

# DEPARTMENT OF LABOR

## EMPLOYMENT AND TRAINING ADMINISTRATION

### Federal Funds

#### General and special funds:

##### TRAINING AND EMPLOYMENT SERVICES

For *necessary* expenses [necessary to carry into effect] of the Job Training Partnership Act, as amended, including the purchase and hire of passenger motor vehicles, the construction, alteration, and repair of buildings and other facilities, and the purchase of real property for training centers as authorized by the Job Training Partnership Act; the *Stewart B. McKinney Homeless Assistance Act*; the *Women in Apprenticeship and Nontraditional Occupations Act*; the *National Skill Standards Act of 1994*; and the *School-to-Work Opportunities Act*; [\$4,719,703,000] \$5,295,318,000 plus reimbursements, of which [\$3,559,408,000] \$4,102,680,000 is available for obligation for the period July 1, [1997] 1998 through June 30, [1998] 1999, of which [\$88,685,000] \$118,491,000 is available for the period July 1, [1997] 1998 through June 30, [2000] 2001 for necessary expenses of construction, rehabilitation, and acquisition of Job Corps centers; and of which \$200,000,000 shall be available from July 1, [1997] 1998 through September 30, [1998] 1999, for carrying out activities of the *School-to-Work Opportunities Act*: *Provided*, That \$52,502,000 shall be for carrying out section 401 of the *Job Training Partnership Act*, \$69,285,000 shall be for carrying out section 402 of such Act, \$7,300,000 shall be for carrying out section 441 of such Act, [\$8,000,000] \$5,000,000 shall be for all activities conducted by and through the *National Occupational Information Coordinating Committee* under such Act, [\$895,000,000] \$1,063,990,000 shall be for carrying out title II, part A of such Act, and [\$126,672,000] \$129,965,000 shall be for carrying out title II, part C of such Act: *Provided further*, That no funds from any other appropriation shall be used to provide meal services at or for Job Corps centers: *Provided further*, That funds provided [to carry out] for title III of the *Job Training Partnership Act* shall not be subject to the limitation contained in subsection (b) of section 315 of such Act; that the waiver [allowing a reduction in the cost limitation relating to retraining services] described in [subsection (a)(2) of such section] section 315(a)(2) may be granted [with respect to funds from this Act] if a substate grantee demonstrates to the Governor that such waiver is appropriate due to the availability of low-cost retraining services, is necessary to facilitate the provision of needs-related payments to accompany long-term training, or is necessary to facilitate the provision of appropriate basic readjustment services; and that funds provided [to carry out the Secretary's] for discretionary grants under part B of such title III may be used to provide needs-related payments to participants who, in lieu of meeting the *enrollment* requirements [relating to enrollment in training] under section 314(e) of such Act, are enrolled in training by the end of the sixth week after grant funds have been awarded: *Provided further*, That service delivery areas may transfer funding provided herein under authority of [titles II-B and II-C] title II, parts B and C of the *Job Training Partnership Act* between the programs authorized by those titles of [that] the Act, if [such] the transfer is approved by the Governor: *Provided further*, That service delivery areas and substate areas may transfer up to 20 percent of the funding provided herein under authority of title [II-A] II, part A and title III of the *Job Training Partnership Act* between the programs authorized by those titles of the Act, if such transfer is approved by the Governor: *Provided further*, That, notwithstanding any other provision of law, any proceeds from the sale of Job Corps center facilities shall be retained by the Secretary of Labor to carry out the Job Corps program: *Provided further*, That notwithstanding any other provision of law, the Secretary of Labor may waive any of the statutory or regulatory requirements of titles I-III of the *Job Training Partnership Act* (except for requirements relating to wage and labor standards, worker rights, participation and protection, grievance procedures and judicial review, non-discrimination, allocation of funds to local areas, eligibility, review and approval of plans, the establishment and functions of service

delivery areas and private industry councils, and the basic purposes of the Act), and any of the statutory or regulatory requirements of sections 8-10 of the *Wagner-Peyser Act* (except for requirements relating to the provision of services to unemployment insurance claimants and veterans, and to universal access to basic labor exchange services without cost to job seekers), only for funds available for expenditure in program year [1997] 1998, pursuant to a request submitted by a State which identifies the statutory or regulatory requirements that are requested to be waived and the goals which the State or local service delivery areas intend to achieve, describes the actions that the State or local service delivery areas have undertaken to remove State or local statutory or regulatory barriers, describes the goals of the waiver and the expected programmatic outcomes if the request is granted, describes the individuals impacted by the waiver, and describes the process used to monitor the progress in implementing a waiver, and for which notice and an opportunity to comment on such request has been provided to the organizations identified in section 105(a)(1) of the *Job Training Partnership Act*, if and only to the extent that the Secretary determines that such requirements impede the ability of the State to implement a plan to improve the workforce development system and the State has executed a Memorandum of Understanding with the Secretary requiring such State to meet agreed upon outcomes and implement other appropriate measures to ensure accountability: *Provided further*, That the Secretary of Labor shall establish a workforce flexibility (work-flex) partnership demonstration program under which the Secretary shall authorize not more than six States, of which at least three States shall each have populations not in excess of 3,500,000, with a preference given to those States that have been designated *Ed-Flex Partnership States* under section 311(e) of Public Law 103-227, to waive any statutory or regulatory requirement applicable to service delivery areas or substate areas within the State under titles I-III of the *Job Training Partnership Act* (except for requirements relating to wage and labor standards, grievance procedures and judicial review, nondiscrimination, allotment of funds, and eligibility), and any of the statutory or regulatory requirements of sections 8-10 of the *Wagner-Peyser Act* (except for requirements relating to the provision of services to unemployment insurance claimants and veterans, and to universal access to basic labor exchange services without cost to job seekers), for a duration not to exceed the waiver period authorized under section 311(e) of Public Law 103-227, pursuant to a plan submitted by such States and approved by the Secretary for the provision of workforce employment and training activities in the States, which includes a description of the process by which service delivery areas and substate areas may apply for and have waivers approved by the State, the requirements of the *Wagner-Peyser Act* to be waived, the outcomes to be achieved and other measures to be taken to ensure appropriate accountability for federal funds. (*Department of Labor Appropriations Act, 1997.*)

#### Program and Financing (in millions of dollars)

Identification code 16-0174-0-1-504	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Adult training grants .....	850	895	1,064
00.02 Dislocated worker assistance .....	1,121	1,253	1,340
00.03 Youth training grants .....	127	127	130
00.04 Summer youth employment and training program .....	625	871	871
00.05 School-to-work opportunities .....	117	172	200
00.06 Job Corps .....	1,114	1,146	1,213
00.07 Native Americans .....	53	53	53
00.08 Migrant and seasonal farmworkers .....	69	69	69
00.09 Veterans employment .....	8	7	7
00.10 National activities .....	66	52	103
00.91 Total direct program .....	4,150	4,645	5,050
01.01 Reimbursable program .....	2	7	4
10.00 Total obligations .....	4,152	4,652	5,054

**General and special funds—Continued**

**TRAINING AND EMPLOYMENT SERVICES—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 16-0174-0-1-504	1996 actual	1997 est.	1998 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....	1,070	1,042	1,110
22.00 New budget authority (gross) .....	4,142	4,720	5,299
22.21 Unobligated balance transferred to other accounts .....	-16		
22.22 Unobligated balance transferred from other accounts .....	2		
22.30 Unobligated balance expiring .....	-3		
23.90 Total budgetary resources available for obligation .....	5,195	5,762	6,409
23.95 New obligations .....	-4,152	-4,652	-5,054
24.40 Unobligated balance available, end of year:			
Uninvested balance .....	1,042	1,110	1,355
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	4,146	4,720	5,295
41.00 Transferred to other accounts .....	-6	-4	
43.00 Appropriation (total) .....	4,140	4,716	5,295
Permanent:			
68.00 Spending authority from offsetting collections: Off-			
setting collections (cash) .....	2	4	4
70.00 Total new budget authority (gross) .....	4,142	4,720	5,299
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	3,989	3,833	3,763
73.10 New obligations .....	4,152	4,652	5,054
73.20 Total outlays (gross) .....	-4,298	-4,722	-4,741
73.40 Adjustments in expired accounts .....	-10		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	3,833	3,763	4,076
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	547	704	732
86.93 Outlays from current balances .....	3,749	4,014	4,005
86.97 Outlays from new permanent authority .....	2	4	4
87.00 Total outlays (gross) .....	4,298	4,722	4,741
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-1	-2	-2
88.40 Non-Federal sources .....	-1	-2	-2
88.90 Total, offsetting collections (cash) .....	-2	-4	-4
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	4,140	4,716	5,295
90.00 Outlays .....	4,296	4,718	4,737

**Adult training grants.**—Grants to provide financial assistance to States and territories to design and operate training programs for economically disadvantaged adults.

**Dislocated worker assistance.**—Grants to provide reemployment services and retraining assistance to individuals dislocated from their employment.

**Youth training grants.**—Grants to provide financial assistance to States and territories to design and operate training programs for economically disadvantaged youth.

**Summer youth employment and training.**—Grants to operate programs of employment and training assistance, as well as academic enrichment, for economically disadvantaged youth during the summer months.

**School-to-work opportunities.**—Grants to States and localities, jointly administered by the Departments of Labor and Education, to build systems that provide youth with the knowledge and skills necessary to make an effective transition from school to their first job through work-based learning, school-based education, and connecting activities.

**Job Corps.**—A system of primarily residential centers offering basic education, training, work experience, and other sup-

port to economically disadvantaged youth typically from debilitating environments.

**Native Americans.**—Grants to Indian tribes and other Native American groups to provide training, work experience, and other employment-related services to Native Americans.

**Migrant and seasonal farmworkers.**—Grants to public agencies and nonprofit groups to provide training and other employability development services to economically disadvantaged families whose principal livelihood is gained in migratory and other forms of seasonal farmwork.

**Veterans employment.**—Grants or contracts to provide disabled, Vietnam-era, and recently separated veterans with programs to meet their unique employment and training needs.

**National activities.**—Provides program support for JTPA activities and nationally administered programs for segments of the population that have special disadvantages in the labor market. In 1998, a new program will be added to this activity. Opportunity Areas for Out-of-School Youth will provide grants to selected Empowerment Zones (EZ), Empowerment Communities (EC), and other communities meeting EZ/EC criteria in order to reduce significant unemployment among out-of-school youth through employment and training assistance combined with other assistance.

**Object Classification (in millions of dollars)**

Identification code 16-0174-0-1-504	1996 actual	1997 est.	1998 est.
<b>Direct obligations:</b>			
25.2 Other services .....	31	39	39
25.3 Purchases of goods and services from Government			
accounts .....	5	6	6
25.5 Research and development contracts .....	3	3	3
31.0 Equipment .....	3	3	3
41.0 Grants, subsidies, and contributions .....	3,963	4,452	4,854
92.0 Undistributed .....	1	3	4
99.0 Subtotal, direct obligations .....	4,006	4,506	4,909
99.0 Reimbursable obligations .....	2	7	4
<b>Allocation Account:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	46	47	49
11.3 Other than full-time permanent .....	3	3	4
11.5 Other personnel compensation .....	3	3	2
11.9 Total personnel compensation .....	52	53	55
12.1 Civilian personnel benefits .....	13	13	14
13.0 Benefits for former personnel .....		1	
21.0 Travel and transportation of persons .....	2	2	2
22.0 Transportation of things .....	1	1	1
23.3 Communications, utilities, and miscellaneous			
charges .....	5	5	5
25.2 Other services .....	34	31	29
26.0 Supplies and materials .....	30	27	26
31.0 Equipment .....	2	2	2
32.0 Land and structures .....	1	2	2
41.0 Grants, subsidies, and contributions .....	3	2	5
92.0 Undistributed .....	1		
99.0 Subtotal, allocation account .....	144	139	141
99.9 Total obligations .....	4,152	4,652	5,054
Obligations are distributed as follows:			
Department of Labor .....	4,008	4,512	4,913
Department of Agriculture .....	87	92	93
Department of the Interior .....	57	48	48

**WELFARE-TO-WORK JOBS**

(Legislative proposal, not subject to PAYGO)

**Program and Financing (in millions of dollars)**

Identification code 16-0177-2-1-504	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....			6
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			6

23.95	New obligations .....	-6
<b>New budget authority (gross), detail:</b>		
40.00	Appropriation .....	6
<b>Change in unpaid obligations:</b>		
73.10	New obligations .....	6
73.20	Total outlays (gross) .....	-5
<b>Outlays (gross), detail:</b>		
86.90	Outlays from new current authority .....	5
<b>Net budget authority and outlays:</b>		
89.00	Budget authority .....	6
90.00	Outlays .....	5

**Object Classification (in millions of dollars)**

Identification code 16-0177-2-1-504	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent .....			3
12.1 Civilian personnel benefits .....			1
99.5 Below reporting threshold .....			2
99.9 Total obligations .....			6

**Personnel Summary**

Identification code 16-0177-2-1-504	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....			75

**WELFARE-TO-WORK JOBS  
(Legislative proposal, subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 16-0177-4-1-504	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0) .....			750
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			750
23.95 New obligations .....			-750
<b>New budget authority (gross), detail:</b>			
60.00 Appropriation .....			750
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....			750
73.20 Total outlays (gross) .....			-600
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation .....			150
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....			600
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			750
90.00 Outlays .....			600

As part of the Administration's Welfare-to-Work Jobs Challenge, this account will provide \$3 billion over three years to move one million of the hardest-to-employ welfare recipients into jobs by the year 2000.

