

exists, and signature of the recipient of the dog or cat;

(3) A description of each dog or cat being sold, provided, or made available that shall include:

(i) The species and breed or type (for mixed breeds, estimate the two dominant breeds or types);

(ii) The sex;

(iii) The date of birth or, if unknown, then the approximate age;

(iv) The color and any distinctive markings; and

(v) The Official USDA-approved identification number of the animal. However, if the certification is attached to a certificate provided by a prior dealer which contains the required description, then only the official identification numbers are required;

(4) The name and address of the person, pound, or shelter from which the dog or cat was acquired by the dealer, and an assurance that the person, pound, or shelter was notified that the cat or dog might be used for research or educational purposes;

(5) The date the dealer acquired the dog or cat from the person, pound, or shelter referred to in paragraph (b)(4) of this section; and

(6) If the dealer acquired the dog or cat from a pound or shelter, a signed statement by the pound or shelter that it met the requirements of paragraph (a) of this section. This statement must at least describe the animals by their official USDA identification numbers. It may be incorporated within the certification if the dealer makes the certification at the time that the animals are acquired from the pound or shelter or it may be made separately and attached to the certification later. If made separately, it must include the same information describing each animal as is required in the certification. A photocopy of the statement will be regarded as a duplicate original.

(c) The original certification required under paragraph (b) of this section shall accompany the shipment of a live dog or cat to be sold, provided, or otherwise made available by the dealer.

(d) A dealer who acquires a live dog or cat from another dealer must obtain from that dealer the certification required by paragraph (b) of this section and must attach that certification (in-

cluding any previously attached certification) to the certification which he or she provides pursuant to paragraph (b) of this section (a photocopy of the original certification will be deemed a duplicate original if the dealer does not dispose of all of the dogs or cats in a single transaction).

(e) A dealer who completes, provides, or receives a certification required under paragraph (b) of this section shall keep, maintain, and make available for APHIS inspection a copy of the certification for at least 1 year following disposition.

(f) A research facility which acquires any live random source dog or cat from a dealer must obtain the certification required under paragraph (b) of this section and shall keep, maintain, and make available for APHIS inspection the original for at least 3 years following disposition.

(g) In instances where a research facility transfers ownership of a live random source dog or cat acquired from a dealer to another research facility, a copy of the certification required by paragraph (b) of this section must accompany the dog or cat transferred. The research facility to which the dog or cat is transferred shall keep, maintain, and make available for APHIS inspection the copy of the certification for at least 3 years following disposition.

[58 FR 39129, July 22, 1993]

PART 3—STANDARDS

Subpart A—Specifications for the Humane Handling, Care, Treatment, and Transportation of Dogs and Cats

FACILITIES AND OPERATING STANDARDS

Sec.

- 3.1 Housing facilities, general.
- 3.2 Indoor housing facilities.
- 3.3 Sheltered housing facilities.
- 3.4 Outdoor housing facilities.
- 3.5 Mobile or traveling housing facilities.
- 3.6 Primary enclosures.

ANIMAL HEALTH AND HUSBANDRY STANDARDS

- 3.7 Compatible grouping.
- 3.8 Exercise for dogs.
- 3.9 Feeding.
- 3.10 Watering.
- 3.11 Cleaning, sanitization, housekeeping, and pest control.

Pt. 3

9 CFR Ch. I (1–1–07 Edition)

3.12 Employees.

TRANSPORTATION STANDARDS

3.13 Consignments to carriers and intermediate handlers.

3.14 Primary enclosures used to transport live dogs and cats.

3.15 Primary conveyances (motor vehicle, rail, air, and marine).

3.16 Food and water requirements.

3.17 Care in transit.

3.18 Terminal facilities.

3.19 Handling.

Subpart B—Specifications for the Humane Handling, Care, Treatment, and Transportation of Guinea Pigs and Hamsters

FACILITIES AND OPERATING STANDARDS

3.25 Facilities, general.

3.26 Facilities, indoor.

3.27 Facilities, outdoor.

3.28 Primary enclosures.

ANIMAL HEALTH AND HUSBANDRY STANDARDS

3.29 Feeding.

3.30 Watering.

3.31 Sanitation.

3.32 Employees.

3.33 Classification and separation.

3.34 [Reserved]

TRANSPORTATION STANDARDS

3.35 Consignments to carriers and intermediate handlers.

3.36 Primary enclosures used to transport live guinea pigs and hamsters.

3.37 Primary conveyances (motor vehicle, rail, air, and marine).

3.38 Food and water requirements.

3.39 Care in transit.

3.40 Terminal facilities.

3.41 Handling.

Subpart C—Specifications for the Humane Handling, Care, Treatment and Transportation of Rabbits

