

DEPARTMENT OF LABOR

EMPLOYMENT AND TRAINING ADMINISTRATION

Federal Funds

General and special funds:

TRAINING AND EMPLOYMENT SERVICES

For necessary expenses of the Job Training Partnership Act, as amended, including the purchase and hire of passenger motor vehicles, the construction, alteration, and repair of buildings and other facilities, and the purchase of real property for training centers as authorized by the Job Training Partnership Act; the Women in Apprenticeship and Nontraditional Occupations Act; National Skill Standards Act of 1994; and the School-to-Work Opportunities Act; \$5,080,060,000 plus reimbursements, of which \$4,790,728,000 is available for obligation for the period July 1, 1997 through June 30, 1998; of which \$88,685,000 is available for the period July 1, 1997 through June 30, 2000 for necessary expenses of construction, rehabilitation, and acquisition of Job Corps centers; and of which \$200,000,000 shall be available from July 1, 1997 through September 30, 1998, for carrying out activities of the School-to-Work Opportunities Act: Provided, That \$50,000,000 shall be for carrying out section 401 of the Job Training Partnership Act, \$65,000,000 shall be for carrying out section 402 of such Act, \$7,300,000 shall be for carrying out section 441 of such Act, \$2,530,000 shall be for all activities conducted by and through the National Occupational Information Coordinating Committee under such Act, \$947,000,000 shall be for carrying out title II, part A of such Act, and \$126,672,000 shall be for carrying out title II, part C of such Act: Provided further, That no funds from any other appropriation shall be used to provide meal services at or for Job Corps centers: Provided further, That funds provided for title III of the Job Training Partnership Act shall not be subject to the limitation contained in subsection (b) of section 315 of such Act; that the waiver described in section 315(a)(2) may be granted if a substate grantee demonstrates to the Governor that such waiver is appropriate due to the availability of low-cost retraining services, is necessary to facilitate the provision of needs-related payments to accompany long-term training, or is necessary to facilitate the provision of appropriate basic readjustment services; and that funds provided for discretionary grants under part B of such title III may be used to provide needs-related payments to participants who, in lieu of meeting the enrollment requirements under section 314(e) of such Act, are enrolled in training by the end of the sixth week after grant funds have been awarded: Provided further, That service delivery areas may transfer funding provided herein under authority of title II, parts B and C of the Job Training Partnership Act between the programs authorized by those titles of the Act, if the transfer is approved by the Governor: Provided further, That service delivery areas and substate areas may transfer up to 20 percent of the funding provided herein under authority of title II, part A and title III of the Job Training Partnership Act, if such transfer is approved by the Governor.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99. Of this amount, ten percent is not available for obligation pending resolution of a full-year appropriation.

Program and Financing (in millions of dollars)

| Identification code 16-0174-0-1-504 | 1995 actual | 1996 est. | 1997 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| Direct program: | | | |
| 00.01 Adult training grants | 997 | 830 | 947 |
| 00.02 Dislocated worker assistance | 1,204 | 939 | 1,232 |
| 00.03 Youth training grants | 77 | 127 | 127 |
| 00.04 Summer youth employment and training program | 867 | 635 | 871 |
| 00.05 School-to-work opportunities | 19 | 135 | 188 |
| 00.06 Job Corps | 1,029 | 1,096 | 1,142 |
| 00.07 Native Americans | 60 | 50 | 50 |
| 00.08 Migrants and seasonal farmworkers | 80 | 65 | 65 |
| 00.09 Veterans employment | 8 | 9 | 7 |
| 00.10 National activities | 93 | 57 | 95 |

| | | | |
|----------------------------------|-------|-------|-------|
| 00.91 Total direct program | 4,434 | 3,943 | 4,724 |
| 01.01 Reimbursable program | 26 | 4 | 4 |
| 10.00 Total obligations | 4,460 | 3,947 | 4,728 |

Budgetary resources available for obligation:

| | | | |
|---|--------|--------|--------|
| 21.40 Unobligated balance available, start of year: | | | |
| Uninvested balance | 1,615 | 1,070 | 1,084 |
| 22.00 New budget authority (gross) | 3,911 | 3,961 | 5,084 |
| 22.10 Resources available from recoveries of prior year obligations | 14 | | |
| 22.20 Unobligated balance transferred | -8 | | |
| 22.30 Unobligated balance expiring | -2 | | |
| 23.90 Total budgetary resources available for obligation | 5,530 | 5,031 | 6,168 |
| 23.95 New obligations | -4,460 | -3,947 | -4,728 |
| 24.40 Unobligated balance available, end of year: | | | |
| Uninvested balance | 1,070 | 1,084 | 1,440 |

New budget authority (gross), detail:

| | | | |
|---|-------|-------|-------|
| Current: | | | |
| 40.00 Appropriation | 3,907 | 3,957 | 5,080 |
| Permanent: | | | |
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) | 4 | 4 | 4 |
| 70.00 Total new budget authority (gross) | 3,911 | 3,961 | 5,084 |

Change in unpaid obligations:

| | | | |
|---|--------|--------|--------|
| 72.40 Unpaid obligations, start of year: Obligated balance: | | | |
| Appropriation | 4,250 | 3,989 | 3,107 |
| 73.10 New obligations | 4,460 | 3,947 | 4,728 |
| 73.20 Total outlays (gross) | -4,694 | -4,829 | -4,382 |
| 73.40 Adjustments in expired accounts | -13 | | |
| 73.45 Adjustments in unexpired accounts | -14 | | |
| 74.40 Unpaid obligations, end of year: Obligated balance: | | | |
| Appropriation | 3,989 | 3,107 | 3,453 |

Outlays (gross), detail:

| | | | |
|--|-------|-------|-------|
| 86.90 Outlays from new current authority | 334 | 652 | 855 |
| 86.93 Outlays from current balances | 4,356 | 4,173 | 3,523 |
| 86.97 Outlays from new permanent authority | 4 | 4 | 4 |
| 87.00 Total outlays (gross) | 4,694 | 4,829 | 4,382 |

Offsets:

| | | | |
|--|----|----|----|
| Against gross budget authority and outlays: | | | |
| Offsetting collections (cash) from: | | | |
| 88.00 Federal sources | -2 | -2 | -2 |
| 88.40 Non-Federal sources | -2 | -2 | -2 |
| 88.90 Total, offsetting collections (cash) | -4 | -4 | -4 |

Net budget authority and outlays:

| | | | |
|------------------------------|-------|-------|-------|
| 89.00 Budget authority | 3,907 | 3,957 | 5,080 |
| 90.00 Outlays | 4,690 | 4,825 | 4,378 |

Summary of Budget Authority and Outlays

| | (in millions of dollars) | | |
|--|--------------------------|-----------|-----------|
| | 1995 actual | 1996 est. | 1997 est. |
| Enacted/requested: | | | |
| Budget Authority | 3,907 | 3,957 | 5,080 |
| Outlays | 4,690 | 4,825 | 4,378 |
| Adjustment to 1996 continuing resolution levels: | | | |
| Budget Authority | | 457 | |
| Outlays | | 21 | 319 |
| Total: | | | |
| Budget Authority | 3,907 | 4,414 | 5,080 |
| Outlays | 4,690 | 4,846 | 4,697 |

Adult training grants.—Grants to provide financial assistance to States and territories to design and operate training programs for economically disadvantaged adults.

General and special funds—Continued

TRAINING AND EMPLOYMENT SERVICES—Continued

Dislocated worker assistance.—Grants to provide reemployment services and retraining assistance to individuals dislocated from their employment.

Youth training grants.—Grants to provide financial assistance to States and territories to design and operate training programs for economically disadvantaged youth.

Summer youth employment and training.—Grants to operate programs of employment and training assistance, as well as academic enrichment, for economically disadvantaged youth during the summer months.

School-to-work opportunities.—Grants to States and localities, jointly administered by the Departments of Labor and Education, to build systems that provide youth with the knowledge and skills necessary to make an effective transition from school to their first job through work-based learning, school-based education, and connecting activities.

Job Corps.—A system of primarily residential centers offering basic education, training, work experience, and other support to economically disadvantaged youth typically from debilitating environments.

Native Americans.—Grants to Indian tribes and other Native American groups to provide training, work experience, and other employment-related services to Native Americans.

Migrants and seasonal farmworkers.—Grants to public agencies and nonprofit groups to provide training and other employability development services to economically disadvantaged families whose principal livelihood is gained in migratory and other forms of seasonal farmwork.

Veterans employment.—Grants or contracts to provide disabled, Vietnam-era, and recently separated veterans with programs to meet their unique employment and training needs.

National activities.—Provides program support for JTPA activities and nationally administered programs for segments of the population that have special disadvantages in the labor market. In 1997, three new programs will be added to this activity. Opportunity Areas for Out-of-School Youth will provide grants to selected Empowerment Zones (EZ), Empowerment Communities (EC), and other communities meeting EZ/EC criteria in order to reduce significant unemployment among out-of-school youth through employment and training assistance combined with other assistance. Jobs for Residents will link unemployed youth and adults in Empowerment Zones and Communities with jobs in areas outside those areas. An Incumbent Worker Demonstration will examine the provision of guaranteed loans to the private sector in order to improve training for its workers.

Object Classification (in millions of dollars)

| Identification code 16-0174-0-1-504 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Direct obligations: | | | |
| 25.2 Other services | 16 | 12 | 12 |
| 25.5 Research and development contracts | 4 | 5 | 5 |
| 41.0 Grants, subsidies, and contributions | 4,271 | 3,781 | 4,559 |
| 92.0 Undistributed | 1 | 1 | 1 |
| 99.0 Subtotal, direct obligations | 4,292 | 3,799 | 4,577 |
| 99.0 Reimbursable obligations | 25 | 3 | 3 |
| Allocation Account—Direct Obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 45 | 46 | 47 |
| 11.3 Other than full-time permanent | 4 | 4 | 4 |
| 11.5 Other personnel compensation | 2 | 2 | 2 |
| 11.9 Total personnel compensation | 51 | 52 | 53 |
| 12.1 Civilian personnel benefits | 13 | 13 | 13 |
| 13.0 Benefits for former personnel | 1 | 1 | 1 |
| 21.0 Travel and transportation of persons | 2 | 2 | 2 |
| 22.0 Transportation of things | 1 | 1 | 1 |
| 23.3 Communications, utilities, and miscellaneous charges | 5 | 5 | 5 |
| 25.2 Other services | 39 | 40 | 41 |

| | | | | |
|--|--|-------|-------|-------|
| 26.0 | Supplies and materials | 24 | 24 | 25 |
| 31.0 | Equipment | 2 | 2 | 2 |
| 32.0 | Land and structures | 1 | 1 | 1 |
| 41.0 | Grants, subsidies, and contributions | 3 | 3 | 3 |
| 99.0 | Subtotal, allocation account—direct obligations | 142 | 144 | 147 |
| Allocation Acct—Reimbursable Obligations: | | | | |
| 26.0 | Supplies and materials | 1 | 1 | 1 |
| 99.0 | Subtotal, allocation acct—reimbursable obligations | 1 | 1 | 1 |
| 99.9 | Total obligations | 4,460 | 3,947 | 4,728 |
| Obligations are distributed as follows: | | | | |
| | Department of Labor | 4,316 | 3,802 | 4,580 |
| | Department of Agriculture | 88 | 89 | 91 |
| | Department of the Interior | 55 | 56 | 57 |

COMMUNITY SERVICE EMPLOYMENT FOR OLDER AMERICANS

For national grants or contracts with public agencies and public or private nonprofit organizations under paragraph (1)(A) of section 506(a) of title V of the Older Americans Act of 1965, as amended, \$273,000,000; and in addition, \$77,000,000 for grants to States under paragraph (3) of such section: Provided, That these amounts shall be transferred to and merged with the Department of Health and Human Services, "Aging Services Programs."

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 16-0175-0-1-504 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.01 National programs | 309 | 273 | |
| 00.02 State programs | 87 | 77 | |
| 10.00 Total obligations (object class 41.0) | 396 | 350 | |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | 396 | 350 | |
| 23.95 New obligations | -396 | -350 | |
| New budget authority (gross), detail: | | | |
| 40.00 Appropriation | 396 | 350 | |
| 41.00 Transferred to other accounts | | | -350 |
| 43.00 Appropriation (total) | 396 | 350 | |
| 70.00 Total new budget authority (gross) | 396 | 350 | |
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance: | | | |
| Appropriation | 365 | 349 | 319 |
| 73.10 New obligations | 396 | 350 | |
| 73.20 Total outlays (gross) | -411 | -380 | -290 |
| 73.40 Adjustments in expired accounts | -1 | | |
| 74.40 Unpaid obligations, end of year: Obligated balance: | | | |
| Appropriation | 349 | 319 | 29 |
| Outlays (gross), detail: | | | |
| 86.90 Outlays from new current authority | 75 | 63 | |
| 86.93 Outlays from current balances | 336 | 317 | 290 |
| 87.00 Total outlays (gross) | 411 | 380 | 290 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | 396 | 350 | |
| 90.00 Outlays | 411 | 380 | 290 |

This program provides part-time work experience in community service activities to unemployed, low-income persons aged 55 and over and is forward funded on a July to June cycle. The Administration's reauthorization proposal for the Older Americans Act proposes transferring the administration of this program from the Department of Labor's Employment and Training Administration to the Department of Health and Human Services, Administration on Aging.

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES

For payments during the current fiscal year of trade adjustment benefit payments and allowances under part I; and for training, allowances for job search and relocation, and related State administrative expenses under part II, subchapters B and D, chapter 2, title II of the Trade Act of 1974, as amended, \$324,500,000, together with such amounts as may be necessary to be charged to the subsequent appropriation for payments for any period subsequent to September 15 of the current year.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 16-0326-0-1-999 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| Direct program: | | | |
| 00.01 Trade adjustment assistance benefits | 170 | 196 | 191 |
| 00.02 Trade adjustment assistance training | 98 | 97 | 85 |
| 00.03 North American Free Trade Agreement adjustment assistance benefits | 8 | 22 | 20 |
| 00.04 North American Free Trade Agreement adjustment assistance training | 21 | 29 | 28 |
| 00.91 Total direct program | 297 | 344 | 324 |
| 01.01 Reimbursable program | 29 | 40 | 40 |
| 10.00 Total obligations | 326 | 384 | 364 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | 349 | 384 | 364 |
| 22.30 Unobligated balance expiring | -23 | | |
| 23.90 Total budgetary resources available for obligation | 326 | 384 | 364 |
| 23.95 New obligations | -326 | -384 | -364 |
| New budget authority (gross), detail: | | | |
| Current: | | | |
| 40.00 Appropriation | 274 | 274 | 324 |
| Permanent: | | | |
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) | 75 | 109 | 40 |
| 70.00 Total new budget authority (gross) | 349 | 384 | 364 |
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance: Appropriation | 147 | 176 | 183 |
| 73.10 New obligations | 326 | 384 | 364 |
| 73.20 Total outlays (gross) | -300 | -377 | -372 |
| 73.40 Adjustments in expired accounts | 3 | | |
| 74.40 Unpaid obligations, end of year: Obligated balance: Appropriation | 176 | 183 | 177 |
| Outlays (gross), detail: | | | |
| 86.90 Outlays from new current authority | 173 | 203 | 264 |
| 86.93 Outlays from current balances | 53 | 65 | 68 |
| 86.97 Outlays from new permanent authority | 75 | 109 | 40 |
| 87.00 Total outlays (gross) | 300 | 377 | 372 |
| Offsets: | | | |
| Against gross budget authority and outlays: | | | |
| 88.00 Offsetting collections (cash) from: Federal sources | -75 | -109 | -40 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | 274 | 275 | 324 |
| 90.00 Outlays | 226 | 268 | 332 |

Trade adjustment assistance.—Adjustment assistance, including cash weekly benefits, training, job search and relocation allowances, is paid to workers as authorized by the Trade Act of 1974, as amended.

North American Free Trade Agreement (NAFTA) Transitional Adjustment Assistance.—Adjustment assistance, including weekly cash benefits, training, job search and relocation allowances, is paid to workers determined to be adversely affected as a result of the NAFTA as authorized by the Trade Act of 1974, as amended.

Object Classification (in millions of dollars)

| Identification code 16-0326-0-1-999 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| 41.0 Direct obligations: Grants, subsidies, and contributions | 297 | 344 | 324 |
| 99.0 Reimbursable obligations: Subtotal, reimbursable obligations | 29 | 40 | 40 |
| 99.9 Total obligations | 326 | 384 | 364 |

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE OPERATIONS

For authorized administrative expenses, \$176,012,000, together with not to exceed \$3,317,713,000 (including not to exceed \$1,653,000 which may be used for amortization payments to States which had independent retirement plans in their State employment service agencies prior to 1980, and including not to exceed \$2,000,000 which may be obligated in contracts with non-State entities for activities such as occupational and test research activities which benefit the Federal-State Employment Service System), which may be expended from the Employment Security Administration account in the Unemployment Trust Fund, and of which the sums available pursuant to title III of the Social Security Act, as amended, and the sums available for necessary administrative expenses for carrying out 5 U.S.C. 8501-8523, shall be available for obligation by the States through December 31, 1997, except that funds used for automation acquisitions shall be available for obligation by the States through September 30, 1999; and of which \$174,085,000, together with not to exceed \$758,217,000 from such Trust Fund, shall be available for obligation from July 1, 1997 through June 30, 1998, to fund activities under the Act of June 6, 1933, as amended, including the cost of penalty mail made available to States in lieu of allotments for such purpose, and of which \$260,573,000 shall be available only to the extent necessary for additional State allocations to administer unemployment compensation laws to finance increases in the number of unemployment insurance claims filed and claims paid or changes in a State law: Provided, That to the extent that the Average Weekly Insured Unemployment (AWIU) for fiscal year 1997 is projected by the Department of Labor to exceed 2.828 million, an additional \$28,600,000 shall be available for every 100,000 increase in the AWIU level (including a pro rata amount for any increment less than 100,000) from the Trust Fund: Provided further, That funds appropriated in this Act which are used in establish a national One-Stop career center network may be obligated in contracts, grants, or agreements with non-State entities: Provided further, That funds appropriated under this Act for activities authorized under the Wagner-Peyser Act, as amended, and title III of the Social Security Act, may be used by the States to fund integrated Employment Service and Unemployment Insurance automation efforts, notwithstanding cost allocation principles prescribed under Office of Management and Budget Circular A-87. (8 U.S.C. 1101 et seq.; 29 U.S.C. 49-49I-1; 39 U.S.C. 3202(a)(1)(E); 42 U.S.C. 502-504, 1106, and 1107; sections 225, 231-235, 243-244, and 250(d)(1), 250(d)(3), title II of the Trade Act of 1974, as amended; section 7d of the Act of June 6, 1933, as amended; section 221(a) of the Immigration Act of 1990.)

