

## **Appendix K**

## Supplemental Forms

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#### Introduction

This appendix includes official forms that are used as part of the export certification process that Authorized Certification Officials (ACOs) or exporters may complete (wholly or partially), sign, and distribute. In the interest of legibility, the forms in this appendix have been typed; however, most forms may be completed by legibly hand printing in ink.



These forms are supplemental to the export certificates that ACOs issue for certifiable commodities offered for export.

Directions for completing, issuing, distributing, and maintaining export certificates are located in *Completing PPQ Forms 577 and 579* on page 3-8-1 and in *Completing PPQ Form 578* on page 3-9-1.

## **APHIS Form 47, Transmittal and Receipt for Accountable Items**

7	RANSMIT	TAL AND RECEIPT FOR AC	COUNTABL	E ITEMS	USDA-APHIS	1. DATE PREF	PARED
		oplicable items 1 through 9. Original a e items 11 through 15 and return orig			e items to the n	L eceiving office	. Retain one copy.
. TO:	CE. Complete	e nems in unough 15 and return ong	3. FROM				
				•			
ACCOUNTABLE I	B.		C.   G.		D.UNIT OF	E. SE	ERIAL NOS. (Inclusive)
FORM NO.		TITLE	- QI	JANTITY	ISSUE	FROM	
SF-1103	U.S. Govern	nment Bill of Lading					
AD-107	Report of Ti Construction	ransfer or Other Disposition of n of Property - For Sales					
APHIS-89	Report of Re Quarantine	eimbursable Inspection and Service					
	Identification	n Card					
. CREDIT CARDS -	LICENSE PLA	TES ENCLOSED			L		
. TYPI	 E	B. NAME OF ISSUING COM	IPANY	C. NO. ENCLOSED			LICENSE PLATE NOS.
				ENCLOSED	FF	ROM	THROUGH
elephone Toll Cre	card				<u> </u>		
uto Rental Credit	Card						
SA National Cred			_				
icense Plates							· ·
APHIS Decais				-			
					<u> </u>		
. ACCOUNTABLE	TEMO						
. ACCOUNTABLE			В. о		C.	SERIAL N	IOS. (Inclusive)
	D	ESCRIPTION	J. Q	JANTITY		OM	THROUGH
REMARKS	<del></del>		I		1		
SIGNATURE OF I	SSUING OFFIC	CIAL	9. TITLE				10. DATE
			EDGMENT C				
1. EXCEPTIONS		I acknowledge receipt of the	ароуе кетѕ ехс	ept as noted if	I K <del>O</del> M 11 DOKW	<i>r</i> .	
12. "X" IF EX	PIRED	SIGNATURE OF ACCOUNTABLE OFFIC	ER	14. TITLE			15. DATE RECEIVED

FIGURE K-1-1: Example of APHIS Form 47, Transmittal and Receipt for Accountable Items (blank)

## **APHIS Form 80-R, Export Certificate Record**

		EX	POR	T CERT	TIFICATI	E RECOR	D				
I. FIRM NAME AND ADDR	ESS			2. PREPA	YMENT DATE	<u> </u>	3	B. CHECK IDE	NTIFICAT	ION NUMBER	
				4. Certificate	6.	6. Total	7	r. Credit Brought Forward d	8. Credit used this page	9. Credit Balance	10. Amount Paid
					x \$50						
11. RESPONSIBLE AGENT	T	12. PHONE NUI	MBER	13. SERIA	IL RANGE				14. ISSUI	NG OFFICER	·
				CATE RETU	1	appropriate box	K)	94 00 0			100
SERIAL NUMBER	16. DATE	17. SIGNED	18. C RE	R \$43 ISSUED	19. CR \$43 VOID/ UNUSED	20. CR \$4 REIMBURS OVERTI	3 SABL IME	21. CR \$3 NON CC E OR LC VALU	MM W	CREDIT DUE	23. INITIALS
,											
			-								
			-			,			-+		
			<del> </del>					-			
			-								-
			1								
										•	
				r							
			+								
	1					_					
			-	•							
	_		-	-		-					
			-								
TOTALS			24. CF	\$43 X	25. CR \$43 X	26. CR \$43 I	R O/T	27. CR \$27	28	TOTAL CREDIT DUE	29. PREVIOUS CREDIT BALANCE \$
TOTAL CREDIT TO	BE BROU	GHT FORWA	<del>- L.'</del>								
APHIS FORM 80-R (MAR 96)		(Local Reprod	luction Au	thorized)							OF

