PART I - A

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A. B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.
OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section A: Overview (All Capital Assets)

I.A.1) Date of Submission (mm/dd/yyyy)
Sep 10, 2007
I.A.2) Agency
029 - Department of Veterans Affairs
I.A.3) Bureau
00 - Agency Wide Initiatives
I.A.4) Name of this Investment:(SHORT ANSWER)
Enrollment Enhancements-2009
I.A.5) Unique Project(Investment) Identifier: Update the UPI using the Exhibit 53 tab.
029-00-01-11-01-1191-00
I.A.6) What kind of investment will this be in FY2009? (Please NOTE: Investments moving to O&M in FY2009, with Planning/Acquisition activities prior to FY2009 should not select O&M. These investments should indicate their current status.)
Planning
I.A.7) What was the first budget year this investment was submitted to OMB?
FY2008
I.A.8) Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: (LONG ANSWER)

In October 1996, Congress enacted the Veterans' Health Care Eligibility Reform Act of 1996, Public Law 104-262, which required VHA to implement a priority-based enrollment system. Enrollment includes functionality to process veterans' applications for enrollment, share veterans' eligibility and enrollment data with all VA health care facilities involved in the veterans' care, manage veterans' enrollment correspondence and telephone inquiries, and support national reporting and analysis of enrollment data. The Health Eligibility Center (HEC) Legacy system handles this functionality. In September 2007, Enrollment System Redesign (ESR) 3.0 will replace the legacy system in order to provide greater flexibility to meet critical requirements on a timely basis, better safeguards to meet security requirements, and improved reliability.

This project also encompasses Income Verification Matching (IVM) functionality, which verifies certain veterans' self-reported income information with the Internal Revenue Service (IRS) and Social Security Administration (SSA) federal tax information to identify veterans' responsibilities for making medical care co-payments and to enhance revenue from first party collections. The Enrollment Database (EDB) was created to house this updated functionality. ESR 4.0, which will replace the EDB system in June 2009, includes a workflow component to create, assign, view, track, and complete work items.

Further enhancements planned for deployment through FY 2012 will provide many improvements to Enrollment. It will reduce the burden on veterans, who are required to submit income data to update their financial assessments annually, by pulling this information directly from the IRS and SSA. Veterans will have the opportunity to apply for health care benefits and manage existing accounts from the comfort and convenience of their own homes with the advent of a secure online Enrollment portal via the world-wide web. Expanded electronic data sharing with other government agencies will mean a more rapid and accurate enrollment and eligibility determination based on a more comprehensive and authoritative data suite. All of these improvements equate to timely and seamless access to healthcare for our veterans.

This project maps to the BRM Health line of business and Access to Care sub-function.

I.A.9) Did the Agency's Executive/Investment Committee approve this request?
Yes

I.A.9.a) If "yes," what was the date of this approval?
Jun 28, 2007
I.A.10) Did the Project Manager review this Exhibit?
Yes
I.A.11) Project Managers Contact Information

	Project Managers Names (SHORT ANSWER)	PM Phone	E-mail (SHORT ANSWER)
Primary in-house	Gerry Lowe	814-940-6317	gerry.lowe@va.gov

I.A.11.a) What is the current FAC-P/PM certification level of the project/program manager?
DAWIA-Level-2
I.A.12) Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.
Yes
I.A.12.a) Will this investment include electronic assets (including computers)?
Yes
I.A.12.b) Is this investment for construction or retrofit of a federal building or facility? (Answer applicable to non-IT assets only)
No
I.A.12.b.1) If "yes," is an ESPC or UESC being used to help fund this investment?
I.A.12.b.2) If "yes," will this investment meet sustainable design principles?
I.A.12.b.3) If "yes," is it designed to be 30% more energy efficient than relevant code? (Answer applicable to non-IT assets only)
I.A.13) Does this investment directly support one of the PMA initiatives?
Yes
I.A.13.a) If "yes," check all that apply:

