

PART I - A
PART I: SUMMARY INFORMATION AND JUSTIFICATION
<i>In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.</i>
<i>OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)</i>

Section A: Overview (All Capital Assets)
<i>I.A.1) Date of Submission (mm/dd/yyyy)</i>
Sep 10, 2007
<i>I.A.2) Agency</i>
029 - Department of Veterans Affairs
<i>I.A.3) Bureau</i>
00 - Agency Wide Initiatives
<i>I.A.4) Name of this Investment:(SHORT ANSWER)</i>
Capital Asset Management System-2009
<i>I.A.5) Unique Project(Investment) Identifier: Update the UPI using the Exhibit 53 tab.</i>
029-00-03-00-01-1012-00
<i>I.A.6) What kind of investment will this be in FY2009? (Please NOTE: Investments moving to O&M in FY2009, with Planning/Acquisition activities prior to FY2009 should not select O&M. These investments should indicate their current status.)</i>
Operations and Maintenance
<i>I.A.7) What was the first budget year this investment was submitted to OMB?</i>
FY2003
<i>I.A.8) Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: (LONG ANSWER)</i>
CAMS responds to Executive Order 13327 (EO) regarding real property asset management. The EO has been added to the President's Management Agenda (PMA). CAMS is closing a performance gap by enabling VA to report annually to OMB and the GSA on its inventory and real property. CAMS supports OMB Circular A-11 by providing an integrated, Department-wide system that enables VA to analyze, monitor, and manage VA's capital assets. It is a comprehensive performance management system that sets asset utilization goals and standards for new and existing assets, and ensures their financial integrity. CAMS extracts key capital asset-related data from several administration data sources that includes: Financial Management Systems, and Case Asset Inventory. The data is organized and presented to monitor VA's performance against Departmental capital asset goals within and across asset types and the administrations. The implementation of CAMS has enabled VA to capture capital asset types including: real property, large assets such as medical equipment, IT systems, Capital Planning and Investment Control (CPIC), and FISMA compliance of IT systems. CAMS also allows for web-based input of asset planning applications. CAMS implementation assist VA to support its Strategic Plan Enabling objective E.4: "Improve the overall governance and performance of VA by applying sound business principles; ensuring accountability; employing resources effectively through enhanced capital asset management, acquisition practices, and competitive sourcing; and linking strategic planning to budgeting and performance". In December 2000, both the Enterprise Information Board (EIB) and the CIO Investment Panel (CIP) approved CAMS. In FY2004, CAMS implementation efforts were completed when the system became fully operational. CAMS provides outcomes that result in improved service delivery to veterans and increased financial accountability to the general public. The impact has been: integration of asset management and governance at multiple levels, improved financial and analytical capability, and improved performance management. CAMS permits senior staff to monitor capital assets and provide data for informed corporate decision-making regarding delivery of benefits to veterans in a cost efficient manner. For example, CAMS has been configured with the lease asset type. VA has the potential to lower annual lease costs to as much as \$880,000.
<i>I.A.9) Did the Agency's Executive/Investment Committee approve this request?</i>
Yes
<i>I.A.9.a) If "yes," what was the date of this approval?</i>
May 31, 2007

I.A.10) Did the Project Manager review this Exhibit?

Yes

I.A.11) Project Managers Contact Information

	Project Managers Names (SHORT ANSWER)	PM Phone	E-mail (SHORT ANSWER)
Primary in-house	Michael F. Boyce, PMP	202-357-3926	michael.boyce@va.gov

I.A.11.a) What is the current FAC-P/PM certification level of the project/program manager?

DAWIA-Level-2

I.A.12) Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

No

I.A.12.a) Will this investment include electronic assets (including computers)?

Yes

I.A.12.b) Is this investment for construction or retrofit of a federal building or facility? (Answer applicable to non-IT assets only)

No

I.A.12.b.1) If "yes," is an ESPC or UESC being used to help fund this investment?

