

NIOSH HEALTH HAZARD EVALUATION REPORT

HETA #2001-0030-3020 Carolinas Medical Center Charlotte, North Carolina

Nobember 2006

DEPARTMENT OF HEALTH AND HUMAN SERVICES Centers for Disease Control and Prevention National Institute for Occupational Safety and Health



PREFACE

The Hazard Evaluation and Technical Assistance Branch (HETAB) of the National Institute for Occupational Safety and Health (NIOSH) conducts field investigations of possible health hazards in the workplace. These investigations are conducted under the authority of Section 20(a)(6) of the Occupational Safety and Health (OSHA) Act of 1970, 29 U.S.C. 669(a)(6) which authorizes the Secretary of Health and Human Services, following a written request from any employers or authorized representative of employees, to determine whether any substance normally found in the place of employment has potentially toxic effects in such concentrations as used or found.

HETAB also provides, upon request, technical and consultative assistance to federal, state, and local agencies; labor; industry; and other groups or individuals to control occupational health hazards and to prevent related trauma and disease. Mention of company names or products does not constitute endorsement by NIOSH.

ACKNOWLEDGMENTS AND AVAILABILITY OF REPORT

This report was prepared by Bradley King and Joel McCullough of HETAB, Division of Surveillance, Hazard Evaluations and Field Studies (DSHEFS). Field assistance was provided by Joshua Harney. Analytical support was provided by DataChem Laboratories, Inc., (Salt Lake City, Utah). Desktop publishing was performed by Robin Smith. Editorial assistance was provided by Ellen Galloway.

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For the purpose of informing affected employees, copies of this report shall be posted by the employer in a prominent place accessible to the employees for a period of 30 calendar days.

Highlights of the NIOSH Health Hazard Evaluation

The National Institute for Occupational Safety and Health (NIOSH) received a confidential employee request for a health hazard evaluation (HHE) at Carolinas Medical Center in Charlotte, North Carolina. This request noted that employees had concerns regarding the health effects of exposure to byproducts of surgical smoke. These byproducts are produced during surgical operations where electrocautery knives are used. NIOSH investigators conducted investigations in June and July 2001.

What NIOSH Did

- We tested the air for chemicals commonly found in surgical smoke produced by electrocautery knives during surgery.
- We asked employees about health symptoms they feel are associated with exposures to the surgical smoke.

What NIOSH Found

- Of the compounds tested, formaldehyde, acetaldehyde, and toluene were found to have measureable levels in the air.
- Levels of these compounds were below the relevant criteria for occupational exposure.
- Of the employees surveyed, 36% reported at least one symptom they associated with surgical smoke exposure.
- Forty-six percent of employees described irritation of their eyes and upper respiratory tract after surgical smoke exposure.

• Fifty-five percent of employees reported annoyance with the odor from the surgical smoke.

What Carolinas Medical Center Managers Can Do

Implement engineering controls during procedures where surgical smoke is produced. Recommended ventilation techniques include using local exhaust ventilation as close as possible to the point of smoke production combined with general room ventilation.

What the Carolinas Medical Center Employees Can Do

Report instances of health symptoms thought to be associated with exposure to surgical smoke to the hospital's occupational health staff.



What To Do For More Information: We encourage you to read the full report. If you would like a copy, either ask your health and safety representative to make you a copy or call 1-513-841-4252 and ask for HETA Report #2001-0030-3020



Health Hazard Evaluation Report 2001-0030-3020 Carolinas Medical Center Charlotte, North Carolina November 2006

Bradley King, MPH, CIH Joel McCullough, MD, MPH

SUMMARY

On October 20, 2000, the National Institute for Occupational Safety and Health (NIOSH) received a confidential employee request for a health hazard evaluation at Carolinas Medical Center in Charlotte, North Carolina. The request noted concerns from surgery department employees in regard to exposure to surgical smoke and symptoms of allergies, respiratory irritation, nausea, and autoimmune disorders reportedly associated with such exposure.

In July 2001, NIOSH investigators conducted a site visit to the facility and met with management and employee representatives. A return site visit was made in July 2001. A questionnaire regarding symptoms potentially associated with exposure to surgical smoke byproducts was distributed to employees of the surgery department. Personal breathing zone and area air samples were collected during 15 procedures over 3 days for compounds commonly found in surgical smoke plume. These substances included volatile organic compounds (including benzene, toluene, and xylene), acrolein, phenol, cresols, hydrogen cyanide, formaldehyde, acetaldehyde, polycyclic aromatic compounds, and carbon monoxide.

Although exposures to chemical compounds above the permitted or recommended limits were not identified, low concentrations of compounds found in surgical smoke may be sufficient to cause irritative effects on the eyes and mucous membranes, especially in sensitive individuals. In fact, greater than a third of employees surveyed associated at least one symptom with exposure to surgical smoke and most employees surveyed found the odor associated with surgical smoke annoying and/or objectionable. Although not studied in this evaluation, past NIOSH research has also shown the possibility of mutagenic airborne particulates being present in surgical smoke. The use of engineering controls such as a smoke evacuator is recommended to reduce the levels of surgical smoke in the operating rooms.

Keywords: SIC 8062 (General Medical and Surgical Hospitals), NAICS 622110 (General Medical and Surgical Hospitals), surgical smoke, laser, electrocautery, eye irritation, throat irritation, asthma symptoms, hospitals, surgery, formaldehyde, acetaldehyde, toluene.

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NTRODUCTION

On October 20, 2000, the National Institute for Occupational Safety and Health (NIOSH) received a confidential employee request for a health hazard evaluation at Carolinas Medical Center in Charlotte, North Carolina. The request noted concerns from surgery department employees of health effects from exposure to components of surgical smoke in the operating room. Specifically, symptoms such as burning and watery eyes, respiratory symptoms, and nausea were reported.

On June 12-13, 2001, NIOSH medical and industrial hygiene investigators visited the facility to conduct an initial site visit. An opening conference was held with management and employee representatives to discuss the request, and a walk-through of the facility was conducted to gain an understanding of its layout and procedures.