FIGURE K-1-2: Example of APHIS Form 80-R, Export Certificate Record (blank)

## **APHIS Form 94, Record of Public Funds Received**

ISTRUCTIONS:	See page two. For de	F PUBLIC FUNE			2. DATE FORWARDED
то	oce page inc. For ac		. to re rive badget	4. FROM	
USD/ P.O.	A-APHIS Box 952180 ouis, MO 63195-2180				
DATE RECEIVED	6. FOR MRP-88-MBS USE ONLY	7. AMOUNT	8. RI	EMITTER	9. PURPOSE/ACCOUNTING CODE
			<u> </u>		
				· · · · · · · · · · · · · · · · · · ·	
					1
			,		
	AL AMOUNT SENT			(Retu	RECEIVED rn copy to sender in Item 4 above)
I. SENT BY (S/g	inature)	1	13. AMOUNT		14. RECEIVED BY (Signature)
. TITLE			15. DATE		16. FSO TITLE
	4 (OCT 2000)   PA			-APHIS (FOR RECEIPT AN	D RETURN) PART 3 RETAIN UNTIL RECEIPTED COPY RETURNED

FIGURE K-1-3: Example of APHIS Form 94, Record of Public Funds Received (blank)

## APHIS Form 7060, Official Warning, Violation of Federal Regulations

	ES DEPARTMENT OF AGRICULTURE PLANT HEALTH INSPECTION SERVICE	CASE NO.
		VIOLATOR
	AND MEAN A	
	O. A.	
OF VIOLATION (	TEVAL WARNING ELECTRATE CULATIONS	APPRESS (G. G. G
VIOLATION	COLATIONS	ADDRESS (Street, City, State, Zip Code)
	Marie Land	
		·
Th. D		10
	e has evidence that on or about llowing violation of Federal Regulations:	, 19_ you or your
	•	
		•
		,
Titles 7 & 9 Code of Federal F	Regulations were promulgated to help prev	ent the spread of animal and plant pests and diseases a
assure the humane treatment	of animals. Since the violations of the regu	ulations can have serious and costly impact detrimental
the public interest, you are ward in the public interest, you are ward in the civil penalty or criminal prosecution.	arned of this violation. Any further violate ecution. If you have any questions conce	ion of these regulations may result in the assessment or rning this warning or violation, please contact the lis
APHIS OFFICIAL (Name, Title)	And the second s	OFFICE ADDRESS:
SIGNATURE	DATE ISSUED	
		TELEPHONE NO. AC ( )
FOR PERSONAL SERVICE - RECE	IVED BY: (Name and signature)	DATE RECEIVED:

FIGURE K-1-4: Example of APHIS Form 7060, Official Warning, Violation of Federal Regulations (blank)

#### **Purpose of APHIS Form 7060**

APHIS Form 7060, Official Warning, Violation of Federal Regulations is issued by PPQ Officers-in-Charge when the violation **does not** warrant further action and **only** after consulting with local or regional Investigative and Enforcement Services (IES) officials. PPQ Officers-in-Charge use APHIS Form 7060 to do the following:

- ◆ Advise the exporter or agent of the correct procedure to follow for making changes to an export certificate
- ◆ Inform the exporter or agent of the consequences for failing to follow procedures
- ◆ Inform the exporter or agent of the violation

APHIS Form 7060 may be used instead of pursuing an investigation for the following prohibited practices that could result in a foreign government rejecting an export certificate and, therefore, rejecting the shipment. These prohibited practices should **not** warrant a criminal investigation, and unless an attempt to defraud is apparent, these first-time violations would warrant APHIS Form 7060 issuance.