I.A.12.b.2) If "yes," will this investment meet sustainable design principles?

I.A.12.b.3) If "yes," is it designed to be 30% more energy efficient than relevant code? (Answer applicable to non-IT assets only)

I.A.13) Does this investment directly support one of the PMA initiatives?

Yes

I.A.13.a) If "yes," check all that apply:

	PMA Initiatives for XML Submission	PMA Initiatives
		- Human Capital
Yes	Budget Performance Integration	- Budget Performance Integration
		- Financial Performance
		- Expanded E-Government
		- Competitive Sourcing
		- Faith Based and Community
Yes	Real Property Asset Management	- Real Property Asset Management
		- Eliminating Improper Payments
		- Privatization of Military Housing
		- Research & Development Investment Criteria
		- Housing & Urban Development Management & Performance
		- Broadening Health Insurance Coverage through State Initiatives

		- "Right Sized" Overseas Presence
		- Coordination of VA & DoD Programs and Systems

I.A. 13.b) Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)(MEDIUM ANSWER)

CAMS supports the PMA "Budget and Performance Integration" by disseminating info about investment's cost and programs performance in a single oversight process. It includes budgeting of the full cost of resources where they are used, making budget program activity lines more parallel with outcomes, and aligning budget accounts. The ability to manage real property assets supports the President's Directive 13327 "Real Property Asset Management" of having a single real property inventory database.

I.A. 14) Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

No

I.A. 14.a) If "yes," does this investment address a weakness found during a PART review?

No

I.A. 14.b) If "yes," what is the name of the PARTed program? (SHORT ANSWER)

I.A. 14.c) If "yes," what rating did the PART receive?

I.A. 15) Is this investment information technology? (See section 53.8 for definition)

Yes

I.A. 16) What is the level of the IT Project? (per CIO Council PM Guidance)

Level 2

I.A. 17) What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

Qualification Status	Qualification Status for XML Submission	Description
1	(1) Project manager has been validated as qualified for this investment	(1) - Project manager has been validated as qualified for this investment.
		(2) - Project manager qualification is under review for this investment.
		(3) - Project manager assigned to investment, but does not meet requirements.
		(4) - Project manager assigned but qualification status review has not yet started.
		(5) - No Project manager has yet been assigned to this investment.

I.A. 18) Is this investment or any project(s) within this investment identified as "high risk" on the Q4-FY 2007 agency high risk report (per OMB Memorandum M-05-23)

No

I.A. 19) Is this project (investment) a Financial Management System? (see section 53.3 for definition)

No

I.A. 19.a) If so, does this project (investment) address a FFIA (Federal Financial Managers Integrity Act) compliance area?

I.A. 19.a.1) If yes, which compliance area?

I.A. 19.a.2) If "no," what does it address? (MEDIUM ANSWER)

I.A.19.b) If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52 (LONG ANSWER)

This investment is not a financial management system.

I.A.20) What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Percentage of Total Investment	
% Hardware	5.00
% Software	10.00
% Services	85.00
% Others	

I.A.21) If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

NA

I.A.22) Contact information of individual responsible for privacy related questions:

Contact Name: (SHORT ANSWER)	Chi Yu
Phone Number:	(202) 565-8928
Title: (SHORT ANSWER)	IT Specialist
E-mail: (SHORT ANSWER)	Chi.yu@va.gov

I.A.23) Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

No

I.A.24) Does this investment directly support one of the GAO High Risk Areas?

