

# DEPARTMENT OF HEALTH AND HUMAN SERVICES

## FOOD AND DRUG ADMINISTRATION

### Federal Funds

#### General and special funds:

#### SALARIES AND EXPENSES

For necessary expenses of the Food and Drug Administration, including hire and purchase of passenger motor vehicles; for payment of space rental and related costs pursuant to Public Law 92-313 for programs and activities of the Food and Drug Administration which are included in this Act; for rental of special purpose space in the District of Columbia or elsewhere; and for miscellaneous and emergency expenses of enforcement activities, authorized and approved by the Secretary and to be accounted for solely on the Secretary's certificate, not to exceed \$25,000; **[\$1,186,072,000]** \$1,306,178,000, of which not to exceed **[\$145,434,000]** \$149,273,000 in prescription drug user fees authorized by 21 U.S.C. 379(h) may be credited to this appropriation and remain available until expended: *Provided*, That [fees derived from applications received during fiscal year 2000 shall be subject to the fiscal year 2000 limitation: *Provided further*, That none of these funds shall be used to develop, establish, or operate any program of user fees authorized by 31 U.S.C. 9701: *Provided further*, That of the total amount appropriated: (1) \$269,245,000 shall be for the Center for Food Safety and Applied Nutrition and related field activities in the Office of Regulatory Affairs; (2) \$309,026,000 shall be for the Center for Drug Evaluation and Research and related field activities in the Office of Regulatory Affairs, of which no less than \$11,542,000 shall be available for grants and contracts awarded under section 5 of the Orphan Drug Act (21 U.S.C. 360ee); (3) \$132,092,000 shall be for the Center for Biologics Evaluation and Research and for related field activities in the Office of Regulatory Affairs; (4) \$48,821,000 shall be for the Center for Veterinary Medicine and for related field activities in the Office of Regulatory Affairs; (5) \$154,271,000 shall be for the Center for Devices and Radiological Health and for related field activities in the Office of Regulatory Affairs, of which \$1,000,000 shall be for premarket review, enforcement and oversight activities related to users and manufacturers of all reprocessed medical devices as authorized by the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 321 et seq.), and of which no less than \$55,500,000 and 522 full-time equivalent positions shall be for premarket application review activities to meet statutory review times; (6) \$34,536,000 shall be for the National Center for Toxicological Research; (7) \$34,000,000 shall be for the Office of Tobacco; (8) \$25,855,000 shall be for Rent and Related activities, other than the amounts paid to the General Services Administration; (9) \$100,180,000 shall be for payments to the General Services Administration for rent and related costs; and (10) \$78,046,000 shall be for other activities, including the Office of the Commissioner; the Office of Policy; the Office of the Senior Associate Commissioner; the Office of International and Constituent Relations; the Office of Policy, Legislation, and Planning; and central services for these offices: *Provided further*, That funds may be transferred from one specified activity to another with the prior approval of the Committee on Appropriations of both Houses of Congress] **no more than \$104,954,000 shall be for payments to the General Services Administration for rent and related costs.**

In addition, mammography user fees authorized by 42 U.S.C. 263(b) may be credited to this account, to remain available until expended.

In addition, export certification user fees authorized by 21 U.S.C. 381, *as amended*, may be credited to this account, to remain available until expended.

#### BUILDINGS AND FACILITIES

For plans, construction, repair, improvement, extension, alteration, and purchase of fixed equipment or facilities of or used by the Food and Drug Administration, where not otherwise provided, **[\$11,350,000.]** to remain available until expended **\$31,350,000, and to become available on October 1, 2001, \$23,000,000.** (7 U.S.C. 2209b). (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2000.*)

#### Unavailable Collections (in millions of dollars)

Identification code 75-9911-0-1-554	1999 actual	2000 est.	2001 est.
Balance, start of year:			
01.99 Balance, start of year .....			
Receipts:			
02.01 Cooperative research and development agreements ....	1	1	1
Appropriation:			
05.01 Salaries and expenses .....	-1	-1	-1
07.99 Total balance, end of year .....			

#### Program and Financing (in millions of dollars)

Identification code 75-9911-0-1-554	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Foods .....	235	267	303
00.02 Drugs .....	338	357	414
00.03 Devices and radiological products .....	146	154	172
00.04 National center for toxicological research .....	32	34	38
00.05 Tobacco .....	34	34	39
00.06 Other activities .....	75	72	66
00.07 Other rent and rent related activities .....	26	26	26
00.08 Rental payments .....	83	94	99
00.09 Buildings and facilities .....	16	11	31
00.10 CRADAs .....	1	1	1
09.01 Reimbursable program .....	156	181	200
10.00 Total new obligations .....	1,142	1,231	1,389
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year .....	95	106	106
22.00 New budget authority (gross) .....	1,147	1,231	1,389
22.10 Resources available from recoveries of prior year obligations .....	6		
23.90 Total budgetary resources available for obligation .....	1,248	1,337	1,495
23.95 Total new obligations .....	-1,142	-1,231	-1,389
24.40 Unobligated balance available, end of year .....	106	106	108
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	982	1,052	1,188
40.75 Reduction pursuant to P.L. 106-51 .....	-2		
40.76 Reduction pursuant to P.L. 106-113 .....		-3	
43.00 Appropriation (total discretionary) .....	980	1,049	1,188
Mandatory:			
60.25 Appropriation (special fund, indefinite) .....	1	1	1
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash) .....	164	181	200
68.10 From Federal sources: Change in receivables and unpaid, unfilled orders .....	2		
68.90 Spending authority from offsetting collections (total discretionary) .....	166	181	200
70.00 Total new budget authority (gross) .....	1,147	1,231	1,389
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Obligated balance, start of year .....	324	330	333
72.95 From Federal sources: Receivables and unpaid, unfilled orders .....		2	2
72.99 Total unpaid obligations, start of year .....	324	332	335
73.10 Total new obligations .....	1,142	1,231	1,389
73.20 Total outlays (gross) .....	-1,112	-1,228	-1,393
73.40 Adjustments in expired accounts (net) .....	-18		
73.45 Adjustments in unexpired accounts .....	-6		
Unpaid obligations, end of year:			
74.40 Obligated balance, end of year .....	330	333	329
74.95 From Federal sources: Receivables and unpaid, unfilled orders .....	2	2	2

**General and special funds—Continued**

**BUILDINGS AND FACILITIES—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 75-9911-0-1-554	1999 actual	2000 est.	2001 est.
74.99 Total unpaid obligations, end of year .....	332	335	331
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	930	958	1,098
86.93 Outlays from discretionary balances .....	182	269	294
86.97 Outlays from new mandatory authority .....	1	1	1
87.00 Total outlays (gross) .....	1,112	1,228	1,393
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....	-164	-181	-200
Against gross budget authority only:			
88.95 From Federal sources: Change in receivables and unpaid, unfiled orders .....	-2		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	981	1,050	1,189
90.00 Outlays .....	948	1,047	1,193

(In millions of dollars)

	1999	2000	2001
<b>Distribution of budget authority by account:</b>			
Salaries and expenses .....	886	944	1,058
Rental payments (FDA) .....	83	94	99
Buildings and facilities .....	16	11	31
<b>Distribution of outlays by account:</b>			
Salaries and expenses .....	839	926	1,074
Rental payments (FDA) .....	83	98	99
Buildings and facilities .....	26	23	18

**Summary of Budget Authority and Outlays**

(in millions of dollars)

	1999 actual	2000 est.	2001 est.
<b>Enacted/requested:</b>			
Budget Authority .....	981	1,050	1,189
Outlays .....	948	1,047	1,193
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority .....			
Outlays .....			
<b>Total:</b>			
Budget Authority .....	981	1,050	1,189
Outlays .....	948	1,047	1,193

The Food and Drug Administration (FDA) administers laws concerning misbranded and adulterated foods, drugs, human biologics, medical devices, cosmetics, and human-made sources of radiation. The Budget provides a \$163.2 million (+13 percent) increase over the FY 2000 enacted program level. The Budget includes \$1,156.9 million for Salaries and expenses, which includes funding for the food safety initiative and tobacco regulation, as well as initiatives for the reporting of medical errors and the prevention of illegal sales of prescription drugs over the Internet. Of the Salaries and expenses amount, \$99.1 million will be used for payments to the General Services Administration for rent and rent related costs (an additional \$5.9 million will be derived from fees). The Budget reflects the transfer of the Seafood Inspection Division of the National Oceanic and Atmospheric Administration of the Department of Commerce to the FDA, which will be financed with \$12.7 million in currently authorized fees. In addition, the Budget includes \$189.9 million for user fees, an increase of \$24.7 million in user fees over FY 2000, which will be used to finance FDA activities. Of the \$189.9 million in user fees, \$19.5 million consists of new user fees related to the review of direct food additive petitions, food export certificates, and for the review of medical device applications, which are represented in the legislative proposal schedule. The buildings and facilities appropriation of \$31.4

million provides funds for projects related to the repair, construction, alteration, and improvement of all buildings and facilities of FDA, including a replacement laboratory in Los Angeles.

**Object Classification (in millions of dollars)**

Identification code 75-9911-0-1-554	1999 actual	2000 est.	2001 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	443	480	522
11.3 Other than full-time permanent .....	28	30	32
11.5 Other personnel compensation .....	16	17	19
11.9 Total personnel compensation .....	487	527	573
12.1 Civilian personnel benefits .....	112	121	132
21.0 Travel and transportation of persons .....	19	19	21
22.0 Transportation of things .....	2	2	3
23.1 Rental payments to GSA .....	83	94	99
23.2 Rental payments to others .....	5	5	7
23.3 Communications, utilities, and miscellaneous charges .....	20	20	20
24.0 Printing and reproduction .....	2	3	3
25.1 Advisory and assistance services .....	12	12	19
25.2 Other services .....	62	62	90
25.3 Purchases of goods and services from Government accounts .....	43	44	55
25.4 Operation and maintenance of facilities .....	24	24	25
25.5 Research and development contracts .....	16	16	17
25.7 Operation and maintenance of equipment .....	19	19	20
26.0 Supplies and materials .....	14	14	18
31.0 Equipment .....	29	30	41
32.0 Land and structures .....	15	15	23
41.0 Grants, subsidies, and contributions .....	20	21	21
42.0 Insurance claims and indemnities .....	2	2	2
99.0 Subtotal, direct obligations .....	986	1,050	1,189
99.0 Reimbursable obligations .....	156	181	200
99.9 Total new obligations .....	1,142	1,231	1,389

**Personnel Summary**

Identification code 75-9911-0-1-554	1999 actual	2000 est.	2001 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....	7,851	7,994	8,203
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment .....	1,013	978	1,164
<b>Allocation account:</b>			
3001 Total compensable workyears: Full-time equivalent employment .....	14	2	

**SALARIES AND EXPENSES**

(Legislative proposal, not subject to PAYGO)

*Contingent upon the enactment of authorizing legislation, up to \$19,483,000 derived from fees assessed for activities related to the review of direct food additive petitions, the issuing of food export certificates, and the review of medical device applications may be collected and credited to this appropriation, to remain available until expended for those activities.*

**Program and Financing (in millions of dollars)**

Identification code 75-9911-2-1-554	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
09.01 Additive user fees .....			19
10.00 Total new obligations .....			19
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			19
23.95 Total new obligations .....			-19
<b>New budget authority (gross), detail:</b>			
<b>Discretionary:</b>			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash) .....			19

<b>Change in unpaid obligations:</b>			
73.10	Total new obligations		19
73.20	Total outlays (gross)		-19
<b>Outlays (gross), detail:</b>			
86.90	Outlays from new discretionary authority		19
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40	Offsetting collections (cash) from: Non-Federal sources		-19
<b>Net budget authority and outlays:</b>			
89.00	Budget authority		
90.00	Outlays		

The Budget includes \$189.9 million in user fees, of which \$19.5 million are new user fees to finance FDA activities as reflected in the legislative proposal schedule. Additional appropriations language is being proposed contingent upon the enactment of authorizing legislation. The authorizing legislation will be proposed to authorize the collection and spending of the fees subject to appropriations action.

**Object Classification** (in millions of dollars)

Identification code 75-9911-2-1-554	1999 actual	2000 est.	2001 est.
99.0	Reimbursable obligations: Subtotal, reimbursable obligations		19
99.9	Total new obligations		19

**Personnel Summary**

Identification code 75-9911-2-1-554	1999 actual	2000 est.	2001 est.
2001	Total compensable workyears: Full-time equivalent employment		108

**Public enterprise funds:**

REVOLVING FUND FOR CERTIFICATION AND OTHER SERVICES

**Program and Financing** (in millions of dollars)

Identification code 75-4309-0-3-554	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
10.00	Total new obligations	7	4
<b>Budgetary resources available for obligation:</b>			
21.40	Unobligated balance available, start of year	6	3
22.00	New budget authority (gross)	4	4
23.90	Total budgetary resources available for obligation	10	7
23.95	Total new obligations	-7	-4
24.40	Unobligated balance available, end of year	3	3
<b>New budget authority (gross), detail:</b>			
Mandatory:			
69.00	Offsetting collections (cash)	4	4
<b>Change in unpaid obligations:</b>			
72.40	Unpaid obligations, start of year: Obligated balance, start of year	2	1
73.10	Total new obligations	7	4
73.20	Total outlays (gross)	-7	-4
74.40	Unpaid obligations, end of year: Obligated balance, end of year	1	1
<b>Outlays (gross), detail:</b>			
86.97	Outlays from new mandatory authority	4	4
86.98	Outlays from mandatory balances	3	

87.00	Total outlays (gross)	7	4	4
<b>Offsets:</b>				
Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: Non-Federal sources	-4	-4	-4
<b>Net budget authority and outlays:</b>				
89.00	Budget authority			
90.00	Outlays	3		

FDA certifies color additives for use in foods, drugs, and cosmetics. It also lists color additives for use in foods, drugs, medical devices, and cosmetics (21 U.S.C. 346a, 356, 357, 376). These services are financed wholly by fees paid by the industries affected.

**Object Classification** (in millions of dollars)

Identification code 75-4309-0-3-554	1999 actual	2000 est.	2001 est.
11.1	Personnel compensation: Full-time permanent	2	2
12.1	Civilian personnel benefits		1
23.1	Rental payments to GSA	1	
25.2	Other services	3	1
31.0	Equipment	1	
99.9	Total new obligations	7	4

**Personnel Summary**

Identification code 75-4309-0-3-554	1999 actual	2000 est.	2001 est.
2001	Total compensable workyears: Full-time equivalent employment	32	35

ADMINISTRATIVE PROVISION, FOOD AND DRUG ADMINISTRATION

Effective October 1, 2000, (1) the functions and authorities related to fish or fishery products under the Agricultural Marketing Act of 1946, including inspections and other activities authorized under section 203(h) of that Act, are transferred from the Secretary of Commerce to the Secretary of Health and Human Services ("HHS"); (2) the Secretary of Commerce shall transfer to the Secretary of HHS (A) all personnel of the Seafood Inspection Division of the National Oceanic and Atmospheric Administration and such other employees of the Department of Commerce as may be designated by the Secretary of Commerce, with the concurrence of the Secretary of HHS, all of whom shall become personnel of a voluntary seafood inspection unit within the Food and Drug Administration ("FDA"); and (B) all assets and liabilities of the Department of Commerce pertaining to the activities specified in clause (1), which shall become assets and liabilities of such seafood inspection unit, including facilities, contracts, property, records, accounts payable and receivable, and unexpended and unobligated balances of funds; (3) all rules, regulations, administrative directives, grants, contracts, and other determinations and agreements in effect on such date relating to the activities specified in clause (1) shall remain in effect until modified by the Secretary of HHS; (4) the Secretary of HHS is authorized to promulgate, without comment, a final rule transferring to title 21, Code of Federal Regulations, regulations of the Secretary of Commerce necessary to carry out the activities specified in clause (1); (5) all activities of such seafood inspection unit shall be funded exclusively from fees charged for, and other amounts specifically appropriated for, such activities, and fees collected and amounts appropriated for such activities shall not be used for any other purpose; and (6) for purposes of any reduction in the personnel complement of the FDA on or before September 30, 2005, such unit will be deemed a distinct competitive area within the FDA, under 5 CFR 351.402.

**HEALTH RESOURCES AND SERVICES  
ADMINISTRATION**

**Federal Funds**

**General and special funds:**

**HEALTH RESOURCES AND SERVICES**

For carrying out titles II, III, VII, VIII, X, XII, XIX, and XXVI of the Public Health Service Act, section 427(a) of the Federal Coal Mine Health and Safety Act, title V and section 1820 of the Social Security Act, the Health Care Quality Improvement Act of 1986, as amended, and the Native Hawaiian Health Care Act of 1988, as amended, **[\$4,584,721,000, of which \$150,000 shall remain available until expended for interest subsidies on loan guarantees made prior to fiscal year 1981 under part B of title VII of the Public Health Service Act, and of which \$122,182,000 shall be available for the construction and renovation of health care and other facilities, and] \$4,681,337,000, of which \$25,000,000 from general revenues, notwithstanding section 1820(j) of the Social Security Act, shall be available for carrying out the Medicare rural hospital flexibility grants program under section 1820 of such Act: *Provided*, That the Division of Federal Occupational Health may utilize personal services contracting to employ professional management/administrative and occupational health professionals: *Provided further*, That of the funds made available under this heading, \$250,000 shall be available until expended for facilities renovations at the Gillis W. Long Hansen's Disease Center: *Provided further*, That in addition to fees authorized by section 427(b) of the Health Care Quality Improvement Act of 1986, fees shall be collected for the full disclosure of information under the Act sufficient to recover the full costs of operating the National Practitioner Data Bank, and shall remain available until expended to carry out that Act: *Provided further*, That no more than \$5,000,000 is available for carrying out the provisions of Public Law 104-73: *Provided further*, That of the funds made available under this heading, **[\$238,932,000] \$273,932,000 shall be for the program under title X of the Public Health Service Act to provide for voluntary family planning projects: *Provided further*, That amounts provided to said projects under such title shall not be expended for abortions, that all pregnancy counseling shall be nondirective, and that such amounts shall not be expended for any activity (including the publication or distribution of literature) that in any way tends to promote public support or opposition to any legislative proposal or candidate for public office: *Provided further*, That **[\$528,000,000] \$554,000,000 shall be for State AIDS Drug Assistance Programs authorized by section 2616 of the Public Health Service Act: *Provided further*, That of the funds made available under this heading, \$90,000,000 shall be for grants for reducing infant mortality consistent with the methods used in the Healthy Start Infant Mortality Demonstration Project grants from 1991 through 2000: *Provided further*, That such funds will be available first to continue making grants to Healthy Start grantees that were awarded funds on or before January 15, 2000, whose current grant award continues in FY 2001: *Provided further*, That if the total amount of such grant awards is less than \$90,000,000, at least 95% of the remaining funds shall be available for States, under the cost sharing provisions of section 503 of such Act, according to the ratio that infant mortality in each State bears to the total of infant mortality in the United States, as measured by the Centers for Disease Control and Prevention for the most recently available calendar year, and up to 5% of remaining funds shall be available for States for technical assistance: *Provided further*, That these grants use those Healthy Start methods that have been proven successful and are designed to reduce infant mortality within high-incidence areas by 50% over 5 years: *Provided further*, That each State shall include in its annual audit report, as required by section 506 of such Act, an additional section reporting on Healthy Start: *Provided further*, That sections 507, 508, and 509 of such Act apply to said State allotments: *Provided further*, That, notwithstanding section 502(a)(1) of the Social Security Act, not to exceed **[\$109,307,000] \$109,148,000 is available for carrying out special projects of regional and national significance pursuant to section 501(a)(2) of such Act: *Provided further*, That of the amount provided under this heading, \$40,000,000 shall be available for children's hospitals graduate medical education payments, subject to authorization: *Provided further*, That of the amount provided under this heading, \$900,000 shall be for the American Federation of Negro Affairs Education and Research Fund.] *Provided further*, That notwithstanding section 757(b)2 of the Public Health Service Act, \$28,587,000 shall be available under section 751, \$3,765,000 under section 752, \$4,720,000 under section 754,********

and \$3,838,000 under section 755. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

**Program and Financing (in millions of dollars)**

Identification code 75-0350-0-1-550	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Health centers .....	919	1,014	1,064
00.02 National Health Service Corps .....	37	38	38
00.03 National Health Service Corps recruitment .....	78	79	79
00.04 Hansen's disease center .....	22	20	17
00.09 Payment to Hawaii for the treatment of Hansen's disease .....	2	2	2
00.10 Black lung clinics .....	5	6	6
00.11 Nursing loan repayment .....	2	2	2
00.12 Health professions .....	302	342	298
00.13 Maternal and child health block grant .....	700	709	799
00.14 Healthy start .....	105	90	
00.15 Universal newborn hearing .....		3	3
00.16 Emergency medical services .....	15	17	15
00.17 Poison control centers .....		3	1
00.18 HIV/AIDS .....	1,411	1,595	1,720
00.19 Organ transplantation .....	10	10	15
00.20 Health care facilities .....	65	112	
00.21 Bone marrow donor registry .....	18	18	18
00.22 Rural health policy development .....	12	33	6
00.23 Rural health outreach grants .....	38	36	38
00.24 Rural health flexibility grants .....	25	25	25
00.25 Office for the advancement of telehealth .....			6
00.26 Program management .....	119	125	124
00.27 Family planning .....	215	239	274
00.28 Abstinence education .....	49	50	50
00.29 Health care access for the uninsured .....		25	125
00.30 One-time access demos .....		15	
00.31 Health centers tort claims fund .....	5	5	5
00.32 Health centers guarantee program account .....		3	1
03.00 Total direct programs .....	4,154	4,616	4,731
09.01 Reimbursable program .....	100	124	127
10.00 Total new obligations .....	4,254	4,740	4,858
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year .....	47	50	48
22.00 New budget authority (gross) .....	4,257	4,738	4,858
22.10 Resources available from recoveries of prior year obligations .....	2		
23.90 Total budgetary resources available for obligation .....	4,306	4,788	4,906
23.95 Total new obligations .....	-4,254	-4,740	-4,858
23.98 Unobligated balance expiring or withdrawn .....	-2		
24.40 Unobligated balance available, end of year .....	50	48	48
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	4,108	4,585	4,681
40.75 Reduction pursuant to P.L. 106-51 .....	-1		
40.76 Reduction pursuant to P.L. 106-113 .....		-21	
41.00 Transferred to other accounts .....	-1		
43.00 Appropriation (total discretionary) .....	4,106	4,564	4,681
Mandatory:			
60.00 Appropriation .....	50	50	50
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	101	121	123
Mandatory:			
69.00 Offsetting collections (cash) .....		3	4
70.00 Total new budget authority (gross) .....	4,257	4,738	4,858
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	2,726	3,078	3,353
73.10 Total new obligations .....	4,254	4,740	4,858
73.20 Total outlays (gross) .....	-3,859	-4,444	-4,746
73.40 Adjustments in expired accounts (net) .....	-41	-21	4
73.45 Adjustments in unexpired accounts .....	-2		
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	3,078	3,353	3,469
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	1,611	1,837	1,922
86.93 Outlays from discretionary balances .....	2,218	2,562	2,771

86.97	Outlays from new mandatory authority .....	10	15	16
86.98	Outlays from mandatory balances .....	20	30	38
87.00	Total outlays (gross) .....	3,859	4,444	4,746
<b>Offsets:</b>				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources .....	- 85	- 106	- 106
88.40	Non-Federal sources .....	- 16	- 18	- 21
88.90	Total, offsetting collections (cash) .....	- 101	- 124	- 127
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	4,156	4,614	4,731
90.00	Outlays .....	3,758	4,320	4,619

[Dollars in millions]

	1999	2000	2001
Distribution of budget authority by account:			
Health resources and services .....	4,151	4,609	4,726
Health centers malpractice claims .....	5	5	5
Distribution of outlays by account:			
Health resources and services .....	3,753	4,315	4,614
Health centers malpractice claims .....	5	5	5

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)**

Identification code 75-0350-0-1-550	1999 actual	2000 est.	2001 est.
Guaranteed loan levels supportable by subsidy budget authority:			
2150 Health centers: Facilities renovation loan guarantee levels .....		54	34
2150 Health centers: Managed care network development loan guarantee levels .....		20	7
2150 Health centers: Managed care plan loan guarantee levels .....		26	10
2159 Total loan guarantee levels .....		100	51
Guaranteed loan subsidy (in percent):			
2320 Facilities loan subsidy rate .....	2.26	0.71	0.43
2320 Managed care network development loan subsidy rate .....	8.59	8.19	7.71
2320 Managed care plan loan subsidy rate .....	5.11	4.16	3.93
2329 Weighted average subsidy rate .....	4.27	3.10	2.11
Guaranteed loan subsidy budget authority:			
2330 Facilities loan subsidy budget authority .....			
2330 Managed care network development loan subsidy budget authority .....		2	1
2330 Managed care plan loan subsidy budget authority .....		1	
2339 Total subsidy budget authority .....		3	1
Guaranteed loan subsidy outlays:			
2340 Facilities loan subsidy outlays .....			
2340 Managed care network development loan subsidy outlays .....		2	1
2340 Managed care plan loan subsidy outlays .....		1	
2349 Total subsidy outlays .....		3	1
Administrative expense data:			
3510 Administrative expenses .....	1	1	1
3580 Outlays from balances .....			
3590 Outlays for administrative expenses .....	1	1	1

Activities displayed here support categorical health resources and services grants and the Medical malpractice claims fund, which pays malpractice claims filed against employees of federally-supported health centers. In FY 2001, Healthy Start will become a separate grant program within the maternal and child health block grant.

**Object Classification (in millions of dollars)**

Identification code 75-0350-0-1-550	1999 actual	2000 est.	2001 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	97	103	105
11.3 Other than full-time permanent .....	3	4	4
11.5 Other personnel compensation .....	4	4	4
11.9 Total personnel compensation .....	104	111	113
12.1 Civilian personnel benefits .....	26	28	28

21.0	Travel and transportation of persons .....	4	5	6
22.0	Transportation of things .....	1	1	1
23.1	Rental payments to GSA .....	10	12	12
23.3	Communications, utilities, and miscellaneous charges .....	5	5	5
24.0	Printing and reproduction .....	1	1	1
25.1	Advisory and assistance services .....	45	50	50
25.2	Other services .....	51	87	124
25.3	Purchases of goods and services from Government accounts .....	55	60	62
25.4	Operation and maintenance of facilities .....	2	1	1
25.6	Medical care .....	1	1	1
25.7	Operation and maintenance of equipment .....	3	4	3
26.0	Supplies and materials .....	2	3	3
31.0	Equipment .....	3	4	4
41.0	Grants, subsidies, and contributions .....	3,836	4,238	4,312
42.0	Insurance claims and indemnities .....	5	5	5
99.0	Subtotal, direct obligations .....	4,154	4,616	4,731
99.0	Reimbursable obligations .....	100	124	127
99.9	Total new obligations .....	4,254	4,740	4,858

**Personnel Summary**

Identification code 75-0350-0-1-550	1999 actual	2000 est.	2001 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment .....	1,607	1,744	1,681
1011 Exempt Full-time equivalent employment .....	82		
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment .....	199	241	241
Allocation account:			
3001 Total compensable workyears: Full-time equivalent employment .....	5	11	11

**VACCINE INJURY COMPENSATION**

**Program and Financing (in millions of dollars)**

Identification code 75-0320-0-1-551	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 42.0) .....	47	52	
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year .....	61	115	64
22.00 New budget authority (gross) .....	100		
22.10 Resources available from recoveries of prior year obligations .....	1		
23.90 Total budgetary resources available for obligation .....	162	115	64
23.95 Total new obligations .....	- 47	- 52	
24.40 Unobligated balance available, end of year .....	115	64	64
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation .....	100		
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	2	5	
73.10 Total new obligations .....	47	52	
73.20 Total outlays (gross) .....	- 43	- 57	
73.45 Adjustments in unexpired accounts .....	- 1		
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	5		
<b>Outlays (gross), detail:</b>			
86.98 Outlays from mandatory balances .....	43	57	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	100		
90.00 Outlays .....	43	57	

The Vaccine improvement program was established pursuant to Public Law 99-660 and Public Law 100-203, and serves as a source of funds to pay claims for compensation for vaccine related injury or death. Payment of claims associated with vaccine related injury or death occurring before

**General and special funds—Continued**

**VACCINE INJURY COMPENSATION—Continued**

October 1, 1988 are financed from the General Fund and are reflected in this account. Given sufficient carry-over funds from prior years' appropriations to pay for the balance of the pre-1988 backlog of claims yet to be adjudicated, no appropriation is requested in FY 2001 to cover payment of pre-1988 claims. Payment of claims associated with vaccine related injury or death occurring after October 1, 1988 are reflected in the Vaccine improvement trust fund account.

**PAYMENT TO THE RICKY RAY HEMOPHILIA RELIEF FUND**

*For payment to the Ricky Ray Hemophilia Relief Fund, as provided by Public Law 105-369, and for administrative expenses, \$100,000,000, of which up to \$10,000,000 may be used for administrative expenses.*

**Program and Financing (in millions of dollars)**

Identification code 75-0355-0-1-551	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 92.0)			100
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)			100
23.95 Total new obligations			-100
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation			100
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations			100
73.20 Total outlays (gross)			-100
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority			100
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			100
90.00 Outlays			100

These amounts will be paid to the Ricky Ray hemophilia relief fund. The Ricky Ray hemophilia relief fund was established pursuant to Public Law 105-369 and serves as a source of funds to pay claims to eligible individuals on behalf of persons with HIV and a blood clotting disorder, who were treated with an anti-hemophilic factor between July 1982 and December 1987.

