

Exhibit 300 FY2008

FY2008 Exhibit 300

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

Section A: Overview (All Capital Assets)

The following series of questions are to be completed for all investments.

I. A. 1. Date of Submission:

2006-09-11

I. A. 2. Agency:

005

I. A. 3. Bureau:

55

I. A. 4. Name of this Capital Asset:

(short text - 250 characters)

Dedicated Loan Origination and Servicing System

I. A. 5. Unique ID: (For IT investments only, see section 53. For all other, use agency ID system.)

005-55-01-01-01-1020-00-402-124

I. A. 6. What kind of investment will this be in FY2008?

(Please NOTE: Investments moving to O&M ONLY in FY2008, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Operations and Maintenance

I. A. 7. What was the first budget year this investment was submitted to OMB?

FY2001 or earlier

I. A. 8. Provide a brief summary and justification for this investment, including a brief description of how this, closes in part or in whole, an identified agency performance gap:

(long text - 2500 characters)

The DLOS project was initiated to provide the capability to escrow taxes and insurance on Rural Development loans as mandated by Congress in the 1988 amendments to the Housing Act of 1949. The DLOS project also provides the capability to service and account for the Single Family Housing loan and grant programs from a centralized environment. The four primary objectives of this initiative are: - Compliance with Public Law 100-242. - Dramatically reduce the foreclosure rate for Single Family Housing borrowers. - Achieve significant dollar savings for the American taxpayers through lower delinquency rates, reduced loan losses, and lower operating costs; and. - Amortize borrower payments to bring Rural Development Single Family borrower servicing more inline with the commercial sector. The USDA E-Board reviews this investment annually. The E-Board approved continuation of the DLOS investment in the steady state phase in their most recent review, August 4, 2005. Rural Development purchased a state-of-the-art mortgage origination and servicing system under the Dedicated Loan Origination and Servicing System (DLOS) project to originate and service direct Single Family Housing loans and grants and established a new Centralized Servicing Center in St. Louis, Missouri in October, 1996. This system was significantly enhanced to accommodate the unique requirements of the USDA, Single Family Housing loan programs. Implementation of DLOS brought new servicing capabilities to the Agency such as escrowing, forced-placed insurance, and pre-determined amortization schedules. New technologies have been introduced into the Rural Development work environment in order to more fully realize the potential of the DLOS system and improve the efficiency of the servicing functions. These new technologies include document imaging, workflow management, forms generation, automated mail stream processing, voice response units, and power dialing capabilities.

I. A. 9. Did the Agency's Executive/Investment Committee approve this request?

yes

I. A. 9. a. If "yes", what was the date of this approval?

2006-09-06

I. A. 10. Did the Project Manager review this Exhibit?

yes

I. A. 11. Contact information of Project Manager?

I. A. 12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

no

I. A. 12. a. Will this investment include electronic assets (including computers)?

no

I. A. 12. b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

no

I. A. 12. b. 1. If "yes", is an ESPC or UESC being used to help fund this investment?

I. A. 12. b. 2. If "yes", will this investment meet sustainable design principles?

I. A. 12. b. 3. If "yes", is it designed to be 30% more energy efficient than relevant code?

I. A. 13. Does this investment support one of the PMA initiatives?

yes

I. A. 13. a. If "yes", check all that apply:

Financial Performance

Expanded E-Government

I. A. 13. b. Briefly describe how this asset directly supports the identified initiative(s).

(medium text - 500 characters)

Advances Financial Performance by providing more accurate and timely data to support operating , budget, and policy decisions. Alignment with Expansion E-Government demonstrated with eforms integrated with Business Gateway: web interface complying with DR3430-001; users utilizing AgLearn for security awareness training; loan program information posted on Gov Loans Gateway; and grant applications available on Grants.gov and electronically transported to USDA.