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 16-0179-0-1-999 | 1995 actual | 1996 est. | 1997 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| Direct program: | | | |
| Unemployment compensation: | | | |
| 00.01 State administration | 2,296 | 2,081 | 2,225 |
| 00.02 National activities | 17 | 10 | 10 |
| 00.03 Contingency | | 216 | 260 |
| 00.04 AWIU contingency | | 68 | 68 |
| 00.05 Administrative costs associated with optional EB trigger | | 1 | 2 |
| Employment service: | | | |
| 00.10 Allotments to States | 834 | 801 | 767 |
| 00.11 National activities | 76 | 64 | 66 |
| 00.12 One-stop career centers | 101 | 98 | 125 |
| 00.91 Total direct program | 3,324 | 3,339 | 3,523 |

General and special funds—Continued

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE
OPERATIONS—Continued

Program and Financing (in millions of dollars)—Continued

| Identification code 16-0179-0-1-999 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| 01.01 Reimbursable program | 7 | 10 | 10 |
| 10.00 Total obligations | 3,331 | 3,349 | 3,533 |
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year: | | | |
| Uninvested balance | 68 | 48 | 68 |
| 22.00 New budget authority (gross) | 3,311 | 3,368 | 3,558 |
| 23.90 Total budgetary resources available for obligation | 3,379 | 3,416 | 3,626 |
| 23.95 New obligations | -3,331 | -3,349 | -3,533 |
| 24.40 Unobligated balance available, end of year: | | | |
| Uninvested balance | 48 | 68 | 93 |
| New budget authority (gross), detail: | | | |
| Current: | | | |
| 40.00 Appropriation | 127 | 125 | 176 |
| Permanent: | | | |
| 68.00 Spending authority from offsetting collections: Off- | | | |
| setting collections (cash) | 3,184 | 3,243 | 3,382 |
| 70.00 Total new budget authority (gross) | 3,311 | 3,368 | 3,558 |
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance: | | | |
| Appropriation | 20 | 110 | 89 |
| 73.10 New obligations | 3,331 | 3,349 | 3,533 |
| 73.20 Total outlays (gross) | -3,218 | -3,370 | -3,502 |
| 73.40 Adjustments in expired accounts | -23 | | |
| 74.40 Unpaid obligations, end of year: Obligated balance: | | | |
| Appropriation | 110 | 89 | 120 |
| Outlays (gross), detail: | | | |
| 86.90 Outlays from new current authority | 15 | 27 | 22 |
| 86.93 Outlays from current balances | 19 | 100 | 98 |
| 86.97 Outlays from new permanent authority | 3,184 | 3,243 | 3,382 |
| 87.00 Total outlays (gross) | 3,218 | 3,370 | 3,502 |
| Offsets: | | | |
| Against gross budget authority and outlays: | | | |
| Offsetting collections (cash) from: | | | |
| Federal sources: | | | |
| 88.00 Federal sources | -7 | -10 | -10 |
| 88.00 Trust Fund sources | -3,177 | -3,233 | -3,372 |
| 88.90 Total, offsetting collections (cash) | -3,184 | -3,243 | -3,382 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | 127 | 125 | 176 |
| 90.00 Outlays | 34 | 127 | 120 |

Summary of Budget Authority and Outlays

(in millions of dollars)

| | 1995 actual | 1996 est. | 1997 est. |
|--|-------------|-----------|-----------|
| Enacted/requested: | | | |
| Budget Authority | 127 | 125 | 176 |
| Outlays | 34 | 127 | 120 |
| Adjustment to 1996 continuing resolution levels: | | | |
| Budget Authority | | 25 | |
| Outlays | | 5 | 20 |
| Total: | | | |
| Budget Authority | 127 | 150 | 176 |
| Outlays | 34 | 132 | 140 |

Unemployment compensation.—State administration amounts provide administrative grants to State agencies which pay unemployment compensation to eligible workers and collect State unemployment taxes from employers. These agencies also pay unemployment benefits to former Federal personnel as well as trade adjustment assistance to eligible individuals. State administration amounts also provide administrative grants to State agencies to improve the integrity

and financial stability of the unemployment compensation program through a quality control program and related activities designed to assess and reduce errors and prevent fraud, waste, and abuse in the payment of unemployment compensation benefits and the collection of unemployment taxes. National activities relating to the Federal-State unemployment insurance programs are conducted through contracts or agreements with the State agencies. Contingency funds are available only to meet increases in the costs of administration resulting from changes in State law, or increases in the number of claims filed and claims paid.

PROGRAM STATISTICS

| | 1994 actual | 1995 estimate | 1996 estimate ¹ | 1997 estimate ¹ |
|------------------------------------|-------------|---------------|----------------------------|----------------------------|
| Staff years | 43,163 | 39,956 | 44,499 | 48,536 |
| Basic workload (in thousands): | | | | |
| Employer tax accounts | 5,944 | 6,046 | 6,108 | 6,183 |
| Employee wage items recorded | 466,467 | 488,167 | 491,931 | 502,475 |
| Initial claims taken | 21,578 | 19,175 | 21,056 | 21,396 |
| Eligibility interviews | 3,873 | 3,434 | 12,249 | 12,254 |
| Weeks claimed | 172,106 | 137,577 | 146,985 | 147,049 |
| Nonmonetary determinations | 7,410 | 7,101 | 7,556 | 7,818 |
| Appeals | 1,273 | 1,165 | 1,158 | 1,143 |
| Covered employment | 109,650 | 112,610 | 113,610 | 115,010 |

¹ 1996 and 1997 estimates include workload that can be financed from contingency funds.

Employment service.—The public employment service is a nationwide system providing no-fee employment services to individuals who are seeking employment and employers who are seeking workers. State employment service activities are financed by allotment to States distributed under a demographically based funding formula established under the Wagner-Peyser Act, as amended. Employment service allotments are funded on a program year basis running from July 1 through June 30 of the following year.

Employment service activities serving national needs, including interstate job listings and labor certification of aliens, are conducted through specific reimbursable agreements between the States and the Federal Government under the Wagner-Peyser Act, as amended. Funding is also provided for amortization payments for States which had independent retirement plans prior to 1980 in their State employment service agencies.

One-Stop Career Centers.—These funds will be used to support voluntary State efforts to create a comprehensive system of One-Stop Career Centers which will provide workers and employers with quick and easy access to a wide array of enhanced career development and labor market information services. Funding for this activity is on a program year basis, running from July 1 through June 30 of the following year.

PROGRAM STATISTICS

(In thousands)

| | 1994 actual ¹ | 1995 estimate ² | 1996 estimate ³ | 1997 estimate ⁴ |
|------------------------|--------------------------|----------------------------|----------------------------|----------------------------|
| Total applicants | 18,810 | 18,575 | 16,900 | 16,900 |
| Total placements: | | | | |
| Transactions | 3,434 | 3,391 | 3,100 | 3,100 |
| Individuals | 2,682 | 2,649 | 2,400 | 2,400 |

¹ For the program year, July 1, 1994–June 30, 1995.² For the program year, July 1, 1995–June 30, 1996.³ For the program year, July 1, 1996–June 30, 1997.⁴ For the program year, July 1, 1997–June 30, 1998.

Object Classification (in millions of dollars)

| Identification code 16-0179-0-1-999 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Direct obligations: | | | |
| 23.3 Communications, utilities, and miscellaneous charges | 111 | 118 | 120 |
| 41.0 Grants, subsidies, and contributions | 3,213 | 3,221 | 3,403 |
| 99.0 Subtotal, direct obligations | 3,324 | 3,339 | 3,523 |
| 99.0 Reimbursable obligations | 7 | 10 | 10 |
| 99.9 Total obligations | 3,331 | 3,349 | 3,533 |

PAYMENTS TO THE UNEMPLOYMENT TRUST FUND

| Program and Financing (in millions of dollars) | | | |
|--|-------------|-----------|-----------|
| Identification code 16-0178-0-1-603 | 1995 actual | 1996 est. | 1997 est. |
| Obligations by program activity: | | | |
| 10.00 Total obligations (object class 41.0) | | 1 | 2 |
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year: | | | |
| Uninvested balance | 271 | 271 | 270 |
| 22.00 New budget authority (gross) | | | |
| 23.90 Total budgetary resources available for obligation | 271 | 271 | 270 |
| 23.95 New obligations | | -1 | -2 |
| 24.40 Unobligated balance available, end of year: | | | |
| Uninvested balance | 271 | 270 | 268 |
| Change in unpaid obligations: | | | |
| 73.10 New obligations | | 1 | 2 |
| 73.20 Total outlays (gross) | | -1 | -2 |
| Outlays (gross), detail: | | | |
| 86.98 Outlays from permanent balances | | 1 | 2 |
| 87.00 Total outlays (gross) | | 1 | 2 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | | | |
| 90.00 Outlays | | 1 | 2 |

This account was initiated as a result of the amendments to the Emergency Unemployment Compensation law (P.L. 102-164, as amended) which currently provides for general fund financing for administrative costs related to extended benefits under the optional, total unemployment rate trigger. These funds are transferred to a receipt account in the Unemployment Trust Fund (UTF) in order that resources may be transferred to the Employment Security Administration Account in the UTF for administrative costs.

ADVANCES TO THE UNEMPLOYMENT TRUST FUND AND OTHER FUNDS

For repayable advances to the Unemployment Trust Fund as authorized by sections 905(d) and 1203 of the Social Security Act, as amended, and to the Black Lung Disability Trust Fund as authorized by section 9501(c)(1) of the Internal Revenue Code of 1954, as amended; and for nonrepayable advances to the Unemployment Trust Fund as authorized by 5 U.S.C. 8509, section 104(d) of Public Law 102-164, and section 5 of Public Law 103-6, and to the "Federal unemployment benefits and allowances" account, to remain available until September 30, 1998, \$373,000,000.

In addition, for making repayable advances to the Black Lung Disability Trust Fund in the current fiscal year after September 15, 1997, for costs incurred by the Black Lung Disability Trust Fund in the current fiscal year, such sums as may be necessary.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 16-0327-0-1-600 | 1995 actual | 1996 est. | 1997 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 10.00 Total obligations (object class 92.0) | 994 | 471 | 373 |
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year: | | | |
| Uninvested balance | 34 | | |
| 22.00 New budget authority (gross) | 994 | 471 | 373 |
| 22.30 Unobligated balance expiring | -34 | | |
| 23.90 Total budgetary resources available for obligation | 994 | 471 | 373 |
| 23.95 New obligations | -994 | -471 | -373 |
| New budget authority (gross), detail: | | | |
| 40.00 Appropriation | 686 | 362 | 373 |

| | | | |
|--|------|------|------|
| 40.05 Appropriation (indefinite) | 308 | 109 | |
| 43.00 Appropriation (total) | 994 | 471 | 373 |
| 70.00 Total new budget authority (gross) | 994 | 471 | 373 |
| Change in unpaid obligations: | | | |
| 73.10 New obligations | 994 | 471 | 373 |
| 73.20 Total outlays (gross) | -994 | -471 | -373 |
| Outlays (gross), detail: | | | |
| 86.90 Outlays from new current authority | 994 | 471 | 373 |
| 87.00 Total outlays (gross) | 994 | 471 | 373 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | 994 | 471 | 373 |
| 90.00 Outlays | 994 | 471 | 373 |

This account provides advances to several other accounts to pay unemployment compensation to eligible individuals under various Federal and State unemployment compensation laws whenever the balances in the funds prove insufficient or whenever reimbursements to certain accounts, as allowed by law, are to be made. Advances made to the Extended unemployment compensation account under the financing provisions of the Emergency Unemployment Act of 1991 and section 5 of Public Law 103-6, the Federal employees compensation account in the Unemployment Trust Fund and to the Federal unemployment benefits and allowances account are nonrepayable. All other advances made to the Federal unemployment account and to the Extended unemployment compensation account (both in the Unemployment Trust Fund) are repaid, with interest, to the general fund of the Treasury.

This account also provides repayable advances to the Black Lung Disability Trust Fund for making payments from that fund whenever its balances prove insufficient.

PROGRAM OPERATIONS

For expenses of administering employment and training programs and for carrying out section 908 of the Social Security Act, \$84,707,000, together with not to exceed \$40,974,000, which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund. (8 U.S.C. 1184; 19 U.S.C. 2271-2321; 20 U.S.C. 6104; 29 U.S.C. 49-49l-1, 50, 1501 et seq.; 42 U.S.C. 502-504, 1108, 2000-2000d-4, 3001 et seq.; Immigration Act of 1990, section 221(a)).

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 16-0172-0-1-504 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.01 Adult employment and training | 31 | 28 | 28 |
| 00.02 Youth employment and training | 31 | 30 | 30 |
| 00.03 Employment security | 47 | 43 | 44 |
| 00.04 Apprenticeship services | 18 | 16 | 17 |
| 00.05 Executive direction | 8 | 7 | 7 |
| 00.91 Total direct program | 135 | 124 | 126 |
| 01.01 Reimbursable program | 1 | | |
| 10.00 Total obligations | 136 | 124 | 126 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | 136 | 124 | 126 |
| 23.95 New obligations | -136 | -124 | -126 |
| New budget authority (gross), detail: | | | |
| Current: | | | |
| 40.00 Appropriation | 90 | 83 | 85 |
| Permanent: | | | |
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) | 46 | 41 | 41 |

General and special funds—Continued

PROGRAM OPERATIONS—Continued

Program and Financing (in millions of dollars)—Continued

| Identification code 16-0172-0-1-504 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| 70.00 Total new budget authority (gross) | 136 | 124 | 126 |
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance: | | | |
| Appropriation | 10 | 12 | 18 |
| 73.10 New obligations | 136 | 124 | 126 |
| 73.20 Total outlays (gross) | -133 | -118 | -122 |
| 73.40 Adjustments in expired accounts | -1 | | |
| 74.40 Unpaid obligations, end of year: Obligated balance: | | | |
| Appropriation | 12 | 18 | 21 |
| Outlays (gross), detail: | | | |
| 86.90 Outlays from new current authority | 80 | 67 | 69 |
| 86.93 Outlays from current balances | 8 | 9 | 12 |
| 86.97 Outlays from new permanent authority | 46 | 41 | 41 |
| 87.00 Total outlays (gross) | 133 | 118 | 122 |
| Offsets: | | | |
| Against gross budget authority and outlays: | | | |
| Offsetting collections (cash) from: | | | |
| Federal sources: | | | |
| 88.00 Federal sources | -1 | | |
| 88.00 Trust fund sources | -45 | -41 | -41 |
| 88.90 Total, offsetting collections (cash) | -46 | -41 | -41 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | 90 | 83 | 85 |
| 90.00 Outlays | 88 | 77 | 81 |

Adult employment and training.—Provides leadership, policy direction and administration for a decentralized system of grants to States and federally administered programs for job training and employment assistance for disadvantaged adults and dislocated workers; provides for training and employment services to special targeted groups; provides for the settlement of trade adjustment petitions; and includes related program operations support activities.

Youth employment and training.—Provides leadership, policy direction and administration for a decentralized system of grants to States and federally administered programs for job training and employment assistance for youth, including youth training grants, summer youth programs, and the Job Corps; provides for leadership and policy direction for implementing the School-to-Work Opportunities system; and includes related program operations support activities.

Employment security.—Provides leadership and policy direction for the administration of the comprehensive nationwide public employment service system; unemployment insurance programs in each State; and for a One-Stop career center network, including a comprehensive system of collecting, analyzing and disseminating labor market information; and includes related program operations support activities.

Apprenticeship services.—Promotes and provides leadership and policy direction for the administration of apprenticeship as a method of skill acquisition through a Federal-State apprenticeship structure.

Executive direction.—Provides leadership and policy direction for all training and employment services programs and activities and provides for related program operations support, including research, evaluations, demonstrations and performance standards.

Object Classification (in millions of dollars)

| Identification code 16-0172-0-1-504 | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 76 | 74 | 74 |

| | | | |
|---|-----|-----|-----|
| 11.3 Other than full-time permanent | 2 | 1 | 1 |
| 11.5 Other personnel compensation | 1 | 1 | 1 |
| 11.9 Total personnel compensation | 79 | 76 | 76 |
| 12.1 Civilian personnel benefits | 15 | 14 | 14 |
| 13.0 Benefits for former personnel | 2 | | |
| 21.0 Travel and transportation of persons | 4 | 4 | 4 |
| 23.1 Rental payments to GSA | 13 | 10 | 10 |
| 23.3 Communications, utilities, and miscellaneous charges | 1 | 1 | 1 |
| 24.0 Printing and reproduction | 1 | 1 | 1 |
| 25.2 Other services | 4 | 3 | 4 |
| 25.3 Purchases of goods and services from Government accounts | 10 | 10 | 11 |
| 25.7 Operation and maintenance of equipment | 2 | 1 | 1 |
| 26.0 Supplies and materials | 1 | 2 | 2 |
| 31.0 Equipment | 2 | 2 | 2 |
| 99.0 Subtotal, direct obligations | 134 | 124 | 126 |
| 99.0 Reimbursable obligations | 1 | | |
| 99.5 Below reporting threshold | 1 | | |
| 99.9 Total obligations | 136 | 124 | 126 |

Personnel Summary

| Identification code 16-0172-0-1-504 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Direct: | | | |
| Total compensable workyears: | | | |
| 1001 Full-time equivalent employment | 1,469 | 1,377 | 1,325 |
| 1005 Full-time equivalent of overtime and holiday hours | 4 | 4 | 4 |
| Reimbursable: | | | |
| 2001 Total compensable workyears: Full-time equivalent employment | 11 | 3 | 3 |

Intragovernmental funds:

**ADVANCES TO THE EMPLOYMENT SECURITY ADMINISTRATION
ACCOUNT OF THE UNEMPLOYMENT TRUST FUND**

Program and Financing (in millions of dollars)

| Identification code 16-4510-0-4-603 | 1995 actual | 1996 est. | 1997 est. |
|--|-------------|-----------|-----------|
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year: | | | |
| Uninvested balance | 56 | 56 | 56 |
| 22.00 New budget authority (gross) | | | |
| 23.90 Total budgetary resources available for obligation | 56 | 56 | 56 |
| 23.95 New obligations | | | |
| 24.40 Unobligated balance available, end of year: | | | |
| Uninvested balance | 56 | 56 | 56 |
| Change in unpaid obligations: | | | |
| 73.10 New obligations | | | |
| Outlays (gross), detail: | | | |
| 87.00 Total outlays (gross) | | | |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | | | |
| 90.00 Outlays | | | |

This fund is available for advances to the Employment Security Administration account in the Unemployment Trust Fund under the provisions of section 901(e) of the Social Security Act. These repayable, interest-bearing advances permit financing the Federal and State administrative costs of employment security programs when the balance in the Employment Security Administration Account is insufficient.