On July 9-13, 2001, NIOSH investigators returned to the facility and met with management and employee representatives. A questionnaire regarding symptoms potentially associated with exposure to surgical smoke byproducts was distributed to employees of the surgery department. Personal breathing zone (PBZ) and area air samples were collected during 15 procedures over 3 days for compounds found in surgical smoke plume. These compounds include volatile organic compounds (VOCs) (including benzene, toluene, and xylene), acrolein, phenol, cresols, hydrogen cyanide, formaldehyde, acetaldehyde, polycyclic aromatic compounds, and carbon monoxide. An interim letter reporting the results from the industrial hygiene sampling was mailed to Carolinas Medical Center management on January 30, 2002.

BACKGROUND

Established in 1940, the Carolinas Medical Center is an 861-bed facility located in Charlotte, North Carolina. It is the largest facility within the Carolinas HealthCare System. During the site visit, NIOSH investigators focused on operating rooms (ORs) in both the main surgical suite and the day surgical suite of the hospital. The use of electrocautery knives was common; however, at the time of the site visit, electrosurgical smoke was not routinely evacuated from the surgical field during procedures.

METHODS

Medical

A one-page self-administered questionnaire was distributed to all OR personnel in the ORs of the main surgical suite and day surgery suite on the days of the return site visit by the NIOSH investigators. The questionnaire contained demographic information, including job title and number of years working in an OR. The questionnaire also inquired about symptoms that occurred during exposure to surgical smoke in the previous 4 weeks. These symptoms included coughing, wheezing, shortness of breath, chest tightness, eye irritation, burning in nose or throat, nasal symptoms, and headache. The questionnaire inquired if the respondent had ever been diagnosed with asthma by a doctor and, if yes, when. The questionnaire also asked about annovance caused by the odor of surgical smoke.

Industrial Hygiene

On the initial site visit, a walk-through of the facility was conducted to gain an understanding of its layout and procedures. During the return site visit, PBZ and area air sampling for compounds found in surgical smoke was performed. Over the 3-day sampling period, air samples were collected during 15 surgical procedures in the ORs of the main surgical suite and the day surgery suite; all varied with respect to type of procedure, length of procedure, and duration of use of the electrocautery unit (i.e., amount of smoke production).

On July 10, 2001, sampling was performed during three surgical procedures. These included a series of six consecutive tonsillectomies (sampled as one procedure), a breast reconstruction performed in an OR of the day suite, and a laparoscopic surgery cholecystectomy in an OR of the main surgical suite. On July 11, 2001, four surgical procedures were sampled, all in ORs of the main surgical suite: a thyroidectomy, two mastectomies, and an exploratory laparotomy. Two surgical procedures were sampled on July 12, 2001. These included a partial glossectomy/bilateral anterior neck dissection with possible pectoralis major flap or platysmal flap reconstruction, and an aorta bypass redo on the right leg.

Qualitative area air sampling was performed in the OR for identification of airborne VOCs. A thermal desorption tube containing different sorbents for collecting a wide range of compounds was typically placed within several feet of the surgical table to collect an area air during each procedure. sample Tubing connected the sampler, and a personal sampling pump allowed air to be drawn through the sampling train at a calibrated flow rate of 20 milliliters per minute (mL/min). Analysis of the desorption tubes for captured VOCs was performed according to the NIOSH Manual of Analytical Methods (NMAM) Method 2549 using a Perkin-Elmer ATD 400 thermal desorption system interfaced directly to a gas chromatograph with a mass selective detector (TD-GC-MSD).

Area air sampling was performed for specific VOCs of interest including toluene, benzene, and xylene, and a variety of compounds detected during the qualitative screening; samplers were typically placed within several feet of the surgical table at an average shoulder height to collect the area air samples during each procedure. Typically during each procedure sampled, one individual at the surgical table (such as a scrub nurse) and one individual (such as a circulating nurse) stationed at the periphery of the room wore a sampling pump to obtain a PBZ sample for these VOCs. During the site visit, 10 area air samples and 15 PBZ samples were collected. These samples were collected using solid sorbent (coconut shell charcoal) tubes and pumps calibrated to provide a

volumetric flow rate of 100 mL/min. Analysis of the samples was conducted using a combination of NIOSH Methods 2537, 1400, 3701, 1300, 1550, 1501, 1500, and OSHA method 103, with modifications.^{1,2} The sorbent tubes were analyzed using gas chromatography with flame ionization detection. The limits of detection (LOD) for these compounds were: 0.2 micrograms (µg) benzene/sample, 0.2 μg toluene/sample, 1 µg xylene/sample, and 2 µg total hydrocarbons/sample. The limits of quantitation (LOQ) were 0.7 μg benzene/sample, 0.7 µg toluene/sample, 3 µg xvlene/sample. and 7 μg total hydrocarbons/sample.

In a similar fashion, PBZ and area air sampling was performed for aldehydes, particularly formaldehyde and acetaldehyde. Samples were collected on 2,4-dinitrophenylhydrazine (DNPH)-treated silica gel cartridges. Tubing connecting the sampler and sampling pump allowed air to be drawn at a calibrated flow rate of 100 mL/min. Seventeen PBZ samples and 11 area air samples were collected for aldehydes. Analysis of the cartridges was performed according to NIOSH Method 2016, with modifications. The LODs were 0.03 μg formaldehyde/sample and 0.03 μg acetaldehyde/sample. The LOQs were 0.08 µg formaldehyde/sample and 0.08 μg acetaldehyde/sample.

Area air sampling was performed for acrolein using XAD-2 solid sorbent tubes connected to sampling pumps calibrated to provide a volumetric flow rate of 100 mL/min. Analysis of the nine area air samples was conducted using gas chromatography according to NIOSH Method 2539, with modifications. The LOD was 0.7 μ g acrolein/sample; the LOQ was 2 μ g acrolein/sample.

Area air sampling was performed for polycyclic aromatic compounds (PACs) using polytetrafluoroethylene (PTFE) filters and XAD-2 solid sorbent tubes connected to sampling pumps calibrated to provide a volumetric flow rate of 100 mL/min. Analysis of the nine area air samples was conducted using fluorescence detection according to NIOSH Method $5800.^{1}$ The LOD was $0.2 \ \mu g$ PACs/sample; the LOQ was $0.5 \ \mu g$ /sample.