- ◆ Addition of a letter of credit or other commercial reference
- ◆ Corrections to the number (generally **only** decreases) and description of packages, or distinguishing marks
- ◆ Correction to or deletion of botanical names of plants
- ◆ Correction to the means of conveyance
- ◆ Correction to the name of the exporter or consignee
- ◆ Decrease in the quantity declared

If the exporter is apparently seeking certification of a shipment that has **not** been inspected, APHIS Form 7060 **cannot** be used.

#### Instructions to Complete APHIS Form 7060

See **Table K-1-1** on **page K-1-7** for instructions on how to complete APHIS Form 7060.



If APHIS Form 7060 is issued,  ${\bf no}$  further action may be taken by IES on the incident.

TABLE K-1-1: Instructions to Complete APHIS Form 7060, Official Warning, Violation of Federal Regulations

If the block is:	Then follow the	ese instructions to complete APHIS Form 7060:
Case No.	LEAVE BLANK;	for IES use
Violator	LIST the name	of the violator and/or company
Address	LIST the violate	or's street address, city, state, and zip code
Description of Violation		rinted statement, FILL in the date of the violation ribe the certification violation
	EXAMPLE	Unauthorized change made to an export certificate that is in violation of 7CFR Part 353 and may be prosecuted under Plant Protection Act (7USC 7701) and other applicable laws.
APHIS Official	ENTER the nan	ne and title of the USDA-APHIS-PPQ official that will Form 7060
Office Address	ENTER the USI number	DA-APHIS-PPQ official's office address and phone
Signature	USDA-APHIS-PF	PQ official SIGNS
Date Issued	LIST the date t	he APHIS Form 7060 was signed
For Personal Service - Received By	LIST the name (violator entry)	and signature of the violator's receiving official
Date Received	LIST the date A entry)	APHIS Form 7060 is received by the violator (violator
For Certified Mail - Receipt No.	LIST the certifi	ed mail receipt number for APHIS Form 7060

#### **Distribution of APHIS Form 7060**

Before issuing the completed APHIS Form 7060 to the violator, make two copies of the form. Send via FAX or mail, one copy to the local or regional IES official<sup>1</sup> and one copy to IES headquarters at the following address:

USDA-APHIS-IES ATTENTION: Alan Christian, Director 4700 River Road, Unit 85 Riverdale, Maryland 20737

FAX: 301-734-4328 Phone: 301-734-8684

<sup>1</sup> For local and regional IES contacts, go to the following Web site address: <a href="http://www.aphis.usda.gov/ies/contacts.shtml">http://www.aphis.usda.gov/ies/contacts.shtml</a>.

## **PPQ Form 519, Compliance Agreement**

J	completing and reviewing the collecti	on or information.	
UNITED STATES DEPARTME ANIMAL AND PLANT HEALTH PLANT PROTECTION A	I INSPECTION SERVICE	COMPLIA	NCE AGREEMENT
1. NAME AND MAILING ADDRESS OF I	PERSON OR FIRM	2. LOCATION	
3. REGULATED ARTICLE(S)			
4. APPLICABLE FEDERAL QUARANTII			
5. I/WE AGREE TO THE FOLLOWI	NG:		
6 SIGNATURE	I 7 TITLE		Is DATE SIGNED
6. SIGNATURE	7. TITLE		8. DATE SIGNED
		nent which shall remain in	8. DATE SIGNED  9. AGREEMENT NO.
6. SIGNATURE  The affixing of the signatures beleffect until cancelled, but may be	ow will validate this agreer		
The affixing of the signatures belo	ow will validate this agreer revised as necessary or re		9. AGREEMENT NO.
The affixing of the signatures beleffect until cancelled, but may be  11. PPQ/CBP OFFICIAL (NAME AND TI	ow will validate this agreer revised as necessary or re	evoked for noncompliance.	9. AGREEMENT NO.
The affixing of the signatures beleffect until cancelled, but may be	ow will validate this agreer revised as necessary or re	evoked for noncompliance.	9. AGREEMENT NO.
The affixing of the signatures beleffect until cancelled, but may be  11. PPQ/CBP OFFICIAL (NAME AND TI	ow will validate this agreer revised as necessary or re	evoked for noncompliance.	9. AGREEMENT NO.

