PART I - A

PART I: SUMMARY INFORMATION AND JUSTIFICATION
In Part I, complete Sections A. B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.
OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section A: Overview (All Capital Assets)
I.A.1) Date of Submission (mm/dd/yyyy)
Sep 10, 2007
I.A.2) Agency
029 - Department of Veterans Affairs
I.A.3) Bureau
00 - Agency Wide Initiatives
I.A.4) Name of this Investment:(SHORT ANSWER)
Enrollment Operations and Maintenance-2009
I.A.5) Unique Project(Investment) Identifier: Update the UPI using the Exhibit 53 tab.
029-00-01-11-01-1190-00
I.A.6) What kind of investment will this be in FY2009? (Please NOTE: Investments moving to O&M in FY2009, with Planning/Acquisition activities prior to FY2009 should not select O&M. These investments should indicate their current status.)
Operations and Maintenance
I.A.7) What was the first budget year this investment was submitted to OMB?
FY2004
I.A.8) Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: (LONG ANSWER)
In October 1996, Congress enacted the Veterans' Health Care Eligibility Reform Act of 1996, Public Law 104-262, which required VHA to implement a priority-based enrollment system. The Enrollment project includes functionality to process veterans' applications for enrollment, share veterans' eligibility and enrollment data with all VA health care facilities involved in the veterans' care, manage veterans' enrollment correspondence and telephone inquiries, and support national reporting and analysis of enrollment data. The Health Eligibility Center (HEC) Legacy system handles this functionality. Enrollment Operations and Maintenance supports the maintenance of the HEC Legacy system until it is replaced by Enrollment System Redesign (ESR) 3.0 in September 2007. There may be a several month overlap of the legacy and replacement systems until it is verified that ESR 3.0 is completely operational.
This project also encompasses Income Verification Matching (IVM) functionality, which verifies certain veterans' self-reported income information with the Internal Revenue Service (IRS) and Social Security Administration (SSA) federal tax information to identify veterans' responsibilities for making medical care co-payments and to enhance revenue from first party collections. The Enrollment Database (EDB) was created to house this updated functionality. Enrollment Operations and Maintenance supports the maintenance of the EDB until it is replaced by ESR 4.0 in June 2009. There may also be a several month overlap of the legacy and replacement systems until it is verified that ESR 4.0 is operating without any major defects. Once the EDB is retired, the Enrollment Operations and Maintenance project will end.
Additionally, this project also includes HEC costs for typical IT office support such as network connections, desktop support, and personal computer maintenance and software in FY2006 through FY2008.
I.A.9) Did the Agency's Executive/Investment Committee approve this request?
Yes
I.A.9.a) If "yes," what was the date of this approval?
Jun 28, 2007
I.A.10) Did the Project Manager review this Exhibit?
Yes

I.A.11) Project Managers Contact Information

	Project Managers Names (SHORT ANSWER)	PM Phone	E-mail (SHORT ANSWER)
Primary in-house	Gerry Lowe	814-940-6317	gerry.lowe@va.gov

I.A.11.a) What is the current FAC-P/PM certification level of the project/program manager?

DAWIA-Level-2

I.A.12) Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes

I.A.12.a) Will this investment include electronic assets (including computers)?

Yes

I.A.12.b) Is this investment for construction or retrofit of a federal building or facility? (Answer applicable to non-IT assets only)

No

I.A.12.b.1) If "yes," is an ESPC or UESC being used to help fund this investment?

I.A.12.b.2) If "yes," will this investment meet sustainable design principles?

I.A.12.b.3) If "yes," is it designed to be 30% more energy efficient than relevant code? (Answer applicable to non-IT assets only)

I.A.13) Does this investment directly support one of the PMA initiatives?

