

## Exhibit 300 FY2008

### FY2008 Exhibit 300

#### PART I: SUMMARY INFORMATION AND JUSTIFICATION

*In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.*

##### Section A: Overview (All Capital Assets)

*The following series of questions are to be completed for all investments.*

**I. A. 1. Date of Submission:**

2006-09-11

**I. A. 2. Agency:**

005

**I. A. 3. Bureau:**

03

**I. A. 4. Name of this Capital Asset:**

*(short text - 250 characters)*

Corporate Financial Management Systems

**I. A. 5. Unique ID: (For IT investments only, see section 53. For all other, use agency ID system.)**

005-03-01-01-01-1020-00-402-124

**I. A. 6. What kind of investment will this be in FY2008?**

*(Please NOTE: Investments moving to O&M ONLY in FY2008, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)*

Operations and Maintenance

**I. A. 7. What was the first budget year this investment was submitted to OMB?**

FY2001 or earlier

**I. A. 8. Provide a brief summary and justification for this investment, including a brief description of how this, closes in part or in whole, an identified agency performance gap:**

*(long text - 2500 characters)*

The CFMS investment is a corporate-wide solution for financial management reform and systems integration at the USDA. The Foundation Financial Information System (FFIS) is at the core of the portfolio supporting the Department's core financial management functions. Administrative systems feed into FFIS and, together provide an increasingly integrated set of systems. In some cases these feeder systems have limited value when taken apart from the rest of the portfolio. But through increasing integration within the CFMS portfolio, they provide a key part of the solution to USDA's financial management needs and goals, supplementing the core FFIS component to achieve compliance with federal financial management system requirements.

**I. A. 9. Did the Agency's Executive/Investment Committee approve this request?**

yes

**I. A. 9. a. If "yes", what was the date of this approval?**

2006-09-06

**I. A. 10. Did the Project Manager review this Exhibit?**

yes

## I. A. 11. Contact information of Project Manager?

**I. A. 12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.**

no

**I. A. 12. a. Will this investment include electronic assets (including computers)?**

yes

**I. A. 12. b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)**

no

**I. A. 12. b. 1. If "yes", is an ESPC or UESC being used to help fund this investment?**

**I. A. 12. b. 2. If "yes", will this investment meet sustainable design principles?**

**I. A. 12. b. 3. If "yes", is it designed to be 30% more energy efficient than relevant code?**

**I. A. 13. Does this investment support one of the PMA initiatives?**

yes

**I. A. 13. a. If "yes", check all that apply:**

Budget Performance Integration

Financial Performance

Competitive Sourcing

**I. A. 13. b. Briefly describe how this asset directly supports the identified initiative(s).**

*(medium text - 500 characters)*

Improved Financial Performance - accurate and timely financial information is necessary to secure the best performance and highest measure of accountability; Budget and Performance Integration - CFMS records all financial-related events, enabling improved financial management of government resources; Competitive sourcing - CFMS interfaces and/or integrate the core financial management system with electronic government initiatives such as ePayroll and E-Government Travel.

**I. A. 14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)?**

*(For more information about the PART, visit [www.whitehouse.gov/omb/part](http://www.whitehouse.gov/omb/part).)*

no

**I. A. 14. a. If "yes", does this investment address a weakness found during the PART review?**

**I. A. 14. b. If "yes", what is the name of the PARTed Program?**

*(short text - 250 characters)*

**I. A. 14. c. If "yes", what PART rating did it receive?**

**I. A. 15. Is this investment for information technology? (see section 53 for definition)**

yes

**I. A. 16. What is the level of the IT Project (per CIO Council's PM Guidance)?**

*Level 1 - Projects with low-to-moderate complexity and risk. Example: Bureau-level project such as a stand-alone information*

system that has low- to-moderate complexity and risk. Level 2 - Projects with high complexity and/or risk which are critical to the mission of the organization. Examples: Projects that are part of a portfolio of projects/systems that impact each other and/or impact mission activities. Department-wide projects that impact cross-organizational missions, such as an agency-wide system integration that includes large scale Enterprise Resource Planning (e.g., the DoD Business Mgmt Modernization Program). Level 3 - Projects that have high complexity, and/or risk, and have government-wide impact. Examples: Government-wide initiative (E-GOV, President's Management Agenda). High interest projects with Congress, GAO, OMB, or the general public. Cross-cutting initiative (Homeland Security).