I. A. 14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)?

(For more information about the PART, visit www.whitehouse.gov/omb/part.)

yes

I. A. 14. a. If "yes", does this investment address a weakness found during the PART review?

no

I. A. 14. b. If "yes", what is the name of the PARTed Program?

(short text - 250 characters)

Single Family Housing Direct Loans

I. A. 14. c. If "yes", what PART rating did it receive?

Adequate

I. A. 15. Is this investment for information technology? (see section 53 for definition)

yes

I. A. 16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 1 - Projects with low-to-moderate complexity and risk. Example: Bureau-level project such as a stand-alone information system that has low- to-moderate complexity and risk. Level 2 - Projects with high complexity and/or risk which are critical to the mission of the organization. Examples: Projects that are part of a portfolio of projects/systems that impact each other and/or impact mission activities. Department-wide projects that impact cross-organizational missions, such as an agency-wide system integration that includes large scale Enterprise Resource Planning (e.g., the DoD Business Mgmt Modernization Program). Level 3 - Projects that have high complexity, and/or risk, and have government-wide impact. Examples: Government-wide initiative (E-GOV, President's Management Agenda). High interest projects with Congress, GAO, OMB, or the general public. Cross-cutting initiative (Homeland Security).

Level 1

I. A. 17. What project management qualifications does the Project Manager have? (per OMB's PM Guidance):

(1) - The project manager assigned for this investment has been validated as qualified in accordance with OMB PM Guidance.; (2) - The project manager assigned for this investment is in the process of being validated as qualified in accordance with OMB PM Guidance.; (3) - The project manager assigned for this investment is not validated as qualified in accordance with OMB PM Guidance.; (4) - The qualifications for the project manager named have not been evaluated.; (5) - No project manager is currently assigned for this investment.; (6) - N/A -- This is not an IT investment.

(1) Project manager has been validated as qualified for this investment

I. A. 18. Is this investment identified as "high risk" on the Q4 - FY 2006 agency high risk report (per OMB's "high risk" memo)?

no

I. A. 19. Is this a financial management system?

yes

I. A. 19. a. If "yes", does this investment address a FFMIA compliance area?

yes

I. A. 19. a. 1. If "yes" which compliance area?

(short text - 250 characters)

Core Financial Management

I. A. 19. a. 2. If "no", what does it address?

(medium text - 500 characters)

I. A. 19. b. If "yes", please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52

(long text - 2500 characters)

Dedicated Loan Origination Servicing System (DLOS) MortgageServ and UniFi

I. A. 20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

I. A. 20. a. Hardware

0

I. A. 20. b. Software

0

I. A. 20. c. Services

100

I. A. 20. d. Other

0

I. A. 21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

n/a

I. A. 22. Contact information of individual responsible for privacy related questions:

I. A. 22. a. Name

(short text - 250 characters)

Brenda Dinges

I. A. 22. b. Phone Number

I. A. 22. c. Title

(short text - 250 characters)

RD Information Systems Security Staff Program Manager, Rural Development

I. A. 22. d. Email

(short text - 250 characters)

brenda.dinges@stl.usda.gov

I. A. 23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

yes

Section B: Summary of Funding

I. B. 1. Provide the total estimated life-cycle cost for this investment by completing the following table.

All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Note: For the cross-agency investments, this table should include all funding (both managing and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

	PY-1 Spending Prior to 2006	PY 2006	CY 2007	BY 2008					
Planning	0	0	0	0					
Acquisition	34.980	0.50	0	0					
Subtotal Planning & Acquisition	34.980	0.50	0	0					
Operations & Maintenance	23.980	5.01	6.07	6					
TOTAL	58.960	5.51	6.07	6					
Government FTE Costs	30.520	3.73	2.14	2.21					
Number of FTE represented by cost	37	42	21	21					

I. B. 2. Will this project require the agency to hire additional FTE's?

no

I. B. 2. a. If "yes", How many and in what year?

(medium text - 500 characters)

(long text - 2500 characters)

(Character Limitations: Contract or Task Order Number - 250 Characters; Type of Contract/Task Order - 250 Characters; Name of CO - 250 Characters; CO Contact Information - 250 Characters)

(long text - 2500 characters)

yes

Can you explain why?
(medium text - 500 characters)

yes

2006-01-31

(medium text - 500 characters)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

I. D. 1. Table 1

(Character Limitations: Strategic Goal(s) Supported - 250 Characters; Performance Measure - 250 Characters; Actual/baseline (from Previous Year) - 250 Characters; Planned Performance Metric (Target) - 250 Characters; Performance Metric Results (Actual) - 250 Characters; Measurement Indicator - 250 Characters; Baseline - 250 Characters; Planned Improvement to the Baseline - 250 Characters; Actual Results - 250 Characters)

Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
2003	RD Strategic Plan Manage the loan portfolio in a manner that is efficient and effective	Maintain Delinquency Rate at or below 15%	15% of Borrowers 30+ Days Delinquent	85% Currency Rate for SFH Borrowers	85.83% Currency Rate for SFH Borrowers
2003	RD Strategic Plan Manage the loan portfolio in a manner that is efficient and effective	Process 20,000 Delinquent Loan Accelerations During FY	20,000 Delinquent Loan Accelerations 20,000 Delinquent Loan Accelerations	20,000 Delinquent Loan Accelerations	21,505 Delinquent Loan Accelerations Processed Thru 9 Months of FY
2003	Manage the loan portfolio in a manner that is efficient and effective	15.5% Power Dialing Contact Rate	15.5% Power Dialing Contact Rate	15.5% Power Dialing Contact Rate	10% Power Dialing Contact Rate
2003	Manage the loan portfolio in a manner that is efficient and effective	Exceed 85.0% of Research Completed Within 10 Days	85.0% of Research Completed Within 10 Days	More than 85.0% of Research Completed Within 10 Days	99% of Research Completed Within 10 Days
2003	Manage the loan portfolio in a manner that is efficient and effective	Less than 5% Customer Service/Collection Calls Abandoned	% Customer Service/Collection Calls Abandoned	More than 95% Customer Service/Collection Calls Serviced	.6% Customer Service/Collection Calls Abandoned
2003	Manage the loan portfolio in a manner that is efficient and effective	90% Accuracy of New Loan Set-Ups	90% Accuracy of New Loan Set-Ups	90% Accuracy of New Loan Set-Ups	92.3% Accuracy of New Loan Set-Ups
2003	Manage the loan portfolio in a manner that is efficient and effective	Collect \$20,000,000 through Treasury Offset	Collect \$20,000,000 through Treasury Offset	Collect \$20,000,000 through Treasury Offset	Collected \$14.3 Million Through Treasury Offset As of May 30, 2003
2003	Manage the loan portfolio in a manner that is efficient and effective	40.0% of Portfolio Includes Escrow Account	40.0% Escrowing of Portfolio	40.0% Escrowing of Portfolio	56.9% of Portfolio Includes Escrow Account
2003	Rural Development Strategic Plan for Fiscal Years 2003-2008 ? FY2003 Strategic Goal #3	Objective 2: Develop and maintain automated systems which promote sound security, support the President?s E-Procurement Initiative, and improve the procurement process and effectiveness. Key Outcome Measure: No automated security objectives are reported to be in a ?Red? status.	Deliver Effective, Efficient Service to the Public	September Progress: Yellow	September Progress: Yellow
2004	RD Strategic Plan Manage the loan portfolio in a manner that is efficient and effective	Maintain Delinquency Rate at or Below 15%	15% of Borrowers 30+ Days Delinquent	85% Currency Rate for SFH Borrowers	85.53% currency rate for SFH borrowers
2004	RD Strategic Plan Manage the loan portfolio in a manner that is efficient and effective	Process 20,000 Delinquent Loan Accelerations During FY	20,000 Delinquent Loan Accelerations	20,000 Delinquent Loan Accelerations	25,827 Delinquent Loan Accelerations processed through 9 Months of FY
2004	Manage the loan portfolio in a manner that is efficient and effective	15.5% Power Dialing Contact Rate	15.5% Power Dialing Contact Rate	15.5% Power Dialing Contact Rate	11% Power Dialing Contact Rate

2004	Manage the loan portfolio in a manner that is efficient and effective	Exceed 85.0% of Research Completed Within 10 Days	85.0% of Research Completed Within 10 Days	More than 85.0% of Research Completed Within 10 Days	More than 85.0% of Research Completed Within 10 Days
2004	Manage the loan portfolio in a manner that is efficient and effective	Less than 5% Customer Service/Collection Calls Abandoned	5% Customer Service/Collection Calls Abandoned	More than 95% Customer Service/Collection Calls Serviced	5% of Customer Service/Collection Calls Abandoned
2004	Manage the loan portfolio in a manner that is efficient and effective	90% Accuracy of New Loan Set-Ups	90% Accuracy of New Loan Set-Ups	90% Accuracy of New Loan Set-Ups	95% Accuracy of New Loan Set-Ups
2004	Manage the loan portfolio in a manner that is efficient and effective	Collect \$20,000,000 through Treasury Offset	Collect \$20,000,000 through Treasury Offset	Collect \$20,000,000 through Treasury Offset	Collected \$15.5 Million Through Treasury Offset AS of 9-30-2004.
2004	Manage the loan portfolio in a manner that is efficient and effective	40.0% of Portfolio Includes Escrow Account	40.0% of Portfolio Includes Escrow Account	40.0% Escrowing of Portfolio	64.15% of Portfolio Includes Escrow Account
2004	Rural Development Strategic Plan for Fiscal Years 2003-2008 ? FY2004 Strategic Goal #3	Objective 2: Develop and maintain automated systems which promote sound security, support the President's E-Procurement Initiative, and improve the procurement process and effectiveness. Key Outcome Measure: No automated security objectives are reported to be in a ?Red? status.	Deliver Effective, Efficient Service to the Public	September Progress: Green	Green