Area air sampling was performed for cresols and phenol using XAD-7 solid sorbent tubes connected to sampling pumps calibrated to provide a volumetric flow rate of 100 mL/min. Analysis of the nine area air samples was conducted using gas chromatography with flame ionization detection according to NIOSH Method 2546. The LODs were 2 μ g cresols/sample and 0.7 μ g phenol/sample. The LOQs were 8 μ g cresols/sample and 2 μ g phenol/sample.

Area air sampling was performed for hydrogen cyanide using soda lime solid sorbent tubes connected to sampling pumps calibrated to provide a volumetric flow rate of 100 mL/min. Analysis of the nine area air samples was conducted using spectrophotometry according to NIOSH Method 6010, with modifications. The LOD was 0.1 μ g hydrogen cyanide/sample; the LOQ was 0.3 μ g/sample.

Area air sampling was performed according to NIOSH Method 6604 for carbon monoxide (CO) using the Biosystems Inc. ToxiUltra Gas Detector, a passive diffusion monitor, which recorded CO concentrations during each procedure. One reading was taken every 30 seconds by each monitor. The recorded measurements were then downloaded to a computer. The monitor measures CO concentrations from 0-500 parts per million (ppm), and had been calibrated prior to the site according to manufacturer's visit the specifications.

A Grimm Model 1108 real-time Dust Monitor (Grimm Technologies, Inc., Douglasville, GA) was used to perform real-time area sampling for the levels of airborne particulates in the operating room environment during various surgical procedures. The Grimm Dust Monitor is a light-scattering aerosol spectrometer designed for real-time particulate measurement with particle size discrimination. Data was collected over the entire time period during the selected procedures. For each, the data was integrated for 1 minute and stored sequentially on the Grimm data card over the entire sampling period. The collected information was downloaded to a laptop computer following the completion of the sampling day.

EVALUATION CRITERIA

As a guide to the evaluation of the hazards posed by workplace exposures, NIOSH field staff employ environmental evaluation criteria for the assessment of a number of chemical and physical agents. These criteria are intended to suggest levels of exposure to which most workers may be exposed up to 10 hours per day, 40 hours per week for a working lifetime without experiencing adverse health effects. It is, however, important to note that not all workers will be protected from adverse health effects even though their exposures are maintained below these levels. A small percentage may experience adverse health effects because of individual susceptibility, a pre-existing medical condition, and/or a hypersensitivity (allergy). In addition, some hazardous substances may act in combination with other workplace exposures, the general environment, or with medications or personal habits of the worker to produce health effects even if the occupational exposures are controlled at the level set by the criterion. These combined effects are often not considered in the evaluation criteria. Also, some substances are absorbed by direct contact with the skin and mucous membranes, and thus potentially increases the overall exposure. Finally, evaluation criteria may change over the years as new information on the toxic effects of an agent become available.

The primary sources of environmental evaluation criteria for the workplace are: (1) NIOSH Recommended Exposure Limits (RELs),³ (2) the American Conference of Governmental Industrial Hygienists' (ACGIH®) Threshold Limit Values (TLVs®),⁴ and (3) the U.S. Department of Labor, Occupational Safety and Health Administration (OSHA) Permissible Exposure Limits (PELs).⁵ Employers are

encouraged to follow the OSHA limits, the NIOSH RELs, the ACGIH TLVs, or whichever are the more protective criteria.

OSHA requires an employer to furnish employees a place of employment that is free from recognized hazards that are causing or are likely to cause death or serious physical harm [Occupational Safety and Health Act of 1970, Public Law 91–596, sec. 5(a)(1)]. Thus, employers should understand that not all hazardous chemicals have specific OSHA exposure limits such as PELs and short-term exposure limits (STELs). An employer is still required by OSHA to protect their employees from hazards, even in the absence of a specific OSHA PEL.

A time-weighted average (TWA) exposure refers to the average airborne concentration of a substance during a normal 8- to 10-hour workday. Some substances have recommended STEL or ceiling values which are intended to supplement the TWA where there are recognized toxic effects from higher exposures over the short-term.

Surgical Smoke

Surgical smoke or plume is created when energy is delivered to intact cells during surgery. When energy from a laser or electrosurgical unit is delivered to a cell, energy in the form of heat is released. This heat vaporizes the intracellular fluid, increasing the pressure inside the cell, and eventually causing rupture of the cell membrane. After the membrane bursts, a plume of smoke is released into the atmosphere of the OR. At the same time, the intense heat chars the protein and other organic material within the cell and causes thermal death of the adjacent cells. The charring of cells releases material such as carbonized cell fragments and hydrocarbons.⁶

Compared to electrosurgery, thermal lasers vaporize tissue very rapidly, causing an explosive effect. This explosive tissue response causes rapid generation of odors and thick plumes of smoke. Electrosurgical energy causes hemostasis and dissection more slowly, and the tissue response is not as explosive. The amount of surgical smoke may vary with: 1) the type of surgical procedure, 2) the target tissue, 3) surgical technique, 4) duration of application of thermal or mechanical energy, and 5) the instrument used to vaporize the tissue.

All personnel who work in ORs are exposed to surgical smoke to some degree, but the extent of the exposure can be highly variable. Surgeons and others standing at the operating table generally have a more concentrated exposure to smoke during procedures, but scrub nurses, circulating nurses, and surgical technicians may also have exposures. Surgical smoke is known to produce odors and limit the view of the surgical field.

Surgical smoke has potential biological and chemical components. Viable bacteria have been cultured from surgical smoke. These bacteria include Bacillus subtillis and Staphylococcus aureus. Mycobacteria have also been isolated in smoke, including *Mycobacterium tuberculosis*.⁷ Human immunodeficiency virus (HIV) proviral DNA, and intact viral DNA from the human papilloma virus have been found in plume produced by lasers.^{8,9} Other biological material collected from surgical smoke include intact and fragmented human cells and intact DNA.^{10,11} In addition to biological material, chemical byproducts have also been found in the surgical smoke, including various volatile organic compounds, polycyclic aromatic compounds, aldehydes, cresols, phenol, hydrogen cyanide, and carbon monoxide.

