

SOCIAL SECURITY ADMINISTRATION

Federal Funds

99.9 Total obligations 5,480 6,103 7,029

General and special funds:

PAYMENTS TO SOCIAL SECURITY TRUST FUNDS

For payment to the Federal Old-Age and Survivors Insurance and the Federal Disability Insurance trust funds, as provided under sections 201(m), 228(g), and 1131(b)(2) of the Social Security Act, \$20,923,000.

In addition, to reimburse these trust funds for administrative expenses to carry out sections 9704 and 9706 of the Internal Revenue Code of 1986, an amount equal to \$10,000,000 less the amount, if any, of funds appropriated for such purpose under this heading for fiscal year 1996, to remain available until expended.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

Identification code 28-0404-0-1-651	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	5,480	6,103	7,029
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	5,480	6,103	7,029
23.95 New obligations	-5,480	-6,103	-7,029
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	25	23	31
42.00 Transferred from other accounts	1		
43.00 Appropriation (total)	26	23	31
Permanent:			
60.05 Appropriation (indefinite)	5,453	6,080	6,998
70.00 Total new budget authority (gross)	5,480	6,103	7,029
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	6	10	10
73.10 New obligations	5,480	6,103	7,029
73.20 Total outlays (gross)	-5,476	-6,103	-7,029
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	10	10	10
Outlays (gross), detail:			
86.90 Outlays from new current authority	23	23	31
86.97 Outlays from new permanent authority	5,453	6,080	6,998
87.00 Total outlays (gross)	5,476	6,103	7,029
Net budget authority and outlays:			
89.00 Budget authority	5,480	6,103	7,029
90.00 Outlays	5,476	6,103	7,029

This general fund appropriation reimburses the Social Security trust funds annually for (1) special payments to certain uninsured persons, (2) pension reform, and (3) interest on unnegotiated checks.

The Social Security Administration (SSA) became independent effective March 31, 1995, pursuant to P.L. 103-296. The schedules for this account include funding for all of 1995, 1996 and 1997.

Object Classification (in millions of dollars)

Identification code 28-0404-0-1-651	1995 actual	1996 est.	1997 est.
25.2 Other services	1	1	11
42.0 Insurance claims and indemnities	5,479	6,102	7,018

SPECIAL BENEFITS FOR DISABLED COAL MINERS

For carrying out title IV of the Federal Mine Safety and Health Act of 1977, \$460,070,000, to remain available until expended.

For making, after July 31 of the current fiscal year, benefit payments to individuals under title IV of the Federal Mine Safety and Health Act of 1977, for costs incurred in the current fiscal year, such amounts as may be necessary.

For making benefit payments under title IV of the Federal Mine Safety and Health Act of 1977 for the first quarter of fiscal year 1998, \$160,000,000, to remain available until expended.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

Identification code 28-0409-0-1-601	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	715	672	636
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	8	11	5
22.00 New budget authority (gross)	718	666	631
23.90 Total budgetary resources available for obligation	726	675	636
23.95 New obligations	-715	-672	-636
24.40 Unobligated balance available, end of year:			
Uninvested balance	11	5	
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	528	485	461
Permanent:			
65.00 Advance appropriation (definite)	190	180	170
68.00 Spending authority from offsetting collections: Offsetting collections (cash)		1	
70.00 Total new budget authority (gross)	718	666	631
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	59	57	53
73.10 New obligations	715	672	636
73.20 Total outlays (gross)	-716	-675	-640
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	57	53	49
Outlays (gross), detail:			
86.90 Outlays from new current authority	476	450	417
86.93 Outlays from current balances	50	45	53
86.97 Outlays from new permanent authority	190	181	170
87.00 Total outlays (gross)	716	675	640
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources		-1	
Net budget authority and outlays:			
89.00 Budget authority	718	665	631
90.00 Outlays	716	674	640

Title IV of the Federal Mine Safety and Health Act authorizes monthly benefits to coal miners disabled from coal workers' pneumoconiosis (black lung) and to their widows and certain other dependents. SSA is responsible for processing and paying only those claims for coal miners' benefits that

General and special funds—Continued

SPECIAL BENEFITS FOR DISABLED COAL MINERS—Continued

were filed between December 30, 1969, when the program originated, and June 30, 1973, when program administration was transferred to the Department of Labor (DOL).

