



Appendix A

Acceptable and Unacceptable Certificates and Documents

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Acceptable Certificates and Documents

Table A-1-1 on **page A-1-2** includes acceptable inspection certificates and documents issued by other agencies. Additional inspection would be unnecessary if the exporter presents one of the certificates or documents listed below.



All FGIS documentation listed in **Table A-1-1** on **page A-1-2** must be presented with FGIS Form 921-2 (01-07).

TABLE A-1-1: Acceptable Inspection Certificates and Documents

Issuing Agency/ Organization	Form Number	Form Title and Notes
Agricultural Marketing Service (AMS) ¹	FV 146	Certificate of Quality and Condition (Processed Foods)
	FV 147	Certificate of Quality and Condition (Processed Foods) Quality Assurance
	FV 149	Certificate of Quality and Condition (Processed Foods) Continuous Inspection
	FV 294	Report on Sanitary Inspection (issued for apples, pears, emperor grapes, and some vegetables)
Federal Grain Inspection Service (FGIS) ²	FGIS 905-1 ³	Official Grain Inspection, Official Sample-Lot Inspection
	FGIS 905-L ³	Laser printed FGIS 905
	FGIS 909 ³	Official Export Grain Inspection Certificate
	FGIS 909-1 ³	Official Export Grain Inspection Certificate-Divided Lot
	FGIS 909-1L ³	Laser printed FGIS 909-1
	FGIS 909-2 ³	Official Export Grain Inspection Certificate
	FGIS 921-2 (01-07)	Inspection Report—Insects in Grain
	FGIS 956 ³	Rice Inspection Services Certificate
	FGIS 956-1 ³	Rice Inspection Services Certificate
	FGIS 956-L ³	Laser printed FGIS 956
	FGIS 993 ³	Commodity Inspection Certificate
FGIS 993-L ³	Laser printed FGIS 993	
Florida	FV 185-C	Florida Export Citrus Fruit Inspection Report
Oregon	Inspection Report	Oregon State Seed Laboratory Inspection Report
Various States	State phytosanitary certificate	Use only to attest to the import requirements of a foreign country that cannot be ascertained at the time of export (e.g., growing season inspection, freedom from certain pests at the place of growing or production, specific origin)

- 1 In cases where the AMS form **does not** meet a foreign country's import requirements, then it will be necessary to either obtain a State phytosanitary certificate attesting to the requirements or conduct an additional inspection or treatment. For example, if freedom from specific quarantine pests is required by a particular country and the pests are **not** listed on FV Form 294 issued by AMS, then an additional phytosanitary inspection **must** be conducted before the fruits can be certified. Also, specific statements may be required on the form relating to sampling guidelines and freedom from pests. For example, when fruits are being inspected from Colombia, Brazil, or Venezuela, the following statement is required on the form, "This fruit was inspected for exports to [enter destination country] and was found free of codling moth, San Jose scale, and apple maggot. The rate of inspection was 2 percent with 1 percent of that being cut."
- 2 In cases where an acceptable FGIS inspection certificate is used as the basis for issuing an export certificate, the exporter or GIPSA inspector **must** contact a local ACO to identify the phytosanitary import requirements in preparation for the GIPSA inspection.
- 3 This document must be accompanied by FGIS Form 921-2 (01-07).

Unacceptable Certificates

Table A-1-2 on page A-1-3 includes unacceptable inspection certificates. Additional inspection would still be required if the exporter presents one of the certificates listed below.

TABLE A-1-2: Unacceptable Inspection Certificates

Issuing Agency/Organization	Form Number	Form Title and Notes
Agricultural Marketing Service (AMS)	FV 184	Federal-State Inspection Certificate
Federal Grain Inspection Service (FGIS)	FGIS 914	Official Certificate, Submitted Sample Inspection
	FGIS 915	Official Stowage Examination Certificate
	FGIS 916	Official Certificate
	FGIS 994	Commodity Certificate, Submitted Sample Inspection
	IN 415	Official Certificate, Warehouseman's Sample, Lot Inspection

Examples of Acceptable Forms

AMS Form FV 146, Certificate of Quality and Condition (Processed Foods)

AMS Form FV 146, Certificate of Quality and Condition (Processed Foods) may be used in place of inspection. See [Figure A-1-1](#) for an example of AMS Form FV 146CS (9-92).

UNITED STATES DEPARTMENT OF AGRICULTURE AGRICULTURAL MARKETING SERVICE CERTIFICATE OF QUALITY AND CONDITION (PROCESSED FOODS)		Please refer to this certificate by number and inspection office.
This certificate is receivable in all courts of the United States as prima facie evidence of the truth of the statements therein contained. It does not excuse failure to comply with any applicable Federal or State laws. WARNING: Any person who knowingly falsely make, issue, alter, forge, or counterfeit this certificate, or participate in any such action, is subject to a fine of not more than \$1,000 or imprisonment for not more than one year, or both (7 U.S.C. 1622 (h)). The conduct of all services and the licensing of all personnel under the regulations governing such services shall be accomplished without discrimination as to race, color, religion, sex, or national origin.		A-
APPLICANT _____ ADDRESS _____		DATE _____
RECEIVER OR BUYER _____ ADDRESS _____		
SOURCE OF SAMPLES _____ PRODUCT INSPECTED _____		
CODE MARKS ON CONTAINERS _____		
PRINCIPAL LABEL MARKS _____		
GRADE: _____		
REMARKS: _____		
<i>Pursuant to the regulations issued by the Secretary of Agriculture under the Agricultural Marketing Act of 1946, as amended (7 U.S.C. 1621-1627), governing the inspection certification of the product designated herein, I certify that the quality and condition of the product as shown by samples inspected on the above date were as shown, subject to any restrictions specified above.</i>		
ADDRESS OF INSPECTION OFFICE _____		SIGNATURE OF INSPECTOR _____

FIGURE A-1-1: Example of AMS Form FV 146CS (9-92), Certificate of Quality and Condition (Processed Foods) (blank)

AMS Form FV 147, Certificate of Quality and Condition (Processed Foods) Quality Assurance

AMS Form FV 147, Certificate of Quality and Condition (Processed Foods) Quality Assurance may be used in place of inspection. See [Figure A-1-2](#) for an example of AMS Form FV 147CS (9-92).


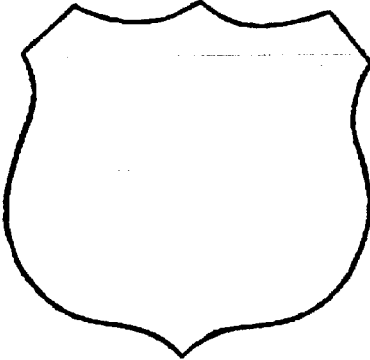

UNITED STATES DEPARTMENT OF AGRICULTURE AGRICULTURAL MARKETING SERVICE		Please refer to this certificate by number and inspection office. <div style="font-size: 2em; font-weight: bold;">D- 000000</div>
 CERTIFICATE OF QUALITY AND CONDITION (PROCESSED FOODS)		
<div style="font-size: 1.5em; font-weight: bold;">QUALITY ASSURANCE</div>		DATE
<p><small>This certificate is receivable in all courts of the United States as prime facie evidence of the truth of the statements therein contained. It does not excuse failure to comply with any applicable Federal or State laws. WARNING: Any person who knowingly falsely makes, issues, alters, forges, or counterfeits this certificate, or participate in any such action, is subject to a fine of not more than \$1,000 or imprisonment for not more than one year, or both (7U.S.C. 1622 (h)).</small></p> <p><small>The conduct of all services and the licensing of all personnel under the regulations governing such services shall be accomplished without discrimination as to race, color, religion, sex, or national origin.</small></p>		
APPLICANT	ADDRESS	
RECEIVER OR BUYER	ADDRESS	
CODE MARKS ON CONTAINERS	PRODUCT INSPECTED	
PRINCIPAL LABEL MARKS		
		
GRADE:		
REMARKS:		
<p><small>Pursuant to the regulations issued by the Secretary of Agriculture under the Agricultural Marketing Act of 1946, as amended (7 U.S.C. 1621-1627), governing the inspection certification of the product designated herein, I certify that the quality and condition of the product as shown by samples inspected on the above date were as shown, subject to any restrictions specified above.</small></p>		
		SIGNATURE OF INSPECTOR
ADDRESS OF INSPECTION OFFICE		
<small>FORM FV 147CS (9-92) *U.S. GPO: 1982-335-648</small>		

FIGURE A-1-2: Example of AMS Form FV 147CS (9-92), Certificate of Quality and Condition (Processed Foods) Quality Assurance (blank)

AMS Form FV 149, Certificate of Quality and Condition (Processed Foods) Continuous Inspection

AMS Form FV 149, Certificate of Quality and Condition (Processed Foods) Continuous Inspection may be used in place of inspection. See [Figure A-1-3](#) for an example of AMS Form FV 149CS (9-92).

