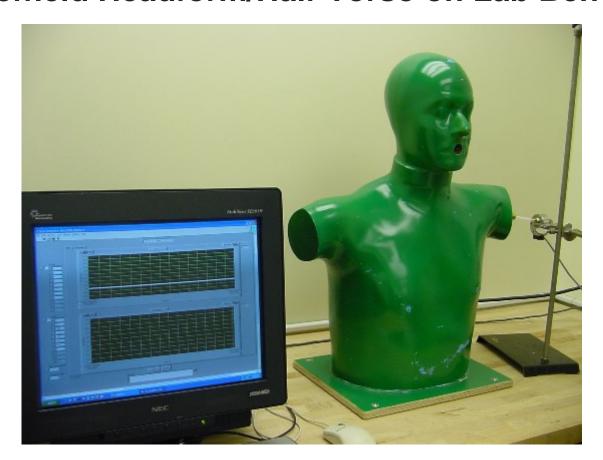
- What has happened since December, 2005?
 - Tuned and calibrated instruments
 - Performed shakedown testing







Sheffield Headform/Half-Torso on Lab Bench









Instrument Cabinet









- What has happened since December, 2005?
 - Relocated existing CO₂ Dead Space Test
 System from B37 to the new test system lab in B21
 - Made alternate connections of the existing test system's CO₂ gas analyzer and pressure transducer outputs to the new test system's DAS - Not using strip chart recorder to record data







Existing Test System in New Lab









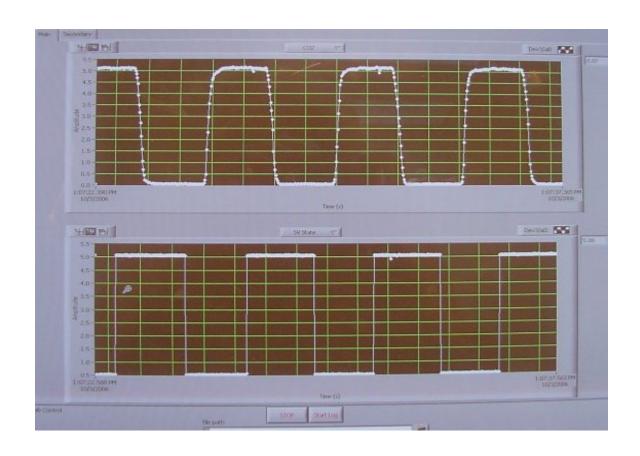
- Data Analysis System
 - Data monitoring/recording system powered by custom-made LabVIEW software application
 - Data recorded/monitored are Date/Time, CO₂,
 O₂, Facepiece Resistance, and Breathing Gas
 ON/OFF Solenoid Valves' status
 - Data recording interval is 25 milliseconds or 4 times more frequent than the existing test system's data recording frequency when using a strip chart recorder







CO₂ (Top) / Solenoid Valves' State (Bottom) –
 During Blank Run at New Test System

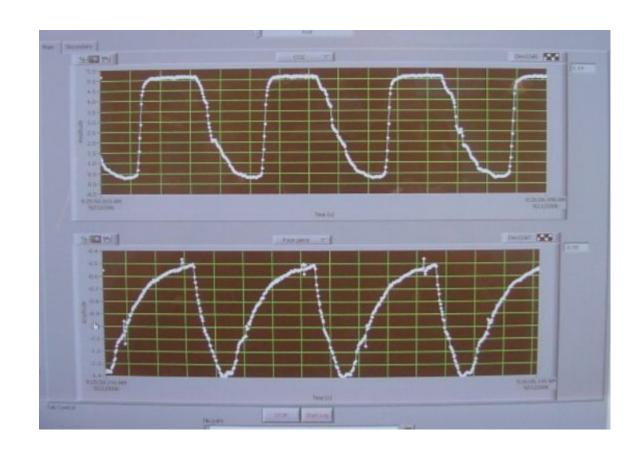








CO₂ (Top) / Facepiece Resistance (Bottom) –
 During Respirator On Run at New Test System