Yes

I.A.13.a) If "yes," check all that apply:

	PMA Initiatives for XML Submission	PMA Initiatives
		- Human Capital
		- Budget Performance Integration
		- Financial Performance
Yes	Expanded E-Government	- Expanded E-Government
		- Competitive Sourcing
		- Faith Based and Community
		- Real Property Asset Management
		- Eliminating Improper Payments
		- Privatization of Military Housing
		- Research & Development Investment Criteria
		- Housing & Urban Development Management & Performance
		- Broadening Health Insurance Coverage through State Initiatives
		- "Right Sized" Overseas Presence
		- Coordination of VA & DoD Programs and Systems

I.A.13.b) Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)(MEDIUM ANSWER)	

The online "Application for Health Benefits", VA Form 10-10EZ, enables veterans to apply for health care related benefits electronically in the privacy and comfort of their homes, thereby relieving staff of the interview and data entry tasks associated with registering new veterans for care.

I.A.14) Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

No

I.A.14.a) If "yes," does this investment address a weakness found during a PART review?

I.A.14.b) If "yes," what is the name of the PARTed program? (SHORT ANSWER)

I.A.14.c) If "yes," what rating did the PART receive?

I.A.15) Is this investment information technology? (See section 53.8 for definition)

Yes

I.A.16) What is the level of the IT Project? (per CIO Council PM Guidance)

Level 1

I.A.17) What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

Qualification Status	Qualification Status for XML Submission	Description
1	(1) Project manager has been validated as qualified for this investment	(1) - Project manager has been validated as qualified for this investment.
		(2) - Project manager qualification is under review for this investment.
		(3) - Project manager assigned to investment, but does not meet requirements.
		(4) - Project manager assigned but qualification status review has not yet started.
		(5) - No Project manager has yet been assigned to this investment.

I.A.18) Is this investment or any project(s) within this investment identified as "high risk" on the Q4-FY 2007 agency high risk report (per OMB Memorandum M-05-23)

Yes

I.A.19) Is this project (investment) a Financial Management System? (see section 53.3 for definition)

No

I.A.19.a) If so, does this project (investment) address a FFMIA (Federal Financial Managers Integrity Act) compliance area?

I.A.19.a.1) If yes, which compliance area?

I.A.19.a.2) If "no," what does it address? (MEDIUM ANSWER)

I.A.19.b) If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A–11 section 52 (LONG ANSWER)

I.A.20) What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Percentage of Total Investment	
% Hardware	15.87
% Software	0.00
% Services	84.13
% Others	

I.A.21) If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

NA

I.A.22) Contact information of individual responsible for privacy related questions:

Contact Name: (SHORT ANSWER)	Chi Yu
Phone Number:	(202) 565-8928
Title: (SHORT ANSWER)	IT Specialist
E-mail: (SHORT ANSWER)	Chi.yu@va.gov

I.A.23) Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

Yes

I.A.24) Does this investment directly support one of the GAO High Risk Areas?

No

PART I - B

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A. B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section B: Summary of Funding (All Capital Assets)

I.B.1) FILL IN TABLE IN CURRENT VALUES (in millions)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be EXCLUDED from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Category of Funds	PY-1 and Earlier	PY 2007	CY 2008	BY 2009
Planning				
Budgetary Resources	2.300	0.000	0.000	0.000

Acquisition				
Budgetary Resources	6.620	0.000	0.000	0.000
Total, Sum of Stages				
Total, Resources (Plan & Acq)	8.920	0.000	0.000	0.000
Operations & Maintenance				
Budgetary Resources	30.073	3.407	2.062	0.487
Total, All Stages Resources	38.993	3.407	2.062	0.487
Government FTE Costs	7.421	1.107	1.664	0.366
Govt. FTE Numbers	75	12	16	3
Total, All Stages Resources + FTE	46.414	4.514	3.726	0.853

Government FTE Costs SHOULD NOT be INCLUDED as part of the TOTAL, All Stages Resources represented.

Note: 1) For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). 2) Total, All Stages Resources should equal Total, All Stages Outlays.

I.B.2) Will this project require the agency to hire additional FTE's?

No

I.B.2.a) If Yes, How many and in what year? (MEDIUM ANSWER)

I.B.3) If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes. (LONG ANSWER)

PART I - C

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A. B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section C: Acquisition/Contract Strategy (All Capital Assets)

I.C.1) If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why? (LONG ANSWER)

All of the contracts are below the EVM requirement threshold of $5.0 million. Additionally, most of the contracts are for information technology supplies. There is no reason to perform earned value analysis on this type of contract.