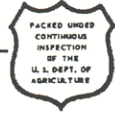
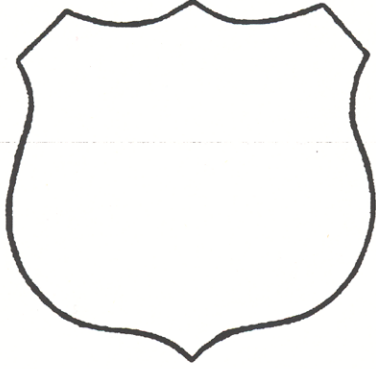
UNITED STATES DEPARTMENT OF AGRICULTURE AGRICULTURAL MARKETING SERVICE		Please refer to this certificate by number and inspection office. K-00000
CERTIFICATE OF QUALITY AND CONDITION (PROCESSED FOODS)		
		DATE
<h1>CONTINUOUS INSPECTION</h1>		
<p>This certificate is receivable in all courts of the United States as prima facie evidence of the truth of the statements therein contained. It does not excuse failure to comply with any applicable Federal or State laws. WARNING: Any person who knowingly falsely make, issue, alter, forge, or counterfeit this certificate, or participate in any such action, is subject to a fine of not more than \$1,000 or imprisonment for not more than one year, or both (7 U.S.C. 1622 (h)). The conduct of all services and the licensing of all personnel under the regulations governing such services shall be accomplished without discrimination as to race, color, religion, sex, or national origin.</p>		
APPLICANT	ADDRESS	
RECEIVER OR BUYER	ADDRESS	
CODE MARKS ON CONTAINERS	PRODUCT INSPECTED	
PRINCIPAL LABEL MARKS		
		
GRADE:		
REMARKS:		
<i>Pursuant to the regulations issued by the Secretary of Agriculture under the Agricultural Marketing Act of 1946, as amended (7 U.S.C. 1621-1627), governing the inspection certification of the product designated herein, I certify that the quality and condition of the product as shown by samples inspected on the above date were as shown, subject to any restrictions specified above.</i>		
ADDRESS OF INSPECTION OFFICE		SIGNATURE OF INSPECTOR
FORM FV-149CS (9-92) <small>U.S. GPO: 1994-523-112</small>		

FIGURE A-1-3: Example of AMS Form FV 149CS (9-92), Certificate of Quality and Condition (Processed Foods) Continuous Inspection (blank)

FGIS Form 905-L, Official Grain Inspection Certificate, Official Sample-Lot Inspection
 FGIS Form 905-L, Official Grain Inspection Certificate, Official Sample-Lot Inspection **must** be used in conjunction with FGIS Form 921-2 (01-07). See [Figure A-1-5](#) for an example of FGIS Form 905-L (10-06).

FORM FGIS-905-L (3) **1** UNITED STATES DEPARTMENT OF AGRICULTURE
 FEDERAL GRAIN INSPECTION SERVICE
4 U.S. GRAIN STANDARDS ACT
5 OFFICIAL GRAIN INSPECTION CERTIFICATE
6 OFFICIAL SAMPLE-LOT INSPECTION

APPROVED OMB NO. 0580-0013 (see reverse for statement)
2 ORIGINAL NOT NEGOTIABLE
 US- **7**
9

ISSUED AT **8** DATE OF SERVICE

10 I certify that I am licensed or authorized under the United States Grain Standards Act (7 U.S.C. 71 et seq.) to inspect the kind of grain covered by this certificate and that on the above date the following identified grain was inspected under the Act, with the following results:

Level of Inspection 11	Type of Movement	DATE Sampled 14	Method of Sampling 16
Location 12	Identification of Carrier 13	15	QUANTITY (This is not a weight certificate) 17

GRADE AND KIND **18**

19

REMARKS **20**

21

APPLICANT **22** NAME OR SIGNATURE **23**

This certificate is issued under the authority of the United States Grain Standards Act, as amended (7 U.S.C. 71 et seq.), and the regulations thereunder (7 CFR 800.0 et seq.). It is issued to show the kind, class, grade, quality, condition, or quantity of grain, or the condition of a carrier or container for the storage or transportation of grain, or other facts relating to grain as determined by official personnel. The statements on the certificate are considered true at the time and place the inspection or weighing service was performed. The certificate is not considered representative of the lot if the grain is transhipped or is otherwise transferred from the identified carrier or container or if grain or other material is added to or removed from the total lot. If this certificate is not canceled by a superseding certificate, it is receivable by all officers and all courts of the United States as prima facie evidence of the truth of the facts stated therein. This certificate does not excuse failure to comply with the provisions of the Federal Food, Drug, and Cosmetic Act, or other Federal law. The conduct of all services and the licensing of the (inspecting/grading/sampling) personnel under the regulations governing such services shall be accomplished without discrimination as to race, color, religion, sex, national origin, age, or handicap.
WARNING: Any person who shall knowingly falsely make, issue, alter, forge, or counterfeit this certificate, or participate in any such actions, or otherwise violate provisions in the U.S. Grain Standards Act, the U.S. Warehouse Act, or related Federal laws is subject to criminal, civil, and administrative penalties. **24**
25

FIGURE A-1-5: Example of FGIS Form 905-L (10-06), Official Grain Inspection Certificate, Official Sample-Lot Inspection (blank)

FGIS Form 909-L, Official Export Grain Inspection Certificate

FGIS Form 909-L, Official Export Grain Inspection Certificate, **must** be used in conjunction with FGIS Form 921-2 (01-07). The shield printed on this form is gradually being phased out. Currently both forms of this certificate are acceptable, with or without the shield. See [Figure A-1-6](#) for an example of FGIS Form 909-L (May 04).