**Credit accounts:**

**HEALTH CENTER GUARANTEED LOAN FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 75-4442-0-3-551	1999 actual	2000 est.	2001 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year	1	1	5
22.00 New financing authority (gross)		4	2
23.90 Total budgetary resources available for obligation	1	5	7
24.40 Unobligated balance available, end of year	1	5	7
<b>New financing authority (gross), detail:</b>			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): (Federal sources: From program account)		4	3
<b>Offsets:</b>			
Against gross financing authority and financing disbursements:			
88.00 Offsetting collections (cash) from:			
Federal sources		-3	-1

88.40	Premium income	-1	-2
88.90	Total, offsetting collections (cash)	-4	-3
<b>Net financing authority and financing disbursements:</b>			
89.00	Financing authority		-1
90.00	Financing disbursements	-4	-3

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 75-4442-0-3-551	1999 actual	2000 est.	2001 est.
Position with respect to appropriations act limitation on commitments:			
2111	Limitation on guaranteed loans made by private lenders	100	51
2150	Total guaranteed loan commitments	100	51
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year	9	9
2231	Disbursements of new guaranteed loans	100	51
2261	Adjustments: Terminations for default that result in loans receivable		
2290	Outstanding, end of year	9	109
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year	9	109
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2331	Disbursements for guaranteed loan claims		
2351	Repayments of loans receivable		

P.L. 104-299 and P.L. 104-208 authorize HRSA to guarantee up to \$80 million in private loans to health centers for the costs of developing and operating managed care networks or plans and for the construction, renovation and modernization of medical facilities. Since this program has only used \$9 million of its available \$160 million in loan guarantee authority, HRSA will use the remaining existing loan guarantee limit over FY 2000 and FY 2001. As required by the Federal Credit Reform Act of 1990, this financing account records all cash flows to and from the Government resulting from the Health center loan guarantee program. The program account for this activity is displayed in the Health resources and services account (75-0350) as a line in the program and financing schedule.

**Balance Sheet (in millions of dollars)**

Identification code 75-4442-0-3-551	1998 actual	1999 actual	2000 est.	2001 est.
<b>ASSETS:</b>				
Investments in US securities:				
1106	Federal assets: Receivables, net	9	9	109
1999	Total assets	9	9	109
<b>LIABILITIES:</b>				
2204	Non-Federal liabilities: Liabilities for loan guarantees	9	9	109
2999	Total liabilities	9	9	109
4999	Total liabilities and net position	9	9	109

**HEALTH EDUCATION ASSISTANCE LOANS PROGRAM ACCOUNT**

Such sums as may be necessary to carry out the purpose of the program, as authorized by title VII of the Public Health Service Act, as amended. For administrative expenses to carry out the guaranteed loan program, including section 709 of the Public Health Service Act, **[\$3,688,000] \$3,679,000.** (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

**Program and Financing** (in millions of dollars)

Identification code 75-0340-0-1-552	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.09 Administrative expenses subject to limitation .....	4	4	4
10.00 Total new obligations .....	4	4	4
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	4	4	4
23.95 Total new obligations .....	-4	-4	-4
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	4	4	4
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	2	2	.....
73.10 Total new obligations .....	4	4	4
73.20 Total outlays (gross) .....	-3	-6	-4
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	2	.....	.....
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	2	4	4
86.93 Outlays from discretionary balances .....	1	2	.....
87.00 Total outlays (gross) .....	3	6	4
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	4	4	4
90.00 Outlays .....	3	6	4

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 75-0340-0-1-552	1999 actual	2000 est.	2001 est.
Guaranteed loan levels supportable by subsidy budget authority:			
2150 Loan guarantee levels .....	.....	.....	.....
2159 Total loan guarantee levels .....	.....	.....	.....
Guaranteed loan subsidy (in percent):			
2320 Subsidy rate .....	0.00	0.00	0.00
2329 Weighted average subsidy rate .....	0.00	0.00	0.00
Guaranteed loan subsidy budget authority:			
2330 Subsidy budget authority .....	.....	.....	.....
2339 Total subsidy budget authority .....	.....	.....	.....
Guaranteed loan subsidy outlays:			
2340 Subsidy outlays .....	.....	.....	.....
2349 Total subsidy outlays .....	.....	.....	.....
Administrative expense data:			
3510 Budget authority .....	4	4	4
3580 Outlays from balances .....	1	2	.....
3590 Outlays from new authority .....	2	4	.....

The Health education assistance loan (HEAL) program guarantees loans from private lenders to health professions students to help pay for the costs of their training. As required by the Federal Credit Reform Act of 1990, this account records, for the HEAL program, the subsidy costs associated with HEAL loan guarantees committed in 1992 and beyond (including modifications of HEAL loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of the program. The administrative expenses are estimated on a cash basis.

**Object Classification** (in millions of dollars)

Identification code 75-0340-0-1-552	1999 actual	2000 est.	2001 est.
11.1 Personnel compensation: Full-time permanent .....	2	2	2
25.3 Purchases of goods and services from Government accounts .....	1	1	1
99.0 Subtotal, direct obligations .....	3	3	3

99.5 Below reporting threshold .....	1	1	1
99.9 Total new obligations .....	4	4	4

**Personnel Summary**

Identification code 75-0340-0-1-552	1999 actual	2000 est.	2001 est.
Total compensable workyears:			
1001 Full-time equivalent employment .....	17	24	24
1011 Exempt Full-time equivalent employment .....	7	.....	.....

**HEALTH EDUCATION ASSISTANCE LOANS FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 75-4304-0-3-552	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.01 Death and disability claims .....	2	1	1
00.02 Default claims .....	9	22	28
10.00 Total new obligations .....	11	23	29
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year .....	259	295	303
22.00 New financing authority (gross) .....	48	31	33
23.90 Total budgetary resources available for obligation .....	307	326	336
23.95 Total new obligations .....	-11	-23	-29
24.40 Unobligated balance available, end of year .....	295	303	307

**New financing authority (gross), detail:**

Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash) .....	48	31	33

**Change in unpaid obligations:**

73.10 Total new obligations .....	11	23	29
73.20 Total financing disbursements (gross) .....	-11	-23	-29
87.00 Total financing disbursements (gross) .....	11	23	29

**Offsets:**

Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.25 Interest on uninvested funds .....	-45	-29	-29
88.40 Recoveries of defaulted loans .....	-3	-2	-4
88.90 Total, offsetting collections (cash) .....	-48	-31	-33

**Net financing authority and financing disbursements:**

89.00 Financing authority .....	.....	.....	.....
90.00 Financing disbursements .....	-37	-8	-4

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 75-4304-0-3-552	1999 actual	2000 est.	2001 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders .....	.....	.....	.....
2150 Total guaranteed loan commitments .....	.....	.....	.....
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	1,562	1,551	1,528
Adjustments:			
2261 Terminations for default that result in loans receivable .....	-9	-22	-28
2263 Terminations for default that result in claim payments .....	-2	-1	-2
2290 Outstanding, end of year .....	1,551	1,528	1,498
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	1,551	1,528	1,498

**Credit accounts—Continued**

**HEALTH EDUCATION ASSISTANCE LOANS FINANCING ACCOUNT—Continued**

**Status of Guaranteed Loans (in millions of dollars)—Continued**

Identification code 75-4304-0-3-552	1999 actual	2000 est.	2001 est.
<b>Addendum:</b>			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	32	38	57
2331 Disbursements for guaranteed loan claims	9	22	28
2351 Repayments of loans receivable	-3	-3	-4
2390 Outstanding, end of year	38	57	81

This account records all cash flows to and from the Government resulting from HEAL loan guarantees committed between 1992 and 1998.

**Balance Sheet (in millions of dollars)**

Identification code 75-4304-0-3-552	1998 actual	1999 actual	2000 est.	2001 est.
<b>ASSETS:</b>				
1101 Federal assets: Fund balances with Treasury	247	295	303	307
Non-Federal assets:				
1201 Investments in non-Federal securities, net	22	45	29	29
1206 Receivables, net	5	3	2	4
1999 Total assets	274	343	334	340
<b>LIABILITIES:</b>				
2204 Non-Federal liabilities: Liabilities for loan guarantees	1,562	1,551	1,528	1,498
2999 Total liabilities	1,562	1,551	1,528	1,498
<b>NET POSITION:</b>				
3300 Cumulative results of operations	-1,287	-1,208	-1,194	-1,158
3999 Total net position	-1,287	-1,208	-1,194	-1,158
4999 Total liabilities and net position	275	343	334	340

**HEALTH EDUCATION ASSISTANCE LOANS LIQUIDATING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 75-4305-0-3-552	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.01 Death and disability claims	4	5	5
00.02 Defaulted loans	20	23	18
00.03 Debt collection	3	7	7
10.00 Total new obligations	27	35	30
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year	18		
22.00 New budget authority (gross)	57	35	30
22.40 Capital transfer to general fund	-48		
23.90 Total budgetary resources available for obligation	27	35	30
23.95 Total new obligations	-27	-35	-30
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.05 Appropriation (indefinite)	37	15	10
69.00 Offsetting collections (cash)	20	20	20
70.00 Total new budget authority (gross)	57	35	30
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year	5	13	
73.10 Total new obligations	27	35	30
73.20 Total outlays (gross)	-20	-48	-30
74.40 Unpaid obligations, end of year: Obligated balance, end of year	13		
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority	20	35	30

86.98 Outlays from mandatory balances	13		
87.00 Total outlays (gross)	20	48	30

**Offsets:**

Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-20	-20	-20

**Net budget authority and outlays:**

89.00 Budget authority	37	15	10
90.00 Outlays		28	10

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 75-4305-0-3-552	1999 actual	2000 est.	2001 est.
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year	1,412	1,343	1,260
2251 Repayments and prepayments	-58	-69	-75
<b>Adjustments:</b>			
2261 Terminations for default that result in loans receivable	-9	-12	-9
2263 Terminations for default that result in claim payments	-2	-2	-3
2290 Outstanding, end of year	1,343	1,260	1,173
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	1,343	1,260	1,173
<b>Addendum:</b>			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	494	496	475
2331 Disbursements for guaranteed loan claims	20	23	18
2351 Repayments of loans receivable	-15	-15	-15
2361 Write-offs of loans receivable	-29	-29	-29
2364 Other adjustments, net	26		
2390 Outstanding, end of year	496	475	449

Note.—Includes carryover commitments from prior years.

Note.—The adjustment to loans receivable represents interest, which had not previously been reflected in cumulative outstanding balances.

This account records all cash flows to and from the Government resulting from HEAL loan guarantees committed prior to 1992.

**Statement of Operations (in millions of dollars)**

Identification code 75-4305-0-3-552	1998 actual	1999 actual	2000 est.	2001 est.
0101 Revenue	48	45	35	30
0102 Expense	-38	-27	-35	-30
0105 Net income or loss (-)	10	18		

**Balance Sheet (in millions of dollars)**

Identification code 75-4305-0-3-552	1998 actual	1999 actual	2000 est.	2001 est.
<b>ASSETS:</b>				
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1701 Defaulted guaranteed loans, gross	470	496	475	449
1702 Interest receivable	5	6	6	6
1704 Defaulted guaranteed loans and interest receivable, net	475	502	481	455
1799 Value of assets related to loan guarantees	475	502	481	455
1801 Other Federal assets: Cash and other monetary assets	38	27	35	30
1999 Total assets	513	529	516	485
<b>LIABILITIES:</b>				
2104 Federal liabilities: Resources payable to Treasury	2	2	6	6
2201 Non-Federal liabilities: Accounts payable	29	20	23	18
2999 Total liabilities	31	22	29	24



NET POSITION:

3300	Cumulative results of operations .....	482	507	487	461
3999	Total net position .....	482	507	487	461
4999	Total liabilities and net position .....	513	529	516	485

**Object Classification** (in millions of dollars)

Identification code 75-4305-0-3-552		1999 actual	2000 est.	2001 est.
25.2	Other services .....	8	8	8
42.0	Insurance claims and indemnities .....	19	27	22
99.9	Total new obligations .....	27	35	30

**MEDICAL FACILITIES GUARANTEE AND LOAN FUND**  
**FEDERAL INTEREST SUBSIDIES FOR MEDICAL FACILITIES**

For carrying out subsections (d) and (e) of section 1602 of the Public Health Service Act, \$1,000,000, together with any amounts received by the Secretary in connection with loans and loan guarantees under title VI of the Public Health Service Act, to be available without fiscal year limitation for the payment of interest subsidies. During the fiscal year, no commitments for direct loans or loan guarantees shall be made. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

**Program and Financing** (in millions of dollars)

Identification code 75-9931-0-3-551		1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>				
00.01	Operating expenses: Interest subsidies, private .....	2	3	3
10.00	Total new obligations (object class 41.0) .....	2	3	3
<b>Budgetary resources available for obligation:</b>				
21.40	Unobligated balance available, start of year .....	50	48	40
22.00	New budget authority (gross) .....	4	7	5
22.60	Portion applied to repay debt .....	-4	-12	-12
23.90	Total budgetary resources available for obligation .....	50	43	33
23.95	Total new obligations .....	-2	-3	-3
24.40	Unobligated balance available, end of year .....	48	40	30
<b>New budget authority (gross), detail:</b>				
Mandatory:				
60.00	Appropriation .....	1	1	
60.36	Unobligated balance rescinded .....	-3		
62.50	Appropriation (total mandatory) .....	-2	1	
69.00	Offsetting collections (cash) .....	6	6	5
70.00	Total new budget authority (gross) .....	4	7	5
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance, start of year .....	15	15	14
73.10	Total new obligations .....	2	3	3
73.20	Total outlays (gross) .....	-3	-4	-4
74.40	Unpaid obligations, end of year: Obligated balance, end of year .....	15	14	13
<b>Outlays (gross), detail:</b>				
86.97	Outlays from new mandatory authority .....	1	1	
86.98	Outlays from mandatory balances .....	2	3	4
87.00	Total outlays (gross) .....	3	4	4
<b>Offsets:</b>				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
Non-Federal sources:				
88.40	Principal repaid on loans not sold .....	-2	-2	-1
88.40	Principal collections from FFB .....	-4	-4	-4
88.90	Total, offsetting collections (cash) .....	-6	-6	-5
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	-2	1	
90.00	Outlays .....	-4	-2	-1

**Status of Direct Loans** (in millions of dollars)

Identification code 75-9931-0-3-551		1999 actual	2000 est.	2001 est.
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	17	15	8
1251	Repayments: Repayments and prepayments .....	-6	-7	-8
1264	Write-offs for default: Other adjustments, net .....	4		
1290	Outstanding, end of year .....	15	8	

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 75-9931-0-3-551		1999 actual	2000 est.	2001 est.
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	82	45	15
2251	Repayments and prepayments .....	-37	-30	-15
2290	Outstanding, end of year .....	45	15	
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	45	15	

Title VII, part C of the Public Health Service Act established a revolving fund from which health professions schools could borrow in order to provide loans to their students.

Public Law 89-751, the Allied Health Professions Personnel Training Act of 1966, amended the Public Health Service Act to authorize the Federal Government to pay the difference between the interest paid by students to the schools and the interest payable by the schools to the Government National Mortgage Association (GNMA) and the Treasury.

Title VI and subsequently title XVI of the Public Health Service Act established a loan and loan guarantee fund for medical facilities with a maximum amount allowable for the Government's liability. Direct loans were made available for public facilities and guaranteed loans for private, nonprofit facilities. Funds under this authority were established in the amount of \$50 million for use in fulfilling guarantees in event of default, \$30 million as a revolving fund for direct loans and an amount for interest subsidy payments on guaranteed loans. Unobligated balances will be used to pay defaults and interest subsidy payments.

**Trust Funds**

**VACCINE INJURY COMPENSATION PROGRAM TRUST FUND**

For payments from the Vaccine Injury Compensation Program Trust Fund, such sums as may be necessary for claims associated with vaccine-related injury or death with respect to vaccines administered after September 30, 1988, pursuant to subtitle 2 of title XXI of the Public Health Service Act, to remain available until expended: Provided, That for necessary administrative expenses, not to exceed [\$3,000,000] \$2,992,000 shall be available from the Trust Fund to the Secretary of Health and Human Services. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

**Unavailable Collections** (in millions of dollars)

Identification code 20-8175-0-7-551		1999 actual	2000 est.	2001 est.
Balance, start of year:				
01.99	Balance, start of year .....	1,264	1,398	1,528
Receipts:				
02.01	Deposits .....	130	131	134
02.03	Interest income .....	64	71	77
02.99	Total receipts .....	194	202	211
04.00	Total: Balances and collections .....	1,458	1,600	1,739
Appropriation:				
05.01	Vaccine injury compensation program trust fund .....	-60	-72	-124
05.99	Subtotal appropriation .....	-60	-72	-124

VACCINE INJURY COMPENSATION PROGRAM TRUST FUND—Continued

Unavailable Collections (in millions of dollars)—Continued

Identification code 20-8175-0-7-551	1999 actual	2000 est.	2001 est.
07.99 Total balance, end of year .....	1,398	1,528	1,615

Program and Financing (in millions of dollars)

Identification code 20-8175-0-7-551	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.01 Compensation: Claims for post—FY 1989 injuries .....	53	62	114
Administrative expenses:			
01.03 Claims processing (Claims Court) .....	2	3	3
01.04 Claims processing (Public Health Service) .....	3	3	3
01.05 Claims processing (Dept. of Justice) .....	2	4	4
01.91 Total, administrative expenses .....	7	10	10
10.00 Total new obligations .....	60	72	124

Budgetary resources available for obligation:

21.40 Unobligated balance available, start of year .....	2	1	
22.00 New budget authority (gross) .....	60	72	124
23.90 Total budgetary resources available for obligation .....	62	73	124
23.95 Total new obligations .....	-60	-72	-124
24.40 Unobligated balance available, end of year .....	1		

New budget authority (gross), detail:

Discretionary:			
40.26 Appropriation (trust fund, definite) .....	7	10	10
Mandatory:			
60.27 Appropriation (trust fund, indefinite) .....	53	62	114
70.00 Total new budget authority (gross) .....	60	72	124

Change in unpaid obligations:

72.40 Unpaid obligations, start of year: Obligated balance, start of year .....		1	
73.10 Total new obligations .....	60	72	124
73.20 Total outlays (gross) .....	-60	-73	-124
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	1		

Outlays (gross), detail:

86.90 Outlays from new discretionary authority .....	5	10	10
86.93 Outlays from discretionary balances .....	2	1	
86.97 Outlays from new mandatory authority .....	53	62	114
87.00 Total outlays (gross) .....	60	73	124

Net budget authority and outlays:

89.00 Budget authority .....	60	72	124
90.00 Outlays .....	60	73	124

Memorandum (non-add) entries:

92.01 Total investments, start of year: U.S. securities: Par value .....	1,285	1,431	1,528
92.02 Total investments, end of year: U.S. securities: Par value .....	1,431	1,528	1,615

The Vaccine improvement program was established pursuant to Public Law 99-660 and Public Law 100-203, and serves as a source of funds to pay claims for compensation for vaccine related injury or death. This account reflects payments for claims for vaccine related injury or death occurring after October 1, 1988.

Object Classification (in millions of dollars)

Identification code 20-8175-0-7-551	1999 actual	2000 est.	2001 est.
25.2 Other services .....	3	3	3
25.3 Purchases of goods and services from Government accounts .....	4	7	7
42.0 Insurance claims and indemnities .....	53	62	114
99.9 Total new obligations .....	60	72	124

RICKY RAY HEMOPHILIA RELIEF FUND

Unavailable Collections (in millions of dollars)

Identification code 75-8074-0-7-551	1999 actual	2000 est.	2001 est.
Balance, start of year:			
01.99 Balance, start of year .....			
Receipts:			
02.01 Payments from the general fund .....		75	100
Appropriation:			
05.01 Ricky Ray hemophilia relief fund .....		-75	-100
07.99 Total balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 75-8074-0-7-551	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....		75	100
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....		75	100
23.95 Total new obligations .....		-75	-100

New budget authority (gross), detail:

Discretionary:			
40.27 Appropriation (trust fund, indefinite) .....		75	100

Change in unpaid obligations:

73.10 Total new obligations .....		75	100
73.20 Total outlays (gross) .....		-75	-100

Outlays (gross), detail:

86.90 Outlays from new discretionary authority .....		75	100
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Net budget authority and outlays:

89.00 Budget authority .....		75	100
90.00 Outlays .....		75	100

These amounts will be paid to the Ricky Ray hemophilia relief fund. The Ricky Ray hemophilia relief fund was established pursuant to Public Law 105-369 and serves as a source of funds to pay claims to eligible individuals on behalf of persons with HIV and a blood clotting disorder, who were treated with an anti-hemophiliac factor between July 1982 and December 1987.

Object Classification (in millions of dollars)

Identification code 75-8074-0-7-551	1999 actual	2000 est.	2001 est.
25.2 Other services .....		5	5
42.0 Insurance claims and indemnities .....		70	95
99.9 Total new obligations .....		75	100

INDIAN HEALTH SERVICE

Federal Funds

General and special funds:

INDIAN HEALTH SERVICES

For expenses necessary to carry out the Act of August 5, 1954 (68 Stat. 674), the Indian Self-Determination Act, the Indian Health Care Improvement Act, and titles II and III of the Public Health Service Act with respect to the Indian Health Service, [\$2,078,967,000], \$2,271,055,000 together with payments received during the fiscal year pursuant to 42 U.S.C. 238(b) for services furnished by the Indian Health Service: *Provided*, That funds made available to tribes and tribal organizations through contracts, grant agreements, or any other agreements or compacts authorized by the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450), shall be deemed to be obligated at the time of the grant or contract award and thereafter shall remain available to the tribe or tribal organization without fiscal year limitation: *Provided further*, That [\$12,000,000] \$18,000,000 shall remain available

until expended, for the Indian Catastrophic Health Emergency Fund: *Provided further*, That **[\$395,290,000]** \$429,672,000 for contract medical care shall remain available for obligation until September 30, **[2001]** 2002: *Provided further*, That of the funds provided, up to \$17,000,000 shall be used to carry out the loan repayment program under section 108 of the Indian Health Care Improvement Act: *Provided further*, That funds provided in this Act may be used for 1-year contracts and grants which are to be performed in two fiscal years, so long as the total obligation is recorded in the year for which the funds are appropriated: *Provided further*, That the amounts collected by the Secretary of Health and Human Services under the authority of title IV of the Indian Health Care Improvement Act shall remain available until expended for the purpose of achieving compliance with the applicable conditions and requirements of titles XVIII and XIX of the Social Security Act (exclusive of planning, design, or construction of new facilities): *Provided further*, That funding contained herein, and in any earlier appropriations Acts for scholarship programs under the Indian Health Care Improvement Act (25 U.S.C. 1613) shall remain available for obligation until September 30, **[2001]** 2002: *Provided further*, That amounts received by tribes and tribal organizations under title IV of the Indian Health Care Improvement Act shall be reported and accounted for and available to the receiving tribes and tribal organizations until expended: *Provided further*, That, notwithstanding any other provision of law, of the amounts provided herein, not to exceed **[\$228,781,000]** \$268,781,000 shall be for payments to tribes and tribal organizations for contract or grant support costs associated with contracts, grants, self-governance compacts or annual funding agreements (*herein "contracts/compacts"*) between the Indian Health Service and a tribe or tribal organization pursuant to the Indian Self-Determination Act of 1975, as amended, prior to or during fiscal year **[2000]** 2001, of which not to exceed **[\$10,000,000]** may be used for such costs associated with **\$40,000,000** is available first for new and expanded **[contracts, grants, self-governance compacts or annual funding agreements]** contracts/compacts, which shall receive contract support costs at, and not greater than, the minimum percentage of need funded for existing contracts/compacts in fiscal year 2001: *Provided further*, That any remaining portion of the \$40,000,000 shall be used for contract support costs for existing contracts/compacts: *Provided further*, That funds available for the Indian Health Care Improvement Fund may be used, as needed, to carry out activities typically funded under the Indian Health Facilities account. (*Department of the Interior and Related Agencies Appropriations Act, 2000, as enacted by section 1000(a)(3) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

Program and Financing (in millions of dollars)				
Identification code 75-0390-0-1-551	1999 actual	2000 est.	2001 est.	
<b>Obligations by program activity:</b>				
Direct program:				
00.01 Clinical services .....	1,524	1,632	1,769	
00.02 Preventive health .....	86	92	103	
00.03 Urban health .....	27	28	31	
00.04 Indian health professions .....	29	30	33	
00.05 Tribal management .....	2	2	2	
00.06 Direct operations .....	48	51	54	
00.07 Self-governance .....	9	10	10	
00.08 Contract support costs .....	204	229	269	
00.09 Diabetes funds .....	34	30	30	
09.01 Reimbursable program .....	561	582	582	
10.00 Total new obligations .....	2,524	2,686	2,883	
<b>Budgetary resources available for obligation:</b>				
21.40 Unobligated balance available, start of year .....	103	115	115	
22.00 New budget authority (gross) .....	2,539	2,686	2,883	
22.10 Resources available from recoveries of prior year obligations .....	1			
23.90 Total budgetary resources available for obligation .....	2,643	2,801	2,998	
23.95 Total new obligations .....	-2,524	-2,686	-2,883	
23.98 Unobligated balance expiring or withdrawn .....	-4			
24.40 Unobligated balance available, end of year .....	115	115	115	
<b>New budget authority (gross), detail:</b>				
Discretionary:				
40.00 Appropriation .....	1,950	2,079	2,271	
40.75 Reduction pursuant to P.L. 106-51 .....	-2			
40.76 Reduction pursuant to P.L. 106-113 .....		-5		

43.00 Appropriation (total discretionary) .....	1,948	2,074	2,271
Mandatory:			
62.00 Transferred from HCFA for Diabetes .....	30	30	30
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	561	582	582
70.00 Total new budget authority (gross) .....	2,539	2,686	2,883
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	484	481	514
73.10 Total new obligations .....	2,524	2,686	2,883
73.20 Total outlays (gross) .....	-2,490	-2,653	-2,882
73.40 Adjustments in expired accounts (net) .....	-36		
73.45 Adjustments in unexpired accounts .....	-1		
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	481	514	515
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	2,061	2,154	2,331
86.93 Outlays from discretionary balances .....	399	469	521
86.97 Outlays from new mandatory authority .....	23	23	23
86.98 Outlays from mandatory balances .....	7	7	7
87.00 Total outlays (gross) .....	2,490	2,653	2,882
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-286	-288	-288
88.40 Non-Federal sources .....	-275	-294	-294
88.90 Total, offsetting collections (cash) .....	-561	-582	-582
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1,978	2,104	2,301
90.00 Outlays .....	1,930	2,071	2,300

The Indian health services account provides medical care, public health services, and health professions training opportunities to American Indians and Alaska Natives. An estimated \$592 million will be administered by tribal governments under self-governance compacts in FY 2001.

**Object Classification** (in millions of dollars)

Identification code 75-0390-0-1-551	1999 actual	2000 est.	2001 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	312	334	357
11.3 Other than full-time permanent .....	14	15	16
11.5 Other personnel compensation .....	29	36	38
11.9 Total personnel compensation .....	355	385	411
12.1 Civilian personnel benefits .....	99	105	114
13.0 Benefits for former personnel .....	2	3	4
21.0 Travel and transportation of persons .....	28	31	31
22.0 Transportation of things .....	10	11	11
23.1 Rental payments to GSA .....	8	8	8
23.2 Rental payments to others .....	3	9	9
23.3 Communications, utilities, and miscellaneous charges .....	21	24	24
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	7	13	13
25.2 Other services .....	66	25	30
25.3 Purchases of goods and services from Government accounts .....	24	25	25
25.4 Operation and maintenance of facilities .....	-5	4	4
25.5 Research and development contracts .....		1	1
25.6 Medical care .....	182	191	232
25.7 Operation and maintenance of equipment .....	-2	16	16
25.8 Subsistence and support of persons .....	1	5	5
26.0 Supplies and materials .....	95	139	139
31.0 Equipment .....	24	9	10
32.0 Land and structures .....	1	1	1
41.0 Grants, subsidies, and contributions .....	1,043	1,098	1,212
99.0 Subtotal, direct obligations .....	1,963	2,104	2,301
99.0 Reimbursable obligations .....	561	582	582
99.9 Total new obligations .....	2,524	2,686	2,883

General and special funds—Continued

INDIAN HEALTH SERVICES—Continued

Personnel Summary

Identification code 75-0390-0-1-551	1999 actual	2000 est.	2001 est.
<b>Direct:</b>			
Total compensable workyears:			
1001 Full-time equivalent employment .....	10,376	10,433	10,546
1011 Exempt Full-time equivalent employment .....	1		
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment .....	2,916	2,916	2,916
<b>Allocation account:</b>			
3001 Total compensable workyears: Full-time equivalent employment .....	2		

INDIAN HEALTH FACILITIES

For construction, repair, maintenance, improvement, and equipment of health and related auxiliary facilities, including quarters for personnel; preparation of plans, specifications, and drawings; acquisition of sites, purchase and erection of modular buildings, and purchases of trailers; and for provision of domestic and community sanitation facilities for Indians, as authorized by section 7 of the Act of August 5, 1954 (42 U.S.C. 2004a), the Indian Self-Determination Act, and the Indian Health Care Improvement Act, and for expenses necessary to carry out such Acts and titles II and III of the Public Health Service Act with respect to environmental health and facilities support activities of the Indian Health Service, **[\$318,580,000] \$290,795,000**, to remain available until expended: *Provided*, That notwithstanding any other provision of law, funds appropriated for the planning, design, construction or renovation of health facilities for the benefit of an Indian tribe or tribes may be used to purchase land for sites to construct, improve, or enlarge health or related facilities: *Provided further*, That notwithstanding any provision of law governing Federal construction, \$3,000,000 of the funds provided herein shall be provided to the Hopi Tribe to reduce the debt incurred by the Tribe in providing staff quarters to meet the housing needs associated with the new Hopi Health Center: *Provided further*, That not to exceed \$500,000 shall be used by the Indian Health Service to purchase TRANSAM equipment from the Department of Defense for distribution to the Indian Health Service and tribal facilities: *Provided further*, That not to exceed \$500,000 shall be used by the Indian Health Service to obtain ambulances for the Indian Health Service and tribal facilities in conjunction with an existing interagency agreement between the Indian Health Service and the General Services Administration: *Provided further*, That not to exceed \$500,000 shall be placed in a Demolition Fund, available until expended, to be used by the Indian Health Service for demolition of Federal buildings: *Provided further*, That from within existing funds, the Indian Health Service may purchase up to 5 acres of land for expanding the parking facilities at the Indian Health Service hospital in Tahlequah, Oklahoma].