I. D. 2. Table 2

Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
2005	Technology	Financial Management	% of time DLOS is available to end-users	98%	99% availability	99% Achieved as of 7/01/2005.
2005	Processes and Activities	Homeownership Promotion	# of customer complaints received at the Centralized Help Desk each month related to DLOS	150	Reduce to 100	Averaging under 100 each month as of 7/1/05.
2005	Processes and Activities	Homeownership Promotion	# of potential security risks identified and mitigated	13%	Mitigate 14%	13% as of 07/01/2005
2005	Customer Results	Information Management	% of customers indicating overall satisfaction with DLOS	70%	80%	As of 7/1/05, customer satisfaction is averaging about 85%
2005	Mission and Business Results	Financial Management	% delinquencies in SFH loan program	15%	14%	14.05% as of 8-1-05
2006	Technology	Information Management	% of time DLOS is available to end-users	99%	99% availability	As of May 1, 2006, DLOS's overall availability to end-users is 99%.
2006	Processes and Activities	Financial Sector Oversight	# of customer complaints received at the Centralized Help Desk each month related to DLOS	100	Maintain at 100.	As of May 1, 2006, the number of complaints reduced to 85
2006	Processes and Activities	Management Improvement	# of potential security risks identified and mitigated	14%	Mitigate 14%	As of May 1, 2006, # of potential security risks
2006	Customer Results	Management Improvement	% if customers indicating overall satisfaction with DLOS	80%	Maintain 80%	As of May 1, 2006, there is a 83.5 overall satisfaction.
2006	Mission and Business Results	Financial Management	% delinquencies in SFH loan program	14%	14%	As of May 1, 2006, the rate is 14.7%.
2007	Technology	Information Management	% of time DLOS available to end-user	99%	99%	Not applicable until FY07.
2007	Processes and Activities	Financial Management	# of customer complaints received	150	10% reduction	Not applicable until FY07.
2007	Processes and	Homeownership	# of potential risks identified and	28% in	Decrease to 14%	Not applicable until

2007	Customer Results	Information Management	% of customers indicating satisfaction with DLOS	80% in FY06.	85% customer satisfaction rating	Not applicable until FY07.
2007	Mission and Business Results	Financial Management	% of delinquencies in SFH loan Program	14% in FY06.	Decrease to 13%	Not applicable until FY07.
2008	Technology	Information Management	% of time DLOS available to end-user.	99% in FY07.	Maintain at 99%.	Not applicable until FY08
2008	Processes and Activities	Management Improvement	# of customer complaints received	135 in FY07.	10% reduction	Not applicable until FY08.
2008	Processes and Activities	Management Improvement	# of potential risks identified and mitigated.	14% in FY07.	Reduce to 12%	Not applicable until FY08.
2008	Customer Results	Management Improvement	% of customers indicating satisfaction with DLOS.	85% in FY07	Increase to 88%.	Not Applicable until FY08.
2008	Mission and Business Results	Financial Management	% of delinquencies in SFH Program	14% in FY07.	Maintain 14%	Not Applicable until FY08.
2009	Technology	Information Management	% of time DLOS available to end-user.	99% in FY08	Maintain at 99%	Not Applicable until FY09
2009	Processes and Activities	Corrective Action	# of customer complaints received	122 in FY08.	5% reduction	Not Applicable until FY09
2009	Customer Results	Risk	# of potential risks identified and mitigated.	13% in FY08.	Maintain at 13%.	Not Applicable until FY09
2009	Technology	Corrective Action	% of customers indicating satisfaction with DLOS.	85% in FY08	Increase to 90%	Not Applicable until FY09
2009	Processes and	Response Time	% of delinquencies in SFH	14% in	Maintain 14%	Not Applicable until FY09