FORM FGIS-909-L MAY 04 (Edition FEB 99 may be used)	UNITED STATES DEPARTMENT OF AGRICULTURE FEDERAL GRAIN INSPECTION SERVICE U.S. GRAIN STANDARDS ACT OFFICIAL EXPORT GRAIN INSPECTION CERTIFICATE	APPROVED OMB NO. 0580-0013 (See reverse for statement) ORIGINAL NOT NEGOTIABLE US-
	ISSUED AT _____	DATE OF SERVICE _____
I certify that I am licensed or authorized under the United States Grain Standards Act (7 U.S.C. 71 et seq) to inspect the kind of grain covered by this certificate and that on the above date the following identified grain was inspected under the Act, with the following results:		
<input type="checkbox"/> Original Inspection <input type="checkbox"/> Reinspection <input type="checkbox"/> Appeal Inspection <input type="checkbox"/> Board Appeal Inspection		
QUANTITY (This is NOT a weight certificate) _____		
LOCATION _____	IDENTIFICATION OF CARRIER _____	
GRADE AND KIND (in accordance with the Official Grain Standards of the United States) _____		
		
STOWAGE _____		
REMARKS _____		
APPEAL NO (if applicable) _____	APPLICANT _____	NAME AND SIGNATURE _____
<small>This certificate is issued under the authority of the United States Grain Standards Act, as amended (7 U.S.C. 71 et seq.), and the regulations thereunder (7 CFR 900.0 et seq.). It is issued to show the kind, class, grade, quality, condition, or quantity of grain, or the condition of a carrier or container for the storage or transportation of grain, or other facts relating to grain as determined by official personnel. The statements on the certificate are considered true at the time and place the inspection or weighing service was performed. The certificate is not considered representative of the lot if the grain is transhipped or is otherwise transferred from the identified carrier or container or if grain or other material is added to or removed from the total lot. If this certificate is not canceled by a superseding certificate, it is receivable by all officers and all courts of the United States as prima facie evidence of the truth of the facts stated therein. This certificate does not excuse failure to comply with the provisions of the Federal Food, Drug, and Cosmetic Act, or other Federal law. WARNING: Any person who shall knowingly falsely make, issue, alter, forge, or counterfeit this certificate, or participate in any such actions, or otherwise violate provisions in the U.S. Grain Standards Act, the U.S. Warehouse Act, or related Federal laws is subject to criminal, civil, and administrative penalties. The conduct of all services and the licensing of personnel under the regulations governing such services shall be accomplished without discrimination on the basis of race, color, religion, sex, national origin, age, or handicap.</small>		
EXPORT		

FIGURE A-1-6: Example of FGIS Form 909-L (May 04), Official Export Grain Inspection Certificate (blank)

FGIS Form 909-1L, Official Export Grain Inspection Certificate-Divided Lot

FGIS Form 909-1L, Official Export Grain Inspection Certificate-Divided Lot, **must** be used in conjunction with FGIS Form 921-2 (01-07). This form looks almost exactly like FGIS Form 909, **except** FGIS Form 909-1L contains the words “Divided Lot” in the upper right corner to be used for a split shipment. See [Figure A-1-7](#) for an example of FGIS Form 909-1L.

FGIS FORM 909-1L

APPROVED OMB NO. 0580-0013
(See reverse for statement)

1 UNITED STATES DEPARTMENT OF AGRICULTURE
FEDERAL GRAIN INSPECTION SERVICE

2 DIVIDED LOT - ORIGINAL
NOT NEGOTIABLE

3

4 U.S. GRAIN STANDARDS ACT
OFFICIAL EXPORT GRAIN INSPECTION CERTIFICATE

5 _____

6 US- _____

7 ISSUED AT _____

8 DATE OF SERVICE _____

9 I certify that I am licensed or authorized under the United States Grain Standards Act (7 U.S.C. 71 et seq.) to inspect the kind of grain covered by this certificate and that on the above date the following identified grain was inspected under the Act, with the following results:

10 Original Inspection Reinspection Appeal Inspection Board Appeal Inspection

11 QUANTITY (This is NOT a weight certificate)

12 LOCATION _____

13 IDENTIFICATION OF CARRIER _____

14 GRADE AND KIND (In accordance with the Official Grain Standards of the United States) _____

15 _____

16 _____

17 STOWAGE _____

18 REMARKS _____

19 _____

20 APPEAL NO (If applicable) _____

21 APPLICANT _____

22 NAME AND SIGNATURE _____

23 This certificate is issued under the authority of the United States Grain Standards Act, as amended (7 U.S.C. 71 et seq.), and the regulations thereunder (7 CFR 800.0 et seq.). It is issued to show the kind, class, grade, quality, condition, or quantity of grain, or the condition of a carrier or container for the storage or transportation of grain, or other facts relating to grain as determined by official personnel. The statements on the certificate are considered true at the time and place the inspection or weighing service was performed. The certificate is not considered representative of the lot if the grain is transhipped or is otherwise transferred from the identified carrier or container or if grain or other material is added to or removed from the total lot. If this certificate is not cancelled by a superseding certificate, it is receivable by all officers and all courts of the United States as prima facie evidence of the truth of the facts stated therein. This certificate does not excuse failure to comply with the provisions of the Federal Food, Drug, and Cosmetic Act, or other Federal law.

24 WARNING: Any person who shall knowingly falsely make, issue, alter, forge, or counterfeit this certificate, or participate in any such actions, or otherwise violate provisions in the U.S. Grain Standards Act, the U.S. Warehouse Act, or related Federal laws is subject to criminal, civil, and administrative penalties.
The conduct of all services and the licensing of personnel under the regulations governing such services shall be accomplished without discrimination as to race, color, religion, sex, national origin, age, or handicap.

25 EXPORT

FIGURE A-1-7: Example of FGIS Form 909-1L, Official Export Grain Inspection Certificate-Divided Lot (blank)

FGIS Form 909-2, Official Export Grain Inspection Certificate

FGIS Form 909-2, Official Export Grain Inspection Certificate, **must** be used in conjunction with FGIS Form 921-2 (01-07). However, this form is **only** used at interior locations that are loading export shipments and is **never** used at export elevators. This form has blanks for rating quality factors. (Compare with FGIS Form 909.) See the following figures for examples of various FGIS Form 909-2 as follows:


- ◆ **Figure A-1-8** on **page A-1-12** for an example of FGIS Form 909-2 (3-92 outdated); current form is dated 11-96
- ◆ **Figure A-1-9** on **page A-1-13** for an example of FGIS Form 909-2L AGT (March 04) (blank)
- ◆ **Figure A-1-10** on **page A-1-14** for an example of FGIS Form 909-2L IBS (Dec 04) (blank)
- ◆ **Figure A-1-11** on **page A-1-15** for an example of FGIS Form 909-L2 GIP (June 03) (blank)
- ◆ **Figure A-1-12** on **page A-1-16** for an example of FGIS Form 909-L2 MN (April 04) (blank)
- ◆ **Figure A-1-13** on **page A-1-17** for an example of FGIS Form 909-2L SH (May 03) (blank)

Appendix A: Acceptable and Unacceptable Certificates and Documents
 Examples of Acceptable Forms

FORM FGIS 909-2L

1 UNITED STATES DEPARTMENT OF AGRICULTURE
 FEDERAL GRAIN INSPECTION SERVICE

2 APPROVED OMB NO. 0580-0013
 ORIGINAL NOT NEGOTIABLE


3  4 U.S. GRAIN STANDARDS ACT

5 OFFICIAL EXPORT GRAIN INSPECTION CERTIFICATE 6 US-

7 ISSUED AT 8 DATE OF SERVICE

I certify that I am licensed or authorized under the United States Grain Standards Act (7 U.S.C. 71 et seq.) to inspect the kind of grain covered by this certificate and that on the above date the following identified grain was inspected under the Act, with the following results: 9

Level of Inspection 10	DATE Sampled 11	Method of Sampling 12
Location 13	Identification of Carrier 14	QUANTITY (This is not a weight certificate) 15
GRADE AND KIND 16		
TEST WEIGHT per bushel 17	MOISTURE	Damaged Kernels (TOTAL)
	Foreign material	Broken corn and foreign material
REMARKS 18		

19 

20 APPEAL NO. (if applicable) 21 APPLICANT 22 NAME AND SIGNATURE

This certificate is issued under the authority of the United States Grain Standards Act, as amended (7 U.S.C. 71 et seq.), and the regulations thereunder (7 CFR 800.0 et seq.). It is issued to show the kind, class, grade, quality, condition, or quantity of grain, or the condition of a carrier or container for the storage or transportation of grain, or other facts relating to grain as determined by official personnel. The statements on the certificate are considered true at the time and place the inspection or weighing service was performed. The certificate is not considered representative of the lot if the grain is transhipped or is otherwise transferred from the identified carrier or container or if grain or other material is added to or removed from the total lot. If this certificate is not canceled by a superseding certificate, it is revocable by all officers and all courts of the United States as prima facie evidence of the truth of the facts stated therein. This certificate does not excuse failure to comply with the provisions of the Federal Food, Drug, and Cosmetic Act, of other Federal law. The conduct of all services and the licensing of the inspecting/grading/harvesting personnel under the regulations governing such services shall be accomplished without discrimination as to race, color, religion, sex, national origin, age, or handicap.