I.C.2) Do the contracts ensure Section 508 compliance?

Yes

I.C.2.a) If the Contracts WILL NOT ensure Section 508 Compliance, explain why:

Contracts associated with this Exhibit 300 ensure Section 508 compliance.

I.C.3) Is there an acquisition plan which has been approved in accordance with agency requirements?
No

I.C.3.a) If "yes," what is the date?

I.C.3.b) If "no," will an acquisition plan be developed?
No

I.C.3.b.1) If "no," briefly explain why: (MEDIUM ANSWER)
These are low dollar value contracts.

PART I - D

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A. B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section D: Performance Information (All Capital Assets)

I.D.1) In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives that this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60%, increase citizen participation by 300% a year to achieve an overall citizen participation rate of 75% by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestone, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

For Existing IT projects that have previously submitted Exhibit 300s:
--> If you completed Table 1 last year, please use Table 1 to report for fiscal year 2005 and Table 2 for fiscal years 2006 through at least 2009.
--> If you completed only Table 2 last year, please use Table 2 to report for fiscal years 2006 through at least 2009.
For projects that are submitting Exhibit 300s for the first time:
--> Use Table 2.
--> Report on Performance Measures for at least two years, i.e., FY 2008 and 2009, FY 2009 and 2010.
--> If the project will have data for 2007 that you wish to include, add extra lines in Table 2 and complete all information in this single table.
--> At least one performance goal should be met by BY+1.
PERFORMANCE INFORMATION TABLE 2:
Please use Table 2 and the FEA Performance Reference Model (PRM) to identify the performance information pertaining to this major IT Investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year).

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvements to the Baseline	Actual Results
2005	Quality of Life	Processes and Activities	Productivity	IVM cases worked per year	IVM operations closes 44,000 of potential cases in FY2004	IVM operations will close 48,000 potential cases in FY2005	52,000 cases closed in FY2005 (estimated)
2005	Honor & Memorialize	Mission and Business Results	Information Management	IT systems recovery implemented	Enrollment system continuity of operations not tested in FY2004	Enrollment system will be tested to ensure 100% recovery in FY2005	Enrollment system recovered 100% in disaster recovery test in FY2005

2005	Honor & Memorialize	Technology	Availability	Average system uptime in a year	Average system uptime is 97% in FY2004	Average system uptime will be 98% in FY2005	Average system uptime exceeds 98% in FY2005
2005	Ensure Smooth Transition	Customer Results	Response Time	Number of field queries processed within one hour	95% of field queries are processed within one hour in FY2004	97% of field queries will be processed within one hour in FY2005 based on random samples	100% of field queries processed within one hour in FY2005 based on random samples
2006	Quality of Life	Processes and Activities	Productivity	IVM cases worked per year	IVM operations closes 44,000 of potential cases in FY2004	IVM operations will close 56,000 of potential cases in FY2006	IVM operations closed over 56,000 cases in FY2006
2006	Honor & Memorialize	Mission and Business Results	Information Management	IT systems recovery implemented	Enrollment system continuity of operations not tested in FY2004	Enrollment system will have full disaster recovery site implemented 100% by September 2006	This is scheduled to occur in the spring of 2007
2006	Honor & Memorialize	Technology	Availability	Average system uptime in a year	Average system uptime is 97% in FY2004	Average system uptime will be 98.5% in FY2006	Average system uptime was 99.84% in FY2006
2006	Ensure Smooth Transition	Customer Results	Response Time	Number of field queries processed within one hour	95% of field queries are processed within one hour in FY2004	98% of field queries will be processed within one hour by June 2006 based on random samples	100% of field queries processed within one hour in FY2006 based on random samples
2007	Quality of Life	Processes and Activities	Productivity	IVM cases worked per year	IVM operations closes 44,000 of potential cases in FY2004	IVM operations will close 56,000 of potential cases in FY2007	As of July 2007, IVM operations has already exceeded 56,000 in FY2007.
2007	Honor & Memorialize	Mission and Business Results	Information Management	IT systems recovery implemented	Enrollment system continuity of operations not tested in FY2004	Enrollment system will have full disaster recovery site 100% implemented	This is scheduled to occur in the summer of 2007.
2007	Honor & Memorialize	Technology	Availability	Average system uptime in a year	Average system uptime is 97% in FY2004	Average system uptime will be 98.5% in FY2007	Average system uptime is 99.2% as of the end of July 2007.