*In addition, to remain available until expended: for construction of a replacement health facility at Fort Defiance, Arizona, up to \$38,715,000; for construction of a replacement health facility at Parker, Arizona, up to \$7,578,000; and for construction of a replacement health facility at Winnebago, Nebraska, up to \$12,286,000 and, to become available October 1, 2001 and remain available until expended, up to \$17,541,000. (Department of the Interior and Related Agencies Appropriations Act, 2000, as enacted by section 1000(a)(3) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)*

Unavailable Collections (in millions of dollars)

Identification code 75-0391-0-1-551	1999 actual	2000 est.	2001 est.
Balance, start of year:			
01.99 Balance, start of year .....			
<b>Receipts:</b>			
02.01 Rent and charges for quarters, Indian health service, HHS .....	5	5	5
<b>Appropriation:</b>			
05.01 Indian health facilities .....	-5	-5	-5
07.99 Total balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 75-0391-0-1-551	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Sanitation and health facilities .....	122	143	162
00.02 Maintenance .....	40	48	50
00.03 Facilities and environmental health .....	95	117	130
00.04 Equipment .....	12	14	12
01.00 Total direct program .....	269	322	354
09.01 Reimbursable program .....	11	12	12
10.00 Total new obligations .....	280	334	366
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year .....	22	72	72
22.00 New budget authority (gross) .....	318	334	366
22.10 Resources available from recoveries of prior year obligations .....	11		
23.90 Total budgetary resources available for obligation .....	351	406	438
23.95 Total new obligations .....	-280	-334	-366
24.40 Unobligated balance available, end of year .....	72	72	72
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	292	319	349
40.76 Reduction pursuant to P.L. 106-113 .....		-2	
43.00 Appropriation (total discretionary) .....	292	317	349
Mandatory:			
60.25 Appropriation (special fund, indefinite) .....	5	5	5
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	21	12	12
70.00 Total new budget authority (gross) .....	318	334	366
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	356	335	346
73.10 Total new obligations .....	280	334	366
73.20 Total outlays (gross) .....	-290	-323	-340
73.45 Adjustments in unexpired accounts .....	-11		
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	335	346	372
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	109	105	117
86.93 Outlays from discretionary balances .....	176	212	218
86.97 Outlays from new mandatory authority .....	5	5	5
87.00 Total outlays (gross) .....	290	323	340
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-21	-12	-12
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	297	322	354
90.00 Outlays .....	269	311	328

The Indian health facilities account supports construction, repair and improvement, equipment, and environmental health and facilities support for the Indian health services.

Object Classification (in millions of dollars)

Identification code 75-0391-0-1-551	1999 actual	2000 est.	2001 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	46	50	54
11.3 Other than full-time permanent .....	3	4	4
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	50	55	59
12.1 Civilian personnel benefits .....	13	14	15
21.0 Travel and transportation of persons .....	4	5	5
22.0 Transportation of things .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	11	11	11
25.1 Advisory and assistance services .....	1	1	1

25.2	Other services .....	115	146	169
25.3	Purchases of goods and services from Government accounts .....	5	5	5
25.4	Operation and maintenance of facilities .....	12	10	10
25.7	Operation and maintenance of equipment .....	2	1	1
26.0	Supplies and materials .....	6	7	7
31.0	Equipment .....	7	2	2
32.0	Land and structures .....	2	17	17
41.0	Grants, subsidies, and contributions .....	38	45	49
99.0	Subtotal, direct obligations .....	269	322	354
99.0	Reimbursable obligations .....	11	12	12
99.9	Total new obligations .....	280	334	366

**Personnel Summary**

Identification code 75-0391-0-1-551	1999 actual	2000 est.	2001 est.
1001 Total compensable workyears: Full-time equivalent employment .....	1,231	1,264	1,301

**ADMINISTRATIVE PROVISIONS, INDIAN HEALTH SERVICE**

Appropriations in this Act to the Indian Health Service shall be available for services as authorized by 5 U.S.C. 3109 but at rates not to exceed the per diem rate equivalent to the maximum rate payable for senior-level positions under 5 U.S.C. 5376; hire of passenger motor vehicles and aircraft; purchase of medical equipment; purchase of reprints; purchase, renovation and erection of modular buildings and renovation of existing facilities; payments for telephone service in private residences in the field, when authorized under regulations approved by the Secretary; and for uniforms or allowances therefore as authorized by 5 U.S.C. 5901-5902; and for expenses of attendance at meetings which are concerned with the functions or activities for which the appropriation is made or which will contribute to improved conduct, supervision, or management of those functions or activities: *Provided*, That in accordance with the provisions of the Indian Health Care Improvement Act, non-Indian patients may be extended health care at all tribally administered or Indian Health Service facilities, subject to charges, and the proceeds along with funds recovered under the Federal Medical Care Recovery Act (42 U.S.C. 2651-2653) shall be credited to the account of the facility providing the service and shall be available without fiscal year limitation: *Provided further*, That notwithstanding any other law or regulation, funds transferred from the Department of Housing and Urban Development to the Indian Health Service shall be administered under Public Law 86-121 (the Indian Sanitation Facilities Act) and Public Law 93-638, as amended: *Provided further*, That funds appropriated to the Indian Health Service in this Act, except those used for administrative and program direction purposes, shall not be subject to limitations directed at curtailing Federal travel and transportation: *Provided further*, That notwithstanding any other provision of law, funds previously or herein made available to a tribe or tribal organization through a contract, grant, or agreement authorized by title I or title III of the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450), may be deobligated and reobligated to a self-determination contract under title I, or a self-governance agreement under title III of such Act and thereafter shall remain available to the tribe or tribal organization without fiscal year limitation: *Provided further*, That none of the funds made available to the Indian Health Service in this Act shall be used to implement the final rule published in the Federal Register on September 16, 1987, by the Department of Health and Human Services, relating to the eligibility for the health care services of the Indian Health Service until the Indian Health Service has submitted a budget request reflecting the increased costs associated with the proposed final rule, and such request has been included in an appropriations Act and enacted into law: *Provided further*, That funds made available in this Act are to be apportioned to the Indian Health Service as appropriated in this Act, and accounted for in the appropriation structure set forth in this Act: *Provided further*, That with respect to functions transferred by the Indian Health Service to tribes or tribal organizations, the Indian Health Service is authorized to provide goods and services to those entities, on a reimbursable basis, including payment in advance with subsequent adjustment, and the reimbursements received therefrom, along with the funds received from those entities pursuant to the Indian Self-Determination Act, may be credited to the same or subsequent

appropriation account which provided the funding, said amounts to remain available until expended: *Provided further*, That reimbursements for training, technical assistance, or services provided by the Indian Health Service will contain total costs, including direct, administrative, and overhead associated with the provision of goods, services, or technical assistance: *Provided further*, That the appropriation structure for the Indian Health Service may not be altered without advance [approval of] notice submitted to the House and Senate Committees on Appropriations. (*Department of the Interior and Related Agencies Appropriations Act, 2000, as enacted by section 1000(a)(3) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

**CENTERS FOR DISEASE CONTROL AND PREVENTION**

**Federal Funds**

**General and special funds:**

**DISEASE CONTROL, RESEARCH, AND TRAINING**

To carry out titles II, III, VII, XI, XV, XVII, XIX and XXVI of the Public Health Service Act, sections 101, 102, 103, 201, 202, 203, 301, and 501 of the Federal Mine Safety and Health Act of 1977, sections 20, 21, and 22 of the Occupational Safety and Health Act of 1970, title IV of the Immigration and Nationality Act and section 501 of the Refugee Education Assistance Act of 1980; including insurance of official motor vehicles in foreign countries; and hire, maintenance, and operation of aircraft, [\$2,910,761,000] \$3,239,487,000, of which [\$60,000,000] \$127,074,000 shall remain available until expended for equipment and construction and renovation of facilities, and in addition, such sums as may be derived from authorized user fees, which shall be credited to this account: *Provided*, That in addition to amounts provided herein, up to [\$71,690,000] \$76,690,000 shall be available from amounts available under section 241 of the Public Health Service Act, to carry out the National Center for Health Statistics surveys: *Provided further*, That none of the funds made available for injury prevention and control at the Centers for Disease Control and Prevention may be used to advocate or promote gun control: *Provided further*, That the Director may redirect the total amount made available under authority of Public Law 101-502, section 3, dated November 3, 1990, to activities the Director may so designate: *Provided further*, That the Congress is to be notified promptly of any such transfer: *Provided further*, That notwithstanding any other provision of law, a single contract or related contracts for the development and construction of the infectious disease laboratory through the General Services Administration may be employed which collectively include the full scope of the project: *Provided further*, That the solicitation and contract shall contain the clause "availability of funds" found at 48 CFR 52.232-18: *Provided further*, That not to exceed \$10,000,000 may be available for making grants under section 1509 of the Public Health Service Act to not more than 10 States: *Provided further*, That of the amount provided under this heading, \$3,000,000 shall be for the Center for Environmental Medicine and Toxicology at the University of Mississippi Medical Center at Jackson; \$2,000,000 shall be for the University of Mississippi phytomedicine project; \$500,000 shall be for the Alaska aviation safety initiative; and \$1,000,000 shall be for the University of South Alabama birth defects monitoring and prevention activities.

In addition, \$51,000,000, to be derived from the Violent Crime Reduction Trust Fund, for carrying out sections 40151 and 40261 of Public Law 103-322]. *In addition, to become available on October 1 of the fiscal year specified and remain available until expended for construction of Laboratory Building 18: \$20,840,000 for fiscal year 2002 and \$20,840,000 for fiscal year 2003. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

**Unavailable Collections (in millions of dollars)**

Identification code 75-0943-0-1-999	1999 actual	2000 est.	2001 est.
Balance, start of year:			
01.99 Balance, start of year .....			
Receipts:			
02.01 Cooperative research and development agreements, Centers for Disease Control .....	2	2	2

**General and special funds—Continued**

**DISEASE CONTROL, RESEARCH, AND TRAINING—Continued**

**Unavailable Collections (in millions of dollars)—Continued**

Identification code 75-0943-0-1-999	1999 actual	2000 est.	2001 est.
<b>Appropriation:</b>			
05.01 Disease control, research, and training .....	- 2	- 2	- 2
07.99 Total balance, end of year .....			

**Program and Financing (in millions of dollars)**

Identification code 75-0943-0-1-999	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
<b>Direct program:</b>			
00.01 Preventive health block grant .....	150	135	179
00.02 Prevention centers .....	13	18	15
00.03 Sexually transmitted diseases .....	124	136	151
00.04 Immunization .....	420	490	530
00.05 Infectious diseases .....	257	294	329
00.06 Other chronic and environmental diseases .....	475	553	583
00.07 Tobacco .....	74	101	106
00.08 Occupational safety and health .....	200	215	220
00.10 Epidemic services .....	86	86	86
00.11 Health statistics .....	27	33	33
00.12 HIV .....	657	695	795
00.13 Prevention research .....	15	15	15
00.14 Buildings and facilities .....	45	100	127
00.15 Office of the director .....	30	38	36
00.16 Violent crime reduction programs .....	51	50	
00.17 Eliminating racial and ethnic disparities .....	10	30	35
09.01 Reimbursable program .....	180	189	195
10.00 Total new obligations .....	2,814	3,178	3,435
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year .....	56	43	22
22.00 New budget authority (gross) .....	2,791	3,157	3,439
22.10 Resources available from recoveries of prior year obligations .....	11		
23.90 Total budgetary resources available for obligation .....	2,858	3,200	3,461
23.95 Total new obligations .....	- 2,814	- 3,178	- 3,435
23.98 Unobligated balance expiring or withdrawn .....	- 1		
24.40 Unobligated balance available, end of year .....	43	22	27

**New budget authority (gross), detail:**

<b>Discretionary:</b>			
40.00 Appropriation .....	2,559	2,911	3,239
40.75 Reduction pursuant to P.L. 106-51 .....	- 3		
40.76 Reduction pursuant to P.L. 106-113 .....		- 19	
41.00 Transferred to other accounts .....	- 1		
42.00 Transferred from other accounts .....	51	71	
43.00 Appropriation (total discretionary) .....	2,606	2,963	3,239
<b>Mandatory:</b>			
60.25 Appropriation (special fund, indefinite) .....	2	2	2
62.00 Transferred from other accounts .....	3	3	3
62.50 Appropriation (total mandatory) .....	5	5	5
<b>Discretionary:</b>			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	180	189	195
70.00 Total new budget authority (gross) .....	2,791	3,157	3,439

**Change in unpaid obligations:**

72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	2,042	2,223	2,518
73.10 Total new obligations .....	2,814	3,178	3,435
73.20 Total outlays (gross) .....	- 2,610	- 2,881	- 3,228
73.40 Adjustments in expired accounts (net) .....	- 13		
73.45 Adjustments in unexpired accounts .....	- 11		
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	2,223	2,518	2,724

**Outlays (gross), detail:**

86.90 Outlays from new discretionary authority .....	1,133	1,211	1,329
86.93 Outlays from discretionary balances .....	1,470	1,664	1,893
86.97 Outlays from new mandatory authority .....	5	5	5
86.98 Outlays from mandatory balances .....	2	1	1
87.00 Total outlays (gross) .....	2,610	2,881	3,228

**Offsets:**

<b>Against gross budget authority and outlays:</b>			
<b>Offsetting collections (cash) from:</b>			
88.00 Federal sources .....	- 178	- 187	- 193
88.40 Non-Federal sources .....	- 2	- 2	- 2
88.90 Total, offsetting collections (cash) .....	- 180	- 189	- 195

**Net budget authority and outlays:**

89.00 Budget authority .....	2,611	2,968	3,244
90.00 Outlays .....	2,430	2,692	3,033

(Dollars in millions)

	1999	2000	2001
<b>Distribution of budget authority by account:</b>			
Disease control, research and training .....	2,560	2,918	3,244
Violent crime reduction programs .....	51	50	
<b>Distribution of outlays by account:</b>			
Disease control, research and training .....	2,382	2,658	2,996
Violent crime reduction programs .....	48	34	37

The Centers for Disease Control and Prevention (CDC) supports a number of categorical programs including grants for immunizations, control of sexually transmitted diseases, HIV prevention, and control of other infectious and chronic diseases. CDC also supports the Preventive health block grant.

This display also includes amounts derived from the Violent crime reduction trust fund in FY 1999 and FY 2000. In FY 2001, the activities funded through the trust fund in FY 2000 are maintained at the same funding level of \$51 million, and displayed in FY 2001 within the activity lines for the preventive health block grant (\$45 million) and other chronic and environmental diseases (\$6 million).

**Object Classification (in millions of dollars)**

Identification code 75-0943-0-1-999	1999 actual	2000 est.	2001 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	304	335	368
11.3 Other than full-time permanent .....	7	9	10
11.5 Other personnel compensation .....	16	17	18
11.9 Total personnel compensation .....	327	361	396
12.1 Civilian personnel benefits .....	97	104	112
21.0 Travel and transportation of persons .....	26	31	34
22.0 Transportation of things .....	5	6	7
23.1 Rental payments to GSA .....	19	22	24
23.2 Rental payments to others .....	2	3	3
23.3 Communications, utilities, and miscellaneous charges .....	24	26	28
24.0 Printing and reproduction .....	7	9	10
25.1 Advisory and assistance services .....	24	29	31
25.2 Other services .....	50	56	60
25.3 Purchases of goods and services from Government accounts .....	71	80	87
25.4 Operation and maintenance of facilities .....	12	57	62
25.5 Research and development contracts .....	123	133	145
25.6 Medical care .....	3	5	5
25.7 Operation and maintenance of equipment .....	10	13	14
26.0 Supplies and materials .....	23	30	39
31.0 Equipment .....	38	43	47
32.0 Land and structures .....	14	38	41
41.0 Grants, subsidies, and contributions .....	1,759	1,943	2,095
99.0 Subtotal, direct obligations .....	2,634	2,989	3,240
99.0 Reimbursable obligations .....	180	189	195
99.9 Total new obligations .....	2,814	3,178	3,435

**Personnel Summary**

Identification code 75-0943-0-1-999	1999 actual	2000 est.	2001 est.
<b>Direct:</b>			
<b>Total compensable workyears:</b>			
1001 Full-time equivalent employment .....	6,381	6,582	6,796
1011 Exempt Full-time equivalent employment .....	4		
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment .....	972	1,114	1,124

Allocation account:			
3001 Total compensable workyears: Full-time equivalent employment .....	133	226	140

## ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriation as follows:  
Environmental Protection Agency: "Hazardous substance response trust fund."

## NATIONAL INSTITUTES OF HEALTH

## Federal Funds

## General and special funds:

## NATIONAL CANCER INSTITUTE

For carrying out section 301 and title IV of the Public Health Service Act with respect to cancer, **[\$3,332,317,000]** \$3,249,730,000. (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

## NATIONAL HEART, LUNG, AND BLOOD INSTITUTE

For carrying out section 301 and title IV of the Public Health Service Act with respect to cardiovascular, lung, and blood diseases, and blood and blood products, **[\$2,040,291,000]** \$2,069,582,000. (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

## NATIONAL INSTITUTE OF DENTAL AND CRANIOFACIAL RESEARCH

For carrying out section 301 and title IV of the Public Health Service Act with respect to dental disease, **[\$270,253,000]** \$263,075,000. (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

## NATIONAL INSTITUTE OF DIABETES AND DIGESTIVE AND KIDNEY DISEASES

For carrying out section 301 and title IV of the Public Health Service Act with respect to diabetes and digestive and kidney disease, **[\$1,147,588,000]** \$1,186,266,000. (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

## NATIONAL INSTITUTE OF NEUROLOGICAL DISORDERS AND STROKE

For carrying out section 301 and title IV of the Public Health Service Act with respect to neurological disorders and stroke, **[\$1,034,886,000]** \$1,050,412,000. (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

## NATIONAL INSTITUTE OF ALLERGY AND INFECTIOUS DISEASES

For carrying out section 301 and title IV of the Public Health Service Act with respect to allergy and infectious diseases, **[\$1,803,063,000]** \$935,166,000. (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

## NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES

For carrying out section 301 and title IV of the Public Health Service Act with respect to general medical sciences, **[\$1,361,668,000]** \$1,389,492,000. (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

## NATIONAL INSTITUTE OF CHILD HEALTH AND HUMAN DEVELOPMENT

For carrying out section 301 and title IV of the Public Health Service Act with respect to child health and human development, **[\$862,884,000]** \$810,501,000. (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

## NATIONAL EYE INSTITUTE

For carrying out section 301 and title IV of the Public Health Service Act with respect to eye diseases and visual disorders, **[\$452,706,000]** \$462,776,000. (*Department of Health and Human*

*Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

## NATIONAL INSTITUTE OF ENVIRONMENTAL HEALTH SCIENCES

For carrying out sections 301 and 311 and title IV of the Public Health Service Act with respect to environmental health sciences, **[\$444,817,000]** \$460,971,000. (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

## NATIONAL INSTITUTE ON AGING

For carrying out section 301 and title IV of the Public Health Service Act with respect to aging, **[\$690,156,000]** \$721,651,000. (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

## NATIONAL INSTITUTE OF ARTHRITIS AND MUSCULOSKELETAL AND SKIN DISEASES

For carrying out section 301 and title IV of the Public Health Service Act with respect to arthritis and musculoskeletal and skin diseases, **[\$351,840,000]** \$363,479,000. (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

## NATIONAL INSTITUTE ON DEAFNESS AND OTHER COMMUNICATION DISORDERS

For carrying out section 301 and title IV of the Public Health Service Act with respect to deafness and other communication disorders, **[\$265,185,000]** \$276,418,000. (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

## NATIONAL INSTITUTE OF NURSING RESEARCH

For carrying out section 301 and title IV of the Public Health Service Act with respect to nursing research, **[\$90,000,000]** \$84,714,000. (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

## NATIONAL INSTITUTE ON ALCOHOL ABUSE AND ALCOHOLISM

For carrying out section 301 and title IV of the Public Health Service Act with respect to alcohol abuse and alcoholism, **[\$293,935,000]** \$288,578,000. (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

## NATIONAL INSTITUTE ON DRUG ABUSE

For carrying out section 301 and title IV of the Public Health Service Act with respect to drug abuse, **[\$689,448,000]** \$496,294,000. (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

## NATIONAL INSTITUTE OF MENTAL HEALTH

For carrying out section 301 and title IV of the Public Health Service Act with respect to mental health, **[\$978,360,000]** \$896,059,000. (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

## NATIONAL HUMAN GENOME RESEARCH INSTITUTE

For carrying out section 301 and title IV of the Public Health Service Act with respect to human genome research, **[\$337,322,000]** \$353,427,000. (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

## NATIONAL CENTER FOR RESEARCH RESOURCES

For carrying out section 301 and title IV of the Public Health Service Act with respect to research resources and general research support grants, **[\$680,176,000]** \$602,728,000: *Provided*, That none of these funds shall be used to pay recipients of the general research support grants program any amount for indirect expenses in connection with such grants: *Provided further*, That \$72,500,000 shall be for extramural facilities construction grants. (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section*

**General and special funds—Continued**

**NATIONAL CENTER FOR RESEARCH RESOURCES—Continued**

1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

**NATIONAL CENTER FOR COMPLEMENTARY AND ALTERNATIVE MEDICINE**

For carrying out section 301 and title IV of the Public Health Service Act with respect to complementary and alternative medicine, **[\$68,753,000] \$71,362,000.** (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

**JOHN E. FOGARTY INTERNATIONAL CENTER**

For carrying out the activities at the John E. Fogarty International Center, **[\$43,723,000] \$32,532,000.** (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

**NATIONAL LIBRARY OF MEDICINE**

For carrying out section 301 and title IV of the Public Health Service Act with respect to health information communications, **[\$215,214,000] \$224,942,000,** of which \$4,000,000 shall be available until expended for improvement of information systems: *Provided,* That in fiscal year **[2000] 2001,** the Library may enter into personal services contracts for the provision of services in facilities owned, operated, or constructed under the jurisdiction of the National Institutes of Health. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

**OFFICE OF THE DIRECTOR**

**(INCLUDING TRANSFER OF FUNDS)**

For carrying out the responsibilities of the Office of the Director, National Institutes of Health, **[\$283,509,000,** of which \$44,953,000 shall be for the Office of AIDS Research] **\$262,456,000:** *Provided,* That funding shall be available for the purchase of not to exceed **[29] 20** passenger motor vehicles for replacement only: *Provided further,* That the Director may direct up to 1 percent of the total amount made available in this or any other Act to all National Institutes of Health appropriations to activities the Director may so designate: *Provided further,* That no such appropriation shall be decreased by more than 1 percent by any such transfers and that the Congress is promptly notified of the transfer: *Provided further,* That the National Institutes of Health is authorized to collect third party payments for the cost of clinical services that are incurred in National Institutes of Health research facilities and that such payments shall be credited to the National Institutes of Health Management Fund: *Provided further,* That all funds credited to the National Institutes of Health Management Fund shall remain available for one fiscal year after the fiscal year in which they are deposited: *Provided further,* That up to \$500,000 shall be available to carry out section 499 of the Public Health Service Act: *Provided further,* That, notwithstanding section 499(k)(10) of the Public Health Service Act, funds from the Foundation for the National Institutes of Health may be transferred to the National Institutes of Health] *Provided further,* That the Director of NIH may establish an extramural clinical loan repayment program in order to provide recruitment and retention incentives for clinical researchers: *Provided further,* That the Director of the Office of Research on Minority Health, in consultation with the Advisory Council for the Office of Research on Minority Health and the Institutes and Centers, may, in exceptional circumstances, fund peer-reviewed grants for innovative projects that address high priority areas of minority health. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

**OFFICE OF AIDS RESEARCH**

**(INCLUDING TRANSFER OF FUNDS)**

For carrying out part D of title XXIII of the Public Health Service Act, **\$2,111,224,000:** *Provided,* That the Director of the Office of AIDS Research shall transfer funds from this appropriation as authorized by subsection 2353(d) of such Act.

**BUILDINGS AND FACILITIES**

For the study of, construction of, and acquisition of equipment for, facilities of or used by the National Institutes of Health, including the acquisition of real property, **[\$135,376,000] \$148,900,000,** to remain available until expended, of which \$47,300,000 shall be for the neuroscience research center.

*In addition, to become available on October 1, 2001 and remain available until expended, for the neuroscience research center, \$26,000,000.* (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

**Unavailable Collections (in millions of dollars)**

Identification code 75-9915-0-1-552	1999 actual	2000 est.	2001 est.
Balance, start of year:			
01.99 Balance, start of year .....			
Receipts:			
02.01 Cooperative research and development agreements, NIH .....	13	13	13
Appropriation:			
05.01 National Institutes of Health .....	-13	-13	-13
07.99 Total balance, end of year .....			

**Program and Financing (in millions of dollars)**

Identification code 75-9915-0-1-552	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 National Cancer Institute .....	2,918	3,315	3,250
00.02 National Heart, Lung, and Blood Institute .....	1,788	2,029	2,070
00.03 National Institute of Dental and Craniofacial Research .....	234	269	263
00.04 National Institute of Diabetes and Digestive and Kidney Disease .....	1,018	1,168	1,213
00.05 National Institute of Neurological Disorders and Stroke .....	900	1,029	1,050
00.06 National Institute of Allergy and Infectious Diseases .....	1,565	1,778	935
00.07 National Institute of General Medical Sciences .....	1,203	1,354	1,390
00.08 National Institute of Child Health and Human Development .....	749	858	811
00.09 National Eye Institute .....	395	450	463
00.10 National Institute of Environmental Health Sciences .....	374	442	461
00.11 National Institute on Aging .....	594	686	722
00.12 National Institute of Arthritis and Musculoskeletal and Skin Disease .....	307	350	364
00.13 National Institute on Deafness and Other Communication Disorder .....	229	264	276
00.14 National Institute of Mental Health .....	858	973	896
00.15 National Institute on Drug Abuse .....	611	687	496
00.16 National Institute on Alcohol Abuse and Alcoholism .....	259	292	289
00.17 National Institute of Nursing Research .....	70	90	85
00.18 National Human Genome Research Institute .....	279	336	353
00.19 National Center for Research Resources .....	562	677	603
00.20 National Center for Complementary and Alternative Medicine .....	40	68	71
00.21 John F. Fogarty International Center .....	35	44	33
00.22 National Library of Medicine .....	181	214	225
00.23 Office of the Director .....	256	282	262
00.24 Office of AIDS Research .....			2,111
00.25 Buildings and facilities .....	217	165	148
00.26 Cooperative research and development agreements .....	16	13	13
00.27 Royalties .....	45		
09.00 Reimbursable program .....	1,038	1,187	1,215
10.00 Total new obligations .....	16,741	19,020	20,068
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year .....	147	161	161
22.00 New budget authority (gross) .....	16,756	19,020	20,068
23.90 Total budgetary resources available for obligation .....	16,903	19,181	20,229
23.95 Total new obligations .....	-16,741	-19,020	-20,068
23.98 Unobligated balance expiring or withdrawn .....	-1		
24.40 Unobligated balance available, end of year .....	161	161	161

**New budget authority (gross), detail:**

Discretionary:			
40.00 Appropriation .....	15,612	17,913	18,813
40.75 Reduction pursuant to P.L. 106-51 .....	-10		
40.76 Reduction pursuant to P.L. 106-113 .....		-100	



41.00	Transferred to other accounts .....	-5	-20	
42.00	Transferred from other accounts .....	10		
43.00	Appropriation (total discretionary) .....	15,607	17,793	18,813
<b>Mandatory:</b>				
60.25	Appropriation (special fund, indefinite) .....	13	13	13
62.00	Transferred from other accounts .....	27	27	27
62.50	Appropriation (total mandatory) .....	40	40	40
<b>Spending authority from offsetting collections:</b>				
<b>Discretionary:</b>				
68.00	Offsetting collections (cash) .....	949	1,187	1,215
68.10	From Federal sources: Change in receivables and unpaid, unfilled orders .....	160		
68.90	Spending authority from offsetting collections (total discretionary) .....	1,109	1,187	1,215
70.00	Total new budget authority (gross) .....	16,756	19,020	20,068
<b>Change in unpaid obligations:</b>				
<b>Unpaid obligations, start of year:</b>				
72.40	Obligated balance, start of year .....	12,119	13,898	16,194
72.95	From Federal sources: Receivables and unpaid, unfilled orders .....	3,984	4,144	4,144
72.99	Total unpaid obligations, start of year .....	16,103	18,042	20,338
73.10	Total new obligations .....	16,741	19,020	20,068
73.20	Total outlays (gross) .....	-14,764	-16,725	-19,080
73.40	Adjustments in expired accounts (net) .....	-38		
<b>Unpaid obligations, end of year:</b>				
74.40	Obligated balance, end of year .....	13,898	16,194	17,182
74.95	From Federal sources: Receivables and unpaid, unfilled orders .....	4,144	4,144	4,144
74.99	Total unpaid obligations, end of year .....	18,042	20,338	21,326
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new discretionary authority .....	5,549	5,998	6,606
86.93	Outlays from discretionary balances .....	9,190	10,692	12,435
86.97	Outlays from new mandatory authority .....	14	14	14
86.98	Outlays from mandatory balances .....	11	21	25
87.00	Total outlays (gross) .....	14,764	16,725	19,080
<b>Offsets:</b>				
<b>Against gross budget authority and outlays:</b>				
88.00	Offsetting collections (cash) from: Federal sources .....	-949	-1,187	-1,215
<b>Against gross budget authority only:</b>				
88.95	From Federal sources: Change in receivables and unpaid, unfilled orders .....	-160		
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	15,647	17,833	18,853
90.00	Outlays .....	13,815	15,538	17,865

(Dollars in millions)

<b>Distribution of budget authority by account:</b>				
	1999	2000	2001	
National Cancer Institute .....	2,918	3,315	3,250	
National Heart, Lung, and Blood Institute .....	1,788	2,029	2,070	
National Institute of Dental and Craniofacial Research .....	234	269	263	
National Institute of Diabetes and Digestive and Kidney Diseases .....	1,018	1,168	1,213	
National Institute of Neurological Disorder and Stroke .....	900	1,029	1,050	
National Institute of Allergy and Infectious Diseases .....	1,565	1,778	935	
National Institute of General Medical Sciences .....	1,203	1,354	1,390	
National Institute of Child Health and Human Development .....	749	858	811	
National Eye Institute .....	395	450	463	
National Institute of Environmental Health Sciences .....	374	442	461	
National Institute on Aging .....	595	686	722	
National Institute of Arthritis and Musculoskeletal and Skin Diseases .....	307	350	364	
National Institute on Deafness and Other Communication Disorders .....	229	264	276	
National Institute of Nursing Research .....	70	90	85	
National Institute on Alcohol Abuse and Alcoholism .....	259	292	289	
National Institute on Drug Abuse .....	611	687	496	
National Institute of Mental Health .....	858	973	896	
National Center for Research Resources .....	562	677	603	
National Human Genome Research Institute .....	279	336	353	
National Center for Complementary and Alternative Medicine .....	50	68	71	
John E. Fogarty International Center .....	35	44	33	
National Library of Medicine .....	181	214	225	
Office of the Director .....	256	282	262	
Office of AIDS Research .....			2,111	

Buildings and Facilities .....	197	165	148
Subtotal .....	15,633	17,820	18,840
Cooperative Research and Development Agreements .....	13	13	13
Total Budget Authority, NIH .....	15,646	17,833	18,853

(Dollars in millions)

<b>Distribution of outlays by account:</b>				
	1999	2000	2001	
National Cancer Institute .....	2,617	2,906	3,110	
National Heart, Lung, and Blood Institute .....	1,539	1,749	1,949	
National Institute of Dental and Craniofacial Research .....	212	234	248	
National Institute of Diabetes and Digestive and Kidney Diseases .....	903	1,014	1,145	
National Institute of Neurological Disorders and Stroke .....	776	899	996	
National Institute of Allergy and Infectious Diseases .....	1,375	1,549	871	
National Institute of General Medical Sciences .....	1,076	1,202	1,322	
National Institute of Child Health and Human Development .....	675	755	771	
National Eye Institute .....	352	391	440	
National Institute of Environmental Health Sciences .....	335	380	441	
National Institute on Aging .....	518	592	676	
National Institute of Arthritis and Musculoskeletal and Skin Diseases .....	267	309	345	
National Institute on Deafness and Other Communication Disorders .....	197	226	261	
National Institute of Nursing Research .....	63	70	79	
National Institute on Alcohol Abuse and Alcoholism .....	228	254	272	
National Institute on Drug Abuse .....	539	596	464	
National Institute of Mental Health .....	738	849	841	
National Center for Research Resources .....	460	557	554	
National Human Genome Research Institute .....	228	280	330	
National Center for Complementary and Alternative Medicine .....	3	40	65	
John E. Fogarty International Center .....	24	35	29	
National Library of Medicine .....	161	193	215	
Office of the Director .....	309	247	240	
Office of AIDS Research .....			1,981	
Buildings and Facilities .....	198	198	208	
Service and Supply Fund/Management Fund .....	3			
Subtotal Outlays .....	13,796	15,525	17,853	
Cooperative Research and Development Agreements .....	19	13	13	
Total Outlays, NIH .....	13,815	15,538	17,866	

Note: The total amount for FY 2000 AIDS research was \$2,006,368,000 which was jointly determined by the Director of NIH and the Director of the Office of AIDS Research pursuant to section 208 of P.L. 106-113. \$1,961,654,000 was made available to OAR. This amount is net of the \$44,714,000 in AIDS funds earmarked in P.L. 106-113 in the Office of the Director account. Amounts available under section 4921 of P.L. 105-33 for research on diabetes are included in the National Institute of Diabetes and Digestive and Kidney Disorders.

