

**IN-DEPTH SURVEY REPORT:**

**FIELD EVALUATION OF DYNAPAC ENGINEERING CONTROLS  
DESIGNED TO REDUCE OCCUPATIONAL EXPOSURES  
DURING ASPHALT PAVING OPERATIONS**

**MANUFACTURER: Dynapac, Inc**

**PAVING LOCATION San Antonio, Texas**

**REPORT WRITTEN BY:**

**Stanley A Shulman  
R Leroy Mickelsen  
Kenneth R Mead**

**REPORT DATE:**

**November 2000**

**REPORT NO.**

**EPHB 208-23a**

**U S. DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**Public Health Service**

**Centers for Disease Control and Prevention**

**National Institute for Occupational Safety and Health**

**Division of Applied Research and Technology**

**4676 Columbia Parkway, R5**

**Cincinnati, Ohio 45226**

PLANT SURVEYED	Dynapac (Paver Manufacturer) San Antonio, Texas
SIC CODE	1611
SURVEY DATE	April 28-May 2, 1998
SURVEY CONDUCTED BY	Kenneth R. Mead Ronald L. Mickelsen Stan Shulman Dan Farwick Anesha Morton
EMPLOYER REPRESENTATIVES	Dynapac James O. Hedderich Vice President, Marketing - Pavers  Dynapac David Emerson Product Manager - Pavers
EMPLOYEE REPRESENTATIVES	None, non-union
MANUSCRIPT PREPARED BY	Bernice L. Clark

## **DISCLAIMER**

Mention of company names or products does not constitute endorsement by the Centers for Disease Control and Prevention (CDC)

## EXECUTIVE SUMMARY

On April 29-May 2, 1998, researchers from the National Institute for Occupational Safety and Health (NIOSH) evaluated a first-generation engineering control designed to capture and remove fugitive asphalt emissions during asphalt paving. The Dynapak engineering control evaluation was completed as part of a Department of Transportation (DOT) project to evaluate the effectiveness of engineering controls on asphalt paving equipment. NIOSH researchers conducted the research through an inter-agency agreement with DOT's Federal Highway Administration (FHWA). Industry, labor, and governmental participation in the project was fostered through a research partnership which included NIOSH, FHWA, the National Asphalt Pavement Association (NAPA), the Asphalt Institute, six manufacturers of asphalt paving equipment, the International Union of Operating Engineers (IUOE), the Laborers' International Union of North America (LIUNA), and the Laborers' Health and Safety Fund of North America (LHSFNA).

The asphalt paving engineering control study consisted of two major phases. During the primary phase, NIOSH researchers visited each participating manufacturer and evaluated their engineering control designs under managed environmental conditions. The indoor evaluation used tracer gas analysis techniques to quantify the control's exhaust flow rate and to determine the control's capture efficiency. Results from the indoor evaluations provided equipment manufacturers with the necessary information to maximize engineering control performance prior to the second phase of the study, performance evaluation of the engineering controls under "real-life" paving conditions.

Throughout each manufacturer's phase two evaluation, NIOSH researchers focused primarily on the ability of the engineering control to capture and remove airborne contaminants generated within the asphalt paver's auger area. Secondary measurements were collected at screed and paver operator positions located on the asphalt paver. Since no prescribed methods exist to evaluate engineering controls under the unique physical constraints of the asphalt paving environment, the NIOSH researchers developed a multifaceted evaluation strategy that included tracer gas testing, industrial hygiene sampling, and real-time sampling for particulate (PM10), organic vapor, and temperature. All of these methods were incorporated into a control-on vs control-off field evaluation protocol in order to quantify the engineering control's performance.

The scope of this report is limited to the Dynapak phase two (field) evaluation of a single engineering control installed on a Dynapak Model F30W Wheeled Paver with screed model VB 1000 V.

The exhaust hood measured 94 inches long and was centered behind the paver and over the augers. The plenum inlet was a 1-inch slot, located on the bottom of the plenum and running the approximate length of the hood. The 8-inch wide plenum varied in height from 11 inches at the two ends to 5 inches at the center to allow clearance for the auger assembly. Five-inch flanges

extended from the leading and trailing edges of the exhaust hood across the full length of the hood. The open space between the leading flange and the rear of the paver measured 5 inches.

The hood position was fixed. With the augers placed in a typical paving height (position #4), the bottom of the hood measured 46 inches above the ground and approximately 26 inches above the top of the augers.

A partition, located within the exhaust plenum, separated the right and left halves of the plenum. Two hydraulically-driven exhaust fans, one for each half of the plenum, provided the negative pressure and exhaust capacity to the exhaust hood. Each fan is rated at approximately 590 cubic feet per minute (cfm) [1000 cubic meters per hour]. The exhaust volumes indicated by the tracer gas tests were 1207 cfm for the two fans combined.

Test results indicate that the Dynapac engineering control design was successful in capturing and removing an average of 31 percent of the asphalt fume released from the auger area. This source reduction led to an average worker-area reduction of 5 percent. One way to circumvent the mathematical impact of background concentrations and the variability resulting from ambient conditions, as well as the frequent interruptions in the paving process, was to evaluate the engineering control's ability to prevent higher-level (top 25%) contaminant concentrations at both the auger and the screed operator and paver operator positions. Using this approach, the Dynapac engineering control produced an average reduction in higher-level exposures of 61 percent at the auger and 47 percent at the screed and operator workstations.

The Dynapac evaluation was the last of six field evaluations to be conducted as part of the engineering controls research partnership. Many of the environmental and process variables were unique to the Dynapac evaluation. For example, the Dynapac field evaluation was the only evaluation conducted largely in a parking lot. This limited the amount of continuous paving that could be done and made the evaluation more difficult. The reported performance results should not be used to predict future results under different conditions or to compare performances with those obtained by other paver manufacturers.

The implementation of engineering controls on asphalt paving equipment will continue to be an iterative process. NIOSH encourages Dynapac to incorporate the following recommendations into their engineering control implementation process: (1) Investigate ways to increase the existing level of auger-area enclosure, especially over the center portion of the auger area, (2) Monitor the worker/contractor acceptance of the current/future auger-area enclosure design and incorporate design changes if undesirable field-modifications are observed, (3) Monitor field conditions of asphalt paver engineering controls to determine how well the control design stands up to the rigorous demands of a paving environment, and, (4) Modify or supplement the existing hood enclosure to minimize escaping fume when the screed is extended beyond the width of the tractor.

## INTRODUCTION

The National Institute for Occupational Safety and Health (NIOSH), a Federal agency located in the Centers for Disease Control and Prevention under the Department of Health and Human Services, was established by the Occupational Safety and Health Act of 1970. This legislation mandated NIOSH to conduct research and educational programs separate from the standard setting and enforcement functions conducted by the Occupational Safety and Health Administration (OSHA) in the Department of Labor. An important area of NIOSH research deals with methods for controlling occupational exposure to potential chemical and physical hazards.

The Engineering and Physical Hazards Branch (EPHB) of the Division of Applied Research and Technology (formerly, the Engineering Control Technology Branch (ECTB) of the Division of Physical Sciences and Engineering) has the lead within NIOSH to study and develop engineering controls and assess their impact on reducing occupational illness. Since 1976, EPHB has conducted a large number of studies to evaluate engineering control technology based upon industry, process, or control technique. The objective of each of these studies has been to identify or design engineering control techniques and to evaluate their effectiveness in reducing potential health hazards in an industry or at specific processes. Information on effective control strategies is subsequently published and distributed throughout the affected industry and to the occupational safety and health community.

## BACKGROUND

On April 29-May 2, 1998, researchers from the National Institute for Occupational Safety and Health (NIOSH) evaluated a first-generation engineering control designed to capture and remove fugitive asphalt emissions during asphalt paving. The Dynapac engineering control evaluation was completed as part of a Department of Transportation (DOT) project to evaluate the effectiveness of engineering controls on asphalt paving equipment. NIOSH researchers conducted the research through an inter-agency agreement with DOT's Federal Highway Administration (FHWA). Industry, labor, and governmental participation in the project was fostered through a research partnership which included NIOSH, FHWA, the National Asphalt Pavement Association (NAPA), the Asphalt Institute, six manufacturers of asphalt paving equipment (Barber-Greene/Caterpillar, Blaw-Knox, Cedarapids, Champion, Dynapac, Roadtec), the International Union of Operating Engineers (IUOE), the Laborers' International Union of North America (LIUNA), and the Laborers' Health and Safety Fund of North America (LHSFNA).

The NIOSH contribution to the engineering controls partnership included engineering control design and evaluation assistance to each of the manufacturers during prototype development and a detailed field performance evaluation of each manufacturer's engineering control design during traditional asphalt paving operations. Throughout the research partnership, NAPA played a critical role as the industry liaison, facilitating the interactions with each of the manufacturers.

and coordinating the manufacturer/contractor/researcher requirements necessary for each of the field evaluations. Project participation by IUOE, LIUNA, and LHSFNA rounded out the team effort by facilitating worker participation and buy-in into the engineering controls research effort.

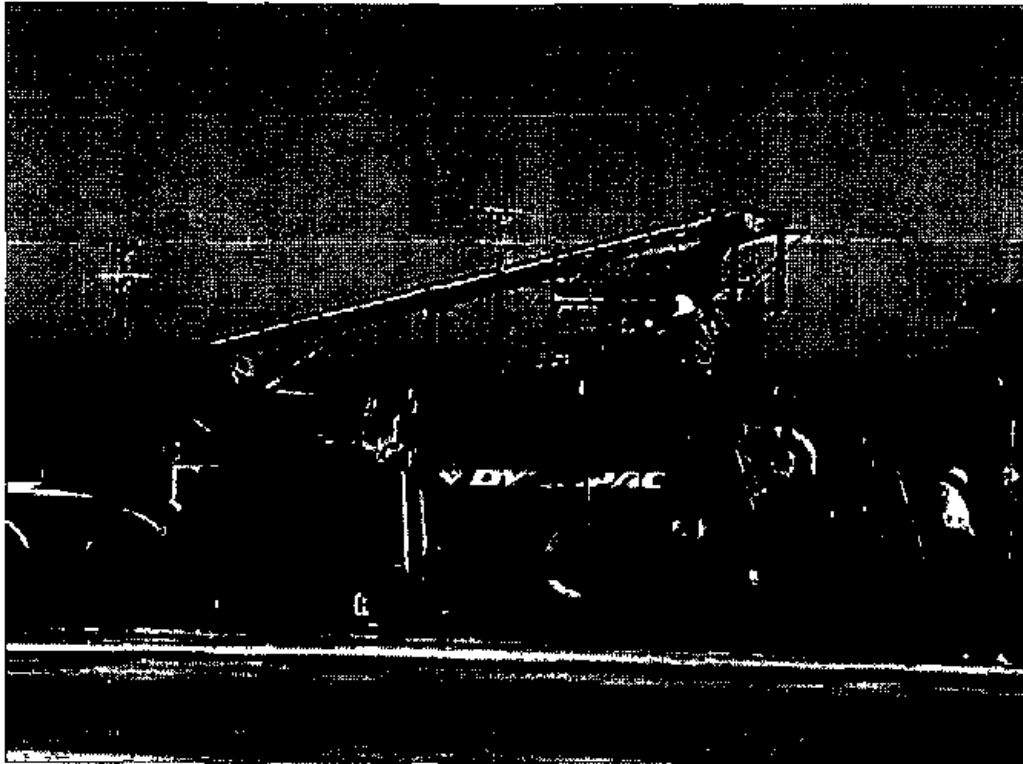
The asphalt paving engineering control study consisted of two major phases. During the primary phase, NIOSH researchers visited each participating manufacturer and evaluated their prototype engineering controls under managed environmental conditions. The indoor evaluation procedure used a tracer gas analysis protocol to quantify each control's exhaust flow rate and determine the capture efficiency.<sup>1</sup> Results and recommendations from the indoor evaluations provided equipment manufacturers with the necessary information to maximize engineering control performance prior to the second phase of the study, performance evaluation of the engineering controls under "real-life" paving conditions.

The Dynapac phase one evaluation occurred in August 1997. Results and recommendations from the phase one evaluation are published in the NIOSH report, "A Laboratory Evaluation of Prototype Engineering Controls Designed to Reduce Occupational Exposures During Asphalt Paving Operations at Dynapac Compaction and Paving, Selma, Texas."<sup>2</sup> Since the phase one evaluation was only one portion of the overall development and evaluation of the Dynapac engineering control, finalization of the Dynapac phase one report was delayed until the completion and co-release of Dynapac's phase two report.

The scope of this report is the Dynapac phase two (field) evaluation of a prototype engineering control installed on a Dynapac Model F30W Wheeled Paver with screed model VB 1000 V (see Figure 1). Participating NIOSH researchers included Ken Mead, Mechanical Engineer, Leroy Mickelsen, Chemical Engineer, Dan Farwick, Industrial Hygiene Technician, Stan Shulman, Statistician, and Anesha Morton, Chemical Engineer, all from the former Division of Physical Sciences and Engineering (DPSE), now the Division of Applied Research and Technology (DART). The NIOSH team was augmented by a paving team from Dynapac. For two of the three days, the work was done on the premises of an asphalt paving company, paving their asphalt producing area. On the last day, the work was done on a road leading to the parking lot.