UNEMPLOYMENT TRUST FUND

Unavailable Collections (in millions of dollars)

| Identification code 20-8042-0-7-999 | 1995 actual | 1996 est. | 1997 est. |
|-------------------------------------|-------------|-----------|-----------|
| Balance, start of year: | | | |
| 01.99 Balance, start of year | 40,311 | 47,768 | 54,095 |

| Receipts: | | | | |
|----------------|--|---------|---------|---------|
| 02.01 | General taxes, FUTA | 5,696 | 5,739 | 5,806 |
| 02.02 | Deposits by Federal agencies to the Federal Employees Compensation Account | 660 | 675 | 687 |
| 02.03 | Non-repayable advances for unemployment compensation | 573 | | |
| 02.05 | Interest and profits on investments in public debt securities | 2,707 | 3,356 | 3,606 |
| 02.06 | State accounts, Deposits by States | 23,158 | 24,047 | 25,006 |
| 02.08 | Deposits by Railroad Retirement Board | 24 | 24 | 29 |
| 02.09 | CMA interest, Unemployment trust fund | 2 | | |
| 02.99 | Total receipts | 32,820 | 33,841 | 35,134 |
| 04.00 | Total: Balances and collections | 73,131 | 81,609 | 89,229 |
| Appropriation: | | | | |
| 05.01 | Unemployment trust fund | -25,282 | -27,438 | -28,738 |
| 05.03 | Railroad unemployment insurance trust fund | -81 | -76 | -76 |
| 05.99 | Subtotal appropriation | -25,363 | -27,514 | -28,814 |
| 07.99 | Total balance, end of year | 47,768 | 54,095 | 60,415 |

Program and Financing (in millions of dollars)

| Identification code 20-8042-0-7-999 | 1995 actual | 1996 est. | 1997 est. | |
|--|--|-----------|-----------|---------|
| Obligations by program activity: | | | | |
| Federal-State unemployment insurance: | | | | |
| Withdrawals: | | | | |
| 00.01 | Benefit payments by States | 21,044 | 23,218 | 24,368 |
| 00.02 | Federal employees' unemployment compensation | 664 | 679 | 689 |
| 00.03 | State administrative expenses | 3,251 | 3,219 | 3,356 |
| Federal administrative expenses: | | | | |
| 00.10 | Direct expenses | 49 | 45 | 45 |
| 00.11 | Reimbursements to the Department of the Treasury | 87 | 97 | 98 |
| 00.20 | Veterans employment and training | 184 | 176 | 179 |
| 00.21 | Interest on refunds | 3 | 4 | 3 |
| 10.00 | Total obligations | 25,282 | 27,438 | 28,738 |
| Budgetary resources available for obligation: | | | | |
| 22.00 | New budget authority (gross) | 25,282 | 27,438 | 28,738 |
| 23.95 | New obligations | -25,282 | -27,438 | -28,738 |
| New budget authority (gross), detail: | | | | |
| 60.27 | Appropriation (trust fund, indefinite) | 32,820 | 33,818 | 35,107 |
| 60.45 | Portion precluded from obligation | -7,538 | -6,380 | -6,369 |
| 63.00 | Appropriation (total) | 25,282 | 27,438 | 28,738 |
| 70.00 | Total new budget authority (gross) | 25,282 | 27,438 | 28,738 |
| Change in unpaid obligations: | | | | |
| 72.40 | Unpaid obligations, start of year: Obligated balance: | | | |
| | Appropriation | 8 | 86 | 91 |
| 73.10 | New obligations | 25,282 | 27,438 | 28,738 |
| 73.20 | Total outlays (gross) | -25,203 | -27,433 | -28,652 |
| 74.40 | Unpaid obligations, end of year: Obligated balance: | | | |
| | Appropriation | 86 | 91 | 177 |
| Outlays (gross), detail: | | | | |
| 86.90 | Outlays from new current authority | 2,823 | 2,773 | 2,970 |
| 86.93 | Outlays from current balances | 645 | 667 | 605 |
| 86.97 | Outlays from new permanent authority | 21,733 | 23,991 | 25,076 |
| 87.00 | Total outlays (gross) | 25,203 | 27,433 | 28,652 |
| Net budget authority and outlays: | | | | |
| 89.00 | Budget authority | 25,282 | 27,438 | 28,738 |
| 90.00 | Outlays | 25,203 | 27,433 | 28,652 |

The financial transactions of the Federal-State and railroad unemployment insurance systems are made through the Unemployment Trust Fund. All State and Federal unemployment tax receipts are deposited in the trust fund and invested in Government securities until needed for benefit payments or administrative costs. States may receive repayable advances from the fund when their balances in the fund are insufficient to pay benefits. The fund may receive repayable advances from the general fund when it has insufficient balances to make advances to States or to pay the Federal share of extended benefits.

ADVANCES FROM THE UNEMPLOYMENT TRUST FUND TO THE STATES

[In thousands of dollars]

| | 1995 actual | 1996 estimate | 1997 estimate |
|---|-------------|---------------|---------------|
| Outstanding advances, beginning of year | 0 | 0 | 0 |
| Advances repaid | 412,216 | 246,000 | 288,000 |
| Advances made | 412,216 | 246,000 | 288,000 |
| Outstanding advances, end of year | 0 | 0 | 0 |

State payroll taxes pay for all regular State benefits. During periods of high State unemployment, extended benefits, financed one-half by State payroll taxes and one-half by the Federal unemployment payroll tax, are also paid. The Federal tax pays the costs of Federal and State administration of unemployment insurance and veterans employment services and 97% of the costs of the employment service.

The Federal employees compensation account provides funds to States for unemployment compensation benefits paid to eligible former Federal civilian personnel, Postal Service employees, and ex-servicemembers. Benefits paid are reimbursed to the Federal employees compensation account by the various Federal agencies. Any additional resources necessary to assure that the account can make the required payments to States will be provided from the Advances to the Unemployment Trust Fund and other funds account.

Both the benefit payments and administrative expenses of the separate unemployment insurance program for railroad employees are paid from the unemployment trust fund and receipts from the tax on railroad payrolls are deposited in the fund to meet expenses.

Status of Funds (in millions of dollars)

| Identification code 20-8042-0-7-999 | 1995 actual | 1996 est. | 1997 est. | |
|---|---|-----------|-----------|---------|
| Unexpended balance, start of year: | | | | |
| 0100 | Treasury balance | 533 | 717 | 786 |
| 0101 | U.S. Securities: Par value | 39,788 | 47,141 | 53,404 |
| 0199 | Total balance, start of year | 40,321 | 47,858 | 54,190 |
| Cash income during the year: | | | | |
| Governmental receipts: | | | | |
| 0200 | General taxes, FUTA, Unemployment trust fund | 5,696 | 5,739 | 5,806 |
| 0201 | Unemployment trust fund, State accounts, Deposits by States | 23,158 | 24,047 | 25,006 |
| 0202 | Deposits by Railroad Retirement Board | 24 | 24 | 29 |
| Proprietary receipts: | | | | |
| 0221 | CMA interest, Unemployment trust fund | 2 | | |
| Intragovernmental transactions: | | | | |
| 0240 | Deposits by Federal agencies to the Federal Employees Compensation Account, Unemployment trust fund | 660 | 675 | 687 |
| 0241 | Non-repayable advances for unemployment compensation, Unemployment trust fund | 573 | | |
| 0244 | Unemployment trust fund, Interest and profits on investments in public debt securities | 2,707 | 3,356 | 3,606 |
| 0299 | Total cash income | 32,820 | 33,841 | 35,134 |
| Cash outgo during year: | | | | |
| 0500 | Unemployment trust fund | -25,204 | -27,433 | -28,652 |
| 0503 | Railroad unemployment insurance trust fund | -79 | -76 | -76 |
| 0599 | Total cash outgo (-) | -25,283 | -27,509 | -28,728 |
| Unexpended balance, end of year: | | | | |
| 0700 | Uninvested balance | 717 | 786 | 750 |
| 0701 | U.S. Securities: Par value | 47,141 | 53,404 | 59,846 |
| 0799 | Total balance, end of year | 47,858 | 54,190 | 60,596 |

Object Classification (in millions of dollars)

| Identification code 20-8042-0-7-999 | 1995 actual | 1996 est. | 1997 est. | |
|--|--|-----------|-----------|-------|
| Purchases of goods and services from Government accounts: | | | | |
| 25.3 | Employment and training administration | 45 | 41 | 41 |
| 25.3 | Departmental management | 4 | 4 | 4 |
| 25.3 | Reimbursements to Department of the Treasury | 87 | 97 | 98 |
| 41.0 | Payments to States for administrative expenses | 3,251 | 3,219 | 3,356 |
| Insurance claims and indemnities: | | | | |
| 42.0 | Federal unemployment benefits | 664 | 679 | 689 |

Intragovernmental funds—Continued

UNEMPLOYMENT TRUST FUND—Continued

Object Classification (in millions of dollars)—Continued

| Identification code 20-8042-0-7-999 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| 42.0 State unemployment benefits | 21,044 | 23,218 | 24,368 |
| 43.0 Interest and dividends | 3 | 4 | 3 |
| 93.0 Veterans employment and training | 184 | 176 | 179 |
| 99.0 Subtotal, direct obligations | 25,282 | 27,438 | 28,738 |
| 99.9 Total obligations | 25,282 | 27,438 | 28,738 |

OFFICE OF THE AMERICAN WORKPLACE

Federal Funds

General and special funds:

SALARIES AND EXPENSES

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 16-0104-0-1-505 | 1995 actual | 1996 est. | 1997 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| Direct program: | | | |
| 00.01 Office of workplace programs | 7 | | |
| 00.02 Labor-management standards | 24 | 23 | |
| 10.00 Total obligations | 31 | 23 | |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | 31 | 23 | |
| 22.30 Unobligated balance expiring | -1 | | |
| 23.90 Total budgetary resources available for obligation | 30 | 23 | |
| 23.95 New obligations | -31 | -23 | |
| New budget authority (gross), detail: | | | |
| 40.00 Appropriation | 31 | 23 | |
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance: | | | |
| Appropriation | 3 | 2 | 4 |
| 73.10 New obligations | 31 | 23 | |
| 73.20 Total outlays (gross) | -30 | -22 | |
| 74.40 Unpaid obligations, end of year: Obligated balance: | | | |
| Appropriation | 2 | 4 | 4 |
| Outlays (gross), detail: | | | |
| 86.90 Outlays from new current authority | 30 | 22 | |
| 86.93 Outlays from current balances | 1 | | 1 |
| 87.00 Total outlays (gross) | 30 | 22 | |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | 31 | 23 | |
| 90.00 Outlays | 30 | 22 | |

No appropriation is being requested for this account in FY 1997. Labor-Management Standards, 13(c) enforcement, and related administrative functions will be transferred to the Employment Standards Administration.

| | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Reports processed | 35,400 | 36,000 | |
| Investigations, field audits, and elections | 5,469 | 5,037 | |

Note.—In FY 1997, this activity will be financed in the Employment Standards Administration.

Object Classification (in millions of dollars)

| Identification code 16-0104-0-1-505 | 1995 actual | 1996 est. | 1997 est. |
|--|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent | 19 | 15 | |
| 12.1 Civilian personnel benefits | 4 | 3 | |

| | | | |
|---|----|----|--|
| 21.0 Travel and transportation of persons | 1 | 1 | |
| 23.1 Rental payments to GSA | 3 | 2 | |
| 25.2 Other services | 1 | | |
| 25.3 Purchases of goods and services from Government accounts | 2 | 2 | |
| 31.0 Equipment | 1 | | |
| 99.9 Total obligations | 31 | 23 | |

Personnel Summary

| Identification code 16-0104-0-1-505 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment | 358 | 266 | |

PENSION AND WELFARE BENEFITS ADMINISTRATION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses for Pension and Welfare Benefits Administration, \$85,449,000 of which \$9,000,000, to remain available through September 30, 1998, shall be for expenses of revising the processing of employee benefit plan returns.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 16-1700-0-1-601 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| Direct program: | | | |
| 00.01 Enforcement and compliance | 53 | 49 | 67 |
| 00.02 Policy, regulations, and public services | 12 | 11 | 14 |
| 00.03 Program oversight | 4 | 4 | 4 |
| 00.91 Total direct obligations | 69 | 64 | 85 |
| 01.01 Reimbursable obligations | | 1 | 1 |
| 10.00 Total obligations | 69 | 65 | 86 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | 69 | 65 | 86 |
| 23.95 New obligations | -69 | -65 | -86 |
| New budget authority (gross), detail: | | | |
| Current: | | | |
| 40.00 Appropriation | 69 | 64 | 85 |
| Permanent: | | | |
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) | | 1 | 1 |
| 70.00 Total new budget authority (gross) | 69 | 65 | 86 |
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance: | | | |
| Appropriation | 12 | 15 | 16 |
| 73.10 New obligations | 69 | 65 | 86 |
| 73.20 Total outlays (gross) | -65 | -64 | -81 |
| 74.40 Unpaid obligations, end of year: Obligated balance: | | | |
| Appropriation | 15 | 16 | 21 |
| Outlays (gross), detail: | | | |
| 86.90 Outlays from new current authority | 55 | 54 | 72 |
| 86.93 Outlays from current balances | 10 | 10 | 10 |
| 86.97 Outlays from new permanent authority | | 1 | 1 |
| 87.00 Total outlays (gross) | 65 | 64 | 81 |
| Offsets: | | | |
| Against gross budget authority and outlays: | | | |
| 88.40 Offsetting collections (cash) from: Non-Federal sources | | -1 | -1 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | 69 | 64 | 85 |

| | | | | |
|-------|---------------|----|----|----|
| 90.00 | Outlays | 65 | 63 | 80 |
|-------|---------------|----|----|----|

| | | | | |
|------|--|---|---|---|
| 1005 | Full-time equivalent of overtime and holiday hours | 2 | 2 | 2 |
|------|--|---|---|---|

Summary of Budget Authority and Outlays

(in millions of dollars)

| Enacted/requested: | 1995 actual | 1996 est. | 1997 est. |
|--|-------------|-----------|-----------|
| Budget Authority | 69 | 64 | 85 |
| Outlays | 65 | 64 | 82 |
| Adjustment to 1996 continuing resolution levels: | | | |
| Budget Authority | | 4 | |
| Outlays | | 4 | |
| Total: | | | |
| Budget Authority | 69 | 68 | 85 |
| Outlays | 65 | 68 | 82 |

Enforcement and compliance.—Conducts criminal and civil investigations, and performs audits to ensure compliance with the fiduciary provisions of the Employee Retirement Income Security Act (ERISA) and the Federal Employees' Retirement System Act of 1986 (FERSA). Assures compliance with applicable accounting, auditing and actuarial standards. Provides compliance assistance to the public. The 1997 estimates include: (1) expanded enforcement safeguards to ensure that employers promptly remit workers' contributions to their 401(k) and other benefit plans; (2) an increased public-private educational campaign on the need for individuals' retirement savings; and (3) enhanced pension protection through faster multi-agency processing of employers' plan returns.

| | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Plan reviews and investigations conducted | 5,554 | 5,373 | 5,742 |
| Inquiries received: | | | |
| Field offices ¹ | 67,146 | 80,000 | 80,000 |

Policy, regulations and public services.—Conducts research and policy and legislative analysis. Promulgates regulations and interpretations. Issues individual and class exemptions from regulations. Discloses government-required reports and provides compliance assistance to the public.

| | 1995 actual | 1996 est. | 1997 est. |
|--|-------------|-----------|-----------|
| Exemptions, variances, determinations, interpretations, and regulations issued | 2,053 | 1,390 | 1,390 |
| Inquiries received: | | | |
| National office ¹ | 79,241 | 91,800 | 91,800 |

¹ Inquiries received at field and national offices represent the total number of inquiries received.

Program oversight.—Provides policy direction, leadership and management of the pension and employee benefits program. Provides administrative support including budget, personnel administration, labor relations and technical training.

Object Classification (in millions of dollars)

| Identification code 16-1700-0-1-601 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Direct obligations: | | | |
| 11.1 Personnel compensation: Full-time permanent | 29 | 31 | 34 |
| 12.1 Civilian personnel benefits | 6 | 6 | 7 |
| 21.0 Travel and transportation of persons | 2 | 2 | 2 |
| 23.1 Rental payments to GSA | 4 | 4 | 4 |
| 23.3 Communications, utilities, and miscellaneous charges | 1 | 1 | 1 |
| 25.2 Other services | 4 | 4 | 6 |
| 25.3 Purchases of goods and services from Government accounts | 14 | 13 | 13 |
| 25.5 Research and development contracts | 3 | 1 | 2 |
| 25.7 Operation and maintenance of equipment | 4 | 2 | 15 |
| 26.0 Supplies and materials | 1 | 1 | 1 |
| 31.0 Equipment | 2 | 1 | 1 |
| 99.0 Subtotal, direct obligations | 70 | 66 | 86 |
| 99.0 Reimbursable obligations | 1 | 1 | 1 |
| 99.5 Below reporting threshold | -2 | -2 | -1 |
| 99.9 Total obligations | 69 | 65 | 86 |

Personnel Summary

| Identification code 16-1700-0-1-601 | 1995 actual | 1996 est. | 1997 est. |
|--|-------------|-----------|-----------|
| Total compensable workyears: | | | |
| 1001 Full-time equivalent employment | 571 | 608 | 652 |

PENSION BENEFIT GUARANTY CORPORATION

Federal Funds

Public enterprise funds:

PENSION BENEFIT GUARANTY CORPORATION FUND

The Pension Benefit Guaranty Corporation is authorized to make such expenditures, including financial assistance authorized by section 104 of Public Law 96-364, within limits of funds and borrowing authority available to such Corporation, and in accord with law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 104 of the Government Corporation Control Act, as amended (31 U.S.C. 9104), as may be necessary in carrying out the program through September 30, 1997: Provided, That not to exceed \$12,043,000 shall be available for administrative expenses of the Corporation: Provided further, That expenses of such Corporation in connection with the termination of pension plans, for the acquisition, protection or management, and investment of trust assets, and for benefits administration services shall be considered as non-administrative expenses for the purposes hereof, and excluded from the above limitation.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 16-4204-0-3-601 | 1995 actual | 1996 est. | 1997 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.01 Administrative expenses | 11 | 12 | 12 |
| 00.02 Services related to terminations | 122 | 131 | 129 |
| 00.03 Benefit payments | 734 | 929 | 945 |
| 00.04 Financial assistance | 4 | 5 | 6 |
| 00.05 Loss on sale of govt. securities | 2 | | |
| 10.00 Total obligations | 873 | 1,077 | 1,092 |
| Budgetary resources available for obligation: | | | |
| Unobligated balance available, start of year: | | | |
| 21.47 Authority to borrow | 100 | 100 | 100 |
| 21.90 Treasury balance | 2 | 1 | 1 |
| U.S. Securities: | | | |
| 21.91 Par value | 5,272 | 5,732 | 6,592 |
| 21.92 Unrealized discounts | -471 | -87 | -133 |
| 21.99 Total unobligated balance, start of year | 4,903 | 5,746 | 6,560 |
| 22.00 Budget authority from offsetting collections | 1,717 | 1,889 | 2,129 |
| 23.90 Total budgetary resources available for obligation | 6,620 | 7,635 | 8,689 |
| 23.95 New obligations | -873 | -1,077 | -1,092 |
| Unobligated balance available, end of year: | | | |
| 24.47 Authority to borrow | 100 | 100 | 100 |
| 24.90 Treasury balance | 1 | 1 | 1 |
| U.S. Securities: | | | |
| 24.91 Par value | 5,732 | 6,592 | 7,635 |
| 24.92 Unrealized discounts | -87 | -133 | -139 |
| 24.99 Total unobligated balance, end of year | 5,746 | 6,560 | 7,597 |
| New budget authority (gross), detail: | | | |
| 68.00 Spending authority from offsetting collections (gross): | | | |
| Offsetting collections (cash) | 1,717 | 1,889 | 2,129 |
| Change in unpaid obligations: | | | |
| Unpaid obligations, start of year: | | | |
| Obligated balance: | | | |
| 72.90 Fund balance | | -308 | -262 |
| 72.91 U.S. Securities: Obligated balance, start of year | 106 | | |
| 72.99 Total unpaid obligations, start of year | 106 | -308 | -262 |
| 73.10 New obligations | 873 | 1,077 | 1,092 |
| 73.20 Total outlays (gross) | -1,287 | -1,031 | -1,086 |
| 74.90 Unpaid obligations, end of year: Obligated balance: | | | |
| end of year | -308 | -262 | -256 |
| Outlays (gross), detail: | | | |
| 86.90 Outlays from new current authority | 11 | 12 | 12 |
| 86.97 Outlays from new permanent authority | 1,276 | 1,019 | 1,074 |

Public enterprise funds—Continued**PENSION BENEFIT GUARANTY CORPORATION FUND—Continued****Program and Financing (in millions of dollars)—Continued**

| Identification code 16-4204-0-3-601 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| 87.00 Total outlays (gross) | 1,287 | 1,031 | 1,086 |
| Offsets: | | | |
| Against gross budget authority and outlays: | | | |
| Offsetting collections (cash) from: | | | |
| 88.20 Interest on U.S. securities | -397 | -424 | -488 |
| Non-Federal sources: | | | |
| 88.40 Premium income | -866 | -951 | -1,067 |
| 88.40 Benefit payment reimbursements | -331 | -384 | -445 |
| 88.40 Reimbursements from trust funds for services related to terminations | -121 | -130 | -129 |
| 88.40 Other reimbursements from trust funds | -2 | | |
| 88.90 Total, offsetting collections (cash) | -1,717 | -1,889 | -2,129 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | | | |
| 90.00 Outlays | -430 | -858 | -1,043 |

Status of Direct Loans (in millions of dollars)

| Identification code 16-4204-0-3-601 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Cumulative balance of direct loans outstanding: | | | |
| 1231 Disbursements: Direct loan disbursements | 4 | 5 | 6 |
| 1263 Write-offs for default: Direct loans | -4 | -5 | -6 |

This wholly owned government corporation administers programs of mandatory insurance to prevent loss of pension benefits under covered private, defined-benefit pension plans if single-employer plans terminate or if multiemployer plans are unable to pay benefits. Terminated plans are taken over by the Corporation. The Corporation assumes control of their assets, administers them in a trust fund held in a private bank, and takes responsibility for paying benefits. The Corporation also provides repayable assistance to insolvent multi-employer plans when necessary to pay benefits and to forestall termination and subsequent Corporation responsibility to pay benefits.

| Plans terminated during year: | 1995 actual | 1996 est. | 1997 est. |
|--|-------------|-----------|-----------|
| With sufficient assets | 1,870 | 2,000 | 2,000 |
| With insufficient assets | 124 | 230 | 175 |
| Government trusteeships at end of year | 1,993 | 2,193 | 2,393 |
| Regulations issued | 7 | 10 | 10 |

Administrative expenses subject to limitation.—Provides resource management, executive direction, and other support functions.