This program funds biomedical research and research training. These accounts will continue to be appropriated separately, and are displayed in a consolidated format to improve the readability of the presentation. Detailed information is available through the Department of Health and Human Services.

NIH research and related activities are included in the 21st Century research fund.

**Object Classification** (in millions of dollars)

Identification code 75-9915-0-1-552	1999 actual	2000 est.	2001 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	520	602	647
11.3 Other than full-time permanent .....	123	142	153
11.5 Other personnel compensation .....	36	32	34
11.8 Special personal services payments .....	89	106	114
11.9 Total personnel compensation .....	768	882	948
12.1 Civilian personnel benefits .....	170	196	215
21.0 Travel and transportation of persons .....	26	27	28
22.0 Transportation of things .....	4	4	4
23.1 Rental payments to GSA .....	7	8	9
23.2 Rental payments to others .....	19	18	19
23.3 Communications, utilities, and miscellaneous charges .....	36	39	40
24.0 Printing and reproduction .....	15	16	17
25.1 Advisory and assistance services .....	47	39	40
25.2 Other services .....	313	503	493
25.3 Purchases of goods and services from Government accounts .....	1,116	1,014	1,151
25.4 Operation and maintenance of facilities .....	224	118	120
25.5 Research and development contracts .....	857	958	986

**General and special funds—Continued**

**BUILDINGS AND FACILITIES—Continued**

**Object Classification (in millions of dollars)—Continued**

Identification code 75-9915-0-1-552	1999 actual	2000 est.	2001 est.
25.6 Medical care .....	11	13	12
25.7 Operation and maintenance of equipment .....	39	33	34
26.0 Supplies and materials .....	162	156	159
31.0 Equipment .....	154	158	163
41.0 Grants, subsidies, and contributions .....	11,735	13,651	14,415
99.0 Subtotal, direct obligations .....	15,703	17,833	18,853
99.0 Reimbursable obligations .....	1,038	1,187	1,215
99.9 Total new obligations .....	16,741	19,020	20,068

**Personnel Summary**

Identification code 75-9915-0-1-552	1999 actual	2000 est.	2001 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment .....	10,986	12,058	12,432
1011 Exempt Full-time equivalent employment .....	22		
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment .....	4,321	4,615	4,638

**SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION**

**Federal Funds**

**General and special funds:**

**SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES**

For carrying out titles V and XIX of the Public Health Service Act with respect to substance abuse and mental health services, the Protection and Advocacy for Mentally Ill Individuals Act of 1986, and section 301 of the Public Health Service Act with respect to program management, **[\$2,654,953,000] \$2,823,016,000: Provided, That in addition to amounts provided herein, \$12,000,000 shall be available from amounts available under section 241 of the Public Health Services Act, to carry out the National Household Survey on Drug Abuse. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)**

**Program and Financing (in millions of dollars)**

Identification code 75-1362-0-1-551	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Mental health and substance abuse activities .....	556	637	716
00.02 Mental health partnership .....	289	356	416
00.03 Substance abuse partnership .....	1,585	1,600	1,631
00.05 Program management .....	56	59	60
09.01 Reimbursable program .....	33	40	40
10.00 Total new obligations .....	2,519	2,692	2,863

**Budgetary resources available for obligation:**

22.00 New budget authority (gross) .....	2,520	2,692	2,863
23.95 Total new obligations .....	-2,519	-2,692	-2,863
23.98 Unobligated balance expiring or withdrawn .....	-1		

**New budget authority (gross), detail:**

Discretionary:			
40.00 Appropriation .....	2,488	2,655	2,823
40.76 Reduction pursuant to P.L. 106-113 .....		-3	
41.00 Transferred to other accounts .....	-1		
43.00 Appropriation (total discretionary) .....	2,487	2,652	2,823
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	33	40	40
70.00 Total new budget authority (gross) .....	2,520	2,692	2,863

**Change in unpaid obligations:**

72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	1,620	1,852	2,053
73.10 Total new obligations .....	2,519	2,692	2,863
73.20 Total outlays (gross) .....	-2,247	-2,491	-2,688
73.40 Adjustments in expired accounts (net) .....	-40		
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	1,852	2,053	2,228

**Outlays (gross), detail:**

86.90 Outlays from new discretionary authority .....	1,086	1,109	1,164
86.93 Outlays from discretionary balances .....	1,161	1,382	1,524
87.00 Total outlays (gross) .....	2,247	2,491	2,688

**Offsets:**

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-33	-40	-40

**Net budget authority and outlays:**

89.00 Budget authority .....	2,487	2,652	2,823
90.00 Outlays .....	2,214	2,451	2,648

This program provides Federal support for treatment and prevention in the areas of alcoholism, drug abuse, and mental health.

**Object Classification (in millions of dollars)**

Identification code 75-1362-0-1-551	1999 actual	2000 est.	2001 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	35	40	42
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	37	42	44
12.1 Civilian personnel benefits .....	8	9	10
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	5	5	6
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
24.0 Printing and reproduction .....	4	4	4
25.1 Advisory and assistance services .....	11	16	18
25.2 Other services .....	152	169	175
25.3 Purchases of goods and services from Government accounts .....	46	50	52
31.0 Equipment .....	1	2	2
41.0 Grants, subsidies, and contributions .....	2,218	2,351	2,508
42.0 Insurance claims and indemnities .....	2	2	2
99.0 Subtotal, direct obligations .....	2,486	2,652	2,823
99.0 Reimbursable obligations .....	33	40	40
99.9 Total new obligations .....	2,519	2,692	2,863

**Personnel Summary**

Identification code 75-1362-0-1-551	1999 actual	2000 est.	2001 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment .....	559	612	612
Reimbursable:			
Total compensable workyears:			
2001 Full-time equivalent employment .....	2	74	74
2011 Exempt Full-time equivalent employment .....	71		

**AGENCY FOR HEALTHCARE RESEARCH AND QUALITY**

**Federal Funds**

**General and special funds:**

**[HEALTH CARE POLICY AND RESEARCH] HEALTHCARE RESEARCH AND QUALITY**

For carrying out titles III and IX of the Public Health Service Act, and part A of title XI of the Social Security Act, **[\$111,424,000; in addition,] amounts received from Freedom of Information Act fees, reimbursable and interagency agreements, and the sale of data**

**[tapes]** shall be credited to this appropriation and shall remain available until expended: *Provided*, That the amount made available pursuant to section 926(b) of the Public Health Service Act shall not exceed **[\$88,576,000] \$249,943,000.** (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

**Program and Financing** (in millions of dollars)

Identification code 75-1700-0-1-552	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
Direct program:			
01.01 Research on health costs, quality, and outcomes	98	108	
01.04 Program support	2	2	
09.00 Reimbursable program	82	100	261
10.00 Total new obligations	182	210	261
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year	2	2	2
22.00 New budget authority (gross)	182	210	261
23.90 Total budgetary resources available for obligation	184	212	263
23.95 Total new obligations	-182	-210	-261
23.98 Unobligated balance expiring or withdrawn			
24.40 Unobligated balance available, end of year	2	2	
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation	100	111	
40.76 Reduction pursuant to P.L. 106-113		-1	
43.00 Appropriation (total discretionary)	100	110	
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	82	100	261
70.00 Total new budget authority (gross)	182	210	261
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Obligated balance, start of year	139	154	138
72.95 From Federal sources: Receivables and unpaid, unfilled orders	7	7	7
72.99 Total unpaid obligations, start of year	146	161	145
73.10 Total new obligations	182	210	261
73.20 Total outlays (gross)	-161	-220	-353
73.40 Adjustments in expired accounts (net)	-6	-6	-2
Unpaid obligations, end of year:			
74.40 Obligated balance, end of year	154	138	46
74.95 From Federal sources: Receivables and unpaid, unfilled orders	7	7	7
74.99 Total unpaid obligations, end of year	161	145	53
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority	65	110	261
86.93 Outlays from discretionary balances	96	110	92
87.00 Total outlays (gross)	161	220	353
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-82	-100	-261
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	100	110	
90.00 Outlays	79	120	92

This activity supports the development of scientific evidence and tools to improve the quality, safety, and effectiveness of all aspects of the health care system, and initiatives to disseminate and translate scientific findings into health care practice.

**Object Classification** (in millions of dollars)

Identification code 75-1700-0-1-552	1999 actual	2000 est.	2001 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	11	13	

11.3 Other than full-time permanent	2	2	
11.9 Total personnel compensation	13	15	
12.1 Civilian personnel benefits	3	4	
21.0 Travel and transportation of persons		1	
23.1 Rental payments to GSA	3	2	
23.3 Communications, utilities, and miscellaneous charges	1	1	
24.0 Printing and reproduction	1	1	
25.2 Other services	7	7	
25.3 Purchases of goods and services from Government accounts	3	3	
25.5 Research and development contracts	26	21	
31.0 Equipment	1	1	
41.0 Grants, subsidies, and contributions	42	54	
99.0 Subtotal, direct obligations	100	110	
99.0 Reimbursable obligations	82	100	261
99.9 Total new obligations	182	210	261

**Personnel Summary**

Identification code 75-1700-0-1-552	1999 actual	2000 est.	2001 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	194	226	
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	59	59	294

**HEALTH CARE FINANCING ADMINISTRATION**

**Federal Funds**

**General and special funds:**

**GRANTS TO STATES FOR MEDICAID**

For carrying out, except as otherwise provided, titles XI and XIX of the Social Security Act, **[\$86,087,393,000] \$93,586,251,000**, to remain available until expended.

For making, after May 31, **[2000] 2001**, payments to States under title XIX of the Social Security Act for the last quarter of fiscal year **[2000] 2001** for unanticipated costs, incurred for the current fiscal year, such sums as may be necessary.

For making payments to States or in the case of section 1928 on behalf of States under title XIX of the Social Security Act for the first quarter of fiscal year **[2001, \$30,589,003,000] 2002, \$36,207,551,000**, to remain available until expended.

Payment under title XIX may be made for any quarter with respect to a State plan or plan amendment in effect during such quarter, if submitted in or prior to such quarter and approved in that or any subsequent quarter. (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

**Program and Financing** (in millions of dollars)

Identification code 75-0512-0-1-551	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.01 Medicaid vendor payments	104,775	109,272	116,448
00.02 State and local administration	5,836	6,380	7,258
00.03 Vaccine purchases	531	465	469
09.00 Medicare Part B premium transfer		50	60
10.00 Total new obligations	111,142	116,167	124,235
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year	6,012	1,117	
22.00 New budget authority (gross)	102,394	115,050	124,235
22.10 Resources available from recoveries of prior year obligations	3,852		
23.90 Total budgetary resources available for obligation	112,258	116,167	124,235
23.95 Total new obligations	-111,142	-116,167	-124,235
24.40 Unobligated balance available, end of year	1,117		
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation	74,594	86,087	93,586

**General and special funds—Continued**

**GRANTS TO STATES FOR MEDICAID—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 75-0512-0-1-551	1999 actual	2000 est.	2001 est.
60.05 Appropriation (indefinite) .....		179	
62.50 Appropriation (total mandatory) .....	74,594	86,266	93,586
65.00 Advance appropriation .....	27,801	28,734	30,589
69.00 Offsetting collections (cash) .....		50	60
70.00 Total new budget authority (gross) .....	102,395	115,050	124,235
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	5,504	4,751	4,751
73.10 Total new obligations .....	111,142	116,167	124,235
73.20 Total outlays (gross) .....	-108,043	-116,167	-124,235
73.45 Adjustments in unexpired accounts .....	-3,852		
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	4,751	4,751	4,751
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	96,526	115,050	124,235
86.98 Outlays from mandatory balances .....	11,516	1,117	
87.00 Total outlays (gross) .....	108,043	116,167	124,235
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....		-50	-60
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	102,394	115,000	124,175
90.00 Outlays .....	108,043	116,117	124,175

**Summary of Budget Authority and Outlays**

(in millions of dollars)

	1999 actual	2000 est.	2001 est.
Enacted/requested:			
Budget Authority .....	102,395	115,000	124,175
Outlays .....	108,042	116,117	124,175
Legislative proposal, subject to PAYGO:			
Budget Authority .....			663
Outlays .....			663
Total:			
Budget Authority .....	102,395	115,000	124,838
Outlays .....	108,042	116,117	124,838

Medicaid assists States in providing medical care to their low-income population by granting Federal matching payments under title XIX of the Social Security Act to States with approved plans.

**Object Classification (in millions of dollars)**

Identification code 75-0512-0-1-551	1999 actual	2000 est.	2001 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	111,142	116,117	124,175
99.0 Reimbursable obligations: Subtotal, reimbursable obligations .....		50	60
99.9 Total new obligations .....	111,142	116,167	124,235

**GRANTS TO STATES FOR MEDICAID**  
(Legislative proposal, subject to PAYGO)

**Program and Financing (in millions of dollars)**

Identification code 75-0512-4-1-551	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 41.0) .....			663
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			663

23.95 Total new obligations .....			-663
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**New budget authority (gross), detail:**

<b>Mandatory:</b>			
60.00 Appropriation .....			663

**Change in unpaid obligations:**

73.10 Total new obligations .....			663
73.20 Total outlays (gross) .....			-663

**Outlays (gross), detail:**

86.97 Outlays from new mandatory authority .....			663
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**Net budget authority and outlays:**

89.00 Budget authority .....			663
90.00 Outlays .....			663

This schedule reflects the effects of proposals contained in the Budget on the Grants to States for Medicaid account.

**STATE GRANTS AND DEMONSTRATIONS**

**Program and Financing (in millions of dollars)**

Identification code 75-0897-0-1-551	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.01 Infrastructure grants .....			20
00.02 Coverage demonstrations .....			42
10.00 Total new obligations (object class 41.0) .....			62
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			62
23.95 Total new obligations .....			-62
24.40 Unobligated balance available, end of year .....			
<b>New budget authority (gross), detail:</b>			
<b>Mandatory:</b>			
60.00 Appropriation .....			62
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations .....			62
73.20 Total outlays (gross) .....			-16
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....			46
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....			16
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			62
90.00 Outlays .....			16

**Summary of Budget Authority and Outlays**

(in millions of dollars)

	1999 actual	2000 est.	2001 est.
Enacted/requested:			
Budget Authority .....			62
Outlays .....			16
Legislative proposal, subject to PAYGO:			
Budget Authority .....			10
Outlays .....			10
Total:			
Budget Authority .....			72
Outlays .....			26

This account includes funds for: grants to develop and establish State infrastructures to support working individuals with disabilities (sec. 203 of P.L. 106-170), and demonstration of health care coverage of workers with potentially severe disabilities (sec. 204 of P.L. 106-170).

STATE GRANTS AND DEMONSTRATIONS  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-0897-4-1-551	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 41.0)			10
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)			10
23.95 Total new obligations			-10
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation			10
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations			10
73.20 Total outlays (gross)			-10
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority			10
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			10
90.00 Outlays			10

The Budget contains \$10 million in FY 2001 for competitive demonstration grants to States. The demonstrations will assess eligible individuals' access to programs addressing the needs of the homeless and improve coordination among these programs.

PAYMENTS TO HEALTH CARE TRUST FUNDS

For payment to the Federal Hospital Insurance and the Federal Supplementary Medical Insurance Trust Funds, as provided under sections 217(g) and 1844 of the Social Security Act, sections 103(c) and 111(d) of the Social Security Amendments of 1965, section 278(d) of Public Law 97-248, and for administrative expenses incurred pursuant to section 201(g) of the Social Security Act, **[\$69,289,100,000] \$70,381,600,000.** (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

Program and Financing (in millions of dollars)

Identification code 75-0580-0-1-571	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.01 Supplementary medical insurance (SMI)	62,171	65,063	69,777
00.02 Hospital insurance for uninsured (HI)	555	349	321
00.03 Federal uninsured payment (HI)	97	121	132
00.04 Program management (HI)	96	129	152
00.06 Federal payments from taxation of OASDI benefits (HI)	6,552	7,190	6,878
00.08 Fraud and abuse control, FBI	66	76	88
00.10 Fraud and abuse control, criminal fines	36	40	44
00.13 Fraud and abuse, civil monetary penalties		5	6
00.14 Fraud and abuse control, administrative fees	2		
00.15 Other (SMI)	14		
10.00 Total new obligations	69,589	72,973	77,398
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year	14		
22.00 New budget authority (gross)	69,609	76,600	77,398
23.90 Total budgetary resources available for obligation	69,623	76,600	77,398
23.95 Total new obligations	-69,589	-72,973	-77,398
23.98 Unobligated balance expiring or withdrawn	-34	-3,627	
<b>New budget authority (gross), detail:</b>			
Mandatory:			
Appropriation:			
60.00 Appropriation	66	76	88
60.00 Appropriation	62,953	69,289	70,382
60.05 Appropriation (indefinite)	6,590	7,235	6,928

62.50	Appropriation (total mandatory)	69,609	76,600	77,398
<b>Change in unpaid obligations:</b>				
73.10	Total new obligations	69,589	72,973	77,398
73.20	Total outlays (gross)	-69,589	-72,973	-77,398
<b>Outlays (gross), detail:</b>				
86.97	Outlays from new mandatory authority	69,589	72,973	77,398
<b>Net budget authority and outlays:</b>				
89.00	Budget authority	69,609	76,600	77,398
90.00	Outlays	69,589	72,973	77,398

Summary of Budget Authority and Outlays

(in millions of dollars)

	1999 actual	2000 est.	2001 est.
<b>Enacted/requested:</b>			
Budget Authority	69,609	76,600	77,398
Outlays	69,589	72,973	77,398
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority			15,120
Outlays			15,120
<b>Total:</b>			
Budget Authority	69,609	76,600	92,518
Outlays	69,589	72,973	92,518

Payments are made (1) to the Federal Supplementary Medical Insurance trust fund; and (2) to the Federal hospital insurance trust fund for individuals aged 65 and over who meet certain transitional insured status requirements, and for civil service employees and annuitants who worked before 1983 and who were employed during January 1983. Since 1992, amounts have been included for non-Medicare activities of HCFA program management.

Object Classification (in millions of dollars)

Identification code 75-0580-0-1-571	1999 actual	2000 est.	2001 est.
41.0 Grants, subsidies, and contributions	69,033	72,374	76,793
42.0 Insurance claims and indemnities	460	470	453
92.0 Undistributed	96	129	152
99.9 Total new obligations	69,589	72,973	77,398

PAYMENTS TO THE HEALTH CARE TRUST FUNDS  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-0580-2-1-571	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.02 SMI premium match match—savings proposals			-280
00.03 Surplus transfer for HI solvency			15,400
10.00 Total new obligations			15,120
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)			15,120
23.95 Total new obligations			-15,120
<b>New budget authority (gross), detail:</b>			
Mandatory:			
Appropriation:			
60.00 Appropriation			-280
60.05 Appropriation (indefinite)			15,400
62.50 Appropriation (total mandatory)			15,120
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations			15,120
73.20 Total outlays (gross)			-15,120
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority			15,120
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			15,120

**General and special funds—Continued**

**PAYMENTS TO THE HEALTH CARE TRUST FUNDS—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 75-0580-2-1-571	1999 actual	2000 est.	2001 est.
90.00 Outlays .....			15,120

This schedule reflects the effects of the Medicare proposals contained in the Budget on the Payments to the health care trust funds account.

**Object Classification (in millions of dollars)**

Identification code 75-0580-2-1-571	1999 actual	2000 est.	2001 est.
41.0 Grants, subsidies, and contributions .....			-280
92.0 Undistributed .....			15,400
99.9 Total new obligations .....			15,120

**PROGRAM MANAGEMENT**

For carrying out, except as otherwise provided, titles XI, XVIII, XIX, and XXI of the Social Security Act, titles XIII and XXVII of the Public Health Service Act, and the Clinical Laboratory Improvement Amendments of 1988, not to exceed **[\$1,994,548,000]** \$2,086,302,000, to be transferred from the Federal Hospital Insurance and the Federal Supplementary Medical Insurance Trust Funds, as authorized by section 201(g) of the Social Security Act; together with all funds collected in accordance with section 353 of the Public Health Service Act and such sums as may be collected from authorized user fees and the sale of data, which shall remain available until expended[, and together with administrative fees collected relative to Medicare overpayment recovery activities, which shall remain available until expended]: *Provided*, That all funds derived in accordance with 31 U.S.C. 9701 from organizations established under title XIII of the Public Health Service Act shall be credited to and available for carrying out the purposes of this appropriation: *Provided further*, That \$18,000,000 appropriated under this heading for the managed care system redesign shall remain available until expended: *[Provided further*, That \$2,000,000 of the amount available for research, demonstration, and evaluation activities shall be available to continue carrying out demonstration projects on Medicaid coverage of community-based attendant care services for people with disabilities which ensures maximum control by the consumer to select and manage their attendant care services: *Provided further*, That \$3,000,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to an application from the University of Pennsylvania Medical Center, the University of Louisville Sciences Center, and St. Vincent's Hospital in Montana to conduct a demonstration to reduce hospitalizations among high-risk patients with congestive heart failure: *Provided further*, That \$2,000,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to the AIDS Healthcare Foundation in Los Angeles: *Provided further*, That \$100,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to Littleton Regional Hospital in New Hampshire, to assist in the development of rural emergency medical services: *Provided further*, That \$250,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to the University of Missouri-Kansas City to test behavioral interventions of nursing home residents with moderate to severe dementia: *Provided further*, That \$1,000,000 of the amount available for research, demonstration, and evaluation activities shall be awarded for a children's hospice care demonstration program in Virginia, Florida, Kentucky, New York, and Utah: *Provided further*, That \$150,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to L.A. Care Health Plan in Los Angeles, California for a Medicaid outreach demonstration project to provide access to medical care for uninsured workers: *Provided further*, That \$500,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to the Baystate Medical Center in Springfield, Massachusetts for the Partners for a Healthier Community childhood immunization demonstration project: *Provided further*, That \$250,000 shall be awarded to the Shelby County Regional Medical

Center to establish a Master Patient Index to determine patient Medicaid/TennCare eligibility:] *Provided further*, That the Secretary of Health and Human Services is directed to collect[, in aggregate, \$95,000,000 in] fees in fiscal year **[2000]** 2001 from Medicare+Choice organizations pursuant to section 1857(e)(2) of the Social Security Act and from eligible organizations with risk-sharing contracts under section 1876 of that Act pursuant to section 1876(k)(4)(D) of that Act: *Provided further*, That administrative fees collected relative to Medicare overpayment recovery activities shall be transferred to the Health Care Fraud and Abuse Control (HCFAC) account, to be used for Medicare Integrity Program (MIP) activities in addition to the amounts already specified, and shall remain available until expended. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

**Program and Financing (in millions of dollars)**

Identification code 75-0511-0-1-550	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Medicare contractors .....	1,256	1,264	1,301
00.02 Federal administration .....	455	483	496
00.03 State survey and certification .....	175	205	234
00.04 Research, demonstrations, and evaluation projects .....	50	62	55
01.00 Total direct program .....	1,936	2,014	2,086
09.01 CLIA .....	34	43	43
09.03 Other reimbursements .....	3	1	2
09.06 Medicare+Choice .....	91	95	19
09.09 Total reimbursable program .....	128	139	64
10.00 Total new obligations .....	2,063	2,153	2,150

**Budgetary resources available for obligation:**

21.40 Unobligated balance available, start of year .....	15	88	67
22.00 New budget authority (gross) .....	2,082	2,133	2,150
22.10 Resources available from recoveries of prior year obligations .....	64		
23.90 Total budgetary resources available for obligation .....	2,161	2,221	2,217
23.95 Total new obligations .....	-2,063	-2,153	-2,150
23.98 Unobligated balance expiring or withdrawn .....	-10		
24.40 Unobligated balance available, end of year .....	88	67	67

**New budget authority (gross), detail:**

Spending authority from offsetting collections:			
Discretionary:			
Offsetting collections (cash):			
68.00 Offsetting collections (cash) .....	1,812	1,993	2,086
68.00 Offsetting collections (cash) .....	134	140	64
68.10 From Federal sources: Change in receivables and unpaid, unfilled orders .....	286		
68.15 From Federal sources: Adjustments to receivables and unpaid, unfilled orders .....	-149		
68.75 Reduction pursuant to P.L. 106-51 .....	-1		
68.90 Spending authority from offsetting collections (total discretionary) .....	2,082	2,133	2,150

**Change in unpaid obligations:**

Unpaid obligations, start of year:			
72.40 Obligated balance, start of year .....	280	74	74
72.95 From Federal sources: Receivables and unpaid, unfilled orders .....	377	663	663
72.99 Total unpaid obligations, start of year .....	657	737	737
73.10 Total new obligations .....	2,063	2,153	2,150
73.20 Total outlays (gross) .....	-1,914	-2,153	-2,150
73.40 Adjustments in expired accounts (net) .....	-5		
73.45 Adjustments in unexpired accounts .....	-64		
Unpaid obligations, end of year:			
74.40 Obligated balance, end of year .....	74	74	74
74.95 From Federal sources: Receivables and unpaid, unfilled orders .....	663	663	663
74.99 Total unpaid obligations, end of year .....	737	737	737

**Outlays (gross), detail:**

86.90 Outlays from new discretionary authority .....	1,524	2,133	2,150
86.93 Outlays from discretionary balances .....	389	20	
87.00 Total outlays (gross) .....	1,914	2,153	2,150

**Offsets:**

Against gross budget authority and outlays:  
Offsetting collections (cash) from:

88.00	Federal sources .....	-1,812	-1,993	-2,086
88.40	Non-Federal sources .....	-134	-140	-64
88.90	Total, offsetting collections (cash) .....	-1,946	-2,133	-2,150

Against gross budget authority only:

88.95	From Federal sources: Change in receivables and unpaid, unfilled orders .....	-286		
88.96	From Federal sources: Adjustment to receivables and unpaid, unfilled orders .....	149		

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**Net budget authority and outlays:**

89.00	Budget authority .....	-1		
90.00	Outlays .....	-32	20	

**Summary of Budget Authority and Outlays**

(in millions of dollars)

	1999 actual	2000 est.	2001 est.
Enacted/requested:			
Budget Authority .....	-1		
Outlays .....	-33	20	
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			
Outlays .....			
Legislative proposal, subject to PAYGO:			
Budget Authority .....			250
Outlays .....			250
<b>Total:</b>			
Budget Authority .....	-1		250
Outlays .....	-33	20	250

Program management activities include funding for research, Medicare contractors, survey and certification, CLIA, Medicare+Choice, and administrative costs.