## **EVALUATION PROCEDURE AND EQUIPMENT**

With the input of its partners, NIOSH researchers developed an evaluation protocol that focused on each engineering control's ability to capture and remove airborne contaminants generated within the asphalt paver's auger area.<sup>3</sup> Secondary measurements were collected at screed and paver operator positions located on the asphalt paver. The primary focus was the control of asphalt fume, a particulate with a diameter of about 1.0 micrometer ( $1 \times 10^{-6}$  meters) and smaller. A secondary focus was on the control of organic vapors originating from the hot mix asphalt (HMA). Since no prescribed methods existed to evaluate engineering controls under the unique physical constraints of the asphalt paving environment, a multifaceted protocol using multiple evaluation methods was developed to quantify each engineering control's performance (Appendix A). Each of the evaluation methods within the protocol has inherent advantages and



**Figure 1.** Dynapac Model F30-4W Asphalt Paving Machine Undergoing Field Testing of Prototype Engineering Controls

disadvantages, some of which can have an effect on the calculated results. An additional advantage of using multiple evaluation methods was that at times, the harsh environment led to equipment malfunctions and the loss of important data. The impact of these losses was lessened by the presence of multiple evaluation tools. It was anticipated that some of these methods would work better than others and that as the overall project progressed, adjustments would be made to the selection and application of the evaluation methods based upon prior experiences. A listing and description of the evaluation methods follows.

**Tracer Gas** For the phase two (field) evaluations, the tracer gas evaluation technique from phase one was modified for use during actual paving operations. The method to calculate total exhaust flow of the engineering control did not deviate from the phase one tracer gas method. However, the capture efficiency  $SF_6$  dosing technique required modification for use when paving. Instead of supplying  $SF_6$  to the auger area via a distribution plenum under the auger, the  $SF_6$  was supplied through four medical-quality 20-gauge injection needles, uniformly distributed across the width of the auger. The intent of this dosing system was to deliver the  $SF_6$  into the open head space near the top of the auger area (above the fresh HMA and between the front of the screed and the rear of the tractor). The four needles were positioned at a level approximate to the top of the screed and pointed downward towards the auger's center shaft. In this manner, the  $SF_6$  was



injected in uniform amounts across the four dosing points, into the flow of fume and vapors convectively rising out of the auger head space. For the Dynapac evaluation, the total dosing flow of SF<sub>6</sub> was approximately 0.45 lpm evenly distributed among the four dosing needles. Multiple tests were conducted during each control-on test period. Difficulties encountered with the field tracer gas method included maintaining the injection needles at the prescribed locations, preventing needle obstruction due to occasional contact with the HMA, and maintaining a steady supply of 120V electrical current to the dosing and sampling equipment.

**Industrial Hygiene Sampling:** Industrial hygiene (IH) sampling trains were configured for use with two analytical sampling methods. The first method quantified the total particulate drawn into a filter cassette and then determined what portion of the collected particulate was benzene soluble. This method is often referred to as the Benzene Soluble Fraction (BSF) method. Due to anticipated detection limitations, this method was only used at sampling locations directly above the auger. The second IH sampling method was a new analytical method developed by NIOSH research chemists. The new method quantified concentrations of total polycyclic aromatic compounds (PACs) and was reportedly more sensitive than the asphalt fume sampling method previously described. Due to the increase in sensitivity, the total PAC method was used for sampling both above the auger and at each of the asphalt paver's workstations. Each of these methods is described in detail in the NIOSH Manual of Analytical Methods (NMAM) <sup>4</sup>. At the auger area, four general area (GA) sampling locations were uniformly distributed across the width of the auger. Additional GA sampling locations included the right and left paver operator positions and the right and left screed operator positions. Lastly, breathing zone (BZ) samples were collected from the paver operator (PO), right screed operator (RSO), and the left screed operator (LSO). In order to establish the control-on vs. control-off performance ratio, each sampling position (GA or BZ) was assigned two sampling trains (one for control-on and one for control-off) for each sampling method used. The same personal sampling pump was used to pull air through each of the two sampling trains. For each day of testing, one sampling train was used to sample with the engineering control activated, and the other was used when the control was deactivated. Difficulties encountered with the IH evaluation method included (1) filter loss into the asphalt, (2) area contamination from non-paving sources of PACs such as cigarette smoking, diesel fuel openly used for solvent (see Figure 2), diesel exhaust from the tractor, and, (3) non-auger sources of asphalt fume associated with the material transfer vehicle.

**Real-Time Aerosol Monitoring:** The direct-reading aerosol monitors used to measure airborne particulate concentrations were three DataRAM Aerosol Monitors (MIE Inc., Billerica, MA). To reduce the impact of naturally-occurring environmental particulate upon the data results, each of the aerosol monitors was configured to limit recorded measurements to particles with an aerodynamic equivalent diameter of 10 micrometers or less (calibrated to Arizona Red Road Dust). The sampling inlet for one of the particulate monitors, a, was positioned in the center of the auger area with the sampling head located about 12 inches above the top of the auger blade. In this position, the DataRAM could measure particulate escaping directly from the auger area.



**Figure 2:** Photograph showing the sampling cassettes above the auger area

The other two aerosol monitors were positioned away from the auger area. One was positioned adjacent to one of the paver operator positions while the other was positioned adjacent to a screed operator position. However, one of the DataRAMs broke during the first day of sampling. For the two remaining days, one of the instruments was positioned at the auger. The inlet location of the other DataRAM was alternated between the screed operator position and the paver operator position. The alternation pattern was randomly generated prior to the start of the field evaluation. The sample frequency for the DataRAM was once every 4 seconds. Uncertainties associated with the aerosol monitoring included the unknown effects of varying humidity and instrument vibration. The DataRAM sample inlets included an in-line heater which helped to reduce variation due to humidity. Vibration isolators were used with all of the aerosol monitors in an effort to minimize vibrational error. The aerosol monitors included an internal warning feature for excessive vibration. However, it is unknown how much error can occur before these warnings are activated.

**Real-Time Organic Vapor Monitoring:** Real-time monitoring of total organic vapor was conducted using two TVA 1000 Toxic Vapor Analyzers (Foxboro, Foxboro, MA). Each TVA contained both a Flame Ionization Detector (FID) and a Photo Ionization Detector (PID) for the detection of volatile organics. Both the FID and PID detectors were used in each TVA and were programmed to record measurement responses once every 4 seconds. The sample inlet to one TVA was located above the auger and adjacent to the DataRAM inlet. The second TVA inlet

location alternated between the screed operator position and the paver operator. The alternation pattern was randomly generated prior to the start of the field evaluation. Difficulties encountered with the TVAs included mechanical breakdowns, suspected to result from elevated humidity and temperature levels, unknown response variation due to humidity, instrument drift, and the previously described work practices associated with diesel fuel. These difficulties posed a much greater dilemma as the measured concentrations approached the predominant background levels. Due to its increased sensitivity over the PID, only the FID measurements were used to determine the organic vapor control efficiency as detected above the auger. The PID measurements were available as a backup in the event of FID failure.

**Wind Speed and Temperature:** Two portable Hygro-thermo Anemometers, Model HTA 4200 (Pacer Industries, Chippewa Falls, WI), were used to measure and log the cross-wind (wind blowing perpendicular to the paver's direction of travel) velocity. As an added benefit, these instruments also recorded the temperature. The HTAs were positioned to sample from the screed and paver operating positions with one HTA adjacent to the inlets for the DataRAMS and TVAs. The wind velocity and temperature were sampled once every 4 seconds.

All of the evaluation methods were incorporated into a control-on vs. control-off field evaluation protocol in order to quantify the engineering control's performance. Due to the nature of the engineering control design, switching between a control-on and a control-off test setting was limited to activating and deactivating the exhaust fan. There was no feasible way to remove and reattach the exhaust hoods when switching between control settings. Thus, any control effect (good or bad) created by the mere presence of the engineering control would have affected the overall performance evaluation results. The sampling scenario was established in a randomized fashion prior to the start of the evaluation. Further details concerning the statistical design and randomization strategy for the real-time and industrial hygiene samples is included in Appendix B.

An indeterminate variable for all of the direct-reading instruments was the impact of background concentrations and environmental variables. One way to minimize the unknown variable effects is through shorter sample periods collected closer in time. In this way, any background and environmental effects would be more likely to influence the control-on and control-off testing scenarios in a similar manner. The amount of time dedicated each day to the long period IH sampling was reduced. This allowed the remainder of each day to be designated for the short-term sampling.

An important problem for these data was the nature of the work area being paved and the makeup of the crew. Because the first two days were spent doing an entrance and parking area for the asphalt company, there were frequent stops to reorient the paver. It was difficult to obtain continuous paving for more than a few minutes. Also, the crew was made up of workers from Dynapac, who did not do this work on an everyday basis. These considerations, plus problems that the asphalt company had in providing a continuous supply of asphalt, meant that the work was not done as continuous paving. Short length sampling segments may not provide a good

evaluation of the paver's controls. As a possible correction for the sampling problem, an alternative estimate is provided for control effectiveness by each instrumental method, based on the highest control-off measurements. This estimate is described in more detail in the section "Data Results."

## **ENGINEERING CONTROL DESIGN DESCRIPTION**

The Dynapac phase two (field) evaluation was conducted on a single engineering control installed on a Dynapac Model F30W Wheeled Paver with screed model VB 1000 V. The exhaust hood measured 94 inches long and was centered behind the paver such that 50 percent of the exhaust hood served the right half of the auger area and 50 percent served the left half. The plenum inlet was a 1-inch slot, located on the bottom of the plenum and running the approximate length of the hood. The 8-inch wide plenum varied in height from 11 inches at the two ends to 5 inches at the center to allow clearance for the auger assembly. Five-inch flanges extended from the leading and trailing edges of the exhaust hood across the full length of the hood. The open space between the leading flange and the rear of the paver measured 5 inches.

The hood position was fixed. With the augers placed in a typical paving height (position #4), the bottom of the hood measured 46 inches above the ground and approximately 26 inches above the top of the augers.

A partition, located within the exhaust plenum, separated the right and left halves of the plenum. Two hydraulically-driven exhaust fans, one for each half of the plenum, provided the negative pressure and exhaust capacity to the exhaust hood. Each fan is rated at approximately 590 cubic feet per minute (cfm) [1000 cubic meters per hour].

The Dynapac design focused upon capturing the fumes generated within that portion of the auger area bounded by the width of the tractor. When the ends of the screed were extended beyond the edge of the tractor to increase the available paving width, the extended portion of the screed was not protected by the exhaust hood. In this position, fumes and vapors near the end of the auger were virtually non-controlled and ambient winds had an increased opportunity to disrupt fume containment throughout the auger area.

## **DATA RESULTS**

### **Wind Speed and Temperature**

The HTA instruments that recorded wind speed and temperature were located at the screed operator and paver operator locations. Median wind speeds were calculated for each control setting used in the randomization. There was no clear indication of correlation between the wind speed and the measurement levels.

The HTA temperature medians varied between about 80 and 110 degrees F. On average, temperature is about 1.9 degrees F lower for control-on than for control-off. This estimate is

based on 5-minute segments chosen analogously to the 45 minute segments. This estimate is based on 5-minute segments since temperature differences should be quickly observed after a change in control setting. The two-sided individual 80 percent confidence limits indicate that the temperature for control-off is between 0.4 degrees F lower and 3.9 degrees F higher than the control on.

### SF<sub>6</sub> Determinations

There were a total of six control-on runs in which SF<sub>6</sub> determinations were made. Multiple determinations were conducted and averaged within each run, resulting in a total of seven average efficiency estimates. The exhaust volumes determined by the tracer gas tests were 1204 cfm for the two fans combined. The average collection efficiency was a 54.4 percent. The 95 percent confidence limits for the true efficiency were 40.2 and 68.6 percent. Thus, for the SF<sub>6</sub> determinations, the true efficiency of the engineering control can be said to be between 40 and 69 percent with 95 percent confidence. The SF<sub>6</sub> evaluations were treated as a separate experiment. Due to its reduced variability, the 95 percent lower confidence limits (LCL) were used as opposed to the 80 percent limits used when evaluating reductions in environmental contaminants. Because of the width of the paving machine, there was interest in whether the inside and outside SF<sub>6</sub> determinations differed. There is no statistically significant difference in their efficiencies.

### Environmental Contaminants

Table I summarizes the results of the evaluation. A more complete description of the evaluation methods may be found in Appendix B.