Volatile Organic Compounds

Volatile organic compounds (VOCs) describe a large class of chemicals that are organic (i.e., contain carbon) and have a sufficiently high vapor pressure to allow some of the compound to exist in the gaseous state at room temperature. These compounds are emitted in varying concentrations from numerous indoor sources including, but not limited to, carpeting, fabrics, adhesives, solvents, paints, cleaners, waxes, cigarettes, and combustion sources.

Benzene

Benzene is an aromatic organic hydrocarbon containing a six-carbon ring with alternating double bonds. Acute inhalation exposure to high concentrations of benzene can cause drowsiness, fatigue, nausea, vertigo, narcosis, and other symptoms of central nervous system (CNS) depression as noted with excessive exposure to other aromatic hydrocarbons.^{4,12,13} However, the most remarkable health effects associated with benzene exposure are chronic effects due to repeated exposure to low concentrations over many years.

Benzene is classified by the International Agency for Research on Cancer (IARC) as a known human carcinogen and has been associated with irreversible bone marrow injury and the development of hematopoietic toxicity, including aplastic anemia and leukemia in humans.^{14,15} NIOSH classifies benzene as a human carcinogen. and recommends controlling occupational exposures to prevent employees from being exposed to concentrations greater than 0.1 ppm, determined as a TWA concentration for up to a 10hour work shift in a 40-hour work week.. NIOSH further recommends a 15-minute STEL of 1.0 ppm. Although NIOSH has established these guidelines which should not be exceeded, the Institute still urges that reducing exposures to the "lowest feasible concentration" because it is not possible to establish thresholds for carcinogens that will protect 100% of the population. The OSHA PEL is 1 ppm for an 8-hour TWA with a 15-minute STEL of 5 ppm.¹⁶ The current ACGIH TLV is 0.5 ppm as a for an 8-hour TWA with a 15-minute STEL of 2.5 ppm, and is noted as a confirmed human carcinogen.

Toluene

Toluene is a colorless, aromatic organic liquid containing a six-carbon ring (a benzene ring) with a methyl group (CH_3) substitution. Inhalation and skin absorption are the major occupational routes of entry. Toluene can cause acute irritation of the eyes, respiratory tract, and skin.¹⁷

The main effects reported with excessive (inhalation) exposure to toluene are CNS depression and neurotoxicity. Studies have shown

that subjects exposed to 100 ppm of toluene for 6 hours complained of eye and nose irritation, and in some cases, headache, dizziness, and a feeling of intoxication (narcosis).^{18,19,20} No symptoms were noted below 100 ppm in these studies.

The NIOSH REL for toluene is 100 ppm for a 10hour TWA. NIOSH has also set a recommended STEL of 150 ppm for a 15-minute sampling period. The OSHA PEL for toluene is 200 ppm for an 8hour TWA. The ACGIH TLV is 50 ppm for an 8hour TWA, with a Notice of Intended Changes to 20 ppm.

Xylene

Xylene is a colorless, flammable organic liquid with a molecular structure consisting of a benzene ring with two methyl group (CH_3) substitutions. The vapor of xylene has irritant effects on the skin and mucous membranes, including the eyes and respiratory tract. This irritation may cause itching, redness, inflammation, and discomfort.

Acute xylene inhalation exposure may cause headache, dizziness, incoordination, drowsiness, and unconsciousness.²¹ Previous studies have shown that concentrations from 60 to 350 ppm may cause giddiness, anorexia, and vomiting. At high concentrations, exposure to xylene has a narcotic effect on the CNS, and minor reversible effects on the liver and kidneys.^{21,22}

The current OSHA PEL, NIOSH REL, and ACGIH TLV for xylene are 100 ppm over an 8-10 hour TWA.^{3,4,5} In addition, OSHA and NIOSH have published STELs for xylene of 150 ppm averaged over 15 minutes.^{3,5}

Aldehydes

Formaldehyde

Formaldehyde, a colorless gas with a strong odor, is a constituent of tobacco smoke and of combustion gases. Formaldehyde levels in ambient air can result from diverse sources such as automobile exhaust, combustion processes, and may also be released from foam plastics, carbonless copy paper, particle board, and plywood. Exposure can occur through inhalation and skin absorption. Symptoms of exposure to low concentrations of formaldehyde may include irritation of the eyes, throat, and nose; headaches; nausea; nasal congestion; asthma; and skin rashes. It is often difficult to ascribe specific health effects to specific concentrations of formaldehyde because people vary in their subjective responses and complaints. For example, irritative symptoms may occur in people exposed to formaldehyde at concentrations below 0.1 ppm, but more typically they begin at exposures of 1.0 ppm and greater. However, some children or elderly persons, those with pre-existing allergies or respiratory disease, and persons who have become sensitized from prior exposure may have symptoms from exposure to concentrations of formaldehyde between 0.05 and 0.10 ppm. Cases of formaldehyde-induced asthma and bronchial hyperreactivity developed specially to formaldehyde are uncommon.²³

NIOSH has identified formaldehyde as a potential human carcinogen and has set an REL of 0.016 ppm with a 15-minute ceiling limit of 0.1 ppm. The OSHA PEL is 0.75 ppm as an 8-hour TWA and 2 ppm as a STEL.²⁴ ACGIH has designated formaldehyde as a suspected human carcinogen and therefore, recommends that "worker exposure by all routes should be carefully controlled to levels as low as possible below the TLV." ACGIH has set a ceiling limit of 0.3 ppm.

Acetaldehyde

Acetaldehyde is an irritant of the eyes and mucous membranes. Human volunteers exposed to 50 ppm for 15 minutes experienced mild eye irritation. Sensitive subjects complained of mild upper respiratory irritation even after 15 minutes exposure at 25 ppm. In 1985 the IARC concluded that "there is sufficient evidence for the carcinogenicity of acetaldehyde to experimental animals" and "inadequate evidence for the carcinogenicity of acetaldehyde in humans," which for the purpose of the OSHA Hazard Communications Standard would classify acetaldehyde as category 2B carcinogen.²⁵ The Environmental Protection Agency (EPA) considers acetaldehyde a probable human carcinogen.²⁶ NIOSH currently considers acetaldehyde a potential occupational carcinogen, and recommends keeping levels of acetaldehyde to the lowest feasible concentration.³ The OSHA

PEL for an 8-hour TWA is 200 ppm (360 milligrams per cubic meter $[mg/m^3]$). The ACGIH has set a ceiling limit of 25 ppm.