	PMA Initiatives for XML Submission	PMA Initiatives
		- Human Capital
		- Budget Performance Integration
		- Financial Performance
Yes	Expanded E-Government	- Expanded E-Government
		- Competitive Sourcing
		- Faith Based and Community
		- Real Property Asset Management
		- Eliminating Improper Payments
		- Privatization of Military Housing
		- Research & Development Investment Criteria
		- Housing & Urban Development Management & Performance

		- Broadening Health Insurance Coverage through State Initiatives
		- "Right Sized" Overseas Presence
Yes	Coordination of VA and DoD Programs and Systems	- Coordination of VA & DoD Programs and Systems

I.A.13.b) Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)(MEDIUM ANSWER)

Expanded Electronic Government: The online "Application for Health Benefits" enables veterans to apply for health care benefits electronically in the privacy and comfort of their homes.
Coordination of VA and DoD: It will enable VHA to effectively interact with the One VA Registration and Eligibility system currently in the requirements phase at the agency level. The One VA system will increase data sharing with DoD so as to obtain more detailed information on the veteran.

I.A.14) Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

No

I.A.14.a) If "yes," does this investment address a weakness found during a PART review?

I.A.14.b) If "yes," what is the name of the PARTed program? (SHORT ANSWER)

I.A.14.c) If "yes," what rating did the PART receive?

I.A.15) Is this investment information technology? (See section 53.8 for definition)

Yes

I.A.16) What is the level of the IT Project? (per CIO Council PM Guidance)

Level 3

I.A.17) What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

Qualification Status	Qualification Status for XML Submission	Description
1	(1) Project manager has been validated as qualified for this investment	(1) - Project manager has been validated as qualified for this investment.
		(2) - Project manager qualification is under review for this investment.
		(3) - Project manager assigned to investment, but does not meet requirements.
		(4) - Project manager assigned but qualification status review has not yet started.
		(5) - No Project manager has yet been assigned to this investment.

I.A.18) Is this investment or any project(s) within this investment identified as "high risk" on the Q4-FY 2007 agency high risk report (per OMB Memorandum M-05-23)

No

I.A.19) Is this project (investment) a Financial Management System? (see section 53.3 for definition)

No

I.A.19.a) If so, does this project (investment) address a FFMIA (Federal Financial Managers Integrity Act) compliance area?

I.A.19.a.1) If yes, which compliance area?

I.A.19.a.2) If "no," what does it address? (MEDIUM ANSWER)

I.A.19.b) If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A–11 section 52 (LONG ANSWER)

I.A.20) What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Percentage of Total Investment	
% Hardware	3.96
% Software	0.46
% Services	95.58
% Others	

I.A.21) If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

NA

I.A.22) Contact information of individual responsible for privacy related questions:

Contact Name: (SHORT ANSWER)	Chi Yu
Phone Number:	(202) 565-8928
Title: (SHORT ANSWER)	IT Specialist
E-mail: (SHORT ANSWER)	Chi.yu@va.gov

I.A.23) Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

Yes

I.A.24) Does this investment directly support one of the GAO High Risk Areas?

No

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A. B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section B: Summary of Funding (All Capital Assets)

I.B.1) FILL IN TABLE IN CURRENT VALUES (in millions)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be EXCLUDED from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Category of Funds	PY-1 and Earlier	PY 2007	CY 2008	BY 2009
Planning				
Budgetary Resources	0.000	0.000	0.000	0.000
Acquisition				
Budgetary Resources	21.100	8.509	8.525	9.540
Total, Sum of Stages				
Total, Resources (Plan & Acq)	21.100	8.509	8.525	9.540
Operations & Maintenance				
Budgetary Resources	0.000	0.000	6.901	8.195
Total, All Stages Resources	21.100	8.509	15.426	17.735
Government FTE Costs	4.980	2.423	2.778	1.952
Govt. FTE Numbers	48	23	19	18
Total, All Stages Resources + FTE	26.080	10.932	18.204	19.687

Government FTE Costs SHOULD NOT be INCLUDED as part of the TOTAL, All Stages Resources represented.