Data Analysis Tools

- More frequent data recording rate facilitates use of abrupt change in facepiece resistance as indicator for the start and end of the inhalation phase of the breathing cycle
- Exhalation and Inhalation ON/OFF solenoid valves' actuation time is also recorded and this can be used to identify the end of the inhalation phase of the breathing cycle







New Test System – Start of Inhalation Phase – Respirator On

Date/Time	Solenoid Valve State	CO ₂ Concentration, %	Facepiece Resistance	Delta Pressure
45:41.7	5	5.03	-0.3055	+0.0104
45:41.7	5	5.01	-0.3079	-0.0024
45:41.7	5	5.02	-0.3122	-0.0043
45:41.7	5	5.02	-0.3076	+0.0046
45:41.8	5	4.98	-0.3067	+0.0009
45:41.8	5	4.94	-0.3159	-0.0092
45:41.8	5	4.91	-0.3265	-0.0107
45:41.8	5	4.90	-0.3790	-0.0525
45:41.9	5	4.86	-0.3973	-0.0183
45:41.9	5	4.87	-0.4254	-0.0281
45:41.9	5	4.85	-0.4440	-0.0186
45:41.9	5	4.75	-0.4626	-0.0186
45:42.0	5	4.67	-0.4880	-0.0254
45:42.0	5	4.61	-0.5240	-0.0360
45:42.0	5	4.50	-0.5200	+0.0040







New Test System – End of Inhalation Phase – Respirator On

Date/Time	Solenoid Valve State	CO ₂ Concentration, %	Facepiece Resistance	Delta Pressure	
51:09.3	5	0.44	-1.1676	+0.0024	
51:09.3	5	0.42	-1.1563	+0.0113	
51:09.4	5	0.42	-1.1502	+0.0061	
51:09.4	5	0.44	-1.1407	+0.0095	
51:09.4	5	0.43	-1.1383	+0.0024	
51:09.4	5	0.44	-1.1340	+0.0043	
51:09.5	1	0.41	-1.1234	+0.0107	
51:09.5	1	0.42	-1.1047	+0.0186	
51:09.5	1	0.41	-0.8356	+0.2692	
51:09.5	1	0.41	-1.1099	-0.2744	
51:09.6	1	0.41	-1.0324	+0.0775	
51:09.6	1	0.42	-1.0672	-0.0348	
51:09.6	1	0.41	-1.0483	+0.0189	
51:09.6	1	0.42	-1.0187	+0.0296	
51:09.7	1	0.42	-0.9848	+0.0339	







- Improve Data Quality Through Statistical Analysis
 - Reviewing current and previous test data to quantify the variability in test results across differing numbers of cycles for both the blank measurements and the respirator on measurements, with the end objective of determining how many cycles need to be run to reach an acceptable level of precision
 - Summary statistics will be used to descriptively characterize differences in test results between the existing and new test systems







- Recent test results show that more blank cycles are better
- Comparison of 3-cycle blanks vs. 12-cycle blanks shown below

Time	09:30	10:48	11:56	1:36	2:52	3:32	3:37
Blank CO ₂ (3 cycles)	0.69	0.64	0.70	0.76	0.82	0.72	0.81
Blank CO ₂ (12 cycles)	0.80	0.81	0.82	0.71	0.89	0.84	0.85