**Table I**  
**Engineering Control's Airborne Contaminant Control Efficiencies**  
**Overall average**

	Part auger Real time	Vapor auger Real time	Total PAC auger Indus Hygiene	Benz Sol Auger	Total Part - Auger	Part non auger Real time	Vapor non auger Real-time	Total PAC non auger Indus Hyg
EST	34	0	35	25	33	0	0	10
Indiv 80% LCL	0	0	0	0	0	0	0	0
Simul 80% LCL	0	0	0	0	0	0	0	0
<b>For upper 25% control-off pairs</b>								
	Part auger Real time	Vapor auger Real time	Total PAC auger Indus Hygiene	Benz Sol Auger	Total Part - Auger	Part non auger Real time	Vapor non auger Real time	Total PAC non auger Indus Hyg
EST	62	21	56	66	56	32	0	61
Indiv 80% LCL	6	0	31	47	37	0	0	48
Simul 80% LCL	0	0	0	0	0	0	0	22

**Note 1** When the intent is to quote results for just one kind of sample (e.g., aerosols above auger) then the Reduction Estimate and Individual Lower Confidence Limit (LCL) for that individual sample type is appropriate

**Note 2** When the intent is to quote an overall picture of all sample types (aerosol/vapor, real-time/IH) then the Reduction Estimates and Simultaneous LCLs are appropriate

## **DATA DISCUSSION**

The asphalt paving engineering controls project was an experiment that established new ground in the application and performance evaluation of engineering controls. As such, there were no regulatory, consensus, or industry standards by which to perform the evaluation. The hot mobile environment of asphalt paving work was an additional obstacle. Given these limitations, and in consideration of the time and resource constraints associated with each field evaluation, NIOSH and its partners developed a “shotgun” approach to quantify engineering control efficiency during asphalt paving. The general concept was to use multiple evaluation techniques in a statistically designed testing strategy of control-off and control-on periods. It was anticipated that some techniques may perform better than others and for that reason, redundant approaches were incorporated into the evaluation protocol. Furthermore, new variations of the sampling protocol, such as the reduced duration for long-term sampling periods, were developed as the field evaluations progressed. The Dynapac evaluation was the sixth field evaluation of asphalt paving engineering controls. A discussion of each evaluation technique, its results, and its usefulness to the Dynapac engineering control evaluation is discussed below.

### **Wind Speed and Temperature**

The lack of a predictable relationship between the cross-paver (perpendicular to direction of paver travel) wind speed and observed concentrations at each control setting appears to indicate that there are additional variables that determine individual exposure concentrations. In considering wind velocity, related variables such as wind direction, adjacent geographic features, and the paver’s own profile could easily contribute to the exposure quantity.

The evaluation of temperature reductions due to the engineering controls was not an original objective of the field evaluation protocol. After qualitative observations at an early field evaluation indicated that temperature reductions were a potential fringe benefit, the temperature probe on the HTA turning vane anemometer was identified to record any temperature reduction due to the engineering controls. In hindsight, the HTA was not the correct instrument for recording temperature changes due to control of the auger area. The HTA’s temperature sensor is significantly shielded by the airfoil encircling the rotating vane anemometer. Thus, the recorded temperature more accurately reflects that of the wind as opposed to the convective currents rising from the HMA in the auger area.

Given these considerations, the lack of a meaningful temperature reduction due to the control should be considered as only a cursory observation. If Dynapac determines that a more detailed quantification of temperature reduction due to the engineering controls is desired, a separate evaluation that focuses specifically on this issue is recommended.

## **SF<sub>6</sub> Determinations**

The result of the SF<sub>6</sub> evaluation procedure ( $\eta = 54\%$  capture efficiency) reveals that the engineering control did not perform very well at capturing the tracer gas supplied into the auger area. It is important to note, however, that the SF<sub>6</sub> testing protocol allows the observer to identify performance reductions under short-term, ideal conditions which are very close in time. This generally produces performance data whose results are more optimistic than the protocol's other evaluation methods. Another issue to consider when evaluating the tracer gas results is that these values solely reflect the engineering control's ability to control airborne contaminants at the four points of SF<sub>6</sub> injection into the auger area. By comparison, the other evaluation methods detect airborne contaminant concentrations regardless of their source. The collection of fume and vapor that were generated and released during extended screed paving, for example, could not be represented by these tracer gas performance results.

## **Environmental Contaminants**

### **Auger Area—**

The results depicted in Table I indicate that the engineering control captured and removed an average of 31 percent of the asphalt fume (DataRAM, PAC, and BSF) generated within the auger area. In addition, there is general consistency among the DataRAM and IH results

( $\eta=25-35\%$ ) The results for controlling organic vapor (TVA) show no reduction in escaping contaminant. This reduced performance for the TVA is consistent with results seen at other field evaluations and is likely associated with organic vapor contamination originating from sources other than the HMA in the auger area. The relatively low effectiveness of the controls prompted us to examine the effectiveness for the upper 25 percent control-off pairs, which provided higher reduction estimates- 61 percent at the fume and 21 percent for the vapor. The rationale for using the upper 25 percent values is given in the next section. This approach was intended for use with samples away from the auger, where uncontrolled variables such as wind might unduly affect the estimated reduction. The thought was that, at the auger, reductions could be expected to be so high that such an approach had no benefit. For this study, estimated reduction at the auger is relatively low, no higher than 35 percent by any of the methods used. Since it may be that the repeated interruptions in the sampling are partly responsible for this, the upper 25 percent estimates are also provided here.

### **Screed/Paver Operator—**

Due to the lower number of samples at the screed and paver operator positions and the increased variability at these distances from the engineering control, all samples (includes GA and BZ Total PAC samples) collected at the non-auger positions were evaluated collectively without regard to sample type. For the fume the estimated reduction was about 5 percent.

The lower control efficiency at the non-auger positions was believed to partially result from the natural control-effects produced by environmental factors. In other words, when the wind and environmental factors effectively reduce contaminant concentrations, there is less opportunity for the engineering control to affect exposures. When the environmental factors are less effective in controlling the removal of auger source emissions, such as during a stagnant wind condition, the worker-area concentrations increase. Under these conditions, the contribution of the engineering control becomes more important. As a follow-up to this concept, the data were analyzed to determine what contribution the engineering control provided when the environmental factors were not as effective (i.e., when work area exposures were at their highest). In addition, we hoped to compensate for the lack of continuous paving, as was mentioned in the previous section. For these reasons, the data were analyzed to determine the engineering control's efficiency for those control-on periods that correspond to the highest 25 percent of control-off fume exposure concentrations. These results (see Table I) indicate that the presence of the engineering control effectively reduced the occurrence of higher-level concentrations at the screed and paver operator positions by 47 percent (average upper 25% reduction for particulate and total PACs). Since, by design, the engineering control only captures fumes originating from the auger area, this analysis appears to verify that the auger area was the major contributing source of higher-level asphalt fume exposures.

Interpreting the results for the TVA at the non-auger positions is a difficult task. As discussed previously, since the TVA's FID detector is a non-specific detector, (i.e., the same concentration of two different organics can generate dramatically different instrument responses) it is not possible to determine the source, identity, or actual concentration of the measured contaminant given the available data. The upper 25 percent reduction estimate indicates 0 reduction, the same as for the analysis based on all of the data. Given the inconsistencies between the TVA data ( $\eta_{\text{upper 25\%}} = 0\%$ ) and the consistently higher determinations from the Total PAC and real-time particulate methods ( $\eta_{\text{upper 25\%}} = 61$  and  $32\%$ , respectively) and given the physical characteristic differences between the organic vapor monitored by the TVA and the asphalt fume particulate, NIOSH considers the TVA results at the non-auger positions to be non-representative of the exposure reductions to asphalt fume at these positions.



## CONCLUSIONS AND RECOMMENDATIONS

The scope of this report is limited to the Dynapac phase two (field) evaluation of a single engineering control installed on a Dynapac Model F30W Wheeled Paver with screed model VB 1000 V. On average, the Dynapac design was successful in capturing and removing 31 percent of the asphalt fume (Real-time particulate and IH samples) originating from the auger area. The reduction in fume escaping from the auger resulted in an average reduction of 5 percent within the screedman and paver operator work areas. During those periods when environmental factors were not as effective in reducing area concentrations (i.e., when exposures were at their highest), the engineering control provided an average fume exposure reduction of 61 percent at the auger and 47 percent away from the auger. These performance values represent an achievable level of performance by the evaluated engineering control operated under the conditions observed during the Dynapac engineering control evaluation. The average reductions indicate low effectiveness of the controls. It is possible that the constant interruptions in the paving process are responsible. There is no way to assess this possibility short of conducting additional evaluations.

The Dynapac evaluation was the last of six field evaluations to be conducted as part of the engineering controls research partnership. Many of the environmental and process variables were unique to the Dynapac evaluation. For all of these reasons, the reported performance results should not be used to predict future results under different conditions or to compare performances with those obtained by other paver manufacturers.

In almost any industrial process, the design and implementation of engineering controls becomes an iterative exercise. The Dynapac field evaluation completed an important step in this process by marginally successfully demonstrating an 31 percent capture of the auger-source asphalt fume and by reducing workers' exposures by 5 percent. These results, together with the low tracer gas results (54% capture), suggest some problems with the Dynapac controls. Dynapac began providing engineering controls as standard equipment on all of their new highway-class pavers. As the Dynapac engineering control is adopted into the industry, NIOSH recommends the following: (1) Investigate ways to increase the existing level of auger-area enclosure, especially over the center portion of the auger area, (2) Monitor the worker/contractor acceptance of the current/future auger-area enclosure design and incorporate design changes if undesirable field-modifications are observed, (3) Monitor field conditions of asphalt paver engineering controls to determine how well the control design stands up to the rigorous demands of a paving environment, and (4) Modify or supplement the existing hood enclosure to minimize escaping fume when the screed is extended beyond the width of the tractor.

If desired, NIOSH engineers are available to assist in the design or design review of any of these recommendations.

## REFERENCES

- 1 Mead KR, Mickelsen RL, Schulte PA, Zumwalde R [1997] Engineering Control Guidelines for Hot Mix Asphalt Pavers, Part I New Highway-Class Pavers U S DHHS, PHS, CDC, NIOSH Publication No 97-105
- 2 Mead KR, Mickelsen RL [1999] A Laboratory Evaluation of Prototype Engineering Controls Designed to Reduce Occupational Exposures During Asphalt Paving Operations at Dynapac Compaction and Paving, Selma, Texas U S Department of Health and Human Services, Public Health Service, Centers for Disease Control and Prevention, National Institute for Occupational Safety and Health, Draft In-Depth Survey Report No ECTB 208-15a
- 3 Mickelsen RL, Mead KR [1996] Protocol Evaluation of Engineering Controls for Asphalt Paving U S Department of Health and Human Services, Public Health Service, Centers for Disease Control and Prevention, National Institute for Occupational Safety and Health (ECTB No 208-07 )
- 4 NIOSH [1998] Manual of Analytical Methods, Fourth Edition, Second Supplement U S Department of Health and Human Services (NIOSH) Publication No 98-119, Cincinnati, OH

**APPENDIX A**

**ENGINEERING CONTROLS FOR ASPHALT PAVING EQUIPMENT**

**PHASE TWO (FIELD) EVALUATION PROTOCOL**

## ASPHALT PAVING FIELD EVALUATION PROCEDURE

The field evaluations of the paving equipment manufacturers' engineering control designs will attempt to characterize the control performance of each prototype design during normal paving operations. The field evaluation techniques are designed to minimize interference with the paving process. During the field evaluations, the paver will alternate between "engineering controls on" (controlled) and "engineering controls off" (uncontrolled) conditions. The duration of each condition will depend on the difficulty in transitioning between controlled and uncontrolled scenarios. Initially, the duration for each condition will be 2 hours. Time duration modifications will be made in the field as dictated by the equipment design, preliminary data analysis, and the paving process.

**Safety** In addition to following the safety procedures established by the host contractor at the field site, the following cautions and procedures will be exercised at each testing site:

- 1 Orange safety vests will be worn by all persons when working on or near roads.
- 2 Yellow warning lights will be operating on each vehicle during field testing.
- 3 All compressed gas cylinders will be transported, handled, and stored in accordance with the safety recommendations of the Compressed Gas Association.
- 4 The Threshold Limit Value for sulphur hexafluoride is 1000 ppm. While the generated concentrations will be below this level, the concentration in the cylinder is near 100 percent. For this reason, the compressed cylinder will be maintained outdoors during use. Should a regulator malfunction or some other major accidental release occur, observers should stand back and let the tank pressure come to equilibrium with the ambient environment.

Three evaluation methods will be used during the prototype evaluations. Method A is a tracer gas method which will only occur during "controlled" paving conditions. In this method, sulfur hexafluoride ( $SF_6$ ) is injected into the auger region behind the tractor and in front of the screed. Air samples are taken within the engineering control's exhaust duct(s) to determine what percentage of the surrogate "contaminant" was captured and removed by the engineering control. A modified version of Method A will also be used to quantify the engineering control's exhaust volume. For Method B, organic vapors, respirable aerosol, wind velocity, and temperature are measured at point locations with real-time instruments during both controlled and uncontrolled paving conditions. The data are downloaded to a computer and analyzed to determine the concentration of airborne contaminants, the environmental conditions, the effect of the wind, and the effect of the engineering controls. For Method C, personal and area samples are collected on sampling media throughout the day. Two sets of sampling media will be used at each sampling location. One set will be used to sample during controlled paving and the other will be used during uncontrolled paving. Each sample will be color coded to identify it as a controlled or uncontrolled sample. At each sampling location, the two sampling trains will lead to a single sampling pump. The controlled vs uncontrolled paving scenario will dictate which of the two

sampling trains will be actively connected to the sampling pump. When in an inactive status, the sampling train will be capped at the inlet and outlet to avoid vapor migration.

**Field Setup** The following field setup and evaluation method descriptions are based on our understanding of the field environment at most asphalt paving sites. The field evaluation protocol may vary slightly due to unforeseen conditions at some field sites.

**Evaluation Method A (Tracer Gas)** The tracer gas evaluations will occur twice a day, morning and afternoon. These evaluation periods will correspond with paving periods which utilize the engineering controls. For this evaluation, we release a known quantity of sulphur hexafluoride ( $SF_6$ ) into predetermined locations, then measure the amount of  $SF_6$  captured and removed through the engineering control's exhaust duct. The  $SF_6$  release is controlled by three mass flow controllers which are each calibrated for a predetermined flow rate of 99.98 percent  $SF_6$ . Each controller is connected to a PTFE distribution tube. One tube feeds  $SF_6$  into each side of the paver's auger area and the third tube feeds  $SF_6$  directly into the engineering control's exhaust hood.