No

PART I - B

PART I: SUMMARY INFORMATION AND JUSTIFICATION

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Section B: Summary of Funding (All Capital Assets)

I.B.1) FILL IN TABLE IN CURRENT VALUES (in millions)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be EXCLUDED from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Category of Funds	PY-1 and Earlier	PY 2007	CY 2008	BY 2009
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Planning				
Budgetary Resources	2.520	0.000	0.000	0.000
Acquisition				
Budgetary Resources	4.050	0.000	0.000	0.000
Total, Sum of Stages				
Total, Resources (Plan & Acq)	6.570	0.000	0.000	0.000
Operations & Maintenance				
Budgetary Resources	8.160	2.000	1.900	2.596
Total, All Stages Resources	14.730	2.000	1.900	2.596
Government FTE Costs	0.950	0.100	0.204	0.205
Govt. FTE Numbers	1	1	2	2
Total, All Stages Resources + FTE	15.680	2.100	2.104	2.801

Government FTE Costs SHOULD NOT be INCLUDED as part of the TOTAL, All Stages Resources represented.

Note: 1) For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). 2) Total, All Stages Resources should equal Total, All Stages Outlays.

I.B.2) Will this project require the agency to hire additional FTE's?

Yes

I.B.2.a) If Yes, How many and in what year? (MEDIUM ANSWER)

1 FTE for a total of 2 FTE requested in BY 2009

I.B.3) If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes. (LONG ANSWER)

Summary of spending has changed to reflect an additional FTE and to correct incorrect estimates for current personnel resource. Actuals have also been adjusted for PY-1 and earlier to reflect actual costs including pay which was not calculated for prior years.

PART I - C

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

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Section C: Acquisition/Contract Strategy (All Capital Assets)

I.C.1) If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why? (LONG ANSWER)

This contract is for outsourced development work but the dollar value is less than 20M, the contract is not high risk and the benefits do

not exceed the cost to VA. As such, the contractor is not required to have an EVMS per VA Directive 6061. However, as this contract supports a major IT development effort the contract will support VA EVMS by providing the necessary schedule, and cost performance information.

I.C.2) Do the contracts ensure Section 508 compliance?

Yes

I.C.2.a) If the Contracts WILL NOT ensure Section 508 Compliance, explain why:

VA has incorporated Section 508 of the Rehabilitation Act Amendments (PL 106-246) accessibility requirements into the enterprise architecture. Part of the effort associated with CAMS is to enforce the requirements laid out in the Department's Enterprise Architecture and ensure that all IT investments subject to the Capital Asset Management process will conform to the One VA Architecture. CAMS complies with all of the provisions of 508c.

I.C.3) Is there an acquisition plan which has been approved in accordance with agency requirements?

Yes

I.C.3.a) If "yes," what is the date?

Nov 30, 2005

I.C.3.b) If "no," will an acquisition plan be developed?

I.C.3.b.1) If "no," briefly explain why: (MEDIUM ANSWER)

PART I - D

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

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Section D: Performance Information (All Capital Assets)

I.D.1) In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives that this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60%, increase citizen participation by 300% a year to achieve an overall citizen participation rate of 75% by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestone, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

For Existing IT projects that have previously submitted Exhibit 300s:

--> If you completed Table 1 last year, please use Table 1 to report for fiscal year 2005 and Table 2 for fiscal years 2006 through at least 2009.

--> If you completed only Table 2 last year, please use Table 2 to report for fiscal years 2006 through at least 2009.

For projects that are submitting Exhibit 300s for the first time:

--> Use Table 2.

--> Report on Performance Measures for at least two years, i.e., FY 2008 and 2009, FY 2009 and 2010.

--> If the project will have data for 2007 that you wish to include, add extra lines in Table 2 and complete all information in this single table.

--> At least one performance goal should be met by BY+1.

PERFORMANCE INFORMATION TABLE 2:

Please use Table 2 and the FEA Performance Reference Model (PRM) to identify the performance information pertaining to this major IT Investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year).