2007	Ensure Smooth Transition	Customer Results	Response Time	Number of field queries processed within one hour	95% of field queries are processed within one hour in FY2004	98% of field queries will be processed within one hour in FY2007 based on random samples	99.4% of field queries processed within one hour in FY2007 based on random samples taken through July 2007.
2008	Quality of Life	Processes and Activities	Productivity	IVM cases worked per year	IVM operations closes 44,000 of potential cases in FY2004	IVM operations will close 56,000 of potential cases in FY2008	
2008	Honor & Memorialize	Mission and Business Results	Information Management	IT systems recovery implemented	Enrollment system continuity of operations not tested in FY2004	Enrollment system will have full disaster recovery site 100% implemented	
2008	Honor & Memorialize	Technology	Availability	Average system uptime in a year	Average system uptime is 97% in FY2004	Average system uptime will be 98.5% in FY2008	
2008	Ensure Smooth Transition	Customer Results	Response Time	Number of field queries processed within one hour	95% of field queries are processed within one hour in FY2004	98% of field queries will be processed within one hour in FY2008 based on random samples	
2009	Quality of Life	Processes and Activities	Productivity	IVM cases worked per year	IVM operations closes 44,000 of potential cases in FY2004	IVM operations will close 56,000 of potential cases in FY2009	
2009	Honor & Memorialize	Mission and Business Results	Information Management	IT systems recovery implemented	Enrollment system continuity of operations not tested in FY2004	Enrollment system will have full disaster recovery site 100% implemented	
2009	Honor & Memorialize	Technology	Availability	Average system uptime in a year	Average system uptime is 97% in FY2004	Average system uptime will be 98.5% in FY2009	
2009	Ensure Smooth Transition	Customer Results	Response Time	Number of field queries processed within one hour	95% of field queries are processed within one hour in FY2004	98% of field queries will be processed within one hour in FY2009 based on random samples	

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A. B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

I.F.1) Is this investment included in your agency's target enterprise architecture?

No

I.F.1.a) If "no," please explain why? (LONG ANSWER)

This project is in the operations and maintenance phase. It will end in FY2009.

I.F.2) Is this investment included in the agency's EA Transition Strategy?

No

I.F.2.a) If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment. (MEDIUM ANSWER)

I.F.2.b) If "no," please explain why? (LONG ANSWER)

This is an operations and maintenance project. It will end in FY2009.

I.F.3) Is this investment identified in a completed (contains a target architecture) and approved segment architecture?

Yes

I.F.3.a) If "yes," provide the name of the segment architecture as provided in the agency's most recent annual EA Assessment.(MEDIUM ANSWER)

Health Business Segment, Health Care Sub-segment

I.F.3) FEA SERVICE REFERENCE MODEL:

I.F.3) Identify the service components funded by this major IT investment (e.g.,knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.whitehouse.gov/omb/egov/.

SERVICE COMPONENT TABLE:

	Agency Component Name(SHORT ANSWER)	Agency Component Description (MEDIUM ANSWER)	FEA SRM Service Type	FEA SRM Component (a*)	FEA Service Component Reused : Component Name (b*)	FEA Service Component Reused : UPI (b*)	Internal or External Reuse? (c*)	BY Funding Percentage (d*)
1	Reservations / Registration	Defines the set of capabilities that allow electronic enrollment and confirmations for services.	Customer Initiated Assistance	Reservations / Registration	Reservations / Registration		No Reuse	40.000