**Object Classification (in millions of dollars)**

Identification code 75-0511-0-1-550	1999 actual	2000 est.	2001 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	246	280	291
11.3 Other than full-time permanent .....	8	5	8
11.5 Other personnel compensation .....	5	4	5
11.9 Total personnel compensation .....	259	289	304
12.1 Civilian personnel benefits .....	51	55	59
21.0 Travel and transportation of persons .....	11	11	8
23.1 Rental payments to GSA .....	19	21	22
23.3 Communications, utilities, and miscellaneous charges .....	22	6	8
24.0 Printing and reproduction .....	8	9	8
25.1 Advisory and assistance services .....	6	1	1
25.2 Other services .....	443	318	349
25.3 Purchases of goods and services from Government accounts .....	9	17	8
25.6 Medical care .....	1,055	1,260	1,297
26.0 Supplies and materials .....	3	1	1
31.0 Equipment .....	31	2	2
32.0 Land and structures .....	10	10	10
41.0 Grants, subsidies, and contributions .....	8	13	9
99.0 Subtotal, direct obligations .....	1,935	2,013	2,086
99.0 Reimbursable obligations .....	128	140	64
99.9 Total new obligations .....	2,063	2,153	2,150

**Personnel Summary**

Identification code 75-0511-0-1-550	1999 actual	2000 est.	2001 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....	4,139	4,233	4,353
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment .....	80	80	82
<b>Allocation account:</b>			
3001 Total compensable workyears: Full-time equivalent employment .....		50	

**PROGRAM MANAGEMENT**

(Legislative proposal, not subject to PAYGO)

*Contingent upon enactment of authorization to establish fees to cover administrative costs of the Health Care Financing Administration and its contractors, such fees may be collected and credited to this account as offsetting collections, to remain available until expended for authorized purposes: Provided, That upon enactment of such authorizing legislation, the amount appropriated for fiscal year 2001 from the Federal Hospital Insurance and Federal Supplementary Medical Insurance Trust Funds shall be reduced by \$220,000,000: Provided further, That contingent upon enactment of an amendment to section 1857(e)(2) of the Social Security Act which increases the aggregate amount of user fees from Medicare+Choice organizations, the Secretary of Health and Human Services is directed to collect up to \$150,000,000 in fiscal year 2001 which shall be credited to this account as offsetting collections and remain available until expended to implement the Medicare+Choice education campaign: Provided further, That contingent upon enactment of authorizing legislation, the Secretary is directed to collect up to \$4,300,000 in fiscal year 2001 which shall be credited to this account as offsetting collections and remain available until expended for the purposes of operating a nursing home criminal abuse registry.*

**Program and Financing (in millions of dollars)**

Identification code 75-0511-2-1-550	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
<b>Direct program:</b>			
00.01 Medicare contractor appropriation .....			-136
00.02 Federal administration appropriation .....			-21
00.03 State survey and certification appropriation .....			-63
01.00 Total direct program .....			-220
09.01 User fee for duplicate and unprocessable claims .....			53
09.02 User fee for paper claims .....			83
09.03 User fee for initial survey and certification .....			13
09.04 User fee for recertification (survey) .....			50
09.05 User fee for processing health plan applications .....			21
09.06 HMO user fee: Medicare+Choice beneficiary information campaign .....			131
09.07 User fee for background checks in nursing home patient abuse registry .....			4
09.09 Total reimbursable program .....			355
10.00 Total new obligations .....			135
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			135
23.95 Total new obligations .....			-135
<b>New budget authority (gross), detail:</b>			
Spending authority from offsetting collections:			
Discretionary:			
Offsetting collections (cash):			
68.00 Offsetting collections (cash) .....			-220
68.00 Offsetting collections (cash) .....			355
68.90 Spending authority from offsetting collections (total discretionary) .....			135
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations .....			135
73.20 Total outlays (gross) .....			-135
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....			135

**Offsets:**

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00	Federal sources .....		220
88.40	Non-Federal sources .....		-355
88.90	Total, offsetting collections (cash) .....		-135

**Net budget authority and outlays:**

89.00	Budget authority .....		
90.00	Outlays .....		

The Budget includes \$220 million in new user fees to finance HCFA activities. Proposed fees include: a duplicate or

**General and special funds—Continued**

**PROGRAM MANAGEMENT—Continued**

unprocessable claims fee, a paper claims fee, a managed care organization application and renewal fee, an initial survey and certification fee, and a recertification fee. If the authorizing legislation is enacted, the amount appropriated from the Federal hospital insurance and Federal supplementary medical insurance trust funds will be reduced by \$220 million. Additional appropriations language is being proposed contingent upon the enactment of authorizing legislation. The authorizing legislation will be proposed to authorize the collection and spending of the fee subject to appropriations action. Contingent upon the enactment of authorizing legislation, the budget also authorizes the Secretary to collect up to \$150 million in user fees to finance the Medicare+Choice education campaign. Additional appropriations language is being proposed contingent upon the enactment of authorizing legislation. The authorizing legislation will be proposed to authorize the collection and spending of the fee subject to appropriations action. Contingent upon the enactment of authorizing legislation, the Budget also authorizes the Secretary to collect up to \$4.3 million in user fees to finance the operation of a nursing home criminal abuse registry. Additional appropriations language is being proposed contingent upon the enactment of authorizing legislation. The authorizing legislation will be proposed to authorize the collection and spending of the fee subject to appropriations action.

**Object Classification (in millions of dollars)**

Identification code 75-0511-2-1-550	1999 actual	2000 est.	2001 est.
<b>Direct obligations:</b>			
25.2 Other services .....			- 84
25.6 Medical care .....			- 136
99.0 Subtotal, direct obligations .....			- 220
99.0 Reimbursable obligations .....			355
99.9 Total new obligations .....			135

**PROGRAM MANAGEMENT**

(Legislative proposal, subject to PAYGO)

**Program and Financing (in millions of dollars)**

Identification code 75-0511-4-1-550	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.04 Research, demonstrations, and evaluation projects .....			250
10.00 Total new obligations (object class 41.0) .....			250
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			250
23.95 Total new obligations .....			- 250
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation .....			250
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations .....			250
73.20 Total outlays (gross) .....			- 250
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....			250
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			250
90.00 Outlays .....			250

This schedule reflects the effects on the Program management account of the Administration's proposal to create a

time-limited demonstration that would cover the patient care costs associated with Medicare beneficiary participation in certain clinical trials for cancer.

**STATE CHILDREN'S HEALTH INSURANCE FUND**

**Program and Financing (in millions of dollars)**

Identification code 75-0515-0-1-551	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.01 Grants, subsidies, and contributions .....	4,732	4,249	4,249
00.02 Advisory and assistance services .....		10	
10.00 Total new obligations (object class 41.0) .....	4,732	4,259	4,249
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year .....	485		
22.00 New budget authority (gross) .....	4,247	4,259	4,249
23.90 Total budgetary resources available for obligation .....	4,732	4,259	4,249
23.95 Total new obligations .....	- 4,732	- 4,259	- 4,249
24.40 Unobligated balance available, end of year .....			
<b>New budget authority (gross), detail:</b>			
Mandatory:			
Appropriation:			
60.00 Appropriation, BBRA Sec. 702 .....	4,307	4,275	4,275
60.00 Appropriation, BBRA for territories .....		34	34
60.00 Appropriation, BBRA Sec. 703 .....		10	
61.00 Transferred to other accounts .....	- 60	- 60	- 60
62.50 Appropriation (total mandatory) .....	4,247	4,259	4,249
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	3,745	7,912	10,871
73.10 Total new obligations .....	4,732	4,259	4,249
73.20 Total outlays (gross) .....	- 565	- 1,300	- 1,905
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	7,912	10,871	13,215
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	43	200	
86.98 Outlays from mandatory balances .....	522	1,100	1,905
87.00 Total outlays (gross) .....	565	1,300	1,905
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	4,247	4,259	4,249
90.00 Outlays .....	565	1,300	1,905
<b>Summary of Budget Authority and Outlays</b>			
(in millions of dollars)			
Enacted/requested:			
Budget Authority .....	4,247	4,259	4,249
Outlays .....	565	1,300	1,905
Legislative proposal, subject to PAYGO:			
Budget Authority .....			212
Outlays .....			212
Total:			
Budget Authority .....	4,247	4,259	4,461
Outlays .....	565	1,300	2,117

The Balanced Budget Act of 1997 established the State children's health insurance program (SCHIP) under Title XXI of the Social Security Act. Title XXI provides Federal matching funds to States to enable them to extend coverage to uninsured children from low-income families. States are able to use Title XXI funds for obtaining health benefit coverage for uninsured children through a SCHIP program, a SCHIP Medicaid expansion program, or a combination of both.



STATE CHILDREN'S HEALTH INSURANCE FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-0515-4-1-551	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 41.0)			212
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)			212
23.95 Total new obligations			-212
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation			212
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations			212
73.20 Total outlays (gross)			-212
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority			212
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			212
90.00 Outlays			212

This schedule reflects the effects of the SCHIP proposals contained in the Budget on the State children's health insurance fund.

HEALTH MAINTENANCE ORGANIZATION LOAN AND LOAN GUARANTEE FUND

For carrying out subsections (d) and (e) of section 1308 of the Public Health Service Act, any amounts received by the Secretary in connection with loans and loan guarantees under title XIII of the Public Health Service Act, to be available without fiscal year limitation for the payment of outstanding obligations. During fiscal year [2000] 2001, no commitments for direct loans or loan guarantees shall be made. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

Program and Financing (in millions of dollars)

Identification code 75-4420-0-3-551	1999 actual	2000 est.	2001 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year	11	11	11
22.00 New budget authority (gross)	2	1	
22.60 Portion applied to repay debt	-1	-1	-1
23.90 Total budgetary resources available for obligation	12	11	10
24.40 Unobligated balance available, end of year	11	11	10
<b>New budget authority (gross), detail:</b>			
Mandatory:			
69.00 Offsetting collections (cash)	2	1	
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Other	-2	-1	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			
90.00 Outlays	-2	-1	

The last loan commitments from the HMO loan fund were made in 1983. The schedule above reflects receipts on outstanding loans and payments to the Federal Financing Bank.

Trust Funds

FEDERAL HOSPITAL INSURANCE TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 20-8005-0-7-571	1999 actual	2000 est.	2001 est.
Balance, start of year:			
01.99 Balance, start of year	116,434	137,807	162,730
Receipts:			
02.00 Interest on investments, legislative proposal, not subject to PAYGO			264
02.01 Transfers from general fund (FICA taxes)	123,360	126,602	133,621
02.02 Receipts from Railroad Retirement Board	388	388	393
02.03 Transfers from general fund (SECA taxes)	8,520	9,525	9,681
02.04 Federal employer contributions (FICA)	1,894	1,980	2,029
02.05 Postal service employer contributions (FICA)	611	633	659
02.07 Interest received by trust funds	9,244	11,010	12,242
02.08 Other proprietary receipts from the public	2		
02.10 Taxation on OASDI benefits	6,552	7,190	6,878
02.12 Interest payments by Railroad Retirement Board	42	36	38
02.13 Payments from the general fund (uninsured and program management)	749	599	605
02.14 Payments for military service credits	71	63	64
02.15 Premiums collected for uninsured individuals not otherwise eligible	1,401	1,379	1,426
02.16 FBI, Payment from the general fund	66	76	88
02.17 Criminal fines, transfers from the general fund	41	40	44
02.18 Civil monetary penalties, transfers from the general fund	5	5	6
02.20 Civil penalties and damages	69	81	90
02.21 Transfers from DOD, HI		11	14
02.23 Payment to extend solvency, legislative proposal not subject to PAYGO			15,400
02.99 Total receipts	153,015	159,618	183,542
04.00 Total: Balances and collections	269,449	297,425	346,272
Appropriation:			
05.01 Federal hospital insurance trust fund	-130,873	-133,832	-144,750
05.02 Health care fraud and abuse control account	-764	-864	-950
05.04 Proposed legislation subject to PAYGO			185
05.06 Proposed legislation not subject to PAYGO			74
05.99 Subtotal appropriation	-131,637	-134,696	-145,441
06.10 Unobligated balance returned to receipts	-5		
06.20 Reduction pursuant to Public Law 106-113		1	
07.99 Total balance, end of year	137,807	162,730	200,831

Program and Financing (in millions of dollars)

Identification code 20-8005-0-7-571	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.01 Benefit payments, HI	129,221	129,216	139,869
00.02 Administration, HI	1,264	1,360	1,428
00.03 Peer review organizations, HI	177	495	71
00.04 Research, HI	32	41	37
00.05 HI partial transfer of home health to SMI	179	2,718	3,345
10.00 Total new obligations	130,873	133,830	144,750
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	130,873	133,831	144,750
23.95 Total new obligations	-130,873	-133,830	-144,750
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.26 Appropriation (trust fund, definite)	1,297	1,363	1,425
40.76 Reduction pursuant to P.L. 106-113		-1	
43.00 Appropriation (total discretionary)	1,297	1,361	1,425
Mandatory:			
60.27 Appropriation (trust fund, indefinite)	150,954	157,393	165,502
60.28 Appropriation (unavailable balances)	-21,378	-24,924	-22,177
62.50 Appropriation (total mandatory)	129,576	132,469	143,325
70.00 Total new budget authority (gross)	130,873	133,831	144,750
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year	352	465	564
73.10 Total new obligations	130,873	133,830	144,750
73.20 Total outlays (gross)	-130,759	-133,731	-145,023

FEDERAL HOSPITAL INSURANCE TRUST FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 20-8005-0-7-571	1999 actual	2000 est.	2001 est.
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	465	564	291
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	1,118	1,168	1,225
86.93 Outlays from discretionary balances .....	130	170	186
86.97 Outlays from new mandatory authority .....	129,289	132,097	143,234
86.98 Outlays from mandatory balances .....	221	295	378
87.00 Total outlays (gross) .....	130,759	133,731	145,023
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	130,873	133,831	144,750
90.00 Outlays .....	130,759	133,731	145,023
<b>Memorandum (non-add) entries:</b>			
92.01 Total investments, start of year: U.S. securities: Par value .....	118,250	153,767	163,444
92.02 Total investments, end of year: U.S. securities: Par value .....	153,767	163,444	185,612

Summary of Budget Authority and Outlays

(in millions of dollars)

	1999 actual	2000 est.	2001 est.
Enacted/requested:			
Budget Authority .....	130,873	133,830	144,750
Outlays .....	130,758	133,730	145,023
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-74
Outlays .....			-74
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-185
Outlays .....			-185
<b>Total:</b>			
Budget Authority .....	130,873	133,830	144,491
Outlays .....	130,758	133,730	144,764

The Hospital Insurance (HI) program funds the costs of hospital and related care for individuals age 65 or older and for eligible disabled people.

The status of the trust fund is as follows:

Status of Funds (in millions of dollars)

Identification code 20-8005-0-7-571	1999 actual	2000 est.	2001 est.
Unexpended balance, start of year:			
0100 Treasury balance .....	-1,343	-15,346	
0101 U.S. Securities: Par value .....	118,250	153,767	163,444
0199 Total balance, start of year .....	116,909	138,421	163,443
Cash income during the year:			
Governmental receipts:			
0200 FHI trust fund, transfers from general fund (FICA taxes) .....	123,360	126,602	133,621
0201 FHI trust fund, transfers from general fund (SECA taxes) .....	8,520	9,525	9,681
0205 FHI trust fund, receipts from Railroad Retirement Board .....	388	388	393
0206 HCFAC: Civil penalties and damages .....	69	81	90
Proprietary receipts:			
0221 FHI trust fund, premiums collected for uninsured individuals not otherwise eligible .....	1,401	1,379	1,426
0222 Other proprietary receipts .....	2		
Intragovernmental transactions:			
0240 FHI trust fund, Federal employer contributions (FICA) .....	1,894	1,980	2,029
0241 FHI trust fund, Postal Service employer contributions (FICA) .....	611	633	659
0242 FHI trust fund, Federal payment for transitional coverage for uninsured Federal employees .....	97	121	132
0243 FHI trust fund, Federal payment for transitional coverage for the uninsured .....	555	349	321
0245 FHI trust fund, general fund transfer, program management (HI) .....	96	129	152
0246 FHI trust fund, Federal payments for OASDI taxes .....	6,552	7,190	6,878

0248 FHI trust fund, interest payment from Railroad Retirement Board .....	42	36	38
0249 FHI trust fund, interest on investments .....	9,244	11,010	12,242
0250 Interest on investments, legislative proposal not subject to PAYGO .....			264
0251 FHI trust fund, transfer from Department of Defense for military service credits .....	71	63	64
0252 HCFAC: FBI .....	66	76	88
0253 HCFAC: Criminal fines .....	41	40	44
0254 HCFAC: Civil monetary penalties .....	5	5	6
0255 Other intragovernmental transactions .....		11	14
0256 Payment to extend solvency, legislative proposal not subject to PAYGO .....			15,400
Offsetting collections:			
HCFAC user fees .....	3	4	
0297 Income under present law .....	153,017	159,622	167,878
0298 Income under proposed legislation .....			15,664
0299 Total cash income .....	153,018	159,622	183,542
Cash outgo during year:			
0500 Benefit Payments .....	-129,107	-129,216	-139,869
0501 Benefit payments, legislative proposal subject to PAYGO .....			185
0502 Administration .....	-1,264	-1,344	-1,415
0503 Administration, legislative proposal not subject to PAYGO .....			74
0504 Peer Review Organizations .....	-177	-418	-358
0505 Research .....	-32	-35	-37
0506 HCFAC .....	-744	-868	-950
0507 HI Partial Transfer of Home Health to SMI .....	-179	-2,718	-3,345
0597 Outgo under present law (-) .....	-131,503	-134,599	-145,974
0598 Outgo under proposed legislation (-) .....			259
0599 Total cash outgo (-) .....	-131,503	-134,599	-145,714
Unexpended balance, end of year:			
0700 Treasury Balance .....	-15,346		
0701 U.S. Securities: Par value .....	153,767	163,444	201,271
0799 Total balance, end of year .....	138,421	163,443	201,271

Object Classification (in millions of dollars)

Identification code 20-8005-0-7-571	1999 actual	2000 est.	2001 est.
Grants, subsidies, and contributions:			
41.0 Payment for health insurance experiments and demonstration projects .....	32	41	37
41.0 Payment for peer review organization (PRO) activities .....	177	495	71
42.0 Insurance claims and indemnities .....	129,221	129,216	139,869
Undistributed:			
92.0 HI Partial Transfer of Home Health to SMI .....	179	2,718	3,345
92.0 Reimbursement for administrative expenses for other than SSA LAE .....	48	40	40
92.0 Purchases of goods and services .....	720	764	807
93.0 Administrative expenses: Portion of limitation on salaries and expenses: Social Security Administration .....	496	556	581
99.9 Total new obligations .....	130,873	133,830	144,750

FEDERAL HOSPITAL INSURANCE TRUST FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 20-8005-2-7-571	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.02 Administration .....			-74
10.00 Total new obligations (object class 92.0) .....			-74
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			-74
23.95 Total new obligations .....			74
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.26 Appropriation (trust fund, definite) .....			-74
Mandatory:			
60.27 Appropriation (trust fund, indefinite) .....			15,400
60.28 Appropriation (unavailable balances) .....			-15,400
62.50 Appropriation (total mandatory) .....			

70.00	Total new budget authority (gross)			-74
<b>Change in unpaid obligations:</b>				
73.10	Total new obligations			-74
73.20	Total outlays (gross)			74
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new discretionary authority			-74
<b>Net budget authority and outlays:</b>				
89.00	Budget authority			-74
90.00	Outlays			-74
<b>Memorandum (non-add) entries:</b>				
92.02	Total investments, end of year: U.S. securities: Par value		15,474	

This schedule reflects the effects of the HCFA user fees proposed in the Budget on the Hospital Insurance trust fund.

FEDERAL HOSPITAL INSURANCE TRUST FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 20-8005-4-7-571

	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
10.00	Total new obligations (object class 42.0)		-185
<b>Budgetary resources available for obligation:</b>			
22.00	New budget authority (gross)		-185
23.95	Total new obligations		185
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.28	Appropriation (unavailable balances)		-185
<b>Change in unpaid obligations:</b>			
73.10	Total new obligations		-185
73.20	Total outlays (gross)		185
<b>Outlays (gross), detail:</b>			
86.97	Outlays from new mandatory authority		-185
<b>Net budget authority and outlays:</b>			
89.00	Budget authority		-185
90.00	Outlays		-185
<b>Memorandum (non-add) entries:</b>			
92.02	Total investments, end of year: U.S. securities: Par value		185

This schedule reflects the effects of Medicare proposals contained in the Budget on the Hospital Insurance trust fund.

HEALTH CARE FRAUD AND ABUSE CONTROL ACCOUNT

(FEDERAL HOSPITAL INSURANCE TRUST FUND)

Program and Financing (in millions of dollars)

Identification code 75-8393-0-7-571

	1999 actual	2000 est.	2001 est.	
<b>Obligations by program activity:</b>				
00.01	Medicare integrity program	551	630	680
00.02	FBI fraud and abuse control	66	76	88
00.03	Other fraud and abuse control	135	158	182
09.01	Reimbursable	3	4	
10.00	Total new obligations	755	868	950
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross)	767	868	950
23.95	Total new obligations	-755	-868	-950
23.98	Unobligated balance expiring or withdrawn	-11		
<b>New budget authority (gross), detail:</b>				
Mandatory:				
60.26	Appropriation (trust fund, definite)	764	864	950

69.00	Offsetting collections (cash)	3	4	
70.00	Total new budget authority (gross)	767	868	950
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance, start of year	123	149	149
73.10	Total new obligations	755	868	950
73.20	Total outlays (gross)	-744	-868	-950
73.40	Adjustments in expired accounts (net)	16		
74.40	Unpaid obligations, end of year: Obligated balance, end of year	149	149	149
<b>Outlays (gross), detail:</b>				
86.97	Outlays from new mandatory authority	663	868	950
86.98	Outlays from mandatory balances	82		
87.00	Total outlays (gross)	744	868	950
<b>Offsets:</b>				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-3	-4	
<b>Net budget authority and outlays:</b>				
89.00	Budget authority	764	864	950
90.00	Outlays	742	864	950

P.L. 104-191 established the Health Care Fraud and Abuse Control (HCFAC) account within the Federal hospital insurance trust fund and appropriated funds, to be available without further appropriation, from the trust fund to the HCFAC account for specified health care fraud and abuse control activities of the Department of Health and Human Services (HHS), the Department of Justice, and other agencies.

Object Classification (in millions of dollars)

	1999 actual	2000 est.	2001 est.	
<b>Direct obligations:</b>				
25.6	Medical care (HCFA)	551	630	680
92.0	Undistributed (FBI)	66	76	88
99.0	Subtotal, direct obligations	617	706	768
<b>Allocation Account:</b>				
<b>Personnel compensation:</b>				
<b>Full-time permanent:</b>				
11.1	Full-time permanent (OIG)	54	70	74
11.1	Full-time permanent (DoJ)	14	16	18
11.1	Full-time permanent (OGC)	1	2	2
<b>Other than full-time permanent:</b>				
11.3	Other than full-time permanent (DOJ)		1	1
11.3	Other than full-time permanent (OIG)			1
11.5	Other personnel compensation (OIG)			1
<b>Special personal services payments:</b>				
11.8	Special personal services payments (DOJ)	1		1
11.8	Special personal services payments (AoA)	1		1
11.9	Total personnel compensation	71	89	99
<b>Civilian personnel benefits:</b>				
12.1	Civilian personnel benefits (OIG)	13	15	17
12.1	Civilian personnel benefits (DoJ)	4	4	4
<b>Travel and transportation of persons:</b>				
21.0	Travel and transportation of persons (OIG)	5	6	6
21.0	Travel and transportation of persons (DoJ)		1	1
22.0	Transportation of things (OIG)			1
<b>Rental payments to GSA:</b>				
23.1	Rental payments to GSA (OIG)	8	8	8
23.1	Rental payments to GSA (DoJ)	3	3	3
23.1	Rental payments to GSA (OGC)	1		1
23.3	Communications, utilities, and miscellaneous charges (OIG)	1	1	2
<b>Other services:</b>				
25.2	Other services (DoJ)	6	8	16
25.2	Other services (OIG)			2
25.2	Other services (HRSA)	2		
<b>Purchases of goods and services from Government accounts:</b>				
25.3	Purchases of goods and services from Government accounts (OIG)	1	2	12
25.3	Purchases of goods and services from Government accounts (DoJ)		2	1
25.5	Research and development contracts (OIG)	12	17	
25.7	Operation and maintenance of equipment (HRSA)	4		
26.0	Supplies and materials (OIG)	1	1	2

**HEALTH CARE FRAUD AND ABUSE CONTROL ACCOUNT—Continued**  
**(FEDERAL HOSPITAL INSURANCE TRUST FUND)—Continued**

**Object Classification** (in millions of dollars)—Continued

Identification code 75-8393-0-7-571	1999 actual	2000 est.	2001 est.
Equipment:			
31.0 Equipment (OIG) .....	5	4	4
31.0 Equipment (DOJ) .....			1
41.0 Grants, subsidies, and contributions (AoA) .....	1	1	2
99.0 Subtotal, allocation account .....	138	162	182
99.9 Total new obligations .....	755	868	950

This schedule reflects estimated distribution of the allocation account. This allocation assumes \$12.5 million within HCFAC would be used for oversight of HCFA's contractor initiative and \$3.5 million would fund incentive grants for States to develop Medicaid error rates. The non-HHS/DoJ amounts would be distributed based on competitive criteria established by HHS and DoJ.

	1999 actual	2000 est.	2001 est.
Department of Justice (DOJ) .....	28	34	34
Office of the Inspector General, HHS .....	100	124	124
Health Care Financing Administration .....	551	630	680
Office of General Counsel, HHS .....	2	2	0
Other HHS .....	8	2	2
Other non-HHS/non-DOJ .....	0	0	22
Total .....	689	794	862

**FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND**

**Unavailable Collections** (in millions of dollars)

Identification code 20-8004-0-7-571	1999 actual	2000 est.	2001 est.
Balance, start of year:			
01.99 Balance, start of year .....	40,875	45,615	44,386
Receipts:			
02.00 Interest on investments, legislative proposal not subject to PAYGO .....			9
02.01 Federal contributions .....	62,185	65,063	69,777
02.02 Interest received by trust fund .....	2,926	3,080	2,940
02.03 Other proprietary receipts from the public .....	7		
02.05 Premiums collected for the aged .....	17,722	17,773	19,065
02.06 Premiums collected for the disabled .....	2,438	2,583	2,849
02.07 Transfers from DOD, SMI .....		11	14
02.09 Premiums collected for the aged, legislative proposal subject to PAYGO .....			-160
02.10 Premiums collected for the disabled, legislative proposal subject to PAYGO .....			-20
02.12 Federal contributions, legislative proposal not subject to PAYGO .....			-280
02.99 Total receipts .....	85,278	88,510	94,194
04.00 Total: Balances and collections .....	126,153	134,125	138,580
Appropriation:			
05.01 Federal supplementary medical insurance trust fund .....	-80,538	-89,740	-98,782
05.03 Legislative proposal subject to PAYGO .....			685
05.04 Legislative proposal not subject to PAYGO .....			146
05.99 Subtotal appropriation .....	-80,538	-89,740	-97,951
06.20 Reduction pursuant to Public Law xx-xxx .....		1	
07.99 Total balance, end of year .....	45,615	44,386	40,629

**Program and Financing** (in millions of dollars)

Identification code 20-8004-0-7-571	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.01 Benefit payments, SMI .....	78,972	87,856	96,928
00.02 Administration, SMI .....	1,494	1,690	1,758
00.03 Peer review organizations, SMI .....	56	124	18
00.04 Research, SMI .....	16	20	18
00.06 Transfer to Medicaid for payment of SMI premiums .....		50	60
09.01 HI partial transfer of home health .....	179	2,718	3,345

10.00 Total new obligations .....	80,717	92,458	102,127
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**Budgetary resources available for obligation:**

22.00 New budget authority (gross) .....	80,717	92,457	102,127
23.95 Total new obligations .....	-80,717	-92,458	-102,127

**New budget authority (gross), detail:**

<b>Discretionary:</b>			
40.26 Appropriation (trust fund, definite) .....	1,506	1,706	1,772
40.76 Reduction pursuant to P.L. 106-113 .....		-1	
43.00 Appropriation (total discretionary) .....	1,506	1,706	1,772
<b>Mandatory:</b>			
60.27 Appropriation (trust fund, indefinite) .....	83,772	86,804	92,873
60.28 Appropriation (unavailable balances) .....		1,230	4,137
60.45 Portion precluded from obligation .....	-4,740		
62.50 Appropriation (total mandatory) .....	79,032	88,034	97,010
69.00 Offsetting collections (cash) .....	179	2,718	3,345
70.00 Total new budget authority (gross) .....	80,717	92,457	102,127

**Change in unpaid obligations:**

72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	14	34	98
73.10 Total new obligations .....	80,717	92,458	102,127
73.20 Total outlays (gross) .....	-80,697	-92,394	-102,165
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	34	98	60

**Outlays (gross), detail:**

86.90 Outlays from new discretionary authority .....	1,506	1,697	1,763
86.93 Outlays from discretionary balances .....		3	
86.97 Outlays from new mandatory authority .....	79,191	90,694	100,359
86.98 Outlays from mandatory balances .....			43
87.00 Total outlays (gross) .....	80,697	92,394	102,165

**Offsets:**

<b>Against gross budget authority and outlays:</b>			
88.00 Offsetting collections (cash) from: Federal sources .....	-179	-2,718	-3,345

**Net budget authority and outlays:**

89.00 Budget authority .....	80,538	89,739	98,782
90.00 Outlays .....	80,518	89,676	98,820

**Memorandum (non-add) entries:**

92.01 Total investments, start of year: U.S. securities: Par value .....	39,502	26,528	44,484
92.02 Total investments, end of year: U.S. securities: Par value .....	26,528	44,484	40,318

**Summary of Budget Authority and Outlays**

(in millions of dollars)

	1999 actual	2000 est.	2001 est.
<b>Enacted/requested:</b>			
Budget Authority .....	80,538	89,740	98,782
Outlays .....	80,518	89,676	98,820
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority .....			-146
Outlays .....			-146
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			-685
Outlays .....			-685
<b>Total:</b>			
Budget Authority .....	80,538	89,740	97,951
Outlays .....	80,518	89,676	97,989

The Supplementary Medical Insurance (SMI) program is a voluntary program which affords protection against the costs of physician and certain other medical services. The program also covers treatment of end-stage renal disease for eligible enrollees. SMI costs are financed by premium payments from enrollees and contributions from the general revenues.