Services related to terminations.—Provides for needed but unpredictable costs related to benefits administration services, actuarial services, investment management and a share of other administrative costs arising from pension plan terminations.

Benefit payments.—Checks are issued when due to pay plan participants and other beneficiaries in plans which have terminated.

| Payees in Government trusteeships receiving monthly benefits from the Corporation | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| | 182,300 | 197,100 | 211,800 |

Financial assistance.—If multiemployer-sponsored plans become insolvent, the Corporation gives repayable loans to enable the plans to continue paying benefits. Financial assistance to an insolvent plan is made only after the plan takes a series of prescribed steps to place the plan on a sound financial basis.

Financing.—The primary source of financing is annual premiums paid by sponsors of ongoing covered plans, which vary according to the plans' funding level. Other sources of financing include assets from terminated plans, investment income,

and amounts due the Corporation from the sponsors of terminating plans. Also, the Corporation is authorized to borrow up to \$100 million from the U.S. Treasury.

Operating results.—The following tables show the status of the Corporation's trust funds and the Corporation's operating results.

STATUS OF TRUST FUNDS

| | [In thousands of dollars] | | | |
|---|---------------------------|-------------|------------|------------|
| | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
| Assets: | | | | |
| Cash | 370,400 | 285,100 | 285,100 | 285,100 |
| Investments | 2,935,190 | 3,788,310 | 4,269,290 | 5,065,530 |
| Receivables: | | | | |
| Due from Pension Benefit Guaranty Corporation | 5,641,260 | 6,212,830 | 6,896,500 | 7,597,770 |
| Due from employers—terminated plans | 172,180 | 76,210 | 115,520 | 299,510 |
| Due from employers—probable terminations | 332,720 | 272,730 | 261,010 | 0 |
| Assets of pretrusteed plans | 107,100 | 211,900 | 356,430 | 481,080 |
| Assets of plans—probable terminations | 1,200,780 | 1,347,910 | 779,130 | 0 |
| Other assets | 162,920 | 128,700 | 128,700 | 128,700 |
| Total assets | 10,922,550 | 12,323,690 | 13,091,680 | 13,857,690 |
| Liabilities: | | | | |
| Estimate of future benefits—terminated plans | 8,166,780 | 9,430,990 | 11,087,470 | 13,676,910 |
| Estimate of future benefits—probable terminations (net) | 2,699,220 | 2,799,670 | 1,965,430 | 142,000 |
| Other liabilities | 56,550 | 93,030 | 38,780 | 38,780 |
| Total liabilities | 10,922,550 | 12,323,690 | 13,091,680 | 13,857,690 |

CHANGE IN CORPORATION'S LIABILITY UNDER TERMINATED PLANS

| | [In thousands of dollars] | | | |
|---|---------------------------|-------------|-----------|-------------|
| | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
| Liability, beginning of year | 5,682,050 | 4,475,547 | 5,033,803 | 5,971,210 |
| Liability incurred due to plan terminations: | | | | |
| (New liabilities assumed) | 169,719 | 156,010 | 847,860 | 1,393,280 |
| (Plan assets acquired) | 542,030 | 448,140 | 1,848,400 | 2,888,850 |
| (Recoveries from employers, net) | (411,041) | (310,890) | (919,250) | (1,163,230) |
| Operating loss of trust fund | 38,730 | 18,760 | (81,290) | (332,340) |
| Benefit payments | (924,320) | 812,170 | 519,140 | 611,270 |
| | (451,902) | (409,924) | (429,593) | (519,990) |
| Liability, end of year | 4,475,547 | 5,033,803 | 5,971,210 | 7,455,770 |

Statement of Operations (in millions of dollars)

| Identification code 16-4204-0-3-601 | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-------------|-----------|-----------|
| Revenue: | | | | |
| 0101 Premium income | 996 | 866 | 951 | 1,067 |
| 0101 Investment income | -564 | 1,154 | 424 | 488 |
| 0101 Other income | 17 | 2 | | |
| Expense: | | | | |
| 0102 Trust fund operating loss | 924 | -812 | -519 | -611 |
| 0102 Net liability due to plan terminations | -170 | -156 | -848 | -1,393 |
| 0102 Provision for probable terminations | 461 | -13 | 254 | 783 |
| 0102 Change in allowance for uncollectible financial assistance | -57 | -109 | -17 | -18 |
| 0102 Administrative expenses | -29 | -11 | -11 | -12 |
| 0191 Total revenues | 449 | 2,022 | 1,375 | 1,555 |
| 0192 Total expenses | 1,129 | -1,101 | -1,141 | -1,251 |
| 0199 Net income or loss | 1,578 | 921 | 234 | 304 |

Balance Sheet (in millions of dollars)

| Identification code 16-4204-0-3-601 | 1994 actual | 1995 actual | 1996 est. | 1997 est. |
|--|-------------|-------------|-----------|-----------|
| ASSETS: | | | | |
| Federal assets: | | | | |
| 1101 Fund balances with Treasury | 2 | 1 | 1 | 1 |
| Investments in US securities: | | | | |
| Treasury securities, par: | | | | |
| 1102 Treasury securities, par | 5,272 | 5,732 | 6,592 | 7,635 |
| 1102 Treasury securities, unamortized discount (-)/premium (+) | -253 | 83 | | |
| 1106 Receivables, net | 84 | 65 | 65 | 65 |

| | | | | |
|---|--|--------|-------|-------|
| Non-Federal assets: | | | | |
| 1201 | Investments in non-Federal securities, net | 43 | | |
| 1206 | Receivables, net | 37 | 131 | 131 |
| Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable: | | | | |
| 1601 | Direct loans, gross | 22 | 27 | 31 |
| 1602 | Interest receivable | 11 | 15 | 17 |
| 1603 | Allowance for estimated uncollectible loans and interest (-) | -33 | -42 | -47 |
| 1699 | Value of assets related to direct loans | | | -1 |
| Other Federal assets: | | | | |
| 1803 | Property, plant and equipment, net | 3 | 4 | 4 |
| 1901 | Other assets | -138 | 609 | 808 |
| 1999 | Total assets | 5,050 | 6,625 | 7,600 |
| LIABILITIES: | | | | |
| Non-Federal liabilities: | | | | |
| 2201 | Accounts payable | 289 | 266 | 312 |
| 2206 | Pension and other actuarial liabilities | 5,804 | 6,481 | 7,176 |
| 2999 | Total liabilities | 6,093 | 6,747 | 7,488 |
| NET POSITION: | | | | |
| 3300 | Cumulative results of operations | -1,043 | -122 | 112 |
| 3999 | Total net position | -1,043 | -122 | 112 |
| 4999 | Total liabilities and net position | 5,050 | 6,625 | 7,600 |

Object Classification (in millions of dollars)

| | | | | |
|-------------------------------------|--|-----|-------|-------|
| Identification code 16-4204-0-3-601 | | | | |
| Personnel compensation: | | | | |
| 11.1 | Full-time permanent | 34 | 38 | 40 |
| 11.3 | Other than full-time permanent | 1 | 1 | 1 |
| 11.5 | Other personnel compensation | 2 | 1 | 1 |
| 11.9 | Total personnel compensation | 37 | 40 | 42 |
| 12.1 | Civilian personnel benefits | 7 | 8 | 9 |
| 21.0 | Travel and transportation of persons | 1 | 1 | 1 |
| 23.2 | Rental payments to others | 11 | 10 | 10 |
| 23.3 | Communications, utilities, and miscellaneous charges | | 3 | 3 |
| 25.2 | Other services | 71 | 75 | 71 |
| 25.3 | Purchases of goods and services from Government accounts | | 1 | 1 |
| 26.0 | Supplies and materials | 1 | 1 | 1 |
| 31.0 | Equipment | 6 | 3 | 3 |
| 33.0 | Investments and loans | 4 | 5 | 6 |
| 42.0 | Insurance claims and indemnities | 734 | 930 | 945 |
| 99.0 | Subtotal, reimbursable obligations | 872 | 1,077 | 1,092 |
| 99.5 | Loss on sale of government securities | 1 | | |
| 99.9 | Total obligations | 873 | 1,077 | 1,092 |

Personnel Summary

| | | | | |
|-------------------------------------|--|-----|-----|-----|
| Identification code 16-4204-0-3-601 | | | | |
| Total compensable workyears: | | | | |
| 2001 | Full-time equivalent employment | 674 | 731 | 731 |
| 2005 | Full-time equivalent of overtime and holiday hours | 13 | 14 | 14 |

EMPLOYMENT STANDARDS ADMINISTRATION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses for the Employment Standards Administration, including reimbursement to State, Federal, and local agencies and their employees for inspection services rendered, \$304,856,000, together with \$1,057,000 which may be expended from the Special Fund in accordance with sections 39(c), 44(h)(4) and (5), and 44(j) of the Longshore and Harbor Workers' Compensation Act: Provided, That the Secretary of Labor is authorized to accept, retain, and spend, until expended, in the name of the Department of Labor, all sums of money ordered to be paid to the Secretary, in accordance with the terms of the Consent Judgment in Civil Action No. 91-0027 of the United States District Court of the District of the Northern Mari-

ana Islands (May 21, 1992): Provided further, That the Secretary is authorized to establish and, in accordance with 31 U.S.C. 3302, collect and deposit in the Treasury fees for processing applications and issuing certificates under sections 11(d) and 14 of the Fair Labor Standards Act of 1938, as amended, and for processing applications and issuing registrations under Title I of the Migrant and Seasonal Agricultural Worker Protection Act. (5 U.S.C. 7101, 8101-50, 8171(a)(2); 8 U.S.C. 1101(a), 1184(c), 1324; 15 U.S.C. 1671-77; 20 U.S.C. 951-63; 29 U.S.C. 31-42, 201-19, 251-62, 401 et seq., 553, 555, 793, 1801 et seq., 2001 et seq.; 30 U.S.C. 934, 934a; 33 U.S.C. 901-50; 38 U.S.C. 2012; 40 U.S.C. 267a, 267c; 41 U.S.C. 35-45, 328-33, 351-57; 42 U.S.C. 1651-54, 1701-17, 2000e nt.; 43 U.S.C. 1333(c); title 36 of the District of Columbia Code; 59 Stat. 1263; 64 Stat. 1268; Executive Order 11136 of January 3, 1964.)

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| | | | | |
|-------------------------------------|--|-----|-----|-----|
| Identification code 16-0105-0-1-505 | | | | |
| Obligations by program activity: | | | | |
| Direct program: | | | | |
| 00.01 | Enforcement of wage and hour standards | 101 | 89 | 119 |
| 00.02 | Federal contractor EEO standards enforcement | 59 | 55 | 65 |
| 00.03 | Federal programs for workers' compensation | 104 | 97 | 107 |
| 00.04 | Program direction and support | 12 | 11 | 12 |
| 00.05 | Labor-management standards | | | 29 |
| 00.91 | Subtotal direct program | 276 | 252 | 332 |
| 01.01 | Reimbursable program | 2 | 2 | 2 |
| 10.00 | Total obligations | 278 | 254 | 334 |

Budgetary resources available for obligation:

| | | | | |
|-------|------------------------------------|------|------|------|
| 22.00 | New budget authority (gross) | 278 | 254 | 334 |
| 23.95 | New obligations | -278 | -254 | -334 |

New budget authority (gross), detail:

| | | | | |
|------------|---|-----|-----|-----|
| Current: | | | | |
| 40.00 | Appropriation | 247 | 225 | 305 |
| Permanent: | | | | |
| 68.00 | Spending authority from offsetting collections: Offsetting collections (cash) | 31 | 29 | 29 |
| 70.00 | Total new budget authority (gross) | 278 | 254 | 334 |

Change in unpaid obligations:

| | | | | |
|-------|---|------|------|------|
| 72.40 | Unpaid obligations, start of year: Obligated balance: | | | |
| | Appropriation | 21 | 21 | 21 |
| 73.10 | New obligations | 278 | 254 | 334 |
| 73.20 | Total outlays (gross) | -277 | -254 | -326 |
| 74.40 | Unpaid obligations, end of year: Obligated balance: | | | |
| | Appropriation | 21 | 21 | 29 |

Outlays (gross), detail:

| | | | | |
|-------|--|-----|-----|-----|
| 86.90 | Outlays from new current authority | 230 | 204 | 277 |
| 86.93 | Outlays from current balances | 16 | 21 | 20 |
| 86.97 | Outlays from new permanent authority | 31 | 29 | 29 |
| 87.00 | Total outlays (gross) | 277 | 254 | 326 |

Offsets:

| | | | | |
|---|--|-----|-----|-----|
| Against gross budget authority and outlays: | | | | |
| Offsetting collections (cash) from: | | | | |
| 88.00 | Federal sources | -29 | -27 | -27 |
| 88.40 | Non-Federal sources | -2 | -2 | -2 |
| 88.90 | Total, offsetting collections (cash) | -31 | -29 | -29 |

Net budget authority and outlays:

| | | | | |
|-------|------------------------|-----|-----|-----|
| 89.00 | Budget authority | 247 | 225 | 305 |
| 90.00 | Outlays | 246 | 225 | 297 |

Summary of Budget Authority and Outlays

(in millions of dollars)

| | | | | |
|--|------------------------|-----|-----|-----|
| Enacted/requested: | | | | |
| | Budget Authority | 247 | 225 | 305 |
| | Outlays | 246 | 225 | 297 |
| Adjustment to 1996 continuing resolution levels: | | | | |
| | Budget Authority | | 22 | |
| | Outlays | | 20 | 2 |

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Summary of Budget Authority and Outlays—Continued

| | (in millions of dollars) | | |
|------------------------|--------------------------|-----------|-----------|
| Total: | 1995 actual | 1996 est. | 1997 est. |
| Budget Authority | 247 | 247 | 305 |
| Outlays | 246 | 245 | 299 |

Note.—Includes \$29 million in budget authority in FY 1997 for Labor-Management Standards, 13(c) enforcement and related administrative functions transferred from the Office of the American Workplace.

Enforcement of wage and hour standards.—The Wage and Hour Division works to obtain and encourage compliance with the minimum wage, overtime, child labor, and other employment standards under the Fair Labor Standards Act, Migrant and Seasonal Agricultural Worker Protection Act, the Family and Medical Leave Act, certain provisions of the Immigration and Nationality Act, the wage garnishment provisions in title III of the Consumer Credit Protection Act, the Employee Polygraph Protection Act, and the whistleblower provisions of several environmental protection statutes. Prevailing wages are determined and employment standards enforced under various Government contract wage standards. In 1997 approximately 281,300 persons are expected to be aided under the Fair Labor Standards Act through securing agreements with firms to pay back wages owed to their workers. Through the special minimum wage program, an estimated 200,000 persons will be aided by certificates issued and employment authorized. In Government contract compliance actions, about 29,500 persons will be aided through securing agreements to pay wages owed to workers. Under the Migrant and Seasonal Agricultural Worker Protection Act program, approximately 2,600 investigations and 1,100 housing inspections will be completed. In the course of all on-site investigations, investigators will routinely check for employer compliance with the employment eligibility verification recordkeeping requirements of the Immigration and Nationality Act. The Electronic Data Interchange (EDI)-based Electronic Service Contract Act Notification System, which will enable contracting agencies to request and receive wage determination data, will become operational in 1997. The Budget includes resources for the Wage and Hour Division which will be assigned to areas where employment of illegal immigrants is most prevalent. The targeting of labor standards enforcement efforts in those industries and geographic areas where unauthorized workers are most prevalent will help to reduce the economic incentive for such illegal employment practices and will, in turn, reduce illegal immigration.

Federal contractor EEO standards enforcement.—The Office of Federal Contract Compliance Programs (OFCCP) is responsible for ensuring nondiscrimination in employment based on race, sex, religion, color and national origin by Federal contractors and subcontractors. It conducts compliance activities to assure that Federal contractors and subcontractors take affirmative action in the hiring and advancement of minorities and women under the authority of Executive Orders 11246 and 11375. It also enforces the affirmative action and nondiscrimination provisions of the Rehabilitation Act of 1973 and, in coordination with the Equal Employment Opportunity Commission, the Americans With Disabilities Act of 1990, relating to the hiring, promotion, and all other terms and conditions of employment for individuals with physical and mental disabilities. It ensures contractors comply with the provisions of the Vietnam Era Veterans Readjustment Assistance Act providing for affirmative action by Federal contractors to employ, and advance in employment disabled veterans and veterans of the Vietnam era. In 1997 about 4,400 compliance reviews and 900 complaint investigations will be conducted. A total of about 4,100 other compliance actions will

also be conducted with respect to contractor employment practices.

Federal programs for workers' compensation.—Under this income maintenance activity, the Employment Standards Administration administers the Federal Employees' Compensation Act, the Longshore and Harbor Workers' Compensation Act, and the benefit provisions of the Federal Mine Safety and Health Act of 1977. These programs insure that eligible disabled and injured workers or their survivors receive compensation and medical benefits and a range of services including rehabilitation, supervision of medical care, and technical and advisory counseling to which they are entitled. Monitoring and advisory services are provided with respect to State workers' compensation laws.

Program direction and support.—This activity includes planning, personnel management, financial management, and Federal/State liaison programs, management systems implementation, and data processing operations. Major goals in 1997 will include implementing financial management initiatives; continued efforts to eliminate internal fraud, waste, and mismanagement; the improvement of management information, automated data processing, and program and fiscal accountability; and legislative and regulatory improvements.

Labor-management standards.—Collects and discloses union constitutions and financial reports. Audits union financial records and investigates possible embezzlements of union funds. Conducts election investigations and reruns of union officer elections after court determinations that elections were not conducted in accordance with the Labor Management Relations Disclosure Act. Administers statutory program to certify employee protection provisions of various Federally-sponsored transportation programs.

| | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Reports processed | | | 36,000 |
| Investigations, field audits, and elections | | | 5,012 |

Note.—In FY 1995 and FY 1996, this activity was financed in the Office of the American Workplace.