WARNING: Any person who shall knowingly falsify, make, issue, alter, forge, or counterfeit this certificate, or participate in any such actions, or otherwise violate provisions in the U.S. Grain Standards Act, the U.S. Warehouse Act, or related Federal laws is subject to criminal, civil, and administrative penalties.

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information is 0580-0013. The time required to disclose this recordkeeping requirement is to average 57 hours per recordkeeper annually, including the time to retain such records, and to notify, disclose, and report to third parties such recordkeeping requirements.

FIGURE A-1-8: Example of Form FGIS 909-2L (10-06), Official Export Grain Inspection Certificate (blank)

FORM FGIS-909-2L AGT MARCH 04	UNITED STATES DEPARTMENT OF AGRICULTURE FEDERAL GRAIN INSPECTION SERVICE U.S. GRAIN STANDARDS ACT OFFICIAL EXPORT GRAIN INSPECTION CERTIFICATE	ORIGINAL NOT NEGOTIABLE US-KS																								
_____ (ISSUED AT)		_____ (DATE OF SERVICE)																								
I certify that I am licensed or authorized under the United States Grain Standards Act (7 U.S.C. 71 et seq.) to inspect the kind of grain covered by this certificate and that on the above date the following identified grain was inspected under the Act, with the following results:																										
<input type="checkbox"/> ORIGINAL INSPECTION <input type="checkbox"/> REINSPECTION <input type="checkbox"/> APPEAL INSPECTION <input type="checkbox"/> BOARD APPEAL INSPECTION																										
QUANTITY (This is NOT a Weight Certificate)	IDENTIFICATION OF CARRIER																									
LOCATION	METHOD OF SAMPLING	DATE SAMPLED																								
GRADE AND KIND (In accordance with the Official Grain Standards of the United States)																										
<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 40%;">Test weight per bushel</td> <td style="width: 10%;">Lbs.</td> <td style="width: 40%;">Broken corn and foreign material</td> <td style="width: 10%;">%</td> </tr> <tr> <td>Moisture</td> <td>%</td> <td>Broken kernels, foreign material, and other grains</td> <td>%</td> </tr> <tr> <td>Damaged kernels (total)</td> <td>%</td> <td>Heat-damaged kernels</td> <td>%</td> </tr> <tr> <td>Foreign material</td> <td>%</td> <td>Splits</td> <td>%</td> </tr> <tr> <td>Shrunken and broken kernels</td> <td>%</td> <td>Contrasting classes</td> <td>%</td> </tr> <tr> <td>Defects (total)</td> <td>%</td> <td>Wheat of other classes (total)</td> <td>%</td> </tr> </table>			Test weight per bushel	Lbs.	Broken corn and foreign material	%	Moisture	%	Broken kernels, foreign material, and other grains	%	Damaged kernels (total)	%	Heat-damaged kernels	%	Foreign material	%	Splits	%	Shrunken and broken kernels	%	Contrasting classes	%	Defects (total)	%	Wheat of other classes (total)	%
Test weight per bushel	Lbs.	Broken corn and foreign material	%																							
Moisture	%	Broken kernels, foreign material, and other grains	%																							
Damaged kernels (total)	%	Heat-damaged kernels	%																							
Foreign material	%	Splits	%																							
Shrunken and broken kernels	%	Contrasting classes	%																							
Defects (total)	%	Wheat of other classes (total)	%																							
REMARKS																										
APPEAL NO. (if applicable)	APPLICANT	NAME AND SIGNATURE																								
<small> This certificate is issued under the authority of the United States Grain Standards Act, as amended (7 U.S.C. 71 et seq.), and the regulations thereunder (7 CFR 800.0 et seq.). It is issued to show the kind, class, grade, quality, condition, or quantity of grain or the condition of a carrier or container for the storage or transportation of grain, or other facts relating to grain as determined by official personnel. The statements on the certificate are considered true at the time and place the inspection or weighing service was performed. The certificate shall not be considered representative of the lot if the grain is transhipped or is otherwise transferred from the identified carrier or container or if grain or other material is added to or removed from the total lot. If this certificate is not cancelled by a superseding certificate, it is receivable by all officers and all courts of the United States as prima facie evidence of the truth of the facts stated herein. This certificate does not excuse failure to comply with the provisions of the Federal Food, Drug, and Cosmetics Act, or other Federal law. WARNING: Any person who shall knowingly falsely make, issue, alter, forge, or counterfeit this certificate, or participate in any such actions, or otherwise violate provisions in the U.S. Grain Standards Act, the U.S. Warehouse Act, or related Federal law, is subject to criminal, civil, and administrative penalties. The conduct of all services and the licensing of (inspecting/grading/sampling) personnel under the regulations governing such services shall be accomplished without discrimination as to race, color, sex, national origin, age, or handicap. </small>																										

FIGURE A-1-9: Example of FGIS Form 909-2L AGT (March 04), Official Export Grain Inspection Certificate (blank)

Appendix A: Acceptable and Unacceptable Certificates and Documents
 Examples of Acceptable Forms


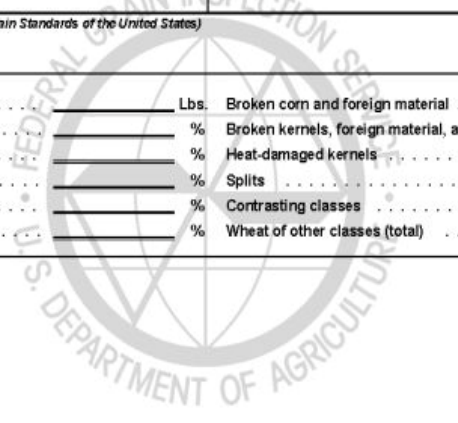
FORM FGIS-909-2L IBS DEC 04	UNITED STATES DEPARTMENT OF AGRICULTURE FEDERAL GRAIN INSPECTION SERVICE U.S. GRAIN STANDARDS ACT OFFICIAL EXPORT GRAIN INSPECTION CERTIFICATE	ORIGINAL NOT NEGOTIABLE
	US-MM100001	
_____ (ISSUED AT)		_____ (DATE OF SERVICE)
I certify that I am licensed or authorized under the United States Grain Standards Act (7 U.S.C. 71 <i>et seq.</i>) to inspect the kind of grain covered by this certificate and that on the above date the following identified grain was inspected under the Act with the following results:		
<input type="checkbox"/> ORIGINAL INSPECTION <input type="checkbox"/> REINSPECTION <input type="checkbox"/> APPEAL INSPECTION <input type="checkbox"/> BOARD APPEAL INSPECTION		
QUANTITY (This is NOT a Weight Certificate)	IDENTIFICATION OF CARRIER	
LOCATION	METHOD OF SAMPLING	DATE SAMPLED
GRADE AND KIND (in accordance with the Official Grain Standards of the United States)		
Test weight per bushel _____ Lbs.	Broken corn and foreign material _____ %	
Moisture _____ %	Broken kernels, foreign material, and other grains _____ %	
Damaged kernels (total) _____ %	Heat-damaged kernels _____ %	
Foreign material _____ %	Splits _____ %	
Shrunken and broken kernels _____ %	Contrasting classes _____ %	
Defects (total) _____ %	Wheat of other classes (total) _____ %	
REMARKS		
		