The Social Security Administration (SSA) became independent effective March 31, 1995, pursuant to P.L. 103-296. The schedules for this account include funding for all of 1995, 1996 and 1997.

Object Classification (in millions of dollars)

Identification code 28-0409-0-1-601	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	2	3	3
12.1 Civilian personnel benefits		1	1
23.1 Rental payments to GSA			1
42.0 Insurance claims and indemnities	711	666	631
99.5 Below reporting threshold	2	2	
99.9 Total obligations	715	672	636

Personnel Summary

Identification code 28-0409-0-1-601	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	49	89	67
1005 Full-time equivalent of overtime and holiday hours	5	1	1

SUPPLEMENTAL SECURITY INCOME PROGRAM

For carrying out titles XI and XVI of the Social Security Act, section 401 of Public Law 92-603, section 212 of Public Law 93-66, as amended, and section 405 of Public Law 95-216, including payment to the Social Security trust funds for administrative expenses incurred pursuant to section 201(g)(1) of the Social Security Act, \$19,609,000,000, to remain available until expended: Provided, That any portion of the funds provided to a State in the current fiscal year and not obligated by the State during that year shall be returned to the Treasury.

For making, after June 15 of the current fiscal year, benefit payments to individuals under title XVI of the Social Security Act, for unanticipated costs incurred for the current fiscal year, such sums as may be necessary.

For making benefit payments under title XVI of the Social Security Act for the first quarter of fiscal year 1998, \$9,690,000,000, to remain available until expended.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

Identification code 28-0406-0-1-609	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	29,990	29,588	33,567
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	1,277	2,405	1,571
22.00 New budget authority (gross)	31,057	28,754	31,994
22.10 Resources available from recoveries of prior year obligations	62		
23.90 Total budgetary resources available for obligation	32,396	31,159	33,565
23.95 New obligations	-29,990	-29,588	-33,567
24.40 Unobligated balance available, end of year:			
Uninvested balance	2,405	1,571	
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	21,227	18,754	19,609
Permanent:			
65.00 Advance appropriation (definite)	6,770	7,060	9,260
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	3,060	2,940	3,125

70.00 Total new budget authority (gross)	31,057	28,754	31,994
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation		380	407
73.10 New obligations	29,990	29,588	33,567
73.20 Total outlays (gross)	-29,548	-29,561	-33,591
73.45 Adjustments in unexpired accounts	-62		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	380	407	383
Outlays (gross), detail:			
86.90 Outlays from new current authority	18,441	18,670	19,544
86.93 Outlays from current balances	1,277	891	1,662
86.97 Outlays from new permanent authority	9,830	10,000	12,385
87.00 Total outlays (gross)	29,548	29,561	33,591
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-3,060	-2,940	-3,125
Net budget authority and outlays:			
89.00 Budget authority	27,997	25,814	28,868
90.00 Outlays	26,488	26,621	30,466

Title XVI of the Social Security Act established a supplemental security income (SSI) program to provide monthly cash benefits as a federally guaranteed minimum income for the needy aged, blind, and disabled.

The Social Security Administration (SSA) became independent effective March 31, 1995, pursuant to P.L. 103-296. The schedules for this account include funding for all of 1995, 1996 and 1997. These schedules include amounts in 1995 that were transferred from the Office of the Secretary, HHS, for administrative expenses.

Object Classification (in millions of dollars)

Identification code 28-0406-0-1-609	1995 actual	1996 est.	1997 est.
Direct obligations:			
41.0 Grants, subsidies, and contributions	24,540	24,566	28,318
92.0 Undistributed	2,390	2,082	2,124
99.0 Subtotal, direct obligations	26,930	26,648	30,442
99.0 Reimbursable obligations	3,060	2,940	3,125
99.9 Total obligations	29,990	29,588	33,567

OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of the Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, \$6,335,000, together with not to exceed \$21,089,000 from the Federal Old-Age and Survivors Insurance trust fund and the Federal Disability Insurance trust fund, to be transferred and expended as provided in section 201(g)(1) of the Social Security Act.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

Identification code 28-0400-0-1-651	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	10	21	27
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	10	21	27
23.95 New obligations	-10	-21	-27
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	2	5	6