Object Classification (in millions of dollars)

| Identification code 16-0105-0-1-505 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 156 | 146 | 176 |
| 11.3 Other than full-time permanent | 3 | 2 | 5 |
| 11.5 Other personnel compensation | 2 | 2 | 2 |
| 11.9 Total personnel compensation | 161 | 150 | 183 |
| 12.1 Civilian personnel benefits | 32 | 31 | 39 |
| 13.0 Benefits for former personnel | 1 | | |
| 21.0 Travel and transportation of persons | 6 | 4 | 10 |
| 22.0 Transportation of things | | | 1 |
| 23.1 Rental payments to GSA | 22 | 22 | 25 |
| 23.3 Communications, utilities, and miscellaneous charges | 4 | 3 | 3 |
| 24.0 Printing and reproduction | 1 | 1 | 1 |
| 25.2 Other services | 4 | 1 | 15 |
| 25.3 Purchases of goods and services from Government accounts | 19 | 22 | 23 |
| 25.7 Operation and maintenance of equipment | 21 | 16 | 19 |
| 26.0 Supplies and materials | 2 | 1 | 3 |
| 31.0 Equipment | 3 | 1 | 9 |
| 99.0 Subtotal, direct obligations | 276 | 252 | 331 |
| 99.0 Reimbursable obligations | 2 | 2 | 2 |
| 99.5 Below reporting threshold | | | 1 |
| 99.9 Total obligations | 278 | 254 | 334 |

Personnel Summary

| Identification code 16-0105-0-1-505 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Total compensable workyears: | | | |
| 1001 Full-time equivalent employment | 3,544 | 3,264 | 3,998 |
| 1005 Full-time equivalent of overtime and holiday hours | 5 | 5 | 5 |

SPECIAL BENEFITS

(INCLUDING TRANSFER OF FUNDS)

For the payment of compensation, benefits, and expenses (except administrative expenses) accruing during the current or any prior fiscal year authorized by chapter 81 of title 5, United States Code; continuation of benefits as provided for under the head "Civilian War Benefits" in the Federal Security Agency Appropriation Act, 1947; the Employees' Compensation Commission Appropriation Act, 1944; sections 4(c) and 5(f) of the War Claims Act of 1948; and 50 percent of the additional compensation and benefits required by section 10(h) of the Longshore and Harbor Workers' Compensation Act, as amended, \$213,000,000, together with such amounts as may be necessary to be charged to the subsequent year appropriation for the payment of compensation and other benefits for any period subsequent to August 15 of the current year: Provided, That amounts appropriated may be used under section 8104 of title 5, United States Code, by the Secretary to reimburse an employer, who is not the employer at the time of injury, for portions of the salary of a reemployed, disabled beneficiary: Provided further, That balances of reimbursements on September 30, 1996, shall remain available until expended for the payment of compensation, benefits, and expenses: Provided further, That in addition there shall be transferred to this appropriation from the Postal Service and from any other corporation or instrumentality required under section 8147(c) of title 5, United States Code, to pay an amount for its fair share of the cost of administration, such sums as the Secretary of Labor determines to be the cost of administration for employees of such fair share entities through September 30, 1997, of which \$11,390,000 shall be made available to the Secretary of Labor for expenditures relating to capital improvements in support of Federal Employees' Compensation Act administration, and the balance of such funds shall be paid into the Treasury as miscellaneous receipts: Provided further, That the Secretary may require that any person filing a notice of injury or a claim for benefits under chapter 81 of title 5, United States Code, or under 33 U.S.C. 901 et seq., provide as part of such notice and claim, such identifying information (including Social Security account number) as such regulations may prescribe. (5 U.S.C. 8191-93; 33 U.S.C. 901, 944(a); 42 U.S.C. 1701.)

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 16-1521-0-1-600 | 1995 actual | 1996 est. | 1997 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.01 Longshore and harbor workers' compensation benefits | 4 | 4 | 4 |
| 00.02 Federal Employees' Compensation Act benefits | 1,886 | 2,146 | 1,995 |
| 10.00 Total obligations | 1,890 | 2,150 | 1,999 |
| Budgetary resources available for obligation: | | | |
| 21.40 Unobligated balance available, start of year: | | | |
| Uninvested balance | 512 | 687 | 648 |
| 22.00 New budget authority (gross) | 2,065 | 2,111 | 2,089 |
| 23.90 Total budgetary resources available for obligation | 2,577 | 2,798 | 2,737 |
| 23.95 New obligations | -1,890 | -2,150 | -1,999 |
| 24.40 Unobligated balance available, end of year: | | | |
| Uninvested balance | 687 | 648 | 738 |
| New budget authority (gross), detail: | | | |
| Current: | | | |
| 40.00 Appropriation | 258 | 217 | 213 |
| Permanent: | | | |
| Spending authority from offsetting collections: | | | |
| 68.00 Offsetting collections (cash) | 1,806 | 1,894 | 1,876 |
| 68.10 Change in orders on hand from Federal sources | 1 | | |
| 68.90 Spending authority from offsetting collections (total) | 1,807 | 1,894 | 1,876 |
| 70.00 Total new budget authority (gross) | 2,065 | 2,111 | 2,089 |
| Change in unpaid obligations: | | | |
| 72.95 Unpaid obligations, start of year: Orders on hand from Federal sources | 1 | 2 | 2 |
| 73.10 New obligations | 1,890 | 2,150 | 1,999 |
| 73.20 Total outlays (gross) | -1,889 | -2,150 | -1,999 |
| 74.95 Unpaid obligations, end of year: Orders on hand from Federal sources | 2 | 2 | 2 |

| Outlays (gross), detail: | | | | |
|---|---|--------|--------|--------|
| 86.90 | Outlays from new current authority | 81 | 217 | 121 |
| 86.93 | Outlays from current balances | | 39 | |
| 86.97 | Outlays from new permanent authority | 1,807 | 1,894 | 1,876 |
| 87.00 | Total outlays (gross) | 1,889 | 2,150 | 1,999 |
| Offsets: | | | | |
| Against gross budget authority and outlays: | | | | |
| 88.00 | Offsetting collections (cash) from: Federal sources | -1,807 | -1,894 | -1,876 |
| 88.95 | Change in orders on hand from Federal sources | -1 | | |
| Net budget authority and outlays: | | | | |
| 89.00 | Budget authority | 257 | 217 | 213 |
| 90.00 | Outlays | 81 | 256 | 123 |

Federal Employees' Compensation Act Benefits.—Under the Federal Employees' Compensation Act program, income is replaced if a job injury results in time away from work. Medical bills arising from compensable job injuries are also paid. Not all benefits are paid by the program since the first 45 days of disability are usually covered by keeping injured workers in pay status with their employing agencies. In 1997, 180,000 injured federal workers or their survivors will file claims; 59,000 will receive long-term wage replacement benefits for job-related injuries, diseases, or deaths. Most of the costs of this account are charged back to the employing agencies of beneficiaries.

FEDERAL EMPLOYEES' COMPENSATION WORKLOAD

| | 1995 actual | 1996 est. | 1997 est. |
|-----------------------------------|-------------|-----------|-----------|
| Wage-loss claims received | 21,755 | 20,000 | 20,000 |
| Compensation and medical payments | 2,420,501 | 2,400,000 | 2,000,000 |
| Cases received | 180,350 | 180,000 | 180,000 |
| Periodic payment cases | 59,605 | 59,600 | 59,000 |

Longshore and harbor workers' compensation benefits.—Under the Longshore and Harbor Workers' Compensation Act, as amended, the Federal Government pays from direct appropriations one-half of the increased benefits provided by the amendments for persons on the rolls prior to 1972. The remainder is provided from the special fund which is financed by private employers assessed at the beginning of each calendar year for their proportionate share of these payments.

Object Classification (in millions of dollars)

| Identification code 16-1521-0-1-600 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| 25.7 Operation and maintenance of equipment | 1 | 1 | 1 |
| 31.0 Equipment | 4 | 11 | 11 |
| 42.0 Insurance claims and indemnities | 1,885 | 2,138 | 1,987 |
| 99.9 Total obligations | 1,890 | 2,150 | 1,999 |

PANAMA CANAL COMMISSION COMPENSATION FUND

Unavailable Collections (in millions of dollars)

| Identification code 16-5155-0-2-602 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Balance, start of year: | | | |
| 01.99 Balance, start of year | | | |
| Receipts: | | | |
| 02.01 Deposits for Panama Commission Compensation Fund, Labor | 12 | 10 | 8 |
| 02.02 Interest on investments, Panama Canal Comm., Labor | | 5 | 5 |
| 02.99 Total receipts | 12 | 15 | 13 |
| Appropriation: | | | |
| 05.01 Panama Canal Commission compensation fund | -12 | -15 | -13 |
| 07.99 Total balance, end of year | | | |

Program and Financing (in millions of dollars)

| Identification code 16-5155-0-2-602 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 10.00 Total obligations (object class 42.0) | 6 | 7 | 7 |

General and special funds—Continued

PANAMA CANAL COMMISSION COMPENSATION FUND—Continued

Program and Financing (in millions of dollars)—Continued

| Identification code 16-5155-0-2-602 | 1995 actual | 1996 est. | 1997 est. |
|--|-------------|-----------|-----------|
| Budgetary resources available for obligation: | | | |
| Unobligated balance available, start of year: | | | |
| U.S. Securities: | | | |
| 21.41 Par value | 58 | 64 | 72 |
| 21.42 Unrealized discounts | -1 | -1 | -1 |
| 21.99 Total unobligated balance, start of year | 57 | 63 | 71 |
| 22.00 New budget authority (gross) | 12 | 15 | 13 |
| 23.90 Total budgetary resources available for obligation | 69 | 78 | 84 |
| 23.95 New obligations | -6 | -7 | -7 |
| Unobligated balance available, end of year: | | | |
| U.S. Securities: | | | |
| 24.41 Par value | 64 | 72 | 79 |
| 24.42 Unrealized discounts | -1 | -1 | -1 |
| 24.99 Total unobligated balance, end of year | 63 | 71 | 78 |
| New budget authority (gross), detail: | | | |
| 60.25 Appropriation (special fund, indefinite) | 12 | 15 | 13 |
| Change in unpaid obligations: | | | |
| 73.10 New obligations | 6 | 7 | 7 |
| 73.20 Total outlays (gross) | -6 | -7 | -7 |
| Outlays (gross), detail: | | | |
| 86.98 Outlays from permanent balances | 6 | 7 | 7 |
| 87.00 Total outlays (gross) | 6 | 7 | 7 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | 12 | 15 | 13 |
| 90.00 Outlays | 6 | 7 | 7 |

Panama Canal Commission Compensation Fund.—This fund has been established to provide for the accumulation of funds to meet the Panama Canal Commission's obligations to defray costs of workers' compensation which will accrue pursuant to the Federal Employees' Compensation Act (FECA). On December 31, 1999, the Commission will be dissolved as set forth in the Panama Canal Treaty of 1977, and the liability of the Commission for payments beyond that date will not end with its termination. The establishment of this fund, into which funds will be deposited on a regular basis by the Commission is in conjunction with the transfer of the administration of the FECA program from the Commission to the Department of Labor effective January 1, 1989.

Trust Funds

BLACK LUNG DISABILITY TRUST FUND

(INCLUDING TRANSFER OF FUNDS)

Beginning in fiscal year 1997 and thereafter, such sums as may be necessary from the Black Lung Disability Trust Fund, to remain available until expended, for payment of all benefits authorized by section 9501(d) (1), (2), (4) and (7), of the Internal Revenue Code of 1954, as amended; and interest on advances as authorized by section 9501(c)(2) of that Act; and in addition, the following amounts shall be available from the Fund for fiscal year 1997 for expenses of operation and administration of the Black Lung Benefits program as authorized by section 9501(d)(5) of that Act: \$26,071,000 for transfer to the Employment Standards Administration, Salaries and Expenses: \$19,621,000 for transfer to Departmental Management, Salaries and Expenses: \$287,000 for transfer to Departmental Management, Office of Inspector General; and \$356,000 for payment into miscellaneous receipts for the expenses of the Department of Treasury.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Unavailable Collections (in millions of dollars)

| Identification code 20-8144-0-7-601 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Balance, start of year: | | | |
| 01.99 Balance, start of year | 3 | 3 | |
| Receipts: | | | |
| 02.01 Transfer from general fund, Black Lung Benefits Revenue Act taxes | 608 | 620 | 633 |
| 02.02 Miscellaneous interest | 4 | 2 | 2 |
| 02.03 Repayable advances from the general fund | 375 | 362 | 373 |
| 02.99 Total receipts | 987 | 984 | 1,008 |
| 04.00 Total: Balances and collections | 990 | 987 | 1,008 |
| Appropriation: | | | |
| 05.01 Black lung disability trust fund | -987 | -987 | -1,008 |
| 05.99 Subtotal appropriation | -987 | -987 | -1,008 |
| 07.99 Balance, end of year | 3 | | |

Program and Financing (in millions of dollars)

| Identification code 20-8144-0-7-601 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.01 Disabled coal miners benefits | 518 | 496 | 496 |
| 00.02 Administrative expenses | 51 | 47 | 47 |
| 00.03 Interest on advances | 419 | 444 | 465 |
| 10.00 Total obligations | 988 | 987 | 1,008 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | 987 | 987 | 1,008 |
| 22.10 Resources available from recoveries of prior year obligations | 1 | | |
| 23.90 Total budgetary resources available for obligation | 988 | 987 | 1,008 |
| 23.95 New obligations | -988 | -987 | -1,008 |
| New budget authority (gross), detail: | | | |
| 40.26 Appropriation (trust fund, definite) | | 1 | 46 |
| 40.27 Appropriation (trust fund, indefinite) | 987 | 986 | 962 |
| 43.00 Appropriation (total) | 987 | 987 | 1,008 |
| 70.00 Total new budget authority (gross) | 987 | 987 | 1,008 |
| Change in unpaid obligations: | | | |
| 73.10 New obligations | 988 | 987 | 1,008 |
| 73.20 Total outlays (gross) | -987 | -987 | -1,008 |
| 73.45 Adjustments in unexpired accounts | -1 | | |
| Outlays (gross), detail: | | | |
| 86.90 Outlays from new current authority | 987 | 987 | 1,008 |
| 87.00 Total outlays (gross) | 987 | 987 | 1,008 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | 987 | 987 | 1,008 |
| 90.00 Outlays | 987 | 987 | 1,008 |

The trust fund consists of all moneys collected from the coal mine industry under the provisions of the Black Lung Benefits Revenue Act of 1981, as amended by the Consolidated Omnibus Budget Reconciliation Act of 1985, in the form of an excise tax on mined coal. These moneys are expended to pay compensation, medical, and survivor benefits to eligible miners and their survivors, where mine employment terminated prior to 1970 or where no mine operator can be assigned liability. In addition the fund pays all administrative costs incurred in the operation of part C of the black lung program. The fund is administered jointly by the Secretaries of Labor, the Treasury, and Health and Human Services. The Benefits Revenue Act provides for repayable advances to the fund in the event fund resources will not be adequate to meet program obligations. Such advances are to be repaid with interest. The outstanding debt at the end of each year was: 1981, \$1,510 million; 1982, \$1,793 million; 1983, \$2,151 million; 1984, \$2,497 million; 1985, \$2,833 million; 1986, \$2,884 million; 1987, \$2,952 million; 1988, \$2,993 million;

1989, \$3,049 million; 1990, \$3,049 million; 1991, \$3,266 million; 1992, \$3,606 million; 1993, \$3,949 million; 1994, \$4,363 million; 1995, \$4,738 million; 1996, \$5,119 million; and 1997, \$5,499 million.

BLACK LUNG DISABILITY TRUST FUND WORKLOAD

| | 1995 actual | 1996 est. | 1997 est. |
|--|-------------|-----------|-----------|
| Claims received | 8,394 | 8,000 | 7,500 |
| Claims in payment status | 67,355 | 65,000 | 62,500 |
| Medical benefits only recipients | 19,169 | 16,500 | 14,500 |

Status of Funds (in millions of dollars)

| Identification code 20-8144-0-7-601 | 1995 actual | 1996 est. | 1997 est. |
|--|-------------|-----------|-----------|
| Unexpended balance, start of year: | | | |
| 0100 Treasury balance | 3 | 3 | |
| Cash income during the year: | | | |
| Governmental receipts: | | | |
| 0200 Transfer from general fund, Black Lung Benefits Revenue Act taxes | 608 | 620 | 633 |
| Proprietary receipts: | | | |
| 0220 Miscellaneous interest, Black Lung fund | 4 | 2 | 2 |
| Intragovernmental transactions: | | | |
| 0240 Repayable advances from the general fund, Black Lung fund | 375 | 362 | 373 |
| 0299 Total cash income | 987 | 984 | 1,008 |
| Cash outgo during year: | | | |
| 0500 Black lung disability trust fund | -987 | -987 | -1,008 |
| Unexpended balance, end of year: | | | |
| 0700 Uninvested balance | 3 | | |

Object Classification (in millions of dollars)

| Identification code 20-8144-0-7-601 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| 25.2 Other services | 51 | 47 | 47 |
| 42.0 Insurance claims and indemnities | 518 | 496 | 496 |
| 43.0 Interest and dividends | 419 | 444 | 465 |
| 99.9 Total obligations | 988 | 987 | 1,008 |

SPECIAL WORKERS' COMPENSATION EXPENSES

Unavailable Collections (in millions of dollars)

| Identification code 16-9971-0-7-601 | 1995 actual | 1996 est. | 1997 est. |
|--|-------------|-----------|-----------|
| Balance, start of year: | | | |
| 01.99 Balance, start of year | | | |
| Receipts: | | | |
| 02.01 Longshoremen's & Harbor Workers Compensation Act, Receipts, Special workers' | 129 | 128 | 137 |
| 02.02 Longshoremen's & Harbor Workers Compensation Act, Earnings on investments, Special workers', Labor | 2 | 2 | 2 |
| 02.03 Workmen's Compensation Act within District of Columbia, Receipts, Special workers' | 14 | 12 | 12 |
| 02.99 Total receipts | 145 | 142 | 151 |
| Appropriation: | | | |
| 05.01 Special workers' compensation expenses | -145 | -142 | -151 |
| 07.99 Total balance, end of year | | | |

Program and Financing (in millions of dollars)

| Identification code 16-9971-0-7-601 | 1995 actual | 1996 est. | 1997 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.01 Longshore and Harbor Workers' Compensation Act, as amended | 117 | 124 | 133 |
| 00.02 District of Columbia Compensation Act | 11 | 11 | 11 |
| 10.00 Total obligations | 128 | 135 | 144 |
| Budgetary resources available for obligation: | | | |
| Unobligated balance available, start of year: | | | |
| 21.40 Uninvested balance | -1 | -2 | -2 |
| U.S. Securities: | | | |
| 21.41 Par value | 59 | 77 | 84 |
| 21.42 Unrealized discounts | -1 | -1 | -1 |
| 21.99 Total unobligated balance, start of year | 57 | 74 | 81 |

| | | | |
|--|------|------|------|
| 22.00 New budget authority (gross) | 145 | 142 | 151 |
| 23.90 Total budgetary resources available for obligation | 202 | 216 | 232 |
| 23.95 New obligations | -128 | -135 | -144 |
| Unobligated balance available, end of year: | | | |
| 24.40 Uninvested balance | -2 | -2 | -2 |
| U.S. Securities: | | | |
| 24.41 Par value | 77 | 84 | 91 |
| 24.42 Unrealized discounts | -1 | -1 | -1 |
| 24.99 Total unobligated balance, end of year | 74 | 81 | 88 |

New budget authority (gross), detail:

| | | | |
|--|-----|-----|-----|
| 60.27 Appropriation (trust fund, indefinite) | 145 | 142 | 151 |
|--|-----|-----|-----|

Change in unpaid obligations:

| | | | |
|---|------|------|------|
| 72.40 Unpaid obligations, start of year: Obligated balance: | | | |
| Appropriation | 3 | 3 | 3 |
| 73.10 New obligations | 128 | 135 | 144 |
| 73.20 Total outlays (gross) | -128 | -135 | -144 |
| 74.40 Unpaid obligations, end of year: Obligated balance: | | | |
| Appropriation | 3 | 3 | 3 |

Outlays (gross), detail:

| | | | |
|--|-----|-----|-----|
| 86.90 Outlays from new current authority | 1 | 1 | 1 |
| 86.93 Outlays from current balances | | | |
| 86.97 Outlays from new permanent authority | 68 | 57 | 59 |
| 86.98 Outlays from permanent balances | 59 | 77 | 84 |
| 87.00 Total outlays (gross) | 128 | 135 | 144 |

Net budget authority and outlays:

| | | | |
|------------------------------|-----|-----|-----|
| 89.00 Budget authority | 145 | 142 | 151 |
| 90.00 Outlays | 128 | 135 | 144 |

Distribution of budget authority by account:

| | | | |
|--|-----|-----|-----|
| Longshore and Harbor Workers' Compensation Act | 131 | 130 | 139 |
| District of Columbia Compensation Act | 14 | 12 | 12 |

Distribution of outlays by account:

| | | | |
|--|-----|-----|-----|
| Longshore and Harbor Workers' Compensation Act | 117 | 124 | 133 |
| District of Columbia Compensation Act | 11 | 11 | 11 |

The trust funds consist of amounts received from employers for the death of an employee where no person is entitled to compensation for such death, for fines and penalty payments, and pursuant to an annual assessment of the industry, for the general expenses of the fund under the Longshore and Harbor Workers' Compensation Act, as amended.