APPEAL NO. (if applicable)	APPLICANT	NAME AND SIGNATURE
<small> This certificate is issued under the authority of the United States Grain Standards Act, as amended (7 U.S.C. 71 <i>et seq.</i>), and the regulations thereunder (7 CFR 800.0 <i>et seq.</i>). It is issued to show the kind, class, grade, quality, condition, or quantity of grain or the condition of a carrier or container for the storage or transportation of grain, or other facts relating to grain as determined by official personnel. The statements on the certificate are considered true at the time and place the inspection or weighing service was performed. The certificate shall not be considered representative of the lot if the grain is transhipped or is otherwise transferred from the identified carrier or container or if grain or other material is added to or removed from the total lot. If this certificate is not cancelled by a superseding certificate, it is receivable by all officers and all courts of the United States as prima facie evidence of the truth of the facts stated herein. This certificate does not excuse failure to comply with the provisions of the Federal Food, Drug, and Cosmetics Act, or other Federal law. WARNING: Any person who shall knowingly falsely make, issue, alter, forge, or counterfeit this certificate, or participate in any such actions, or otherwise violate provisions in the U.S. Grain Standards Act, the U.S. Warehouse Act, or related Federal law, is subject to criminal, civil, and administrative penalties. The conduct of all services and the licensing of (inspecting/grading/sampling) personnel under the regulations governing such services shall be accomplished without discrimination as to race, color, sex, national origin, age, or handicap. </small>		

FIGURE A-1-10: Example of FGIS Form 909-2L IBS (Dec 04), Official Export Grain Inspection Certificate (blank)

FORM FGIS-909-L2 GIP JUN 03

UNITED STATES DEPARTMENT OF AGRICULTURE
 FEDERAL GRAIN INSPECTION SERVICE

ORIGINAL
 NOT NEGOTIABLE

U.S. GRAIN STANDARDS ACT
OFFICIAL EXPORT GRAIN INSPECTION CERTIFICATE **US-**

(ISSUED AT) _____ (DATE OF SERVICE) _____

I certify that I am licensed or authorized under the United States Grain Standards Act (7 U.S.C. 71 et seq.) to inspect the kind of grain covered by this certificate and that on the above date the following identified grain was inspected under the Act with the following results.

Level of inspection	DATE SAMPLED	METHOD OF SAMPLING
LOCATION	IDENTIFICATION OF CARRIER	QUANTITY (This is not a weight certificate)
GRADE AND KIND		

Test Weight (per bushel)	Moisture								


REMARKS:

APPEAL NO. (if applicable) _____ APPLICANT _____ NAME OR SIGNATURE _____

This certificate is issued under the authority of the United States Grain Standards Act, as amended (7 U.S.C. 71 et seq.) and the regulations thereunder (7 CFR 800.0 et seq.). It is issued to show the kind, class, grade, quality, condition, or quantity of grain; or the condition of a carrier or container for the storage or transportation of grain; or other facts relating to grain as determined by official personnel. The statements on this certificate are considered true at the time and place the inspection or the weighing service was performed. This certificate is not considered representative of the lot if the grain is transhipped or is otherwise transferred from the identified carrier or container or if grain or other material is added to or removed from the lot. If this certificate is not considered by a superseding certificate, it is receivable by all officers and all courts of the United States as prima facie evidence of the truth of the facts stated therein. This certificate does not excuse failure to comply with the provisions of the Federal Food, Drug, and Cosmetic Act, or other Federal Law. The conduct of all services and the licensing of (inspecting/grading/sampling) personnel under the regulations governing such services shall be accomplished without discrimination as to race, color, religion, sex, national origin, age, or handicap. **WARNING: Any person who shall knowingly falsify, make, issue, alter, forge, or counterfeit this certificate, or participate in any such actions, or otherwise violate provisions in the U.S. Grain Standards Act, the U.S. Warehouse Act, or related Federal laws is subject to criminal, civil, and administrative penalties.**

FIGURE A-1-11: Example of FGIS Form 909-L2 GIP (Jun 03), Official Export Grain Inspection Certificate (blank)

FORM FGIS-909-2L SH
MAY 03



UNITED STATES DEPARTMENT OF AGRICULTURE
FEDERAL GRAIN INSPECTION SERVICE

U.S. GRAIN STANDARDS ACT
OFFICIAL EXPORT GRAIN INSPECTION CERTIFICATE

COPY
NOT NEGOTIABLE

US-

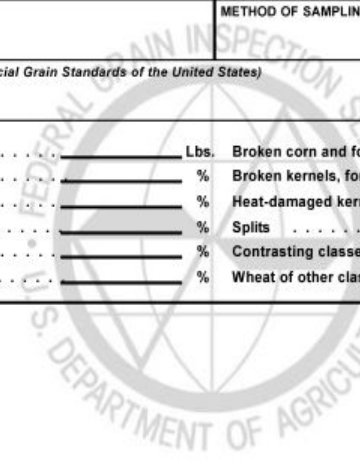
(ISSUED AT)

(DATE OF SERVICE)

I certify that I am licensed or authorized under the United States Grain Standards Act (7 U.S.C. 71 et seq.) to inspect the kind of grain covered by this certificate and that on the above date the following identified grain was inspected under the Act with the following results:

ORIGINAL INSPECTION
 REINSPECTION
 APPEAL INSPECTION
 BOARD APPEAL INSPECTION

QUANTITY (This is NOT a Weight Certificate)	IDENTIFICATION OF CARRIER	
LOCATION	METHOD OF SAMPLING	DATE SAMPLED
GRADE AND KIND (In accordance with the Official Grain Standards of the United States)		
Test weight per bushel	Lbs.	Broken corn and foreign material %
Moisture	%	Broken kernels, foreign material, and other grains %
Damaged kernels (total)	%	Heat-damaged kernels %
Foreign material	%	Splits %
Shrunken and broken kernels	%	Contrasting classes %
Defects (total)	%	Wheat of other classes (total) %
REMARKS		



APPEAL NO. (if applicable)	APPLICANT	NAME AND SIGNATURE
----------------------------	-----------	--------------------

This certificate is issued under the authority of the United States Grain Standards Act, as amended (7 U.S.C. 71 et seq.), and the regulations thereunder (7 CFR 800.0 et seq.). It is issued to show the kind, class, grade, quality, condition, or quantity of grain or the condition of a carrier or container for the storage or transportation of grain, or other facts relating to grain as determined by official personnel. The statements on the certificate are considered true at the time and place the inspection or weighing service was performed. The certificate shall not be considered representative of the lot if the grain is transhipped or is otherwise transferred from the identified carrier or container or if grain or other material is added to or removed from the total lot. If this certificate is not cancelled by a superseding certificate, it is receivable by all officers and all courts of the United States as prima facie evidence of the truth of the facts stated herein. This certificate does not excuse failure to comply with the provisions of the Federal Food, Drug, and Cosmetics Act, or other Federal law.

WARNING: Any person who shall knowingly falsely make, issue, alter, forge, or counterfeit this certificate, or participate in any such actions, or otherwise violate provisions in the U.S. Grain Standards Act, the U.S. Warehouse Act, or related Federal law, is subject to criminal, civil, and administrative penalties. The conduct of all services and the licensing of (inspecting/grading/sampling) personnel under the regulations governing such services shall be accomplished without discrimination as to race, color, sex, national origin, age, or handicap.