FACILITIES AND OPERATING STANDARDS

3.50 Facilities, general.

3.51 Facilities, indoor.

3.52 Facilities, outdoor.

3.53 Primary enclosures.

ANIMAL HEALTH AND HUSBANDRY STANDARDS

3.54 Feeding.

3.55 Watering.

3.56 Sanitation.

3.57 Employees.

3.58 Classification and separation.

3.59 [Reserved]

TRANSPORTATION STANDARDS

3.60 Consignments to carriers and intermediate handlers.

3.61 Primary enclosures used to transport live rabbits.

3.62 Primary conveyances (motor vehicle, rail, air, and marine).

3.63 Food and water requirements.

3.64 Care in transit.

3.65 Terminal facilities.

3.66 Handling.

Subpart D—Specifications for the Humane Handling, Care, Treatment, and Transportation of Nonhuman Primates

FACILITIES AND OPERATING STANDARDS

3.75 Housing facilities, general.

3.76 Indoor housing facilities.

3.77 Sheltered housing facilities.

3.78 Outdoor housing facilities.

3.79 Mobile or traveling housing facilities.

3.80 Primary enclosures.

3.81 Environment enhancement to promote psychological well-being.

ANIMAL HEALTH AND HUSBANDRY STANDARDS

3.82 Feeding.

3.83 Watering.

3.84 Cleaning, sanitization, housekeeping, and pest control.

3.85 Employees.

TRANSPORTATION STANDARDS

3.86 Consignments to carriers and intermediate handlers.

3.87 Primary enclosures used to transport nonhuman primates.

3.88 Primary conveyances (motor vehicle, rail, air, and marine).

3.89 Food and water requirements.

3.90 Care in transit.

3.91 Terminal facilities.

3.92 Handling.

Subpart E—Specifications for the Humane Handling, Care, Treatment, and Transportation of Marine Mammals

FACILITIES AND OPERATING STANDARDS

3.100 Special considerations regarding compliance and/or variance.

3.101 Facilities, general.

3.102 Facilities, indoor.

3.103 Facilities, outdoor.

3.104 Space requirements.

ANIMAL HEALTH AND HUSBANDRY STANDARDS

3.105 Feeding.

3.106 Water quality.

3.107 Sanitation.

3.108 Employees or attendants.

3.109 Separation.

3.110 Veterinary care.

3.111 Swim-with-the-dolphin programs.

TRANSPORTATION STANDARDS

- 3.112 Consignments to carriers and intermediate handlers.
- 3.113 Primary enclosures used to transport marine mammals.
- 3.114 Primary conveyances (motor vehicle, rail, air, and marine).
- 3.115 Food and drinking water requirements.
- 3.116 Care in transit.
- 3.117 Terminal facilities.
- 3.118 Handling.

Subpart F—Specifications for the Humane Handling, Care, Treatment, and Transportation of Warmblooded Animals Other Than Dogs, Cats, Rabbits, Hamsters, Guinea Pigs, Nonhuman Primates, and Marine Mammals

FACILITIES AND OPERATING STANDARDS

- 3.125 Facilities, general.
- 3.126 Facilities, indoor.
- 3.127 Facilities, outdoor.
- 3.128 Space requirements.

ANIMAL HEALTH AND HUSBANDRY STANDARDS

- 3.129 Feeding.
- 3.130 Watering.
- 3.131 Sanitation.
- 3.132 Employees.
- 3.133 Separation.
- 3.134-3.135 [Reserved]

TRANSPORTATION STANDARDS

- 3.136 Consignments to carriers and intermediate handlers.
- 3.137 Primary enclosures used to transport live animals.
- 3.138 Primary conveyances (motor vehicle, rail, air, and marine).
- 3.139 Food and water requirements.
- 3.140 Care in transit.
- 3.141 Terminal facilities.
- 3.142 Handling.

AUTHORITY: 7 U.S.C. 2131-2159; 7 CFR 2.22, 2.80, and 371.7.

SOURCE: 32 FR 3273, Feb. 24, 1967, unless otherwise noted.

Subpart A—Specifications for the Humane Handling, Care, Treatment, and Transportation of Dogs and Cats ¹

SOURCE: 56 FR 6486, Feb. 15, 1991, unless otherwise noted.

FACILITIES AND OPERATING STANDARDS

§ 3.1 Housing facilities, general.

(a) *Structure*; construction. Housing facilities for dogs and cats must be designed and constructed so that they are structurally sound. They must be kept in good repair, and they must protect the animals from injury, contain the animals securely, and restrict other animals from entering.