Section F: Enterprise Architecture (EA)

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

I. F. 1. Is this investment included in your agency's target enterprise architecture?

yes

I. F. 1. a. If "no", please explain why?

(long text - 2500 characters)

I. F. 2. Is this investment included in the agency's EA Transition Strategy?

no

I. F. 2. a. If "yes", provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

(medium text - 500 characters)

Dedicated Loan Origination and Servicing System (DLOS)

I. F. 2. b. If "no" please explain why?

(long text - 2500 characters)

USDA is developing a Transition Strategy for the calendar year 2007 annual OMB EA Assessment. This investment will be associated with USDA Loans and Financial Management initiatives.

I. F. 3. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table.

For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

FEA SRM Component - Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM. FEA Service Component Reused - A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission. Internal or External Reuse? - 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government. Funding Percentage - Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding

level transferred to another agency to pay for the service. (Character Limitations: Agency Component Name - 250 Characters; Agency Component Description - 500 Characters)

Agency Component Name	Agency Component Description	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused - Component Name	FEA Service Component Reused - UPI	Internal or External Reuse?	BY Funding Percentage
Customer Services	Online Help for DLOS	Customer Initiated Assistance	Online Help	Online Help	005-55-01-01-01-1020-00-402-124	No Reuse	0
Customer Services	Decision Engine evaluates credit history of customers from third party credit agencies	Customer Relationship Management	Customer Analytics	Customer / Account Management	005-55-01-01-01-1020-00-402-124	No Reuse	0
Customer Services	DLOS allows for the creation and maintenance of loans	Customer Relationship Management	Product Management	Customer / Account Management	005-55-01-01-01-1020-00-402-124	No Reuse	0
Customer Services	DLOS retains customer information in order to fund loans	Customer Relationship Management	Customer / Account Management	Customer / Account Management	005-55-01-01-01-1020-00-402-124	No Reuse	0
Process Automation Services	DLOS captures all business transactions against the loans for monitoring and servicing purposes of loans	Tracking and Workflow	Process Tracking	Process Tracking	005-55-01-01-01-1020-00-402-124	No Reuse	0
Process Automation Services	DLOS is designed for managing the RD SFH loan portfolio	Investment Management	Product Management	Portfolio Management	005-55-01-01-01-1020-00-402-124	No Reuse	0
Business Analytical Services	Loan origination component will have a scorecard for analyzing applicant's credit history against previous borrower's history	Business Intelligence	Balanced Scorecard	Customer Analytics	005-55-01-01-01-1020-00-402-124	No Reuse	0
Business Analytical Services	DLOS currently allows ad hoc reporting through Crystal Reports and FOCUS applications	Reporting	Ad Hoc	Ad Hoc	005-55-01-01-01-1020-00-402-124	No Reuse	0
Business Analytical Services	DLOS has over 100 canned reports	Data Management	Standardized / Canned	Standardized / Canned	005-55-01-01-01-1020-00-402-124	No Reuse	0
Back Office Services	DLOS interfaces with PLAS, Data Warehouse, Department of Treasury, Housing and Urban Development, Electronic Funds Transfer.	Financial Management	Data Exchange	Information Sharing	005-55-01-01-01-1020-00-402-124	No Reuse	0
Back Office Services	DLOS captures all business transactions against the loans for servicing purposes of loans. This activity is also kept for auditing purposes.	Financial Management	Auditing	Portfolio Management	005-55-01-01-01-1020-00-402-124	No Reuse	0
Back Office Services	DLOS captures all business transactions against the loans for monitoring and tracking purposes of loans.	Financial Management	Activity-Based Management	Portfolio Management	005-55-01-01-01-1020-00-402-124	No Reuse	0
Back Office Services	Many of the DLOS canned reports are considered financial reports by the user financial management user community	Financial Management	Portfolio Management	Portfolio Management	005-55-01-01-01-1020-00-402-124	No Reuse	0
Back Office Services	Software development is being executed in order for DLOS to be developed and maintained.	Financial Management	Software Development	Portfolio Management	005-55-01-01-01-1020-00-402-124	No Reuse	0
Support Services	DLOS maintains security information about users and validates them upon entry.	Security Management	Identification and Authentication	Intrusion Prevention	005-55-01-01-01-1020-00-402-124	No Reuse	0
Support Services	Both the DLOS internal and external websites reside within the St. Louis web farm, which perform intrusion detection.	Security Management	Intrusion Detection	Intrusion Detection	005-55-01-01-01-1020-00-402-124	No Reuse	0
Support	DLOS supports the	Security	Intrusion	Intrusion	005-55-01-01-	No Reuse	0