FIGURE A-1-13: Example of FGIS Form 909-2L SH (May 03), Official Export Grain Inspection Certificate (blank)

Instructions for Completing Form FGIS-921-2 “Inspection Report – Insects In Grain”

1. Name and address of the shipper.
2. Location of elevator where the barge, vessel or carriers (railcars, containers) were loaded.
3. Type of grain/commodity examined for insects.
4. Total quantity (pounds or metric tons) of the grain/commodity that was loaded on the barge or vessel, or the number of containers and/or hopper cars.
5. Destination country for the grain/commodity.
6. Name of the vessel or barge identification number/name.
7. Dates the loading of the barge/vessel, unit train, container booking started and completed.
8. Sublot Number or Carrier Identification (e.g., container, railcar, or truck). For unit trains, where individual carrier IDs are required, list separately or note, “see reverse or attached for listing of car numbers.”
9. Applicable for containers only. Date the grain/commodity was loaded and examined for insects.
10. Stowage (Hold Nos.), location where the grain/commodity for that particular subplot is stowed.
11. Enter the approximate quantity (pounds) of the grain/commodity in the bargelot or subplot. For railcars and containers, use the actual weight of the lot or use the appropriate carrier terms (e.g., hopper, carlot, unit train, and container).
12. Number of live insects found in the corresponding subplot or carrier (if any).
13. Check the box if the grain/commodity examined meets the FGIS criteria for “Infested” or “Sample Grade” (SG) because of live insect infestation. Mark this column only as it applies to insects, not any other SG condition.



The FGIS designation “Sample Grade” is applicable to beans, lentils, peas, and rice when infested with live weevils or other live insects injurious to stored grain. Sample Grade is also applicable to lots of milled rice that contain dead insects. Do not report milled rice lots that are “Sample Grade” due to dead insects. The FGIS designation of “Infested” is applied to the grains, barley, canola, corn, flaxseed, mixed grain, oats, rye sorghum, soybeans, sunflower seed, triticale, and wheat when they are infested with live weevils or other live insects injurious to stored grain.

14. Name of any insect found in the corresponding subplot or carrier. Identify by using the insect’s common name (e.g., Rice Weevil, Red Flour Beetle). **Do not** use the terms “BRAN BUG” OR “OLI.”
15. Place any remarks, if needed, in this section. The reverse of the form may be used if additional space is needed.
16. Name of inspector completing this form.



Complete blocks 17 through 25 for fumigation of vessels where official personnel are present to witness the application of the fumigant. For containers or railcars that are fumigated when official personnel are not physically present to witness the fumigation, the required fumigation documentation may be attached to the form.

17. Date that the grain/commodity in the noted subplot/barge/railcar/container was fumigated.
18. Fumigation method used to treat the grain/commodity. (Leave this space blank)
19. The stowage space (e.g., hold numbers, container number, rail car number) that was fumigated.
20. Type of chemical used to fumigate the grain/commodity.
21. The concentration level of the fumigant used.
22. Length of time the stowage area (e.g., vessel holds, railcar, container, and barge) needs to be secured for a proper treatment of the grain/commodity.
23. The air temperature at the time of fumigation.
24. Total quantity of grain/commodity fumigated expressed in metric tons, if known. For railcars and containers, use the actual weight or use the appropriate carrier terms (e.g., hopper, carlot, unit train, and container).
25. Name of the inspector who verified the fumigation process. Complete this block only if official personnel were present to observe the fumigation.

Contact Information

Contact the field office responsible for the geographic area in which the service will be provided.

Details for these locations can be found at the following web site:

<http://www.gipsa.usda.gov/GIPSA/webapp?area=home&subject=fc&topic=fsp>

For further information on the Inspection Report - Insects in Grain:

Robert S. Lijewski
Policies and Procedures Branch
Field Management Division
1400 Independence Avenue, SW, Room 2409 N
Washington, DC 20250-3630
Telephone: (202) 720-0224
Fax: (202) 720-1015
Email: robert.s.lijewski@usda.gov

FGIS Form 956, Rice Inspection Services Certificate

FGIS Form 956, Rice Inspection Services Certificate, **must** be used in conjunction with FGIS Form 921-2 (01-07). FGIS Form 956-1 is used for a split shipment, while FGIS Form 956 L is a similar inspection certificate generated from the computer to a laser printer. See [Figure A-1-15](#) for an example of FGIS Form 956 (5-96).


OMB NO. 0580-0013							
(1) _____ (ISSUED AT)				U.S. DEPARTMENT OF AGRICULTURE FEDERAL GRAIN INSPECTION SERVICE		ORIGINAL F (2) _____ (DATE OF ISSUANCE)	
TYPE OF INSPECTION (3) a. <input type="checkbox"/> ORIGINAL BOARD APPEAL <input type="checkbox"/> APPEAL				b. <input type="checkbox"/> LOT SPECIAL <input type="checkbox"/> MULTIPLE LOT OTHER (Specify) _____		METHOD OF SAMPLING (4)	
SERVICE PERFORMED (See Parts II, III, or IV for Results) (5) <input type="checkbox"/> QUALITY INSPECTION <input type="checkbox"/> CONDITION INSPECTION <input type="checkbox"/> OTHER (Specify) _____ (See Part IV for Results)							
PART I - IDENTIFICATION (Use reverse if necessary; indicate PART I)							
QUANTITY (6)				LOCATION (7)			
MARKINGS (8)							
IDENTIFICATION	SEAL NUMBER	DATE SAMPLED	QUANTITY	IDENTIFICATION	SEAL NUMBERS	DATE SAMPLED	QUANTITY
(9)							
PART II - RESULTS OF INSPECTION FOR QUALITY (Use reverse if necessary; indicate PART II)							
GRADE DESIGNATION, CLASS, OR KIND (10)							
PART III - CONDITION INSPECTION (Use reverse if necessary; indicate PART III) (11)							
CONDITION OF CONTAINERS <input type="checkbox"/> GOOD <input type="checkbox"/> OTHER (See below)		CONDITION OF COMMODITY <input type="checkbox"/> GOOD <input type="checkbox"/> OTHER (See below)		CONDITION OF CARRIER <input type="checkbox"/> GOOD <input type="checkbox"/> OTHER (See below)			
PART IV - SPECIAL INSPECTION SERVICES, SPECIAL STATEMENTS, FACTOR INFO., OR REMARKS (Use reverse if necessary; indicate PART IV)							
(12)							
I CERTIFY THAT THE SERVICES SPECIFIED ABOVE WERE PERFORMED WITH THE RESULTS STATED.				NAME AND SIGNATURE OF INSPECTOR (13)			
<p>This certificate is issued under the authority of the Agricultural Marketing Act of 1946, as amended (7 U.S.C. 1621 <u>et seq.</u>) and the regulations thereunder (7 CFR 868.1 <u>et seq.</u>) and is receivable in all courts of the United States as prima facie evidence of the truth of the statements therein contained. This certificate does not excuse failure to comply with the provisions of the Federal Food, Drug, and Cosmetic Act, or other Federal laws.</p> <p>WARNING Sec. 203(h) of the Agricultural Marketing Act of 1946 provides that anyone who shall knowingly falsely make, issue, alter, forge, or counterfeit any official certificate, or aid, assist, or be a party to such actions, is subject to a fine of not more than \$1,000 or imprisonment for not more than 1 year, or both.</p> <p>The conduct of all services and the licensing of inspection/grading/sampling personnel under the regulations governing such services shall be accomplished without discrimination as to race, color, religion, sex, national origin, age, or handicap.</p>							
FORM FGIS-956 (MAY 96)							

FIGURE A-1-15: Example of Form FGIS-956 (5-96), Rice Inspection Services Certificate (blank)

FGIS Form 993, Commodity Inspection Certificate

FGIS Form 993, Commodity Inspection Certificate (Lot Inspection Certification), **must** be used in conjunction with FGIS Form 921-2 (01-07) and replaces FGIS Form 912 and FGIS Form 953; however, FGIS Form 912 and FGIS Form 953 can be used until the supply is exhausted. FGIS Form 993 L is a similar inspection certificate generated from the computer to a laser printer. See [Figure A-1-16](#) for an example of FGIS Form 993 (Jan 07).