Permanent:				
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	8	16	21
70.00	Total new budget authority (gross)	10	21	27
Change in unpaid obligations:				
72.40	Unpaid obligations, start of year: Obligated balance: Appropriation		3	3
73.10	New obligations	10	21	27
73.20	Total outlays (gross)	-8	-21	-27
74.40	Unpaid obligations, end of year: Obligated balance: Appropriation	3	3	3
Outlays (gross), detail:				
86.90	Outlays from new current authority		5	6
86.97	Outlays from new permanent authority	8	16	21
87.00	Total outlays (gross)	8	21	27
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-8	-16	-21
Net budget authority and outlays:				
89.00	Budget authority	2	5	6
90.00	Outlays		5	6

Summary of Budget Authority and Outlays

(in millions of dollars)

	1995 actual	1996 est.	1997 est.
Enacted/requested:			
Budget Authority	2	5	6
Outlays		5	6
Adjustment to 1996 continuing resolution levels:			
Budget Authority		5	
Outlays		5	
Total:			
Budget Authority	2	10	6
Outlays		10	6

The Office of Inspector General provides agency-wide audit and investigative functions to help find and correct operational and administrative deficiencies which create conditions for existing or potential instances of fraud, waste, and mismanagement.

This account was established when the Social Security Administration (SSA) became independent effective March 31, 1995, pursuant to P.L. 103-296. The schedules for this account include funding for the second half of 1995 and all of 1996 and 1997. The 1995 amounts were transferred from the Office of the Secretary, HHS, for administrative expenses.

Object Classification (in millions of dollars)

Identification code 28-0400-0-1-651	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	7	14	19
12.1 Civilian personnel benefits	1	3	4
21.0 Travel and transportation of persons		1	1
23.1 Rental payments to GSA		1	1
25.2 Other services		1	
31.0 Equipment	1		
99.5 Below reporting threshold	1	1	2
99.9 Total obligations	10	21	27

Personnel Summary

Identification code 28-0400-0-1-651	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	109	245	315
1005 Full-time equivalent of overtime and holiday hours	1	2	2

Trust Funds

FEDERAL OLD-AGE AND SURVIVORS INSURANCE TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 20-8006-0-7-651	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year	389,413	422,199	471,806
Receipts:			
02.01 Transfers from general fund (FICA taxes)	267,275	296,137	314,708
02.02 Transfers from general fund (SECA taxes)	16,815	17,008	19,501
02.03 Federal employer contributions (FICA taxes)	5,209	5,074	5,470
02.04 Refunds		-1,432	-874
02.05 Interest received by trust funds	31,417	33,985	36,185
02.07 Deposits by States	1		
02.09 Federal payments to the FOASI trust fund	5,137	5,734	6,615
02.10 Payments for military service credits	225	263	267
02.11 Tax refund offset	6	8	8
02.99 Total receipts	326,085	356,777	381,880
04.00 Total: Balances and collections	715,498	778,976	853,686
Appropriation:			
05.01 Current law	-293,299	-307,016	-320,670
05.02 Adjustment to 1996 Continuing Resolution level		-154	
05.99 Subtotal appropriation	-293,299	-307,170	-320,670
07.99 Total balance, end of year	422,199	471,806	533,016

Program and Financing (in millions of dollars)

Identification code 20-8006-0-7-651	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	295,536	309,151	322,813
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	295,536	309,151	322,813
23.95 New obligations	-295,536	-309,151	-322,813
New budget authority (gross), detail:			
60.27 Appropriation (trust fund, indefinite)	326,085	356,658	381,880
60.45 Portion precluded from obligation	-32,786	-49,642	-61,210
63.00 Appropriation (total)	293,299	307,016	320,670
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	2,237	2,135	2,143
70.00 Total new budget authority (gross)	295,536	309,151	322,813

Change in unpaid obligations:

Unpaid obligations, start of year:			
Obligated balance:			
72.40 Appropriation	2,911		1
72.41 U.S. Securities: Par value	24,011	25,747	26,622
72.99 Total unpaid obligations, start of year	26,922	25,747	26,623
73.10 New obligations	295,536	309,151	322,813
73.20 Total outlays (gross)	-296,711	-308,275	-321,646
Unpaid obligations, end of year:			
Obligated balance:			
74.40 Appropriation		1	1
74.41 U.S. Securities: Par value	25,747	26,622	27,789
74.99 Total unpaid obligations, end of year	25,747	26,623	27,790

Outlays (gross), detail:

86.90 Outlays from new current authority	1,805	1,728	1,933
86.93 Outlays from current balances		226	263
86.97 Outlays from new permanent authority	267,984	280,574	292,827
86.98 Outlays from permanent balances	26,922	25,747	26,623
87.00 Total outlays (gross)	296,711	308,275	321,646

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-2,234	-2,132	-2,140
88.40 Non-Federal sources	-3	-3	-3
88.90 Total, offsetting collections (cash)	-2,237	-2,135	-2,143

Net budget authority and outlays:

89.00 Budget authority	293,299	307,016	320,670
90.00 Outlays	294,474	306,140	319,503

General and special funds—Continued

FEDERAL OLD-AGE AND SURVIVORS INSURANCE TRUST FUND—Continued

Summary of Budget Authority and Outlays

(in millions of dollars)

	1995 actual	1996 est.	1997 est.
Enacted/requested:			
Budget Authority	293,299	307,016	320,670
Outlays	294,474	306,140	319,503
Adjustment to 1996 continuing resolution levels:			
Budget Authority		154	
Outlays		70	84
Total:			
Budget Authority	293,299	307,170	320,670
Outlays	294,474	306,210	319,587

The old-age and survivors insurance (OASI) program provides monthly cash benefits to retired workers and their dependents and to survivors of deceased workers.

The Social Security Administration (SSA) became independent effective March 31, 1995, pursuant to P.L. 103-296. The schedules for this account include funding for all of 1995, 1996 and 1997. These schedules include amounts in 1995 that were transferred from the Office of the Secretary, HHS, for administrative expenses.

Status of Funds (in millions of dollars)

Identification code 20-8006-0-7-651	1995 actual	1996 est.	1997 est.
Unexpended balance, start of year:			
0100 Treasury balance	2,335		1
0101 U.S. Securities: Par value	414,000	447,946	498,512
0199 Total balance, start of year	416,335	447,946	498,513
Cash income during the year:			
Governmental receipts:			
0200 Transfers from general fund (FICA taxes)	267,275	296,137	314,708
0203 Transfers from general fund (SECA taxes)	16,815	17,008	19,501
0204 Refunds		-1,432	-874
0205 FOASI, Deposits by States	1		
Proprietary receipts:			
0221 Tax refund offset	6	8	8
Intragovernmental transactions:			
0240 Federal employer contributions (FICA taxes)	5,209	5,074	5,470
0241 Interest received by trust fund	31,417	33,985	36,185
0242 FICA and SECA tax credits	4	-37	2
0243 Individual income taxes on OASI benefits	5,115	5,751	6,595
0244 Federal payment for special benefits for the aged	7	5	3
0245 Pension reform	1	1	1
0246 Credit for unnegotiated OASI checks	10	14	14
0247 Federal payments for military service credits	225	263	267
Offsetting collections:			
0281 Offsetting collections	2,237	2,135	2,143
0299 Total cash income	328,322	358,912	384,023
Cash outgo during year:			
0501 Benefit payments	-288,624	-300,323	-313,575
0502 Payments to Railroad Retirement Board	-4,052	-3,735	-3,781
0503 Administrative expenses	-1,798	-2,024	-2,231
0504 Outlays from offsetting collections	-2,237	-2,135	-2,143
0505 Quinquennial military service credit adjustment		-129	
0599 Total cash outgo (-)	-296,711	-308,346	-321,730
Unexpended balance, end of year:			
0700 Uninvested balance		1	1
0701 U.S. Securities: Par value	447,946	498,512	560,805
0799 Total balance, end of year	447,946	498,513	560,806

Object Classification (in millions of dollars)

Identification code 20-8006-0-7-651	1995 actual	1996 est.	1997 est.
Direct obligations:			
Purchases of goods and services from Government accounts:			
25.3 General departmental management, Department of Health and Human Services	8		
25.3 Office of the Inspector General	6	12	13

42.0 Retirement and survivors insurance benefits	287,515	301,268	314,696
Undistributed:			
92.0 Reimbursement for administrative expenses of Department of the Treasury	225	249	253
92.0 Payment to railroad retirement account (net settlement) (45 U.S.C. 228g)	4,052	3,735	3,781
Administrative expenses: Portion of limitation on administrative expenses, Social Security Administration:			
Limitation on expenses:			
93.0 OASI program	1,493	1,752	1,927
93.0 SSI program	2,215	2,116	2,124
99.0 Subtotal, direct obligations	295,514	309,132	322,794
99.0 Reimbursable obligations	22	19	19
99.9 Total obligations	295,536	309,151	322,813