The status of the trust fund is as follows:

**Status of Funds** (in millions of dollars)

Identification code 20-8004-0-7-571	1999 actual	2000 est.	2001 est.
<b>Unexpended balance, start of year:</b>			
0100 Treasury balance .....	1,387	19,120	

0101	U.S. Securities: Par value .....	39,502	26,528	44,484
0199	Total balance, start of year .....	40,889	45,649	44,484
	Cash income during the year:			
	Proprietary receipts:			
0221	Premiums collected for the aged, FSMI fund .....	17,722	17,773	19,065
0222	Premiums collected for the aged, legislative proposal subject to PAYGO .....			-160
	Proprietary receipts:			
0223	Premiums collected for the disabled, FSMI fund .....	2,438	2,583	2,849
0223	Premiums collected for the disabled, legislative proposal subject to PAYGO .....			-20
0225	Other proprietary receipts .....	7		
	Intragovernmental transactions:			
	Intragovernmental transactions:			
0240	Federal contributions, FSMI fund .....	62,185	65,063	69,777
0240	Interest received by trust fund, legislative proposal not subject to PAYGO .....			9
0241	Federal contributions, legislative proposal not subject to PAYGO .....			-280
0242	Interest received by trust fund, FSMI fund .....	2,926	3,080	2,940
0243	Intragovernmental transactions .....		11	14
	Offsetting collections:			
0280	HI partial transfer of home health .....	179	2,718	3,345
0297	Income under present law .....	85,457	91,228	97,990
0298	Income under proposed legislation .....			-451
0299	Total cash income .....	85,457	91,228	97,539
	Cash outgo during year:			
	Cash outgo during the year (-):			
0501	Benefit payments & ESRD .....	-78,972	-87,856	-96,928
0501	Benefit payments & ESRD, legislative proposal subject to PAYGO .....			685
0502	Administration .....	-1,494	-1,687	-1,749
0503	Administration, legislative proposal not subject to PAYGO .....			146
0504	Peer review organizations .....	-36	-66	-65
0505	Research .....	-16	-17	-18
0506	HI partial transfer of home health .....	-179	-2,718	-3,345
0507	Transfer to Medicaid for payment of SMI premiums .....		-50	-60
0597	Outgo under present law (-) .....	-80,697	-92,394	-102,165
0598	Outgo under proposed legislation (-) .....			831
0599	Total cash outgo (-) .....	-80,697	-92,394	-101,334
	Unexpended balance, end of year:			
0700	Treasury balance .....	19,120		
0701	U.S. Securities: Par value .....	26,528	44,484	40,689
0799	Total balance, end of year .....	45,649	44,484	40,689

**Object Classification** (in millions of dollars)

Identification code 20-8004-0-7-571	1999 actual	2000 est.	2001 est.
Direct obligations:			
Grants, subsidies, and contributions:			
41.0 Payment for peer review organization (PRO) activity .....	56	124	18
41.0 Payment for health insurance experiments and demonstration projects .....	16	20	18
42.0 Insurance claims and indemnities .....	78,972	87,910	96,992
92.0 Undistributed .....	1,064	1,204	1,241
93.0 Administrative expenses: Portion of limitation on salaries and expenses: Social Security Administration .....	430	482	513
99.0 Subtotal, direct obligations .....	80,538	89,740	98,782
99.0 Reimbursable obligations .....	179	2,718	3,345
99.9 Total new obligations .....	80,717	92,458	102,127

**FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND**

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 20-8004-2-7-571	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.02 Administration, SMI .....			-146
10.00 Total new obligations (object class 92.0) .....			-146

<b>Budgetary resources available for obligation:</b>			
22.00	New budget authority (gross) .....		-146
23.95	Total new obligations .....		146
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.26	Appropriation (trust fund, definite) .....		-146
<b>Change in unpaid obligations:</b>			
73.10	Total new obligations .....		-146
73.20	Total outlays (gross) .....		146
<b>Outlays (gross), detail:</b>			
86.90	Outlays from new discretionary authority .....		-146
<b>Net budget authority and outlays:</b>			
89.00	Budget authority .....		-146
90.00	Outlays .....		-146
<b>Memorandum (non-add) entries:</b>			
92.02	Total investments, end of year: U.S. securities: Par value .....		146

This schedule reflects the effects of the HCFA user fees proposed in the Budget on the Supplemental Medical Insurance trust fund.

**FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 20-8004-4-7-571	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
10.00	Total new obligations (object class 42.0) .....		-685
<b>Budgetary resources available for obligation:</b>			
22.00	New budget authority (gross) .....		-685
23.95	Total new obligations .....		685
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.27	Appropriation (trust fund, indefinite) .....		-460
60.28	Appropriation (unavailable balances) .....		-225
62.50	Appropriation (total mandatory) .....		-685
<b>Change in unpaid obligations:</b>			
73.10	Total new obligations .....		-685
73.20	Total outlays (gross) .....		685
<b>Outlays (gross), detail:</b>			
86.97	Outlays from new mandatory authority .....		-685
<b>Net budget authority and outlays:</b>			
89.00	Budget authority .....		-685
90.00	Outlays .....		-685
<b>Memorandum (non-add) entries:</b>			
92.02	Total investments, end of year: U.S. securities: Par value .....		225

This schedule reflects the effects of Medicare proposals contained in the Budget on the Supplemental Medical Insurance trust fund.

**ALLOCATION RECEIVED FROM OTHER ACCOUNTS**

Note.—Obligations incurred under allocations from other accounts are included in the schedule of the parent appropriation as follows: Health Care Financing Administration: "Health Care Fraud and Abuse Control Account."

**ADMINISTRATION FOR CHILDREN AND FAMILIES**

*Federal Funds*

**General and special funds:**

TEMPORARY ASSISTANCE FOR NEEDY FAMILIES

**Program and Financing** (in millions of dollars)

Identification code 75-1552-0-1-609	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.01 State family assistance grant	16,489	16,489	16,489
00.02 State penalties			-10
00.03 Territories—family assistance grants	78	78	78
00.04 Matching grants to territories	3	15	15
00.05 Bonus to reward decrease in illegitimacy	100	100	100
00.06 Supplemental grants for population increases	160	239	319
00.07 Bonus to reward high performance States		200	200
00.08 Tribal work programs	7	7	7
10.00 Total new obligations (object class 41.0)	16,837	17,128	17,198
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year	721	1,561	1,122
22.00 New budget authority (gross)	17,693	16,689	16,679
23.90 Total budgetary resources available for obligation	18,414	18,250	17,801
23.95 Total new obligations	-16,837	-17,128	-17,198
23.98 Unobligated balance expiring or withdrawn	-16		-3
24.40 Unobligated balance available, end of year	1,561	1,122	600

<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.05 Appropriation (indefinite)	17,693	16,689	16,679

<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year	7,212	10,056	12,084
73.10 Total new obligations	16,837	17,128	17,198
73.20 Total outlays (gross)	-14,161	-14,996	-15,742
73.40 Adjustments in expired accounts (net)	168	-104	
74.40 Unpaid obligations, end of year: Obligated balance, end of year	10,056	12,084	13,540

<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority	9,924	9,160	8,661
86.98 Outlays from mandatory balances	4,237	5,836	7,081
87.00 Total outlays (gross)	14,161	14,996	15,742

<b>Net budget authority and outlays:</b>			
89.00 Budget authority	17,693	16,689	16,679
90.00 Outlays	14,161	14,996	15,742

**Summary of Budget Authority and Outlays**

(in millions of dollars)

	1999 actual	2000 est.	2001 est.
Enacted/requested:			
Budget Authority	17,693	16,689	16,679
Outlays	14,161	14,996	15,742
Legislative proposal, subject to PAYGO:			
Budget Authority			
Outlays			208
Legislative proposal, discretionary offset:			
Budget Authority			-240
Outlays			-122
Total:			
Budget Authority	17,693	16,689	16,439
Outlays	14,161	14,996	15,828

This account provides funding for activities established by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104-193). The Temporary assistance for needy families block grant provides funding to States for aid to low-income families with children.

This account also includes Federal loans for State welfare programs, established by section 406 of the Social Security Act as amended.

TEMPORARY ASSISTANCE FOR NEEDY FAMILIES

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 75-1552-4-1-609	1999 actual	2000 est.	2001 est.
<b>Change in unpaid obligations:</b>			
73.20 Total outlays (gross)			-208
74.40 Unpaid obligations, end of year: Obligated balance, end of year			-208
<b>Outlays (gross), detail:</b>			
86.98 Outlays from mandatory balances			208
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			
90.00 Outlays			208

This reflects interactions with the Administration's proposal on the allocation of common State administrative costs between the Medicaid and Temporary Assistance for Needy Families programs.

TEMPORARY ASSISTANCE FOR NEEDY FAMILIES

(Legislative proposal, discretionary offset)

**Program and Financing** (in millions of dollars)

Identification code 75-1552-6-1-609	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 41.0)			-240
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)			-240
23.95 Total new obligations			240
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.36 Unobligated balance rescinded			-240
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations			-240
73.20 Total outlays (gross)			122
74.40 Unpaid obligations, end of year: Obligated balance, end of year			-118
<b>Outlays (gross), detail:</b>			
86.98 Outlays from mandatory balances			-122
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			-240
90.00 Outlays			-122

The Administration will propose legislation that reduces TANF supplemental grant funds in 2001 to the 1998 level for all eligible States, and will apply the offset to discretionary spending.

CONTINGENCY FUND

**Program and Financing** (in millions of dollars)

Identification code 75-1522-0-1-609	1999 actual	2000 est.	2001 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year	1,958	1,958	1,958
24.40 Unobligated balance available, end of year	1,958	1,958	1,958
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			
90.00 Outlays			

PAYMENTS TO STATES FOR CHILD SUPPORT ENFORCEMENT AND FAMILY SUPPORT PROGRAMS

For making payments to States or other non-Federal entities under titles I, IV–D, X, XI, XIV, and XVI of the Social Security Act and the Act of July 5, 1960 (24 U.S.C. ch. 9), \$2,442,000,000, to remain available until expended; and for such purposes for the first quarter of fiscal year [2001, \$650,000,000] 2002, \$1,000,000,000, to remain available until expended.

For making payments to each State for carrying out the program of Aid to Families with Dependent Children under title IV–A of the Social Security Act before the effective date of the program of Temporary Assistance to Needy Families (TANF) with respect to such State, such sums as may be necessary: *Provided*, That the sum of the amounts available to a State with respect to expenditures under such title IV–A in fiscal year 1997 under this appropriation and under such title IV–A as amended by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 shall not exceed the limitations under section 116(b) of such Act.

For making, after May 31 of the current fiscal year, payments to States or other non-Federal entities under titles I, IV–D, X, XI, XIV, and XVI of the Social Security Act and the Act of July 5, 1960 (24 U.S.C. ch. 9), for the last 3 months of the current year for unanticipated costs, incurred for the current fiscal year, such sums as may be necessary. (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106–113).*)

Program and Financing (in millions of dollars)

Identification code 75–1501–0–1–609	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
Child support enforcement (CSE):			
Benefit payments:			
00.01 State child support administrative costs .....	2,513	2,819	3,058
00.02 Federal incentive/hold harmless payments to States .....	400	382	415
00.03 Access and visitation grants .....	10	10	10
00.91 Subtotal, child support enforcement .....	2,923	3,211	3,483
01.02 Payments to territories .....	16	23	23
01.03 Repatriation .....	1	1	1
01.91 Subtotal, other payments .....	17	24	24
Aid to families with dependent children (AFDC) payments:			
02.01 AFDC benefit payments .....	95	98	.....
02.03 State and local welfare administration .....	13	2	.....
02.04 AFDC/JOBS child care .....	6	.....	.....
02.91 Subtotal, AFDC programs .....	114	100	.....
10.00 Total new obligations (object class 41.0) .....	3,054	3,335	3,507
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year .....	1,564	1,920	.....
22.00 New budget authority (gross) .....	3,049	1,415	3,507
22.10 Resources available from recoveries of prior year obligations .....	361	.....	.....
23.90 Total budgetary resources available for obligation .....	4,974	3,335	3,507
23.95 Total new obligations .....	–3,054	–3,335	–3,507
24.40 Unobligated balance available, end of year .....	1,920	.....	.....
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation .....	1,989	283	2,442
65.00 Advance appropriation .....	660	750	650
69.00 Offsetting collections (cash) .....	400	382	415
70.00 Total new budget authority (gross) .....	3,049	1,415	3,507
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	1,520	1,057	957
73.10 Total new obligations .....	3,054	3,335	3,507
73.20 Total outlays (gross) .....	–3,156	–3,435	–3,474
73.45 Adjustments in unexpired accounts .....	–361	.....	.....
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	1,057	957	990
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	3,049	1,415	2,978

86.98	Outlays from mandatory balances .....	107	2,020	496
87.00	Total outlays (gross) .....	3,156	3,435	3,474
<b>Offsets:</b>				
Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: Non-Federal sources .....	–400	–382	–415
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	2,649	1,033	3,092
90.00	Outlays .....	2,756	3,053	3,059

Summary of Budget Authority and Outlays

(in millions of dollars)			
Enacted/requested:	1999 actual	2000 est.	2001 est.
Budget Authority .....	2,649	1,033	3,092
Outlays .....	2,756	3,053	3,059
Legislative proposal, subject to PAYGO:			
Budget Authority .....	.....	.....	32
Outlays .....	.....	.....	32
Total:			
Budget Authority .....	2,649	1,033	3,124
Outlays .....	2,756	3,053	3,091

This account provides for payments to States for child support enforcement and other family support programs including access and visitation programs for families. Spending authority from the Federal share of child support collections is used to pay incentive payments and, for a limited time, hold harmless payments to States. The remaining net Federal share of collections is returned to the Treasury in a receipt account. The text table below shows the traditional display of child support enforcement costs:

Net Federal Costs of Child Support Enforcement

(In millions of dollars)			
	1999	2000	2001
Gross Federal share of collections .....	(1268)	(1233)	(1265)
Federal incentive payments to States .....	362	371	404
Hold harmless payments .....	38	11	11
State child support administrative costs .....	2513	2819	3058
Access and visitation grants .....	10	10	10
Subtotal .....	1655	1978	2218

PAYMENTS TO STATES FOR CHILD SUPPORT ENFORCEMENT AND FAMILY SUPPORT PROGRAMS

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75–1501–4–1–609	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
Child support enforcement (CSE):			
Benefit payments:			
00.01 State child support administrative costs .....	.....	.....	32
10.00 Total new obligations (object class 41.0) .....	.....	.....	32
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	.....	.....	32
23.95 Total new obligations .....	.....	.....	–32
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation .....	.....	.....	32
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations .....	.....	.....	32
73.20 Total outlays (gross) .....	.....	.....	–32
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	.....	.....	32
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	.....	.....	32

**General and special funds—Continued**

**PAYMENTS TO STATES FOR CHILD SUPPORT ENFORCEMENT AND FAMILY SUPPORT PROGRAMS—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 75-1501-4-1-609	1999 actual	2000 est.	2001 est.
90.00 Outlays .....			32

This legislative proposal includes several provisions to increase child support collections and to direct more of these payments to families. The proposal would also conform the match rate for paternity testing with the basic administrative match rate.

**LOW INCOME HOME ENERGY ASSISTANCE**

For making payments under title XXVI of the Omnibus Budget Reconciliation Act of 1981, \$1,100,000,000, to be available for obligation in the period October 1, [2000] 2001 through September 30, [2001] 2002.

For making payments under title XXVI of such Act, \$300,000,000: *Provided*, That these funds are hereby designated by Congress to be emergency requirements pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985: *Provided further*, That these funds shall be made available only after submission to Congress of a formal budget request by the President that includes designation of the entire amount of the request as an emergency requirement as defined in [the Balanced Budget and Emergency Deficit Control] such Act [of 1985].

[The \$1,100,000,000 provided in the first paragraph under this heading in the Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1999 (as contained in section 101(f) of division A of Public Law 105-277) is hereby designated by the Congress as an emergency requirement pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985: *Provided*, That such funds shall be available only if the President submits to the Congress one official budget request for \$1,100,000,000 that includes designation of the entire amount as an emergency requirement pursuant to such section: *Provided further*, That such funds shall be distributed in accordance with section 2604 of the Omnibus Budget Reconciliation Act of 1981 (42 U.S.C. 8623), other than subsection (e) of such section.] (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

**Program and Financing (in millions of dollars)**

Identification code 75-1502-0-1-609	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 41.0) .....	1,277	1,105	1,100
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	1,277	1,400	1,400
23.95 Total new obligations .....	-1,277	-1,105	-1,100
23.98 Unobligated balance expiring or withdrawn .....		-295	-300
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.15 Appropriation (emergency) .....	300	300	300
40.60 Contingent emergency appropriation not available for obligations .....	-125		
43.00 Appropriation (total discretionary) .....	175	300	300
50.00 Reappropriation .....	2		
55.00 Advance appropriation .....	1,100	1,100	1,100
70.00 Total new budget authority (gross) .....	1,277	1,400	1,400
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	377	474	337
73.10 Total new obligations .....	1,277	1,105	1,100
73.20 Total outlays (gross) .....	-1,176	-1,242	-1,105
73.40 Adjustments in expired accounts (net) .....	-4		

74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	474	337	332
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	859	818	814
86.93 Outlays from discretionary balances .....	317	424	291
87.00 Total outlays (gross) .....	1,176	1,242	1,105
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1,275	1,400	1,400
90.00 Outlays .....	1,176	1,242	1,105

This program makes grants to States and Indian tribes to aid low-income households with high energy costs through payments to eligible households, energy suppliers, and weatherization providers.

**REFUGEE AND ENTRANT ASSISTANCE**

For making payments for refugee and entrant assistance activities authorized by title IV of the Immigration and Nationality Act and section 501 of the Refugee Education Assistance Act of 1980 (Public Law 96-422), [\$419,005,000] \$422,804,000, to remain available through September 30, 2003: *Provided*, That funds appropriated pursuant to section 414(a) of the Immigration and Nationality Act under Public Law [105-78] 106-113 for fiscal year [1998 and under Public Law 105-277 for fiscal year 1999] 2000 shall be available for the costs of assistance provided and other activities through September 30, [2001] 2002.

For carrying out section 5 of the Torture Victims Relief Act of 1998 (Public Law 105-320), [\$7,500,000] \$9,765,000.

[The \$426,505,000 provided under this heading is hereby designated by the Congress as an emergency requirement pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985: *Provided*, That such funds shall be available only if the President submits to the Congress one official budget request for \$426,505,000 that includes designation of the entire amount as an emergency requirement pursuant to such section.] (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

**Program and Financing (in millions of dollars)**

Identification code 75-1503-0-1-609	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.01 Refugee and entrant assistance .....	465	447	423
00.02 Assistance for treatment of torture victims .....		7	10
10.00 Total new obligations .....	465	454	433
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year .....	2	2	2
22.00 New budget authority (gross) .....	481	454	433
23.90 Total budgetary resources available for obligation .....	483	454	433
23.95 Total new obligations .....	-465	-454	-433
23.98 Unobligated balance expiring or withdrawn .....	-16		
24.40 Unobligated balance available, end of year .....	2	2	2
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	415		433
40.15 Appropriation (emergency) .....		427	
40.76 Reduction pursuant to P.L. 106-113 .....		-1	
43.00 Appropriation (total discretionary) .....	415	426	433
50.00 Reappropriation .....	66	28	
70.00 Total new budget authority (gross) .....	481	454	433
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	511	587	574
73.10 Total new obligations .....	465	454	433
73.20 Total outlays (gross) .....	-332	-444	-446
73.40 Adjustments in expired accounts (net) .....	-57	-23	
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	587	574	561



<b>Outlays (gross), detail:</b>				
86.90	Outlays from new discretionary authority .....	122	123	117
86.93	Outlays from discretionary balances .....	210	321	329
87.00	Total outlays (gross) .....	332	444	446
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	481	454	433
90.00	Outlays .....	332	444	446

States are subsidized for administering the refugee assistance program. Funds are also provided to assist in the rehabilitation of victims of torture.

<b>Object Classification (in millions of dollars)</b>				
Identification code 75-1503-0-1-609				
		1999 actual	2000 est.	2001 est.
25.1	Advisory and assistance services .....	1	1	1
25.2	Other services .....	1	1	1
25.3	Purchases of goods and services from Government accounts .....	29	1	1
41.0	Grants, subsidies, and contributions .....	434	451	430
99.9	Total new obligations .....	465	454	433

PROMOTING SAFE AND STABLE FAMILIES

For carrying out section 430 of the Social Security Act, **[\$295,000,000.] \$305,000,000.** (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

<b>Program and Financing (in millions of dollars)</b>				
Identification code 75-1512-0-1-506				
		1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>				
00.01	Grants to States and Tribes .....	241	279	289
00.02	Training and technical assistance .....	6	6	6
00.03	State court assessment activities .....	10	10	10
10.00	Total new obligations .....	257	295	305
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....	275	295	305
23.95	Total new obligations .....	-257	-295	-305
23.98	Unobligated balance expiring or withdrawn .....	-18		
<b>New budget authority (gross), detail:</b>				
Mandatory:				
60.00	Appropriation .....	275	295	305

<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance, start of year .....	274	322	331
73.10	Total new obligations .....	257	295	305
73.20	Total outlays (gross) .....	-248	-286	-288
73.40	Adjustments in expired accounts (net) .....	39		-13
74.40	Unpaid obligations, end of year: Obligated balance, end of year .....	322	331	335
<b>Outlays (gross), detail:</b>				
86.97	Outlays from new mandatory authority .....	46	50	52
86.98	Outlays from mandatory balances .....	202	236	236
87.00	Total outlays (gross) .....	248	286	288
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	275	295	305
90.00	Outlays .....	248	286	288

This program provides funds for a broad range of child welfare services, including family preservation and family support services.

<b>Object Classification (in millions of dollars)</b>				
Identification code 75-1512-0-1-506				
		1999 actual	2000 est.	2001 est.
25.1	Advisory and assistance services .....	6	6	6

41.0	Grants, subsidies, and contributions .....	251	289	299
99.9	Total new obligations .....	257	295	305

JOB OPPORTUNITIES AND BASIC SKILLS TRAINING PROGRAM

<b>Program and Financing (in millions of dollars)</b>				
Identification code 75-1509-0-1-504				
		1999 actual	2000 est.	2001 est.
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance, start of year .....	77	62	47
73.20	Total outlays (gross) .....	-9	-8	-5
73.40	Adjustments in expired accounts (net) .....	-6	-7	-42
74.40	Unpaid obligations, end of year: Obligated balance, end of year .....	62	47	
<b>Outlays (gross), detail:</b>				
86.98	Outlays from mandatory balances .....	9	8	5
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....			
90.00	Outlays .....	9	8	5

This activity is replaced by Temporary assistance for needy families.

STATE LEGALIZATION IMPACT-ASSISTANCE GRANTS

<b>Program and Financing (in millions of dollars)</b>				
Identification code 75-1508-0-1-506				
		1999 actual	2000 est.	2001 est.
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance, start of year .....	11	7	7
73.20	Total outlays (gross) .....	1		
73.40	Adjustments in expired accounts (net) .....	-5		
74.40	Unpaid obligations, end of year: Obligated balance, end of year .....	7	7	7
<b>Outlays (gross), detail:</b>				
86.98	Outlays from mandatory balances .....	-1		
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....			
90.00	Outlays .....	-1		

CHILD CARE ENTITLEMENT TO STATES

<b>Program and Financing (in millions of dollars)</b>				
Identification code 75-1550-0-1-609				
		1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>				
00.01	Mandatory child care .....	1,221	1,225	1,229
00.02	Matching child care .....	941	1,136	1,332
00.03	Training and technical assistance .....	5	6	6
10.00	Total new obligations .....	2,167	2,367	2,567
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....	2,167	2,367	2,567
23.95	Total new obligations .....	-2,167	-2,367	-2,567
<b>New budget authority (gross), detail:</b>				
Mandatory:				
60.00	Appropriation .....	2,167	2,367	2,567
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance, start of year .....	608	522	465
73.10	Total new obligations .....	2,167	2,367	2,567
73.20	Total outlays (gross) .....	-2,254	-2,420	-2,556
73.40	Adjustments in expired accounts (net) .....	1	-4	
74.40	Unpaid obligations, end of year: Obligated balance, end of year .....	522	465	476

**General and special funds—Continued**

**CHILD CARE ENTITLEMENT TO STATES—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 75-1550-0-1-609	1999 actual	2000 est.	2001 est.
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	1,742	1,988	2,168
86.98 Outlays from mandatory balances .....	512	432	388
87.00 Total outlays (gross) .....	2,254	2,420	2,556
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	2,167	2,367	2,567
90.00 Outlays .....	2,254	2,420	2,556

**Summary of Budget Authority and Outlays**

(in millions of dollars)

	1999 actual	2000 est.	2001 est.
Enacted/requested:			
Budget Authority .....	2,167	2,367	2,567
Outlays .....	2,254	2,420	2,556
Legislative proposal, subject to PAYGO:			
Budget Authority .....			600
Outlays .....			402
Total:			
Budget Authority .....	2,167	2,367	3,167
Outlays .....	2,254	2,420	2,958

This account provides child care funding for welfare recipients and low-income working families and was established by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104-193).

**Object Classification (in millions of dollars)**

Identification code 75-1550-0-1-609	1999 actual	2000 est.	2001 est.
25.1 Advisory and assistance services .....	5	6	6
41.0 Grants, subsidies, and contributions .....	2,162	2,361	2,561
99.9 Total new obligations .....	2,167	2,367	2,567

**CHILD CARE ENTITLEMENT TO STATES  
(Legislative proposal, subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 75-1550-4-1-609	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.01 Early learning fund .....			600
10.00 Total new obligations .....			600
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			600
23.95 Total new obligations .....			-600
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation .....			600
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year .....			
73.10 Total new obligations .....			600
73.20 Total outlays (gross) .....			-402
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....			198
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....			402
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			600
90.00 Outlays .....			402

This legislative proposal will provide challenge grants to communities to support early childhood education for children under five, with a focus on language acquisition, emergent literacy, reading, numeracy, and other cognitive, physical, social, and emotional development activities aimed at improving readiness for school.

**Object Classification (in millions of dollars)**

Identification code 75-1550-4-1-609	1999 actual	2000 est.	2001 est.
25.1 Advisory and assistance services .....			6
41.0 Grants, subsidies, and contributions .....			594
99.9 Total new obligations .....			600

**PAYMENTS TO STATES FOR THE CHILD CARE AND DEVELOPMENT  
BLOCK GRANT**

For carrying out sections 658A through 658R of the Omnibus Budget Reconciliation Act of 1981 (The Child Care and Development Block Grant Act of 1990), in addition to amounts already appropriated for fiscal year 2001, \$817,328,000; and to become available on October 1, [2000] 2001 and remain available through September 30, [2001, \$1,182,672,000] 2002, \$2,000,000,000: Provided, That of the funds appropriated for each of fiscal years 2001 and 2002, \$19,120,000 shall be available for child care resource and referral and school-aged child care activities, of which \$500,000 shall be for a toll-free child care services program hotline to be operated by Child Care Aware: Provided further, That of the funds [provided for fiscal year 2001, \$172,672,000] appropriated for each of fiscal years 2001 and 2002, in addition to the amounts required to be reserved by the States under section 658G, \$222,672,000 shall be reserved by the States for activities authorized under section 658G [of the Omnibus Budget Reconciliation Act of 1981 (The Child Care and Development Block Grant Act of 1990), such funds to be in addition to the amounts required to be reserved by the States under section 658G], of which \$50,000,000 shall be for activities that improve the quality of infant and toddler child care: Provided further, That of the funds appropriated for each of fiscal years 2001 and 2002, \$10,000,000 shall be for use by the Secretary for child care research, demonstration, and evaluation activities[: Provided further, That of the funds provided for fiscal year 2000 under Public Law 105-277, \$500,000 shall be for a toll-free child care services program hotline to be operated by Child Care Aware]. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

**Program and Financing (in millions of dollars)**

Identification code 75-1515-0-1-609	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.01 Block grant payments to States .....	997	1,170	1,985
00.02 Advisory and assistance services .....	3	3	5
00.03 Research and evaluation fund .....		10	10
10.00 Total new obligations .....	1,000	1,183	2,000
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	1,000	1,183	2,000
23.95 Total new obligations .....	-1,000	-1,183	-2,000
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	1,000		817
55.00 Advance appropriation .....		1,183	1,183
70.00 Total new budget authority (gross) .....	1,000	1,183	2,000
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year .....			
73.10 Total new obligations .....	410	390	426
73.20 Total outlays (gross) .....	1,000	1,183	2,000
73.40 Adjustments in expired accounts (net) .....	-1,032	-1,147	-1,720
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	12		
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	390	426	706
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	668	787	1,335

86.93	Outlays from discretionary balances .....	364	360	385
87.00	Total outlays (gross) .....	1,032	1,147	1,720
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	1,000	1,183	2,000
90.00	Outlays .....	1,032	1,147	1,720

This appropriation helps low-income families pay for child care and related services and supports grants to States for child care quality activities.

**Object Classification** (in millions of dollars)

Identification code 75-1515-0-1-609		1999 actual	2000 est.	2001 est.
25.1	Advisory and assistance services .....	3	5	7
41.0	Grants, subsidies, and contributions .....	997	1,178	1,993
99.9	Total new obligations .....	1,000	1,183	2,000

**SOCIAL SERVICES BLOCK GRANT**

**[For making grants to States pursuant to section 2002 of the Social Security Act, \$1,775,000,000: *Provided*, That notwithstanding section 2003(c) of such Act, as amended, the amount specified for allocation under such section for fiscal year 2000 shall be \$1,775,000,000.] (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)**

**Program and Financing** (in millions of dollars)

Identification code 75-1534-0-1-506		1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>				
10.00	Total new obligations (object class 41.0) .....	1,909	1,775	1,700
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....	1,909	1,775	1,700
23.95	Total new obligations .....	-1,909	-1,775	-1,700
<b>New budget authority (gross), detail:</b>				
Mandatory:				
60.00	Appropriation .....	1,909	1,775	1,700
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance, start of year .....	1,007	927	1,079
73.10	Total new obligations .....	1,909	1,775	1,700
73.20	Total outlays (gross) .....	-1,993	-1,623	-1,932
73.40	Adjustments in expired accounts (net) .....	4		-2
74.40	Unpaid obligations, end of year: Obligated balance, end of year .....	927	1,079	845
<b>Outlays (gross), detail:</b>				
86.97	Outlays from new mandatory authority .....	1,677	1,349	1,496
86.98	Outlays from mandatory balances .....	316	274	436
87.00	Total outlays (gross) .....	1,993	1,623	1,932
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	1,909	1,775	1,700
90.00	Outlays .....	1,993	1,623	1,932

**Summary of Budget Authority and Outlays**

(in millions of dollars)

Enacted/requested:	1999 actual	2000 est.	2001 est.
Budget Authority .....	1,909	1,775	1,700
Outlays .....	1,993	1,623	1,932
Legislative proposal, subject to PAYGO:			
Budget Authority .....			75
Outlays .....			66
Total:			
Budget Authority .....	1,909	1,775	1,775
Outlays .....	1,993	1,623	1,998

*Social services block grant.*—The proposed level will support grants to States for social services.