FIGURE K-1-5: Example of PPQ Form 519, Compliance Agreement

#### **Purpose of PPQ Form 519**

PPQ Form 519, Compliance Agreement is used to formalize agreements and to provide signed, written agreement of methods, conditions, and procedures necessary for compliance with regulations. PPQ Form 519 has a variety of uses in both domestic and foreign quarantine programs. PPQ Form 519 is also used to submit as evidence for violation cases.

#### **Instructions to Complete PPQ Form 519**

Review compliance agreements at least annually, but preferably twice a year. Amend compliance agreements as appropriate.

Any oral cancellation of a compliance agreement **must** be confirmed in writing as soon as possible. The establishment has 10 days to appeal the cancellation. Appeals **must** be made to PPQ Deputy Administrator.

Complete PPQ Form 519 as instructed in Table K-1-2 on page K-1-10. See also *Commodity* • *Cotton* on page 4-2-1.

TABLE K-1-2: Instructions to Complete PPQ Form 519, Compliance Agreement

If the block is:	Then follow these instructions to complete PPQ Form 519:
1. NAME AND MAILING ADDRESS OF PERSON OR FIRM	LIST the name and mailing address of the person or establishment with whom the agreement is being made
2. LOCATION	LIST the location of the specific property(ies) for which the agreement is signed
3. REGULATED ARTICLE(S)	LIST the specific regulated articles to which the agreement applies, such as "Cotton Bales"
4. APPLICABLE FEDERAL QUARANTINE(S) OR REGULATIONS	LIST the legislative titles, parts, and subparts for the regulated articles, such as "7CFR353"
5. I/WE AGREE TO THE FOLLOWING	<ol> <li>Outline the stipulations which apply to the establishment for each quarantine or regulation affecting the establishment</li> <li>Make clear to the establishment that stipulations in the compliance agreement <b>do not</b> preclude compliance with other sections of a quarantine or regulation</li> <li>If there is <b>not</b> enough space to list the stipulations, write "See attached sheets" (attach the sheets to the original PPQ Form 519 and all its conics)</li> </ol>
6. SIGNATURE	and all its copies)  HAVE the responsible official of the establishment sign
7. TITLE	LIST the responsible official's title
8. DATE SIGNED	LIST the date the establishment official signed the agreement
9. AGREEMENT NO.	
10. DATE OF AGREEMENT	ASSIGN a compliance agreement number  LIST the date of the agreement
11. PPQ/CBP OFFICIAL (Name and Title)	LIST the name and title of the PPQ/CBP official executing this agreement
12. ADDRESS	LIST the PPQ/CBP address
13. SIGNATURE	PPQ/CBP official SIGNS
14-16	Complete Blocks 14-16 <b>only</b> when the State is involved in cooperating with enforcing Federal quarantines.
14. U.S. GOVERNMENT/ STATE AGENCY OFFICIAL	LIST the name and title of the State official
15. ADDRESS	LIST the State agency's address
16. SIGNATURE	HAVE the State official sign

#### **Distribution of PPQ Form 519**

Distribute PPQ Form 519 as follows in Table K-1-3:

TABLE K-1-3: Distribution of PPQ Form 519, Compliance Agreement

If the compliance agreement:	Then:
Affects one work unit	GIVE the original to the establishment     KEEP a copy for PPQ files in the area where the establishment is located
Affects <b>more</b> than one work unit	GIVE the original to the establishment     GIVE copies to all work units affected by the agreement <sup>1</sup> KEEP a copy for PPQ files in the area where the establishment is located

<sup>1</sup> For universal density compressed baled cotton compliant warehouses, forward the information from Blocks 1, 2 and 7 to your Export Certification Specialist (ECS); they in turn will forward this information to their Regional Program Manager (RPM) for inclusion on the national list. The ECS will then forward the updated national list to the field.