Acrylaldehyde (Acrolein)

Acrolein is a severe eye and respiratory system irritant. The principal site of chemical effects is the mucous membranes of the upper respiratory tract. Acrolein has a vasopressor effect (i.e., causes a rise in blood pressure) that has been observed in animals at exposure levels of 10 to 5029 mg/m (4.4 to 2200 ppm) for one minute. The unsaturated nature of the compound results in an eve irritancy potential 2.5 times greater than that of formaldehyde. At acrolein concentrations of 1.1 to 2.3 mg/m (0.5 to 1.0 ppm), the irritant potential increases to four or five times that of formaldehyde at the same concentrations. The lowest published toxic concentration (TC_{IO}) for human responses to acrolein is 0.2 ppm (eye irritation threshold) and 0.6 ppm (respiratory response threshold). The human odor threshold is 0.33 to 0.4 ppm. Acrolein is a major contributor to the irritant properties of cigarette smoke. The OSHA PEL for acrolein is a TWA of 0.25 mg/m³ (0.1 ppm). The NIOSH REL is also a TWA of 0.1 ppm, with a 15-minute STEL of 0.3 ppm. The ACGIH TLV of 0.1 ppm is a ceiling limit that should not be surpassed during the work shift.

Polycyclic Aromatic Compounds

Polycyclic aromatic compounds (PACs) refer to a set of cyclic organic compounds that includes polynuclear aromatic hydrocarbons (PAHs), and also includes compounds that may have sulfur, nitrogen, or oxygen in the ring structure and alkylsubstituted cyclics. NIOSH investigators have hypothesized that PACs with 2 to 3 rings (referred to as low-molecular-weight PACs) may be associated with more irritative effects, while the 4to 7-ring PACs (termed high-molecular-weight PACs) may have more carcinogenic and/or mutagenic effects. It is not currently possible to definitively distinguish between these two PAC groups analytically; however, using two different spectrofluorometric detector wavelengths (360 nanometer [nm] and 400 nm) allows the detector to

be more sensitive to PACs based on ring number. No occupational exposure criteria have been established for total PACs or PAHs.

Cresols

Cresol occurs in three isomers, all of which can cause CNS disorders; gastroenteric disturbances; dermatitis; and damage to liver, kidneys, or lungs. Exposure occurs through skin contact, ingestion and inhalation. In addition, inhalation of particulate cresol as an aerosol is possible.²⁷ Toxic manifestations that may develop within 20 to 30 minutes after absorption include eye irritation, conjunctivitis, headache, dizziness, dimness of vision, tinnitus (ringing in the ears), irregular and rapid respiration, weak pulse, dyspnea (shortness of breath), and profound muscular weakness, occasionally followed by mental confusion. Repeated or prolonged exposure may cause gastrointestinal disturbances (vomiting, loss of appetite), nervous disorders, headache, dizziness, and dermatitis.²⁷ The odor of cresol is recognized at concentrations as low as 5 ppm. The ACGIH TLV was set at 5 ppm to prevent irritation. The NIOSH REL is a TWA of 2.3 ppm. The OSHA PEL is a TWA of 5 ppm.³

Phenol

Phenol is an irritant of the eyes, mucous membranes, and skin. The skin is a route of entry for the vapor and liquid phases. Symptoms of chronic phenol poisoning may include difficulty in swallowing, diarrhea, vomiting, lack of appetite, headache, fainting, dizziness, dark urine, mental disturbances, and possibly a skin rash. The NIOSH REL, ACGIH TLV, and OSHA PEL for phenol are 5 ppm as a TWA.^{3,4,5}

Hydrogen Cyanide

The general population may be exposed to cyanides from a variety of sources, including inhalation of contaminated air, ingestion of contaminated drinking water or cyanide-containing food, and the metabolism of certain drugs.²⁸ Cyanide is found in low levels in the tissues of healthy people as a result of normal metabolism, eating of cyanidecontaining foods, and cigarette smoking.²⁹ However, an average daily intake of cyanide from these sources has not been estimated.³⁰ The single largest source of airborne cyanides in the ambient environment is vehicle exhaust. Other atmospheric sources include emissions from chemical processing industries, iron and steel mills, metallurgical industries, metal plating and finishing industries, petroleum refineries, municipal waste incinerators, and cigarette smoke. Smokers are known to have higher levels of cyanide in the blood and are at increased risk of cyanide's nervous system effects. Little monitoring data for airborne cyanides in the ambient environment is available.

NIOSH has set a 15-minute STEL of 4.7 ppm. ACGIH has set a ceiling limit of 4.7 ppm for hydrogen cyanide. The OSHA PEL is set at 10 ppm.

Carbon Monoxide

Carbon monoxide (CO) is a colorless, odorless, tasteless gas produced by incomplete burning of carbon-containing materials. CO combines with hemoglobin and interferes with the oxygen-carrying capacity of blood. Symptoms include headache, drowsiness, dizziness, nausea, vomiting, collapse, myocardial ischemia, and death. The NIOSH REL for carbon monoxide is 35 ppm for a 10-hour TWA. NIOSH also recommends a ceiling limit of 200 ppm that should not be exceeded at any time during the workday. The OSHA PEL for carbon monoxide is 50 ppm for an 8-hour TWA. The ACGIH TLV for carbon monoxide is 25 ppm as an 8-hour TWA.

Particulates

Health problems associated with various particulate exposures are influenced by four critical factors: the type of particulate involved, the length of exposure, the concentration of airborne particulates in the breathing zone of the workers, and the size of the particulates present in the breathing zone.³¹ Particulate size is the main factor that influences deposition in the respiratory system. Large particulates (> 5 micrometers [µm] in diameter) are likely to lodge on the walls of the nasal cavity or pharynx during inspiration; medium particles (1 µm to 5 µm in diameter) are likely to settle out in the trachea, bronchi, or bronchioles as the air velocity decreases in the smaller passageways;

and small particles (< 1 μ m in diameter) typically move by diffusion into the alveoli.³² No exposure criteria exist for exposure to particulates in surgical smoke. Comparison of surgical smoke particulate levels to the established criteria for particulates not otherwise regulated (PNOR) would be inappropriate as the criteria cover only biologically inert or nuisance dust, which may not be the case for particulates from this type of exposure.