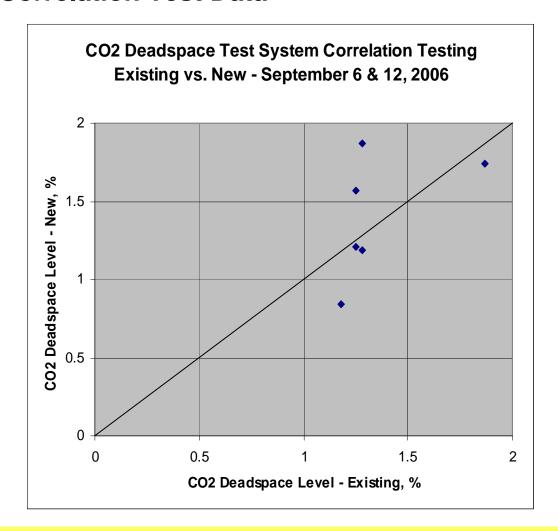
- Correlation Tests between Existing and New Test Systems
 - Main objective is to equate test results from the new test system with test results previously obtained from the existing test system
 - Modifications in equipment and test procedures will be made to the new test system as necessary to achieve this objective
 - Recent test results show that CO₂ Deadspace Levels measured by the new test system are generally equal to or higher than those measured by the existing test system







Recent Correlation Test Data

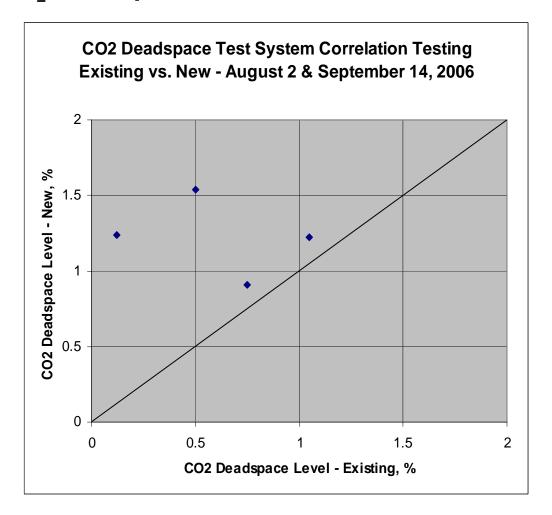








Recent CO₂ Deadspace Test Data









- Schedule for Completion of Correlation Testing
 - Unable to make this determination at the present time
- Existing test system will continue to be used for certification testing, but with the use of the new data monitoring/DAS







Questions?







National Personal Protective Technology Laboratory

Ron Powelko, M.S.

Quality Assurance Specialist

John Perrotte
Manager Enterprise Level Information
Systems

Respirator Audit Logic Concept Update

October 11, 2006







Respirator Audit Logic (RAL)

- Update on the RAL (Respirator Audit Logic) that was presented on April 27, 2006 at the Marriott Key Bridge in Arlington, VA. The information pertaining to the RAL is currently posted on the NPPTL internet website.
- Letter to all NIOSH approval holders to determine the production status of approved respirators was sent out in early August.
- Responses received from NIOSH approval holders is currently 32 out of 87.
- Original deadline of October 2006 will be extended until December 2006 to give NIOSH approval holders additional time to complete and respond to the request for the production status of approved respirators.
- Follow up reminder will be sent to all NIOSH approval holders in November 2006. This is simply a reminder to complete the information request for the RAL database.







Respirator Audit Logic (RAL)

Phase 1:

- Introduce the RAL Concept at the manufacturers' meeting on April 27, 2006 (Complete)
- Send a letter to all NIOSH Approval holders to determine production status of approved respirators by July 2006 to be returned by October 2006 (Complete)
- Receive comments and feedback on the concept or questions regarding the production status of approved respirators by December 2006 (In progress)

Phase 2

- Evaluate and develop detailed specifications for the development of the RAL and integration into the DEIMS (In progress)
- Incorporate the information for the RAL into the DEIMS (January March 2007)
- Modify the SAF to incorporate the necessary information related to the RAL (April - May 2007)







Respirator Audit Logic (RAL)

Phase 3

- Validate the information in the CEL, DEIMS (Mfg Information) and information received from the NIOSH Approval Holders
- Validation testing of the RAL to ensure calculation and reporting is correct (June 2007)
- Status report to manufacturers (Summer 2007)
- Implementation of the RAL (Summer 2007)

Please review the RAL document at the NPPTL Webpage listed below and email any comments regarding the document to the NPPTL email address below.

http://www.cdc.gov/niosh/npptl/resources/certpgmspt/meetings/042706/pdfs/MMPresent.pdf









Questions?