Level 3

**I. A. 17. What project management qualifications does the Project Manager have? (per OMB's PM Guidance):**

(1) - The project manager assigned for this investment has been validated as qualified in accordance with OMB PM Guidance.; (2) - The project manager assigned for this investment is in the process of being validated as qualified in accordance with OMB PM Guidance.; (3) - The project manager assigned for this investment is not validated as qualified in accordance with OMB PM Guidance.; (4) - The qualifications for the project manager named have not been evaluated.; (5) - No project manager is currently assigned for this investment.; (6) - N/A -- This is not an IT investment.

(1) Project manager has been validated as qualified for this investment

**I. A. 18. Is this investment identified as "high risk" on the Q4 - FY 2006 agency high risk report (per OMB's "high risk" memo)?**

no

**I. A. 19. Is this a financial management system?**

yes

**I. A. 19. a. If "yes", does this investment address a FFMIA compliance area?**

yes

**I. A. 19. a. 1. If "yes" which compliance area?**

(short text - 250 characters)

Chief Financial Officers Act of 1990

**I. A. 19. a. 2. If "no", what does it address?**

(medium text - 500 characters)

**I. A. 19. b. If "yes", please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52**

(long text - 2500 characters)

Corporate Financial Management Systems (CFMS)

**I. A. 20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)**

**I. A. 20. a. Hardware**

0

**I. A. 20. b. Software**

0

**I. A. 20. c. Services**

93

**I. A. 20. d. Other**

7

**I. A. 21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?**

n/a

## I. A. 22. Contact information of individual responsible for privacy related questions:

### I. A. 22. a. Name

(short text - 250 characters)

Brian Dufour

### I. A. 22. b. Phone Number

### I. A. 22. c. Title

(short text - 250 characters)

ISSPM

### I. A. 22. d. Email

(short text - 250 characters)

brian.dufour@usda.gov

### I. A. 23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

no

## Section B: Summary of Funding

### I. B. 1. Provide the total estimated life-cycle cost for this investment by completing the following table.

All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Note: For the cross-agency investments, this table should include all funding (both managing and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

	PY-1 Spending Prior to 2006	PY 2006	CY 2007	BY 2008					
Planning	0	0	0	0					
Acquisition	77.65	0	0	0					
Subtotal Planning & Acquisition	77.65	0	0	0					
Operations & Maintenance	45.345	48.095	47.788	44.842					
TOTAL	122.995	48.095	47.788	44.842					
Government FTE Costs	21.262	8.522	8.917	9.197					
Number of FTE represented by cost	77.5	85.5	85.5	71					

### I. B. 2. Will this project require the agency to hire additional FTE's?

no

### I. B. 2. a. If "yes", How many and in what year?

(medium text - 500 characters)

### I. B. 3. If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes.

(long text - 2500 characters)

## Section C: Acquisition/Contract Strategy

(Character Limitations: Contract or Task Order Number - 250 Characters; Type of Contract/Task Order - 250 Characters; Name of CO - 250 Characters; CO Contact Information - 250 Characters)

A 10x10 grid of squares. The top row (row 1) and the first column (column 1) are shaded gray. All other squares are white.

(long text - 2500 characters)

CFMS is a steady state investment and does not use DME funding.

yes

*(medium text - 500 characters)*

As required.

yes

## 2001-10-01

(medium text - 500 characters)

*In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.*

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

(Character Limitations: Strategic Goal(s) Supported - 250 Characters; Performance Measure - 250 Characters; Actual/baseline (from Previous Year) - 250 Characters; Planned Performance Metric (Target) - 250 Characters; Performance Metric Results (Actual) - 250 Characters; Measurement Indicator - 250 Characters; Baseline - 250 Characters; Planned Improvement to the Baseline - 250 Characters; Actual Results - 250 Characters)

Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
2003	Operate an efficient, effective, and	Increase Implementation	Remaining 2	Percentage	100%