A hole, drilled into the engineering control's exhaust duct, allows access for a multi-point monitoring wand. The location for this hole is selected to allow for thorough mixing of the exhaust air stream. The monitoring wand is oriented so that the perforations are perpendicular to the moving air. A sample tube connects the wand to a Bruel & Kjaer (B&K) Model 1302 Photo-acoustic Infra-red Multi-gas Monitor positioned on the paver deck. The gas monitor analyzes the air sample and records the concentration of  $SF_6$  within the exhaust stream. The B&K 1302 will be programmed to analyze an air sample approximately once every minute.

To determine the total exhaust volume of the engineering control, a known  $SF_6$  supply will flow through a single mass flow controller and directly into the engineering control's exhaust hood, thus creating a 100 percent capture efficiency. The mean concentration of  $SF_6$  measured in the exhaust stream will be used to calculate the volume of air exhausted by the engineering control. The equation for determining the exhaust volume in cubic feet per minute (cfm) is

$$Q_{(exh)} = [Q_{(SF_6)} / C_{(SF_6)}] \times 10^6$$

where  $Q_{(exh)}$  = volume of air exhausted through the engineering control (cfm)  
 $Q_{(SF_6)}$  = volume of  $SF_6$  (cfm) introduced into the system. The flow rate in liters per minute (lpm) must be divided by 28.3 liters/cubic foot to convert the units to cfm  
 $C_{(SF_6)}$  = concentration of  $SF_6$  (parts per million (ppm)) detected by the B&K 1302

When the engineering control design uses a dual exhaust system, each side of the exhaust system will be evaluated separately. Quick-connect fittings will be used as required to assist the evaluation of both hoods. The results can then be summed to obtain the engineering control's total exhaust volume.

During the capture efficiency evaluations, a known supply of SF<sub>6</sub> will be released through two mass flow controllers. One mass flow controller will feed a calibrated flow of SF<sub>6</sub> to the right auger area, the other controller will feed the left auger area. Within each auger area, two PTFE distribution tubes will be strategically positioned for releasing the SF<sub>6</sub>. This results in a total of four SF<sub>6</sub> distribution tubes within the two auger areas. These will be labeled R-In, R-Out, L-In, L-Out. Figure 1 shows the planned distribution tube locations. Using quick-connect fittings, the engineering control capture efficiency evaluations will be conducted for both the inner auger areas (SF<sub>6</sub> released through R-In and L-In) and the outer auger areas (SF<sub>6</sub> released through R-Out and L-Out).

As the engineering control exhaust hood captures all or part of the released SF<sub>6</sub>, the diluted SF<sub>6</sub> concentrations will be monitored in the same manner as stated for the exhaust volume evaluations. Monitoring will continue for about 10 minutes or until approximate steady-state concentrations appear. The measured concentration will be multiplied by the exhaust volume of the exhaust hood(s) in order to calculate the total volume of SF<sub>6</sub> captured by the engineering control. The amount of captured SF<sub>6</sub> will be compared to the known release rate of SF<sub>6</sub> to determine the engineering control's capture efficiency.

The sequence from a complete tracer gas evaluation run is outlined below.

- Calibrate the B&K gas analyzer before going to the field with SF<sub>6</sub> concentrations ranging from zero to 100 ppm (5 points)
- Position and secure the power supply, B&K, SF<sub>6</sub> gas cylinder, and mass flow controllers on the paver deck so that they are immobile and are not in the paver operator's way
- Based on engineering control exhaust volumes provided by each manufacturer, calculate the flow rate of SF<sub>6</sub> required to create an SF<sub>6</sub> concentration approximating 15 parts per million (ppm) during the 100 percent capture evaluations. Calibrate one of the three mass flow controllers at this calculated SF<sub>6</sub> flow rate
- Assuming an engineering control capture efficiency of 50 percent, calibrate the remaining two mass flow controllers such that the measured SF<sub>6</sub> concentration will approximate 15 ppm during the engineering control SF<sub>6</sub> capture efficiency evaluations
- Position the inner and outer pairs of PTFE distribution tubes within the right and left auger areas. Have a paver operator raise and lower the screed to verify that the distribution tubes and connections do not interfere with the paving mechanisms
- Position a distribution tube within the engineering control's exhaust hood(s)
- Drill an access hole in the engineering control's exhaust duct(s) and position the sampling wand into the hole, with perforations oriented perpendicular to the exhaust flow
- Turn on the B&K gas analyzer and input the ambient temperature and pressure
- After the paving process has begun, activate the mass flow controllers which supply SF<sub>6</sub> to the inner auger positions and adjust to the desired flow rate
- Measure the diluted SF<sub>6</sub> concentration within the engineering control's exhaust duct for 10 minutes or until steady-state conditions are approximated. (Note: For dual duct designs, this measurement period will occur twice, once for each exhaust duct.)

- Switch the SF<sub>6</sub> supply to the two outer auger positions and repeat the previous measurement step
- Measure the temperature and pressure within the engineering control's exhaust duct(s) (These will later be used to convert SF<sub>6</sub> concentration readings in the exhaust duct from ambient temperature and pressure to actual temperature and pressure )
- At the end of the sampling period, while controlled paving is still in progress, deactivate the SF<sub>6</sub> flow to the auger area and activate the SF<sub>6</sub> flow into the engineering control's exhaust hood. Monitor the diluted concentrations of SF<sub>6</sub> in the exhaust duct to determine the engineering control's exhaust volume flow rate. (Note: For dual duct designs, this measurement period will occur twice, once for each exhaust duct.)
- Turn off SF<sub>6</sub> delivery. Continue to sample background readings for 2 minutes.
- Deactivate B&K sampling and store data in internal memory.
- Repeat the process each time the engineering control is in use.
- At the end of each day, remove the B&K from paver, and download stored data to a computer.

**Evaluation Method B Real-time Monitoring (Wind, Temperature, Organic Vapor, Aerosol and Video Recording)** Real-time monitoring will be conducted using five types of instruments and a hand-held video camera, each synchronized to the internal clock of a notebook computer. Video recordings of the paving process will be taken during the data collection process to document traffic and for use in real-time monitoring. The angle for most of the video recording will be from behind and to one side of the paver so that the screed area and the presence of asphalt delivery vehicles should be in view. Figure 2 contains information on the placement of each real-time instrument. Each instrument is identified below with its brief operating sequence.

1. **Wind, Temperature (dry bulb (db))** Two portable Pacer Hygro-thermo Anemometers will log the cross-wind (wind blowing perpendicular to the paver's direction of travel) velocity and the temperature at the screed control panel and at the unused paver operator position. The velocity will be averaged and recorded every 4 seconds.

For each Hygro-thermal Anemometers

- Change all batteries before going to the survey site
- Locate positions at the down-wind screed control panel and the unused paver operator chair to locate the portable anemometers. Orient the anemometers to measure the cross-wind velocity component (wind blowing from side-to-side across the paver).
- Clear the memory of the anemometer's internal data loggers.
- Set data recording frequency and annotate the equipment start time.
- Place the anemometers on the paver and annotate the wind direction.

2. **Organic Vapor** Two Foxboro, TVA 1000s with flame ionization and photo ionization detectors (FID & PID) will measure and record the total organic vapor concentration every 4 seconds. One TVA 1000 will be permanently located to monitor above the center of the auger area, 3-6 inches above the height of the screed. The second TVA 1000 will alternate

15 minute sampling periods between the unoccupied paver operator position and the downwind screed control panel

For each Foxboro TVA 1000

- Locate a source of hydrogen near the field site for filling the FID flame fuel tanks of both TVA 1000s before going on the survey
- Charge the TVA 1000 batteries before going to the survey site
- Fill the H<sub>2</sub> tanks
- Set each TVA 1000 auto logging rate to 4 seconds
- Synchronize TVA 1000 clocks to computer time
- Ignite the FID flames
- Calibrate the TVA 1000 with zero air and span gas

- 3 Aerosols The MIE, Inc , DataRAM Real-time Aerosol Monitor and two Grimm Dust Monitors will measure and record respirable (less than or equal to ( $\leq$ ) 10 microns aerodynamic equivalent diameter) aerosol concentrations every 4-6 seconds One Grimm will be placed near the unused paver operator position The second Grimm will be near the downwind screed operator position The DataRAM will monitor with the TVA 1000 over the center of the augers, 3-6 inches above the height of the screed

DataRAM

- Charge the DataRAM battery before going to the survey site
- Change the backup filter in the DataRAM before going to the survey site
- Calibrate the DataRAM using the internal reference calibration standard
- Install the temperature conditioning heater to the DataRAM Inlet
- Install the PM10 (Verify that 2.5 micron nozzle is not installed in the PM10 inlet head) inlet head to the temperature conditioning heater
- Install the flexible sampling hose on the inlet to the PM10
- Install the omnidirectional sampling head to the free end of the flexible sampling hose
- Set the DataRAM to sample every 4 seconds Set pump flow rate to 2.0 lpm
- Synchronize DataRAM clock to the computer clock
- Locate a secure place to mount the DataRAM onto the paver and position the omnidirectional sampling head at the identified monitoring position

For each Grimm

- Charge the Grimm battery and backup batteries before going to the survey site
- Replace the internal PTFE filter prior to going to the survey site
- Remove the black protection cap from the air inlet
- Synchronize the Grimm's date and time with the notebook computer clock
- Insert the Grimm's memory card
- Set the Dust measurement mode to particles  $\leq$  10 microns
- Set the particle count to particles  $\leq$  10 microns
- Position the Grimm in the desired monitoring position



**Evaluation Method C (Total Polycyclic Aromatic Compounds-BZ & GA Samples)** There will be 11 sampling locations for each day of paving during the engineering control study field study. Eight of these locations will use GA samples, the other three locations will be personal BZ samples mounted on the paver operator and both the screed operators. (See Figure 3 for a schematic of the planned sampling locations.) Each of the 11 sampling positions will have two sampling trains, one for the controlled paving and one for the uncontrolled paving. The sampling pumps will be calibrated to a flow rate of 2 lpm. For this evaluation method, a switch from one controlled sampling condition to another will proceed as follows:

1. Both an active sample and an idle sample will be co-located at a single sampling position (applies to either general area (GA) samples or personal breathing zone (BZ) samples)
2. At the identified transition time, the inlet cap will be removed from the "idle" sampling media
3. At the pump inlet, the hose from the active sample will be disconnected and replaced by the hose from the idle sample. The time of day for this transition will be annotated for both samples
4. The previously active sample (now idle) will be capped at the cassette inlet and at the sampling hose outlet
5. This process will be repeated as transitions are made between controlled and uncontrolled paving conditions

At the end of each day, all samples will be collected, capped, and stored in a chilled environment until future delivery at an analytical laboratory for analysis. Analysis of these samples will be conducted using the Total Polycyclic Aromatic Compound (PAC) method recently developed by the National Institute for Occupational Safety and Health, Division of Physical Sciences and Engineering, Methods Research Support Branch. See Attachment 1 for a descriptive overview of this analysis.

Integrated personal and area samples will be collected using PTFE filters followed by sorbent tubes. A summary of activities associated with this sampling method is listed below:

- Calibrate sampling pumps to flow at 2 lpm
- Construct pairs of sampling trains for eight area and three personal sampling positions (Total of 22 samples per day)
- Color code each sampling train: red=uncontrolled, blue=controlled sampling scenario
- Assign one red and one blue sampling train to each sampling pump and record the pump number-sample media assignments
- Place five area and three personal samplers. Remove filter caps, start pumps, record time, pump number, location/person, and filter number
- Run personal and area samplers for the full working shift
- Post-calibrate sampling pumps and record information on data sheets
- Inventory samples, prepare field blanks, and pack collected samples on ice

- Deliver samples to NIOSH analytical laboratory for total PAC analysis at the end of the survey

#### Additional Measurements

- Ambient temperature and asphalt application temperature will be measured during each controlled/uncontrolled paving scenario. Ambient pressure will be obtained through local weather data sources.
- Any down time of more than 5 minutes will be recorded.
- The arrival/departure times and the HMA payload (tons) will be recorded for each HMA delivery vehicle.
- The crude oil source, supplier, and mix design will be recorded.
- The paver model number, any modifications to the paver, and engineering control system dimensions will be recorded.