FEDERAL DISABILITY INSURANCE TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 20-8007-0-7-651	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year		30,222	44,904
Receipts:			
02.01 Transfers from general fund (FICA taxes)	63,408	52,839	51,529
02.02 Transfers from general fund (SECA taxes)	3,580	3,149	3,290
02.03 Federal employer contributions (FICA taxes)	931	907	894
02.04 Refunds		-260	-139
02.05 Interest received by trust funds	1,888	2,455	3,176
02.08 Federal payments to the FDI trust fund	338	369	404
02.09 Payments for military service credits	67	47	33
02.10 Tax refund offset	3	4	4
02.99 Total receipts	70,215	59,510	59,191
04.00 Total: Balances and collections	70,215	89,732	104,095
Appropriation:			
05.01 Appropriation	-39,993	-44,736	-48,763
05.02 Adjustment to 1996 Continuing Resolution level		-92	
05.99 Subtotal appropriation	-39,993	-44,828	-48,763
07.99 Total balance, end of year	30,222	44,904	55,332

Program and Financing (in millions of dollars)

Identification code 20-8007-0-7-651	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	39,993	44,736	48,763
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	39,993	44,736	48,763
23.95 New obligations	-39,993	-44,736	-48,763
New budget authority (gross), detail:			
60.27 Appropriation (trust fund, indefinite)	70,215	59,510	59,191
60.45 Portion precluded from obligation	-30,222	-14,774	-10,428
63.00 Appropriation (total)	39,993	44,736	48,763
70.00 Total new budget authority (gross)	39,993	44,736	48,763
Change in unpaid obligations:			
Unpaid obligations, start of year:			
Obligated balance:			
72.40 Appropriation	272	2	2
72.41 U.S. Securities: Par value	6,099	4,982	4,670
72.99 Total unpaid obligations, start of year	6,371	4,984	4,672
73.10 New obligations	39,993	44,736	48,763
73.20 Total outlays (gross)	-41,380	-45,048	-48,437
Unpaid obligations, end of year:			
Obligated balance:			
74.40 Appropriation	2	2	2
74.41 U.S. Securities: Par value	4,982	4,670	4,996
74.99 Total unpaid obligations, end of year	4,983	4,671	4,998
Outlays (gross), detail:			
86.90 Outlays from new current authority	57	942	1,064
86.93 Outlays from current balances	1,007	130	137

86.97	Outlays from new permanent authority	34,953	42,202	45,873
86.98	Outlays from permanent balances	5,363	1,774	1,363
87.00	Total outlays (gross)	41,380	45,048	48,437
Net budget authority and outlays:				
89.00	Budget authority	39,993	44,736	48,763
90.00	Outlays	41,380	45,048	48,437

Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:	1995 actual	1996 est.	1997 est.
Budget Authority	39,993	44,736	48,763
Outlays	41,380	45,048	48,437
Adjustment to 1996 continuing resolution levels:			
Budget Authority		92	
Outlays		18	74
Total:			
Budget Authority	39,993	44,828	48,763
Outlays	41,380	45,066	48,511

The disability insurance (DI) program provides monthly cash benefits for disabled workers under age 65 and their dependents.

The Social Security Administration (SSA) became independent effective March 31, 1995, pursuant to P.L. 103-296. The schedules for this account include funding for all of 1995, 1996 and 1997. These schedules include amounts in 1995 that were transferred from the Office of the Secretary, HHS, for administrative expenses.

Status of Funds (in millions of dollars)