Technology Evaluation Branch

Heinz Ahlers Other Respirator Topics

October 11, 2006







Facepiece Fit Testing Canisters

May 19, 2006 letter

- Cartridge, canister and/or filter weight plays an essential role in respirator fit.
- User's Instructions will indicate that the fit test should be performed with the exact configuration that is to be worn by the end user in the workplace
- Where the configuration that is to be worn by the end user is not appropriate for fit testing, a specific respirator facepiece with cartridges, canisters and/or filters representative in weight and configuration may be used for fit testing.







Fit Testing Continued

• Surrogate canisters are acceptable.







Testing on Extensions of Approvals

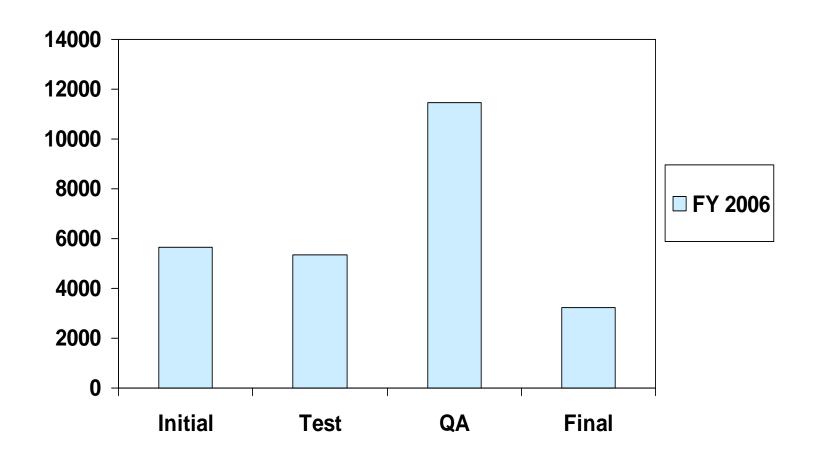
- 42 CFR 84.35 (e) gives NIOSH the authority to determine if testing is required.
- NIOSH will tend to require testing.
- Applications for extensions of approval to update drawing revisions that do not involve changes in process or materials will likely have a no test decision







Time Distribution FY 2006

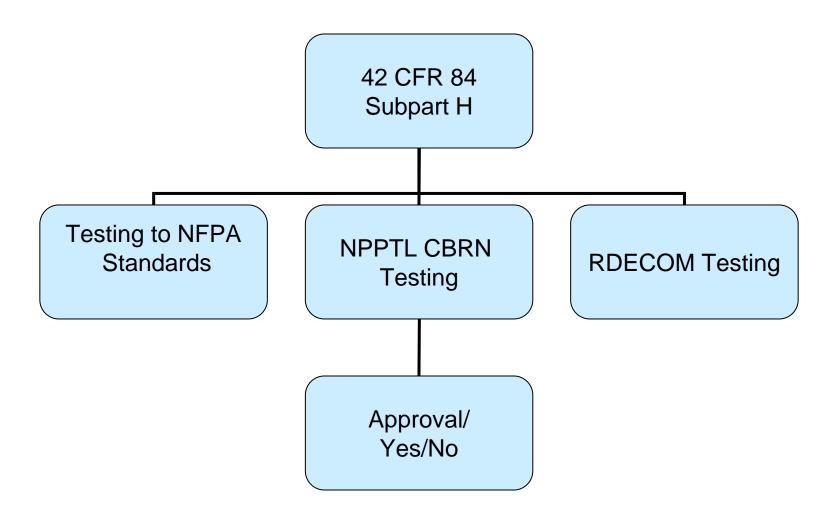








NFPA NIOSH CBRN Approval









Questions?