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvements to the Baseline	Actual Results
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2005	[None]	Customer Results	Availability	System Availability	Goal is to have 95% system availability	Maintain or improve on baseline for system availability	CAMS is continually maintaining availability rates exceeding 95%
2005	[None]	Mission and Business Results	Reporting and Information	Continue to enhance CAMS capabilities to provide reporting capability required by users and Federal Budget reporting requirements.	CAMS includes reporting capabilities to support approximately 85% of the management reports required that are required by users	Work to increase software reporting capabilities and examine other programs that can be used to generate reports that can't be generated by the system	Worked with vendor to develop additional system reporting capability and purchased additional reporting software that can be developed to meet customers/stakeholder current and future requirements
2005	[None]	Processes and Activities	Participation	Use CAMS to manage 100% of VA capital assets	CAMS is being used to manage 100% of VA capital assets	Improve system functions and capabilities that are required to meet evolving missions	CAMS is continually being enhanced to provide more functionality and data acquisition in support of customer expectations
2005	[None]	Mission and Business Results	Capital Planning	Continued system enhancements to support VA, OMB, and other requirements	90% of required functionality exists in CAMS.	Meet all OMB/GAO requirements for maintaining capital assets.	CAMS is continually being enhanced to support new tasks to support new and evolving missions
2006	[None]	Customer Results	Availability	System Availability	CAMS continues to exceed 95% availability rate	Refine system upgrade planning process to avoid instances that degrade system availability	Partial system downtime occurred; making system unavailable for a few hours. This resulted in complete review of back up and restore procedures for entire system.
2006	[None]	Mission and Business Results	Reporting and Information	Continue to enhance CAMS capabilities to provide reporting capability required by users and Federal Budget reporting requirements.	CAMS is currently capable of providing 90% of the required reports.	Reporting capability is hampered by availability of required data. Continue to gain access to systems and information needed to support required system processes	Worked with vendor to develop additional system reporting capability and purchased additional reporting software that can be developed to meet customers/stakeholder current and future requirements
2006	[None]	Processes and Activities	Participation	Use CAMS to manage 100% of VA capital assets	CAMS is being used to support 100% of the VA Capital Asset management process at VA	Improve system functions and capabilities that are required to meet evolving missions	CAMS has been upgraded. This upgrade contains significant system improvements

2006	[None]	Mission and Business Results	Capital Planning	Continued system enhancements to support VA, OMB, and other requirements	CAMS capabilities have improved to 95% use by VA for capital planning.	Add functionality to support EVM and congressional reporting requirements	Required functionality has been completed. CAMS supports all know requirements.
2007	[None]	Customer Results	Availability	System Availability	Goal is to have 95% system availability	Maintain or improve on baseline for system availability	CAMS continually maintains 98% availability rates.
2007	[None]	Mission and Business Results	Reporting and Information	Continue to enhance CAMS capabilities to provide reporting capability required by users and Federal Budget reporting requirements.	CAMS is currently capable of providing 90% of the required reports.	Reporting capability is hampered by availability of required data. Continue to gain access to systems and information needed to support VA capital asset management.	Worked with vendor to develop additional system reporting capability and purchased additional reporting software that can be developed to meet customers/stakeholder current and future requirements
2007	[None]	Mission and Business Results	Capital Planning	Continued system enhancements to support VA, OMB, and other requirements	95% of required functionality exists in CAMS.	Add functionality to support EVM and congressional reporting requirements	CAMS functionality continues to increase and enhancements to support Real Property and Energy will increase capital planning capabilities to 100%
2007	[None]	Processes and Activities	Participation	Use CAMS to manage 100% of VA capital assets	CAMS is being used to support 100% of the VA Capital Asset management process at VA	Continue to enhance the product to provide additional capabilities to manage 100% of VA capital assets.	CAMS is used to manage 98% of the VA capital assets.
2008	[None]	Mission and Business Results	Reporting and Information	Continue to enhance CAMS capabilities to provide reporting capability required by users and Federal Budget reporting requirements.	CAMS is currently capable of providing 90% of the required reports.	Reporting capability is hampered by availability of required data. Continue to gain access to systems and information needed to support	TBD 2d QTR FY08
2008	[None]	Mission and Business Results	Capital Planning	Continued system enhancements to support VA, OMB, and other requirements	Over 98% of required functionality exists in CAMS.	Add functionality to support EVM and congressional reporting requirements	TBD 2d QTR FY08
2008	[None]	Customer Results	Availability	System Availability	CAMS has an availability rating of 98%	Maintain outstanding availability rate	TBD 2d QTR FY08