Identification code 20-8007-0-7-651	1995 actual	1996 est.	1997 est.
Unexpended balance, start of year:			
0100 Treasury balance	271		1
0101 U.S. Securities: Par value	6,099	35,205	49,648
0199 Total balance, start of year	6,370	35,205	49,649
Cash income during the year:			
Governmental receipts:			
0200 Transfers from general fund (FICA taxes)	63,408	52,839	51,529
0203 Transfers from general fund (SECA taxes)	3,580	3,149	3,290
0204 Refunds		-260	-139
Proprietary receipts:			
0222 Tax refund offset	3	4	4
Intragovernmental transactions:			
0240 Federal employer contributions (FICA taxes)	931	907	894
0241 Interest received by trust fund	1,888	2,455	3,176
Intragovernmental transactions:			
0242 FICA and SECA tax credits		-4	
0243 Individual income taxes on DI benefits	335	370	401
0244 Credit for unnegotiated DI checks	3	3	3
0245 Federal payments for military service credits	67	47	33
0299 Total cash income	70,215	59,510	59,191
Cash outgo during year:			
0501 Benefit payments	-40,201	-43,644	-47,095
0502 Payments to Railroad Retirement Board	-68	-35	-57
0503 Administrative expenses	-1,070	-1,096	-1,253
0504 Beneficiary services	-39	-81	-99
0505 Pre 1957 military service credits		-203	
0506 Demonstration projects	-2	-7	-7
0599 Total cash outgo (-)	-41,380	-45,066	-48,511
Unexpended balance, end of year:			
0700 Uninvested balance		1	1
0701 U.S. Securities: Par value	35,205	49,648	60,329
0799 Total balance, end of year	35,205	49,649	60,330

Object Classification (in millions of dollars)

Identification code 20-8007-0-7-651	1995 actual	1996 est.	1997 est.
Purchases of goods and services from Government accounts:			
25.3 General departmental management, Department of Health and Human Services	12		

25.3	Office of the Inspector General	5	6	8
25.3	Beneficiary services	78	99	110
25.3	Demonstration projects	2	7	7
42.0	Disability insurance benefits	38,862	43,472	47,384
Undistributed:				
92.0	Reimbursement for administrative expenses of Department of the Treasury	43	40	41
92.0	Payment to railroad retirement account (net settlement)	68	35	57
93.0	Administrative expenses: Portion of limitation on administrative expenses, Social Security Administration	923	1,077	1,156
99.0	Subtotal, direct obligations	39,993	44,736	48,763
99.9	Total obligations	39,993	44,736	48,763

LIMITATION ON ADMINISTRATIVE EXPENSES

For necessary expenses, including the hire of two medium size passenger motor vehicles, and not to exceed \$10,000 for official reception and representation expenses, not more than \$5,772,468,000 may be expended, as authorized by section 201(g)(1) of the Social Security Act or as necessary to carry out sections 9704 and 9706 of the Internal Revenue Code of 1986, from any one or all of the trust funds referred to therein: Provided, That reimbursement to the trust funds under this heading for administrative expenses to carry out sections 9704 and 9706 of the Internal Revenue Code of 1986 shall be made, with interest, not later than September 30, 1998.

In addition to funding already available under this heading, and subject to the same terms and conditions, \$300,000,000, which shall remain available until expended, to invest in a state-of-the-art computing network, including related equipment and administrative expenses associated solely with this network, for the Social Security Administration and the State Disability Determination Services, may be expended from any or all of the trust funds as authorized by section 201(g)(1) of the Social Security Act.

In addition to funding already available under this heading, and subject to the same terms and conditions, not to exceed \$250,000,000, which shall remain available until expended, for administrative expenses to implement reforms to the supplemental security income program may be expended from any or all of the trust funds as authorized by section 201(g)(1) of the Social Security Act: Provided, That these amounts are available only upon enactment of legislation that amends section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985 to authorize adjustments to the discretionary spending limits for fiscal year 1997 to the extent that appropriations are enacted that provide budget authority and result in outlays for that purpose: Provided further, That the amounts made available under this paragraph shall not exceed the amounts for such adjustments set forth in such legislation.

In addition to funding already available under this heading, and subject to the same terms and conditions, not to exceed \$260,000,000, for administrative expenses associated solely with the conduct of continuing disability reviews or disability eligibility redeterminations pursuant to section 221(i) of the Social Security Act (42 U.S.C. 421(i)), section 1633 of the Social Security Act (42 U.S.C. 1383b), section 208 of the Social Security Independence and Program Improvements Act of 1994 (Public Law 103-296), or any other provision of law, may be expended from any or all of the trust funds as authorized by section 201(g)(1) of the Social Security Act: Provided, That these amounts are available only upon enactment of legislation that amends section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985 to authorize adjustments to the discretionary spending limits for fiscal year 1997 to the extent that appropriations are enacted that provide budget authority, provide obligations limitation, and result in outlays for that purpose: Provided further, That the amounts made available under this section shall not exceed the amounts for such adjustments set forth in such legislation.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program	5,462	5,753	6,092

General and special funds—Continued

LIMITATION ON ADMINISTRATIVE EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued

	1995 actual	1996 est.	1997 est.
Reimbursable program	22	19	19
Total obligations	5,484	5,772	6,111
Adjustment to 1996 continuing resolution levels:			
Direct program obligations		242	79
Total obligations, including adjustment	5,484	6,014	6,190
Budgetary resources available for obligation:			
Unobligated balance available, start of year:			
Appropriation: U.S. securities: Par value	214	229	33
New budget authority (gross)	5,566	5,576	6,091
Unobligated balance expiring	-67		
Total budgetary resources available for obligation	5,713	5,805	6,124
New obligations	5,484	5,772	6,111
Unobligated balance available, end of year:			
Appropriation: U.S. securities: Par value	229	33	13
Adjustment to 1996 continuing resolution levels:			
Unobligated balance available, start of year:			
Appropriation: U.S. securities: Par value			79
New budget authority (gross)		321	
Total budgetary resources available for obligation		321	79
New obligations		242	79
Unobligated balance available, end of year:			
Appropriation: U.S. securities: Par value		79	
New budget authority (gross), detail:			
Limitation on administrative expenses (Appropriations)	5,577	5,557	6,072
Reduction pursuant to P.L. 103-333	-37		
Reduction pursuant to P.L. 104-19	-9		
Increase pursuant to P.L. 103-296	13		
Adjusted LAE	5,544	5,557	6,072
Distribution of adjusted LAE by funding sources:			
Old Age and Survivors Insurance Trust Fund	1,451	1,666	1,893
Disability Insurance Trust Fund	874	1,001	1,137
Supplemental Security Income Appropriation:			
Payment to OASI Trust Fund	2,390	2,082	2,124
Hospital Insurance Trust Fund	474	459	522
Supplementary Medical Insurance Trust Fund	356	349	397
Spending authority from reimbursable agreements	22	19	19
Subtotal, LAE	5,566	5,576	6,091
Adjustment to 1996 continuing resolution levels:			
Distribution of adjustment by funding sources:			
Old Age and Survivors Insurance Trust Fund		154	
Disability Insurance Trust Fund		92	
Supplemental Security Income Appropriation:			
Payment to OASI Trust Fund			
Hospital Insurance Trust Fund		42	
Supplementary Medical Insurance Trust Fund		32	
Subtotal, LAE, including adjustment to 1996 continuing resolution levels	5,566	5,897	6,091
Welfare reform allowance:			
SSI reforms implementation		50	250
Continuing disability reviews		60	260
Subtotal, welfare reform allowance		110	510
Total, including adjustment to 1996 continuing resolution levels and welfare reform allowance	5,566	6,007	6,601
Change in unpaid obligations:			
Unpaid obligations, start of year: Obligated balance:			
U.S. Securities: Par value	542	627	746
New obligations	5,484	5,772	6,111
Total outlays (gross)	5,398	5,653	6,151
Adjustments in expired accounts	1		
Unpaid obligations, end of year: Obligated balance:			
U.S. Securities: Par value	627	746	706
Adjustments to 1996 continuing resolution levels:			
Unpaid obligations, start of year: Obligated balance:			
U.S. Securities: Par value			80
New obligations		242	79
Unpaid obligations, end of year: Obligated balance:			
U.S. Securities: Par value		80	
Outlay (gross), detail:			
Outlays from new current authority	4,856	5,026	5,405
Outlays from current balances	542	627	746

Total outlays (gross)	5,398	5,653	6,151
Adjustments to 1996 continuing resolution levels:			
Outlays from new current authority		162	
Outlays from current balances			159
Total outlays (gross)		162	159
Outlays from adjusted limitation (gross)	5,398	5,815	6,310
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources	5,566	5,576	6,091
Non-Federal sources			
Total offsetting collections (cash)	5,566	5,576	6,091
Additional offsets for adjustment to 1996 continuing resolution levels:			
Offsetting collections (cash) from:			
Federal sources			321
Non-Federal sources			
Total offsetting collections (cash)			321
Net budget authority and outlays:			
Budget authority			
Outlays	-168	77	60
Adjustments to 1996 continuing resolution levels:			
Budget authority			
Outlays		-159	159
Totals, including adjustments to 1996 continuing resolution levels:			
Budget authority			
Outlays	-168	-82	219

The Limitation on Administrative Expenses Account provides resources for the Social Security Administration (SSA) to administer the Old Age, Survivors, and Disability Insurance programs, the Supplemental Security Income program, and certain health insurance functions for the aged, disabled, and economically disadvantaged.