Figure 1 Tracer Gas Dosing And Sampling Locations

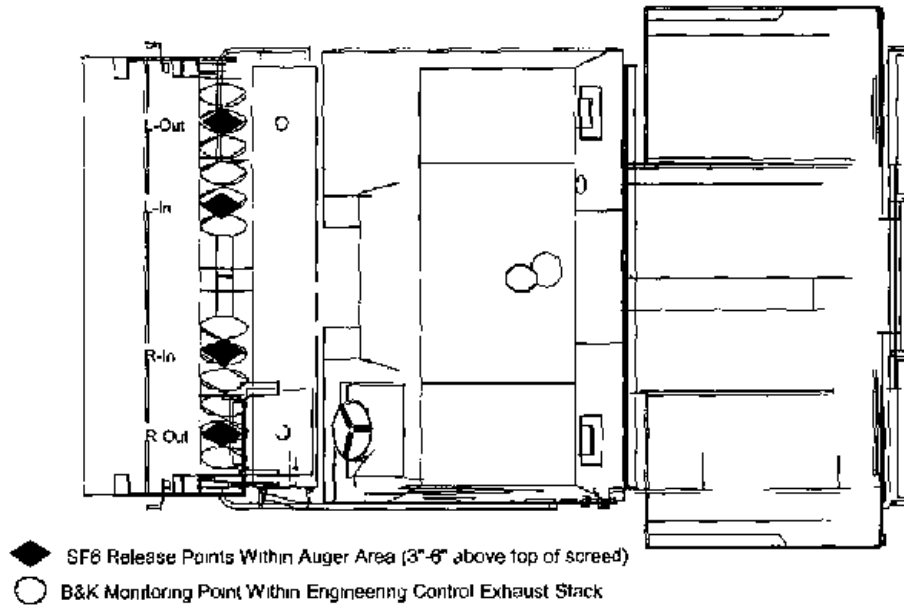


Figure 2 Real-Time Sampling Locations

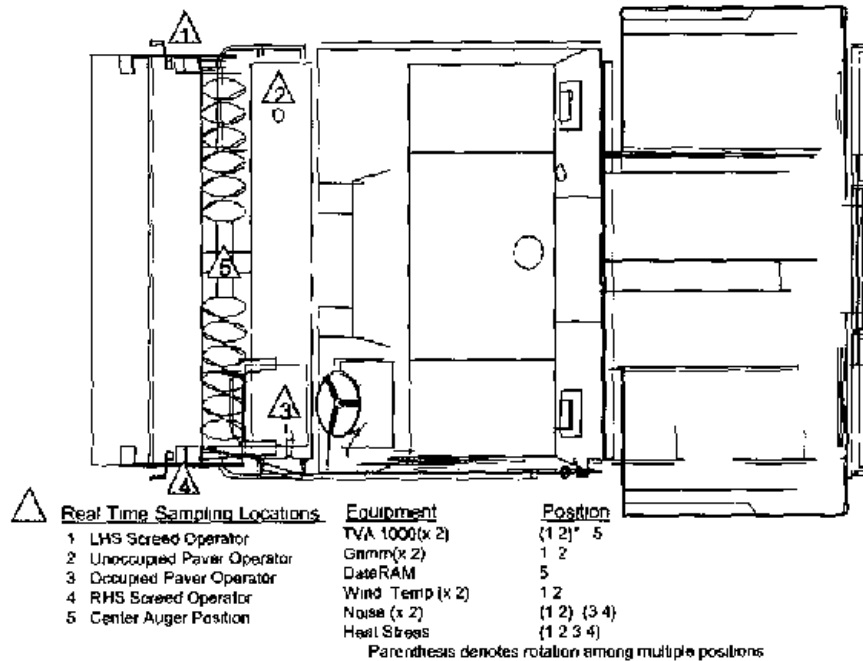
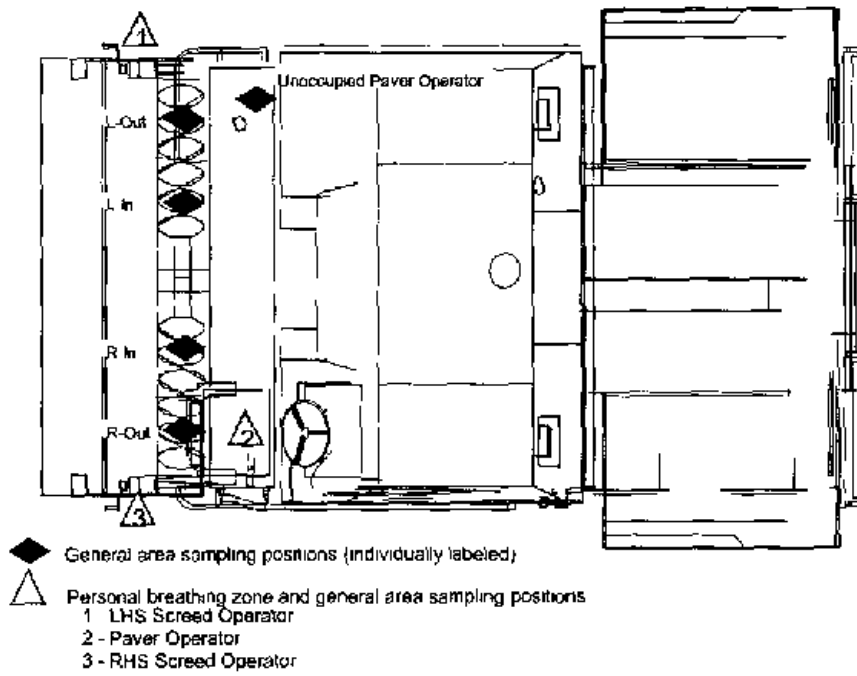


Figure 3 Total-PAC Sampling Locations



## ATTACHMENT A

### POLYCYCLIC AROMATIC COMPOUNDS AS A CLASS PROCEDURE

#### Analytical Overview

The Polycyclic Aromatic Compounds (PACs) are extracted from the sampling media with 4 milliliter (mL) of hexane. Using a Zymark Benchmate II, the sample solution is fractionated into an aliphatic, an aromatic, and a polar fraction. Two mL of the sample solution is eluted through a cyano-solid phase extraction (SPE) column while the remaining 2 mL is retained for additional analyses such as sulfur compounds. An additional 2 mL of hexane is used to wash the SPE column and collected with the previous hexane eluate. The polar compounds remain on the column while the aliphatic and aromatic compounds are collected in the 4 mL of hexane eluate. Four mL of DMSO is added to the hexane eluate and agitated. The aliphatic fraction remains in the hexane layer while the aromatic compounds migrate into the DMSO layer during this liquid/liquid extraction. The DMSO layer is transferred into a High Performance Liquid Chromatography (HPLC) auto-sampler tube for flow-injection analysis. Flow-injection analysis uses the same equipment and data reduction as an HPLC analysis except no attempt is made to separate the compounds into discrete peaks. By removing the column, the equipment is used to deliver the sample as a single peak, monitored spectrofluorometrically, and quantitated as ug/sample of PACs as a class. The samples are normalized using a Supelco QTM PAH mixture

## TOTAL PAC PROCEDURE

### Sample Fractionation

- 1 Remove filters and tubes from refrigerator and allow to come to room temperature
- 2 Place filter, front section, and back section of tube in separate 16 x 100 screw-cap culture tubes (Daigger Cat#LX23607B) Discard the o-rings from the cassette The front glass wool is added to the front sorbent culture tube section Add the middle and back glass wool to the back sorbent culture tube section
- 3 Add 4 mL of hexane (Burdick and Jackson 216-1) to each culture tube
- 4 Cap the threaded tube with the PTFE-faced cap and rotate overnight (Labquake Shaker)
- 5 Using a Pasteur pipet, remove the hexane from the threaded tube and place in a 16 x 100 mm straight walled disposable culture tubes (CMS 339-309) This transfer is necessary because I could not figure a way to modify the threaded tube to hold the SPE holder on the Benchmate Let me know if you find a way!
- 6 Place the straight walled tube in the first rack of the Benchmate II with the SPE tube (Supelco LC-CN SPE #5-7013) Place a threaded tube with a sleeve made of plastic or Tygon tubing over the threads in the second rack of the Benchmate II This sleeve allows the Benchmate arm to control the tube
- 7 Fill the Benchmate reservoirs with hexane, DMSO, methylene chloride, and methanol (All Burdick and Jackson HPLC Grade )
- 8 Run the weight calibration and purge programs to prepare the Benchmate
- 9 Run the attached Benchmate program
- 10 When finished, about 2 mL of the original hexane extract will remain in the first culture tube Transfer this solution to an amber 4-mL autosampling vial (Kimble 60884A-1545) and cap with solid PTFE-faced cap (Qorpak 5200/100) Analyze this solution for sulfur PACs and benzothiazol Discard the SPE tube
- 11 The second culture tube will contain about 4 mL of hexane and 4 mL of DMSO Remove the sleeve, cap the tube, and rotate the sample overnight to allow liquid/liquid extraction of the PACs into the DMSO layer
- 12 Transfer the DMSO layer (bottom) to an amber autosampling tube for HPLC analysis

### **Flow Injection Analysis**

**Equipment** Waters 600-MS System Controller, Thermo Separations Group Membrane Degasser, Waters 715 Ultra WISP, two (2) Shimadzu RF-535 HPLC Fluorescent Detectors, and a Dionex AI-450 Laboratory Automation System. One of the detectors is set at 254 nm excitation and 370 nm emission while the other is set at 254 nm excitation and 400 nm emission. A flowrate of 1.5 mL of 100 percent acetonitrile is used to carry the sample to the detectors. The injection volume is 25  $\mu$ L. The runtime programmed into the data acquisition method allows four injections of the same sample. A purge of 1 min was programmed into the WISP to allow time for the method start and injection start to coordinate.

**Standards** Supelco QTM PAH test mixture (4-7930) is used as the standard. It contains 2000  $\mu$ g/mL of 16 individual PACs, therefore, this bulk standard contains 32,000  $\mu$ g/mL of total PACs. The working standards ( $\mu$ g of total PACs/mL) are serial dilutions in DMSO.

Since the samples contain a large range of concentrations and the limited linearity of the fluorescent detectors, multiple runs had to be made of the samples.

**Run 1** Initially, the samples are run with the detector set in the low sensitivity mode. Typically, the calibration curve ranges from 0.5 to 15.0  $\mu$ g/mL. Samples bracketed within this calibration curve are quantitated using a least squares program.

**Run 2** Sample areas exceeding the highest standard of Run 1 are diluted with DMSO and reanalyzed. The majority of the dilutions are required for the 254/400 setting but both must be checked.

**Run 3** Samples below the lowest standard of Run 1 are reanalyzed with the detector set in the high sensitivity mode. The highest standard must overlap the first calibration curve and the LOD associated with this procedure is typically around 0.01  $\mu$ g/mL.

### **Calculations**

The areas of the four replicate injections are averaged. The calculated values are in  $\mu$ g/mL. Calculation of the final concentration must take into account that 4 mL of DMSO was used in the fractionation and that only half of the sample was fractionated, therefore, the conversion factor from  $\mu$ g/mL to  $\mu$ g/sample is 8.

$$\mu\text{g/sample} = 8 \times \mu\text{g/mL}$$

## **APPENDIX B**

**ENGINEERING CONTROLS FOR ASPHALT PAVING EQUIPMENT**

**DYNAPAC PHASE TWO FIELD EVALUATION**

**STATISTICAL DESIGN AND DATA ANALYSIS**



## DYNAPAC (TEXAS)

### EXPERIMENTAL DESIGN

The data were collected in periods that included **two kinds of randomization**. See Figure 1 for the randomization that was used during the experiment. There was randomization of shorter length time periods and randomization of longer length time periods. Both kinds of randomization were required, since the **longer periods were needed for the industrial hygiene samples, and the shorter length periods** were required to increase the precision of the difference between the control-on and control-off periods **for the real-time samplers**. In Figure 1 "short" designates a set of short-term periods, and "long" designates a long-term period. A period consisted of a randomized pair (control-on, control-off). For purposes of TVA sampling at the screed and operator, the periods in the short-term were designated as either screed or operator samples. Since only one TVA instrument was available for sampling at these two locations, the inlet to the TVA was placed either at the screed or operator according to the randomization scheme. In the long-term periods, the TVA was randomized between screed and operator sampling, even though the control setting was unchanged. The intention had been to have three DATARAMs, one at the auger, and one at the screed, and one at the operator locations. However, because one of the three units operated for just the first day, one DATARAM unit was used for both screed and operator locations, following the same randomization as the corresponding TVA.

Although we call the periods either "short" or "long," **short periods were not all of the same length and long periods were not all of the same length**. "Short" periods were those for which no industrial hygiene samples were taken. Thus, the periods could be shorter in length since only real-time measurements were being taken. "Long" periods varied in length, since the aim was to collect enough material on the tubes and filters to obtain samples above the limit of detection.

A problem with these data was that there were many interruptions in the paving process. Typically, an uninterrupted sequence of paving lasted for less than 2 minutes. So many interruptions make evaluation of control effectiveness very difficult. One reason is that it may take a short time of continuous paving before estimation of analyte concentration can be made accurately. Also, for industrial hygiene samples, it was difficult to turn off the pumps every time there was an interruption. Thus, some of the time that the industrial hygiene samples were taken, there was no paving activity. For this reason the collected data will be evaluated in two different ways. The first set of estimates will be based on all the data. The second set of estimates will be based on those (control-on, control-off) pairs for which the control-off determination falls in the upper 25 percent of all control-off determinations from the course of the study. From previous studies, these upper 25 percent results are usually higher than the overall results. The reason to carry out this second analysis for all the data, is not to penalize the evaluation of control effectiveness because of the sampling problems mentioned above. (See (8) below for further discussion.)

## METHODS FOR DATA ANALYSIS

Some of the considerations involved in handling of the data are the following

1 Figure 2 contains particulate results from the DATARAM auger sample on day 1, the second period. There are four segments at control-on and three at control-off. Except for the last control-off segment, each segment shown in the figure indicates a tendency for the measurements to increase at the start of the segment. For control-on, three of the segments lasted less than 1 minute, and one lasted for about 5 minutes. For control-off, one segment lasted about 3 minutes and the two others about 2 minutes. Thus, there were many interruptions in the work. It is not possible to know how well each median level is determined since the intervals are short.