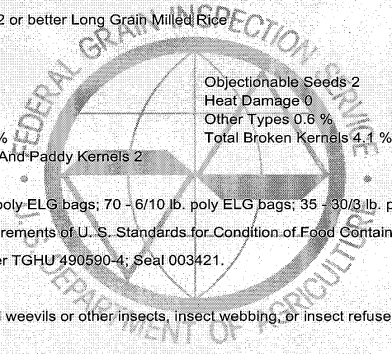
 <p>FORM FGIS-993 JAN 07</p>	<p>UNITED STATES DEPARTMENT OF AGRICULTURE FEDERAL GRAIN INSPECTION SERVICE AGRICULTURAL MARKETING ACT OF 1946</p> <p>COMMODITY INSPECTION CERTIFICATE OFFICIAL WHITE CERTIFICATE</p>	<p>Approved OMB No. 0580-0013</p> <p>ORIGINAL US-STFO-1-00105 NOT NEGOTIABLE</p>
<p>LEVEL OF INSPECTION: Original</p> <p>IDENTIFICATION: TGHU 490590-4</p> <p>TYPE OF MOVEMENT: Out</p>	<p>ISSUED AT: STUTTGART, AR</p> <p>LOCATION: Rice Mill A Stuttgart, AR</p> <p>DATE SAMPLED: February 01, 2007</p>	<p>DATE OF SERVICE: February 01, 2007</p> <p>QUANTITY: (this is NOT a weight certificate) 1 Containerlot</p> <p>METHOD OF SAMPLING: Bag Trier</p>
<p>GRADE AND COMMODITY: U. S. No. 2 or better Long Grain Milled Rice</p> <p>RESULTS: Moisture 13.5 % Milling Degree Well Milled Non-Objectionable Seeds 0 Red Rice And Damaged Kernels 0.6 % Total Seeds, Heat-Damaged Kernels And-Paddy Kernels 2</p>		<p>Objectionable Seeds 2 Heat Damage 0 Other Types 0.6 % Total Broken Kernels 4.1 %</p>
<p>REMARKS: Quantity and Container: 70 - 12/5 lb. poly ELG bags; 70 - 6/10 lb. poly ELG bags; 35 - 30/3 lb. poly ELG bags. Container examination meets all requirements of U. S. Standards for Condition of Food Containers. 175 balers checkloaded 2/1/07; Carrier TGHU 490590-4; Seal 003421. Applicant states Crop Year 2005-06. This rice does not contain live or dead weevils or other insects, insect webbing, or insect refuse. Applicant states S/O No. 111111 END OF REMARKS</p>		
<p>APPLICANT NAME: A & B Rice Milling Company</p> <p>ISSUING OFFICE: FGIS - Stuttgart Field Office</p>		<p>I CERTIFY THAT THE SERVICES SPECIFIED ABOVE WERE PERFORMED WITH THE RESULTS STATED.</p> <p>NAME OR SIGNATURE: Diane K Palecek</p>
<p><small>This certificate is issued under the authority of the Agricultural Marketing Act of 1946, as amended (7 U.S.C. 1621 et seq.), and the regulations thereunder (7 CFR 658.1 et seq.), and is receivable in all courts of the United States as prima facie evidence of the truth of the statements therein contained. This certificate does not excuse failure to comply with the provisions of the Federal Food, Drug, and Cosmetic Act, or other Federal Laws. WARNING: Sec. 202(f) of the Agricultural Marketing Act of 1946 provides that anyone who shall knowingly falsify, make, issue, alter, forge, or counterfeit any official certificate, or aid, assist, or be party to such actions, is subject to a fine of not more than \$1000 or imprisonment for not more than 1 year, or both. The conduct of all services and the licensing of personnel under the regulations governing such services shall be accomplished without discrimination as to race, color, religion, sex, national origin, age, or handicap. According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information is 0580-0013. The time required to disclose this recordkeeping requirement is to average 17 hours per recordkeeper annually, including the time to retain such records, and to notify, disclose, and report to third parties such recordkeeping requirements.</small></p>		

FIGURE A-1-16: Example of FGIS Form 993 (Jan 07), Commodity Inspection Certificate

State Certificates and Reports

The following inspection certificates and reports issued by the individually named states are acceptable in place of inspection:

- ◆ Florida Export Citrus Fruit Inspection Certificate (FV 185-C)
- ◆ Oregon State Seed Laboratory Inspection Report

Unacceptable Inspection Certificates

This part of *Appendix A* provides ACOs with examples of **unacceptable** inspection certificates as follows:

- ◆ *AMS Form FV 184, Federal-State Inspection Certificate*
- ◆ *Form FGIS-913-L, Warehouseman's Sample - Lot Inspection*
- ◆ *Form FGIS-914-L, Official Certificate, Submitted Sample Inspection*
- ◆ *FGIS Form 915-L, Official Stowage Examination Certificate*
- ◆ *FGIS Form 994, Commodity Submitted Sample Inspection*



The inspection certificates listed in this part of *Appendix A* are unacceptable (**not** acceptable) in place of inspection.

Form FGIS-913-L, Warehouseman's Sample - Lot Inspection

Form FGIS-913-L, Warehouseman's Sample - Lot Inspection, is unacceptable (**not** accepted) in place of inspection. See [Figure A-1-18](#) for an example of Form FGIS-913-L.

FORM FGIS-913-L

1 UNITED STATES DEPARTMENT OF AGRICULTURE
 FEDERAL GRAIN INSPECTION SERVICE

2 NOT NEGOTIABLE ORIGINAL

3

4 U.S. GRAIN STANDARDS ACT

5 OFFICIAL CERTIFICATE

6 WAREHOUSEMAN'S SAMPLE - LOT INSPECTION

7 US-

8 ISSUED AT

9 DATE OF SERVICE

10 I certify that I am licensed or authorized under the United States Grain Standards Act (7 U.S.C. 71 et seq.) to inspect the kind of grain covered by this certificate and that on the above date the following identified grain was inspected under Act, with the following results:

Level of Inspection	Type of Movement	DATE Sampled	Method of Sampling
11	12	14	16
13	15	17	18

19

20

21 REMARKS

22 The results of this inspection were based on the sample obtained and submitted by an elevator employee licensed under a contract with the Service. The certificate does not meet the requirements of section 5 of the U.S. Grain Standards Act.

23 APPLICANT

24 NAME OR SIGNATURE

25

26

QUALIFIED

This certificate is issued under the authority of the United States Grain Standards Act, as amended (7 U.S.C. 71 et seq.), and the regulations thereunder (7 CFR 800.0 et seq.). It is issued to show the kind, class, grade, quality, condition, or quantity of grain, or the condition of a carrier or container for the storage or transportation of grain, or other facts relating to grain as determined by official personnel. The statements on this certificate are considered true at the time and place the inspection or weighing service was performed. The certificate is not considered representative of the lot if the grain is transhipped or is otherwise transferred from the identified carrier or container or if grain or other material is added to or removed from the total lot. If this certificate is not canceled by a superseding certificate, it is receivable by all officers and all courts of the United States as prima facie evidence of the truth of the facts stated therein. This certificate does not excuse failure to comply with the provisions of the Federal Food, Drug, and Cosmetic Act, of other Federal law. The conduct of all services and the licensing of the (inspecting/grading/sampling) personnel under the regulations governing such services shall be accomplished without discrimination as to race, color, religion, sex, national origin, age, or handicap.
 WARNING: Any person who shall knowingly falsely make, issue, alter, forge, or counterfeit this certificate, or participate in any such actions, or otherwise violate provisions in the U.S. Grain Standards Act, the U.S. Warehouse Act, or related Federal laws, is subject to criminal, civil, and administrative penalties.

FIGURE A-1-18: Example of Form FGIS-913-L, Warehouseman's Sample - Lot Inspection

Form FGIS-914-L, Official Certificate, Submitted Sample Inspection

Form FGIS-914-L, Official Certificate, Submitted Sample Inspection, is unacceptable (not accepted) in place of inspection. See Figure A-1-19 for an example of Form FGIS-914-L.