	discrimination-free organization	of the FFIS to include 100% of USDA Personnel	agencies were implemented in October 2002	increase	
2003	Operate an efficient, effective, and discrimination-free organization	Achieve an unqualified opinion on departmental consolidated financial statements	USDA received unqualified opinion in FY03 on departmental consolidated financial statements	Unqualified audit opinion	USDA received an unqualified audit opinion in FY 2003
2003	Operate an efficient, effective, and discrimination-free organization	Have monthly financial data available on 4th day of the month	Monthly financial data is available on 4th day of the month	Days	100% achieved
2003	Improved financial performance. CFMS serves as the corporate administrative financial system for OCFO to achieve compliance with the CFO Act of 1990	100% of USDA government transactions posted and identified using ALCs.	100% of USDA government transactions posted and identified using ALCs	Percentage of USDA government transactions posted and identified using ALCs	100% achieved
2003	Operate an efficient, effective, and discrimination-free organization	Increase savings and decrease maintenance costs.	FEDSTRIP will be eliminated in FY 2004	Percentage decrease in maintenance costs and percentage increase in savings	USDA has eliminated TRAN training and maintenance in FY03. Eliminate FEDSTRIP training and maintenance in FY 2004.
2004	Operate an efficient, effective, and discrimination-free organization	USDA will renovate and modernize existing legacy systems annually to continue to meet circular A-127 requirements, and maintain CFMS portfolio in steady-state phase of the USDA Capital Planning and Investment Control (CPIC) Process.	Renovations continued to legacy systems in FY04, and CFMS portfolio approved to remain in steady state phase	Approval to remain in steady-state phase of the USDA Capital Planning and Investment Control (CPIC) Process.	100% achieved
2004	Operate an efficient, effective, and discrimination-free organization	Achieve an unqualified opinion on departmental consolidated financial statements	Unqualified audit opinion for FY 2004	Unqualified audit opinion	Unqualified audit opinion received November 2004
2004	Operate an efficient, effective, and discrimination-free organization	Have monthly financial data available on 4th day of the month	Monthly financial data is available on 4th day of month	Days	100% achieved
2004	Circular A-127 states that each agency shall establish and maintain a single, integrated financial management system, operated in an integrated fashion, and linked together electronically in an efficient and effective manner	Increase the number of IT users satisfied	Survey was conducted late in 2003	Percentage increase in customer satisfaction rating	The survey for FY 2003 was released late in 2003; no survey was conducted for FY 2004.
2004	Improved financial performance. CFMS serves as the corporate administrative financial system for OCFO to achieve compliance with the CFO Act of 1990 provides the functionality to implement the U.S. General Ledger, and addresses JFMIP requirement	100% of USDA government transactions posted and identified using ALCs.	100% of USDA government transactions posted and identified using ALCs	Percentage of USDA government transactions posted and identified using ALCs.	100% achieved
2004	Operate an efficient, effective, and discrimination-free organization	Increase savings and decrease maintenance costs.	5% decrease in costs overall.	Percentage decrease in maintenance costs and percentage increase in savings.	USDA has eliminated TRAN training and maintenance in FY 03, eliminated FEDSTRIP training and maintenance in FY 2004, and eliminated Motorpool and FSP in FY 2005.
2005	Operate an efficient, effective, and discrimination-free organization	USDA will renovate and modernize existing legacy systems annually to continue to meet circular A-127 requirements, and maintain CFMS portfolio in steady-state phase of the USDA Capital Planning and Investment Control (CPIC) Process.	Renovations continued to legacy systems in FY04, and CFMS portfolio approved to remain in steady state phase	Approval to remain in steady-state phase of the USDA Capital Planning and Investment Control (CPIC) Process.	100% achieved
2005	Operate an efficient, effective, and discrimination-free organization	Achieve an unqualified opinion on departmental consolidated financial statements	Obtained unqualified audit report	Obtain unqualified audit opinion	Unqualified audit opinion received November 2005
2005	Operate an efficient, effective, and	Have monthly financial	Monthly	Days	100%