Over all three days of sampling, the median length of time for paving is less than 2 minutes. For days 1 and 3, the median interval length was less than 1 minute, although different parts of each day may have had more or fewer interruptions than other parts. For day 2 the median length is about 1.6 minutes. Since for most of these intervals we exclude 30 seconds at the beginning and end of the interval, over half of the segments on days 1 and 3 are excluded! Perhaps these interruptions help explain why the estimated reductions are relatively small.

2 Since the data were collected in batches of control-on and control-off, **it is not appropriate to treat the measurements individually** in making comparison of control-on and control-off settings. The reason is that the variability of measurements made in batches is usually different (usually smaller) from that of measurements which are collected in a randomized fashion. Since the randomization used in the study is within the periods, it makes sense to **calculate one number for each control-on and control-off setting within each period**. Since the median is not sensitive to measurements from the center of the distribution, the median is used in the analyses of all the real-time measurements. (These included vapor and particulate at the auger and away from the auger.) **Because of this insensitivity and because it seemed difficult to decide which of the many real-time measurements might be outliers, the median is a sensible estimator for the center of each control setting distribution.** In Figure 2, the median of the control-off measurements was about 43000 micrograms per cubic meter, compared with about 24000 micrograms per cubic meter for the control-on setting. For the period shown in Figure 2, the medians appear to show the centers of the distributions quite well.

For the **industrial hygiene samples**, each of which is collected for a period of not less than 45 minutes, the average of each type of sample was used, rather than the median. (For reasons given in (6) below the average of the log of the determinations was used.) **Because each sample is a time-weighted average over a relatively long period of time (compared to the short-term samples), the sample determinations themselves adjust for extreme values that occur in the course of sampling, and the average rather than the median seems appropriate.** This average was taken over all locations sampled during the control setting. The industrial hygiene samples included total PAC at the auger (four locations), total PAC away from the auger (two or

three personal samples and four area samples), and total particulate/benzene soluble fraction (BSF) analyses for samples at the auger (four locations)

3 For long-time periods especially, comparison of control-on and control-off depends on the data used to compute the medians. If we compare the median of the entire setting of control-on for the long-time period of particulate measurements at the auger shown in Figure 3 with that of the entire setting of control-off from the same period, we may get quite different results than if we compare the data closer to the time at which the control settings change. The problem is that the control-off determinations tend to increase here, especially in the latter half of the control-off setting. Since we have no control over environmental changes, it makes sense to compare control-on and control-off determinations that are close together in time. **In other words, we will compare medians of measurements before and after a change point from one control setting to the other.**

Since some of the short-time periods consisted of segments due to interruptions in delivery, some of these segments are spread out over a long period of time, and similar concerns apply to them too

4 Another question concerns **how many measurements to use before and after a change point**. Our thinking is that **determinations close together in time are more similar in the uncontrollable variables**. We must determine how far in time before and after a control setting change to include data for computation of the medians

A related question concerns deletion of real-time measurements at the beginning or end of a continuous sequence of measurements at a control setting. Trucks were used for delivery of the asphalt. In addition, during the morning of the last day of sampling, asphalt was provided to the paver by means of tractors. **There were stops when there were delays in truck arrival**. If there is a long break, environmental differences can affect estimates of the difference between the two control settings. It is often true that after a change in control setting or after a stop in paving activity, there is a period of time during which the measurements change their means. **Because of this tendency, we have deleted a ½ minute of real-time measurements before and after a period of no paving that lasts for at least 25 seconds. This was done for all real-time determinations.**

We must decide what duration should be taken for each period. Comparisons of control effectiveness were done for different length time periods. **The number of minutes was always a function of absolute clock time (from the start of the period), since the idea is that it is important to be close together in time to allow for better comparability of the determinations. The periods are constructed with respect to the last measurement before a control setting change or the first measurement after such a change.** For instance, if the last control-off determination before a change occurred at 10 a.m., then the 15 minute interval would include measurements between 9:45 and 10 a.m. If the first control-on determination was made at 10:45 a.m., then the 15 minute determinations would include measurements between 10:45

and 11:00 a.m. The comparisons shown in the section "Determining Length of Period" indicate that by approximately 45 minutes, the estimated effectiveness of the control is stable. The results also demonstrate that the 30 second deletion at the start of the control setting increases the estimated reduction.

5. Another issue concerned **drift in the FID determinations**. The TVAs were zeroed and spanned with samples containing no analyte and with samples containing analyte that should have given either 10ppm or 100ppm readings for FID. This spanning was carried out both at the beginning of the day and at the end of the day. This allowed for correction for drift in the zero responses of FID, by assuming linear drift of the zero response between the two end points. Drift in both the 10 and 100ppm determinations was also assumed to be linear between the two endpoints. This assumption allowed for determination of a factor for converting the 10ppm and 100ppm responses at a particular sampling time  $t$  to the equivalent responses at the initial 10ppm and 100ppm spanning time ( $t=0$ ). These factors were applied to the zero-corrected FID determinations made at time  $t$  to convert them to the equivalent determination at time  $t=0$ , after which the initial instrumental response to zero span gas was added on. **Thereby, changes in readings over time to the same air concentration would be corrected.**

6. When the medians of many periods were studied together, it happened that there was **higher variability of the medians as the medians increased**. This suggested that the natural log of the medians was to be used when the data were analyzed. The analysis will be based on the difference of the natural logs of the control settings for each pair.

7. For the real-time data, **ln(median)s were analyzed via analysis of variance methods**, in order to obtain an estimate of the ratio of control-on to control-off. The quantity of interest is 1 minus the ratio, which is the reduction due to the control-on. The models used are different for different kinds of measurements. **For the real-time particulate at the auger and for vapor determinations, the models include terms for day to day differences, pair of (control-on, control-off) within day and interaction between day and control differences.** The particulate determinations away from the auger, measured at both the screedman and operator locations, are averaged to obtain one average measurement at each setting at each time since the two different locations are sampled simultaneously and are correlated.

The average of the log of the measurements was used in the analysis of the total PAC data, where the average is taken over the different locations sampled simultaneously and similarly for the analysis of the total PAC data away from the auger. For the latter, both area and personal samples are averaged together.

Since different sampling intervals were used for different instruments and since periods were of variable length, **the number of measurements on which the medians or averages was based varied considerably** from period to period and from instrument to instrument. **Since it was not clear that length of period was related to precision of data, an unweighted analysis was always used.**

Because the industrial hygiene samples, total PAC or weighing samples, were long-time samples done simultaneously, it was possible to carry out a combined analysis of these data. For each day's data, averages on the natural log scale were obtained for each kind of sample at each control setting. **The control effectiveness was estimated by including all sample types in the same split-plot analysis, in which samples collected during the same control setting on the same day were treated as correlated. A separate estimate was obtained for each sample type, but the residual variances were pooled to obtain a better estimate of the subplot variance, with more degrees of freedom.** This seemed acceptable, since the bulk of the variability of the measurements is sampling variability, which was thought to be similar, even though the total PAC and the weighing methods are quite different.

8 Both in the section on experimental design and in item 1 above, the problem of frequent interruptions in the paving process was discussed. A suggested alternative was carried out. **This was to estimate the percent reduction for the periods with the highest 25 percent control-off values.** For the total PAC these are the highest 25 percent of the individual location total PAC control-off determinations away from the auger. For the real-time particulate or vapor, these are the highest 25 percent of the control-off medians. The results from these analyses can be interpreted as follows. Since the observed reduction is confounded with uncontrollable factors such as wind speed and direction, the highest control-off measurements may occur where such factors are not effective in reducing the contaminant. Thus, **the reduction here is of interest, since it may indicate what can be expected when environmental control is not present.** There is no way to compensate for problems with collection of the data, but alternative estimates may show the best that the controls can do under this sampling arrangement.

9 For many of the comparisons that follow, the aim was **to establish confidence limits that hold simultaneously for all comparisons at the 80 percent confidence level at the auger and at the non-auger locations and also for the IH samples. Thus, for all comparisons simultaneously we can say that the error rate is 20 percent.** The probability that any confidence interval statements are in error is no more than 20 percent. **Altogether if eight comparisons were allowed for, then each would be allowed a 2.5 percent error rate. Since the error rates add, the overall error rate will then be no more than 20 percent.** The choice of an overall 20 percent error rate is somewhat arbitrary. Twenty percent might be thought to be acceptable, since many factors in this study are not controlled. The reason to control for the overall error rate is that, although the measurements may each be of a considerably different nature, they are all correlated, since they are all taken at the same time. Together they present different aspects of the workplace exposure to the particulate and fumes produced by the paving process. **Alternatively, we could consider each comparison of control-on versus control-off as a separate test. In a less ambitious evaluation, only one kind of measurement might be taken or only one kind of measurement might be of interest. For this consideration, we have also calculated individual 80 percent confidence bands for each determination.** The above approach regarding confidence bands was used for tests of control effectiveness for particulate and vapor. **In addition, NIOSH conducted separate investigations whose efficiency confidence limits were calculated independently from the vapor and particulate**

**samples.** These included **tracer gas effectiveness**, for which 95 percent confidence limits were produced, and **evaluation of temperature differences** between control-on and control-off, for which 80 percent confidence bands were calculated

10 In a study such as this, there are different choices as to how to view the days included in the study. To generalize the results for the single paving machine evaluated here to any days and locations on which that paver might be used, we would want to regard the days of sampling used in the study as a random sample. This generalization is a more ambitious goal than we think is warranted by the data collected for this study. Only a small sample of possible paving sites is used and variation in ambient conditions (weather or habitat) is limited. Also only a single paving machine was evaluated. For all of these reasons, it makes sense to **treat the days studied as having fixed means rather than as a random sample of all possible days**

## **SF6 DETERMINATIONS**

**The average efficiency was 54.4.** The estimated variance is 235.62. With seven measurements, this yields a standard deviation of the mean of 5.80. Thus, **the 95 percent confidence limits on the true efficiency are given by  $54.4 \pm 2.45 (5.80) = (40.2, 68.6)$** , where 2.45 is the Student's t 97.5 percentile for 6 degrees of freedom. Thus, the true efficiency can be said to be between about 40 and 69 percent with 95 percent confidence. The average flow rate is approximately 1204 with 95 percent confidence limits of (1153,1256), obtained by means of the t-distribution with 4 degrees of freedom

## **EFFECTIVENESS OF CONTROL AT AUGER**

The results for the analyses of vapor and particulate at the auger are shown in Figure 4. Results are presented as **percent reduction of the control-on relative to the control-off.** The percent reduction is given separately by day and by average over all days, for the two kinds of samples, plus 80 percent confidence limits. **For all days, the percent reduction based on particulate data was about 34 percent. The lower (simultaneous) confidence limit and lower individual confidence limit indicated no reduction.** For the vapor, there was no estimated reduction due to the control.

Results for the upper 25 percent control-off pairs (Figure 5) are more favorable – estimated reduction of 62 and 21 percent for the particulate and vapor, respectively. The only lower confidence limit greater than 0 was the individual lower confidence limit for the particulate, which indicated about 6 percent reduction due to the control. Results for the upper 50 percent for particulate and vapor are 59 and 13 percent, respectively. The control appeared to work somewhat differently for vapor than for particulate as was true at other study sites too

## EFFECTIVENESS OF CONTROL AT OPERATOR AND SCREED POSITIONS

The results for the vapor and particulate measurements at the screed and operator locations are plotted, by day, in Figure 6. **There was no estimated reduction for either the vapor or the particulate.**

**The analysis of the upper 25 percent control-off vapor pairs again yields no reduction. The corresponding estimate for the particulate pairs (Figure 7) yields a reduction of about 32 percent, with a lower confidence limits indicating no reduction for either simultaneous comparisons or individual comparisons.** For these results, medians are used from both the operator and the screed locations. In Figure 8, the reductions for each pair of particulate non-auger (control-on, control-off) are plotted versus the natural log of the control-off measurement in that pair. When the upper 50 percent of control-off samples are chosen for membership in the higher group, the estimated reduction increases to 54 percent. (Five values are added in going from the upper quartile to the median. These include three values indicating high reduction at  $\ln(\text{control-off})$  about 7, as shown in Figure 8.)

## IH SAMPLES

Figure 10 is a plot of the percent reduction due to the control, based on the industrial hygiene sample data. **From the total PAC auger samples, the estimated reduction due to the control is about 35 percent. The lower 80 percent (simultaneous) confidence limit and the lower (individual) confidence limit are about 0 percent. For the total PAC non-auger data, both area samples and personal samples combined, the estimated reduction is 10 percent, with 80 percent lower (simultaneous) confidence limit and lower (individual) confidence limit indicating no reduction.**

The analysis of the upper 25 percent total PAC auger data (Figure 11) yields an estimate of 56 percent. The lower (simultaneous) 80 percent confidence limit indicates no reduction, and the lower (individual) 80 percent confidence limit indicates at least 31 percent reduction. For the non-auger data, the estimated reduction is about 61 percent, with lower (simultaneous) confidence limit of about 22 percent, and individual confidence limit of about 48 percent. The data for the non-auger estimates are shown in Figure 12. The estimated reduction, when the upper 50 percent control-off pairs are chosen for the higher group, is about 29 percent for the auger and about 53 percent for the non-auger data.