**SOCIAL SERVICE BLOCK GRANT**  
(Legislative proposal, subject to PAYGO)

<b>Program and Financing</b> (in millions of dollars)				
Identification code 75-1534-4-1-506		1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>				
10.00	Total new obligations (object class 41.0) .....			75
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....			75
23.95	Total new obligations .....			-75
<b>New budget authority (gross), detail:</b>				
Mandatory:				
60.00	Appropriation .....			75
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance, start of year .....			
73.10	Total new obligations .....			75
73.20	Total outlays (gross) .....			-66
74.40	Unpaid obligations, end of year: Obligated balance, end of year .....			9
<b>Outlays (gross), detail:</b>				
86.97	Outlays from new mandatory authority .....			66
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....			75
90.00	Outlays .....			66

This proposal increases FY 2001 funding for the Social services block grant by \$75 million for a total of \$1,775 million. Of this amount, \$25 million will be available to support second-chance homes for teen-age parents.

**CHILDREN AND FAMILIES SERVICES PROGRAMS**  
(INCLUDING RESCISSIONS)

For carrying out, except as otherwise provided, the Runaway and Homeless Youth Act, the Developmental Disabilities Assistance and Bill of Rights Act, the Head Start Act, the Child Abuse Prevention and Treatment Act, the Native American Programs Act of 1974, title II of Public Law 95-266 (adoption opportunities), the Adoption and Safe Families Act of 1997 (Public Law 105-89), the Abandoned Infants Assistance Act of 1988, part B(1) of title IV and sections 413, 429A, 1110, and 1115 of the Social Security Act; for making payments under the Community Services Block Grant Act, section 473A of the Social Security Act, and title IV of Public Law 105-285; and for necessary administrative expenses to carry out said Acts and titles I, IV, X, XI, XIV, XVI, and XX of the Social Security Act, the Act of July 5, 1960 (24 U.S.C. ch. 9), the Omnibus Budget Reconciliation Act of 1981, title IV of the Immigration and Nationality Act, section 501 of the Refugee Education Assistance Act of 1980, section 5 of the Torture Victims Relief Act of 1998 (Public Law 105-320), sections 40155, 40211, and 40241 of Public Law 103-322 and section 126 and titles IV and V of Public Law 100-485, **[\$6,734,133,000] \$7,671,590,000**, of which **[\$43,000,000] \$41,791,000**, to remain available until September 30, **[2001] 2002**, shall be for grants to States for adoption incentive payments, as authorized by section 473A of title IV of the Social Security Act (42 U.S.C. 670-679); of which **[\$587,065,000] \$540,500,000** shall be for making payments under the Community Services Block Grant Act; and of which **[\$5,267,000,000] \$6,267,000,000** shall be for making payments under the Head Start Act, of which \$1,400,000,000 shall become available October 1, **[2000] 2001** and remain available through September 30, **[2001: *Provided*, That to the extent Community Services Block Grant funds are distributed as grant funds by a State to an eligible entity as provided under the Act, and have not been expended by such entity, they shall remain with such entity for carryover into the next fiscal year for expenditure by such entity consistent with program purposes: *Provided further*, That the Secretary shall establish procedures regarding the disposition of intangible property which permits grant funds, or intangible assets acquired with funds authorized under section 680 of the Community Services Block Grant Act, as amended, to become the sole property**

**General and special funds—Continued**

**CHILDREN AND FAMILIES SERVICES PROGRAMS—Continued**

(INCLUDING RESCISSIONS)—Continued

of such grantees after a period of not more than 12 years after the end of the grant for purposes and uses consistent with the original grant: *Provided further*, That \$1,700,000,000 of the amount provided for making payments under the Head Start Act is hereby designated by Congress as an emergency requirement pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985: *Provided further*, That such funds shall be available only if the President submits to the Congress one official budget request for \$1,700,000,000 that includes designation of the entire amount as an emergency requirement pursuant to such section.] 2002.

[In addition, \$101,000,000, to be derived from the Violent Crime Reduction Trust Fund for carrying out sections 40155, 40211, and 40241 of Public Law 103-322.]

[Funds appropriated for fiscal year 2000 under section 429A(e), part B of title IV of the Social Security Act shall be reduced by \$6,000,000.

Funds appropriated for fiscal year 2000 under section 413(h)(1) of the Social Security Act shall be reduced by \$15,000,000.] (*Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

**Program and Financing (in millions of dollars)**

Identification code 75-1536-0-1-506	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.01 Head Start .....	4,658	3,867	6,267
Social services programs:			
Children, youth, and families:			
01.03 Runaway and homeless youth .....	44	44	44
01.04 Runaway youth transitional living .....	15	21	21
01.05 Strengthening of parent-adolescent relationships .....			10
01.07 Child abuse state grants .....	21	21	21
01.08 Child abuse discretionary grants .....	14	18	18
01.09 Community based resource centers .....	33	33	33
01.11 Abandoned infants assistance .....	12	12	12
01.14 Child welfare services .....	292	292	292
01.15 Child welfare training .....	7	7	7
01.16 Adoption initiative .....	20	42	42
01.17 Adoption opportunities .....	25	27	27
01.19 Family violence grants .....		17	
01.20 Social services and income maintenance re- search .....	26	28	7
Developmental disabilities:			
01.23 State grants .....	65	66	66
01.24 Protection and advocacy .....	27	28	28
01.25 Special projects .....	10	10	10
01.26 University affiliated projects .....	17	18	18
01.28 Native American programs .....	36	35	44
01.91 Subtotal social services programs .....	664	719	701
02.01 ACF Federal administration .....	144	148	165
02.93 Total Head Start, social services programs, Federal administration .....	5,466	4,734	7,132
Community services programs:			
03.01 Community services block grants .....	500	528	510
03.03 Community food and nutrition .....	5	6	
03.04 Community services discretionary .....	33	35	5
03.05 National youth sports .....	15	15	
03.06 Individual development accounts .....	10	10	25
03.91 Subtotal, community services .....	563	594	540
04.00 Total direct programs .....	6,029	5,328	7,672
09.01 Reimbursable program .....	14	14	14
10.00 Total new obligations .....	6,043	5,342	7,686
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year .....		1	
22.00 New budget authority (gross) .....	6,045	5,342	7,686
23.90 Total budgetary resources available for obligation .....	6,045	5,343	7,686
23.95 Total new obligations .....	-6,043	-5,342	-7,686
23.98 Unobligated balance expiring or withdrawn .....	-2		
24.40 Unobligated balance available, end of year .....	1		

**New budget authority (gross), detail:**

<b>Discretionary:</b>				
40.00	Appropriation .....	6,032	3,634	6,272
40.15	Appropriation (emergency) .....		1,700	
40.75	Reduction pursuant to P.L. 106-51 .....	-1		
40.76	Reduction pursuant to P.L. 106-113 .....		-6	
41.00	Transferred to other accounts .....	-2		
43.00	Appropriation (total discretionary) .....	6,029	5,328	6,272
55.00	Advance appropriation .....			1,400
<b>Spending authority from offsetting collections:</b>				
68.00	Offsetting collections (cash) .....	20	14	14
68.10	From Federal sources: Change in receivables and unpaid, unfilled orders .....	-4		
68.90	Spending authority from offsetting collections (total discretionary) .....	16	14	14
70.00	Total new budget authority (gross) .....	6,045	5,342	7,686

**Change in unpaid obligations:**

<b>Unpaid obligations, start of year:</b>				
72.40	Obligated balance, start of year .....	3,951	4,261	3,322
72.95	From Federal sources: Receivables and unpaid, unfilled orders .....	4		
72.99	Total unpaid obligations, start of year .....	3,955	4,261	3,322
73.10	Total new obligations .....	6,043	5,342	7,686
73.20	Total outlays (gross) .....	-5,723	-6,228	-7,027
73.40	Adjustments in expired accounts (net) .....	-15	-53	
<b>Unpaid obligations, end of year:</b>				
74.40	Obligated balance, end of year .....	4,261	3,322	3,981
74.95	From Federal sources: Receivables and unpaid, unfilled orders .....			
74.99	Total unpaid obligations, end of year .....	4,261	3,322	3,981

**Outlays (gross), detail:**

86.90	Outlays from new discretionary authority .....	2,442	2,668	4,106
86.93	Outlays from discretionary balances .....	3,281	3,560	2,921
87.00	Total outlays (gross) .....	5,723	6,228	7,027

**Offsets:**

<b>Against gross budget authority and outlays:</b>				
88.00	Offsetting collections (cash) from: Federal sources .....	-20	-14	-14
<b>Against gross budget authority only:</b>				
88.95	From Federal sources: Change in receivables and unpaid, unfilled orders .....	4		

**Net budget authority and outlays:**

89.00	Budget authority .....	6,029	5,328	7,672
90.00	Outlays .....	5,703	6,214	7,013

**Head Start.**—The proposed level will enable Head Start projects to continue comprehensive early childhood development services and is consistent with the President's goal to serve one million children by 2002, including a doubling of the number of infants and toddlers in Early Head Start. The proposed level will allow Head Start to expand beyond the 2000 enrollment level of 880,000 slots, adding approximately 60,000 pre-school slots and 10,000 Early Head Start slots in 2001, for total enrollment of nearly 950,000.

**Social services.**—The proposed level will continue to support State, local, and private efforts to provide developmental and other services for children and youth, grants to improve support networks for persons with developmental disabilities, and economic development assistance to Native American populations.

**Adoption incentive payments.**—The proposed level includes almost \$42 million for bonus payments to States that increase the number of children adopted from the foster care system.

**Individual development accounts (IDAs).**—The Administration will transmit legislation to allow low-income working families to use IDAs to save for a car that helps them get or keep a job.

**Object Classification** (in millions of dollars)

Identification code 75-1536-0-1-506	1999 actual	2000 est.	2001 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	90	94	104
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	92	96	106
12.1 Civilian personnel benefits .....	17	18	19
21.0 Travel and transportation of persons .....	2	3	4
23.1 Rental payments to GSA .....	13	14	15
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
24.0 Printing and reproduction .....	1	2	2
25.1 Advisory and assistance services .....	76	78	67
25.2 Other services .....	3	4	5
25.3 Purchases of goods and services from Government accounts .....	22	16	17
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	5,799	5,094	7,432
99.0 Subtotal, direct obligations .....	6,029	5,328	7,672
99.0 Reimbursable obligations .....	14	14	14
99.9 Total new obligations .....	6,043	5,342	7,686

**Personnel Summary**

Identification code 75-1536-0-1-506	1999 actual	2000 est.	2001 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....	1,507	1,498	1,560
<b>Allocation account:</b>			
3001 Total compensable workyears: Full-time equivalent employment .....	2	2	.....

**VIOLENT CRIME REDUCTION PROGRAMS**

For activities authorized by sections 40155, 40211, and 40241 of Public Law 103-322, \$134,074,000, to remain available until expended, of which \$116,918,000 shall be for Family Violence (including Battered Women's Shelters); \$14,999,000 for Education and Prevention grants to reduce sexual abuse of runaway, homeless and street youth; and \$2,157,000 for the National Domestic Violence Hotline.

**Program and Financing** (in millions of dollars)

Identification code 75-8605-0-1-754	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.01 Family violence/battered women's shelters and domestic violence hotline .....	89	86	119
00.02 Education and prevention grants to reduce sexual abuse of runaway, homeless and street youth .....	15	15	15
10.00 Total new obligations .....	104	101	134
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	105	101	134
23.95 Total new obligations .....	-104	-101	-134
23.98 Unobligated balance expiring or withdrawn .....	-1	.....	.....
<b>New budget authority (gross), detail:</b>			
<b>Discretionary:</b>			
40.00 Appropriation .....	.....	.....	134
42.00 Transferred from other accounts .....	105	101	.....
43.00 Appropriation (total discretionary) .....	105	101	134
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	82	116	114
73.10 Total new obligations .....	104	101	134
73.20 Total outlays (gross) .....	-70	-103	-112
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	116	114	136
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	19	32	43

86.93 Outlays from discretionary balances .....	51	71	69
87.00 Total outlays (gross) .....	70	103	112
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	105	101	134
90.00 Outlays .....	70	103	112

**Object Classification** (in millions of dollars)

Identification code 75-8605-0-1-754	1999 actual	2000 est.	2001 est.
25.1 Advisory and assistance services .....	2	2	3
41.0 Grants, subsidies, and contributions .....	102	99	131
99.9 Total new obligations .....	104	101	134

**CHILDREN'S RESEARCH AND TECHNICAL ASSISTANCE**

**Program and Financing** (in millions of dollars)

Identification code 75-1553-0-1-609	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.01 Training and technical assistance .....	13	15	12
00.02 Federal parent locator service .....	23	30	25
00.03 Child welfare study .....	.....	.....	6
00.04 Welfare research .....	.....	.....	15
00.05 Evaluation of welfare to work .....	9	.....	.....
00.06 Evaluation of abstinence education .....	3	.....	.....
09.01 Reimbursable program .....	4	9	13
09.09 Reimbursable program—subtotal line .....	4	9	13
10.00 Total new obligations .....	52	54	71
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year .....	3	8	2
22.00 New budget authority (gross) .....	56	48	71
22.10 Resources available from recoveries of prior year obligations .....	1	.....	.....
23.90 Total budgetary resources available for obligation .....	60	56	73
23.95 Total new obligations .....	-52	-54	-71
24.40 Unobligated balance available, end of year .....	8	2	2

**New budget authority (gross), detail:**

<b>Mandatory:</b>			
60.00 Appropriation .....	52	39	58
69.00 Offsetting collections (cash) .....	4	9	13
70.00 Total new budget authority (gross) .....	56	48	71

**Change in unpaid obligations:**

72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	65	59	44
73.10 Total new obligations .....	52	54	71
73.20 Total outlays (gross) .....	-57	-71	-68
73.40 Adjustments in expired accounts (net) .....	1	.....	.....
73.45 Adjustments in unexpired accounts .....	-1	.....	.....
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	59	44	47

**Outlays (gross), detail:**

86.97 Outlays from new mandatory authority .....	42	32	33
86.98 Outlays from mandatory balances .....	15	39	35
87.00 Total outlays (gross) .....	57	71	68

**Offsets:**

<b>Against gross budget authority and outlays:</b>			
88.40 Offsetting collections (cash) from: Non-Federal sources .....	-4	-9	-13

**Net budget authority and outlays:**

89.00 Budget authority .....	52	39	58
90.00 Outlays .....	53	62	55

**Summary of Budget Authority and Outlays**

(in millions of dollars)

Enacted/requested:	1999 actual	2000 est.	2001 est.
Budget Authority .....	52	39	58

**General and special funds—Continued**

**CHILDREN'S RESEARCH AND TECHNICAL ASSISTANCE—Continued**

**Summary of Budget Authority and Outlays—Continued**

(in millions of dollars)

	1999 actual	2000 est.	2001 est.
Outlays .....	53	62	55
Legislative proposal, subject to PAYGO:			
Budget Authority .....			1
Outlays .....			3
<b>Total:</b>			
Budget Authority .....	52	39	59
Outlays .....	53	62	58

This account provides funding for research and technical assistance activities established in P.L. 104-193. Amounts for child welfare study and welfare research are in addition to research amounts in the Children and families services program account.

**Object Classification (in millions of dollars)**

Identification code 75-1553-0-1-609	1999 actual	2000 est.	2001 est.
<b>Direct obligations:</b>			
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	3	5	13
25.2 Other services .....	11	14	11
25.3 Purchases of goods and services from Government accounts .....	26	15	14
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	2	4	13
99.0 Subtotal, direct obligations .....	48	45	58
99.0 Reimbursable obligations .....	4	9	13
99.9 Total new obligations .....	52	54	71

**CHILDREN'S RESEARCH AND TECHNICAL ASSISTANCE**

(Legislative proposal, subject to PAYGO)

**Program and Financing (in millions of dollars)**

Identification code 75-1553-4-1-609	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.02 Federal parent locator service .....			3
10.00 Total new obligations .....			3
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year .....			2
22.00 New budget authority (gross) .....			1
23.90 Total budgetary resources available for obligation .....			3
23.95 Total new obligations .....			-3
24.40 Unobligated balance available, end of year .....		2	
<b>New budget authority (gross), detail:</b>			
<b>Mandatory:</b>			
60.00 Appropriation .....			1
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year .....			
73.10 Total new obligations .....			3
73.20 Total outlays (gross) .....			-3
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....			1
86.98 Outlays from mandatory balances .....			2
87.00 Total outlays (gross) .....			3

**Net budget authority and outlays:**

89.00 Budget authority .....			1
90.00 Outlays .....			3

The legislative proposals for the child support enforcement program impact this account by changing the estimated funding levels for child support training and technical assistance and the Federal parent locator service. In addition, these proposals increase Federal administrative costs.

**Object Classification (in millions of dollars)**

Identification code 75-1553-4-1-609	1999 actual	2000 est.	2001 est.
25.2 Other services .....			1
31.0 Equipment .....			2
99.9 Total new obligations .....			3

**PAYMENTS TO STATES FOR FOSTER CARE AND ADOPTION ASSISTANCE**

For making payments to States or other non-Federal entities under title IV-E of the Social Security Act, **[\$4,307,300,000] \$4,863,100,000.** [of which \$105,000,000 shall be for making payments under sections 470 and 477 of title IV-E of the Social Security Act;]

For making payments to States or other non-Federal entities under title IV-E of the Social Security Act, for the first quarter of fiscal year **[2001, \$1,538,000,000] 2002, \$1,735,900,000.** (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

**Program and Financing (in millions of dollars)**

Identification code 75-1545-0-1-506	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.01 Foster care .....	3,982	4,537	5,063
00.02 Independent living .....	70	105	140
00.03 Adoption assistance .....	826	1,020	1,198
10.00 Total new obligations (object class 41.0) .....	4,878	5,662	6,401

**Budgetary resources available for obligation:**

22.00 New budget authority (gross) .....	4,922	5,662	6,401
23.95 Total new obligations .....	-4,878	-5,662	-6,401
23.98 Unobligated balance expiring or withdrawn .....	-43		

**New budget authority (gross), detail:**

<b>Mandatory:</b>			
60.00 Appropriation .....	3,764	4,307	4,863
65.00 Advance appropriation .....	1,158	1,355	1,538
70.00 Total new budget authority (gross) .....	4,922	5,662	6,401

**Change in unpaid obligations:**

72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	688	853	1,025
73.10 Total new obligations .....	4,878	5,662	6,401
73.20 Total outlays (gross) .....	-4,707	-5,490	-6,272
73.40 Adjustments in expired accounts (net) .....	-6		
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	853	1,025	1,153

**Outlays (gross), detail:**

86.97 Outlays from new mandatory authority .....	4,231	4,911	5,596
86.98 Outlays from mandatory balances .....	476	579	676
87.00 Total outlays (gross) .....	4,707	5,490	6,272

**Net budget authority and outlays:**

89.00 Budget authority .....	4,922	5,662	6,401
90.00 Outlays .....	4,707	5,490	6,272

**Summary of Budget Authority and Outlays**

(in millions of dollars)

	1999 actual	2000 est.	2001 est.
<b>Enacted/requested:</b>			
Budget Authority .....	4,922	5,662	6,401
Outlays .....	4,707	5,490	6,272
<b>Supplemental proposal:</b>			
Budget Authority .....		35	

Outlays .....	5	21	
Legislative proposal, subject to PAYGO:			
Budget Authority .....		5	
Outlays .....		1	
<b>Total:</b>			
Budget Authority .....	4,922	5,697	6,406
Outlays .....	4,707	5,495	6,294

**Foster care.**—The proposed level will support eligible low-income children who must be placed outside the home. An average of 341,700 children per month will be served in 2001.

**Adoption assistance.**—The proposed funding level will support subsidies for families adopting eligible low-income children with special needs. An average of 256,400 children per month will be served in 2001.

PAYMENTS TO STATES FOR FOSTER CARE AND ADOPTION ASSISTANCE  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-1545-4-1-506	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.01 Foster care .....			5
10.00 Total new obligations (object class 41.0) .....			5
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			5
23.95 Total new obligations .....			-5
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation .....			5
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year .....			
73.10 Total new obligations .....			5
73.20 Total outlays (gross) .....			-1
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....			4
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....			1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			5
90.00 Outlays .....			1

This proposal supports a five-year project to enhance Tribal management of child welfare services.

ADMINISTRATION ON AGING

Federal Funds

General and special funds:

AGING SERVICES PROGRAMS

For carrying out, to the extent not otherwise provided, the Older Americans Act of 1965, as amended, and section 398 of the Public Health Service Act, **[\$934,285,000: Provided, That notwithstanding section 308(b)(1) of the Older Americans Act of 1965, as amended, the amounts available to each State for administration of the State plan under title III of such Act shall be reduced not more than 5 percent below the amount that was available to such State for such purpose for fiscal year 1995:] \$1,083,619,000, of which not less than \$125,000,000 shall be for an expanded focus on respite and other assistance for families of vulnerable elderly, as authorized by section 341 of the Older Americans Act: Provided [further], That in considering grant applications for nutrition services for elder Indian recipients, the Assistant Secretary shall provide maximum flexibility to applicants who seek to take into account subsistence, local customs, and other characteristics that are appropriate to the unique**

cultural, regional, and geographic needs of the American Indian, Alaska and Hawaiian Native communities to be served. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

Program and Financing (in millions of dollars)

Identification code 75-0142-0-1-506	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
01.01 Supportive services and centers .....	300	310	450
01.02 Preventive health .....	16	16	16
01.05 Congregate meals .....	374	374	374
01.06 Home-delivered meals .....	112	147	147
01.07 In home services for the frail elderly .....	10		
01.08 Grants to Indian tribes .....	18	18	23
01.09 State and local innovations and projects of national significance .....	18	32	36
01.10 Federal administration .....	15	17	17
01.13 Alzheimer's disease demonstration grants to States .....	6	6	6
01.14 Grants to States for the protection of vulnerable older Americans .....	12	13	13
10.00 Total new obligations .....	882	933	1,084
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	882	933	1,084
23.95 Total new obligations .....	-882	-933	-1,084
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	882	934	1,084
40.76 Reduction pursuant to P.L. 106-113 .....		-1	
43.00 Appropriation (total discretionary) .....	882	933	1,084
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	259	260	307
73.10 Total new obligations .....	882	933	1,084
73.20 Total outlays (gross) .....	-879	-887	-1,020
73.40 Adjustments in expired accounts (net) .....			
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	260	307	371
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	639	649	745
86.93 Outlays from discretionary balances .....	240	237	275
87.00 Total outlays (gross) .....	879	887	1,020
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	882	933	1,084
90.00 Outlays .....	879	887	1,020

**Administration on Aging.**—The proposed level will provide continued funding for core formula grant programs that provide nutrition and supportive services through the aging network. Funding will also support an expanded focus on respite and other assistance for families of vulnerable elderly as authorized by section 341 of the Older Americans Act. These programs and the State and local innovations and projects of national significance are part of a comprehensive system of support for older people and their families.

Object Classification (in millions of dollars)

Identification code 75-0142-0-1-506	1999 actual	2000 est.	2001 est.
11.1 Personnel compensation: Full-time permanent .....	8	10	11
12.1 Civilian personnel benefits .....	1	2	2
23.1 Rental payments to GSA .....	1	1	1
25.2 Other services .....	5	5	6
41.0 Grants, subsidies, and contributions .....	867	915	1,064
99.9 Total new obligations .....	882	933	1,084

**General and special funds—Continued**

AGING SERVICES PROGRAMS—Continued

**Personnel Summary**

Identification code 75-0142-0-1-506	1999 actual	2000 est.	2001 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....	116	142	154
<b>Allocation account:</b>			
3001 Total compensable workyears: Full-time equivalent employment .....	4	5	13

**DEPARTMENTAL MANAGEMENT**

**Federal Funds**

**General and special funds:**

GENERAL DEPARTMENTAL MANAGEMENT

For necessary expenses, not otherwise provided, for general departmental management, including hire of six sedans, and for carrying out titles III, XVII, and XX of the Public Health Service Act, and the United States-Mexico Border Health Commission Act, [\$227,051,000, of which \$20,000,000 shall become available on October 1, 2000, and shall remain available until September 30, 2001] \$223,741,000, together with \$5,851,000, to be transferred and expended as authorized by section 201(g)(1) of the Social Security Act from the Hospital Insurance Trust Fund and the Supplemental Medical Insurance Trust Fund: *Provided*, That [\$450,000 shall be for a contract with the National Academy of Sciences to conduct a study of the proposed tuberculosis standard promulgated by the Occupational Safety and Health Administration: *Provided further*, That said contract shall be awarded not later than 60 days after the enactment of this Act: *Provided further*, That said study shall be submitted to the Congress not later than 12 months after award of the contract: *Provided further*, That of the funds made available under this heading for carrying out title XX of the Public Health Service Act, \$10,569,000 shall be for activities specified under section 2003(b)(2), of which \$9,131,000 shall be for prevention service demonstration grants under section 510(b)(2) of title V of the Social Security Act, as amended, without application of the limitation of section 2010(c) of said title XX: *Provided further*, That \$500,000 shall be available to the Office of the Surgeon General, within the Office of Public Health and Science, to prepare and disseminate the findings of the Surgeon General's report on youth violence, and to coordinate activities across the Department of Health and Human Services: *Provided further*, That the Secretary may transfer a portion of such funds to other Federal entities for youth violence prevention coordination activities: *Provided further*, That \$2,000,000 shall be available to the Lawton Chiles Foundation] \$20,000,000 appropriated under this heading in Public Law 106-113, to become available October 1, 2000, are hereby rescinded. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

OFFICE FOR CIVIL RIGHTS

For expenses necessary for the Office for Civil Rights, [\$18,838,000] \$20,742,000, together with not to exceed \$3,314,000, to be transferred and expended as authorized by section 201(g)(1) of the Social Security Act from the Hospital Insurance Trust Fund and the Supplemental Medical Insurance Trust Fund. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

POLICY RESEARCH

For carrying out, to the extent not otherwise provided, research studies under section 1110 of the Social Security Act, [\$17,000,000] \$16,738,000. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

PUBLIC HEALTH AND SOCIAL SERVICES EMERGENCY FUND

For public health and social services, \$264,600,000, of which \$208,600,000 is for expenses necessary to support activities related to countering potential biological, disease and chemical threats to

civilian populations[, \$214,600,000]: *Provided*, That this amount is distributed as follows: Centers for Disease Control and Prevention, [\$155,000,000, of which \$30,000,000 shall be for the Health Alert Network, \$1,000,000 shall be for the Carnegie Mellon Research Institute, \$1,000,000 shall be for the St. Louis University School of Public Health, \$1,000,000 shall be for the University of Texas Medical Branch at Galveston, \$1,000,000 shall be for the Noble Army Hospital of Alabama bioterrorism program and \$1,000,000 shall be for the Johns Hopkins University Center for Civilian Biodefense] \$148,500,000; Office of the Secretary, \$30,000,000; [Agency for Health Care Policy and Research, \$5,000,000,] and Office of Emergency Preparedness, [\$24,600,000. In addition, for expenses necessary for the portion of the Global Health Initiative conducted by the Centers for Disease Control and Prevention, \$69,000,000: *Provided further*, That this amount is distributed as follows: \$35,000,000 shall be for international HIV/AIDS programs, \$9,000,000 shall be for malaria programs, \$5,000,000 shall be for global micronutrient malnutrition programs and \$20,000,000 shall be for carrying out polio eradication activities. In addition, \$150,000,000 for carrying out the Department's Year 2000 computer conversion activities, \$5,000,000 for the environmental health laboratory at the Centers for Disease Control and Prevention, \$50,000,000] \$30,100,000: *Provided further*, That \$50,000,000 of this amount is for minority AIDS prevention and treatment activities[, \$20,000,000 for the National Institutes of Health challenge grant program, and \$75,000,000 to support the Ricky Ray Hemophilia Relief Fund Act of 1998: *Provided further*, That notwithstanding any other provision of law, up to \$10,000,000 of the amount provided for the Ricky Ray Hemophilia Relief Fund Act may be available for administrative expenses: *Provided further*, That the entire amount under this heading is hereby designated by the Congress to be emergency requirements pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That the entire amount under this heading shall be made available only after submission to the Congress of a formal budget request by the President that includes designation of the entire amount of the request as an emergency requirement as defined in the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That no funds shall be obligated until the Department of Health and Human Services submits an operating plan to the House and Senate Committees on Appropriations]. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

**Program and Financing (in millions of dollars)**

Identification code 75-9912-0-1-551	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
<b>Direct activities:</b>			
00.01 General departmental management .....	196	205	230
00.02 Office for Civil Rights .....	21	22	24
00.03 Policy research .....	14	17	17
00.04 Public health and social services emergency fund .....	465	688	265
09.01 Reimbursable program .....	98	98	103
10.00 Total new obligations .....	794	1,030	639
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year .....	21	105	.....
22.00 New budget authority (gross) .....	881	925	637
22.10 Resources available from recoveries of prior year obligations .....	2	.....	.....
22.21 Unobligated balance transferred to other accounts .....	.....	.....	.....
23.90 Total budgetary resources available for obligation .....	904	1,030	637
23.95 Total new obligations .....	-794	-1,030	-639
23.98 Unobligated balance expiring or withdrawn .....	-5	.....	.....
24.40 Unobligated balance available, end of year .....	105	.....	.....
<b>New budget authority (gross), detail:</b>			
<b>Discretionary:</b>			
40.00 Appropriation .....	221	826	526
40.15 Appropriation (emergency) .....	217	.....	.....
40.75 Reduction pursuant to P.L. 106-51 .....	-1	.....	.....
40.76 Reduction pursuant to P.L. 106-113 .....	.....	-2	.....
41.00 Transferred to other accounts .....	-1	.....	.....
42.00 Transferred from other accounts .....	337	.....	.....
43.00 Appropriation (total discretionary) .....	773	824	526
55.00 Advance appropriation .....	.....	.....	20
55.35 Advance appropriation rescinded .....	.....	.....	-20



55.90	Advance appropriation (total discretionary) .....			
Spending authority from offsetting collections:				
68.00	Offsetting collections (cash) .....	106	101	111
68.10	From Federal sources: Change in receivables and unpaid, unfilled orders .....	2		
68.90	Spending authority from offsetting collections (total discretionary) .....	109	101	111
70.00	Total new budget authority (gross) .....	881	925	637
<b>Change in unpaid obligations:</b>				
Unpaid obligations, start of year:				
72.40	Obligated balance, start of year .....	191	514	638
72.95	From Federal sources: Receivables and unpaid, unfilled orders .....	109	111	111
72.99	Total unpaid obligations, start of year .....	300	625	749
73.10	Total new obligations .....	794	1,030	639
73.20	Total outlays (gross) .....	-449	-906	-618
73.40	Adjustments in expired accounts (net) .....	-17		
73.45	Adjustments in unexpired accounts .....	-2		
Unpaid obligations, end of year:				
74.40	Obligated balance, end of year .....	514	638	659
74.95	From Federal sources: Receivables and unpaid, unfilled orders .....	111	111	111
74.99	Total unpaid obligations, end of year .....	625	749	770
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new discretionary authority .....	363	508	285
86.93	Outlays from discretionary balances .....	86	398	333
87.00	Total outlays (gross) .....	449	906	618
<b>Offsets:</b>				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-106	-101	-111
Against gross budget authority only:				
88.95	From Federal sources: Change in receivables and unpaid, unfilled orders .....	-2		
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	773	824	526
90.00	Outlays .....	343	805	507

(Dollars in millions)

	1999 actual	2000 est.	2001 est.
<b>Distribution of budget authority by account:</b>			
General departmental management .....	193	206	224
Office for Civil Rights .....	17	19	21
Policy research .....	14	17	17
Public Health and Social Services Emergency Fund .....	547	583	265
Year 2000 computer conversion .....	324	150	0
Bioterrorism .....	140	214	209
Minority AIDS .....	50	50	50
Other .....	33	169	6
<b>Distribution of outlays by account:</b>			
General departmental management .....	179	182	213
Office for Civil Rights .....	18	21	24
Policy research .....	15	13	16
Public Health and Social Services Emergency Fund .....	131	589	254

Departmental management (DM) is a consolidated display of accounts that fund activities which provide leadership, policy, legal, and administrative guidance to HHS components; carry out the Department's civil rights and nondiscrimination enforcement programs; and support research to develop policy initiatives and improve existing HHS programs. DM also includes the activities of the Office of Public Health and Science, including adolescent family life, disease prevention and health promotion, physical fitness and sports, minority health, research integrity, women's health, and emergency preparedness. These accounts will continue to be appropriated separately, and are being displayed in a consolidated format to improve the readability of the presentation. Detailed information will continue to be available through the Department of Health and Human Services.