	discrimination-free organization	data available on 4th day of the month			
2005	Circular A-127 states that each agency shall establish and maintain a single, integrated financial management system, operated in an integrated fashion, and linked together electronically in an efficient and effective manner.	Increase the number of IT users satisfied	Survey results from FY 2004	Percentage increase in customer satisfaction rating	Survey results showed nn% percentage satisfied
2005	Improved financial performance. CFMS serves as the corporate administrative financial system for OCFO to achieve compliance with the CFO Act of 1990 provides the functionality to implement the U.S. General Ledger, and addresses JFMIP requirement	100% of USDA government transactions posted and identified using ALCs.	100% of USDA government transactions posted and identified using ALCs	Percentage of USDA government transactions posted and identified using ALCs.	100% achieved
2005	Operate an efficient, effective, and discrimination-free organization	Increase savings and decrease maintenance costs.	5% decrease in costs overall.	Percentage decrease in maintenance costs and percentage increase in savings.	USDA has eliminated TRAN training and maintenance in FY 03, eliminated FEDSTRIP training and maintenance in FY 2004, and eliminated Motorpool and FSP in FY 2005.
2005	Operate an efficient, effective, and discrimination-free organization	Maintain and/or Improve 2004 production rate (in days) (Financial Data Warehouse produces daily on-demand and ad-hoc self-service reporting)	Response time has been reduced to one day or less..	Days	100% Achieved
2005	Operate an efficient, effective, and discrimination-free organization	USDA will continue to renovate and modernize existing legacy systems annually to meet circular A-127 requirements	Renovations made to legacy systems in FY 2005	USDA will renovate and modernize existing legacy systems annually to meet circular A-127 requirements	Completed renovations include web enabling PCMS, migrating Motorpool, FTSP, FED and Type 60
2006	Mission and Business Results	100% of USDA government transactions posted and identified using ALCs	100% of USDA government transactions posted and identified using ALCs	Percentage of USDA government transactions posted and identified using ALCs	100%
2006	Mission and Business Results	In accordance with USDA's support of the PMA initiative to "improve Financial Management" maintain an unqualified audit opinion	Unqualified Audit Opinion received November 2004	Obtain unqualified audit opinion	TBD
2006	Operate an efficient, effective, and discrimination-free organization	Customer Satisfaction Survey results	Survey results from FY 2004	Maintain or improve 2005 Customer Satisfaction Survey rating (continue to strive for 90% achievement of a 5 or 4 rating on a scale of 1 to 5)	TBD
2006	Operate an efficient, effective, and discrimination-free organization	26 days to produce financial management reports	Response time has been reduced to one day or less..	Maintain and/or improve 2005 production rate (in days) (Financial Data Warehouse produces daily on demand and ad-hoc self-service reporting)	100%
2007	Operate an efficient, effective, and discrimination-free organization	Complete C&A refresh for CFMS systems in FY 2007	None	Completed and signed C&A for CFMS systems	TBD
2007	Mission and Business Results	100% of USDA government transactions posted and identified using ALCs	100% of USDA government transactions posted and identified using ALCs	100% of USDA government transactions posted and identified using ALCs	TBD
2007	Mission and Business Results	Obtain an unqualified audit opinion	Unqualified audit opinion obtained November 2004	Obtain unqualified audit opinion	TBD
2007	Operate an efficient, effective, and discrimination-free organization	Customer Satisfaction Survey results	Customer satisfaction survey from FY 2006	Maintain or improve FY 2006 Customer Satisfaction Survey rating (continue to	TBD

				strive for 90% achievement of a 5 or 4 rating on a scale of 1 to 5)	
2007	Operate an efficient, effective, and discrimination-free organization	Maintain and/or improve FY 2006 maintenance costs	FY 2006 maintenance cost	Maintain and/or improve FY 2006 maintenance costs	TBD
2007	Operate an efficient, effective, and discrimination-free organization	26 days to produce financial management reports	Response time has been reduced to one day or less.	Maintain and/or improve 2006 production rate (in days) (Financial Data Warehouse produces daily on-demand and ad-hoc self service reporting)	TBD
2008	Operate an efficient, effective, and discrimination-free organization	Maintain and/or improve FY 2007 maintenance costs	FY 2007 maintenance costs	Maintain and/or improve FY 2007 maintenance costs	TBD
2008	Operate an efficient, effective, and discrimination-free organization	26 days to produce financial management reports	Response time has been reduced to one day or less..	Maintain and/or improve 2007 production rate (in days) (Financial Data Warehouse produces daily on-demand and ad-hoc self-service reporting)	TBD
2008	Operate an efficient, effective, and discrimination-free organization	Maintain and/or improve the percentage of government transactions posted and identified in FY 2007	100% of USDA CFMS transactions posted and identified using ALCs	100% of CFMS transactions identified and posted with ALCs	TBD
2008	Mission and Business Results	FY 2008 - Obtain an unqualified audit opinion	Unqualified audit opinion obtained November 2004	Obtain unqualified audit opinion	TBD
2008	Operate an efficient, effective, and discrimination-free organization	Customer Satisfaction Survey results	Customer satisfaction survey from FY 2006	Maintain or improve FY 2007 Customer Satisfaction Survey rating (continue to strive for 90% achievement of a 5 or 4 rating on a scale of 1 to 5)	TBD
2009	Operate an efficient, effective, and discrimination-free organization	Maintain and/or improve FY 2008 maintenance costs	FY 2007 maintenance costs	Maintain and/or improve FY 2008 maintenance costs	TBD
2009	Operate an efficient, effective, and discrimination-free organization	26 days to produce financial management reports	Response time has been reduced to one day or less..	Maintain and/or improve 2008 production rate (in days) (Financial Data Warehouse produces daily on-demand and ad-hoc self-service reporting)	TBD
2009	Operate an efficient, effective, and discrimination-free organization	Maintain and/or improve the percentage of government transactions posted and identified in FY 2008	100% of USDA CFMS transactions posted and identified using ALCs	100% of CFMS transactions identified and posted with ALCs	TBD
2009	Mission and Business Results	FY 2009 - Obtain an unqualified audit opinion	Unqualified audit opinion obtained November 2004	Obtain unqualified audit opinion	TBD