Note: 1) For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). 2) Total, All Stages Resources should equal Total, All Stages Outlays.

I.B.2) Will this project require the agency to hire additional FTE's?

No
I.B.2.a) If Yes, How many and in what year? (MEDIUM ANSWER)
I.B.3) If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes. (LONG ANSWER)
There has been a customer re-prioritization of enhancements work. The project management team performed an in-depth analysis of the project funding needs. This involved a more extensive, detailed analysis than had been undertaken in past years. The outcome is a realistic assessment of resources needed to accomplish the work required to address the customer's business needs. It was previously thought that all Health Eligibility Center (HEC) IT operations costs should be placed in the Enrollment O&M Exhibit through FY08 and then moved to the Enrollment Enhancements Exhibit in FY09 and onward. However, during this year's extensive funding analysis, it was determined that there are really three types of HEC IT costs (those related to legacy system maintenance, those related to ESR maintenance, and those IRM-type costs unrelated to any system). Consequently, FY08 HEC IT operations costs related to ESR were moved from the Enrollment O&M Exhibit to this Exhibit.

PART I - C

PART I: SUMMARY INFORMATION AND JUSTIFICATION
In Part I, complete Sections A. B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.
OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section C: Acquisition/Contract Strategy (All Capital Assets)
I.C.1) If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why? (LONG ANSWER)
Even though not specified in the current task orders, contractors on Task Orders 192-0148 and 192-0155 enter all of their hours into TeamPlay, which is VA's Earned Value Management System (EVMS). The upcoming BPA for these task orders includes language for EVM.
I.C.2) Do the contracts ensure Section 508 compliance?
Yes
I.C.2.a) If the Contracts WILL NOT ensure Section 508 Compliance, explain why:
All contracts associated with this Exhibit 300 require Section 508 compliance.
I.C.3) Is there an acquisition plan which has been approved in accordance with agency requirements?
Yes
I.C.3.a) If "yes," what is the date?
Mar 1, 2007
I.C.3.b) If "no," will an acquisition plan be developed?
I.C.3.b.1) If "no," briefly explain why: (MEDIUM ANSWER)

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A. B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section D: Performance Information (All Capital Assets)

I.D.1) In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives that this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60%, increase citizen participation by 300% a year to achieve an overall citizen participation rate of 75% by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestone, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

For Existing IT projects that have previously submitted Exhibit 300s:

--> If you completed Table 1 last year, please use Table 1 to report for fiscal year 2005 and Table 2 for fiscal years 2006 through at least 2009.

--> If you completed only Table 2 last year, please use Table 2 to report for fiscal years 2006 through at least 2009.

For projects that are submitting Exhibit 300s for the first time:

--> Use Table 2.

--> Report on Performance Measures for at least two years, i.e., FY 2008 and 2009, FY 2009 and 2010.

--> If the project will have data for 2007 that you wish to include, add extra lines in Table 2 and complete all information in this single table.

--> At least one performance goal should be met by BY+1.

PERFORMANCE INFORMATION TABLE 2:

Please use Table 2 and the FEA Performance Reference Model (PRM) to identify the performance information pertaining to this major IT Investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year).

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvements to the Baseline	Actual Results
2008	Quality of Life	Processes and Activities	Productivity	IVM cases worked per year	IVM closes 40% of available cases	IVM operations will close 2% more potential cases in FY2008 than in FY2007	Results should be available the 1st quarter of FY08.
2008	Ensure Smooth Transition	Mission and Business Results	Information Management	Average time to return field query	Average time to return field query in FY2007	5% decrease in average time to return field query in FY2008 than in FY2007	Results should be available a month after ESR 3.0 is deployed.
2008	Honor & Memorialize	Technology	Availability	Average system uptime	Average ESR uptime in 4th quarter FY2007	Average ESR uptime will increase to 99.5% in FY2008	Results should be available a month after ESR 3.0 is deployed.
2008	Honor & Memorialize	Customer Results	Response Time	Calendar days to return decision	Application submission to enrollment determination cycle time is 4 calendar days	In FY2008, application submission to enrollment determination cycle time will be reduced to 3 calendar days	Results should be available a month after ESR 3.0 is deployed.