These trust funds are available for payments of additional compensation for second injuries. When a second injury is combined with a previous disability and results in increased permanent partial disability, permanent total disability, or death, the employer's liability for benefits is limited to a specified period of compensation payments after which the fund provides continuing compensation benefits. In addition, the fund pays one-half of the increased benefits provided under the Longshore and Harbor Workers' Compensation Act, as amended, for persons on the rolls prior to 1972. Maintenance payments are made to disabled employees undergoing vocational rehabilitation to enable them to return to remunerative occupations, and the costs of necessary rehabilitation services not otherwise available to disabled workers are defrayed. Payments are made in cases where other circumstances preclude payment by an employer and to provide medical, surgical, and other treatment in disability cases where there has been a default by the insolvency of an uninsured employer.

Object Classification (in millions of dollars)

| Identification code 16-9971-0-7-601 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| 25.3 Purchases of goods and services from Government accounts | 1 | 2 | 1 |
| 42.0 Insurance claims and indemnities | 127 | 133 | 143 |
| 99.9 Total obligations | 128 | 135 | 144 |

**OCCUPATIONAL SAFETY AND HEALTH
ADMINISTRATION**

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses for the Occupational Safety and Health Administration, \$340,851,000, including not to exceed \$73,315,000, which shall be the maximum amount available for grants to States under section 23(g) of the Occupational Safety and Health Act, which grants shall be no less than fifty percent of the costs of State occupational safety and health programs required to be incurred under plans approved by the Secretary under section 18 of the Act; and, in addition, notwithstanding 31 U.S.C. 3302, the Occupational Safety and Health Administration may retain up to \$750,000 per fiscal year of training institute course tuition fees, otherwise authorized by law to be collected, and may utilize such sums until expended for occupational safety and health training and education grants: Provided, That no funds under this paragraph shall be obligated or expended to administer or enforce any standard, rule, regulation, or order under the Act with respect to any employer of ten or fewer employees who is included within a category having an occupational injury lost workday case rate, at the most precise Standard Industrial Classification Code for which such data are published, less than the national average rate as such rates are most recently published by the Secretary, acting through the Bureau of Labor Statistics, in accordance with section 24 of that Act (29 U.S.C. 673), except—

(1) to provide, as authorized by such Act, consultation, technical assistance, educational and training services, and to conduct surveys and studies;

(2) to conduct an inspection or investigation in response to an employee complaint, to issue a citation for violations found during such inspection, and to assess a penalty for violations which are not corrected within a reasonable abatement period and for any willful violations found;

(3) to take any action authorized by such Act with respect to imminent dangers;

(4) to take any action authorized by such Act with respect to health hazards;

(5) to take any action authorized by such Act with respect to a report of an employment accident which is fatal to one or more employees or which results in hospitalization of two or more employees, and to take any action pursuant to such investigation authorized by such Act; and

(6) to take any action authorized by such Act with respect to complaints of discrimination against employees for exercising rights under such Act. (29 U.S.C. 651 et seq.; 33 U.S.C. 941 et seq.; 40 U.S.C. 333 et seq.; 41 U.S.C. 35 et seq., and 351 et seq.)

Note.—A regular 1996 appropriation for this account has not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 16-0400-0-1-554 | 1995 actual | 1996 est. | 1997 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| Direct program: | | | |
| 00.01 Safety and health standards | 8 | 8 | 18 |
| 00.02 Federal enforcement | 144 | 116 | 115 |
| 00.03 State programs | 71 | 60 | 73 |
| 00.04 Technical support | 20 | 16 | 21 |
| 00.05 Compliance assistance | 44 | 45 | 92 |
| 00.06 Safety and health statistics | 17 | 13 | 15 |
| 00.07 Executive direction and administration | 8 | 6 | 7 |
| 00.91 Total direct program | 312 | 264 | 341 |
| 01.01 Reimbursable program | 2 | 2 | 2 |
| 10.00 Total obligations | 314 | 266 | 343 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | 314 | 266 | 343 |
| 23.95 New obligations | -314 | -266 | -343 |
| New budget authority (gross), detail: | | | |
| Current: | | | |
| 40.00 Appropriation | 312 | 264 | 341 |

| | | | |
|---|-----|-----|-----|
| Permanent: | | | |
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) | 2 | 2 | 2 |
| 70.00 Total new budget authority (gross) | 314 | 266 | 343 |

| | | | |
|---|------|------|------|
| Change in unpaid obligations: | | | |
| Unpaid obligations, start of year: | | | |
| 72.40 Obligated balance: Appropriation | 35 | 50 | 44 |
| 72.95 Orders on hand from Federal sources | 1 | 1 | 1 |
| 72.99 Total unpaid obligations, start of year | 36 | 51 | 45 |
| 73.10 New obligations | 314 | 266 | 343 |
| 73.20 Total outlays (gross) | -295 | -272 | -337 |
| 73.40 Adjustments in expired accounts | -4 | | |
| Unpaid obligations, end of year: | | | |
| 74.40 Obligated balance: Appropriation | 50 | 44 | 50 |
| 74.95 Orders on hand from Federal sources | 1 | 1 | 1 |
| 74.99 Total unpaid obligations, end of year | 51 | 45 | 51 |

| | | | |
|--|-----|-----|-----|
| Outlays (gross), detail: | | | |
| 86.90 Outlays from new current authority | 264 | 238 | 307 |
| 86.93 Outlays from current balances | 29 | 32 | 28 |
| 86.97 Outlays from new permanent authority | 2 | 2 | 2 |
| 87.00 Total outlays (gross) | 295 | 272 | 337 |

| | | | |
|---|----|----|----|
| Offsets: | | | |
| Against gross budget authority and outlays: | | | |
| 88.00 Offsetting collections (cash) from: Federal sources | -2 | -2 | -2 |

| | | | |
|--|-----|-----|-----|
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | 312 | 264 | 341 |
| 90.00 Outlays | 293 | 270 | 335 |

Summary of Budget Authority and Outlays

(in millions of dollars)

| Enacted/requested: | 1995 actual | 1996 est. | 1997 est. |
|--|-------------|-----------|-----------|
| Budget Authority | 312 | 264 | 341 |
| Outlays | 293 | 270 | 335 |
| Adjustment to 1996 continuing resolution levels: | | | |
| Budget Authority | | 45 | |
| Outlays | | 41 | 4 |
| Total: | | | |
| Budget Authority | 312 | 309 | 341 |
| Outlays | 293 | 311 | 339 |

Safety and Health Standards.—The safety and health standards activity provides for the development, promulgation, review and evaluation of occupational safety and health standards under procedures providing opportunity for public comment. Before any standard is proposed or promulgated, a determination is made that: (1) a significant risk of serious injury or health impairment exists; (2) the standard will reduce this risk; (3) the standard is economically and technologically sound; and (4) the standard is cost-effective when compared with alternative regulatory proposals providing equal levels of protection. OSHA's reform efforts in the standards-setting area involve identifying clear priorities, focusing on key building block rules, eliminating and simplifying outdated standards, and emphasizing business-labor partnerships.

Enforcement.—This activity provides for the enforcement of workplace standards promulgated under the Occupational Safety and Health Act of 1970 through the physical inspection of worksites, and by fostering the voluntary cooperation of employers and employees. This activity also provides grants to assist States in administering and enforcing State standards. Programs are targeted to the investigation of claims of imminent danger and employee complaints, investigation of fatal and catastrophic accidents, programmed inspections of firms with injury-illness rates that are above the national average, and special emphasis inspections for serious safety and health hazards. OSHA's enforcement strategy includes a selective targeting of inspections and related compliance activities to specific high hazard industries. OSHA's enforce-

ment program is undergoing major reforms by offering employers a choice between a partnership and a traditional enforcement relationship.

Technical support.—Technical assistance is provided on all aspects of standards setting, variances, compliance assistance, and enforcement programs. Laboratory support is provided to compliance officers. Comprehensive scientific and technical information services are made available. The economic and environmental impacts of proposed standards are analyzed.

Compliance Assistance.—This activity supports a variety of employer and employee assistance programs, consistent with OSHA's partnership initiatives. Under cooperative agreements, State agencies provide free onsite consultation to employers upon request. Grants are awarded to nonprofit organizations to provide employee and employer training programs, targeted to address specific industry needs for safety and health education. Employers are encouraged to establish voluntary employee protection programs, and Federal agencies are assisted in implementing job safety and health programs for their employees. Professional training for compliance personnel and others with related workplace safety and health responsibilities is conducted at the OSHA Training Institute. Staff resources dedicated to providing compliance assistance in the field have been transferred from the Federal Enforcement activity to Compliance Assistance, to identify more clearly the nature of agency activities.

Statistics.—Management information and statistical support for OSHA's programs and field operations are provided through an integrated data network, and statistical analysis and review. OSHA administers and maintains the record-keeping system which serves as the foundation for the BLS survey on occupational injuries and illnesses, provides guidance and recordkeeping requirements to both the public and private sectors, and develops regulations along with interpretive publications and materials.

Executive direction and administration.—These activities include executive direction, planning and evaluation, management support, legislative liaison, interagency affairs, administrative services, and budgeting and financial control.

PROGRAM STATISTICS

| | 1995 actual | 1996 est. | 1997 est. |
|---------------------------------|-------------|-----------|-----------|
| Standards promulgated | 1 | 5 | 11 |
| Inspections: | | | |
| Federal inspections | 29,113 | 24,000 | 24,000 |
| State program inspections | 60,573 | 59,000 | 57,800 |
| Training and consultations: | | | |
| Training grants supported | 30 | 30 | 30 |
| Consultation visits | 30,058 | 24,000 | 25,000 |

Object Classification (in millions of dollars)

| Identification code 16-0400-0-1-554 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 104 | 102 | 120 |
| 11.3 Other than full-time permanent | 2 | 1 | 1 |
| 11.5 Other personnel compensation | 1 | | 2 |
| 11.9 Total personnel compensation | 107 | 103 | 123 |
| 12.1 Civilian personnel benefits | 23 | 24 | 28 |
| 13.0 Benefits for former personnel | 2 | | |
| 21.0 Travel and transportation of persons | 8 | 4 | 9 |
| 23.1 Rental payments to GSA | 16 | 16 | 17 |
| 23.3 Communications, utilities, and miscellaneous charges | 3 | 3 | 3 |
| 24.0 Printing and reproduction | 1 | 1 | 2 |
| 25.1 Advisory and assistance services | 5 | 1 | 5 |
| 25.2 Other services | 38 | 30 | 50 |
| 25.3 Purchases of goods and services from Government accounts | 13 | 13 | 12 |
| 25.7 Operation and maintenance of equipment | 8 | 5 | 6 |
| 26.0 Supplies and materials | 5 | 2 | 3 |
| 31.0 Equipment | 9 | 2 | 7 |
| 41.0 Grants, subsidies, and contributions | 73 | 60 | 76 |
| 99.0 Subtotal, direct obligations | 311 | 264 | 341 |

| | | | |
|--------------------------------------|-----|-----|-----|
| 99.0 Reimbursable obligations | 2 | 2 | 2 |
| 99.5 Below reporting threshold | 1 | | |
| 99.9 Total obligations | 314 | 266 | 343 |

Personnel Summary

| Identification code 16-0400-0-1-554 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Total compensable workyears: | | | |
| 1001 Full-time equivalent employment | 2,196 | 2,026 | 2,415 |
| 1005 Full-time equivalent of overtime and holiday hours | 3 | 2 | 4 |

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriations as follows:
Environmental Protection Agency: Hazardous Substance Response Trust Fund.

MINE SAFETY AND HEALTH ADMINISTRATION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses for the Mine Safety and Health Administration, \$204,182,000 of which \$5,718,000 shall be for the State Grants Program, including purchase and bestowal of certificates and trophies in connection with mine rescue and first-aid work, and the hire of passenger motor vehicles; the Secretary is authorized to accept lands, buildings, equipment, and other contributions from public and private sources and to prosecute projects in cooperation with other agencies, Federal, State or private; the Mine Safety and Health Administration is authorized to promote health and safety education and training in the mining community through cooperative programs with States, industry, and safety associations; and any funds available to the Department may be used, with the approval of the Secretary, to provide for the costs of mine rescue and survival operations in the event of a major disaster. (30 U.S.C. 1-11, 801, 951 et seq; 91 Stat. 1290-1322; Stat. 111, 137.)

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91 P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 16-1200-0-1-554 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| Direct program: | | | |
| Enforcement: | | | |
| 00.01 Coal | 107 | 99 | 109 |
| 00.02 Metal/non-metal | 42 | 39 | 45 |
| 00.03 Standards development | 1 | 1 | 1 |
| 00.04 Assessments | 4 | 3 | 4 |
| 00.05 Educational policy and development | 15 | 14 | 15 |
| 00.06 Technical support | 22 | 21 | 22 |
| 00.07 Program administration | 9 | 8 | 8 |
| 10.00 Total obligations | 200 | 185 | 204 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | 200 | 185 | 204 |
| 23.95 New obligations | -200 | -185 | -204 |
| New budget authority (gross), detail: | | | |
| 40.00 Appropriation | 200 | 185 | 204 |
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance: | | | |
| Appropriation | 14 | 22 | 21 |
| 73.10 New obligations | 200 | 185 | 204 |
| 73.20 Total outlays (gross) | -191 | -186 | -203 |
| 73.40 Adjustments in expired accounts | -2 | | |
| 74.40 Unpaid obligations, end of year: Obligated balance: | | | |
| Appropriation | 22 | 21 | 22 |
| Outlays (gross), detail: | | | |
| 86.90 Outlays from new current authority | 179 | 169 | 187 |

General and special funds—Continued**SALARIES AND EXPENSES—Continued****Program and Financing (in millions of dollars)—Continued**

| Identification code 16-1200-0-1-554 | 1995 actual | 1996 est. | 1997 est. |
|--|-------------|-----------|-----------|
| 86.93 Outlays from current balances | 12 | 17 | 16 |
| 86.97 Outlays from new permanent authority | | | |
| 87.00 Total outlays (gross) | 191 | 186 | 203 |
| Net budget authority and outlays: | | | |
| 89.00 Budget authority | 200 | 185 | 204 |
| 90.00 Outlays | 191 | 186 | 203 |

Summary of Budget Authority and Outlays

(in millions of dollars)

| Enacted/requested: | 1995 actual | 1996 est. | 1997 est. |
|--|-------------|-----------|-----------|
| Budget Authority | 200 | 185 | 204 |
| Outlays | 191 | 186 | 203 |
| Adjustment to 1996 continuing resolution levels: | | | |
| Budget Authority | | 14 | |
| Outlays | | 13 | 1 |
| Total: | | | |
| Budget Authority | 200 | 199 | 204 |
| Outlays | 191 | 199 | 204 |

Enforcement.—The Enforcement strategy in 1997 will be an integrated approach that links all actions to preventing occupational injuries and illness. These include inspection of mines as mandated by the Federal Mine Safety and Health Act of 1977, special emphasis initiatives that focus on persistent safety and health hazards, promulgation of safety and health standards, investigation of serious accidents, and on-site education and training assistance. The desired outcome of these enforcement efforts is to at least maintain or lower fatality and injury rates.

Assessments.—This activity assesses and collects civil monetary penalties for violations of safety and health standards.

Educational policy and development.—This activity develops and coordinates MSHA's mine safety and health education and training policies, and provides classroom instruction at the National Academy for MSHA personnel, other governmental personnel, and the mining industry. States provide mine health and safety training materials, and provide technical assistance through the State Grants program.

Technical support.—This activity applies engineering and scientific expertise through field and laboratory forensic investigations to resolve technical problems associated with implementation of the Mine Act. Technical support administers a fee program to approve equipment, materials, and explosives for use in mines and performs field and laboratory audits of equipment previously approved by MSHA. It also collects and analyzes data relative to the cause, frequency, and circumstances of accidents.

Program administration.—This activity provides for general administrative functions.

PROGRAM STATISTICS

| | 1995 actual | 1996 est. | 1997 est. |
|--|-------------|-----------|-----------|
| Enforcement: | | | |
| Fatality Rates: | | | |
| Coal mines | .04 | .04 | .04 |
| Metal/non-metal mines | .02 | .02 | .02 |
| Non-fatal lost time injury rates: | | | |
| Coal mines | 5.91 | 5.91 | 5.91 |
| Metal/Non-metal mines | 2.98 | 2.98 | 2.98 |
| Regulations promulgated | 4 | 13 | 12 |
| Assessments: | | | |
| Violations assessed | 132,321 | 140,000 | 140,000 |
| Educational policy and development: | | | |
| Course days | 550 | 500 | 550 |
| Technical support: | | | |
| Equipment approvals | 1,642 | 1,700 | 1,700 |

| | | | |
|-----------------------------------|--------|--------|--------|
| Field investigations | 1,459 | 1,515 | 1,515 |
| Laboratory samples analyzed | 60,188 | 80,000 | 80,000 |

Note.—Incidence rates represent the number of injuries that occur for each 200,000 employee-hours worked.