Services	confirmation of authority to enter their application.	Management	Detection	Detection	01-1020-00-402-124		
Support Services	Both the DLOS internal and external websites support the granting of abilities to users or groups of users for their respective application	Security Management	Intrusion Detection	Intrusion Detection	005-55-01-01-01-1020-00-402-124	No Reuse	0
Support Services	DLOS internal website supports the granting of abilities to users or groups of users for their respective application	Security Management	System Resource Monitoring	System Resource Monitoring	005-55-01-01-01-1020-00-402-124	No Reuse	0
Support Services	DLOS supports the design and generation of electronic or physical forms for use within the business cycle	Forms Management	Forms Creation	Forms Creation	005-55-01-01-01-1020-00-402-124	No Reuse	0
Support Services	DLOS supports the maintenance of electronic or physical forms and their respective elements and fields.	Forms Management	Forms Modification	Forms Modification	005-55-01-01-01-1020-00-402-124	No Reuse	0
Support Services	Numerous DLOS components support retrieval of records that satisfy specific query selection criteria	Search	Query	Query	005-55-01-01-01-1020-00-402-124	No Reuse	0

I. F. 4. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component - Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications. Service Specification - In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate. (Character Limitations: Service Specification (i.e., vendor and product name) - 250 characters)

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e., vendor and product name)
Online Help	Service Access and Delivery	Access Channels	Web Browser	
Customer / Account Management	Service Access and Delivery	Access Channels	Collaboration / Communications	
Intrusion Detection	Service Access and Delivery	Delivery Channels	Internet	
Balanced Scorecard	Service Access and Delivery	Service Requirements	Legislative / Compliance	
System Resource Monitoring	Service Access and Delivery	Service Requirements	Legislative / Compliance	
System Resource Monitoring	Service Access and Delivery	Service Requirements	Hosting	
System Resource Monitoring	Service Access and Delivery	Service Transport	Network Devices / Standards	
System Resource Monitoring	Service Access and Delivery	Service Transport	Network Devices / Standards	
System Resource Monitoring	Service Access and Delivery	Service Transport	Service Transport	
System Resource Monitoring	Service Access and Delivery	Service Transport	Service Transport	
System Resource Monitoring	Service Access and Delivery	Service Transport	Service Transport	
System Resource Monitoring	Service Access and Delivery	Service Transport	Service Transport	
System Resource Monitoring	Service Access and Delivery	Service Transport	Service Transport	
System Resource Monitoring	Service Platform and Infrastructure	Support Platforms	Platform Independent	
System Resource Monitoring	Service Platform and Infrastructure	Database / Storage	Database	
System Resource Monitoring	Service Platform and Infrastructure	Database / Storage	Storage	
System Resource Monitoring	Service Platform and Infrastructure	Delivery Servers	Web Servers	

System Resource Monitoring	Service Platform and Infrastructure	Delivery Servers	Web Servers	
System Resource Monitoring	Service Platform and Infrastructure	Delivery Servers	Application Servers	
System Resource Monitoring	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
System Resource Monitoring	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	
System Resource Monitoring	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	
System Resource Monitoring	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	
System Resource Monitoring	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	
System Resource Monitoring	Service Platform and Infrastructure	Hardware / Infrastructure	Wide Area Network (WAN)	
System Resource Monitoring	Service Platform and Infrastructure	Hardware / Infrastructure	Wide Area Network (WAN)	
System Resource Monitoring	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
System Resource Monitoring	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
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System Resource Monitoring	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
System Resource Monitoring	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
System Resource Monitoring	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	
System Resource Monitoring	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	
Software Development	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	
Software Development	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	
Software Development	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	
Software Development	Service Platform and Infrastructure	Software Engineering	Test Management	
Software Development	Service Platform and Infrastructure	Software Engineering	Test Management	
Software Development	Service Platform and Infrastructure	Software Engineering	Test Management	
Software Development	Service Platform and Infrastructure	Software Engineering	Test Management	
Software Development	Service Platform and Infrastructure	Software Engineering	Test Management	
Software Development	Service Platform and Infrastructure	Software Engineering	Test Management	
Software Development	Service Platform and Infrastructure	Software Engineering	Test Management	
Software Development	Service Platform and Infrastructure	Software Engineering	Test Management	
Intrusion Detection	Component Framework	Security	Certificates / Digital Signatures	
Intrusion Detection	Component Framework	Security	Certificates / Digital Signatures	
Intrusion Detection	Component Framework	Security	Certificates / Digital Signatures	
Intrusion Detection	Component Framework	Security	Supporting Security Services	