2009	Quality of Life	Processes and Activities	Productivity	IVM cases worked per year	IVM closes 40% of available cases	IVM will close 50% of available cases	Results should be available the 1st quarter of FY09.
2009	Ensure Smooth Transition	Mission and Business Results	Information Management	Average time to return field query	Average time to return field query in FY2007	5% decrease in average time to return field query in FY2009 than in FY2008	Results should be available the 1st quarter of FY09.
2009	Honor & Memorialize	Technology	Availability	Average system uptime	Average ESR uptime in 4th quarter FY2007	Average ESR uptime will increase to 99.6% in FY2009	Results should be available the 1st quarter of FY09.
2009	Honor & Memorialize	Customer Results	Response Time	Calendar days to return decision	Application submission to enrollment determination cycle time is 4 calendar days	In FY2009, application submission to enrollment determination cycle time will be reduced to 1 calendar day for applications submitted via the web	Results should be available the 1st quarter of FY09.

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A. B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

I.F.1) Is this investment included in your agency's target enterprise architecture?

Yes

I.F.1.a) If "no," please explain why? (LONG ANSWER)

I.F.2) Is this investment included in the agency's EA Transition Strategy?

Yes

I.F.2.a) If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment. (MEDIUM ANSWER)

One VA Eligibility and Registration

I.F.2.b) If "no," please explain why? (LONG ANSWER)

I.F.3) Is this investment identified in a completed (contains a target architecture) and approved segment architecture?

Yes

I.F.3.a) If "yes," provide the name of the segment architecture as provided in the agency's most recent annual EA Assessment.(MEDIUM ANSWER)

Health Business Segment, Health Care Sub-segment

I.F.3) FEA SERVICE REFERENCE MODEL:

I.F.3) Identify the service components funded by this major IT investment (e.g.,knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.whitehouse.gov/omb/egov/.

SERVICE COMPONENT TABLE:

	Agency Component Name(SHORT ANSWER)	Agency Component Description (MEDIUM ANSWER)	FEA SRM Service Type	FEA SRM Component (a*)	FEA Service Component Reused : Component Name (b*)	FEA Service Component Reused : UPI (b*)	Internal or External Reuse? (c*)	BY Funding Percentage (d*)
1	EA40-001	Common Veteran Registration Process	Customer Initiated Assistance	Reservations / Registration	Reservations / Registration		No Reuse	40.000
2	EA40-001	Common Veteran Registration Process	Knowledge Management	Information Retrieval	Information Retrieval		No Reuse	10.000
3	EA40-001	Common Veteran Registration Process	Knowledge Management	Information Sharing	Information Sharing		No Reuse	30.000

4	EA40-001	Common Veteran Registration Process	Knowledge Management	Knowledge Capture	Knowledge Capture		No Reuse	2.500
5	EA40-001	Common Veteran Registration Process	Reporting	Standardized / Canned	Standardized / Canned		No Reuse	2.500
6	EA40-001	Common Veteran Registration Process	Management of Processes	Business Rule Management	Business Rule Management		No Reuse	5.000
7	EA40-001	Common Veteran Registration Process	Data Management	Data Exchange	Data Exchange		No Reuse	10.000

NOTE:

(a*) - Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

(b*) - A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

(c*) - 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

(d*) - Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

I.F.4) FEA TECHNICAL REFERENCE MODEL:

I.F.4) To demonstrate how this major IT investment aligns with Reference Model (TRM), please list the Service Areas, Service Specifications supporting this IT investment.