Object Classification (in millions of dollars)

| Identification code 16-1200-0-1-554 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 110 | 108 | 112 |
| 11.3 Other than full-time permanent | 1 | 1 | 1 |
| 11.5 Other personnel compensation | 2 | 1 | 2 |
| 11.9 Total personnel compensation | 113 | 110 | 115 |
| 12.1 Civilian personnel benefits | 31 | 29 | 34 |
| 13.0 Benefits for former personnel | 1 | | |
| 21.0 Travel and transportation of things | 7 | 5 | 7 |
| 22.0 Transportation of things | 3 | 2 | 3 |
| 23.1 Rental payments to GSA | 9 | 9 | 9 |
| 23.3 Communications, utilities, and miscellaneous charges | 2 | 2 | 2 |
| 24.0 Printing and reproduction | 1 | 1 | 1 |
| 25.2 Other services | 3 | 3 | 4 |
| 25.3 Purchases of goods and services from Government accounts | 8 | 8 | 8 |
| 25.7 Operation and maintenance of equipment | 3 | 3 | 3 |
| 26.0 Supplies and materials | 3 | 3 | 3 |
| 31.0 Equipment | 9 | 5 | 9 |
| 41.0 Grants, subsidies, and contributions | 6 | 5 | 6 |
| 99.5 Below reporting threshold | 1 | | |
| 99.9 Total obligations | 200 | 185 | 204 |

Personnel Summary

| Identification code 16-1200-0-1-554 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Total compensable workyears: | | | |
| 1001 Full-time equivalent employment | 2,378 | 2,250 | 2,318 |
| 1005 Full-time equivalent of overtime and holiday hours | 10 | 10 | 10 |

BUREAU OF LABOR STATISTICS**Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For necessary expenses for the Bureau of Labor Statistics, including advances or reimbursements to State, Federal, and local agencies and their employees for services rendered, \$320,378,000, of which \$16,145,000 shall be for expenses of revising the Consumer Price Index and shall remain available until September 30, 1998; together with not to exceed \$52,053,000, which may be expended from the Employment Security Administration account in the Unemployment Trust Fund.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 16-0200-0-1-505 | 1995 actual | 1996 est. | 1997 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| Direct program: | | | |
| 00.01 Labor force statistics | 156 | 151 | 163 |
| 00.02 Prices and cost of living | 95 | 98 | 102 |
| 00.03 Compensation and working conditions | 61 | 53 | 56 |
| 00.04 Productivity and technology | 7 | 7 | 7 |
| 00.05 Employment projections | 4 | 4 | 5 |
| 00.06 Executive direction and staff services | 21 | 22 | 23 |
| 00.07 Consumer price index revision | 5 | 12 | 16 |
| 00.91 Total direct program | 349 | 347 | 372 |
| 01.01 Reimbursable program | 22 | 18 | 18 |
| 10.00 Total obligations | 371 | 365 | 390 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | 372 | 365 | 390 |
| 22.30 Unobligated balance expiring | -1 | | |
| 23.90 Total budgetary resources available for obligation | 371 | 365 | 390 |

| | | | | |
|--|---|------|------|------|
| 23.95 | New obligations | -371 | -365 | -390 |
| New budget authority (gross), detail: | | | | |
| Current: | | | | |
| 40.00 | Appropriation | 299 | 297 | 320 |
| 40.35 | Appropriation rescinded | -3 | | |
| 43.00 | Appropriation (total) | 296 | 297 | 320 |
| Permanent: | | | | |
| 68.00 | Spending authority from offsetting collections: Offsetting collections (cash) | 76 | 68 | 70 |
| 70.00 | Total new budget authority (gross) | 372 | 365 | 390 |
| Change in unpaid obligations: | | | | |
| 72.40 | Unpaid obligations, start of year: Obligated balance: | | | |
| | Appropriation | 53 | 67 | 70 |
| 73.10 | New obligations | 371 | 365 | 390 |
| 73.20 | Total outlays (gross) | -354 | -362 | -381 |
| 73.40 | Adjustments in expired accounts | -3 | | |
| 74.40 | Unpaid obligations, end of year: Obligated balance: | | | |
| | Appropriation | 67 | 70 | 79 |
| Outlays (gross), detail: | | | | |
| 86.90 | Outlays from new current authority | 243 | 243 | 262 |
| 86.93 | Outlays from current balances | 35 | 51 | 49 |
| 86.97 | Outlays from new permanent authority | 76 | 68 | 70 |
| 87.00 | Total outlays (gross) | 354 | 362 | 381 |
| Offsets: | | | | |
| Against gross budget authority and outlays: | | | | |
| Offsetting collections (cash) from: | | | | |
| 88.00 | Federal sources | -74 | -67 | -69 |
| 88.40 | Non-Federal sources | -2 | -1 | -1 |
| 88.90 | Total, offsetting collections (cash) | -76 | -68 | -70 |
| Net budget authority and outlays: | | | | |
| 89.00 | Budget authority | 296 | 297 | 320 |
| 90.00 | Outlays | 278 | 294 | 311 |

Summary of Budget Authority and Outlays

(in millions of dollars)

| | | | |
|--|-------------|-----------|-----------|
| Enacted/requested: | 1995 actual | 1996 est. | 1997 est. |
| Budget Authority | 296 | 297 | 320 |
| Outlays | 278 | 294 | 311 |
| Adjustment to 1996 continuing resolution levels: | | | |
| Budget Authority | | 12 | |
| Outlays | | 10 | 2 |
| Total: | | | |
| Budget Authority | 296 | 309 | 320 |
| Outlays | 278 | 304 | 313 |

Labor force statistics.—Publishes monthly estimates of the labor force, employment, unemployment, and earnings for the Nation, States, and local areas. Makes studies of the labor force. Publishes data on covered employment and wages, by industry.

| | | | |
|--|-------------|-----------|-----------|
| Labor force statistics (selected items): | 1995 actual | 1996 est. | 1997 est. |
| Covered employment and wages (quarterly series) | 1,000,201 | 1,000,201 | 1,000,201 |
| Employment and unemployment estimates for States and local areas (monthly and annual series) | 85,528 | 86,300 | 87,100 |
| Occupational employment statistics (annual series on 3-year cycle) | 23,940 | 19,700 | 13,400 |

Prices and cost of living.—Publishes the Consumer Price Index (CPI), the Producer Price Index (PPI), Export and Import Price Indexes (IP), estimates of consumers' expenditures, and studies of price change.

| | | | |
|--|-------------|-----------|-----------|
| Consumer price indexes published (monthly) | 1995 actual | 1996 est. | 1997 est. |
| 8,274 | 8,274 | 8,274 | |
| Producer prices: | | | |
| (a) Commodity indexes published (monthly) | 3,082 | 3,082 | 3,082 |
| (b) Mining and manufacturing indexes published (monthly) | 9,652 | 9,652 | 9,652 |
| International prices and price indexes: | | | |
| (a) Sample units initiated (annually) | 3,600 | 3,200 | 3,200 |
| (b) Price quotations collected (monthly) | 28,000 | 26,000 | 26,000 |

Compensation and working conditions.—Data on wages and benefits are collected and analyzed by occupation for major labor markets and industries. Annual information is compiled to estimate the incidence and number of work-related injuries, illnesses, and fatalities. Included are resources to continue producing surveys to support the implementation of the Federal Employees Pay Comparability Act of 1990.

| | | | |
|--|-------------|-----------|-----------|
| Compensation and working conditions (major items): | 1995 actual | 1996 est. | 1997 est. |
| Employment cost index—number of schedules | 5,750 | 6,037 | 6,325 |
| Occupational Safety and Health—number of schedules | 273,200 | 251,061 | 280,000 |
| Federal pay reform—number of schedules | 20,218 | 22,781 | 26,881 |

Productivity and technology.—Provides studies of productivity changes for industries and major economic sectors. Develops international comparisons of productivity and cost. Studies the effects of technology change on employment and productivity.

| | | | |
|--|-------------|-----------|-----------|
| Studies, articles, and special reports | 1995 actual | 1996 est. | 1997 est. |
| 44 | 42 | 41 | |
| Series maintained | 4,798 | 4,912 | 4,893 |

Employment projections.—Provides economic projections, including changes in the level and structure of economic growth, and industry employment and occupational projections. Publishes the *Occupational Outlook Handbook and Quarterly*.

| | | | |
|---|-------------|-----------|-----------|
| Industry projections (2 year cycle) | 1995 actual | 1996 est. | 1997 est. |
| 114 | 114 | 114 | |
| Occupational Outlook Handbook statements (2 year cycle) | 125 | 125 | 125 |
| Studies and publications | 2 | 2 | 1 |

Executive direction and staff services.—Provides planning and policy for the Bureau of Labor Statistics, operates the management information system, coordinates research, and publishes data and reports for government and public use.

Consumer Price Index Revision.—The Consumer Price Index Revision began in 1995 and will be completed in 2000. The revision includes new market baskets of goods and services as well as improvements in collecting and processing data for the CPI and for surveys which support the CPI.

Object Classification (in millions of dollars)

| | | | |
|---|-------------|-----------|-----------|
| Identification code 16-0200-0-1-505 | 1995 actual | 1996 est. | 1997 est. |
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 100 | 106 | 112 |
| 11.3 Other than full-time permanent | 5 | 6 | 7 |
| 11.5 Other personnel compensation | 2 | 1 | 2 |
| 11.9 Total personnel compensation | 107 | 113 | 121 |
| 12.1 Civilian personnel benefits | 21 | 24 | 26 |
| 21.0 Travel and transportation of persons | 6 | 6 | 7 |
| 22.0 Transportation of things | | 1 | |
| 23.1 Rental payments to GSA | 28 | 26 | 27 |
| 23.3 Communications, utilities, and miscellaneous charges | 2 | 3 | 3 |
| 24.0 Printing and reproduction | 1 | 2 | 2 |
| 25.2 Other services | 29 | 26 | 28 |
| 25.3 Purchases of goods and services from Government accounts | 66 | 77 | 86 |
| 25.5 Research and development contracts | 2 | 9 | 10 |
| 25.7 Operation and maintenance of equipment | 16 | 2 | 2 |
| 26.0 Supplies and materials | 3 | 2 | 2 |
| 31.0 Equipment | 8 | 6 | 6 |
| 41.0 Grants, subsidies, and contributions | 60 | 50 | 52 |
| 99.0 Subtotal, direct obligations | 349 | 347 | 372 |
| 99.0 Reimbursable obligations | 22 | 18 | 18 |
| 99.9 Total obligations | 371 | 365 | 390 |

Personnel Summary

| | | | |
|---|-------------|-----------|-----------|
| Identification code 16-0200-0-1-505 | 1995 actual | 1996 est. | 1997 est. |
| Direct: | | | |
| Total compensable workyears: | | | |
| 1001 Full-time equivalent employment | 2,301 | 2,337 | 2,431 |
| 1005 Full-time equivalent of overtime and holiday hours | 9 | 9 | 9 |

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Personnel Summary—Continued

| Identification code 16-0200-0-1-505 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Reimbursable: | | | |
| 2001 Total compensable workyears: Full-time equivalent employment | 103 | 121 | 121 |

DEPARTMENTAL MANAGEMENT

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses for Departmental Management, including the hire of three sedans, and including up to \$4,389,000 for the President's Committee on Employment of People With Disabilities, \$146,234,000; together with not to exceed \$303,000, which may be expended from the Employment Security Administration account in the Unemployment Trust Fund. (37 Stat. 736, 738, 63 Stat. 409.)

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 16-0165-0-1-505 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| Direct program: | | | |
| 00.01 Program direction and support | 21 | 18 | 19 |
| 00.02 Legal services | 68 | 63 | 68 |
| 00.03 International labor affairs | 12 | 6 | 9 |
| 00.04 Administration and management | 15 | 14 | 14 |
| 00.05 Adjudication | 37 | 32 | 35 |
| 00.06 Promoting employment of people with disabilities | 4 | 4 | 4 |
| 00.07 Women's bureau | 8 | 8 | 8 |
| 00.09 Civil rights | 5 | 5 | 5 |
| 00.10 Chief financial officer | 5 | 4 | 4 |
| 00.11 Enforcement automation | 2 | | |
| 00.91 Total direct program | 177 | 154 | 166 |
| 01.01 Reimbursable program | 13 | 13 | 13 |
| 10.00 Total obligations | 190 | 167 | 179 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | 190 | 167 | 179 |
| 23.95 New obligations | -190 | -167 | -179 |
| New budget authority (gross), detail: | | | |
| Current: | | | |
| 40.00 Appropriation | 154 | 134 | 146 |
| Permanent: | | | |
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) | 36 | 33 | 33 |
| 70.00 Total new budget authority (gross) | 190 | 167 | 179 |
| Change in unpaid obligations: | | | |
| 72.40 Unpaid obligations, start of year: Obligated balance: | | | |
| Appropriation | 14 | 14 | 14 |
| 73.10 New obligations | 190 | 167 | 179 |
| 73.20 Total outlays (gross) | -188 | -167 | -177 |
| 73.40 Adjustments in expired accounts | -3 | | |
| 74.40 Unpaid obligations, end of year: Obligated balance: | | | |
| Appropriation | 14 | 14 | 16 |
| Outlays (gross), detail: | | | |
| 86.90 Outlays from new current authority | 141 | 120 | 131 |
| 86.93 Outlays from current balances | 10 | 13 | 13 |
| 86.97 Outlays from new permanent authority | 36 | 33 | 33 |
| 87.00 Total outlays (gross) | 188 | 167 | 177 |
| Offsets: | | | |
| Against gross budget authority and outlays: | | | |
| Offsetting collections (cash) from: | | | |
| 88.00 Federal sources | -33 | -29 | -29 |

| | | | |
|--|-----|-----|-----|
| 88.40 Non-Federal sources | -3 | -4 | -4 |
| 88.90 Total, offsetting collections (cash) | -36 | -33 | -33 |

Net budget authority and outlays:

| | | | |
|------------------------------|-----|-----|-----|
| 89.00 Budget authority | 154 | 134 | 146 |
| 90.00 Outlays | 152 | 134 | 144 |

Program direction and support.—Provides leadership and direction for all programs and functions assigned to the Department. Provides guidance for the development and implementation of governmental policy to protect and promote the interests of the American worker, toward achieving better employment and earnings, promoting productivity and economic growth, safety, equity and affirmative action in employment, and collecting and analyzing statistics on the labor force.

Legal services.—Provides the Secretary of Labor and Departmental program officials with the legal services required to accomplish the Department's mission. The major services include litigating cases, providing assistance to the Department of Justice in case preparation and trials, reviewing rules, orders and written interpretations and opinions for DOL program agencies and the public, and coordinating the Department's legislative program.

International labor affairs.—Coordinates the Department of Labor's international responsibilities, including support of U.S. foreign policy objectives through relationships with international organizations and foreign governments, analysis on the labor market and economic impact of trade proposals, trade legislation and immigration-related initiatives; and assures compliance with worker rights provisions in U.S. trade law.

Administration and management.—Exercises leadership in all Departmental administrative and management programs and services and ensures efficient and effective operation of Departmental programs; provides policy guidance on matters of personnel management, information resource management and procurement; and provides for consistent and constructive internal labor-management relations throughout the Department.

Adjudication.—Conducts formal hearings and renders timely decisions on claims filed under the Black Lung Benefits Act, the Longshore and Harbor Workers' Compensation Act and its extensions, the Federal Employees' Compensation Act and other acts involving complaints to determine violations of minimum wage requirements, overtime payments, health and safety regulations and unfair labor practices.

Promoting employment of people with disabilities.—The President's Committee on Employment of People With Disabilities provides leadership to eliminate employment barriers to people with physical, mental and communications disabilities.

Women's bureau.—Promotes the interests of wage earning women, and seeks to improve their working conditions and advance their opportunities for profitable employment.

Civil rights.—Ensures full compliance with title VI of the Civil Rights Act of 1964 and other regulatory nondiscrimination provisions in programs receiving financial assistance from the Department of Labor and promotes equal opportunity in these programs and activities; and ensures equal employment opportunity to all DOL employees and applicants for employment.

Chief financial officer.—Responsible for enhancing the level of knowledge and skills of Departmental staff working in financial management operations; developing comprehensive accounting and financial management policies; assuring that all DOL financial functions conform to applicable standards; providing leadership and coordination to DOL agencies' trust and benefit fund financial actions; monitoring the financial execution of the budget in relation to actual expenditures;

and managing a comprehensive training program for budget, accounting, and financial support staff.

Object Classification (in millions of dollars)

| Identification code 16-0165-0-1-505 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 94 | 90 | 100 |
| 11.3 Other than full-time permanent | 4 | 1 | 1 |
| 11.5 Other personnel compensation | 1 | | |
| 11.9 Total personnel compensation | 99 | 91 | 101 |
| 12.1 Civilian personnel benefits | 18 | 17 | 19 |
| 13.0 Benefits for former personnel | 1 | | |
| 21.0 Travel and transportation of persons | 3 | 3 | 3 |
| 23.1 Rental payments to GSA | 18 | 16 | 16 |
| 23.3 Communications, utilities, and miscellaneous charges | 2 | 2 | 2 |
| 24.0 Printing and reproduction | 1 | 1 | 1 |
| 25.1 Advisory and assistance services | 3 | 2 | 2 |
| 25.2 Other services | 5 | 6 | 6 |
| 25.3 Purchases of goods and services from Government accounts | 12 | 10 | 11 |
| 25.7 Operation and maintenance of equipment | 4 | 1 | 2 |
| 26.0 Supplies and materials | 2 | 2 | 1 |
| 31.0 Equipment | 4 | 1 | |
| 41.0 Grants, subsidies, and contributions | 4 | 2 | 2 |
| 99.0 Subtotal, direct obligations | 176 | 154 | 166 |
| 99.0 Reimbursable obligations | 11 | 11 | 11 |
| 99.5 Below reporting threshold | 3 | 2 | 2 |
| 99.9 Total obligations | 190 | 167 | 179 |

Personnel Summary

| Identification code 16-0165-0-1-505 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Direct: | | | |
| Total compensable workyears: | | | |
| 1001 Full-time equivalent employment | 1,714 | 1,516 | 1,625 |
| 1005 Full-time equivalent of overtime and holiday hours | 6 | 10 | 10 |
| Reimbursable: | | | |
| 2001 Total compensable workyears: Full-time equivalent employment | 66 | 60 | 60 |

OFFICE OF INSPECTOR GENERAL

For salaries and expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, \$44,472,000, together with not to exceed \$3,615,000 which may be expended from the Employment Security Administration account in the Unemployment Trust Fund.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 16-0106-0-1-505 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| Direct program: | | | |
| 00.01 Program activities | 45 | 42 | 42 |
| 00.02 Executive direction and management | 7 | 6 | 6 |
| 00.91 Total direct program | 52 | 48 | 48 |
| 01.01 Reimbursable program | 2 | 2 | 2 |
| 10.00 Total obligations | 54 | 50 | 50 |
| Budgetary resources available for obligation: | | | |
| 22.00 New budget authority (gross) | 55 | 50 | 50 |
| 23.95 New obligations | -54 | -50 | -50 |
| New budget authority (gross), detail: | | | |
| Current: | | | |
| 40.00 Appropriation | 48 | 44 | 44 |
| Permanent: | | | |
| 68.00 Spending authority from offsetting collections: Offsetting collections (cash) | 7 | 6 | 6 |

| | | | |
|--|----|----|----|
| 70.00 Total new budget authority (gross) | 55 | 50 | 50 |
|--|----|----|----|

Change in unpaid obligations:

| | | | |
|---|-----|-----|-----|
| 72.40 Unpaid obligations, start of year: Obligated balance: | | | |
| Appropriation | 6 | 7 | 6 |
| 73.10 New obligations | 54 | 50 | 50 |
| 73.20 Total outlays (gross) | -53 | -50 | -50 |
| 74.40 Unpaid obligations, end of year: Obligated balance: | | | |
| Appropriation | 7 | 6 | 6 |

Outlays (gross), detail:

| | | | |
|--|----|----|----|
| 86.90 Outlays from new current authority | 48 | 39 | 39 |
| 86.93 Outlays from current balances | 5 | 5 | 5 |
| 86.97 Outlays from new permanent authority | | 6 | 6 |
| 87.00 Total outlays (gross) | 53 | 50 | 50 |

Offsets:

| | | | |
|---|----|----|----|
| Against gross budget authority and outlays: | | | |
| 88.00 Offsetting collections (cash) from: Federal sources | -7 | -6 | -6 |

Net budget authority and outlays:

| | | | |
|------------------------------|----|----|----|
| 89.00 Budget authority | 48 | 44 | 44 |
| 90.00 Outlays | 46 | 44 | 44 |

Program activities.—Program activities within the Office of Inspector General include audit, program fraud, labor racketeering and special evaluations and inspections of program activities. The audit activity performs audits of the Department's financial statements, programs, activities, and systems to determine whether information is reliable, controls are in place, resources are safeguarded, funds are expended in a manner consistent with laws and regulations and managed economically and efficiently, and desired program results are achieved. The program fraud activity administers an investigative program to detect and deter fraud, waste and abuse in Departmental programs. The labor racketeering activity identifies and reduces labor racketeering and corruption in employee benefit plans, labor-management relations, and internal union affairs.

| | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Audits Studies and Reviews | 548 | 501 | 492 |
| Program Fraud Investigations | 975 | 1,128 | 1,081 |
| Labor Racketeering Investigations | 417 | 432 | 421 |

Executive direction and management.—This activity includes the management, legal counsel, administrative support, planning, evaluation, legislative liaison, personnel and financial functions for the OIG.