## PPQ Form 572, Application for Inspection and Certification of Domestic Plants and Plant Products for Export

No Phytosanitary Export Certificate can be issued until an application is completed (7 CFR 353).  FORM APPROVED OMB NO. 0579-0052	required to complete this information	on Act of 1995, no persons are required to respond to a collection of information unless it or. The valid OMB control number for this information collection is 0579-0952. The time to collection is estimated to average .25 minutes/hours per response, including the time for xisting data sources, gathering and maintaining the data needed, and completing and in.				
ANIMAL AND I PLANT F APPLICATION FOR INSPECTION A	PARTMENT OF AGRICULTURE PLANT HEALTH INSPECTION SERVICE PROTECTION AND QUARANTINE AND CERTIFICATION OF DO PRODUCTS FOR EXPORT	DMESTIC PLANTS AND	to the Officer in C treatment, and certification	PPLICANT - Forward original charge where inspections, cation will be given (Item 4). ru 11. OFFICER - Complete		
NAME AND ADDRESS OF EXPORTER		3. NAME AND ADDRESS OF	APPLICANT (or expor	ters agent)		
			AREA CODE AND	) PHONE NO.		
2. NAME AND ADDRESS OF FOREIGN CONSI	GNEE	4. PLACE WHERE ARTICLES AND/OR TREATMENT AND C				
		5. APPROX. DATE OF DEPAI	RTURE 6. F	PORT OF EXPORT		
	7. DESCRIPTION OF ART	ICLES TO BE CERTIFIED				
a. QUANTITY AND NAME OF PRODUCE AND BOTANICAL NAME						
b. NUMBER AND DESCRIPTION OF PACKAGES						
c. DISTINGUISHING MARKS						
d. CERTIFIED ORIGIN						
8. DECLARED MEANS OF CONVEYANCE		I certify that the origin (pla	ace where grown) o	of the articles listed is as		
9. DECLARED POINT OF ENTRY		10. SIGNATURE (applicant or	exporters agents)	11. DATE		
E	XPORT INSPECTION DATA - (To be filled in	n by Plant Protection and Quarantine Of	ficer)	1		
12. LOCATION OF ARTICLES		13. % OF MATERIALS EXAMINED	14. % OF I	MATERIALS INFESTED		
15. FINDINGS AND/OR TREATMENT GIVEN (Use reve	orse if necessary)					
16. SIGNATURE			17. DATE	AND TIME INSPECTED		

FIGURE K-1-6: Example of PPQ Form 572, Application for Inspection and Certification of Domestic Plants and Plant Products for Export

#### **Purpose of PPQ Form 572**

PPQ Form 572, Application for Inspection and Certification of Domestic Plants and Plant Products for Export is an exporter's application for services, and is utilized by ACOs as a worksheet to prepare export certificates and to record inspection results. Although this form is required by regulation and is the preferred method to request phytosanitary export certification, in practice alternative methods are used to obtain the necessary information for inspection and certification.

#### **Exporter Instructions to Complete PPQ Form 572**

PPQ Form  $572^2$  is available for public use from the following Web site address:

<a href="http://www.aphis.usda.gov/library/forms/">http://www.aphis.usda.gov/library/forms/</a>

PPQ Form 572 is recommended for those exporters who infrequently export commodities, or who **must** send commodities to PPQ offices for inspection. Exporters who partially complete PPQ Forms 577 and PPQ Forms 579 to apply for inspection may **not** be required to submit an application using PPQ Form 572.