TECHNICAL REFERENCE MODEL TABLE:

	FEA SRM Component (a*)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard
1	Reservations / Registration	Service Access and Delivery	Access Channels	Web Browser
2	Reservations / Registration	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers
3	Reservations / Registration	Service Platform and Infrastructure	Support Platforms	Platform Independent
4	Reservations / Registration	Service Platform and Infrastructure	Software Engineering	Modeling
5	Reservations / Registration	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment
6	Reservations / Registration	Service Platform and Infrastructure	Software Engineering	Software Configuration Management
7	Reservations / Registration	Service Platform and Infrastructure	Software Engineering	Test Management
8	Reservations / Registration	Component Framework	Business Logic	Platform Independent
9	Reservations / Registration	Component Framework	Presentation / Interface	Static Display
10	Reservations / Registration	Component Framework	Presentation / Interface	Dynamic Server-Side Display
11	Reservations / Registration	Component Framework	Presentation / Interface	Content Rendering

#				
12	Reservations / Registration	Component Framework	Security	Supporting Security Services
13	Reservations / Registration	Service Interface and Integration	Interface	Service Description / Interface
14	Business Rule Management	Component Framework	Business Logic	Platform Independent
15	Business Rule Management	Service Interface and Integration	Interface	Service Description / Interface
16	Information Retrieval	Component Framework	Data Management	Database Connectivity
17	Information Retrieval	Service Interface and Integration	Integration	Middleware
18	Information Sharing	Service Access and Delivery	Access Channels	Other Electronic Channels
19	Information Sharing	Service Access and Delivery	Delivery Channels	Intranet
20	Information Sharing	Service Access and Delivery	Service Requirements	Legislative / Compliance
21	Information Sharing	Service Access and Delivery	Service Transport	Supporting Network Services
22	Information Sharing	Service Access and Delivery	Service Transport	Service Transport
23	Information Sharing	Service Access and Delivery	Access Channels	Collaboration / Communications
24	Information Sharing	Component Framework	Data Interchange	Data Exchange
25	Information Sharing	Service Interface and Integration	Interoperability	Data Format / Classification
26	Information Sharing	Service Platform and Infrastructure	Hardware / Infrastructure	Wide Area Network (WAN)
27	Knowledge Capture	Service Platform and Infrastructure	Database / Storage	Storage
28	Knowledge Capture	Service Platform and Infrastructure	Delivery Servers	Application Servers
29	Knowledge Capture	Service Platform and Infrastructure	Delivery Servers	Web Servers
30	Knowledge Capture	Service Platform and Infrastructure	Database / Storage	Database
31	Data Exchange	Service Interface and Integration	Integration	Middleware
32	Data Exchange	Service Interface and Integration	Interoperability	Data Format / Classification
33	Data Exchange	Component Framework	Data Interchange	Data Exchange
34	Data Exchange	Service Access and Delivery	Access Channels	Other Electronic Channels
35	Standardized / Canned	Component Framework	Data Management	Reporting and Analysis
36	Enterprise Application Integration	Service Interface and Integration	Integration	Middleware

NOTE:

(a*) - Service Components identified in the previous question(I.F.3) should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

(b*) - In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

I.F.5) Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

No
I.F.5.a) If "yes," please describe. (LONG ANSWER)
I.F.6) Does this investment provide the public with access to a government automated information system?
No
I.F.6.a) If "yes," does customer access require specific software (e.g., a specific web browser version)?

PART II: PLANNING, ACQUISITION AND PERFORMANCE INFORMATION

Part II should be completed only for investments which in FY2008 will be in "Planning" or "Full Acquisition," investments, i.e., selected one of these three choices in response to Question 6 in Part I, Section A above.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section B - RISK MANAGEMENT (All Capital Assets)

II.B.1) Does the investment have a Risk Management Plan?

Yes

II.B.1.a) If "yes," what is the date of the plan?