2008	[None]	Processes and Activities	Participation	Use CAMS to manage 100% of VA capital assets	CAMS is being used to support 100% of the VA Capital Asset management process at VA	Improve system functions and capabilities that are required to meet evolving missions	TBD 2d QTR FY08
2009	[None]	Customer Results	Availability	System Availability	98% availability rating	Maintain or improve on baseline for system availability	TBD 2nd QTR FY09
2009	[None]	Mission and Business Results	Capital Planning	Continued system enhancements to support VA, OMB, and other requirements	98% of this functionality resides in CAMS.	System upgrades and enhancements that provide for continual improvement in this area.	TBD 2nd QTR FY09
2009	[None]	Mission and Business Results	Reporting and Information	Continue to enhance CAMS capabilities to provide reporting capability required by users and Federal Budget reporting requirements.	CAMS is currently capable of providing 90% of the required reports.	Upgrade CAMS servers and database capabilities to improve information management in CAMS.	TBD 2nd QTR FY09
2009	[None]	Technology	Functionality	Improving CAMS by developing Business Intelligence capability started in Budget Year 2009.	CAMS software has constraints on the amount of data that can be reported and analyzed	Enhance CAMS software using state-of-the-art BI implementation	TBD 2nd QTR FY09
2009	[None]	Processes and Activities	Participation	Use CAMS to manage 100% of VA capital assets	CAMS is being used to support 100% of the VA Capital Asset management process at VA	Improve system functions and capabilities that are required to meet evolving missions.	TBD 2nd QTR FY09

PART I - F

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

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Section F: Enterprise Architecture (EA) (IT Capital Assets only)

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NOTE:

(a*) - Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

(b*) - A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

(c*) - 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

(d*) - Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

I.F.4) FEA TECHNICAL REFERENCE MODEL:

I.F.4) To demonstrate how this major IT investment aligns with Reference Model (TRM), please list the Service Areas, Service Specifications supporting this IT investment.

TECHNICAL REFERENCE MODEL TABLE:

	FEA SRM Component (a*)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard
1	Data Warehouse	Service Platform and Infrastructure	Support Platforms	Platform Independent
2	Portfolio Management	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)
3	Property / Asset Management	Service Platform and Infrastructure	Delivery Servers	Web Servers
4	Property / Asset Management	Service Platform and Infrastructure	Delivery Servers	Application Servers
5	Requirements Management	Service Interface and Integration	Integration	Middleware
6	Access Control	Component Framework	Security	Certificates / Digital Signatures
7	Portfolio Management	Component Framework	Data Management	Reporting and Analysis
8	Online Tutorials	Service Access and Delivery	Delivery Channels	Intranet
9	Portfolio Management	Service Access and Delivery	Delivery Channels	Intranet

10	Data Exchange	Service Interface and Integration	Interoperability	Data Transformation
11	Library / Storage	Service Platform and Infrastructure	Database / Storage	Storage
12	Customer / Account Management	Service Platform and Infrastructure	Delivery Servers	Web Servers
13	Alerts and Notifications	Service Platform and Infrastructure	Delivery Servers	Application Servers
14	Audit Trail Capture and Analysis	Component Framework	Security	Supporting Security Services
15	Requirements Management	Service Access and Delivery	Service Requirements	Legislative / Compliance
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NOTE:

(a*) - Service Components identified in the previous question(I.F.3) should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

(b*) - In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

I.F.5) Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

No

I.F.5.a) If "yes," please describe. (LONG ANSWER)

I.F.6) Does this investment provide the public with access to a government automated information system?