RESULTS

Medical

One hundred six employees completed the questionnaire, a participation rate of approximately 92%. The participants included 69 surgical nurses (65.1%), 29 surgical technicians (27.3%), and 6 in the "other" category (including physicians, nurse anesthetist, nurse managers) (5.6%). The average age of the participants was 40.4 years. The average time spent working in ORs at Carolinas Medical Center was 8.3 years, and average total time working in ORs during their careers was 12.8 years.

Thirty-eight participants (35.8%) reported at least one symptom. The participants reported the following symptoms after exposure to surgical smoke in the previous 4 weeks: 14 individuals (13.2%) reported eye irritation, 17 (16.0%) reported burning of nose or throat, 17 (16.0%) reported headache, 18 (17.0%) reported coughing, and 17 (16.0%) reported nasal symptoms. Seven employees (6.6%) reported that they had been diagnosed with asthma by a physician and 13 (12.3%) developed asthma or asthma-like symptoms after they began working in operating rooms. (Asthma-like symptoms were defined as having two or more of the following symptoms: wheeze, shortness of breath, chest tightness, and coughing attacks.) Of the individuals who developed asthma or asthma-like symptoms, seven were never smokers and six were former smokers. The proportion of OR personnel reporting symptoms were similar between employees working in the main surgical suite compared to the day surgical suite.

A total of 58 participants (54.7%) reported annoyance with the odor from the surgical smoke.

Thirty-five participants (33.0%) responded that they spent more than 50% of their time in the OR scrubbed in. Participants who spent more than 50% of their time near the surgical field reported more symptoms than participants who worked farther away from the surgical field.

Industrial Hygiene

Of all the compounds sampled including VOCs, aldehydes, PACs, cresols, phenol, hydrogen only formaldehyde, cvanide. and CO. acetaldehyde, and toluene returned quantifiable above the analytical limits results of quantitation. Results for these three compounds are listed in Tables 1, 2, and 3 by date, surgical procedure, and worker or location sampled.

Formaldehyde concentrations in the air during the procedures sampled ranged from nondetectable to 0.021 ppm. Two background samples taken outside a closed-door OR revealed levels of 0.007 and 0.005 ppm.

Acetaldehyde concentrations ranged from 0.001 ppm to 0.012 ppm for the procedures sampled. .Two background samples taken outside a closed-door OR showed levels of 0.002 ppm. These measured TWA exposure levels are considerably lower than the OSHA PEL of 200 ppm. NIOSH recommends keeping levels of acetaldehyde to the lowest feasible concentration.

Toluene concentrations in the air ranged from 0.002 ppm to 0.15 ppm. It should be noted that these results are likely underestimates of the true concentrations. All samples were reported by the analytical laboratory to have breakthrough of the compound into the backup section of the sampling tubes. It is believed that the presence of other organic gases, such as anesthetic gases, may be a factor in this breakthrough. However, samples taken during similar operations in other

hospital locations did not surpass 0.50 ppm. The TWA concentrations during the procedures sampled were well below all applicable exposure limits, including the NIOSH REL of 100 ppm, the OSHA PEL of 200 ppm, and the ACGIH TLV of 50 ppm.

A Grimm Model 1108 real-time Dust Monitor was used to record aerosol measurements reported in total counts per volume of sampled air (i.e., particles per liter) in the OR environment during various surgical procedures. Figures 1 through 5 present graphical representations of the real-time data collected with the Grimm particle counter over the complete time period of each surgical procedure. Figure 1 represents а series of six tonsillectomies, and Figure 2 represents a breast reconstruction, both performed in the day surgery department of the hospital. Figures 3 and 4 represent two mastectomies, and Figure 5 represents a partial glossectomy, all performed in rooms in the main surgical suite of the hospital. The highest peak recorded during a surgery that appears to correspond with electrocautery knife use occurred during a mastectomy on July 11, 2001 as represented in Figure 3. This peak occurred within minutes of the first use of the electrocautery knife during this procedure.

DISCUSSION AND CONCLUSIONS

Results from the questionnaire show that many employees associate exposure to surgical smoke with at least one or more health symptoms (eye and upper respiratory tract irritation, in particular) that they have experienced at work. Even more complain of the odor of the smoke. Generally, individuals who had more concentrated exposure to surgical smoke reported more symptoms, although many individuals with less exposure also reported irritative symptoms. The development of symptoms after beginning work in ORs and subsequent exposure to surgical smoke is important as it suggests a potential causative factor. Despite this anecdotal information, it is

unclear whether these symptoms were necessarily related to surgical smoke exposure.

The industrial hygiene results found quantifiable concentrations of formaldehvde. airborne acetaldehyde, and toluene. No quantifiable substances other than those were found in the ORs. For the measurable compounds, formaldehyde and acetaldehyde were also control documented be present in to (background) samples taken in the hallway outside a closed-door OR. A variety of products and materials in the indoor environment can be sources of VOCs, therefore it is possible that surgical smoke may not be the sole or primary source of the exposures to these VOCs.

Visible trends that can be seen in this particulate data include higher numbers of particulates in the day surgery ORs in comparison to the main ORs in the hospital. These differences may be due to differences in ventilation or filtration, resulting in a higher background particulate level in the day surgery ORs. Particulate levels were relatively consistent throughout the sampling period, including during the period before the electrocautery device was used in the surgical procedure. The bulk of the particulates in the day surgery ORs are those that measure between 0.3 and 0.5 µm; particles in this size range can remain suspended in air for long periods. Both Figures 1 and 2, showing data from day surgery procedures, show no major peaks of particulate counts during the procedures. Rather, in Figure 1, minor peaks are apparent between (rather than during) the six tonsillectomies, suggesting they may be due to increased movements of staff, patients, and equipment between procedures. Particulate counts in the main surgical ORs are lower than those in the day surgery ORs. Of the three surgeries sampled, one mastectomy appeared to show an increase in particulate counts in the room immediately after the first use of the electrocautery knife. It is interesting to note that no such peak occurred during a second mastectomy sampled, as shown in Figure 4. However, the surgeon noted his preference in using a scalpel for much of the cutting needed during that procedure rather than an

electrosurgical unit, which may explain why a similar peak was not seen.