The results for the filter samples, based on total particulate and benzene soluble fraction, agree well with the total PAC. Over all samples, the estimated reduction for benzene solubles is about 25 percent, with simultaneous and individual confidence limits both indicating no reduction. The reduction based on total particulate is about 33 percent, with individual and simultaneous confidence limits indicating no reduction. The results based on the upper 25 percent control-off pairs are similar to the total PAC at the auger. For benzene soluble samples, the estimated

reduction is about 66 percent due to the control, with lower simultaneous confidence limit indicating no reduction, but individual lower confidence limit indicating 47 percent reduction. For the total particulate the upper 25 percent control-off pairs indicate about 56 percent reduction, and the simultaneous lower confidence limit indicates no reduction, but the individual lower confidence limit indicates 37 percent reduction. The estimates based on the upper 50 percent control-off pairs are 25 and 29 percent, respectively.

These filter samples are all auger samples.

From Figure 11, notice that except for the total PAC samples away from the auger, all the upper 25 percent samples come from the second day of sampling. There were no industrial hygiene control effectiveness estimates based on the first day of sampling, since only control-off industrial hygiene samples were collected then. For the total PAC away from the auger, five pairs were selected from the second day's samples and one pair from day 3.

The geometric means for the industrial hygiene samples are given in Figure 13.

## **WIND AND TEMPERATURE MEASUREMENTS**

The HTA instruments were located at the screedman and operator locations, located near the DataRams at either the screed positions or the operator positions. On average, the temperature is about 1.9 degrees F lower for control-on than for control-off. This estimate is based on 5-minute segments chosen analogously to the 45 minute segments. The two-sided individual 80 percent confidence limits indicate that the temperature for control-off is between 0.04 degrees F lower and 3.9 degrees F higher than the control-on.

Median wind speeds were calculated for each control setting used in the randomization. These determinations were also made by the two HTA instruments. There was limited wind data for the first day of sampling and little variation in median wind speed for the third day of sampling. Comparison of the wind data for the auger DataRam data from the second day of sampling indicated little correlation between the wind speed and the measurement levels.



## CONCLUSIONS

### Overall average

	Part - auger Real-time	Vapor- auger Real-time	Total PAC-auger Indus Hygiene	Benz Sol - Auger	Total Part - Auger	Part -non auger Real-time	Vapor-non auger Real-time	Total PAC non auger Indus Hyg
EST	34	0	35	25	33	0	0	10
Indiv 80% LCL	0	0	0	0	0	0	0	0
Simul 80% LCL	0	0	0	0	0	0	0	0

### For upper 25 percent control-off pairs

	Part - auger Real-time	Vapor- auger Real-time	Total PAC- auger Indus Hygiene	Benz Sol - Auger	Total Part - Auger	Part -non auger Real-time	Vapor-non auger Real-time	Total PAC non auger Indus Hyg
EST	62	21	56	66	56	32	0	61
Indiv 80% LCL	6	0	31	47	37	0	0	48
Simul 80% LCL	0	0	0	0	0	0	0	22

The results are summarized in the above table. An obvious question is **which kind of confidence interval to rely on.** If the basic aim is to quote results for just one kind of sample, say real-time particulate at the auger, then it is appropriate to quote the point estimate and the **individual lower confidence limit** for that sample type. If the aim is to obtain an overall picture of all matrices (particulate and vapor) or all types of samples (real-time and industrial hygiene) then the **simultaneous confidence intervals** are the correct ones to use.

## ATTACHMENT: DETERMINING LENGTH OF PERIOD

The data in this study were collected in periods of several hours at each control setting. This was true for both real-time and industrial hygiene samples. Whereas for the industrial hygiene samples, we must use the measurement of each sample; for the real-time samples we can choose which samples (data points) we might use. Why choose? The reason is that we believe that samples closer together in time and sampling location are more likely to be subject to the same environmental factors. Thus, by choosing samples from the paired control settings that are close together, we hope to obtain more precise comparisons of control effectiveness. Another reason to choose subsets of the longer periods is that we expect that control effectiveness will show up over a short period. For the data studied here, the approach used was to study the effectiveness of the control as estimated from samples of different time length selections. We considered periods of 1.875, 3.75, 7.5, 15, and 30 minutes after a control setting change and before a control setting change. Also, to allow for the possibility that the concentration level might change at the beginning of the trial, we considered different deletion possibilities- no deletion, deletion of the first 15 seconds, or deletion of the first 30 seconds in the trial data. For all estimates shown, the last 30 seconds of trial data were deleted, to allow for possible uncertainty in the coded stopping times. The estimates of control effectiveness are given for the auger measurements, both particulate and vapor. These are given as average  $[\ln(\text{control-off}) - \ln(\text{control-on})]$ , plus the standard error (the larger the difference the more effective the control is):

Duration(min)	Particulate-Auger Deletion time(sec)			Vapor-Auger Deletion time(sec)		
	0	15	30	0	15	30
1.875	0.475(0.491)	0.341(0.533)	0.220(0.504)	0.350(0.297)		0.319(0.301)
3.75	0.423(0.491)	0.327(0.538)	0.378(0.561)	-0.325(0.295)		-0.316(0.294)
7.5	0.335(0.501)	0.292(0.505)	0.404(0.565)	-0.385(0.279)		-0.325(0.289)
15	0.324(0.501)	0.342(0.518)	0.418(0.565)	-0.380(0.267)	-0.341(0.270)	-0.341(0.273)
30	0.307(0.521)	0.351(0.521)	0.424(0.573)	-0.270(0.231)	-0.256(0.234)	-0.253(0.236)
45	0.381(0.457)	0.342(0.52)	0.465(0.524)	-0.231(0.229)	-0.219(0.230)	-0.221(0.240)
60	0.262(0.446)	0.292(0.477)	0.392(0.522)	-0.253(0.238)	-0.265(0.244)	-0.275(0.254)
120	-0.0589(0.456)	0.0918(0.519)	0.0838(0.589)	-0.234(0.247)	-0.225(0.247)	-0.225(0.252)

For the particulate at the auger, we see that for the shortest duration shown, 1.875 minutes, no deletion results in larger differences (control-off, control-on). The 0.475 difference for no deletion corresponds to about 38 percent reduction, whereas the 0.22 difference for 30 second deletion corresponds to about 20 percent reduction.

The relatively high reduction for 0 deletion at 1.875 minutes deletion is then very sensitive to deletion time, and also sensitive to duration, since by 7.5 minutes the difference of 0.335 corresponds to 28 percent reduction, much lower than the 38 percent associated with the

1 875 minute duration For the 30 second deletion time the estimated reduction is relatively stable for long duration

From about 3 75 minutes to 60 minutes the estimated reduction ranges from about 30 percent (at 3 75 minutes) to about 35 percent (at 45 minutes) For all deletion times the estimates are relatively stable for the time interval, 7 5 minutes to 45 minutes The 30 second deletion results indicate larger reductions in this duration range than the 0 and 15 second reductions For the estimates presented in the body of the paper, we use those based on 30 seconds deletion and 45 minutes duration, since the estimated reduction is relatively stable then The results for 120 minute duration, in comparison with 60 minute duration, may seem surprising There are just two long-time periods in these data, and during the long-time control-off period on day 1, there is a considerable decline in the particulate determinations, which causes the much lower reductions at 120 minutes, whatever the deletion time

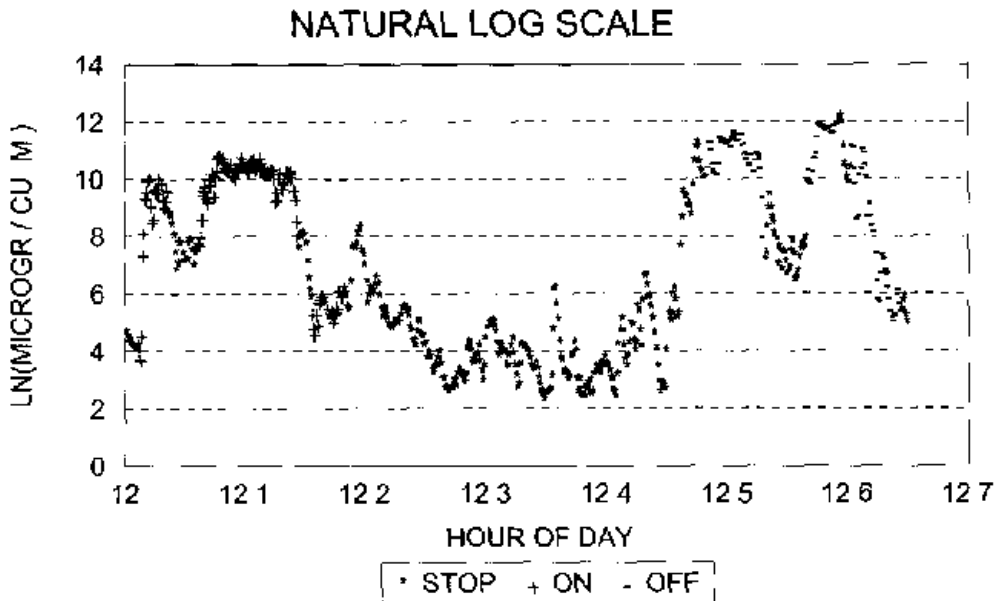
The corresponding results for the vapor do not seem to be informative, since all estimated reductions are negative reductions! A possible way to understand these negative results is that when the fraction of the 45 minute period spent paving exceeds 0 2, the per cent reduction is highest However, the dependence appears much stronger for the vapor, since for fraction less than 0 2, there appears to be almost no reduction It may be that the amount of time spent paving was too short to obtain a good estimate of the reduction due to the vapor

**FIG 1 SAMPLING DESIGN USED IN STUDY**  
 SHORT-TIME PERIOD AND LONG-TIME PERIOD RANDOMIZATION

	DAY 1	DAY 2	DAY 3
EARLY	S	S	S
MID-DAY	L	L	L
LATE		S	L

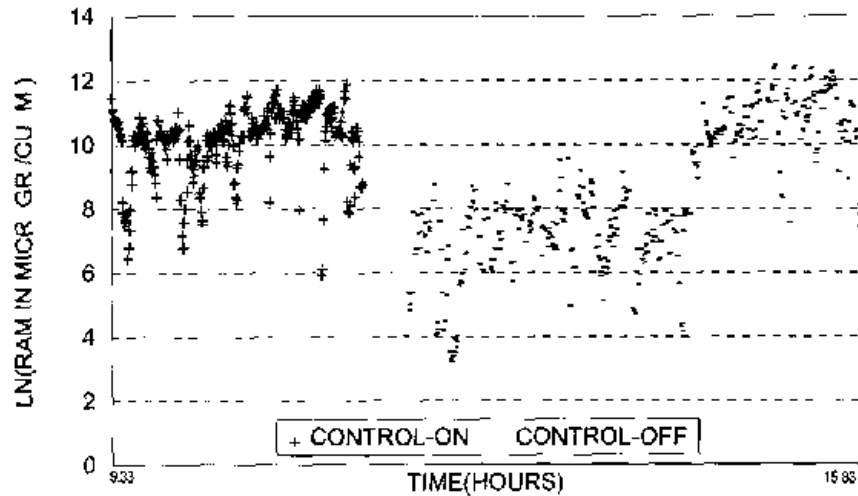
S= SEVERAL (CONTROL-ON CONTROL-OFF) PAIRS  
 SEQUENCE OF ON & OFF SETTINGS RANDOMIZED IN EACH PAIR  
 L SETTING ON DAY 1 HAD ONLY CONTROL-OFF DETERMINATIONS

**FIG 2 DATARAM MEASUREMENTS - AUGER, DAY 1, PER 2**



Median value for control-on: 10.09 on ln scale (24000 on original scale)  
 and 10.67 for control-off ( 43000 on original scale)

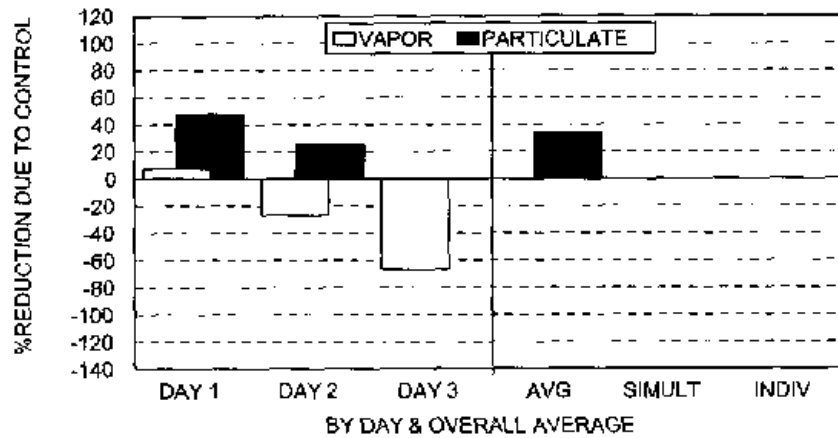
FIG 3 DATARAM AT AUGER, LONG-TIME PERIOD ON DAY 2