FORM FGIS-914-L (3)

UNITED STATES DEPARTMENT OF AGRICULTURE (1)
 FEDERAL GRAIN INSPECTION SERVICE (4)
U.S. GRAIN STANDARDS ACT (5)
OFFICIAL CERTIFICATE (5)
SUBMITTED SAMPLE INSPECTION (6)

APPROVED OMB NO. 0580-0013 (see reverse for statement) (2)
ORIGINAL (2)
 NOT NEGOTIABLE
US- (7)

ISSUED AT (8) DATE OF SERVICE (9)

(10) I certify that I am licensed or authorized under the United States Grain Standards Act (7 U.S.C. 71 et seq.) to inspect the kind of grain covered by this certificate and that on the above date the following identified grain was inspected under the Act, with the following results:

Level of Inspection (11)	Quantity of Grain in Sample (12)
Identification of Sample (13)	Sample Submitted by (14)

(15) The sample identification and inspection results shown on this certificate are assigned only to the quantity of grain in the sample indicated and not to any identified carrier, container, or lot from which the sample of grain may have been taken. This certificate does not meet the inspection requirements of Section 5 of the Act.
 GRADE AND KIND (16)

(17) REMARKS (18)

APPLICANT NO. (If applicable) (20) APPLICANT (21) NAME OR SIGNATURE (22)

(23) This certificate is issued under the authority of the United States Grain Standards Act, as amended (7 U.S.C. 71 et seq.), and the regulations thereunder (7 CFR 800.0 et seq.). It is issued to show the kind, class, grade, quality, condition, or quantity of grain, or the condition of a carrier or container for the storage or transportation of grain, or other facts relating to grain as determined by official personnel. The statements on the certificate are considered true at the time and place the inspection or weighing service was performed. The certificate is not considered representative of the lot if the grain is transhipped or is otherwise transferred from the identified carrier or container or if grain or other material is added to or removed from the total lot. If this certificate is not canceled by a superseding certificate, it is receivable by all officers and all courts of the United States as prima facie evidence of the truth of the facts stated therein. This certificate does not excuse failure to comply with the provisions of the Federal Food, Drug, and Cosmetic Act, of other Federal law. The conduct of all services and the licensing of the (inspecting/grading/sampling) personnel under the regulations governing such services shall be accomplished without discrimination as to race, color, religion, sex, national origin, age, or handicap.
 (24) **WARNING: Any person who shall knowingly falsely make, issue, alter, forge, or counterfeit this certificate, or participate in any such actions, or otherwise violate provisions in the U.S. Grain Standards Act, the U.S. Warehouse Act, or related Federal laws is subject to criminal, civil, and administrative penalties.**

FIGURE A-1-19: Example of Form FGIS-914-L, Official Certificate, Submitted Sample Inspection (Unacceptable)

FGIS Form 915-L, Official Stowage Examination Certificate

FGIS Form 915, Official Stowage Examination Certificate, is unacceptable (**not** accepted) in place of inspection. See [Figure A-1-20](#) for an example of FGIS Form 915-L.

FGIS FORM 915-L

1 UNITED STATES DEPARTMENT OF AGRICULTURE
FEDERAL GRAIN INSPECTION SERVICE

4 APPROVED OMB NO. 0580-0013
(see reverse for statement)

2 ORIGINAL
NOT NEGOTIABLE

3

6 OFFICIAL STOWAGE EXAMINATION CERTIFICATE

5 US- 7

ISSUED AT _____ DATE OF SERVICE _____

I certify that on the above date the following identified service was performed with the following results: 8

9 Original Inspection Reinspection Appeal Inspection USGSA AMA: 10 TIME COMPLETED 11

IDENTIFICATION OF CARRIER 12 14

STOWAGE AREA EXAMINED 13

RESULTS Stowage area examined on the above date and found to be substantially clean, dry, free of insect infestation, and suitable to store grain or commodity. 15
 Stowage area examined on the above date and found not suitable to store or carry grain or commodity (see remarks for reason).

REMARKS 16 17

APPLICANT (If applicable) 18 NAME or Signature 19

This certificate is issued under the authority of the United States Grain Standards Act, as amended (7 U.S.C 71 *et seq.*), and the regulations thereunder (7 CFR 800.0 *et seq.*) or the Agriculture Marketing Act of 1946, as amended (7 U.S.C. 1621 *et seq.*), and regulations thereunder (7 CFR 868.1 *et seq.*), as appropriate. It is issued to show the condition of a carrier or container for the storage or transportation of grain or commodity. The statements on the certificate are considered true at the time and place the inspection service was performed. If this certificate is not canceled by a superseding certificate, it is receivable by all officers and all courts of the United States as prima facie evidence of the truth of the facts stated therein. This certificate does not excuse failure to comply with the provisions of the Federal Food, Drug, and Cosmetic Act, or other Federal Law. **WARNING: Any person who shall knowingly falsely make, issue, alter, forge, or counterfeit this certificate, or participate in any such actions, or otherwise violate provisions in the U.S. Grain Standards Act, the Agricultural Marketing Act of 1946, or related Federal laws is subject to criminal, civil, and administrative penalties. The conduct of all services and the licensing of (inspection/grading/sampling) personnel under the regulations governing such services shall be accomplished without discrimination as to race, color, religion, sex, national origin, age, or handicap.** 20 21 22

FIGURE A-1-20: Example of FGIS Form 915-L, Official Stowage Examination Certificate (Unacceptable)

FGIS Form 994, Commodity Submitted Sample Inspection

FGIS Form 994, Commodity Submitted Sample Inspection, is unacceptable (**not** accepted) in place of inspection. This form is used for sampling commodities such as beans, hops, lentils, and peas. See [Figure A-1-21](#) for an example of FGIS Form 994.

FORM FGIS-994
JAN 07

UNITED STATES DEPARTMENT OF AGRICULTURE
FEDERAL GRAIN INSPECTION SERVICE
AGRICULTURAL MARKETING ACT OF 1946

Approved OMB No. 0580-0013

ORIGINAL
US-STFO-1-00098
NOT NEGOTIABLE

LEVEL OF INSPECTION: ISSUED AT: DATE OF SERVICE:

IDENTIFICATION:

NOT OFFICIALLY SAMPLED

GRADE AND COMMODITY:
RESULTS:

REMARKS:

The sample identification and inspection results shown on this certificate are assigned only to the quantity in the sample and not to any identified carrier, container, or lot from which the sample may have been taken.

I CERTIFY THAT THE SERVICES SPECIFIED ABOVE WERE PERFORMED WITH THE RESULTS STATED.

APPLICANT NAME: NAME OR SIGNATURE:

ISSUING OFFICE:

This certificate is issued under the authority of the Agricultural Marketing Act of 1946, as amended (7 U.S.C. 1621 et seq.), and the regulations thereunder (7 CFR 950.1 et seq.), and is receivable in all courts of the United States as prima facie evidence of the truth of the statements therein contained. This certificate does not exclude failure to comply with the provisions of the Federal Food, Drug, and Cosmetic Act, or other Federal Laws.
WARNING: Sec. 303(h) of the Agricultural Marketing Act of 1946 provides that anyone who shall knowingly falsely make, issue, alter, forge, or counterfeit any official certificate, or aid, assist, or be party to such actions, is subject to a fine of not more than \$1000 or imprisonment for not more than 1 year, or both. The conduct of all services and the licensing of personnel under the regulations governing such services shall be accomplished without discrimination as to race, color, religion, sex, national origin, age, or handicap.
According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information is 0580-0013. The time required to disclose this recordkeeping requirement is 50 average 63,208 hours per recordkeeper annually, including the time to retain such records, and to notify, disclose, and report to third parties such recordkeeping requirements.

FIGURE A-1-21: Example of FGIS Form 994 (Jan 07), Commodity Submitted Sample Inspection (Unacceptable)