**COMMUNITY SERVICE EMPLOYMENT FOR OLDER AMERICANS  
(TRANSFER OF FUNDS)**

[To carry out the activities for national grants or contracts with public agencies and public or private nonprofit organizations under paragraph (1)(A) of section 506(a) of title V of the Older Americans Act of 1965, as amended, or to carry out older worker activities as subsequently authorized, \$361,140,000, including \$21,840,000 which shall be available for the period ending June 30, 1997.]

[To carry out the activities for grants to States under paragraph (3) of section 506(a) of title V of the Older Americans Act of 1965,

as amended, or to carry out older worker activities as subsequently authorized, \$101,860,000, including \$6,160,000 which shall be available for the period ending June 30, 1997.]

[The funds appropriated under this heading shall be transferred to the Department of Health and Human Services, "Aging Services Programs" following the enactment of legislation authorizing the administration of the program by that Department.]

For national grants or contracts with public agencies and public or private nonprofit organizations under section 506(a)(1)(A) of the Older Americans Act of 1965, as amended, \$343,356,000; and in addition \$96,844,000 for grants to States under paragraph (3) of such section: Provided, That these amounts shall be transferred to and merged with the Department of Health and Human Services, "Aging Services Program" for the same purposes and the same period as the account to which transferred. (Department of Labor Appropriations Act, 1997.)

**Program and Financing (in millions of dollars)**

Identification code 16-0175-0-1-504	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 National programs .....	291	361	
00.02 State programs .....	82	102	
10.00 Total obligations (object class 41.0) .....	373	463	
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	373	463	
23.95 New obligations .....	-373	-463	
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	373	463	440
41.00 Transferred to other accounts .....			-440
43.00 Appropriation (total) .....	373	463	
70.00 Total new budget authority (gross) .....	373	463	
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation .....	349	335	391
73.10 New obligations .....	373	463	
73.20 Total outlays (gross) .....	-382	-407	-354
73.40 Adjustments in expired accounts .....	-5		
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation .....	335	391	37
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	69	78	
86.93 Outlays from current balances .....	313	329	354
87.00 Total outlays (gross) .....	382	407	354
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	373	463	
90.00 Outlays .....	382	407	354

This program provides part-time work experience in community service activities to unemployed, low-income persons aged 55 and over and is forward funded on a July to June cycle. The Administration's reauthorization proposal for the Older Americans Act proposes transferring the administration of this program from the Department of Labor's Employment and Training Administration to the Department of Health and Human Services, Administration on Aging.

**FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES**

For payments during the current fiscal year of trade adjustment benefit payments and allowances under part I; and for training, allowances for job search and relocation, and related State administrative expenses under part II, subchapters B and D, chapter 2, title II of the Trade Act of 1974, as amended, [\$324,500,000] \$349,000,000, together with such amounts as may be necessary to be charged to the subsequent appropriation for payments for any period subsequent to September 15 of the current year. (Department of Labor Appropriations Act, 1997.)

**General and special funds—Continued**

**FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES—Continued**

Program and Financing (in millions of dollars)

Identification code 16-0326-0-1-999	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Trade adjustment assistance benefits .....	191	180	208
00.02 Trade adjustment assistance training .....	97	85	97
00.03 North American Free Trade Agreement adjustment assistance benefits .....	14	20	22
00.04 North American Free Trade Agreement adjustment assistance training .....	19	22	22
00.91 Total direct program .....	321	307	349
01.01 Reimbursable program .....	15	40	40
10.00 Total obligations .....	336	347	389
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	361	365	389
22.30 Unobligated balance expiring .....	-25	-18	
23.90 Total budgetary resources available for obligation .....	336	347	389
23.95 New obligations .....	-336	-347	-389
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	346	325	349
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	15	40	40
70.00 Total new budget authority (gross) .....	361	365	389
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	176	187	186
73.10 New obligations .....	336	347	389
73.20 Total outlays (gross) .....	-304	-347	-380
73.40 Adjustments in expired accounts .....	-21		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	187	186	194
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	227	239	278
86.93 Outlays from current balances .....	62	68	62
86.97 Outlays from new permanent authority .....	15	40	40
87.00 Total outlays (gross) .....	304	347	380
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-15	-40	-40
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	346	325	349
90.00 Outlays .....	289	307	340

**Trade adjustment assistance.**—Adjustment assistance, including cash weekly benefits, training, job search and relocation allowances, is paid to workers as authorized by the Trade Act of 1974, as amended.

**North American Free Trade Agreement (NAFTA) Transitional Adjustment Assistance.**—Adjustment assistance, including weekly cash benefits, training, job search and relocation allowances, is paid to workers determined to be adversely affected as a result of the NAFTA as authorized by the Trade Act of 1974, as amended.

Object Classification (in millions of dollars)

Identification code 16-0326-0-1-999	1996 actual	1997 est.	1998 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	321	307	349
99.0 Reimbursable obligations: Subtotal, reimbursable obligations .....	15	40	40
99.9 Total obligations .....	336	347	389

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE OPERATIONS

For authorized administrative expenses, \$173,452,000, together with not to exceed \$3,146,826,000 \$3,342,476,000 (including not to exceed \$1,653,000 \$1,228,000 which may be used for amortization payments to States which had independent retirement plans in their State employment service agencies prior to 1980, and including not to exceed \$2,000,000 which may be obligated in contracts with non-State entities for activities such as occupational and test research activities which benefit the Federal-State Employment Service System), which may be expended from the Employment Security Administration account in the Unemployment Trust Fund including the cost of administering section 1201 of the Small Business Job Protection Act of 1996, section 7(d) of the Wagner-Peyser Act, as amended, the Trade Act of 1974, as amended, the Immigration Act of 1990, and the Immigration and Nationality Act, as amended, and of which the sums available in the allocation for activities authorized by title III of the Social Security Act, as amended (42 U.S.C. 502-504), and the sums available in the allocation for necessary administrative expenses for carrying out 5 U.S.C. 8501-8523, shall be available for obligation by the States through December 31, [1997] 1998, except that funds used for automation acquisitions shall be available for obligation by States through September 30, [1999] 2000; and of which \$23,452,000 \$173,452,000, together with not to exceed \$738,283,000 of the amount which may be expended from said trust fund, shall be available for obligation for the period July 1, [1997] 1998 through June 30, [1998] 1999, to fund activities under the Act of June 6, 1933, as amended, including the cost of penalty mail authorized under 39 U.S.C. 3202(a)(1)(E) made available to States in lieu of allotments for such purpose, and of which \$200,000,000 shall be available solely for the purpose of assisting States to convert their automated State employment security agency systems to be year 2000 compliant, and of which \$216,333,000 shall be available only to the extent necessary for additional State allocations to administer unemployment compensation laws to finance increases in the number of unemployment insurance claims filed and claims paid or changes in a State law: *Provided*, That to the extent that the Average Weekly Insured Unemployment (AWIU) for fiscal year [1997] 1998 is projected by the Department of Labor to exceed [2,828,000] 2,789,000 an additional \$28,600,000 shall be available for obligation for every 100,000 increase in the AWIU level (including a pro rata amount for any increment less than 100,000) from the Employment Security Administration Account of the Unemployment Trust Fund: *Provided further*, That funds appropriated in this Act which are used to establish a national one-stop career center network may be obligated in contracts, grants or agreements with non-State entities: *Provided further*, That funds appropriated under this Act for activities authorized under the Wagner-Peyser Act, as amended, and title III of the Social Security Act, may be used by the States to fund integrated Employment Service and Unemployment Insurance automation efforts, notwithstanding cost allocation principles prescribed under Office of Management and Budget Circular A-87.

*In addition to funds already provided under this heading, and subject to the same terms and conditions, not to exceed \$89,000,000 shall be available only for State administrative expenses associated solely with the conduct of unemployment insurance integrity functions authorized by title III of the Social Security Act. (Department of Labor Appropriations Act, 1997.)*

Unavailable Collections (in millions of dollars)

Identification code 16-0179-0-1-999	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99 Balance, start of year .....			
Receipts:			
02.01 Alien labor certification fees, legislative proposal .....			25
Appropriation:			
05.01 Program operations, legislative proposal .....			-6
05.02 State unemployment insurance and employment service operations, legislative proposal .....			-19
05.99 Subtotal appropriation .....			-25
07.99 Total balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 16-0179-0-1-999	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
Direct program:			
Unemployment compensation:			
00.01 State administration .....	2,297	2,115	2,404
00.02 National activities .....	10	10	10
00.03 Contingency .....		216	216
Employment service:			
00.10 Allotments to States .....	1,377	762	774
00.11 National activities .....	59	63	63
00.12 One-stop career centers .....	82	226	60
00.91 Total direct program .....	3,825	3,392	3,527
01.01 Reimbursable program .....	5	10	10
10.00 Total obligations .....	3,830	3,402	3,537
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....	48	90	13
22.00 New budget authority (gross) .....	3,872	3,325	3,628
23.90 Total budgetary resources available for obligation	3,920	3,415	3,641
23.95 New obligations .....	-3,830	-3,402	-3,537
24.40 Unobligated balance available, end of year:			
Uninvested balance .....	90	13	104
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	135	173	173
Permanent:			
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Offsetting collections (cash) .....	3,737	3,156	3,455
68.00 Transfers to other accounts .....		-4	
68.90 Spending authority from offsetting collections (total) .....	3,737	3,152	3,455
70.00 Total new budget authority (gross) .....	3,872	3,325	3,628
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	110	221	308
73.10 New obligations .....	3,830	3,402	3,537
73.20 Total outlays (gross) .....	-3,833	-3,315	-3,572
73.40 Adjustments in expired accounts .....	114		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	221	308	273
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	16	65	20
86.93 Outlays from current balances .....	80	98	97
86.97 Outlays from new permanent authority .....	3,737	3,152	3,455
87.00 Total outlays (gross) .....	3,833	3,315	3,572
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Federal sources .....	-5	-10	-10
88.00 Trust Fund sources .....	-3,732	-3,146	-3,445
88.00 Federal sources .....		4	
88.90 Total, offsetting collections (cash) .....	-3,737	-3,152	-3,455
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	135	173	173
90.00 Outlays .....	96	163	117

Summary of Budget Authority and Outlays

[In millions of dollars]

	1996 actual	1997 est.	1998 est.
<b>Enacted/requested:</b>			
Budget Authority .....	135	173	173
Outlays .....	96	163	117
<b>Supplemental proposal:</b>			
Budget Authority .....			
Outlays .....		-45	30
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			19
Outlays .....			19

Total:			
Budget Authority .....	135	173	192
Outlays .....	96	118	166

**Unemployment compensation.**—State administration amounts provide administrative grants to State agencies which pay unemployment compensation to eligible workers and collect State unemployment taxes from employers. These agencies also pay unemployment benefits to former Federal personnel as well as trade adjustment assistance to eligible individuals. State administration amounts also provide administrative grants to State agencies to improve the integrity and financial stability of the unemployment compensation program through a comprehensive program, UI Performs, to effect continuous improvement in State performance and related activities designed to assess and reduce errors and prevent fraud, waste, and abuse in the payment of unemployment compensation benefits and the collection of unemployment taxes. National activities relating to the Federal-State unemployment insurance programs are conducted through contracts or agreements with the State agencies. Contingency funds are available only to meet increases in the costs of administration resulting from changes in State law, or increases in the number of claims filed and claims paid.

PROGRAM STATISTICS

	1995 actual	1996 estimate	1997 estimate <sup>1</sup>	1998 estimate <sup>1</sup>
Staff years .....	39,956	38,482	43,056	44,327
Basic workload (in thousands):				
Employer tax accounts .....	6,046	6,146	6,235	6,292
Employee wage items recorded .....	488,167	495,217	507,025	520,807
Initial claims taken .....	19,175	20,101	20,225	21,384
Eligibility interviews .....	3,434	2,969	11,568	12,086
Weeks claimed .....	137,295	141,146	138,817	145,033
Nonmonetary determinations .....	7,092	7,579	7,782	7,774
Appeals .....	1,165	1,185	1,145	1,422
Covered employment .....	112,850	114,940	116,590	117,660

<sup>1</sup> 1997 and 1998 estimates include workload that can be financed from contingency funds.

**Employment service.**—The public employment service is a nationwide system providing no-fee employment services to individuals who are seeking employment and employers who are seeking workers. State employment service activities are financed by allotment to States distributed under a demographically based funding formula established under the Wagner-Peyser Act, as amended. Employment service allotments are funded on a program year basis running from July 1 through June 30 of the following year.

Employment service activities serving national needs, including interstate job listings and labor certification of aliens, are conducted through specific reimbursable agreements between the States and the Federal Government under the Wagner-Peyser Act, as amended. Funding is also provided for amortization payments for States which had independent retirement plans prior to 1980 in their State employment service agencies.

**One-Stop Career Centers.**—These funds will be used to support voluntary State efforts to create a comprehensive system of One-Stop Career Centers which will provide workers and employers with quick and easy access to a wide array of enhanced career development and labor market information services. Funding for this activity is on a program year basis, running from July 1 through June 30 of the following year.

PROGRAM STATISTICS

	[In thousands]			
	1995 actual <sup>1</sup>	1996 estimate <sup>2</sup>	1997 estimate <sup>3</sup>	1998 estimate <sup>4</sup>
Total applicants .....	18,542	17,900	17,900	17,900
Entered employment .....	3,216	3,100	3,100	3,100

<sup>1</sup> For the program year, July 1, 1995–June 30, 1996.

<sup>2</sup> For the program year, July 1, 1996–June 30, 1997.

<sup>3</sup> For the program year, July 1, 1997–June 30, 1998.

<sup>4</sup> For the program year, July 1, 1998–June 30, 1999.