Α majority of those surveyed reported annoyance with the odor from the surgical smoke. It has been shown that low-level odors, specifically VOCs, can cause irritation of certain sensory receptors at concentrations around their odor threshold (the molecular concentration at which the human nose can detect a chemical). These sensory receptors are part of the trigeminal nerve and are located on the cornea, and in the nose and throat. Irritation of these sensory receptors can result in sneezing, nasal stuffiness, rhinorrhea (runny nose), facial pain, eye irritation, watery eyes, headache, sinus congestion, cough, throat irritation, and wheezing.³³ Exposure to sensory irritants can, in susceptible individuals, trigger airwav hyperreactivity resulting in asthma attacks, cough, chest tightness, and shortness of breath.^{34,35,36} Regarding the odors and Regarding symptoms of eye irritation, burning of nose or throat, headache, coughing, and nasal symptoms reported among the employees, there is evidence in the medical literature that these types of symptoms can be produced by exposure to VOCs that activate sensory receptors in the nervous system. The activation and amplification of these sensory receptors can occur from exposure to extremely low molecular concentrations of airborne chemicals. concentrations that are difficult or impossible to measure with currently available testing techniques. These odors may have played a role in many of the irritant symptoms experienced by the OR employees. Individuals with a history of atopy (allergies) may be particularly vulnerable to the effects of odors.

Although exposures above the permitted or recommended limits were not identified, compounds found in surgical smoke are such that even low amounts may be sufficient to cause irritative effects on the eyes and mucous membranes, especially to sensitive individuals. Although not studied in this evaluation, past NIOSH research has also shown the possibility of mutagenic airborne particulates being present in surgical smoke.³⁷ Additionally, the symptom questionnaire found that most employees surveyed found the odor associated with surgical smoke annoying and/or objectionable. Although not a hazardous condition, such a factor has a significant impact on the quality of worklife for many of the employees at the facility. For these reasons, controls for capturing the surgical smoke should be evaluated and implemented.

RECOMMENDATIONS

1) Implement engineering controls during procedures where surgical smoke is produced. See Appendix A for NIOSH recommendations on controls for electric/laser surgical procedures. As described, recommended ventilation techniques include a combination of general room and local exhaust ventilation (LEV) as close as possible to the point of smoke production.

2) Employees should continue to report instances of health symptoms thought to be associated with exposure to surgical smoke to the hospital's occupational health staff.

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Table 1. Personal breathing zone and area air sampling results, by surgical procedure July 10, 2001 Carolinas Medical Center HETA 2001-0030-3020

Procedure	Worker or Location Sampled	Formaldehyde Concentration (ppm)*	Acetaldehyde Concentration (ppm)	Toluene Concentration (ppm)
tonsillectomies	scrub nurse	0.005	0.002	**
tonsillectomies	circulating nurse	0.005	0.002	0.011
tonsillectomies	area sample	0.004	0.002	0.010
tonsillectomies	surgeon			0.008
breast reconstruction	scrub nurse	0.006	0.003	
breast reconstruction	area sample	0.006	0.003	0.011
breast reconstruction	circulating nurse			0.019
breast reconstruction	scrub nurse			0.021
laparoscopic cholecystectomy	scrub nurse	0.006	0.003	
laparoscopic cholecystectomy	circulating nurse	0.021	0.012	-
laparoscopic cholecystectomy	area sample	0.005	0.003	0.036
laparoscopic cholecystectomy	surgeon			0.050
	NIOSH REL	0.016	LFC [‡]	100
	OSHA PEL	0.750	200	200
	ACGIH TLV	$(\mathbf{C}^{\dagger} 0.30)$	(C 25)	50

* ppm = parts per million
** dashed lines = a sample was not taken on that individual for a specific compound
[†] C = a ceiling exposure limit recommended not to be exceeded during any part of the working shift
[‡] LFC = lowest feasible concentration

Table 2. Personal breathing zone and area air sampling results, by surgical procedure July 11, 2001 Carolinas Medical Center HETA 2001-0030-3020

Procedure	Worker or Location Sampled	Formaldehyde Concentration (ppm)*	Acetaldehyde Concentration (ppm)	Toluene Concentration (ppm)
thyroidectomy	nurse anesthetist	0.012	0.003	**
thyroidectomy	scrub nurse	0.012	0.006	
thyroidectomy	area sample	0.004	0.003	0.062
thyroidectomy	surgeon			0.15
thyroidectomy	circulating nurse			0.12
mastectomy	nurse anesthetist	0.007	0.004	
mastectomy	scrub nurse	0.006	0.005	
mastectomy	area sample	0.005	0.003	0.063
mastectomy	circulating nurse			0.062
mastectomy	surgeon			0.10
mastectomy	scrub nurse	0.008	0.005	
mastectomy	circulating nurse	0.004	0.003	
mastectomy	area sample	0.004	0.003	0.021
mastectomy	nurse anesthetist			0.022
mastectomy	surgeon			0.018
exploratory laparotomy	nurse anesthetist	0.003	0.003	
exploratory laparotomy	scrub nurse	0.006	0.005	
exploratory laparotomy	area sample	0.003	0.003	0.019
exploratory laparotomy	circulating nurse			0.021
exploratory laparotomy	observing			0.025
	NIOSH REL	0.016	LFC [‡]	100
	OSHA PEL	0.750	200	200
	ACGIH TLV	(C 0.30) [†]	(C 25)	50

 † C = a ceiling exposure limit recommended not to be exceeded during any part of the working shift

 ‡ LFC = lowest feasible concentration

^{*} ppm = parts per million ** dashed lines = a sample was not taken on that individual for a specific compound

Table 3. Personal breathing zone and area air sampling results, by surgical procedure July 12, 2001 Carolinas Medical Center HETA 2001-0030-3020