I. D. 2. Table 2

Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
2006	Processes and Activities	Compliance	Pass WorkLenz validation	Pass	Pass	Pass

## Section F: Enterprise Architecture (EA)

*In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also*



ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

**I. F. 1. Is this investment included in your agency's target enterprise architecture?**

yes

**I. F. 1. a. If "no", please explain why?**  
(long text - 2500 characters)

**I. F. 2. Is this investment included in the agency's EA Transition Strategy?**

yes

**I. F. 2. a. If "yes", provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.**  
(medium text - 500 characters)

Corporate Financial Management System

**I. F. 2. b. If "no" please explain why?**  
(long text - 2500 characters)

**I. F. 3. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.**

*FEA SRM Component - Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM. FEA Service Component Reused - A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission. Internal or External Reuse? - 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government. Funding Percentage - Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service. (Character Limitations: Agency Component Name - 250 Characters; Agency Component Description - 500 Characters)*

Agency Component Name	Agency Component Description	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused - Component Name	FEA Service Component Reused - UPI	Internal or External Reuse?	BY Funding Percentage
<b>Auditing</b>	CFMS supports the examination and verification of records for accuracy	Financial Management	Auditing	Auditing	005-03-01-01-01-1020-00-402-124	No Reuse	5
<b>Billing and Accounting</b>	CFMS supports the charging, collection and reporting accounts	Financial Management	Billing and Accounting	Billing and Accounting	005-03-01-01-01-1020-00-402-124	No Reuse	5
<b>Debt Collection</b>	CFMS supports the process of accounts receivable	Financial Management	Debt Collection	Debt Collection	005-03-01-01-01-1020-00-402-124	No Reuse	5
<b>Demand Forecasting / Mgmt</b>	The financial management and other data captured in the CFMS solution will be central to gathering, analyzing, and using information on status and future projections of USDA.	Business Intelligence	Demand Forecasting / Mgmt	Demand Forecasting / Mgmt	005-03-01-01-01-1020-00-402-124	No Reuse	5
<b>Internal Controls</b>	CFMS supports the methods and procedures used to safeguard assets, produce accurate accounting data and reports, contribute to efficient operations, and encourage staff to adhere to management policies and mission requirements	Financial Management	Internal Controls	Internal Controls	005-03-01-01-01-1020-00-402-124	No Reuse	5
<b>Payment / Settlement</b>	CFMS supports the process of accounts payable	Financial Management	Payment / Settlement	Payment / Settlement	005-03-01-01-01-1020-00-402-124	No Reuse	5
<b>Portfolio Management</b>	The CFMS solution will facilitate the core functions of investment	Investment Management	Portfolio Management	Portfolio Management	005-03-01-01-01-1020-00-	No Reuse	5