**General and special funds—Continued**

**STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE OPERATIONS—Continued**

Object Classification (in millions of dollars)			
Identification code 16-0179-0-1-999	1996 actual	1997 est.	1998 est.
Direct obligations:			
23.3 Communications, utilities, and miscellaneous charges .....	115	115	116
41.0 Grants, subsidies, and contributions .....	3,710	3,277	3,411
99.0 Subtotal, direct obligations .....	3,825	3,392	3,527
99.0 Reimbursable obligations .....	5	10	10
99.9 Total obligations .....	3,830	3,402	3,537

**STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE OPERATIONS**

(Legislative proposal, subject to PAYGO)

**Program and Financing (in millions of dollars)**

Identification code 16-0179-4-1-999	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0) .....			19
Budgetary resources available for obligation:			
22.00 New budget authority (gross) .....			19
23.95 New obligations .....			-19
New budget authority (gross), detail:			
40.25 Appropriation (special fund, indefinite) .....			19
Change in unpaid obligations:			
73.10 New obligations .....			19
73.20 Total outlays (gross) .....			-19
Outlays (gross), detail:			
86.90 Outlays from new current authority .....			19
Net budget authority and outlays:			
89.00 Budget authority .....			19
90.00 Outlays .....			19

Legislation will be proposed that would authorize the Department of Labor to collect fees from employers for the certification of certain aliens as eligible workers. This is one of several proposals in the budget to charge fees to users directly availing themselves of, or subject to, a government service, program, or activity, in order to cover the government's costs. Legislation will be proposed to authorize the alien labor certification fees and, upon enactment of the authorization, a budget amendment to the current appropriations language will be proposed to make the fees available for expenditure for alien labor certification program operations. Because the current requirements of the Budget Enforcement Act of 1990 make it difficult to fund discretionary programs with receipts that are not authorized in appropriations act, the Administration is proposing a change in the requirements to facilitate the enactment of proposals such as this one.

**PAYMENTS TO THE UNEMPLOYMENT TRUST FUND**

**Program and Financing (in millions of dollars)**

Identification code 16-0178-0-1-603	1996 actual	1997 est.	1998 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance .....	271	5	5
22.40 Capital transfer to general fund pursuant to P.L. 104-134 .....	-266		
23.90 Total budgetary resources available for obligation .....	5	5	5

24.40 Unobligated balance available, end of year: Uninvested balance .....	5	5	5
Net budget authority and outlays:			
89.00 Budget authority .....			
90.00 Outlays .....			

This account was initiated as a result of the amendments to the Emergency Unemployment Compensation law (P.L. 102-164, as amended) which currently provides for general fund financing for administrative costs related to extended benefits under the optional, total unemployment rate trigger. These funds are transferred to a receipt account in the Unemployment Trust Fund (UTF) in order that resources may be transferred to the Employment Security Administration Account in the UTF for administrative costs.

**ADVANCES TO THE UNEMPLOYMENT TRUST FUND AND OTHER FUNDS**

For repayable advances to the Unemployment Trust Fund as authorized by sections 905(d) and 1203 of the Social Security Act, as amended, and to the Black Lung Disability Trust Fund as authorized by section 9501(c)(1) of the Internal Revenue Code of 1954, as amended; and for nonrepayable advances to the Unemployment Trust Fund as authorized by section 8509 of title 5, United States Code, section 104(d) of Public Law 102-164, and section 5 of Public Law 103-6, and to the "Federal unemployment benefits and allowances" account, to remain available until September 30, [1998, \$373,000,000] 1999, \$392,000,000.

In addition, for making repayable advances to the Black Lung Disability Trust Fund in the current fiscal year after September 15, [1997] 1998, for costs incurred by the Black Lung Disability Trust Fund in the current fiscal year, such sums as may be necessary. (Department of Labor Appropriations Act, 1997.)

**Program and Financing (in millions of dollars)**

Identification code 16-0327-0-1-603	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
10.00 Total obligations (object class 92.0) .....	63		
Budgetary resources available for obligation:			
22.00 New budget authority (gross) .....	63		
23.95 New obligations .....	-63		
New budget authority (gross), detail:			
40.05 Appropriation (indefinite) .....	63		
Change in unpaid obligations:			
73.10 New obligations .....	63		
73.20 Total outlays (gross) .....	-63		
Outlays (gross), detail:			
86.90 Outlays from new current authority .....	63		
Net budget authority and outlays:			
89.00 Budget authority .....	63		
90.00 Outlays .....	63		

This account provides repayable advances to the Black Lung Disability Trust Fund for making payments from that fund whenever its balances prove insufficient. The funding requested in this appropriation for FY 1998 is entirely for Black Lung. This spending authority is presented as authority to borrow in the Black Lung Disability Trust Fund.

This account also provides advances to several other accounts to pay unemployment compensation to eligible individuals under various Federal and State unemployment compensation laws whenever the balances in the funds prove insufficient or whenever reimbursements to certain accounts, as allowed by law, are to be made. Advances made to the Extended unemployment compensation account under the financing provisions of the Emergency Unemployment Act of 1991 and section 5 of Public Law 103-6, the Federal employees compensation account in the Unemployment Trust Fund

and to the Federal unemployment benefits and allowances account are nonrepayable. All other advances made to the Federal unemployment account and to the Extended unemployment compensation account (both in the Unemployment Trust Fund) are repaid, with interest, to the general fund of the Treasury.

**PROGRAM [ADMINISTRATION] OPERATIONS**

For expenses of administering employment and training programs [and for carrying out section 908 of the Social Security Act, \$81,393,000], \$86,214,000, together with not to exceed [\$39,977,000] \$43,429,000, which may be expended from the Employment Security Administration account in the Unemployment Trust Fund. (8 U.S.C. 1184; 19 U.S.C. 2271-2321; 20 U.S.C. 6104; 29 U.S.C. 49-49I-1, 50, 1501 et seq.; 42 U.S.C. 502-504, 2000-2000d-4, 3001 et seq.; Immigration Act of 1990, section 221(a); Department of Labor Appropriations Act, 1997.)

**Program and Financing (in millions of dollars)**

Identification code 16-0172-0-1-504	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Adult employment and training .....	28	28	29
00.02 Youth employment and training .....	29	30	32
00.03 Employment security .....	43	43	45
00.04 Apprenticeship services .....	16	16	17
00.05 Executive direction .....	7	7	7
00.91 Total direct program .....	123	124	130
01.01 Reimbursable program .....	1	1	.....
10.00 Total obligations .....	124	125	130
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	124	124	130
23.95 New obligations .....	-124	-125	-130
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	83	81	86
Permanent:			
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Offsetting collections (cash) .....	41	40	44
68.00 Transferred from other accounts—SUIESO .....	.....	3	.....
68.90 Spending authority from offsetting collections (total) .....	41	43	44
70.00 Total new budget authority (gross) .....	124	124	130
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	12	14	18
73.10 New obligations .....	124	125	130
73.20 Total outlays (gross) .....	-122	-121	-124
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	14	18	24
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	72	66	70
86.93 Outlays from current balances .....	9	12	10
86.97 Outlays from new permanent authority .....	41	43	44
87.00 Total outlays (gross) .....	122	121	124
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Trust fund sources .....	-41	-40	-44
88.00 Transferred from other accounts—SUIESO .....	.....	-3	.....
88.90 Total, offsetting collections (cash) .....	-41	-43	-44
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	83	81	86
90.00 Outlays .....	80	78	80

**Summary of Budget Authority and Outlays**

	[In millions of dollars]		
Enacted/requested:	1996 actual	1997 est.	1998 est.
Budget Authority .....	83	81	86
Outlays .....	81	78	80
Legislative proposal, subject to PAYGO:			
Budget Authority .....	.....	.....	6
Outlays .....	.....	.....	6
Total:			
Budget Authority .....	83	81	92
Outlays .....	81	78	86

**Adult employment and training.**—Provides leadership, policy direction and administration for a decentralized system of grants to States and federally administered programs for job training and employment assistance for disadvantaged adults and dislocated workers; provides for training and employment services to special targeted groups; provides for the settlement of trade adjustment petitions; and includes related program operations support activities.

**Youth employment and training.**—Provides leadership, policy direction and administration for a decentralized system of grants to States and federally administered programs for job training and employment assistance for youth, including youth training grants, summer youth programs, and the Job Corps; provides for leadership and policy direction for implementing the School-to-Work Opportunities system; and includes related program operations support activities.

**Employment security.**—Provides leadership and policy direction for the administration of the comprehensive nationwide public employment service system; unemployment insurance programs in each State; and for a One-Stop career center network, including a comprehensive system of collecting, analyzing and disseminating labor market information; and includes related program operations support activities.

**Apprenticeship services.**—Promotes and provides leadership and policy direction for the administration of apprenticeship as a method of skill acquisition through a Federal-State apprenticeship structure.

**Executive direction.**—Provides leadership and policy direction for all training and employment services programs and activities and provides for related program operations support, including research, evaluations, demonstrations and performance standards.

**Object Classification (in millions of dollars)**

Identification code 16-0172-0-1-504	1996 actual	1997 est.	1998 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	73	74	77
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	75	76	79
12.1 Civilian personnel benefits .....	14	15	15
21.0 Travel and transportation of persons .....	4	4	5
23.1 Rental payments to GSA .....	10	10	10
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services .....	3	3	3
25.3 Purchases of goods and services from Government accounts .....	10	10	10
25.7 Operation and maintenance of equipment .....	2	1	2
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	3	2	3
99.0 Subtotal, direct obligations .....	123	123	129
99.0 Reimbursable obligations .....	1	1	.....
99.5 Below reporting threshold .....	.....	1	1
99.9 Total obligations .....	124	125	130

**General and special funds—Continued**

**PROGRAM [ADMINISTRATION] OPERATIONS—Continued**

**Personnel Summary**

Identification code 16-0172-0-1-504	1996 actual	1997 est.	1998 est.
<b>Direct:</b>			
Total compensable workyears:			
1001 Full-time equivalent employment .....	1,371	1,325	1,342
1005 Full-time equivalent of overtime and holiday hours .....	4	4	4
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment .....	3	10	3

**PROGRAM OPERATIONS**

(Legislative proposal, subject to PAYGO)

**Program and Financing (in millions of dollars)**

Identification code 16-0172-4-1-504	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.03 Employment security .....			6
10.00 Total obligations .....			6
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			6
23.95 New obligations .....			-6
<b>New budget authority (gross), detail:</b>			
40.25 Appropriation (special fund, indefinite) .....			6
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....			6
73.20 Total outlays (gross) .....			-6
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....			6
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			6
90.00 Outlays .....			6

Legislation will be proposed that would authorize the Department of Labor to collect fees from employers for the certification of certain aliens as eligible workers. This is one of several proposals in the budget to charge fees to users directly availing themselves of, or subject to, a government service, program, or activity, in order to cover the government's costs. Legislation will be proposed to authorize the alien labor certification fees and, upon enactment of the authorization, a budget amendment to the current appropriations language will be proposed to make the fees available for expenditure for alien labor certification program operations. Because the current requirements of the Budget Enforcement Act of 1990 make it difficult to fund discretionary programs with receipts that are not authorized in appropriations acts, the Administration is proposing a change in the requirements to facilitate the enactment of proposals such as this one.

**Object Classification (in millions of dollars)**

Identification code 16-0172-4-1-504	1996 actual	1997 est.	1998 est.
11.3 Personnel compensation: Other than full-time permanent .....			3
12.1 Civilian personnel benefits .....			1
99.0 Subtotal, direct obligations .....			4
99.5 Below reporting threshold .....			2
99.9 Total obligations .....			6

**Personnel Summary**

Identification code 16-0172-4-1-504	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment .....			75

**Intragovernmental funds:**

**ADVANCES TO THE EMPLOYMENT SECURITY ADMINISTRATION  
ACCOUNT OF THE UNEMPLOYMENT TRUST FUND**

**Program and Financing (in millions of dollars)**

Identification code 16-4510-0-4-603	1996 actual	1997 est.	1998 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....	56		
22.00 New budget authority (gross) .....	-56		
23.90 Total budgetary resources available for obligation .....			
<b>New budget authority (gross), detail:</b>			
40.36 Unobligated balance rescinded .....	-56		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	-56		
90.00 Outlays .....			

This fund is available for advances to the Employment Security Administration account in the Unemployment Trust Fund under the provisions of section 901(e) of the Social Security Act. These repayable, interest-bearing advances permit financing the Federal and State administrative costs of employment security programs when the balance in the Employment Security Administration Account is insufficient.