#### **ACO Instructions to Complete PPQ Form 572**

ACOs record the results of inspection in the section titled Export Inspection Data. Inspection results may include discrepancies found in the description of articles to be certified (i.e., quantity, name, number, description of packages, distinguishing marks, or certified origin). See Table K-1-4 on page K-1-14 for instructions to complete the Export Inspection Data of PPQ Form 572.



ACOs are responsible for holding in strict confidence the information on PPQ Form 572.

<sup>2</sup> This application may be available online at locations piloting the Phytosanitary Certificate Issuance Tracking System (PCIT); contact the PCIT Coordinator of PPQ Export Services (ES) for further information.

TABLE K-1-4: Instructions to Complete PPQ Form 572, Application for Inspection and Certification of Domestic Plants and Plant Products for Export

If the block is:	Then follow these instructions to complete PPQ Form 572:
1-11	<ol> <li>Exporter, shipper, or broker completes Blocks 1-11</li> <li>ACOs check these blocks for legibility, accuracy, and completeness in order to complete an export certificate</li> </ol>
Export Inspection Da	ata (to be completed by ACOs)
12. LOCATION OF ARTICLES	LIST the place where you inspected the commodities
13. % OF MATERIALS EXAMINED	LIST the percentage (how much) of commodities that were inspected
14. % OF MATERIALS INFESTED	LIST the percentage of commodities that were infested or infected
15. FINDINGS AND/OR	LIST all findings and/or treatments given as a result of your inspection, which may include the following:
TREATMENT GIVEN (use reverse if	1. Pest or disease if infested or infected
necessary)	2. Details of the treatment if treated
,	3. Exporter actions to meet the phytosanitary import requirements (e.g., repackaging, reconditioning, or debarking)
	4. Unique or unusual situation about the shipment such as additional declarations to be entered on the export certificate
	5. Supporting documents provided by the exporter such as import permit copy, acceptable inspection certificate, State phytosanitary certificate, treatment information
	6. Intended use of commodity if needed to determine the phytosanitary requirements of the importing country (e.g., wheat grain or wheat seeds)
16. SIGNATURE	SIGN your name (ACO)
17. DATE AND TIME INSPECTED	ENTER the date and time the commodities were inspected

#### **Distribution of PPQ Form 572**

Once the export certificate is issued, attach PPQ Form 572, with inspection results, to the Issuing Office Copy.

There is **no** other distribution of PPQ Form 572 as this form **only** serves as the application for certification and a worksheet for ACOs.

## PPQ Form 575, Monthly Summary of Export Certificates Issued

U.S. DEPARTMENT OF AGE ANIMAL AND PLANT HEALTH INSE PLANT PROTECTION AND O MONTHLY SUMMARY OF EXPORT	PECTION SERVICE JUARANTINE	ISSUED		
1. WORK UNIT NAME AND STATION NAME	2. REGION			
	3. MONTH AND Y	'EAR		
TYPE OF CERTIFICATE	NUM	IBER		JNT COLLECTED
4. TIPE OF CERTIFICATE	PURCHASED	ISSUED	AND FORWA	RDED TO ASD
<ul> <li>A. Commercial Phytosanitary Certificates invoiced valued at or greater than \$1,250 (PPQ Form 577)</li> </ul>			\$	
All Non-commercial & Commercial Phytosanitary Certificates invoiced valued less than \$1,250 (PPQ Form 577)			\$	
C. Export Certificate, Processed Plant Products (PPQ Form 578)			\$	
D. Commercial Phytosanitary Certificates for Re-export (PPQ Form 579)			\$	
E. Commercial Phytosanitary Certificates for Re-export invoiced valued at less than \$1,250 (PPQ Form 579)			\$	
F. Re-issued Certificates (Non-prepaid)			\$	
G. Re-issued Certificates (Prepaid)				
H. Number of Voided or Unused Certificates				
I. Number of Certificates Issued under Non Contiguous Reimbursable Overtime				
J. Number of State and County Issued Certificates PPQ Form 577				
PPQ Form 578 PPQ Form 579			_	
CERTIFICATIO  I certify that the foregoing Export Certificates were purchased or issued during the month			and all monie	es collected
were forwarded to MRP Business Services, ASD, Minneapolis.  5. SIGNATURE  6. PRINT N	AME AND TITLE (PD or E	Designee)	7.	DATE
8. REMARKS				
NEGATIVE REP				
I certify that the foregoing Export Certificates were purchased or issued during the month from previous certificates have been forwarded to MRP Business Services, ASD, Minnea	apolis.		and all monie	
9. SIGNATURE 10. PRINT I	NAME AND TITLE (PD or	Designee)	1:	I. DATE
PPQ FORM 575 JAN 2002  PART 1-APHIS USER FEES BRANCH	PART 2-WORK I	INIT	PART 3-REG	GIONAL