CONTROL OFF SERIES INCREASES

FIG 4 AUGER. %REDUCTION BY DAY & OVERALL AVERAGE

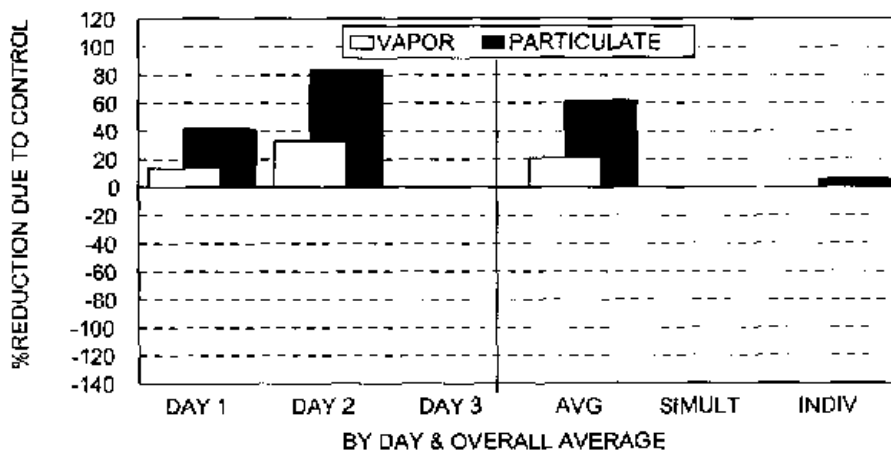
LOWER 80% CONFIDENCE LIMITS SIMULTANEOUS & INDIVIDUAL VAPOR & PARTICULATE



NO PARTICULATE SAMPLES ON DAYS 3. CONFIDENCE LIMITS INDICATE NO REDUCTION FOR BOTH VAPOR AND PARTICULATE

**FIG 5 AUGER %REDUCTION BY DAY & OVERALL AVERAGE RESULTS FOR UPPER 25% CONTROL-OFF PAIRS**

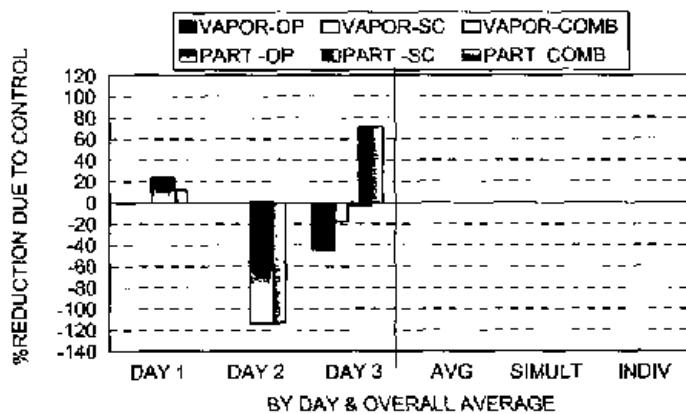
LOWER 80% CONFIDENCE LIMITS SIMULTANEOUS & INDIVIDUAL VAPOR & PARTICULATE



NO PARTICULATE SAMPLES FOR DAYS 3 NO VAPOR SAMPLES IN UPPER 25% ON DAY 3

**FIG 6 NON-AUGER %REDUCTION BY DAY & OVERALL AVERAGE**

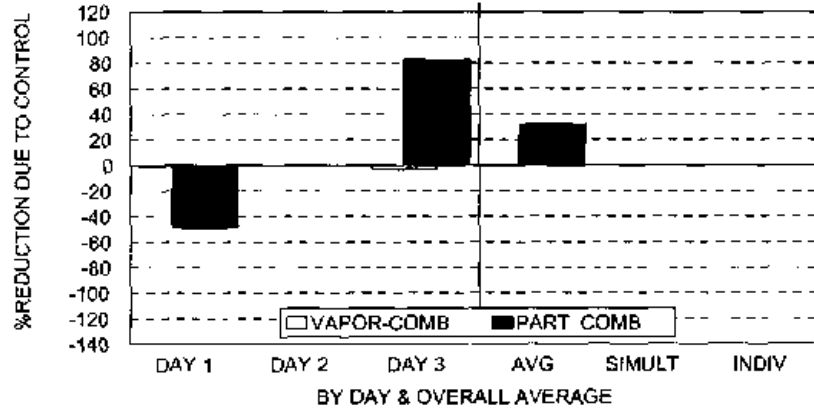
LOWER 80% CONFIDENCE LIMITS SIMULTANEOUS & INDIVIDUAL VAPOR & PARTICULATE



NO VAPOR SAMPLES FOR DAY 2 PARTICULATE SAMPLES FOR SCREED LOCATION ON DAY 2 YIELD REDUCTIONS < 100%

**FIG 7 NON-AUGER. %REDUCTION BY DAY & OVERALL AVERAGE UPPER 25% CONTROL-OFF SAMPLES**

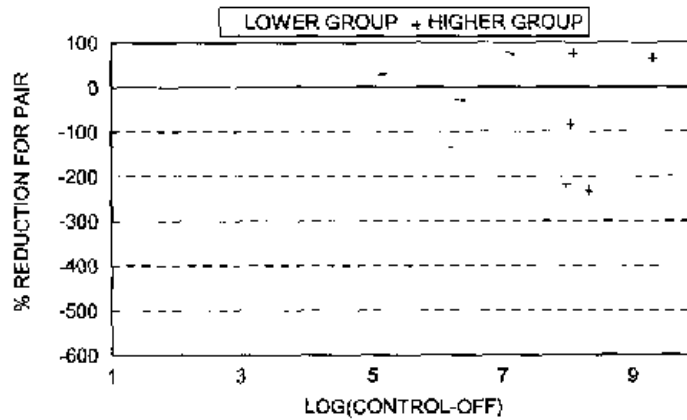
LOWER 80% CONFIDENCE LIMITS SIMULTANEOUS & INDIVIDUAL VAPOR & PARTICULATE



NO VAPOR SAMPLES FOR DAY 2. NO UPPER 25% SAMPLES ON DAY 2. FOUR PARTICULATE SAMPLES ON DAY 1 AND TWO VAPOR SAMPLES. ONE PARTICULATE AND ONE VAPOR SAMPLE ON DAY 3.

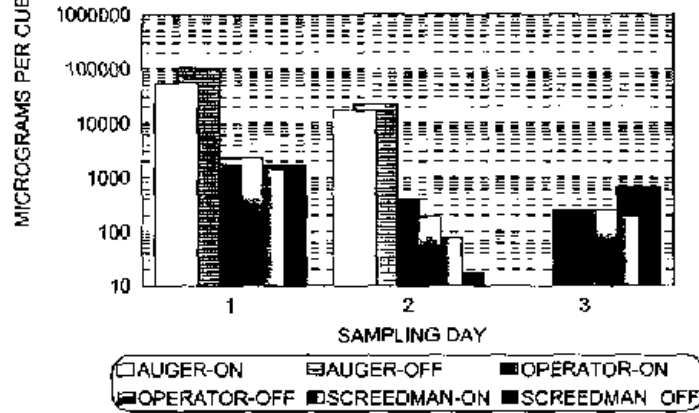
**FIGURE 8 % REDUCTION FOR LOWEST 75% CONTROL-OFF VERSUS HIGHEST 25% CONTROL-OFF PAIRS**

FOR PARTICULATE AWAY FROM AUGER



ONE LOW VALUE IN LOWER GROUP NOT PLOTTED

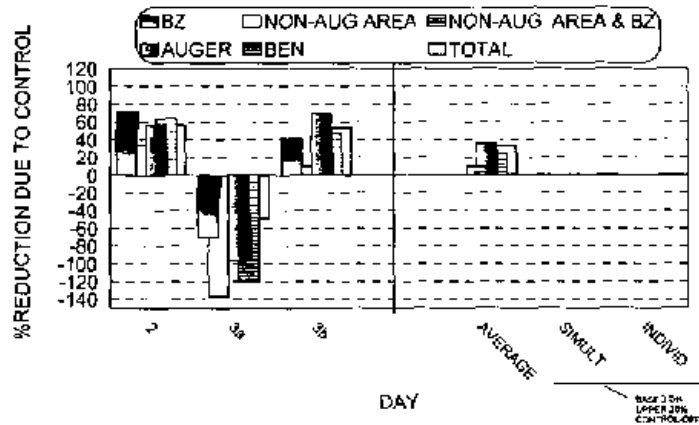
**FIG 9 REAL-TIME PARTICULATE GEOMETRIC MEANS**



NO AUGER DATA ON DAY 3

**FIG 10 INDUSTRIAL HYGIENE SAMPLES %REDUCTION BY DAY**

80% CONFIDENCE LIMITS SIMULTANEOUSLY & INDIVIDUALLY

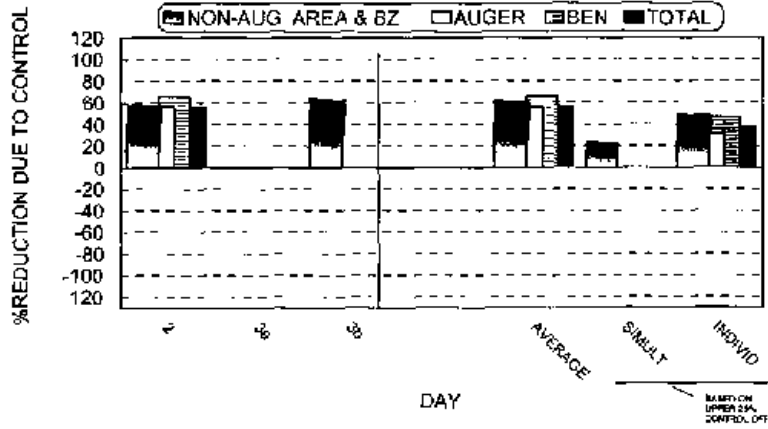


\*REDUCTION - BASED ON GEOMETRIC MEANS OF JUMPED STONE AND ASBESTOS DETERMINATIONS. AVERAGE FOR NON AUGER SAMPLES (ONE NEG AREA & BREATHING ZONE SAMPLES). AVERAGES COMPUTED AS AVERAGE OF (UH CONTROL ON) (NO CONTROL OFF) AND EXPONENTIATED TO OBTAIN AVERAGE REDUCTION. NO CONTROL ON DETERMINATIONS FOR DAY 1.



**FIG 11 INDUSTRIAL HYGIENE SAMPLES %REDUCTION BY DAY-  
UPPER 25% CONTROL-OFF PAIRS**

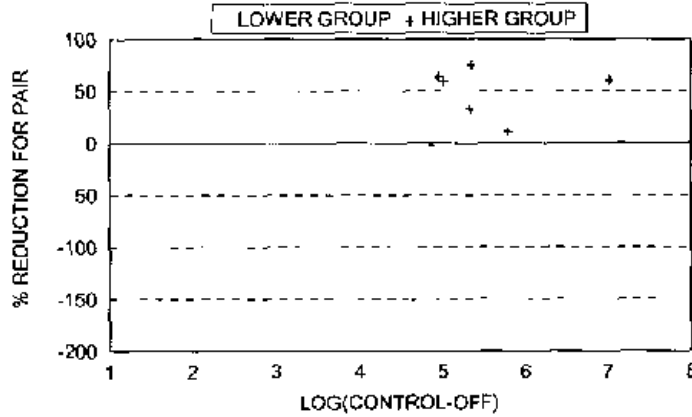
80% CONFIDENCE LIMITS SIMULTANEOUSLY & INDIVIDUALLY



%REDUCTIONS BASED ON GEOMETRIC MEANS OF SUMMED 370mm AND 400mm DETERMINATIONS AVERAGE FOR NON-AUGER SAMPLES COMBINES AREA & BREATHING ZONE SAMPLES. AVERAGES COMPLETED AS AVERAGE OF 1(h) CONTROL ON 1(h) CONTROL OFF, AND EXPONENTIATED TO OBTAIN AVERAGE REDUCTION. NO CONTROL OR DETERMINATIONS FOR DAY 1

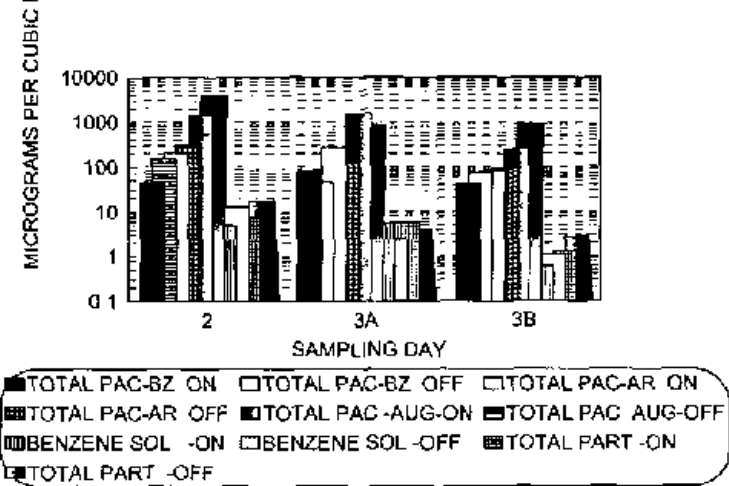
**FIGURE 12 % REDUCTION FOR LOWEST 75% CONTROL-OFF  
VERSUS HIGHEST 25% CONTROL-OFF PAIRS**

FOR TOTAL PAC AWAY FROM AUGER



TWO LARGE NEGATIVE REDUCTIONS REMOVED FROM PLOT FOR SCALING REASONS

**FIG 13 INDUSTRIAL HYGIENE GEOMETRIC MEANS**



NO AUGER DATA ON DAY 1 RESULTS FOR 3A INDICATE CONTROL OFF LOWER THAN CONTROL-ON