**UNEMPLOYMENT TRUST FUND**

**Unavailable Collections (in millions of dollars)**

Identification code 20-8042-0-7-999	1996 actual	1997 est.	1998 est.
<b>Balance, start of year:</b>			
01.99 Balance, start of year .....	47,148	53,317	60,373
<b>Receipts:</b>			
02.01 General taxes, FUTA .....	5,854	5,920	5,976
02.02 Deposits by Federal agencies to the Federal Employees Compensation Account .....	599	612	604
02.03 Non-repayable advances for unemployment compensation .....	62		
02.04 Payments from the general fund for administrative costs .....	-223		
02.05 Interest and profits on investments in public debt securities .....	3,373	3,595	3,893
02.06 State accounts, Deposits by States .....	22,706	23,517	24,496
02.08 Deposits by Railroad Retirement Board .....	24	28	67
02.09 CMA interest, Unemployment trust fund .....	3		
02.99 Total receipts .....	32,398	33,672	35,036
04.00 Total: Balances and collections .....	79,546	86,989	95,409
<b>Appropriation:</b>			
05.01 Unemployment trust fund .....	-26,149	-26,521	-28,564
05.04 Railroad unemployment insurance trust fund .....	-80	-95	-96
05.99 Subtotal appropriation .....	-26,229	-26,616	-28,660
07.99 Total balance, end of year .....	53,317	60,373	66,749

**Program and Financing (in millions of dollars)**

Identification code 20-8042-0-7-999	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
Federal-State unemployment insurance:			
Withdrawals:			
00.01 Benefit payments by States .....	21,975	22,385	24,229
00.02 Federal employees' unemployment compensation .....	625	607	603
00.03 State administrative expenses .....	3,231	3,203	3,404



Federal administrative expenses:				
00.10	Direct expenses .....	45	45	47
00.11	Reimbursements to the Department of the Treasury .....	100	96	97
00.20	Veterans employment and training .....	170	182	182
00.21	Interest on refunds .....	3	3	3
10.00	Total obligations .....	26,149	26,521	28,565
Budgetary resources available for obligation:				
21.40	Unobligated balance available, start of year:			
	Uninvested balance .....	694	694	694
22.00	New budget authority (gross) .....	26,149	26,521	28,564
23.90	Total budgetary resources available for obligation .....	26,843	27,215	29,258
23.95	New obligations .....	-26,149	-26,521	-28,565
24.40	Unobligated balance available, end of year:			
	Uninvested balance .....	694	694	694
New budget authority (gross), detail:				
Current:				
40.26	Appropriation (trust fund, definite) .....	3,368	3,421	3,713
Permanent:				
60.27	Appropriation (trust fund, indefinite) .....	32,398	33,672	35,036
60.45	Portion precluded from obligation .....	-9,617	-10,572	-10,185
63.00	Appropriation (total) .....	22,781	23,100	24,851
70.00	Total new budget authority (gross) .....	26,149	26,521	28,564
Change in unpaid obligations:				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation .....	12	15	19
73.10	New obligations .....	26,149	26,521	28,565
73.20	Total outlays (gross) .....	-26,146	-26,517	-28,484
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation .....	15	19	100
Outlays (gross), detail:				
86.90	Outlays from new current authority .....	2,737	2,815	3,027
86.93	Outlays from current balances .....	706	613	606
86.97	Outlays from new permanent authority .....	22,703	23,089	24,851
87.00	Total outlays (gross) .....	26,146	26,517	28,484
Net budget authority and outlays:				
89.00	Budget authority .....	26,149	26,521	28,564
90.00	Outlays .....	26,146	26,517	28,484

The financial transactions of the Federal-State and railroad unemployment insurance systems are made through the Unemployment Trust Fund. All State and Federal unemployment tax receipts are deposited in the trust fund and invested in Government securities until needed for benefit payments or administrative costs. States may receive repayable advances from the fund when their balances in the fund are insufficient to pay benefits. The fund may receive repayable advances from the general fund when it has insufficient balances to make advances to States or to pay the Federal share of extended benefits.

ADVANCES FROM THE UNEMPLOYMENT TRUST FUND TO THE STATES

[In thousands of dollars]

	1996 actual	1997 estimate	1998 estimate
Outstanding advances, beginning of year .....	0	0	0
Advances repaid .....	238,734	0	0
Advances made .....	238,734	0	0
Outstanding advances, end of year .....	0	0	0

State payroll taxes pay for all regular State benefits. During periods of high State unemployment, extended benefits, financed one-half by State payroll taxes and one-half by the Federal unemployment payroll tax, are also paid. The Federal tax pays the costs of Federal and State administration of unemployment insurance and veterans employment services and 97% of the costs of the employment service.

The Federal employees compensation account provides funds to States for unemployment compensation benefits paid to eligible former Federal civilian personnel, Postal Service employees, and ex-servicemembers. Benefits paid are reim-

bursed to the Federal employees compensation account by the various Federal agencies. Any additional resources necessary to assure that the account can make the required payments to States will be provided from the Advances to the Unemployment Trust Fund and other funds account.

Both the benefit payments and administrative expenses of the separate unemployment insurance program for railroad employees are paid from the unemployment trust fund and receipts from the tax on railroad payrolls are deposited in the fund to meet expenses.

Status of Funds (in millions of dollars)

Identification code 20-8042-0-7-999	1996 actual	1997 est.	1998 est.
Unexpended balance, start of year:			
0100 Treasury balance .....	717	135	1,418
0101 U.S. Securities: Par value .....	47,141	53,893	59,670
0199 Total balance, start of year .....	47,858	54,028	61,088
Cash income during the year:			
Governmental receipts:			
0200 General taxes, FUTA, Unemployment trust fund .....	5,854	5,920	5,976
0201 Unemployment trust fund, State accounts, Deposits by States .....	22,706	23,517	24,496
0202 Deposits by Railroad Retirement Board .....	24	28	67
Proprietary receipts:			
0221 CMIA interest, Unemployment trust fund .....	3		
Intragovernmental transactions:			
0240 Deposits by Federal agencies to the Federal Employees Compensation Account, Unemployment trust fund .....	599	612	604
0241 Non-repayable advances for unemployment compensation, Unemployment trust fund .....	62		
0243 Repayments to the general fund .....	-223		
0244 Unemployment trust fund, Interest and profits on investments in public debt securities .....	3,373	3,595	3,893
0299 Total cash income .....	32,398	33,672	35,036
Cash outgo during year:			
0500 Unemployment trust fund .....	-26,146	-26,517	-28,484
0503 Railroad unemployment insurance trust fund .....	-82	-95	-96
0599 Total cash outgo (-) .....	-26,228	-26,612	-28,580
Unexpended balance, end of year:			
0700 Uninvested balance .....	135	1,418	1,014
0701 U.S. Securities: Par value .....	53,893	59,670	66,530
0799 Total balance, end of year .....	54,028	61,088	67,544

Object Classification (in millions of dollars)

Identification code 20-8042-0-7-999	1996 actual	1997 est.	1998 est.
Purchases of goods and services from Government accounts:			
25.3 Employment and training administration .....	41	41	43
25.3 Departmental management .....	4	4	4
25.3 Reimbursements to Department of the Treasury .....	100	96	97
41.0 Payments to States for administrative expenses .....	3,231	3,203	3,404
Insurance claims and indemnities:			
42.0 Federal unemployment benefits .....	625	607	603
42.0 State unemployment benefits .....	21,975	22,385	24,229
43.0 Interest and dividends .....	3	3	3
93.0 Veterans employment and training .....	170	182	182
99.0 Subtotal, direct obligations .....	26,149	26,521	28,565
99.9 Total obligations .....	26,149	26,521	28,565

OFFICE OF THE AMERICAN WORKPLACE

Federal Funds

General and special funds:

SALARIES AND EXPENSES

Program and Financing (in millions of dollars)

Identification code 16-0104-0-1-505	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
10.00 Total obligations (object class 99.5) .....	2		

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 16-0104-0-1-505	1996 actual	1997 est.	1998 est.
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	2		
23.95 New obligations	-2		
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	2		
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	2		
73.10 New obligations	2		
73.20 Total outlays (gross)	-4		
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	2		
86.93 Outlays from current balances	2		
87.00 Total outlays (gross)	4		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	2		
90.00 Outlays	4		

No appropriation is being requested for this account in FY 1998. Labor-Management Standards, 13(c) enforcement, and related administrative functions were transferred to the Employment Standards Administration in FY 1996.

**Personnel Summary**

Identification code 16-0104-0-1-505	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment	12		

**PENSION AND WELFARE BENEFITS ADMINISTRATION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses for Pension and Welfare Benefits Administration, [\$77,083,000] \$84,307,000, of which [\$6,000,000] \$3,000,000 shall remain available through September 30, [1998] 1999 for expenses of [revising] completing the revision of the processing of employee benefit plan returns. (Department of Labor Appropriations Act, 1997.)

**Program and Financing (in millions of dollars)**

Identification code 16-1700-0-1-601	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
<b>Direct program:</b>			
00.01 Enforcement and compliance	51	61	67
00.02 Policy, regulations, and public services	12	12	13
00.03 Program oversight	4	4	4
00.91 Total direct obligations	67	77	84
01.01 Reimbursable obligations		1	1
10.00 Total obligations	67	78	85
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	67	78	85
23.95 New obligations	-67	-78	-85
<b>New budget authority (gross), detail:</b>			
<b>Current:</b>			
40.00 Appropriation	67	77	84

68.00 Permanent: Spending authority from offsetting collections: Offsetting collections (cash)		1	1
70.00 Total new budget authority (gross)	67	78	85
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	15	18	14
73.10 New obligations	67	78	85
73.20 Total outlays (gross)	-63	-82	-84
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	18	14	15
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	51	65	71
86.93 Outlays from current balances	12	16	12
86.97 Outlays from new permanent authority		1	1
87.00 Total outlays (gross)	63	82	84
<b>Offsets:</b>			
<b>Against gross budget authority and outlays:</b>			
88.40 Offsetting collections (cash) from: Non-Federal sources		-1	-1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	67	77	84
90.00 Outlays	64	81	83

**Enforcement and compliance.**—Conducts criminal and civil investigations, and performs reviews to ensure compliance with the fiduciary provisions of the Employee Retirement Income Security Act (ERISA) and the Federal Employees' Retirement System Act of 1986 (FERSA). Assures compliance with applicable reporting requirements, as well as accounting, auditing and actuarial standards. Provides compliance assistance to the public. The 1998 estimates include: (1) enhanced implementation of health-insurance portability and other reforms under new health benefit laws covering private employers; (2) expanded enforcement safeguards to ensure that employers promptly remit workers' contributions to 401(k) and other benefit plans; (3) expanded compliance and technical assistance capabilities to help ensure employers, practitioners, participants, and beneficiaries understand their rights and responsibilities under the law; and, (4) enhanced pension protection through faster multi-agency processing of employers' plan returns.

	1996 actual	1997 est.	1998 est.
Plan reviews and investigations conducted	7,065	7,168	7,962
Inquiries received:			
Field offices <sup>1</sup>	88,472	84,000	104,800

**Policy, regulations and public services.**—Conducts policy and legislative analysis, as well as research. Promulgates regulations and interpretations. Issues individual and class exemptions from regulations. Discloses government-required reports and provides compliance assistance to the public.

	1996 actual	1997 est.	1998 est.
Exemptions, variances, determinations, interpretations, and regulations issued	1,101	1,410	1,425
Inquiries received:			
National office <sup>1</sup>	56,360	77,000	107,000

<sup>1</sup> Inquiries received at field and national offices represent the total number of inquiries received.

**Program oversight.**—Provides overall policy direction, leadership and management of the pension and welfare benefits program. Provides debt collection, financial and human resource management support including budget, management, labor/employee relations, technical program training and other administrative activities.

**Object Classification (in millions of dollars)**

Identification code 16-1700-0-1-601	1996 actual	1997 est.	1998 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent	30	33	38
12.1 Civilian personnel benefits	6	7	8
21.0 Travel and transportation of persons	1	2	3

23.1	Rental payments to GSA .....	4	4	5
25.2	Other services .....	3	5	5
25.3	Purchases of goods and services from Government accounts .....	12	11	14
25.5	Research and development contracts .....	2	1	2
25.7	Operation and maintenance of equipment .....	4	10	8
31.0	Equipment .....	4	3	1
99.0	Subtotal, direct obligations .....	66	76	84
99.0	Reimbursable obligations .....	1	1	1
99.5	Below reporting threshold .....	1	1	.....
99.9	Total obligations .....	67	78	85

Personnel Summary

Identification code 16-1700-0-1-601	1996 actual	1997 est.	1998 est.
Total compensable workyears:			
1001 Full-time equivalent employment .....	566	639	715
1005 Full-time equivalent of overtime and holiday hours .....	2	2	2

**PENSION BENEFIT GUARANTY CORPORATION**

*Federal Funds*

**Public enterprise funds:**

PENSION BENEFIT GUARANTY CORPORATION FUND

The Pension Benefit Guaranty Corporation is authorized to make such expenditures, including financial assistance authorized by section 104 of Public Law 96-364, within limits of funds and borrowing authority available to such Corporation, and in accord with law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 104 of the Government Corporation Control Act, as amended (31 U.S.C. 9104), as may be necessary in carrying out the program through September 30, [1997], 1998 for such Corporation: *Provided*, That not to exceed [\$10,345,000] \$10,625,000 shall be available for administrative expenses of the Corporation: *Provided further*, That expenses of such Corporation in connection with the termination of pension plans, for the acquisition, protection or management, and investment of trust assets, and for benefits administration services shall be considered as non-administrative expenses for the purposes hereof, and excluded from the above limitation. (*Department of Labor Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)

Identification code 16-4204-0-3-601	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Single employer program benefits payments .....	873	859	982
00.02 Multi-employer program financial assistance .....	4	6	6
00.03 Administrative expenses .....	10	10	11
00.04 Services related to terminations .....	124	125	137
00.05 Loss on sale of govt. securities .....	.....	.....	.....
10.00 Total obligations .....	1,011	1,000	1,136
<b>Budgetary resources available for obligation:</b>			
Unobligated balance available, start of year:			
21.47 Authority to borrow .....	100	100	100
21.90 Fund balance .....	-112	-125	177
U.S. Securities:			
21.91 Par value .....	5,732	6,532	7,782
21.92 Unrealized discounts .....	-357	-343	-588
21.99 Total unobligated balance, start of year .....	5,363	6,164	7,471
22.00 Budget authority from offsetting collections .....	1,812	2,307	2,421
23.90 Total budgetary resources available for obligation .....	7,175	8,471	9,892
23.95 New obligations .....	-1,011	-1,000	-1,136
Unobligated balance available, end of year:			
24.47 Authority to borrow .....	100	100	100
24.90 Fund balance .....	-125	177	177
U.S. Securities:			
24.91 Par value .....	6,532	7,782	9,045
24.92 Unrealized discounts .....	-343	-588	-568
24.99 Total unobligated balance, end of year .....	6,164	7,471	8,754

<b>New budget authority (gross), detail:</b>			
68.00	Spending authority from offsetting collections (gross):		
	Offsetting collections (cash) .....	1,812	2,307 2,421

<b>Change in unpaid obligations:</b>			
72.90	Unpaid obligations, start of year: Obligated balance:		
	start of year: fund balance .....	75	125 128
73.10	New obligations .....	1,011	1,000 1,136
73.20	Total outlays (gross) .....	-961	-997 -1,136
74.90	Unpaid obligations, end of year: Obligated balance:		
	end of year: fund balance .....	125	128 128

<b>Outlays (gross), detail:</b>			
86.97	Outlays from new permanent authority .....	961	997 1,136

<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20	Interest on U.S. securities .....	-147	-512 -608
Non-Federal sources:			
88.40	Premium income .....	-1,236	-1,251 -1,171
88.40	Benefit payment reimbursements .....	-281	-419 -505
88.40	Reimbursements from trust funds for services related to terminations .....	-144	-125 -137
88.40	Other reimbursements from trust funds .....	-4	.....
88.90	Total, offsetting collections (cash) .....	-1,812	-2,307 -2,421

<b>Net budget authority and outlays:</b>			
89.00	Budget authority .....	.....	.....
90.00	Outlays .....	-851	-1,310 -1,285

Status of Direct Loans (in millions of dollars)

Identification code 16-4204-0-3-601	1996 actual	1997 est.	1998 est.
Cumulative balance of direct loans outstanding:			
1231	Disbursements: Direct loan disbursements .....	4	6 6
1263	Write-offs for default: Direct loans .....	-4	-6 -6

This wholly owned government corporation administers programs of mandatory insurance to prevent loss of pension benefits under covered private, defined-benefit pension plans if single-employer plans terminate or if multiemployer plans are unable to pay benefits.