(b) *Condition and site*. Housing facilities and areas used for storing animal food or bedding must be free of any accumulation of trash, waste material, junk, weeds, and other discarded materials. Animal areas inside of housing facilities must be kept neat and free of clutter, including equipment, furniture, and stored material, but may contain materials actually used and necessary for cleaning the area, and fixtures or equipment necessary for proper husbandry practices and research needs. Housing facilities other than those maintained by research facilities and Federal research facilities must be physically separated from any other business. If a housing facility is located on the same premises as another business, it must be physically separated from the other business so that animals the size of dogs, skunks, and raccoons are prevented from entering it.

(c) *Surfaces*—(1) *General requirements*. The surfaces of housing facilities—including houses, dens, and other furniture-type fixtures and objects within the facility—must be constructed in a manner and made of materials that allow them to be readily cleaned and sanitized, or removed or replaced when worn or soiled. Interior surfaces and any surfaces that come in contact with dogs or cats must:

- (i) Be free of excessive rust that prevents the required cleaning and sanitization, or that affects the structural strength of the surface; and
- (ii) Be free of jagged edges or sharp points that might injure the animals.

(2) *Maintenance and replacement of surfaces*. All surfaces must be maintained on a regular basis. Surfaces of housing facilities—including houses,

¹These minimum standards apply only to live dogs and cats, unless stated otherwise.

dens, and other furniture-type fixtures and objects within the facility—that cannot be readily cleaned and sanitized, must be replaced when worn or soiled.

(3) *Cleaning.* Hard surfaces with which the dogs or cats come in contact must be spot-cleaned daily and sanitized in accordance with §3.11(b) of this subpart to prevent accumulation of excreta and reduce disease hazards. Floors made of dirt, absorbent bedding, sand, gravel, grass, or other similar material must be raked or spot-cleaned with sufficient frequency to ensure all animals the freedom to avoid contact with excreta. Contaminated material must be replaced whenever this raking and spot-cleaning is not sufficient to prevent or eliminate odors, insects, pests, or vermin infestation. All other surfaces of housing facilities must be cleaned and sanitized when necessary to satisfy generally accepted husbandry standards and practices. Sanitization may be done using any of the methods provided in §3.11(b)(3) for primary enclosures.

(d) *Water and electric power.* The housing facility must have reliable electric power adequate for heating, cooling, ventilation, and lighting, and for carrying out other husbandry requirements in accordance with the regulations in this subpart. The housing facility must provide adequate running potable water for the dogs' and cats' drinking needs, for cleaning, and for carrying out other husbandry requirements.

(e) *Storage.* Supplies of food and bedding must be stored in a manner that protects the supplies from spoilage, contamination, and vermin infestation. The supplies must be stored off the floor and away from the walls, to allow cleaning underneath and around the supplies. Foods requiring refrigeration must be stored accordingly, and all food must be stored in a manner that prevents contamination and deterioration of its nutritive value. All open supplies of food and bedding must be kept in leakproof containers with tightly fitting lids to prevent contamination and spoilage. Only food and bedding that is currently being used may be kept in the animal areas. Substances that are toxic to the dogs or

cats but are required for normal husbandry practices must not be stored in food storage and preparation areas, but may be stored in cabinets in the animal areas.

(f) *Drainage and waste disposal.* Housing facility operators must provide for regular and frequent collection, removal, and disposal of animal and food wastes, bedding, debris, garbage, water, other fluids and wastes, and dead animals, in a manner that minimizes contamination and disease risks. Housing facilities must be equipped with disposal facilities and drainage systems that are constructed and operated so that animal waste and water are rapidly eliminated and animals stay dry. Disposal and drainage systems must minimize vermin and pest infestation, insects, odors, and disease hazards. All drains must be properly constructed, installed, and maintained. If closed drainage systems are used, they must be equipped with traps and prevent the backflow of gases and the backup of sewage onto the floor. If the facility uses sump or settlement ponds, or other similar systems for drainage and animal waste disposal, the system must be located far enough away from the animal area of the housing facility to prevent odors, diseases, pests, and vermin infestation. Standing puddles of water in animal enclosures must be drained or mopped up so that the animals stay dry. Trash containers in housing facilities and in food storage and food preparation areas must be leakproof and must have tightly fitted lids on them at all times. Dead animals, animal parts, and animal waste must not be kept in food storage or food preparation areas, food freezers, food refrigerators, or animal areas.

(g) *Washrooms and sinks.* Washing facilities such as washrooms, basins, sinks, or showers must be provided for animal caretakers and must be readily accessible.

§3.2 Indoor housing facilities.

(a) *Heating, cooling, and temperature.* Indoor housing facilities for dogs and cats must be sufficiently heated and cooled when necessary to protect the dogs and cats from temperature or humidity extremes and to provide for their health and well-being. When dogs