No

I.F.6.a) If "yes," does customer access require specific software (e.g., a specific web browser version)?

PART III - A

Part III: For "Operation and Maintenance" investments ONLY (Steady State)

Part III should be completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

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Section A - RISK MANAGEMENT (All Capital Assets)

In order to successfully address this issue on the business case and capital asset plan, you must have performed a risk assessment at the initial concept, included mandatory risk elements defined below and demonstrate active management of the risk throughout the life-cycle of the investment.

For all investments, both IT and non-IT, you must discuss each of the following risks and present your plans to eliminate, mitigate, or manage risk, with milestones and completion dates. If there is no risk to the investment achieving its goals from a risk category, indicate so. If there are other risks identified, include them. Risk assessments should include risk information from all stakeholders and should be performed at the initial concept stage and then monitored and controlled throughout the life-cycle of the investment. Risk assessments for all investments must include: 1) schedule ; 2) initial costs; 3) life-cycle costs; 4) technical obsolescence; 5) feasibility; 6) reliability of systems; 7) dependencies and interoperability between this investment and others; 8) surety (asset protection) considerations; 9) risk of creating a monopoly for future procurements; 10) capability of agency to manage the investment; and 11) overall risk of investment failure.

In addition, for IT investments, risk must be discussed in the following categories 12) organizational and change management; 13) business; 14) data/info; 15) technology; 16) strategic; 17) security; 18) privacy; and 19) project resources. For security risks, identify under the Description column the level of risk as high, medium, or basic. What aspect of security determines the level of risk, i.e., the need for confidentiality of information, availability of information or the system, reliability of the information or system? Under the Current Status column, list the milestones remaining to mitigate the risk.

Moreover, for each risk category with a probability of occurrence of at least medium and impact of at least medium, please indicate whether or not the costs to mitigate the risk have been incorporated into your lifecycle cost estimates in the summary of spending stages section of this Exhibit 300. If not, please also

indicate why in your response.

III.A.1) Does the investment have a Risk Management Plan?

Yes

III.A.1.a) If "yes," what is the date of the plan?

Jul 18, 2007

III.A.1.b) Has the Risk Management Plan been significantly changed since last year's submission to OMB?

Yes

III.A.1.c) If "yes," describe any significant changes: (LONG ANSWER)

The Risk Management Plan was updated in July 2007 to capture those PM risks identified as a result of the independent Risk Assessment undertaken concurrently with the CAMS certification and accreditation process. Project Management risks identified during the C&A process i were rated low in terms of probability and impact to CAMS. The identified risks included recommending updating existing procedures in areas of security controls for federal information systems. This effort is underway and will be completed not later than September 2007 thus allowing CAMS to be fully compliant with NIST SP-800-53A, Information Security.

III.A.2) If there currently is no plan, will a plan be developed?

III.A.2.a) If "yes," what is the planned completion date?

III.A.2.b) If "no," what is the strategy for managing the risks? (LONG ANSWER)

PART III - B

Part III: For "Operation and Maintenance" investments ONLY (Steady State)

Part III should be completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

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III.B) Cost and Schedule Performance:

III.B.1) Was operational analysis conducted?

Yes

III.B.1.a) If "yes," provide the date the analysis was completed.

May 22, 2007

III.B.2) Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004").

Description of Milestone	Current BL Completion Date Planned	Current BL Completion Date Actual
Planned Program Improvements FYI-05	Sep 30, 2005	Sep 30, 2005
Maintenance - FY-05	Sep 30, 2005	Sep 30, 2005
Maintenance FY-06	Sep 30, 2006	Sep 30, 2006
Maintenance FY-07	Sep 30, 2007	Sep 30, 2007
Maintenance FY-08	Sep 30, 2008	Sep 30, 2008
Maintenance FY-09	Sep 30, 2009	