*Single-employer program.*—The single-employer program protects about 33 million participants in about 48,000 pension plans. Under this program a company may voluntarily seek to terminate its plan, or the PBGC may seek termination under certain circumstances. The PBGC must seek termination when a plan cannot pay current benefits.

In a "standard" termination, plan assets must be sufficient to pay all benefits before the plan is allowed to end. That payment is in the form of an annuity purchased from an insurance company, or a lump sum payment. After the payment is made, the PBGC guarantee ends. A plan that cannot pay all benefits may be ended by a "distress" termination, but only if the employer meets tests proving severe financial distress; for example, the likelihood that continuing the plan would force the company to shut down. If the terminated plan cannot pay at least the PBGC-guaranteed benefits, the PBGC uses its funds to ensure guaranteed benefits are paid.

	1996 actual	1997 est.	1998 est.
Government trusteeships at end of year .....	2,248	2,448	2,618
Participants in government trusteeships owed benefits .....	441,000	481,000	521,000
Retirees receiving monthly benefits .....	199,700	218,000	236,000

*Multiemployer program.*—The multiemployer insurance program protects about 8.7 million participants in about 2,000 plans. Multiemployer pension plans are maintained under collectively bargained agreements involving unrelated employers, generally in the same industry. If a PBGC-insured multiemployer plan is unable to pay guaranteed benefits when due, the PBGC will provide the plan with financial assistance to continue paying guaranteed benefits, ordinarily in the form of a loan to the plan. Nineteen plans are expected to be receiving assistance in 1998.

**Public enterprise funds—Continued****PENSION BENEFIT GUARANTY CORPORATION FUND—Continued**

*Administrative expenses subject to limitation.*—Provides for collection of over \$1 billion in premiums, accounting and auditing services, asset management, executive direction, and other support functions.

*Services related to terminations.*—This activity provides for needed, but unpredictable, costs related to benefits administration, actuarial services, managing the assets of trusteed plans, and a share of other costs arising from plan termination.

	1996 actual	1997 est.	1998 est.
Plans terminated during the year:			
With sufficient assets .....	3,100	3,500	3,500
Without sufficient assets .....	255	150	150
Regulations issued .....	7	10	10

*Financing.*—The primary source of financing is annual premiums paid by sponsors of ongoing covered plans, which vary according to the plans' funding level. Other sources of financing include assets from terminated plans, investment income, and amounts due the Corporation from the sponsors of terminating plans. Also, the Corporation is authorized to borrow up to \$100 million from the U.S. Treasury.

*Operating results.*—The following tables show the status of the Corporation's trust funds and the Corporation's operating results.

**STATUS OF TRUST FUNDS**

(In thousands of dollars)

	1995 actual	1996 actual	1997 est.	1998 est.
<b>Assets:</b>				
Cash .....	285,100	393,010	393,010	393,010
Investments .....	3,788,310	4,554,160	5,489,150	6,122,980
<b>Receivables:</b>				
Due from Pension Benefit Guaranty Corporation .....	6,212,830	5,872,970	6,598,830	7,321,830
Due from employers—terminated plans .....	76,210	44,050	250,590	262,330
Assets of pretrusteed plans .....	211,900	118,590	555,910	357,290
Other assets .....	128,700	124,680	124,690	124,690
<b>Total assets .....</b>	<b>10,703,050</b>	<b>11,107,460</b>	<b>13,412,180</b>	<b>14,582,130</b>
<b>Liabilities:</b>				
Estimate of future benefits—terminated plans .....	9,430,990	9,933,220	13,123,190	14,370,530
Estimate of probable terminations (net claims for) .....	1,179,030	954,690	195,430	118,040
Other liabilities .....	93,030	219,550	93,560	93,560
<b>Total liabilities .....</b>	<b>10,703,050</b>	<b>11,107,460</b>	<b>13,412,180</b>	<b>14,582,130</b>

**CHANGE IN CORPORATION'S LIABILITY UNDER TERMINATED PLANS**

(In thousands of dollars)

	1995 actual	1996 actual	1997 est.	1998 est.
Liability, beginning of year .....	4,475,547	5,033,803	4,918,288	6,403,394
Liability incurred due to plan terminations .....	156,010	342,830	1,396,345	731,479
(New liabilities assumed) .....	448,140	578,950	3,424,575	1,335,410
(Plan assets acquired) .....	(310,890)	(348,540)	(1,657,800)	(531,260)
(Recoveries from employers, net) .....	18,760	112,420	(370,430)	(72,671)
Operating loss of trust fund .....	812,170	(14,580)	505,375	550,820
Benefit payments .....	(409,924)	(443,765)	(416,614)	(481,900)
<b>Liability, end of year .....</b>	<b>5,033,803</b>	<b>4,918,288</b>	<b>6,403,394</b>	<b>7,203,793</b>

**Statement of Operations (in millions of dollars)**

Identification code 16-4204-0-3-601	1995 actual	1996 actual	1997 est.	1998 est.
<b>Revenue:</b>				
0101 Premium income .....	866	1,183	1,251	1,170
0101 Investment income .....	1,154	152	511	608
0101 Other income .....	2	6		
<b>Expense:</b>				
0102 Trust fund operating loss .....	-812	15	-501	-547
0102 Net liability due to plan terminations .....	-156	-343	-1,396	-731
0102 Provision for probable terminations .....	-13	224	759	77

0102 Change in allowance for uncollectible financial assistance .....	-109	-102	-24	-25
0102 Administrative expenses .....	-11	-21	-10	-12
0109 Net income or loss (-) .....	921	1,114	590	540
0191 Total revenues .....	2,022	1,341	1,762	1,778
0192 Total expenses .....	-1,101	-227	-1,172	-1,238
0199 Net income or loss .....	921	1,114	590	540

**Balance Sheet (in millions of dollars)**

Identification code 16-4204-0-3-601	1995 actual	1996 actual	1997 est.	1998 est.
<b>ASSETS:</b>				
<b>Federal assets:</b>				
1101 Fund balances with Treasury .....	1	1	306	306
Investments in US securities:				
Treasury securities, par:				
1102 Treasury securities, par .....	5,732	6,532	7,782	9,045
1102 Treasury securities, unamortized discount (-)/premium (+) .....	83	655	655	655
1106 Receivables, net .....	65	95	97	97
1206 Non-Federal assets: Receivables, net .....	131	247	36	30
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross .....	27	31		
1602 Interest receivable .....	15	19		
1603 Allowance for estimated uncollectible loans and interest (-) .....	-42	-50		
1699 Value of assets related to direct loans .....				
<b>Other Federal assets:</b>				
1803 Property, plant and equipment, net .....	4	4	4	4
1901 Other assets .....	609	24		
1999 Total assets .....	6,625	7,558	8,880	10,137
<b>LIABILITIES:</b>				
<b>Non-Federal liabilities:</b>				
2201 Accounts payable .....	266	328	317	290
2206 Pension and other actuarial liabilities .....	6,481	6,238	6,981	7,724
2999 Total liabilities .....	6,747	6,566	7,298	8,014
<b>NET POSITION:</b>				
3300 Cumulative results of operations .....	-122	992	1,582	2,123
3999 Total net position .....	-122	992	1,582	2,123
4999 Total liabilities and net position .....	6,625	7,558	8,880	10,137

**Object Classification (in millions of dollars)**

Identification code 16-4204-0-3-601	1996 actual	1997 est.	1998 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	37	40	41
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	2	1	2
11.9 Total personnel compensation .....	40	42	44
12.1 Civilian personnel benefits .....	8	9	9
21.0 Travel and transportation of persons .....	1	1	1
23.2 Rental payments to others .....	11	12	12
23.3 Communications, utilities, and miscellaneous charges .....	1	2	2
25.2 Other services .....	65	66	76
25.3 Purchases of goods and services from Government accounts .....	1	1	1
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	6	2	2
33.0 Investments and loans .....	4	6	6
42.0 Insurance claims and indemnities .....	873	858	982
99.0 Subtotal, reimbursable obligations .....	1,011	1,000	1,136
99.9 Total obligations .....	1,011	1,000	1,136

**Personnel Summary**

Identification code 16-4204-0-3-601	1996 actual	1997 est.	1998 est.
<b>Total compensable workyears:</b>			
2001 Full-time equivalent employment .....	716	731	731
2005 Full-time equivalent of overtime and holiday hours .....	14	14	14

**EMPLOYMENT STANDARDS ADMINISTRATION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses for the Employment Standards Administration, including reimbursement to State, Federal, and local agencies and their employees for inspection services rendered, **[\$290,422,000]** \$312,443,000, together with **[\$983,000]** \$1,760,000 which may be expended from the Special Fund in accordance with **[section]** sections 39(c), 44(i) (4) and (5) and 44(j) of the Longshore and Harbor Workers' Compensation Act: *Provided*, That the Secretary of Labor is authorized to accept, retain, and spend, until expended, in the name of the Department of Labor, all sums of money ordered to be paid to the Secretary of Labor, in accordance with the terms of the Consent Judgment in Civil Action No. 91-0027 of the United States District Court for the District of the Northern Mariana Islands (May 21, 1992): *Provided further*, That the Secretary of Labor is authorized to establish and, in accordance with 31 U.S.C. 3302, collect and deposit in the Treasury fees for processing applications and issuing certificates under sections 11(d) and 14 of the Fair Labor Standards Act of 1938, as amended (29 U.S.C. **[2119(d)]** 211(d) and 214) and for processing applications and issuing registrations under Title I of the Migrant and Seasonal Agricultural Worker Protection Act, 29 U.S.C. 1801 et seq. (*Department of Labor Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)

Identification code 16-0105-0-1-505	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
<b>Direct program:</b>			
00.01 Enforcement of wage and hour standards .....	100	118	125
00.02 Federal contractor EEO standards enforcement .....	56	59	69
00.03 Federal programs for workers' compensation .....	101	102	109
00.04 Program direction and support .....	11	12	12
00.05 Labor-management standards .....	24	25	26
00.91 Subtotal direct program .....	292	316	340
01.01 Reimbursable program .....	1	3	2
10.00 Total obligations .....	293	319	342
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	293	319	342
23.95 New obligations .....	-293	-319	-342
<b>New budget authority (gross), detail:</b>			
<b>Current:</b>			
40.00 Appropriation .....	265	290	312
40.75 Reduction pursuant to P.L. 104-208 .....		-1	
41.00 Transferred to other accounts .....	-7	-4	
42.00 Transferred from other accounts .....	6	4	
43.00 Appropriation (total) .....	264	289	312
<b>Permanent:</b>			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	29	30	30
70.00 Total new budget authority (gross) .....	293	319	342
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	21	26	27
73.10 New obligations .....	293	319	342
73.20 Total outlays (gross) .....	-286	-316	-342
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	26	27	27
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	241	263	288
86.93 Outlays from current balances .....	16	24	24
86.97 Outlays from new permanent authority .....	29	30	30
87.00 Total outlays (gross) .....	286	316	342
<b>Offsets:</b>			
<b>Against gross budget authority and outlays:</b>			
<b>Offsetting collections (cash) from:</b>			
88.00 Federal sources .....	-28	-27	-28
88.40 Non-Federal sources .....	-1	-3	-2

88.90	Total, offsetting collections (cash) .....	-29	-30	-30
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	264	289	312
90.00	Outlays .....	258	287	312

*Enforcement of wage and hour standards.*—The Wage and Hour Division works to obtain and encourage compliance with the minimum wage, overtime, child labor, and other employment standards under the Fair Labor Standards Act, Migrant and Seasonal Agricultural Worker Protection Act, the Family and Medical Leave Act, certain provisions of the Immigration and Nationality Act, the wage garnishment provisions in title III of the Consumer Credit Protection Act, and the Employee Polygraph Protection Act. Prevailing wages are determined and employment standards enforced under various Government contract wage standards. In 1998 approximately 281,300 persons are expected to be aided under the Fair Labor Standards Act through securing agreements with firms to pay back wages owed to their workers. Through the special minimum wage program, an estimated 200,000 persons will be aided by certificates issued and employment authorized. In Government contract compliance actions, about 29,500 persons will be aided through securing agreements to pay wages owed to workers. Under the Migrant and Seasonal Agricultural Worker Protection Act program, approximately 2,600 investigations and 1,700 housing inspections will be completed. In the course of all on-site investigations, investigators will routinely check for employer compliance with the employment eligibility verification recordkeeping requirements of the Immigration and Nationality Act. The Electronic Data Interchange (EDI)-based Electronic Service Contract Act Notification System, which will enable contracting agencies to request and receive wage determination data, became operational in 1997. The Budget includes resources for the Wage and Hour Division which will be assigned to areas where employment of illegal immigrants is most prevalent. The targeting of labor standards enforcement efforts in those industries and geographic areas where unauthorized workers are most prevalent will help to reduce the economic incentive for such illegal employment practices and will, in turn, reduce illegal immigration.