2	Information Retrieval	Defines the set of capabilities that support the use of documents and data in a multi-user environment for use by an organization and its stakeholders.	Knowledge Management	Information Retrieval	Information Retrieval		No Reuse	10.000
3	Information Sharing	Defines the set of capabilities that facilitate collection of data and information.	Knowledge Management	Information Sharing	Information Sharing		No Reuse	30.000
4	Knowledge Capture	Defines the set of capabilities that support the use of pre-conceived or pre-written reports.	Knowledge Management	Knowledge Capture	Knowledge Capture		No Reuse	2.500
5	Standardized / Canned	Defines the set of capabilities for the management of the enterprise processes that support an organization and its policies.	Reporting	Standardized / Canned	Standardized / Canned		No Reuse	2.500
6	Business Rule Management	Defines the set of capabilities that support the interchange of information between multiple systems or applications.	Management of Processes	Business Rule Management	Business Rule Management		No Reuse	5.000
7	Data Exchange	Defines the set of capabilities that allow access to data and information for use by an organization and its stakeholders.	Data Management	Data Exchange	Data Exchange		No Reuse	10.000
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I.F.4) FEA TECHNICAL REFERENCE MODEL:

I.F.4) To demonstrate how this major IT investment aligns with Reference Model (TRM), please list the Service Areas, Service Specifications supporting this IT investment.

TECHNICAL REFERENCE MODEL TABLE:

	FEA SRM Component (a*)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard
1	Reservations / Registration	Service Access and Delivery	Access Channels	Web Browser
2	Reservations / Registration	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers
3	Reservations / Registration	Service Platform and Infrastructure	Support Platforms	Platform Dependent
4	Reservations / Registration	Service Platform and Infrastructure	Software Engineering	Modeling
5	Reservations / Registration	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment
6	Reservations / Registration	Service Platform and Infrastructure	Software Engineering	Software Configuration Management
7	Reservations / Registration	Service Platform and Infrastructure	Software Engineering	Test Management
8	Reservations / Registration	Component Framework	Business Logic	Platform Dependent
9	Reservations / Registration	Component Framework	Presentation / Interface	Static Display
10	Reservations / Registration	Component Framework	Presentation / Interface	Dynamic Server-Side Display
11	Reservations / Registration	Component Framework	Presentation / Interface	Content Rendering
12	Reservations / Registration	Component Framework	Security	Supporting Security Services
13	Reservations / Registration	Service Interface and Integration	Interface	Service Description / Interface
14	Business Rule Management	Component Framework	Business Logic	Platform Dependent
15	Business Rule Management	Service Interface and Integration	Interface	Service Description / Interface
16	Information Retrieval	Component Framework	Data Management	Database Connectivity
17	Information Retrieval	Service Interface and Integration	Integration	Middleware

18	Information Sharing	Service Access and Delivery	Access Channels	Other Electronic Channels
19	Information Sharing	Service Access and Delivery	Delivery Channels	Intranet
20	Information Sharing	Service Access and Delivery	Service Requirements	Legislative / Compliance
21	Information Sharing	Service Access and Delivery	Service Transport	Supporting Network Services
22	Information Sharing	Service Access and Delivery	Service Transport	Service Transport
23	Information Sharing	Service Access and Delivery	Access Channels	Collaboration / Communications
24	Information Sharing	Component Framework	Data Interchange	Data Exchange
25	Information Sharing	Service Interface and Integration	Interoperability	Data Format / Classification
26	Information Sharing	Service Platform and Infrastructure	Hardware / Infrastructure	Wide Area Network (WAN)
27	Knowledge Capture	Service Platform and Infrastructure	Database / Storage	Storage
28	Knowledge Capture	Service Platform and Infrastructure	Delivery Servers	Application Servers
29	Knowledge Capture	Service Platform and Infrastructure	Delivery Servers	Web Servers
30	Knowledge Capture	Service Platform and Infrastructure	Database / Storage	Database
31	Data Exchange	Service Interface and Integration	Integration	Middleware
32	Data Exchange	Service Interface and Integration	Interoperability	Data Format / Classification
33	Data Exchange	Component Framework	Data Interchange	Data Exchange
34	Data Exchange	Service Access and Delivery	Access Channels	Other Electronic Channels
35	Standardized / Canned	Component Framework	Data Management	Reporting and Analysis
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NOTE:
(a*) - Service Components identified in the previous question(I.F.3) should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications
(b*) - In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.
I.F.5) Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?
No
I.F.5.a) If "yes," please describe. (LONG ANSWER)
I.F.6) Does this investment provide the public with access to a government automated information system?
No
I.F.6.a) If "yes," does customer access require specific software (e.g., a specific web browser version)?