The Social Security Administration (SSA) became independent effective March 31, 1995, pursuant to P.L. 103-296. The schedules for this account include funding for all of 1995, 1996 and 1997. These schedules include amounts in 1995 that were transferred from the Office of the Secretary, HHS, for administrative expenses.

Object Classification (in millions of dollars)

Identification code 20-8007-0-7-651	1995 actual	1996 est.	1997 est.
Limitation Acct—Direct Obligations:			
Personnel compensation:			
11.1 Full-time permanent	2,389	2,514	2,660
11.3 Other than full-time permanent	98	94	99
11.5 Other personnel compensation	124	60	64
11.8 Special personal services payments	1	1	1
11.9 Total personnel compensation	2,612	2,669	2,824
12.1 Civilian personnel benefits	521	565	590
13.0 Benefits for former personnel	3	3	3
21.0 Travel and transportation of persons	24	37	39
22.0 Transportation of things	4	5	5
23.1 Rental payments to GSA	295	315	328
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	244	265	272
24.0 Printing and reproduction	30	31	34
25.1 Advisory and assistance services		4	6
25.2 Other services	1,349	1,332	1,435
25.3 Purchases of goods and services from Government accounts	77	57	58
25.4 Operation and maintenance of facilities	76	82	83
25.5 Research and development contracts		6	5
26.0 Supplies and materials	31	34	40
31.0 Equipment	149	280	283
32.0 Land and structures	26	48	104
42.0 Insurance claims and indemnities	5	6	6
43.0 Interest and dividends	15	14	12
93.0 Limitation on expenses	-5,462	-5,753	-6,136
99.0 Subtotal, limitation acct—direct obligations		1	1
Limitation Acct—Reimbursable Obligations:			
Personnel compensation:			
11.1 Full-time permanent	10	13	13

11.5	Other personnel compensation	1	1	
11.9	Total personnel compensation	10	14	14
12.1	Civilian personnel benefits	2	3	3
23.1	Rental payments to GSA	2	1	1
23.3	Communications, utilities, and miscellaneous charges	2	1	1
25.2	Other services	1		
25.4	Operation and maintenance of facilities	3	1	1
31.0	Equipment	1		
32.0	Land and structures	1		
93.0	Limitation on expenses	-22	-19	-19
99.0	Subtotal, limitation acct—reimbursable obligations	1	1	1

75-309600	Recovery of beneficiary overpayments from SSI program	825	825	960
	General Fund Offsetting receipts from the public	927	983	1,123
Intragovernmental payments:				
20-310510	Quinquennial adjustment for military service credits, FOASI		129	
20-310520	Quinquennial adjustment for military service credits, Federal disability insurance		203	
	General Fund Intragovernmental payments		332	

The object classification and personnel summary do not include resources related to the adjustment to 1996 continuing resolution levels or to the welfare reform allowance. The welfare reform allowance appears elsewhere in the Budget.

Personnel Summary

Identification code 20-8007-0-7-651	1995 actual	1996 est.	1997 est.
Limitation account—direct:			
Total compensable workyears:			
6001 Full-time equivalent employment	64,135	63,463	64,095
6005 Full-time equivalent of overtime and holiday hours	2,402	805	774
Limitation account—reimbursable:			
Total compensable workyears:			
7001 Full-time equivalent employment	353	291	291
7005 Full-time equivalent of overtime and holiday hours	9	23	23

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	1995 actual	1996 est.	1997 est.
Offsetting receipts from the public:			
75-241800 Receipts from SSI administrative fee	102	158	163

As directed by Section 104 of P.L. 103-296, the Social Security Independence and Program Improvements Act of 1994, the Commissioner of Social Security shall prepare an annual budget for the Social Security Administration (SSA), which shall be submitted by the President to the Congress without revision, together with the President's annual budget for SSA.

The Commissioner's annual budget for fiscal year 1997 includes a total of \$6,267,944 thousand in discretionary resources. This total includes \$6,239,410 thousand for SSA administrative expenses, including \$300,000 thousand in no-year funds for the Automation Investment Fund. SSA also requests \$28,534 thousand for the newly formed Office of Inspector General.

This request is based on current law. Actual needs will require adjustment for the impact of any newly enacted legislation.