*Federal contractor EEO standards enforcement.*—The Office of Federal Contract Compliance Programs (OFCCP) is responsible for ensuring nondiscrimination in employment based on race, sex, religion, color, national origin, disability or veteran status by Federal contractors and subcontractors at 90,000 supply and service establishments and 150,000 construction sites with a total workforce of 22 million people. It conducts compliance reviews and complaint investigations. It assures that Federal contractors and subcontractors take affirmative action in the hiring and advancement of minorities and women under the authority of Executive Orders 11246 and 11375. It enforces the affirmative action and nondiscrimination provisions of the Rehabilitation Act of 1973 and, as an agent of the Equal Employment Opportunity Commission, the Americans With Disabilities Act of 1990. It ensures that contractors comply with the provisions of the Vietnam Era Veterans Readjustment Assistance Act of 1974 providing for affirmative action by Federal contractors to employ, and advance in employment, special disabled and Vietnam era veterans.

The Budget includes resources for a tiered-review process, which will significantly reduce the paperwork burden on federal contractors and increase coverage of the contractor universe; compliance assistance to ensure that federal contractors are fully aware of their equal employment opportunity responsibilities; increased vigilance in preventing discrimination based on national origin through coordination with the Department of Justice; and the review of federal contractor's compliance with the Family and Medical Leave Act. In 1998, approximately 2,760,000 individuals will be directly aided

General and special funds—Continued

SALARIES AND EXPENSES—Continued

through 6,000 compliance reviews, 900 complaint investigations, and 4,100 other compliance actions.

**Federal programs for workers' compensation.**—Under this income maintenance activity, the Employment Standards Administration administers the Federal Employees' Compensation Act, the Longshore and Harbor Workers' Compensation Act, and the benefit provisions of the Federal Mine Safety and Health Act of 1977. These programs insure that eligible disabled and injured workers or their survivors receive compensation and medical benefits and a range of services including rehabilitation, supervision of medical care, and technical and advisory counseling to which they are entitled. Monitoring and advisory services are provided with respect to State workers' compensation laws.

**Program direction and support.**—This activity includes planning, personnel management, financial management, and Federal/State liaison programs, management systems implementation, and data processing operations. Major goals in 1998 will include implementing financial management initiatives; continued efforts to eliminate internal fraud, waste, and mismanagement; the improvement of management information, automated data processing, and program and fiscal accountability; and legislative and regulatory improvements.

**Labor-management standards.**—The Office of Labor-Management Standards (OLMS) collects and discloses union financial reports; audits union financial records and investigates possible embezzlements of union funds; conducts election investigations and reruns of union officer elections after court determinations that elections were not conducted in accordance with the Labor Management Relations Disclosure Act; and administers the statutory program to certify employee protection provisions of various Federally-sponsored transportation programs. In FY 1998, OLMS expects to process 36,000 reports and conduct a total of 3,566 investigations, audits, and supervised elections.

Object Classification (in millions of dollars)

Identification code 16-0105-0-1-505	1996 actual	1997 est.	1998 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	171	180	188
11.3 Other than full-time permanent .....	3	2	4
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	176	184	194
12.1 Civilian personnel benefits .....	35	39	41
21.0 Travel and transportation of persons .....	4	9	9
22.0 Transportation of things .....		1	1
23.1 Rental payments to GSA .....	24	24	26
23.3 Communications, utilities, and miscellaneous charges .....	3	4	4
24.0 Printing and reproduction .....	1	1	1
25.2 Other services .....	2	2	8
25.3 Purchases of goods and services from Government accounts .....	23	25	25
25.7 Operation and maintenance of equipment .....	19	20	22
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	3	5	7
99.0 Subtotal, direct obligations .....	292	316	340
99.0 Reimbursable obligations .....	1	3	2
99.9 Total obligations .....	293	319	342

Personnel Summary

Identification code 16-0105-0-1-505	1996 actual	1997 est.	1998 est.
<b>Total compensable workyears:</b>			
1001 Full-time equivalent employment .....	3,672	3,942	4,055
1005 Full-time equivalent of overtime and holiday hours .....	6	6	6

SPECIAL BENEFITS

(INCLUDING TRANSFER OF FUNDS)

For the payment of compensation, benefits, and expenses (except administrative expenses) accruing during the current or any prior fiscal year authorized by [title 5, chapter 81 of the] chapter 81 of title 5, United States Code; continuation of benefits as provided for under the head "Civilian War Benefits" in the Federal Security Agency Appropriation Act, 1947; the Employees' Compensation Commission Appropriation Act, 1944; and sections 4(c) and 5(f) of the War Claims Act of 1948 (50 U.S.C. App. 2012); and 50 [per centum] percent of the additional compensation and benefits required by section 10(h) of the Longshore and Harbor Workers' Compensation Act, as amended, [\$213,000,000] \$201,000,000 together with such amounts as may be necessary to be charged to the subsequent year appropriation for the payment of compensation and other benefits for any period subsequent to August 15 of the current year: *Provided*, That [such sums as are necessary] amounts appropriated may be used under section 8104 of title 5, United States Code, by the Secretary to reimburse an employer, who is not the employer at the time of injury, for portions of the salary of a reemployed, disabled beneficiary: *Provided further*, That balances of reimbursements unobligated on September 30, [1996] 1997, shall remain available until expended for the payment of compensation, benefits, and expenses: *Provided further*, That in addition there shall be transferred to this appropriation from the Postal Service and from any other corporation or instrumentality required under section 8147(c) of title 5, United States Code, to pay an amount for its fair share of the cost of administration, such sums as the Secretary of Labor determines to be the cost of administration for employees of such fair share entities through September 30, [1997] 1998: *Provided further*, That of those funds transferred to this account from the fair share entities to pay the cost of administration, [\$11,390,000] \$7,269,000 shall be made available to the Secretary of Labor for expenditures relating to capital improvements in support of Federal Employees' Compensation Act administration, and the balance of such funds shall be paid into the Treasury as miscellaneous receipts: *Provided further*, That the Secretary may require that any person filing a notice of injury or a claim for benefits under [Subchapter 5, U.S.C., chapter 81, or under subchapter 33, U.S.C. 901, et seq. (the Longshore and Harbor Workers' Compensation Act, as amended)] chapter 81 of title 5, United States Code, or 33 U.S.C. 901 et seq., provide as part of such notice and claim, such identifying information (including Social Security account number) as such regulations may prescribe. (*Department of Labor Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)

Identification code 16-1521-0-1-600	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Longshore and harbor workers' compensation benefits .....	4	4	4
00.02 Federal Employees' Compensation Act benefits .....	1,997	1,995	1,991
10.00 Total obligations .....	2,001	1,999	1,995
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....	687	757	847
22.00 New budget authority (gross) .....	2,071	2,089	2,058
23.90 Total budgetary resources available for obligation .....	2,758	2,846	2,905
23.95 New obligations .....	-2,001	-1,999	-1,995
24.40 Unobligated balance available, end of year:			
Uninvested balance .....	757	847	910
<b>New budget authority (gross), detail:</b>			
<b>Current:</b>			
40.00 Appropriation .....	218	213	201
<b>Permanent:</b>			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	1,853	1,876	1,857
70.00 Total new budget authority (gross) .....	2,071	2,089	2,058

Change in unpaid obligations:

72.90 Unpaid obligations, start of year: Obligated balance:			
Fund balance .....		78	78
73.10 New obligations .....	2,001	1,999	1,995
73.20 Total outlays (gross) .....	-1,923	-1,999	-1,995

74.90	Unpaid obligations, end of year: Obligated balance:			
	Fund balance .....	78	78	78
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority .....	70	123	138
86.93	Outlays from current balances .....		90	67
86.97	Outlays from new permanent authority .....	1,853	1,786	1,790
87.00	Total outlays (gross) .....	1,923	1,999	1,995
<b>Offsets:</b>				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources .....	-1,853	-1,876	-1,857
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	218	213	201
90.00	Outlays .....	70	123	138

Note.—In FY 1996, presentation of accrued liabilities was initiated in the Special Fund. Implementation of this accounting adjustment requires an overstatement of the FY 1996 obligations compared with FY 1995 and all prior fiscal years.

**Federal Employees' Compensation Act Benefits.**—Under the Federal Employees' Compensation Act program, income is replaced if a job injury results in time away from work. Medical bills arising from compensable job injuries are also paid. Not all benefits are paid by the program since the first 45 days of disability are usually covered by keeping injured workers in pay status with their employing agencies. In 1998, 175,000 injured federal workers or their survivors will file claims; 57,500 will receive long-term wage replacement benefits for job-related injuries, diseases, or deaths. Most of the costs of this account are charged back to the employing agencies of beneficiaries.

FEDERAL EMPLOYEES' COMPENSATION WORKLOAD

	1996 actual	1997 est.	1998 est.
Wage-loss claims received .....	20,392	20,000	20,000
Compensation and medical payments .....	2,387,341	2,400,000	2,400,000
Cases received .....	175,052	175,000	175,000
Periodic payment cases .....	58,329	58,000	57,500

**Longshore and harbor workers' compensation benefits.**—Under the Longshore and Harbor Workers' Compensation Act, as amended, the Federal Government pays from direct appropriations one-half of the increased benefits provided by the amendments for persons on the rolls prior to 1972. The remainder is provided from the special fund which is financed by private employers assessed at the beginning of each calendar year for their proportionate share of these payments.

Object Classification (in millions of dollars)

Identification code 16-1521-0-1-600	1996 actual	1997 est.	1998 est.
25.7 Operation and maintenance of equipment .....	4	1	1
31.0 Equipment .....	9	17	6
42.0 Insurance claims and indemnities .....	1,988	1,981	1,988
99.9 Total obligations .....	2,001	1,999	1,995

Note.—In FY 1996, presentation of accrued liabilities was initiated in the Special Fund. Implementation of this accounting adjustment requires an overstatement of the FY 1996 obligations compared with FY 1995 and all prior fiscal years.

PANAMA CANAL COMMISSION COMPENSATION FUND

Unavailable Collections (in millions of dollars)

Identification code 16-5155-0-2-602	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99 Balance, start of year .....			
Receipts:			
02.01 Deposits for Panama Commission Compensation Fund, Labor .....	11	8	7
02.02 Interest on investments, Panama Canal Comm., Labor .....	4	5	5
02.99 Total receipts .....	15	13	12
Appropriation:			
05.01 Panama Canal Commission compensation fund .....	-15	-13	-12
07.99 Total balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 16-5155-0-2-602	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 42.0) .....	6	7	7
<b>Budgetary resources available for obligation:</b>			
Unobligated balance available, start of year:			
U.S. Securities:			
21.41 Par value .....	64	73	79
21.42 Unrealized discounts .....	-1	-1	-1
21.99 Total unobligated balance, start of year .....	63	72	78
22.00 New budget authority (gross) .....	15	13	12
23.90 Total budgetary resources available for obligation .....	78	85	90
23.95 New obligations .....	-6	-7	-7
Unobligated balance available, end of year:			
U.S. Securities:			
24.41 Par value .....	73	79	83
24.42 Unrealized discounts .....	-1	-1	-1
24.99 Total unobligated balance, end of year .....	72	78	82
<b>New budget authority (gross), detail:</b>			
60.25 Appropriation (special fund, indefinite) .....	15	13	12
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	6	7	7
73.20 Total outlays (gross) .....	-6	-7	-7
<b>Outlays (gross), detail:</b>			
86.98 Outlays from permanent balances .....	6	7	7
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	15	13	12
90.00 Outlays .....	6	7	7

**Panama Canal Commission Compensation Fund.**—This fund has been established to provide for the accumulation of funds to meet the Panama Canal Commission's obligations to defray costs of workers' compensation which will accrue pursuant to the Federal Employees' Compensation Act (FECA). On December 31, 1999, the Commission will be dissolved as set forth in the Panama Canal Treaty of 1977, and the liability of the Commission for payments beyond that date will not end with its termination. The establishment of this fund, into which funds will be deposited on a regular basis by the Commission is in conjunction with the transfer of the administration of the FECA program from the Commission to the Department of Labor effective January 1, 1989.