FIGURE K-1-7: Example of PPQ Form 575, Monthly Summary of Export Certificates Issued (blank)

#### **INSTRUCTIONS FOR COMPLETING PPQ FORM 575**

- 1. Enter the work unit and work station name, for example, San Francisco, CA/Oakland, CA.
- 4A. Enter the number of certificates (PPQ 577) purchased at the commercial rate and the dollar amount collected and forwarded. This includes certificates individually purchased, blocks of certificates and those issued on contiguous reimbursable overtime.
- 4B. Enter the number of certificates (PPQ 577) purchased at the low value or non commercial rate and the dollar amount collected and forwarded. This includes certificates purchased individually and those issued on contiguous reimbursable overtime. NOTE: No blocks of certificates may be pre-purchased at this rate.
- 4C. Enter the number of certificates (PPQ 578) purchased (always commercial rate) and the dollar amount collected and forwarded. This includes certificates individually purchased, blocks of certificates and those issued on contiguous reimbursable overtime.
- 4D. Enter the number of certificates (PPQ 579) purchased at the commercial rate and the dollar amount collected and forwarded. This includes certificates individually purchased, blocks of certificates and those issued on contiguous reimbursable overtime.
- 4E. Enter the number of certificates (PPQ 579) purchased at the commercial low value rate and the dollar amount collected and forwarded. This includes certificates purchased individually and those issued on contiguous reimbursable overtime.

  NOTE: No blocks of certificates may be pre-purchased at this rate.
- 4F. Enter the number of certificates (PPQ 577, 578, 579) re-issued (federal supersedures) and the dollar amount collected and forwarded.
- 4G. Enter the number only of certificates (PPQ 577, 578, 579) re-issued (federal supersedures) receiving credit.
- 4H. Enter the number only of voided or unused certificates (PPQ 577, 578, 579) returned.
- 4I. Enter the number only of certificates (PPQ 577, 578, 579) issued on non contiguous reimbursable overtime.
- 4J. Enter the number of certificates (PPQ 577, 578, 579) issued (signed) by cooperators.
- 8. Use the remarks section when certificates are purchased at the \$50.00 rate but upon signature qualify for the \$23.00 rate. State the number of certificates originally sold at the \$50.00 rate and the number that qualified at the \$23.00 rate. Do not enter these figures in any other area.

NOTE: The shaded areas on PPQ Form 575 are not to be completed.