Object Classification (in millions of dollars)

| Identification code 16-0106-0-1-505 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 25 | 26 | 27 |
| 11.5 Other personnel compensation | 3 | 3 | 3 |
| 11.9 Total personnel compensation | 28 | 29 | 30 |
| 12.1 Civilian personnel benefits | 6 | 5 | 5 |
| 21.0 Travel and transportation of persons | 3 | 3 | 3 |
| 23.1 Rental payments to GSA | 3 | 4 | 4 |
| 23.3 Communications, utilities, and miscellaneous charges | 1 | | |
| 25.1 Advisory and assistance services | 1 | 1 | 1 |
| 25.2 Other services | 5 | 3 | 2 |
| 25.3 Purchases of goods and services from Government accounts | 2 | 2 | 2 |
| 25.7 Operation and maintenance of equipment | 1 | 1 | 1 |
| 31.0 Equipment | 1 | | |
| 99.0 Subtotal, direct obligations | 51 | 48 | 48 |
| 99.0 Reimbursable obligations | 1 | 2 | 2 |
| 99.5 Below reporting threshold | 2 | | |
| 99.9 Total obligations | 54 | 50 | 50 |

General and special funds—Continued

OFFICE OF INSPECTOR GENERAL—Continued

Personnel Summary

| Identification code 16-0106-0-1-505 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Total compensable workyears: | | | |
| 1001 Full-time equivalent employment | 462 | 480 | 470 |
| 1005 Full-time equivalent of overtime and holiday hours | 1 | 1 | 1 |

ASSISTANT SECRETARY FOR VETERANS EMPLOYMENT AND TRAINING

Not to exceed \$178,870,000, to be derived from the Employment Security Administration account in the Unemployment Trust Fund to carry out the provisions of 38 U.S.C. 4100-4110A and 4321-4327, and Public Law 103-353, shall be made available for obligation by the States through December 31, 1997.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 20-8042-0-7-999 | 1995 actual | 1996 est. | 1997 est. |
|--|-------------|-----------|-----------|
| Program by activities: | | | |
| State administration: | | | |
| Disabled veterans outreach program | 83 | 80 | 82 |
| Local veterans employment representatives | 77 | 74 | 75 |
| Administration | 21 | 19 | 22 |
| National Veterans' Training Institute | 3 | 3 | 0 |
| Total direct program | 184 | 176 | 179 |
| Reimbursable program | 0 | 0 | 0 |
| Total obligations | 184 | 176 | 179 |
| Financing: | | | |
| Unobligated balance expiring | 1 | 0 | 0 |
| Budget authority (gross) | 185 | 176 | 179 |
| Appropriation from trust fund | 185 | 176 | 179 |
| Spending authority from offsetting collections | | | |
| Relation of obligations to outlays: | | | |
| Obligations incurred, net | 184 | 176 | 179 |
| Obligated balance, start of year | 20 | 14 | 13 |
| Obligated balance, end of year | -14 | -13 | -14 |
| Adjustments in expired accounts | -4 | | |
| Outlays (gross) | 186 | 177 | 178 |
| Offsetting collections from: | | | |
| Federal funds | | | |
| Trust Funds | 185 | 176 | 179 |
| Total, offsetting collections | 185 | 176 | 179 |
| Budget authority (net) | | | |
| Outlays (net) | 1 | 1 | 0 |

State administration.—The Disabled Veterans Outreach Program provides intensive employability and job development services to secure permanent employment for veterans particularly those with service-connected disabilities and other disadvantaged veterans. Local Veterans Employment Representatives provide job development, placement, and supportive services directly to veterans and act as functional supervisors of the services provided veterans by other local office staff to ensure compliance with the performance standards for services to veterans.

Administration.—Identifies policies and programs to serve and meet employment and training needs of veterans. Assures the adequacy of counseling, testing, job training, and job placement services for veterans through monitoring, evaluating, and providing technical assistance and training to the delivery systems providing these services. Coordinates with the Department of Defense to ensure the provision of labor market information and other services to military service-members separating from active duty to expedite their transi-

tion from military to civilian employment (Transition Assistance Program). Provides on-the-job training programs and other specialized services for certain veterans identified as facing serious barriers to employment. Administers veterans job training programs under the Job Training Partnership Act to provide these training services. Promotes compliance of Federal contractors in listing jobs for veterans. Provides information and processes complaints to help veterans, reservists, and members of the National Guard obtain employment rights provided by law.

National Veterans Training Institute.—This program operates through a contract with the University of Colorado in Denver, Colorado, providing training to Federal and State employees who assist veterans in finding jobs.

Object Classification (in millions of dollars)

| Identification code 20-8042-0-7-999 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| 11.1 Personnel compensation: Full-time permanent | 13 | 12 | 13 |
| 12.1 Civilian personnel benefits | 3 | 3 | 3 |
| 21.0 Travel and transportation of persons | 1 | 2 | 2 |
| 23.1 Rental payments to GSA | 1 | 1 | 1 |
| 23.3 Communications, utilities, and miscellaneous charges | 2 | 2 | 2 |
| 25.2 Other services | 5 | 3 | 2 |
| 25.3 Purchases of goods and services from Government accounts | 2 | 2 | 2 |
| 41.0 Grants, subsidies, and contributions | 157 | 151 | 154 |
| 93.0 Limitation on expenses | -184 | -176 | -179 |
| 99.0 Subtotal, limitation acct—direct obligations | | | |

Personnel Summary

| Identification code 20-8042-0-7-999 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| 6001 Total compensable workyears: Full-time equivalent employment | 259 | 250 | 250 |

Intragovernmental funds:

WORKING CAPITAL FUND

The language under this heading in Public Law 85-67, as amended, is further amended by adding the following before the last period: “; Provided further, That within the Working Capital Fund, there is established an Investment in Reinvention Fund (IRF), which shall be available to invest in projects of the Department designed to produce measurable improvements in agency efficiency and significant taxpayer savings. To provide initial capital, there is appropriated to the IRF \$3,900,000 in fiscal year 1997, to remain available until expended, to make loans to agencies of the Department for projects designed to enhance productivity and generate cost savings. Such loans will be repaid to the IRF no later than September 30 of the fiscal year following the fiscal year in which the project is completed. Such repayments shall be deposited in the IRF, to be available without further appropriation action.”

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

| Identification code 16-4601-0-4-505 | 1995 actual | 1996 est. | 1997 est. |
|--|-------------|-----------|-----------|
| Obligations by program activity: | | | |
| 00.01 Financial and administrative services | 32 | 28 | 25 |
| 00.02 Field services | 24 | 22 | 22 |
| 00.03 Facilities management | 7 | 8 | 8 |
| 00.04 Human resources services | 8 | 7 | 7 |
| 00.05 Penalty mail and telecommunications | 21 | 22 | 23 |
| 00.06 Non-DOL reimbursements | 1 | | |
| 00.07 Investment in Reinvention Fund | | | 4 |
| 10.00 Total obligations | 93 | 87 | 89 |
| Budgetary resources available for obligation: | | | |
| 21.90 Unobligated balance available, start of year: Fund balance | 5 | 5 | 5 |
| 22.00 New budget authority (gross) | 92 | 87 | 93 |

| | | | | |
|---|---|-----|-----|-----|
| 22.10 | Resources available from recoveries of prior year obligations | 1 | | |
| 23.90 | Total budgetary resources available for obligation | 98 | 92 | 98 |
| 23.95 | New obligations | -93 | -87 | -89 |
| 24.90 | Unobligated balance available, end of year: Fund balance | 5 | 5 | 8 |
| New budget authority (gross), detail: | | | | |
| Current: | | | | |
| 40.00 | Appropriation | | | 4 |
| Permanent: | | | | |
| Spending authority from offsetting collections: | | | | |
| 68.00 | Offsetting collections (cash) | 91 | 87 | 89 |
| 68.10 | Change in orders on hand from Federal sources | 1 | | |
| 68.90 | Spending authority from offsetting collections (total) | 92 | 87 | 89 |
| 70.00 | Total new budget authority (gross) | 92 | 87 | 93 |
| Change in unpaid obligations: | | | | |
| Unpaid obligations, start of year: | | | | |
| 72.90 | Obligated balance: Fund balance | 8 | 10 | 10 |
| 72.95 | Orders on hand from Federal sources | 1 | 2 | 2 |
| 72.99 | Total unpaid obligations, start of year | 9 | 12 | 12 |
| 73.10 | New obligations | 93 | 87 | 89 |
| 73.20 | Total outlays (gross) | -90 | -87 | -88 |
| 73.45 | Adjustments in unexpired accounts | -1 | | |
| Unpaid obligations, end of year: | | | | |
| 74.90 | Obligated balance: Fund balance | 10 | 10 | 10 |
| 74.95 | Orders on hand from Federal sources | 2 | 2 | 2 |
| 74.99 | Total unpaid obligations, end of year | 12 | 12 | 12 |
| Outlays (gross), detail: | | | | |
| 86.90 | Outlays from new current authority | | | 3 |
| 86.97 | Outlays from new permanent authority | 87 | 84 | 86 |
| 86.98 | Outlays from permanent balances | 3 | 3 | |
| 87.00 | Total outlays (gross) | 90 | 87 | 88 |
| Offsets: | | | | |
| Against gross budget authority and outlays: | | | | |
| 88.00 | Offsetting collections (cash) from: Federal sources | -91 | -87 | -89 |
| 88.95 | Change in orders on hand from Federal sources | -1 | | |
| Net budget authority and outlays: | | | | |
| 89.00 | Budget authority | | | 4 |
| 90.00 | Outlays | -2 | | -1 |

Financial and administrative services.—Provides support for financial systems on a Department-wide basis, financial services primarily for DOL national office staff, cost determination activities, maintenance of Departmental host computer systems, procurement and contract services, safety and health services, and general administrative support in the following areas: space and telecommunications, property and supplies, printing and reproduction and energy management.

Field services.—Provides full range of administrative and technical services to all agencies of the Department located in its regional and field offices. These services are in the personnel, financial and administrative areas.

Facilities management.—Provides for the maintenance and operation of the Frances Perkins Building, which is the Department's headquarters, under terms of an agreement with the General Services Administration.

Human Resources Services.—Provides guidance to DOL agencies in Senior Executive Service resource management and in the management of Schedule "C" and expert and consultant services, development and administration of Departmental programs for personnel security and financial disclosure, direct staffing and position management services, and benefits counseling to DOL employees.

Penalty mail and telecommunications.—Provides for departmental mail payments to the U.S. Postal Service and telecommunications payments to the General Services Administration.

Non-DOL reimbursements.—Funds received for services rendered to any entity or person for use of Departmental facilities and services, including associated utilities and security services, shall be credited to and merged with this fund.

Investment in Reinvention Fund.—This fund will finance agency reinvention proposals and other investment or capital acquisition projects in order to achieve savings and streamline work processes. The fund would be self-sustaining as agencies pay back the initial investment with savings generated through implementation of efficiencies and reinvention initiatives.

Financing.—The fund is paid by the agencies for which centralized services are performed at rates that return in full all expenses of operation, including reserves for accrued annual leave and depreciation of equipment.

Object Classification (in millions of dollars)

| Identification code 16-4601-0-4-505 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 28 | 30 | 30 |
| 11.3 Other than full-time permanent | 1 | | |
| 11.5 Other personnel compensation | 1 | | |
| 11.9 Total personnel compensation | 30 | 30 | 30 |
| 12.1 Civilian personnel benefits | 6 | 6 | 6 |
| 13.0 Benefits for former personnel | 1 | | |
| 21.0 Travel and transportation of persons | 1 | 1 | 1 |
| 23.1 Rental payments to GSA | 8 | 7 | 7 |
| 23.3 Communications, utilities, and miscellaneous charges | 25 | 25 | 26 |
| 25.1 Advisory and assistance services | 1 | | |
| 25.2 Other services | 4 | 4 | 3 |
| 25.3 Purchases of goods and services from Government accounts | 2 | 3 | 3 |
| 25.4 Operation and maintenance of facilities | 4 | 4 | 4 |
| 25.7 Operation and maintenance of equipment | 6 | 3 | 3 |
| 26.0 Supplies and materials | 2 | 1 | 1 |
| 31.0 Equipment | 3 | 3 | 4 |
| 99.0 Subtotal, reimbursable obligations | 93 | 87 | 88 |
| 99.5 Below reporting threshold | | | 1 |
| 99.9 Total obligations | 93 | 87 | 89 |

Personnel Summary

| Identification code 16-4601-0-4-505 | 1995 actual | 1996 est. | 1997 est. |
|---|-------------|-----------|-----------|
| Total compensable workyears: | | | |
| 2001 Full-time equivalent employment | 709 | 701 | 675 |
| 2005 Full-time equivalent of overtime and holiday hours | 6 | 6 | 6 |

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriations as follows: Agency for International Development, Functional Development Assistance Program, Department of Education: Office of Vocational and Adult Education: "Vocational and Adult Education".

GENERAL PROVISIONS

SEC. 101. None of the funds appropriated under this Act shall be expended by the Secretary of Labor to implement or administer either the final or proposed regulations referred to in section 303 of Public Law 102-27.

SEC. 102. None of the funds appropriated in this title for the Job Corps shall be used to pay the compensation of an individual, either as direct costs or any proration as an indirect cost, at a rate in excess of \$125,000.

SEC. 103. Section 44(h) of the Longshore and Harbor Workers' Compensation Act of 1927, 33 U.S.C. 901, et seq., is amended by striking out paragraph (3) redesignating paragraph (4) as paragraph (3), and by adding the following new paragraphs (4) and (5):

"(4) To defray the expense incurred by the Department in conducting inspections and/or audits as provided in subsection (d).

"(5) To defray the expense incurred by the Department in the direct administration of the fund".

SEC. 104. Section 427(c) of the Job Training Partnership Act, as amended, is repealed.

TITLE V—GENERAL PROVISIONS¹

SEC. 501. No part of the funds appropriated under this Act shall be used to provide a loan, guarantee of a loan, a grant, the salary of or any remuneration whatever to any individual applying for admission, attending, employed by, teaching at, or doing research at an institution of higher education who has engaged in conduct on or after August 1, 1969, which involves the use of (or the assistance to others in the use of) force or the threat of force or the seizure of property under the control of an institution of higher education, to require or prevent the availability of certain curricula, or to prevent the faculty, administrative officials, or students in such institution from engaging in their duties or pursuing their studies at such institution.

SEC. 502. The Secretaries of Labor, Health and Human Services, and Education are authorized to transfer unexpended balances of prior appropriations to accounts corresponding to current appropriations provided in this Act: Provided, That such transferred balances are used for the same purpose, and for the same periods of time, for which they were originally appropriated.

SEC. 503. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 504. (a) No part of any appropriation contained in this Act shall be used, other than for normal and recognized executive-legislative relationships, for publicity or propaganda purposes, for the preparation, distribution, or use of any kit, pamphlet, booklet, publication, radio, television, or film presentation designed to support or defeat legislation pending before the Congress, except in presentation to the Congress itself.

(b) No part of any appropriation contained in this Act shall be used to pay the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence legislation or appropriations pending before the Congress.

SEC. 505. The Secretaries of Labor and Education are each authorized to make available not to exceed \$15,000 from funds available for salaries and expenses under titles I and III, respectively, for official reception and representation expenses; the Director of the Federal Mediation and Conciliation Service is authorized to make available for official reception and representation expenses not to exceed \$2,500 from the funds available for "Salaries and expenses, Federal Mediation and Conciliation Service"; and the Chairman of the National Mediation Board is authorized to make available for official reception and representation expenses not to exceed \$2,500 from funds available for "Salaries and expenses, National Mediation Board".

SEC. 506. Notwithstanding any other provision of this Act, no funds appropriated under this Act shall be used to carry out any program of distributing sterile needles for the hypodermic injection of any ille-

gal drug unless the Surgeon General of the United States determines that such programs are effective in preventing the spread of HIV and do not encourage the use of illegal drugs, except that such funds may be used for such purposes in furtherance of demonstrations or studies authorized in the ADAMHA Reorganization Act (Public Law 102-321).

SEC. 507. (a) PURCHASE OF AMERICAN-MADE EQUIPMENT AND PRODUCTS.—It is the sense of the Congress that, to the greatest extent practicable, all equipment and products purchased with funds made available in this Act should be American-made.

(b) NOTICE REQUIREMENT.—In providing financial assistance to, or entering into any contract with, any entity using funds made available in this Act, the head of each Federal agency, to the greatest extent practicable, shall provide to such entity a notice describing the statement made in subsection (a) by the Congress.

SEC. 508. When issuing statements, press releases, requests for proposals, bid solicitations and other documents describing projects or programs funded in whole or in part with Federal money, all grantees receiving Federal funds, including but not limited to State and local governments and recipients of Federal research grants, shall clearly state (1) the percentage of the total costs of the program or project which will be financed with Federal money, (2) the dollar amount of Federal funds for the project or program, and (3) percentage and dollar amount of the total costs of the project or program that will be financed by nongovernmental sources.

SEC. 509. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act, as amended) which are appropriated for the current fiscal year for titles I, II, and III of this Act may be transferred between appropriations, but no such appropriation shall be increased by more than 3 percent by any such transfer: Provided, That such transfers may be made only between appropriations within each title: Provided further, That the Public Health and Social Services Emergency Fund appropriation under title II of this Act shall not be subject to the 3 percent limitation of this section.

¹Although a full-year 1996 Labor/HHS/Education appropriations bill has not been enacted, certain provisions affecting HHS were enacted in law as a part of various continuing resolutions. Section 128 of P.L. 104-99 pertains to the use of Federal funds for embryo research. The Administration proposes to delete this provision and does not support addressing this issue in legislation.

The continuing resolution funding the Department of Health and Human Services through March 15, 1996, applies the terms and conditions of the FY 1995 appropriations bill to the Medicaid program, including a provision restricting funding for abortions. As with its FY 1996 Budget, the Administration proposes to delete this provision and will work with the Congress to address this issue.