PART III - A

Part III: For "Operation and Maintenance" investments ONLY (Steady State)
Part III should be completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.
OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section A - RISK MANAGEMENT (All Capital Assets)
In order to successfully address this issue on the business case and capital asset plan, you must have performed a risk assessment at the initial concept, included mandatory risk elements defined below and demonstrate active management of the risk throughout the life-cycle of the investment.
For all investments, both IT and non-IT, you must discuss each of the following risks and present your plans to eliminate, mitigate, or manage risk, with milestones and completion dates. If there is no risk to the investment achieving its goals from a risk category, indicate so. If there are other risks identified, include them. Risk assessments should include risk information from all stakeholders and should be performed at the initial concept stage and then monitored and controlled throughout the life-cycle of the investment. Risk assessments for all investments must include: 1) schedule ; 2) initial costs; 3) life-cycle costs); 4) technical obsolescence; 5) feasibility; 6) reliability of systems; 7) dependencies and interoperability between this investment and others; 8) surety (asset protection) considerations; 9) risk of creating a monopoly for future procurements; 10) capability of agency to manage the investment; and 11) overall risk of investment failure.
In addition, for IT investments, risk must be discussed in the following categories 12) organizational and change management; 13) business; 14) data/info; 15) technology; 16) strategic; 17) security; 18) privacy; and 19) project resources. For security risks, identify under the Description column the level of risk as high, medium, or basic. What aspect of security determines the level of risk, i.e., the need for confidentiality of information, availability of information or the system, reliability of the information or system? Under the Current Status column, list the milestones remaining to mitigate the risk.
Moreover, for each risk category with a probability of occurrence of at least medium and impact of at least medium, please indicate whether or not the costs to mitigate the risk have been incorporated into your lifecycle cost estimates in the summary of spending stages section of this Exhibit 300. If not, please also indicate why in your response.
III.A.1) Does the investment have a Risk Management Plan?
Yes
III.A.1.a) If "yes," what is the date of the plan?
May 25, 2006
III.A.1.b) Has the Risk Management Plan been significantly changed since last year's submission to OMB?
No
III.A.1.c) If "yes," describe any significant changes: (LONG ANSWER)

III.A.2) If there currently is no plan, will a plan be developed?	
III.A.2.a) If "yes," what is the planned completion date?	
III.A.2.b) If "no," what is the strategy for managing the risks? (LONG ANSWER)	

PART III - B

Part III: For "Operation and Maintenance" investments ONLY (Steady State)

Part III should be completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

III.B) Cost and Schedule Performance:

III.B.1) Was operational analysis conducted?

Yes

III.B.1.a) If "yes," provide the date the analysis was completed.

May 23, 2007

III.B.2) Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004").

Description of Milestone	Current BL Completion Date Planned	Current BL Completion Date Actual
FY01-FY03 Enrollment Maintenance	Sep 30, 2003	Sep 30, 2003
System Enhancement Planning	Sep 30, 2004	May 17, 2003
Maintenance FY2004	Sep 30, 2004	Sep 30, 2004
Maintenance FY2005	Sep 30, 2005	Sep 30, 2005
Maintenance FY2006 (Including HEC IT Operations Support)	Sep 30, 2006	Sep 30, 2006
Maintenance FY2007	Sep 30, 2007	Sep 30, 2007
Maintenance FY2008	Sep 30, 2008	
Maintenance FY2009	Sep 30, 2009	
EDB-IVM	Mar 1, 2003	May 2, 2003
ESR Analysis and Prototype	Oct 19, 2004	Oct 19, 2004
ESR Iteration 1	Oct 18, 2004	Apr 18, 2005
ESR Iteration 2	Feb 11, 2005	Jun 3, 2005
ESR Iteration 3	Aug 16, 2006	Aug 31, 2007
ESR Iteration 4	Sep 27, 2006	Aug 31, 2007