# PPQ Form 576, Attachment Sheet for Phytosanitary Certificate or Phytosanitary Certificate for Reexport

No phytosanitary certificate can be issued until an application is completed (7 CFR 353)  UNITED STATES DEPARTMENT OF AGRICULTURE	FC	OR OFFICIAL USE ONLY	PPROVED OMB NO. 0579-0052
ANIMAL AND PLANT HEALTH INSPECTION SERVICE PLANT PROTECTION AND QUARANTINE	ADDENDUM TO N	NO:	Sales Of the sales
ATTACHMENT SHEET FOR	FPC	TEN SE	
PHYTOSANITARY CERTIFICATE OR PHYTOSANITARY CERTIFICATE FOR REEXPORT	2. DATE:	3. PAGE NO:	
This attachment is issued by Authorized Certifying Officials under author Certificate (PPQ 577) or Phytosanitary Certificate for Reexport (PPQ 5 would normally be included on a PPQ 577 or PPQ 579, and its use is o PPQ 577 or PPQ 579.	79) must reference its	s use. This attachment sheet r	may only contain information that
4. ADDITIONAL INFORMATION:			
The state of the s	1		
5. NAME OF AUTHORIZED OFFICER: (Type or Print)	6. SIGNATURE OF A	AUTHORIZED OFFICER:	
	1		
	<u> </u>		
No liability shall attach to the United States Department of Agriculture or	to any officer or repre	esentative of the Department wi	th respect to this certificate.
According to the Paperwork Reduction Act of 1995, no persons are red number. The valid OMB control number for this information collection is average 1.20 hours per response, including the time for reviewing instr	s 0579-0052. The tim	e required to complete this info	rmation collection is estimated to
and completing and reviewing the collection of information.			

FIGURE K-1-9: Example of PPQ Form 576, Attachment Sheet for Phytosanitary Certificate or Phytosanitary Certificate for Reexport

#### **Purpose of PPQ Form 576**

PPQ Form 576, Attachment Sheet for Phytosanitary Certificate or Phytosanitary Certificate for Reexport is used when the required information for phytosanitary certification **does not** fit on the original PPQ Form 577 or 579. PPQ Form 576 can **only** include information that would normally be included on a PPQ Form 577 or 579. Reference to the attachment **must** be included in the appropriate block of the original PPQ Form 577 or PPQ Form 579. Multiple blocks on the PPQ Form 577 or PPQ Form 579 may reference this attachment sheet (PPQ Form 576).



The PPQ 576 attachment sheet cannot be used for PPQ Form 578.

#### **Instructions to Complete PPQ Form 576**

Refer to Table K-1-5 on page K-1-19.

TABLE K-1-5: Instructions to Complete PPQ Form 576, Attachment Sheet for Phytosanitary Certificate or Phytosanitary Certificate for Reexport

If the block is:	Then follow these instructions:
1. ADDENDUM TO NO.	Enter the serial number of the PPQ 577 or PPQ 579 that the attachment references.
2. DATE	Enter the date the attachment was issued by the certifying officer ( <b>must</b> be the same date as the issuance date of the PPQ 577 or PPQ 579).
3. PAGE NO.	Enter the page number of the attachment (if one attachment page, 2/2; if two attachment pages, 2/3 and 3/3).
4. ADDITIONAL INFORMATION	Enter the additional information. Be sure to first reference the corresponding section of the PPQ 577 or PPQ 579. If more than one section is included on the attachment sheet, a single horizontal line will indicate the start of another section. Once all the data is included, line out any unused portions of the line and the rest of the form (see example below).  4. Additional Information Block 10. Botanical Names of Plants Acer Saccharum Zea Mays Additional Declaration The plants are free from Mycosphaerella schoenoprasi and Ditylenchus dipsaci.  5. Name of Authorized Officer  6. Signature of Authorized Officer
5. NAME OF AUTHORIZED OFFICER	Enter the name of the Authorized Officer: TYPE or PRINT the name of the Authorized Certifying Official who will sign the certificate. NOTE: The signature on the PPQ 576 <b>must</b> be the same as the name on the original PPQ 577 or PPQ 579.
6. SIGNATURE OF AUTHORIZED OFFICER	Signature of Authorized Officer: <b>Must</b> be the signature of the Authorized Certifying Official that signed the original PPQ 577 or PPQ 579. NOTE: Stamped signatures are <b>not</b> permitted.