Intrusion Detection	Component Framework	Security	Supporting Security Services	
Data Exchange	Component Framework	Data Interchange	Data Exchange	
Data Exchange	Component Framework	Data Interchange	Data Exchange	
Data Exchange	Component Framework	Data Interchange	Data Exchange	
Forms Creation	Component Framework	Presentation / Interface	Static Display	
Forms Creation	Component Framework	Presentation / Interface	Dynamic Server-Side Display	
Forms Creation	Component Framework	Presentation / Interface	Dynamic Server-Side Display	
Forms Creation	Component Framework	Presentation / Interface	Content Rendering	
Customer Analytics	Component Framework	Business Logic	Platform Independent	
Customer Analytics	Component Framework	Business Logic	Platform Independent	
Query	Component Framework	Data Management	Database Connectivity	
Query	Component Framework	Data Management	Database Connectivity	
Query	Component Framework	Data Management	Database Connectivity	
Query	Component Framework	Data Management	Reporting and Analysis	
System Resource Monitoring	Service Interface and Integration	Integration	Middleware	
System Resource Monitoring	Service Interface and Integration	Integration	Database	
System Resource Monitoring	Service Interface and Integration	Interoperability	Data Format / Classification	
System Resource Monitoring	Service Interface and Integration	Interoperability	Data Format / Classification	
System Resource Monitoring	Service Interface and Integration	Interoperability	Data Types / Validation	
System Resource Monitoring	Service Interface and Integration	Interoperability	Data Types / Validation	
System Resource Monitoring	Service Interface and Integration	Interface	Service Discovery	
System Resource Monitoring	Service Interface and Integration	Interface	Service Description / Interface	
System Resource Monitoring	Service Interface and Integration	Interface	Service Description / Interface	

I. F. 5. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

yes

I. F. 5. a. If "yes", please describe.

(long text - 2500 characters)

Rural Development has incorporated into DLOS a number of loan servicing functions which provide support to non-USDA agencies. These include a web-site portal used for servicing properties under jurisdiction of the Department of Veteran Affairs and Housing and Urban Development, the Treasury Offset Program, which interfaces with the Department of Treasury as well as Farm Services Agency. The system will also leverage our partner agency developed data warehouse providing Internet access to data that will increase accessibility and management of the information and reduce paper records.

I. F. 6. Does this investment provide the public with access to a government automated information system?

no

I. F. 6. a. If "yes", does customer access require specific software (e.g., a specific web browser version)?

no

I. F. 6. a. 1. If "yes", provide the specific product name(s) and version number(s) of the required software and the date when the public will be able to access this investment by any software (i.e. to ensure equitable and timely access of government information and services).

(medium text - 500 characters)

PART III: FOR "OPERATION AND MAINTENANCE" INVESTMENTS ONLY (STEADY-STATE)

Part III should be completed only for investments which will be in "Operation and Maintenance" (Steady State) in FY 2008, i.e., selected the "Operations and Maintenance" choice in response to Question 6 in Part I, section A above.

Section A: Risk Management

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investments life-cycle. Answer the following questions to describe how you are managing investment risks.

III. A. 1. Does the investment have a Risk Management Plan?

yes

III. A. 1. a. If "yes", what is the date of the plan?

2006-01-31

III. A. 1. b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

yes

III. A. 1. c. If "yes", describe any significant changes:

(medium text - 500 characters)

In light of recent security events, privacy risks were re-assessed and new privacy related risk management strategies developed.

III. A. 2. If there currently is no plan, will a plan be developed?

III. A. 2. a. If "yes", what is the planned completion date?

III. A. 2. b. If "no", what is the strategy for managing the risks?

(long text - 2500 characters)