	management: strategic planning, portfolio management, and performance management. There is a direct link between investment management and financial management.				402-124		
<b>Program / Project Management</b>	The CFMS solution will provide USDA increased capability to plan and execute enterprise-wide activities and functions	Management of Processes	Program / Project Management	Program / Project Management	005-03-01-01-01-1020-00-402-124	No Reuse	5
<b>Property / Asset Management</b>	The CFMS solution will support the acquisition, oversight, management, and control of USDA assets through integration with USDA asset / property management systems.	Asset / Materials Management	Property / Asset Management	Property / Asset Management	005-03-01-01-01-1020-00-402-124	No Reuse	5
<b>Record Linking / Association</b>	The CFMS solution will integrate with USDA's electronic management system in accordance with NARA guidelines.	Records Management	Record Linking / Association	Record Linking / Association	005-03-01-01-01-1020-00-402-124	No Reuse	5
<b>Revenue Management</b>	CFMS supports the allocation and re-investment of earned net credit or capital within an agency and the department	Financial Management	Revenue Management	Revenue Management	005-03-01-01-01-1020-00-402-124	No Reuse	5
<b>Sourcing Management</b>	Planning, scheduling, and managing the supply chain are dependent on good financial management, an outcome of the CFMS implementation.	Supply Chain Management	Sourcing Management	Sourcing Management	005-03-01-01-01-1020-00-402-124	No Reuse	5
<b>Strategic Planning &amp; Mgmt</b>	The CFMS solution will facilitate the core functions of investment management: strategic planning, portfolio management, and performance management. There is a direct link between investment management and financial management.	Investment Management	Strategic Planning and Mgmt	Strategic Planning and Mgmt	005-03-01-01-01-1020-00-402-124	No Reuse	5
<b>Supply Chain Management</b>	The CFMS solution will automate and facilitate financial management processes and workflow activities. For example, USDA will automate the receipt of goods and services workflow through new functionality provided by the CFMS solution.	Tracking and Workflow	Invoice / Requisition Tracking and Approval	Invoice / Requisition Tracking and Approval	005-03-01-01-01-1020-00-402-124	No Reuse	5

**I. F. 4. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.**

*FEA SRM Component - Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications. Service Specification - In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate. (Character Limitations: Service Specification (i.e., vendor and product name) - 250 characters)*

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e., vendor and product name)
<b>Auditing</b>	Service Access and Delivery	Service Requirements	Legislative / Compliance	
<b>Auditing</b>	Component Framework	Data Management	Reporting and Analysis	
<b>Billing and Accounting</b>	Service Access and Delivery	Service Requirements	Legislative / Compliance	
<b>Billing and Accounting</b>	Service Access and Delivery	Delivery Channels	Platform Dependent	
<b>Debt Collection</b>	Service Access and Delivery	Access Channels	Platform Dependent	
<b>Internal Controls</b>	Service Access and Delivery	Access Channels	Platform Dependent	
<b>Internal Controls</b>	Component Framework	Data Management	Reporting and Analysis	
<b>Payment / Settlement</b>	Service Access and Delivery	Access Channels	Platform Dependent	
<b>Revenue Management</b>	Service Access and Delivery	Access Channels	Platform Dependent	
<b>Record Linking / Association</b>	Service Interface and Integration	Integration	Enterprise Application Integration	

<b>Property / Asset Management</b>	Service Interface and Integration	Interface	Service Description / Interface	
<b>Record Linking / Association</b>	Service Access and Delivery	Service Requirements	Hosting	
<b>Strategic Planning and Mgmt</b>	Component Framework	Data Management	Database Connectivity	

**I. F. 5. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?**

no

**I. F. 5. a. If "yes", please describe.**

*(long text - 2500 characters)*

**I. F. 6. Does this investment provide the public with access to a government automated information system?**

no

**I. F. 6. a. If "yes", does customer access require specific software (e.g., a specific web browser version)?**

**I. F. 6. a. 1. If "yes", provide the specific product name(s) and version number(s) of the required software and the date when the public will be able to access this investment by any software (i.e. to ensure equitable and timely access of government information and services).**

*(medium text - 500 characters)*

## **PART III: FOR "OPERATION AND MAINTENANCE" INVESTMENTS ONLY (STEADY-STATE)**

*Part III should be completed only for investments which will be in "Operation and Maintenance" (Steady State) in FY 2008, i.e., selected the "Operations and Maintenance" choice in response to Question 6 in Part I, section A above.*

### **Section A: Risk Management**

*You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investments life-cycle. Answer the following questions to describe how you are managing investment risks.*

**III. A. 1. Does the investment have a Risk Management Plan?**

no

**III. A. 1. a. If "yes", what is the date of the plan?**

**III. A. 1. b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?**

no

**III. A. 1. c. If "yes", describe any significant changes:**

*(medium text - 500 characters)*

**III. A. 2. If there currently is no plan, will a plan be developed?**

no

**III. A. 2. a. If "yes", what is the planned completion date?**

**III. A. 2. b. If "no", what is the strategy for managing the risks?**

*(long text - 2500 characters)*

CFMS has a current Operational Analysis Assessment in addition to Continuation of Operations and Disaster Recovery plans. CFMS is a legacy system and the core financial functions and interfaces with USDA's corporate systems will be replaced with the Financial Management Modernization Initiative (FMMI). FMMI has a Risk Management Plan that covers the new core financial system and interfaces with the corporate systems.