Trust Funds

BLACK LUNG DISABILITY TRUST FUND  
(INCLUDING TRANSFER OF FUNDS)

【For payments from the Black Lung Disability Trust Fund, \$1,007,644,000, of which \$961,665,000 shall be available until September 30, 1998, for payment of all benefits as authorized by section 9501(d) (1), (2), (4), and (7) of the Internal Revenue Code of 1954, as amended, and interest on advances as authorized by section 9501(c)(2) of that Act, and of which \$26,071,000 shall be available for transfer to Employment Standards Administration, Salaries and Expenses, \$19,621,000 for transfer to Departmental Management, Salaries and Expenses, and \$287,000 for transfer to Departmental Management, Office of Inspector General, for expenses of operation and administration of the Black Lung Benefits program as authorized by section 9501(d)(5)(A) of that Act: *Provided*, That, in addition, such amounts as may be necessary may be charged to the subsequent year appropriation for the payment of compensation, interest, or other benefits for any period subsequent to August 15 of the current year: *Provided further*, That in addition such amounts shall be paid from this fund into miscellaneous receipts as the Secretary of the Treasury determines to be the administrative expenses of the Department of the Treasury for administering the fund during the current fiscal year, as authorized by section 9501(d)(5)(B) of that Act.】

**BLACK LUNG DISABILITY TRUST FUND—Continued**  
(INCLUDING TRANSFER OF FUNDS)—Continued

*Beginning in fiscal year 1998 and thereafter, such sums as may be necessary from the Black Lung Disability Trust Fund, to remain available until expended, for payment of all benefits authorized by section 9501(d) (1), (2), (4) and (7), of the Internal Revenue Code of 1954, as amended; and interest on advances as authorized by section 9501(c)(2) of that Act; and in addition, the following amounts shall be available from the Fund for fiscal year 1998 for expenses of operation and administration of the Black Lung Benefits program as authorized by section 9501(d)(5) of that Act \$26,147,000 for transfer to the Employment Standards Administration, "Salaries and Expenses"; \$19,551,000 for transfer to Departmental Management, "Salaries and Expenses"; \$296,000 for transfer to Departmental Management, "Office of Inspector General"; and \$356,000 for payment into miscellaneous receipts for the expenses of the Department of Treasury. (Department of Labor Appropriations Act, 1997.)*

**Unavailable Collections (in millions of dollars)**

Identification code 20-8144-0-7-601	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99 Balance, start of year .....	2	7	
Receipts:			
02.01 Transfer from general fund, Black Lung Benefits Revenue Act taxes .....	614	604	613
02.02 Miscellaneous interest .....	3	2	2
02.99 Total receipts .....	617	606	615
04.00 Total: Balances and collections .....	619	613	615
Appropriation:			
05.01 Administrative Expenses .....	-612	-613	-615
05.99 Subtotal appropriation .....	-612	-613	-615
07.99 Balance, end of year .....	7		

**Program and Financing (in millions of dollars)**

Identification code 20-8144-0-7-601	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Disabled coal miners benefits .....	494	496	466
00.02 Administrative expenses .....	47	47	47
00.03 Interest on advances .....	445	465	494
10.00 Total obligations .....	986	1,008	1,007
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	986	1,008	1,007
23.95 New obligations .....	-986	-1,008	-1,007
<b>New budget authority (gross), detail:</b>			
40.26 Appropriation (trust fund, definite) .....	47	46	46
40.27 Appropriation (trust fund, indefinite) .....	565	567	569
43.00 Appropriation (total) .....	612	613	615
47.05 Authority to borrow (indefinite) .....	374	395	392
70.00 Total new budget authority (gross) .....	986	1,008	1,007
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	986	1,008	1,007
73.20 Total outlays (gross) .....	-986	-1,008	-1,007
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	986	1,008	1,007
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	986	1,008	1,007
90.00 Outlays .....	986	1,008	1,007

The trust fund consists of all moneys collected from the coal mine industry under the provisions of the Black Lung Benefits Revenue Act of 1981, as amended by the Consolidated Omnibus Budget Reconciliation Act of 1985, in the form of an excise tax on mined coal. These moneys are expended to pay compensation, medical, and survivor benefits to eligible miners and their survivors, where mine employment termi-

nated prior to 1970 or where no mine operator can be assigned liability. In addition the fund pays all administrative costs incurred in the operation of part C of the black lung program. The fund is administered jointly by the Secretaries of Labor, the Treasury, and Health and Human Services. The Benefits Revenue Act provides for repayable advances to the fund in the event fund resources will not be adequate to meet program obligations. Such advances are to be repaid with interest. The outstanding debt at the end of each year was: 1981, \$1,510 million; 1982, \$1,793 million; 1983, \$2,151 million; 1984, \$2,497 million; 1985, \$2,833 million; 1986, \$2,884 million; 1987, \$2,952 million; 1988, \$2,993 million; 1989, \$3,049 million; 1990, \$3,049 million; 1991, \$3,266 million; 1992, \$3,606 million; 1993, \$3,949 million; 1994, \$4,363 million; 1995, \$4,738 million; 1996, \$5,112 million; 1997, \$5,507 million, and 1998 \$5,899 million.

**BLACK LUNG DISABILITY TRUST FUND WORKLOAD**

	1996 actual	1997 est.	1998 est.
Claims received .....	6,791	6,500	6,300
Claims in payment status .....	64,501	62,500	60,000
Medical benefits only recipients .....	16,762	14,500	13,500

**Status of Funds (in millions of dollars)**

Identification code 20-8144-0-7-601	1996 actual	1997 est.	1998 est.
<b>Unexpended balance, start of year:</b>			
0100 Treasury balance .....	2	7	
0105 Outstanding Treasury borrowing .....	-4,738	-5,112	-5,507
0199 Total balance, start of year .....	-4,736	-5,105	-5,507
<b>Cash income during the year:</b>			
<b>Governmental receipts:</b>			
0200 Transfer from general fund, Black Lung Benefits Revenue Act taxes .....	614	604	613
<b>Proprietary receipts:</b>			
0220 Miscellaneous interest, Black Lung fund .....	3	2	2
0299 Total cash income .....	617	606	615
<b>Cash outgo during year:</b>			
0500 Black lung disability trust fund .....	-986	-1,008	-1,007
<b>Unexpended balance, end of year:</b>			
0700 Cash Balance .....	7		
0705 Outstanding Treasury borrowing .....	-5,112	-5,507	-5,899
0799 Total balance, end of year .....	-5,105	-5,507	-5,899

**Object Classification (in millions of dollars)**

Identification code 20-8144-0-7-601	1996 actual	1997 est.	1998 est.
25.2 Other services .....	47	47	47
42.0 Insurance claims and indemnities .....	494	496	466
43.0 Interest and dividends .....	445	465	494
99.9 Total obligations .....	986	1,008	1,007

**SPECIAL WORKERS' COMPENSATION EXPENSES**

**Unavailable Collections (in millions of dollars)**

Identification code 16-9971-0-7-601	1996 actual	1997 est.	1998 est.
<b>Balance, start of year:</b>			
01.99 Balance, start of year .....			
Receipts:			
02.01 Longshoremen's & Harbor Workers Compensation Act, Receipts, Special workers' .....	116	137	139
02.02 Longshoremen's & Harbor Workers Compensation Act, Earnings on investments, Special workers', Labor .....	3	2	2
02.03 Workmen's Compensation Act within District of Columbia, Receipts, Special workers' .....	11	12	12
02.99 Total receipts .....	130	151	153
Appropriation:			
05.01 Special workers' compensation expenses .....	-130	-151	-153
05.99 Subtotal appropriation .....	-130	-151	-153
07.99 Total balance, end of year .....			



## Program and Financing (in millions of dollars)

Identification code 16-9971-0-7-601	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Longshore and Harbor Workers' Compensation Act, as amended .....	119	133	135
00.02 District of Columbia Compensation Act .....	11	11	11
10.00 Total obligations .....	130	144	146
<b>Budgetary resources available for obligation:</b>			
Unobligated balance available, start of year:			
21.40 Uninvested balance .....	1		
U.S. Securities:			
21.41 Par value .....	77	79	86
21.42 Unrealized discounts .....	-1	-1	-1
21.99 Total unobligated balance, start of year .....	77	78	85
22.00 New budget authority (gross) .....	130	151	153
23.90 Total budgetary resources available for obligation .....	207	229	238
23.95 New obligations .....	-130	-144	-146
Unobligated balance available, end of year:			
U.S. Securities:			
24.41 Par value .....	79	86	93
24.42 Unrealized discounts .....	-1	-1	-1
24.99 Total unobligated balance, end of year .....	78	85	92
<b>New budget authority (gross), detail:</b>			
Current:			
40.26 Appropriation (trust fund, definite) .....	1	1	2
Permanent:			
60.27 Permanent Appropriation (trust fund, indefinite) .....	129	150	151
70.00 Total new budget authority (gross) .....	130	151	153
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	4	5	5
73.10 New obligations .....	130	144	146
73.20 Total outlays (gross) .....	-129	-144	-146
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	5	5	5
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	1	1	2
86.97 Outlays from new permanent authority .....	52	66	60
86.98 Outlays from permanent balances .....	76	77	84
87.00 Total outlays (gross) .....	129	144	146
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	130	151	153
90.00 Outlays .....	129	144	146
<b>Distribution of budget authority by account:</b>			
Longshore and Harbor Workers' Compensation Act .....	119	139	141
District of Columbia Compensation Act .....	12	12	12
<b>Distribution of outlays by account:</b>			
Longshore and Harbor Workers' Compensation Act .....	117	133	135
District of Columbia Compensation Act .....	12	11	11

The trust funds consist of amounts received from employers for the death of an employee where no person is entitled to compensation for such death, for fines and penalty payments, and pursuant to an annual assessment of the industry, for the general expenses of the fund under the Longshore and Harbor Workers' Compensation Act, as amended.

These trust funds are available for payments of additional compensation for second injuries. When a second injury is combined with a previous disability and results in increased permanent partial disability, permanent total disability, or death, the employer's liability for benefits is limited to a specified period of compensation payments after which the fund provides continuing compensation benefits. In addition, the fund pays one-half of the increased benefits provided under the Longshore and Harbor Workers' Compensation Act, as amended, for persons on the rolls prior to 1972. Maintenance payments are made to disabled employees undergoing

vocational rehabilitation to enable them to return to remunerative occupations, and the costs of necessary rehabilitation services not otherwise available to disabled workers are defrayed. Payments are made in cases where other circumstances preclude payment by an employer and to provide medical, surgical, and other treatment in disability cases where there has been a default by the insolvency of an uninsured employer.

## Object Classification (in millions of dollars)

Identification code 16-9971-0-7-601	1996 actual	1997 est.	1998 est.
25.3 Purchases of goods and services from Government accounts .....	1	1	2
42.0 Insurance claims and indemnities .....	129	143	144
99.9 Total obligations .....	130	144	146

OCCUPATIONAL SAFETY AND HEALTH  
ADMINISTRATION

## Federal Funds

## General and special funds:

## SALARIES AND EXPENSES

For necessary expenses for the Occupational Safety and Health Administration, [\$325,734,000] \$347,805,000, including not to exceed [\$77,354,000] \$79,175,000 which shall be the maximum amount available for grants to States under section 23(g) of the Occupational Safety and Health Act, which grants shall be no less than fifty percent of the costs of State occupational safety and health programs required to be incurred under plans approved by the Secretary under section 18 of the Occupational Safety and Health Act of 1970; and, in addition, notwithstanding 31 U.S.C. 3302, the Occupational Safety and Health Administration may retain up to \$750,000 per fiscal year of training institute course tuition fees, otherwise authorized by law to be collected, and may utilize such sums for occupational safety and health training and education grants: *Provided*, That, notwithstanding 31 U.S.C. 3302, the Secretary of Labor is authorized, during the fiscal year ending September 30, [1997] 1998, to collect and retain fees for services provided to Nationally Recognized Testing Laboratories, and may utilize such sums, in accordance with the provisions of 29 U.S.C. 9a, to administer national and international laboratory recognition programs that ensure the safety of equipment and products used by workers in the workplace: *Provided further*, That none of the funds appropriated under this paragraph shall be obligated or expended to prescribe, issue, administer, or enforce any standard, rule, regulation, or order under the Occupational Safety and Health Act of 1970 which is applicable to any person who is engaged in a farming operation which does not maintain a temporary labor camp and employs ten or fewer employees: *Provided further*, That no funds appropriated under this paragraph shall be obligated or expended to administer or enforce any standard, rule, regulation, or order under the Occupational Safety and Health Act of 1970 with respect to any employer of ten or fewer employees who is included within a category having an occupational injury lost workday case rate, at the most precise Standard Industrial Classification Code for which such data are published, less than the national average rate as such rates are most recently published by the Secretary, acting through the Bureau of Labor Statistics, in accordance with section 24 of that Act (29 U.S.C. 673), except—

(1) to provide, as authorized by such Act, consultation, technical assistance, educational and training services, and to conduct surveys and studies;

(2) to conduct an inspection or investigation in response to an employee complaint, to issue a citation for violations found during such inspection, and to assess a penalty for violations which are not corrected within a reasonable abatement period and for any willful violations found;

(3) to take any action authorized by such Act with respect to imminent dangers;

(4) to take any action authorized by such Act with respect to health hazards;

(5) to take any action authorized by such Act with respect to a report of an employment accident which is fatal to one or more

## General and special funds—Continued

## SALARIES AND EXPENSES—Continued

employees or which results in hospitalization of two or more employees, and to take any action pursuant to such investigation authorized by such Act; and

(6) to take any action authorized by such Act with respect to complaints of discrimination against employees for exercising rights under such Act: *Provided further*, That the foregoing proviso shall not apply to any person who is engaged in a farming operation which does not maintain a temporary labor camp and employs ten or fewer employees]. (*Department of Labor Appropriations Act, 1997.*)