May 25, 2006

II.B.1.b) Has the Risk Management Plan been significantly changed since last year's submission to OMB?

No

II.B.1.c) If "yes," describe any significant changes: (LONG ANSWER)

II.B.2) If there currently is no plan, will a plan be developed?

II.B.2.a) If "no," what is the strategy for managing the risks? (LONG ANSWER)

II.B.3) Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule: (LONG ANSWER)

Risks are reviewed on a weekly basis by the project managers. There is a program level Risk Management Plan as well as a plan for each individual project. Software is utilized to document, track, monitor, and mitigate all risks, including those risks that affect other projects. During milestone reviews, risks are discussed with many levels of management, including portfolio managers who represent other projects that may be affected by Enrollment Enhancements.

Each IT Project Office plan has additional costs and time built into its schedule to account for unexpected costs and delays in case any of the risks occur. Extra costs are built into the schedule using a management/risk reserve, which is an amount of total allocated budget withheld under management control rather than assigned as part of project scope.

In addition, contract vehicles that incorporate EVMS and firm fixed price contracts are used. Unlike time and materials contracts, firm fixed price contracts significantly reduce the risk of cost overruns.

Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments which in FY2008 will be in "Planning" or "Full Acquisition," investments, i.e., selected one of these three choices in response to Question 6 in Part I, Section A above.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

C) Cost and Schedule Performance:

Identify in this section the proposed change to the original or current OMB-approved baseline. What are the new cost and schedule goals for the phase or segment/module (e.g., what are the major investment milestones or events; when will each occur; and what is the estimated cost to accomplish each one)? If this is a new investment in the FY 2008 Budget year or if the agency does not intend to propose a new baseline modification, this section will be blank for your budget submission.

II.C.1) Does the earned value management system meet the criteria in ANSI/EIA Standard – 748?

No

II.C.3) Has the investment re-baselined during the past fiscal year?

No

II.C.3.a) If "Yes", when was it approved by the Agency head?

II.C.4) Comparison of Initial Baseline and Current Approved Baseline:

II.C.4) Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in $ Millions).

Description of Milestone	Init BL Planned Completion Date	Current BL Completion Date Planned	Current BL Completion Date Actual
ESR Iteration 1	Oct 18, 2004	Oct 18, 2004	
ESR Iteration 2	Feb 11, 2005	Feb 11, 2005	
ESR Iteration 3	Aug 16, 2006	Aug 16, 2006	
IVM and Workflow Enhancement Phase 1	Oct 5, 2007	Oct 5, 2007	
ESR Iteration 4	Nov 9, 2006	Nov 9, 2006	
ESR Deployment and Closeout	Jan 22, 2007	Jan 22, 2007	
Maintenance FY2007	Sep 30, 2007	Sep 30, 2007	
Defect Resolution Development FY2007	Sep 30, 2007	Sep 30, 2007	
Minor Enhancements 1	Jul 30, 2007	Jul 30, 2007	
Workflow Enhancement Phase 2	Jan 30, 2008	Jan 30, 2008	
Workflow Enhancement Phase 3	Apr 30, 2008	Apr 30, 2008	
Defect Resolution Development FY2008	Sep 30, 2008	Sep 30, 2008	
Maintenance FY2008	Sep 30, 2008	Sep 30, 2008	
Veterans Financial Assessment Enhancement	Sep 30, 2008	Sep 30, 2008	

Minor Enhancements 2	Jul 30, 2008	Jul 30, 2008	
Workflow Enhancement Phase 4	Jan 2, 2009	Jan 2, 2009	
Minor Enhancements 3	Apr 30, 2009	Apr 30, 2009	
Self-Service Application Enhancement	Sep 30, 2009	Sep 30, 2009	
Defect Resolution Development FY2009	Sep 30, 2009	Sep 30, 2009	
Maintenance FY2009	Sep 30, 2009	Sep 30, 2009	
Minor Enhancements 4	Jul 30, 2009	Jul 30, 2009	