Procedure	Worker or Location Sampled	Formaldehyde concentration (ppm)*	Acetaldehyde concentration (ppm)	Toluene concentration (ppm)
partial glossectomy	scrub nurse	0.004	0.002	**
partial glossectomy	circulating nurse	0.004	0.002	
partial glossectomy	area sample	0.004	0.002	0.002
partial glossectomy	nurse anesthetist			0.004
partial glossectomy	surgeon			0.002
aorta bypass redo, right leg	nurse anesthetist	0.004	0.002	
aorta bypass redo, right leg	circulating nurse	0.004	0.002	
aorta bypass redo, right leg	area sample	0.002	0.001	0.005
aorta bypass redo, right leg	scrub nurse			0.003
aorta bypass redo, right leg	surgeon			0.006
background	Outside of OR	0.007	0.002	
background	Outside of OR	0.005	0.002	
	NIOSH REL	0.016	LFC [‡]	100
	OSHA PEL	0.750	200	200
	ACGIH TLV	(C 0.30) [†]	(C 25)	50

* ppm = parts per million

** dashed lines = a sample was not taken on that individual for a specific compound

 † C = a ceiling exposure limit recommended not to be exceeded during any part of the working shift

[‡] LFC = lowest feasible concentration



Figure 1. Particulate concentrations, Tonsillectomies Carolinas Medical Center HETA 2001-0030-3020

Times of note:

Tonsillectomy 1: 8:00 AM to 8:18 AM
Tonsillectomy 2: 8:46 AM to 9:05 AM
Tonsillectomy 3: 9:28 AM to 9:42 AM
Tonsillectomy 4: 10:10 AM to 10:24 AM
Tonsillectomy 5: 10:52 AM to 11:06 AM
Tonsillectomy 6: 11:34 AM to 11:48 AM





Times of note:

12:38 PM Surgeon enters OR 2:30 PM Surgeon leaves OR

Figure 3. Particulate concentrations, Mastectomy July 11, 2001 Carolinas Medical Center HETA 2001-0030-3020



Times of note:

8:45 AM Surgeon enters OR

8:55 AM First cut with electrocautery knife

9:16 AM Breast tissue removed

9:32 AM Surgeon leaves OR

Figure 4. Particulate concentrations, Mastectomy July 11, 2001 Carolinas Medical Center HETA 2001-0030-3020



Times of note:

12:35 PM Surgeon enters OR12:48 PM First cut with electrocautery knife2:35 PM Surgeon leaves OR

Figure 5. Particulate concentrations, Partial glossectomy July 12, 2001 Carolinas Medical Center HETA 2001-0030-3020



Times of note

8:16 AM Surgeon enters OR 3:12 PM Surgeon leaves OR

Appendix A. NIOSH Hazard Control Document: Control of Smoke from Laser/Electric Surgical Procedures



Control of Smoke From Laser/Electric Surgical Procedures



During surgical procedures using a laser or electrosurgical unit, the thermal destruction of tissue creates a smoke byproduct. Research studies have confirmed that this smoke plume can contain toxic gases and vapors such as benzene, hydrogen cyanide, and formaldehyde, bioaerosols, dead and live cellular material (including blood fragments), and viruses. At high concentrations the smoke causes ocular and upper respiratory tract irritation in health care personnel, and creates visual problems for the surgeon. The smoke has unpleasant odors and has been shown to have mutagenic potential.



NIOSH research has shown airborne contaminants generated by these surgical devices can be effectively controlled. Two methods of control are recommended:

• VENTILATION

Recommended ventilation techniques include a combination of general room and local exhaust ventilation (LEV). General room ventilation is not by itself sufficient to capture contaminants generated at the source. The two major LEV approaches used to reduce surgical smoke levels for health care personnel are portable smoke evacuators and room suction systems.

Smoke evacuators contain a suction unit (vacuum pump), filter, hose, and an inlet nozzle. The smoke evacuator should have high efficiency in airborne particle reduction and should be used in accordance with the manufacturer's recommendations to achieve maximum efficiency. A capture velocity of about 100 to 150 feet per minute at the inlet nozzle is generally recommended. It is also important to choose a filter that is effective in collecting the contaminants. A High Efficiency Particulate Air (HEPA) filter or equivalent is recommended for trapping particulates. Various filtering and cleaning processes also exist which remove or inactivate airborne gases and vapors. The various filters and absorbers used in smoke evacuators require monitoring and replacement on a regular basis and are considered a possible biohazard requiring proper disposal.

Room suction systems can pull at a much lower rate and were designed primarily to capture liquids rather than particulate or gases. If these systems are used to capture generated smoke, users must install appropriate filters in the line, insure that the line is cleared, and that filters are

disposed properly. Generally speaking, the use of smoke evacuators are more effective than room suction systems to control the generated smoke from nonendoscopic laser/electric surgical procedures.

• WORK PRACTICES

The smoke evacuator or room suction hose nozzle inlet must be kept within 2 inches of the surgical site to effectively capture airborne contaminants generated by these surgical devices. The smoke evacuator should be ON (activated) at all times when airborne particles are produced during all surgical or other procedures. At the completion of the procedure all tubing, filters, and absorbers must be considered infectious waste and be disposed appropriately. New filters and tubing should be installed on the smoke evacuator for each procedure. While there are many commercially available smoke evacuator systems to select from, all of these LEV systems must be regularly inspected and maintained to prevent possible leaks. Users shall also utilize control measures such as "universal precautions," as required by the OSHA Blood-Borne Pathogen standard.

For More Information

To obtain more information about controlling this hazard, or for information on other occupational health and safety issues, call the National Institute for Occupational Safety and Health (NIOSH)* at: <u>1-800-35-NIOSH (1-800-356-4674)</u>

The following reports on this topic are available free upon request from NIOSH:

- Evaluation of a Smoke Evacuator Used for Laser Surgery, Lasers Surg Med 9:276 281 (1989)
- NIOSH Health Hazard Evaluation and Technical Assistance Reports, HETA 85-126-1932 (1988) and HETA 88-101-2008 (1990).

*NIOSH is the Federal agency responsible for conducting research and making recommendations for preventing work-related illness and injuries. HAZARD CONTROLS are based on research studies that show reduced worker exposure to hazardous agents or activities.

Acknowledgments

The principal contributor to this HAZARD CONTROLS is C. Eugene Moss, Division of Surveillance, Hazard Evaluations and Field Studies. Assistance was provided by the Education and Information Division, NIOSH.

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DHHS (NIOSH) Publication No. 96-128

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