The Public health and social services emergency fund supports two main activities: preparing for and responding to the medical and public health consequences of potential bioterrorist events and minority AIDS prevention and treatment.

In FY 2000 it also supported Year 2000 computer conversion activities and some activities that are being requested within HHS operating divisions in FY 2001.

**Object Classification** (in millions of dollars)

Identification code 75-9912-0-1-551	1999 actual	2000 est.	2001 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	80	93	102
11.3	Other than full-time permanent .....	6	7	8
11.5	Other personnel compensation .....	2	2	2
11.9	Total personnel compensation .....	88	102	112
12.1	Civilian personnel benefits .....	18	21	23
21.0	Travel and transportation of persons .....	3	4	4
23.1	Rental payments to GSA .....	11	13	14
23.3	Communications, utilities, and miscellaneous charges .....	4	4	4
24.0	Printing and reproduction .....	1	1	1
25.1	Advisory and assistance services .....	7	1	1
25.2	Other services .....	36	34	51
25.3	Purchases of goods and services from Government accounts .....	28	23	27
25.4	Operation and maintenance of facilities .....	4	3	3
25.5	Research and development contracts .....	2	35	34
25.7	Operation and maintenance of equipment .....	3	5	5
26.0	Supplies and materials .....	3	4	4
31.0	Equipment .....	4	6	7
41.0	Grants, subsidies, and contributions .....	49	101	41
99.0	Subtotal, direct obligations .....	261	357	331
99.0	Reimbursable obligations .....	98	98	103
<b>Allocation Account:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	17	17	9
11.3	Other than full-time permanent .....		2	
11.5	Other personnel compensation .....		1	
11.9	Total personnel compensation .....	17	20	9
12.1	Civilian personnel benefits .....	7	6	2
21.0	Travel and transportation of persons .....	3	5	1
22.0	Transportation of things .....		1	
23.1	Rental payments to GSA .....		2	2
23.3	Communications, utilities, and miscellaneous charges .....	4	12	
24.0	Printing and reproduction .....	1	4	
25.1	Advisory and assistance services .....	12	1	
25.2	Other services .....	125	220	65
25.3	Purchases of goods and services from Government accounts .....	4		
25.5	Research and development contracts .....	7	2	2
25.6	Medical care .....	54	154	
25.7	Operation and maintenance of equipment .....	18		
26.0	Supplies and materials .....	1	2	1
31.0	Equipment .....	49	10	8
41.0	Grants, subsidies, and contributions .....	133	136	115
99.0	Subtotal, allocation account .....	435	575	205
99.9	Total new obligations .....	794	1,030	639

**Personnel Summary**

Identification code 75-9912-0-1-551	1999 actual	2000 est.	2001 est.	
<b>Direct:</b>				
1001	Total compensable workyears: Full-time equivalent employment .....	1,250	1,412	1,498
<b>Reimbursable:</b>				
2001	Total compensable workyears: Full-time equivalent employment .....	177	184	201
<b>Allocation account:</b>				
3001	Total compensable workyears: Full-time equivalent employment .....	56	63	66

**ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS**

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriation as follows: Health Care Financing Administration: "Health Care Fraud and Abuse Control Account."

**PROGRAM SUPPORT CENTER**

**General and special funds:**

**RETIREMENT PAY AND MEDICAL BENEFITS FOR COMMISSIONED OFFICERS**

For retirement pay and medical benefits of Public Health Service Commissioned Officers as authorized by law, for payments under the Retired Serviceman's Family Protection Plan and Survivor Benefit Plan, for medical care of dependents and retired personnel under the Dependents' Medical Care Act (10 U.S.C. ch. 55), and for payments pursuant to section 229(b) of the Social Security Act (42 U.S.C. 429(b)), such amounts as may be required during the current fiscal year. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

**Program and Financing (in millions of dollars)**

Identification code 75-0379-0-1-551	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.01 Retirement payments .....	159	172	176
00.02 Survivors' benefits .....	12	12	12
00.03 Medical care .....	29	30	31
00.04 Military service credits .....	2	1	1
10.00 Total new obligations .....	202	215	220
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	202	215	220
23.95 Total new obligations .....	-202	-215	-220
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.05 Appropriation (indefinite) .....	202	215	220
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	23	23	23
73.10 Total new obligations .....	202	215	220
73.20 Total outlays (gross) .....	-202	-213	-219
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	23	23	24
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	184	197	201
86.98 Outlays from mandatory balances .....	18	18	18
87.00 Total outlays (gross) .....	202	213	219
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	202	215	220
90.00 Outlays .....	202	213	219

This activity funds annuities of retired Public Health Service (PHS) commissioned officers and survivors of retirees, and medical care to active duty PHS commissioned officers, retirees, and dependents of members and retirees of the PHS Commissioned Corps.

**Object Classification (in millions of dollars)**

Identification code 75-0379-0-1-551	1999 actual	2000 est.	2001 est.
13.0 Benefits for former personnel .....	171	184	188
25.2 Other services .....	29	30	31
25.3 Purchases of goods and services from Government accounts .....	2	1	1
99.9 Total new obligations .....	202	215	220

**HEALTH ACTIVITIES FUNDS**

**Program and Financing (in millions of dollars)**

Identification code 75-9913-0-1-552	1999 actual	2000 est.	2001 est.
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	10	10	10

74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	10	10	10
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**Net budget authority and outlays:**

89.00 Budget authority .....			
90.00 Outlays .....			

This display shows activities in support of St. Elizabeths Hospital and scientific activities overseas that were supported by foreign currencies by the United States abroad.

**Intragovernmental funds:**

**HHS SERVICE AND SUPPLY FUND**

**Program and Financing (in millions of dollars)**

Identification code 75-9941-0-4-551	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
09.01 Program support center .....	307	311	326
09.02 Federal employee occupational health .....	85	93	101
09.03 OS activities .....	7	7	7
10.00 Total new obligations .....	399	411	434
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year .....	35	57	57
22.00 New budget authority (gross) .....	421	411	434
23.90 Total budgetary resources available for obligation .....	456	468	491
23.95 Total new obligations .....	-399	-411	-434
24.40 Unobligated balance available, end of year .....	57	57	57
<b>New budget authority (gross), detail:</b>			
Mandatory:			
69.00 Offsetting collections (cash) .....	376	411	434
69.10 From Federal sources: Change in receivables and unpaid, unfilled orders .....	45		
69.90 Spending authority from offsetting collections (total mandatory) .....	421	411	434
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Obligated balance, start of year .....	-41	-108	-108
72.95 From Federal sources: Receivables and unpaid, unfilled orders .....	218	263	263
72.99 Total unpaid obligations, start of year .....	177	155	155
73.10 Total new obligations .....	399	411	434
73.20 Total outlays (gross) .....	-421	-411	-434
Unpaid obligations, end of year:			
74.40 Obligated balance, end of year .....	-108	-108	-108
74.95 From Federal sources: Receivables and unpaid, unfilled orders .....	263	263	263
74.99 Total unpaid obligations, end of year .....	155	155	155
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	421	411	434
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-376	-411	-434
Against gross budget authority only:			
88.95 From Federal sources: Change in receivables and unpaid, unfilled orders .....	-45		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	44		

The HHS Service and supply fund (SSF) provides common centralized services to HHS components. The Program support center (PSC) line includes activities such as personnel and payroll administration, financial management operations, and a wide array of administrative services, including acquisitions management, building and property management, and technical support. The Office of the Secretary (OS) activities line includes the fund manager, departmental contracts and

grants, audit resolution, OS graphics, and the regional health administrators. The Federal employee occupational health (FOH) program is also funded through the SSF. FOH includes clinical services, environmental health services and employee assistance programs.

**Object Classification** (in millions of dollars)

Identification code 75-9941-0-4-551	1999 actual	2000 est.	2001 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent	56	60	64
11.3 Other than full-time permanent	9	10	10
11.5 Other personnel compensation	3	3	3
11.9 Total personnel compensation	68	73	77
12.1 Civilian personnel benefits	12	14	15
21.0 Travel and transportation of persons	2	2	3
22.0 Transportation of things	2	2	2
23.1 Rental payments to GSA	9	10	10
23.3 Communications, utilities, and miscellaneous charges	38	43	44
24.0 Printing and reproduction	2	2	2
25.1 Advisory and assistance services	2	3	3
25.2 Other services	86	93	98
25.3 Purchases of goods and services from Government accounts	57	36	36
26.0 Supplies and materials	113	128	138
31.0 Equipment	8	5	6
99.9 Total new obligations	399	411	434

**Personnel Summary**

Identification code 75-9941-0-4-551	1999 actual	2000 est.	2001 est.
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment	1,179	1,284	1,290
<b>Allocation account:</b>			
3001 Total compensable workyears: Full-time equivalent employment	29		

**Trust Funds**

**MISCELLANEOUS TRUST FUNDS**

**Unavailable Collections** (in millions of dollars)

Identification code 75-9971-0-7-551	1999 actual	2000 est.	2001 est.
<b>Balance, start of year:</b>			
01.99 Balance, start of year			
<b>Receipts:</b>			
02.01 Contributions, Indian health facilities, Health Services Administration	25	25	25
02.02 Gifts and contributions, miscellaneous trust funds	26	26	26
02.03 Interest, miscellaneous trust funds	1	1	1
02.99 Total receipts	52	52	52
<b>Appropriation:</b>			
05.01 Miscellaneous trust funds	-52	-52	-52
07.99 Total balance, end of year			

**Program and Financing** (in millions of dollars)

Identification code 75-9971-0-7-551	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.02 Gifts	23	27	27
00.03 Contributions, Indian health facilities	12	25	25
10.00 Total new obligations	35	52	52
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year	27	47	47
22.00 New budget authority (gross)	52	52	52
22.10 Resources available from recoveries of prior year obligations	3		
23.90 Total budgetary resources available for obligation	82	99	99
23.95 Total new obligations	-35	-52	-52
24.40 Unobligated balance available, end of year	47	47	47

**New budget authority (gross), detail:**

Mandatory:			
60.27 Appropriation (trust fund, indefinite)	52	52	52
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year	96	68	65
73.10 Total new obligations	35	52	52
73.20 Total outlays (gross)	-60	-55	-53
73.45 Adjustments in unexpired accounts	-3		
74.40 Unpaid obligations, end of year: Obligated balance, end of year	68	65	64

**Outlays (gross), detail:**

86.97 Outlays from new mandatory authority	8	13	13
86.98 Outlays from mandatory balances	52	42	40
87.00 Total outlays (gross)	60	55	53

**Net budget authority and outlays:**

89.00 Budget authority	52	52	52
90.00 Outlays	60	55	53

**Memorandum (non-add) entries:**

92.01 Total investments, start of year: U.S. securities: Par value	13	15	15
92.02 Total investments, end of year: U.S. securities: Par value	15	15	15

(Dollars in millions)

	1999	2000	2001
<b>Distribution of budget authority by account:</b>			
Gifts	27	27	27
Contributions, Indian health facilities	25	25	25
<b>Distribution of outlays by account:</b>			
Gifts	24	20	24
Contributions, Indian health facilities	36	35	29

Gifts to the Public health service are for the benefit of patients and for research. Contributions are made for the construction, improvement, extension, and provision of sanitation facilities.

**Object Classification** (in millions of dollars)

Identification code 75-9971-0-7-551	1999 actual	2000 est.	2001 est.
11.3 Personnel compensation: Other than full-time permanent	2	2	2
12.1 Civilian personnel benefits	1	1	1
21.0 Travel and transportation of persons	1	2	2
22.0 Transportation of things	1	2	2
25.2 Other services	2	3	3
25.3 Purchases of goods and services from Government accounts	1	2	2
25.4 Operation and maintenance of facilities	2	3	3
25.5 Research and development contracts	5	8	8
25.7 Operation and maintenance of equipment	4	6	6
26.0 Supplies and materials	2	3	3
31.0 Equipment	1	1	1
32.0 Land and structures	4	5	5
41.0 Grants, subsidies, and contributions	9	14	14
99.9 Total new obligations	35	52	52

**Personnel Summary**

Identification code 75-9971-0-7-551	1999 actual	2000 est.	2001 est.
1001 Total compensable workyears: Full-time equivalent employment	61	61	61

**OFFICE OF THE INSPECTOR GENERAL**

**General and special funds:**

OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, **[\$31,500,000] \$33,849,000.** (Department of Health and Human Services Appropriations Act, 2000, as enacted by section

**General and special funds—Continued**

OFFICE OF INSPECTOR GENERAL—Continued

1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113.)

**Program and Financing** (in millions of dollars)

Identification code 75-0128-0-1-551	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.01 Direct program .....	29	31	34
09.01 Reimbursable program .....	14	22	23
10.00 Total new obligations .....	43	53	57
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year .....	1	1	1
22.00 New budget authority (gross) .....	43	53	57
23.90 Total budgetary resources available for obligation .....	44	54	58
23.95 Total new obligations .....	-43	-53	-57
24.40 Unobligated balance available, end of year .....	1	1	1
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	29	31	34
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash) .....	24	22	23
68.10 From Federal sources: Change in receivables and unpaid, unfilled orders .....	-10		
68.90 Spending authority from offsetting collections (total discretionary) .....	14	22	23
70.00 Total new budget authority (gross) .....	43	53	57
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Obligated balance, start of year .....	3	-3	5
72.95 From Federal sources: Receivables and unpaid, unfilled orders .....	14	4	4
72.99 Total unpaid obligations, start of year .....	17	1	9
73.10 Total new obligations .....	43	53	57
73.20 Total outlays (gross) .....	-58	-45	-58
Unpaid obligations, end of year:			
74.40 Obligated balance, end of year .....	-3	5	4
74.95 From Federal sources: Receivables and unpaid, unfilled orders .....	4	4	4
74.99 Total unpaid obligations, end of year .....	1	9	8
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	40	49	54
86.93 Outlays from discretionary balances .....	18	-4	4
87.00 Total outlays (gross) .....	58	45	58
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources Against gross budget authority only:	-24	-22	-23
88.95 From Federal sources: Change in receivables and unpaid, unfilled orders .....	10		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	29	31	34
90.00 Outlays .....	34	23	35

The Office of Inspector General (OIG) identifies and recommends actions to correct fraud, waste, and abuse in HHS administered and assisted programs and operations through audits and investigations.

In addition to the discretionary resources appropriated to the OIG, the Health Insurance Portability and Accountability Act of 1996 makes available mandatory funding for use by the OIG to combat Medicare fraud and abuse through a coordinated Health care fraud and abuse control (HCFAC) program with the Department of Justice. The following table shows total funding resources for the OIG:

(In millions of dollars)

	1999 actual	2000 est.	2001 est.
<b>Budget Authority:</b>			
Discretionary appropriations .....	29	31	34
Mandatory (HCFAC Account) .....	100	124	124
Total .....	129	155	158
<b>Outlays:</b>			
Discretionary appropriations .....	34	23	35
Mandatory (HCFAC Account) .....	84	124	124
Total .....	118	147	159

This schedule reflects estimated distribution of mandatory funds from the HCFAC account in FY 2001. Actual FY 2001 distribution will be determined by the Secretary of HHS and the Attorney General.

**Object Classification** (in millions of dollars)

Identification code 75-0128-0-1-551	1999 actual	2000 est.	2001 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	16	18	20
12.1 Civilian personnel benefits .....	4	4	5
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	2	2	2
25.3 Purchases of goods and services from Government accounts .....	4	4	4
31.0 Equipment .....	1	1	1
99.0 Subtotal, direct obligations .....	29	31	34
99.0 Reimbursable obligations .....	14	22	23
99.9 Total new obligations .....	43	53	57

**Personnel Summary**

Identification code 75-0128-0-1-551	1999 actual	2000 est.	2001 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....	275	285	306
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment .....	56	93	90
<b>Allocation account:</b>			
Total compensable workyears:			
Full-time equivalent employment:			
3001 Full-time equivalent employment .....	882	1,054	1,143
3001 Full-time equivalent employment .....	60		

**ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS**

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriations as follows: Health Care Financing Administration: "Health Care Fraud and Abuse Control Account."

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	1999 actual	2000 est.	2001 est.
<b>Offsetting receipts from the public:</b>			
75-310700 Federal share of child support collections .....	868	851	850
Legislative proposal, subject to PAYGO .....			22
General Fund Offsetting receipts from the public .....	868	851	872

**GENERAL PROVISIONS**

SEC. 201. Funds appropriated in this title shall be available for not to exceed \$37,000 for official reception and representation expenses when specifically approved by the Secretary.

SEC. 202. The Secretary shall make available through assignment not more than 60 employees of the Public Health Service to assist in child survival activities and to work in AIDS programs through and with funds provided by the Agency for International Develop-

ment, the United Nations International Children's Emergency Fund or the World Health Organization.

SEC. 203. None of the funds appropriated under this Act may be used to implement section 399L(b) of the Public Health Service Act or section 1503 of the National Institutes of Health Revitalization Act of 1993, Public Law 103-43.

SEC. 204. None of the funds appropriated in this Act for the National Institutes of Health and the Substance Abuse and Mental Health Services Administration shall be used to pay the salary of an individual, through a grant or other extramural mechanism, at a rate in excess of Executive Level II.

SEC. 205. [None of the funds appropriated in this Act may be expended pursuant to section 241 of the Public Health Service Act, except for funds specifically provided for in this Act, or for other taps and assessments made by any office located in the Department of Health and Human Services, prior to the Secretary's preparation and submission of a report to the Committee on Appropriations of the Senate and of the House detailing the planned uses of such funds.] *Notwithstanding section 241(a) of the Public Health Service Act, such portion as the Secretary shall determine, but not more than 1.6 percent, of any amounts appropriated for programs authorized under the PHS Act shall be made available for the evaluation (directly or by grants or contracts) of the implementation and effectiveness of such programs.*

(TRANSFER OF FUNDS)

SEC. 206. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985, as amended) which are appropriated for the current fiscal year for the Department of Health and Human Services in this Act may be transferred between appropriations, but no such appropriation shall be increased by more than 3 percent by any such transfer: *Provided*, That the Appropriations Committees of both Houses of Congress are notified at least 15 days in advance of any transfer.

SEC. 207. The Director of the National Institutes of Health, jointly with the Director of the Office of AIDS Research, may transfer up to 3 percent among institutes, centers, and divisions from the total amounts identified by these two Directors as funding for research pertaining to the human immunodeficiency virus: *Provided*, That the Congress is promptly notified of the transfer.

[SEC. 208. Of the amounts made available in this Act for the National Institutes of Health, the amount for research related to the human immunodeficiency virus, as jointly determined by the Director of the National Institutes of Health and the Director of the Office of AIDS Research, shall be made available to the "Office of AIDS Research" account. The Director of the Office of AIDS Research shall transfer from such account amounts necessary to carry out section 2353(d)(3) of the Public Health Service Act.]

SEC. [209] 208. None of the funds appropriated in this Act may be made available to any entity under title X of the Public Health Service Act unless the applicant for the award certifies to the Secretary that it encourages family participation in the decision of minors to seek family planning services and that it provides counseling to minors on how to resist attempts to coerce minors into engaging in sexual activities.

[SEC. 210. The final rule entitled "Organ Procurement and Transplantation Network", promulgated by the Secretary of Health and Human Services on April 2, 1998 (63 Fed. Reg. 16295 et seq.) (relating to part 121 of title 42, Code of Federal Regulations), together with the amendments to such rules promulgated on October 20, 1999 (64 Fed. Reg. 56649 et seq.) shall not become effective before the expiration of the 42 day period beginning on the date of the enactment of this Act.]

SEC. [211] 209. None of the funds appropriated by this Act (including funds appropriated to any trust fund) may be used to carry out the Medicare+Choice program if the Secretary denies participation in such program to an otherwise eligible entity (including a Provider Sponsored Organization) because the entity informs the Secretary that it will not provide, pay for, provide coverage of, or provide referrals for abortions: *Provided*, That the Secretary shall make appropriate prospective adjustments to the capitation payment to such an entity (based on an actuarially sound estimate of the expected costs of providing the service to such entity's enrollees): *Provided further*, That nothing in this section shall be construed to change the Medicare program's coverage for such services and a Medicare+Choice organization described in this section shall be responsible for informing enrollees where to obtain information about all Medicare covered services.

[SEC. 212. (a) MENTAL HEALTH.—Section 1918(b) of the Public Health Service Act (42 U.S.C. 300x-7(b)) is amended to read as follows:

"(b) MINIMUM ALLOTMENTS FOR STATES.—With respect to fiscal year 2000, the amount of the allotment of a State under section 1911 shall not be less than the amount the State received under section 1911 for fiscal year 1998."

(b) SUBSTANCE ABUSE.—Section 1933(b) of the Public Health Service Act (42 U.S.C. 300x-33(b)) is amended to read as follows:

"(b) MINIMUM ALLOTMENTS FOR STATES.—Each State's allotment for fiscal year 2000 for programs under this subpart shall be equal to such State's allotment for such programs for fiscal year 1999, except that, if the amount appropriated in fiscal year 2000 is less than the amount appropriated in fiscal year 1999, then the amount of a State's allotment under section 1921 shall be equal to the amount that the State received under section 1921 in fiscal year 1999 decreased by the percentage by which the amount appropriated for fiscal year 2000 is less than the amount appropriated for such section for fiscal year 1999."

SEC. [213] 210. Notwithstanding any other provision of law, no provider of services under title X of the Public Health Service Act shall be exempt from any State law requiring notification or the reporting of child abuse, child molestation, sexual abuse, rape, or incest.

[SEC. 214. EXTENSION OF CERTAIN ADJUDICATION PROVISIONS.—The Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1990 (Public Law 101-167) is amended—

(1) in section 599D (8 U.S.C. 1157 note)—

(A) in subsection (b)(3), by striking "1997, 1998, and 1999" and inserting "1997, 1998, 1999, and 2000"; and

(B) in subsection (e), by striking "October 1, 1999" each place it appears and inserting "October 1, 2000"; and

(2) in section 599E (8 U.S.C. 1255 note) in subsection (b)(2), by striking "September 30, 1999" and inserting "September 30, 2000".]

[SEC. 215. None of the funds provided in this Act or in any other Act making appropriations for fiscal year 2000 may be used to administer or implement in Arizona or in the Kansas City, Missouri or in the Kansas City, Kansas area the Medicare Competitive Pricing Demonstration Project (operated by the Secretary of Health and Human Services under authority granted in section 4011 of the Balanced Budget Act of 1997 (Public Law 105-33)).]

[SEC. 216. Of the funds appropriated for the National Institutes of Health for fiscal year 2000, \$3,000,000,000 shall not be available for obligation until September 29, 2000. Of the funds appropriated for the Health Resources and Services Administration for fiscal year 2000, \$450,000,000 shall not be available for obligation until September 29, 2000. Of the funds appropriated for the Centers for Disease Control and Prevention for fiscal year 2000, \$500,000,000 shall not be available for obligation until September 29, 2000. Of the funds appropriated for the Children and Families Services Programs for fiscal year 2000, \$400,000,000 shall not be available for obligation until September 29, 2000. Of the funds appropriated for the Social Services Block Grant for fiscal year 2000, \$425,000,000 shall not be available for obligation until September 29, 2000. Of the funds appropriated for the Substance Abuse and Mental Health Services Administration for fiscal year 2000, \$200,000,000 shall not be available for obligation until September 29, 2000. Such funds delayed by this section shall be available for obligation until October 15, 2000.]

[SEC. 217. STUDY AND REPORT ON THE GEOGRAPHIC ADJUSTMENT FACTORS UNDER THE MEDICARE PROGRAM. (a) STUDY.—The Secretary of Health and Human Services shall conduct a study on—

(1) the reasons why, and the appropriateness of the fact that, the geographic adjustment factor (determined under paragraph (2) of section 1848(e) (42 U.S.C. 1395w-4(e)) used in determining the amount of payment for physicians' services under the Medicare program is less for physicians' services provided in New Mexico than for physicians' services provided in Arizona, Colorado, and Texas; and

(2) the effect that the level of the geographic cost-of-practice adjustment factor (determined under paragraph (3) of such section) has on the recruitment and retention of physicians in small rural States, including New Mexico, Iowa, Louisiana, and Arkansas.

(b) REPORT.—Not later than 3 months after the date of the enactment of this Act, the Secretary of Health and Human Services shall submit a report to Congress on the study conducted under subsection

(a), together with any recommendations for legislation that the Secretary determines to be appropriate as a result of such study.】

【SEC. 218. WITHHOLDING OF SUBSTANCE ABUSE FUNDS. (a) IN GENERAL.—None of the funds appropriated by this Act may be used to withhold substance abuse funding from a State pursuant to section 1926 of the Public Health Service Act (42 U.S.C. 300x-26) if such State certifies to the Secretary of Health and Human Services that the State will commit additional State funds, in accordance with subsection (b), to ensure compliance with State laws prohibiting the sale of tobacco products to individuals under 18 years of age.

(b) AMOUNT OF STATE FUNDS.—The amount of funds to be committed by a State under subsection (a) shall be equal to 1 percent of such State's substance abuse block grant allocation for each percentage point by which the State misses the retailer compliance rate goal established by the Secretary of Health and Human Services under section 1926 of such Act, except that the Secretary may agree to a smaller commitment of additional funds by the State.

(c) SUPPLEMENT NOT SUPPLANT.—Amounts expended by a State pursuant to a certification under subsection (a) shall be used to supplement and not supplant State funds used for tobacco prevention programs and for compliance activities described in such subsection in the fiscal year preceding the fiscal year to which this section applies.

(d) ENFORCEMENT OF STATE EXPENDITURE.—The Secretary shall exercise discretion in enforcing the timing of the State expenditure required by the certification described in subsection (a) as late as July 31, 2000.】<sup>1</sup>

【SEC. 219. None of the funds made available under this title may be used to carry out the transmittal of August 13, 1997 (relating to self-administered drugs) of the Deputy Director of the Division of Acute Care of the Health Care Financing Administration to regional offices of such Administration or to promulgate any regulation or other transmittal or policy directive that has the effect of imposing (or clarifying the imposition of) a restriction on the coverage of injectable drugs under section 1861(s)(2) of the Social Security Act beyond the restrictions applied before the date of such transmittal.】

<sup>1</sup>The Administration proposes to delete this provision and will work with the Congress to address this issue.

【SEC. 220. In accordance with section 1557 of title 31, United States Code, funds obligated and awarded in fiscal years 1994 and 1995 under the heading “National Cancer Institute” for the Cancer Therapy and Research Center in San Antonio, Texas, grant numbers 1 C06 CA58690-01 and 3 C06 CA58690-01S1, shall be exempt from subchapter IV of chapter 15 of such title and the obligated unexpended dollars shall remain available to the grantee for expenditure without fiscal year limitation to fulfill the purpose of the award.】

【SEC. 221. Not later than January 15, 2000, the Secretary of Health and Human Services shall transfer \$20,000,000 from the appropriation in this Act for “National Institutes of Health—National Institute of Allergy and Infectious Diseases” to the appropriation in this Act for “Centers for Disease Control and Prevention—Disease Control, Research, and Training”.】

SEC. 211. *Funds provided in Public Law 106-31 under the heading, “Refugee and Entrant Assistance,” shall be available until expended, remain subject to the first and second provisos under that heading, and are available for costs of assisting in the resettlement of refugees and entrants—*

*(1)(A) under section 412(b)(3) of the Immigration and Nationality Act, and 45 CFR 400.205 and 45 CFR 400.207;*

*(B) under 45 CFR 400.203(b) and 45 CFR 400.204(b), to maintain the period of time-eligibility in effect under 45 CFR 400.211 as of the end of the preceding fiscal year; and*

*(C) under section 412(c)(1) of the Immigration and Nationality Act and section 7 of Public Law 99-605 (8 USC 1522 note) in the event of refugee arrivals in excess of those anticipated in the annual consultation under section 207(d) of the Immigration and Nationality Act; and*

*(2) under section 501(a)(1) of the Refugee Education Assistance Act of 1980 for costs comparable to costs specified in paragraph (1): Provided, That an unanticipated mass migration of eligible populations may be considered for purposes of paragraph 1(C) to be comparable to refugee arrivals in excess of those anticipated in the annual consultation under section 207(d) of the Immigration and Nationality Act. (Department of Health and Human Services Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)*