## Program and Financing (in millions of dollars)

Identification code 16-0400-0-1-554	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Safety and health standards .....	8	12	13
00.02 Federal enforcement .....	123	126	136
00.03 State programs .....	68	77	79
00.04 Technical support .....	20	17	18
00.05 Federal compliance assistance .....	27	37	46
00.06 State consultation grants .....	35	34	35
00.07 Safety and health statistics .....	16	14	14
00.08 Executive direction and administration .....	7	7	7
00.91 Total direct program .....	304	325	348
01.01 Reimbursable program .....	2	3	2
10.00 Total obligations .....	306	327	350
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	306	327	350
23.95 New obligations .....	-306	-327	-350
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	304	326	348
40.75 Reduction pursuant to P.L. 104-208 .....		-1	
43.00 Appropriation (total) .....	304	325	348
Permanent:			
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash) .....	3	3	2
68.10 Change in orders on hand from Federal sources .....	-1		
68.90 Spending authority from offsetting collections (total) .....	2	3	2
70.00 Total new budget authority (gross) .....	306	328	350
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Obligated balance: Appropriation .....	50	67	68
72.95 Orders on hand from Federal sources .....	1		
72.99 Total unpaid obligations, start of year .....	51	67	68
73.10 New obligations .....	306	327	350
73.20 Total outlays (gross) .....	-290	-326	-347
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	67	68	71
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	245	283	303
86.93 Outlays from current balances .....	43	40	42
86.97 Outlays from new permanent authority .....	2	3	2
87.00 Total outlays (gross) .....	290	326	347
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-1	-1	-1
88.40 Non-Federal sources .....	-2	-2	-1
88.90 Total, offsetting collections (cash) .....	-3	-3	-2
88.95 Change in orders on hand from Federal sources .....	1		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	304	324	348
90.00 Outlays .....	288	323	345

*Safety and Health Standards.*—The safety and health standards activity provides for the development, promulgation, review and evaluation of occupational safety and health standards under procedures providing opportunity for public comment. Before any standard is proposed or promulgated, a determination is made that: (1) a significant risk of serious injury or health impairment exists; (2) the standard will reduce this risk; (3) the standard is economically and technologically feasible; and (4) the standard is cost-effective when compared with alternative regulatory proposals providing equal levels of protection. Regulatory reform efforts include consensus-based rulemaking, development of common sense regulations, rewriting existing standards in plain language, and regulatory process improvements.

*Enforcement.*—This activity provides for the enforcement of workplace standards promulgated under the Occupational Safety and Health Act of 1970 through the physical inspection of worksites, and by fostering the voluntary cooperation of employers and employees. This activity also provides grants to assist States in administering and enforcing State standards. Programs are targeted to the investigation of claims of imminent danger and employee complaints, investigation of fatal and catastrophic accidents, programmed inspections of firms with injury-illness rates that are above the national average, and special emphasis inspections for serious safety and health hazards. OSHA's enforcement strategy includes a selective targeting of inspections and related compliance activities to specific high hazard industries, and offering employers a choice between a partnership and a traditional enforcement relationship.

*Technical support.*—Technical assistance is provided on all aspects of standards setting, variances, compliance assistance, and enforcement programs. Laboratory support is provided to compliance officers. Comprehensive scientific and technical information services are made available. The economic and environmental impacts of proposed standards are analyzed.

*Compliance Assistance—Federal.*—This activity supports a variety of employer and employee assistance programs, consistent with OSHA's partnership initiatives. Outreach activities are conducted, including training and information exchanges and technical assistance to employers requesting such help. Grants are awarded to nonprofit organizations to provide employee and employer training programs, targeted to address specific industry needs for safety and health education. Employers are encouraged to establish voluntary employee protection programs, and Federal agencies are assisted in implementing job safety and health programs for their employees. Professional training for compliance personnel and others with related workplace safety and health responsibilities is conducted at the OSHA Training Institute, and further training is provided by education centers selected and sanctioned by the institute.

*Compliance Assistance—State.*—This activity supports 90 percent Federally-funded cooperative agreements with designated State agencies to provide free on-site consultation to employers upon request. State agencies tailor workplans to specific needs in each State while maximizing their impact on injury and illness rates in smaller establishments. These projects offer a variety of services, including safety and health program assessment and assistance, hazard identification and control, and training of employers and their employees.

*Statistics.*—Information technology, management information and statistical support for OSHA's programs and field operations are provided through an integrated data network, and statistical analysis and review. OSHA administers and maintains the recordkeeping system which serves as the foundation for the BLS survey on occupational injuries and illnesses, provides guidance and recordkeeping requirements to both the public and private sectors, and develops regulations along with interpretive publications and materials.

**Executive direction and administration.**—These activities include executive direction, planning and evaluation, management support, legislative liaison, interagency affairs, administrative services, and budgeting and financial control.

**PROGRAM STATISTICS**

	1996 actual	1997 est.	1998 est.
Standards promulgated .....	7	12	10
Inspections:			
Federal inspections .....	24,024	31,500	34,000
State program inspections .....	57,199	58,400	58,400
Training and consultations:			
Training grants supported .....	26	21	45
Consultation visits .....	24,708	25,000	25,000

**Object Classification (in millions of dollars)**

Identification code 16-0400-0-1-554	1996 actual	1997 est.	1998 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	103	114	122
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	2	2
11.9 Total personnel compensation .....	105	117	125
12.1 Civilian personnel benefits .....	23	25	27
21.0 Travel and transportation of persons .....	6	7	9
23.1 Rental payments to GSA .....	15	16	17
23.3 Communications, utilities, and miscellaneous charges .....	2	3	3
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	9	4	4
25.2 Other services .....	39	45	47
25.3 Purchases of goods and services from Government accounts .....	16	13	14
25.7 Operation and maintenance of equipment .....	7	4	4
26.0 Supplies and materials .....	3	3	3
31.0 Equipment .....	7	6	6
41.0 Grants, subsidies, and contributions .....	71	79	85
99.0 Subtotal, direct obligations .....	304	323	345
99.0 Reimbursable obligations .....	2	3	2
99.5 Below reporting threshold .....		1	3
99.9 Total obligations .....	306	327	350

**Personnel Summary**

Identification code 16-0400-0-1-554	1996 actual	1997 est.	1998 est.
<b>Total compensable workyears:</b>			
1001 Full-time equivalent employment .....	2,069	2,241	2,361
1005 Full-time equivalent of overtime and holiday hours .....	2	3	3

**ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS**

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriations as follows:  
Environmental Protection Agency: Hazardous Substance Response Trust Fund.

**MINE SAFETY AND HEALTH ADMINISTRATION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses for the Mine Safety and Health Administration, [\$197,810,000] \$205,804,000, including purchase and bestowal of certificates and trophies in connection with mine rescue and first-aid work, and the hire of passenger motor vehicles; the Secretary is authorized to accept lands, buildings, equipment, and other contributions from public and private sources and to prosecute projects in cooperation with other agencies, Federal, State, or private; the Mine Safety and Health Administration is authorized to promote health and safety education and training in the mining community through cooperative programs with States, industry, and safety associations; and any funds available to the Department may be used, with the approval of the Secretary, to provide for the costs of mine

rescue and survival operations in the event of a major disaster[. Provided, That none of the funds appropriated under this paragraph shall be obligated or expended to carry out section 115 of the Federal Mine Safety and Health Act of 1977 or to carry out that portion of section 104(g)(1) of such Act relating to the enforcement of any training requirements, with respect to shell dredging, or with respect to any sand, gravel, surface stone, surface clay, colloidal phosphate, or surface limestone mine]. (30 U.S.C. 1-11, 801, 951 et seq; 91 Stat. 1290-1322; Stat. 111, 137.) (Department of Labor Appropriations Act, 1997.)

**Program and Financing (in millions of dollars)**

Identification code 16-1200-0-1-554	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
<b>Enforcement:</b>			
00.01 Coal .....	106	107	108
00.02 Metal/non-metal .....	41	42	44
00.03 Standards development .....	1	1	1
00.04 Assessments .....	3	3	4
00.05 Educational policy and development .....	15	15	15
00.06 Technical support .....	21	21	25
00.07 Program administration .....	8	8	9
10.00 Total obligations .....	195	197	206
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	196	197	206
23.95 New obligations .....	-195	-197	-206
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	196	198	206
40.75 Reduction pursuant to P.L. 104-208 .....		-1	
43.00 Appropriation (total) .....	196	197	206
70.00 Total new budget authority (gross) .....	196	197	206
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	22	28	28
73.10 New obligations .....	195	197	206
73.20 Total outlays (gross) .....	-189	-197	-205
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	28	28	29
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	169	179	187
86.93 Outlays from current balances .....	20	18	18
87.00 Total outlays (gross) .....	189	197	205
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	196	197	206
90.00 Outlays .....	189	197	205

**Enforcement.**—The Enforcement strategy in 1998 will be an integrated approach that links all actions to preventing occupational injuries and illness. These include inspection of mines as mandated by the Federal Mine Safety and Health Act of 1977, special emphasis initiatives that focus on persistent safety and health hazards, promulgation of safety and health standards, investigation of serious accidents, and on-site education and training assistance. The desired outcome of these enforcement efforts is to at least maintain or lower fatality and injury rates.

**Assessments.**—This activity assesses and collects civil monetary penalties for violations of safety and health standards.

**Educational policy and development.**—This activity develops and coordinates MSHA's mine safety and health education and training policies, and provides classroom instruction at the National Academy for MSHA personnel, other governmental personnel, and the mining industry. States provide mine health and safety training materials, and provide technical assistance through the State Grants program.

**Technical support.**—This activity applies engineering and scientific expertise through field and laboratory forensic investigations to resolve technical problems associated with imple-

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

mentation of the Mine Act. Technical support administers a fee program to approve equipment, materials, and explosives for use in mines and performs field and laboratory audits of equipment previously approved by MSHA. It also collects and analyzes data relative to the cause, frequency, and circumstances of accidents.

*Program administration.*—This activity provides for general administrative functions.

**PROGRAM STATISTICS**

	1996 actual	1997 est.	1998 est.
<b>Enforcement:</b>			
<b>Fatality Rates:</b>			
Coal mines .....	.038	.038	.038
Metal/non-metal mines .....	.028	.028	.028
<b>Non-fatal lost time injury rates:</b>			
Coal mines .....	5.11	5.11	5.11
Metal/Non-metal mines .....	2.62	2.62	2.62
Regulations promulgated .....	5	16	11
<b>Assessments:</b>			
Violations assessed .....	106,566	110,000	110,000
<b>Educational policy and development:</b>			
Course days .....	518	550	550
<b>Technical support:</b>			
Equipment approvals .....	1,259	1,500	1,500
Field investigations .....	1,280	1,350	1,350
Laboratory samples analyzed .....	82,122	102,000	127,000

Note.—Incidence rates represent the number of injuries that occur for each 200,000 employee-hours worked.

**Object Classification (in millions of dollars)**

Identification code 16-1200-0-1-554	1996 actual	1997 est.	1998 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	109	111	114
11.3 Other than full-time permanent .....	1	1	
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	112	114	116
12.1 Civilian personnel benefits .....	31	33	34
21.0 Travel and transportation of things .....	5	7	7
22.0 Transportation of things .....	3	3	3
23.1 Rental payments to GSA .....	9	9	9
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
24.0 Printing and reproduction .....	1	1	1
25.2 Other services .....	3	4	5
25.3 Purchases of goods and services from Government accounts .....	7	7	8
25.4 Operation and maintenance of facilities .....	2		
25.7 Operation and maintenance of equipment .....	4	3	4
26.0 Supplies and materials .....	3	3	3
31.0 Equipment .....	7	5	8
41.0 Grants, subsidies, and contributions .....	6	6	6
99.9 Total obligations .....	195	197	206

**Personnel Summary**

Identification code 16-1200-0-1-554	1996 actual	1997 est.	1998 est.
<b>Total compensable workyears:</b>			
1001 Full-time equivalent employment .....	2,258	2,198	2,186
1005 Full-time equivalent of overtime and holiday hours .....	10	10	10

**BUREAU OF LABOR STATISTICS**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses for the Bureau of Labor Statistics, including advances or reimbursements to State, Federal, and local agencies and their employees for services rendered, **[\$309,647,000]** *\$326,609,000*, of which **[\$16,145,000]** *\$15,430,000* shall be for expenses of revising the Consumer Price Index and shall remain available until September 30, **[1998]** *1999*, together with not to exceed **[\$52,053,000]**

*\$52,848,000*, which may be expended from the Employment Security Administration account in the Unemployment Trust Fund. (*Department of Labor Appropriations Act, 1997.*)

**Program and Financing (in millions of dollars)**

Identification code 16-0200-0-1-505	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
<b>Direct program:</b>			
00.01 Labor force statistics .....	147	153	163
00.02 Prices and cost of living .....	96	100	107
00.03 Compensation and working conditions .....	55	57	59
00.04 Productivity and technology .....	7	7	7
00.05 Employment projections .....	4	5	5
00.06 Executive direction and staff services .....	22	22	23
00.07 Consumer price index revision .....	12	16	15
00.91 Total direct program .....	343	361	379
01.01 Reimbursable program .....	17	19	19
10.00 Total obligations .....	360	380	398
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	360	380	399
23.95 New obligations .....	-360	-380	-398
<b>New budget authority (gross), detail:</b>			
<b>Current:</b>			
40.00 Appropriation .....	292	310	327
40.75 Reduction pursuant to P.L. 104-208 .....		-1	
43.00 Appropriation (total) .....	292	309	327
<b>Permanent:</b>			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	68	71	72
70.00 Total new budget authority (gross) .....	360	380	398
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	67	77	78
73.10 New obligations .....	360	380	398
73.20 Total outlays (gross) .....	-349	-380	-394
73.40 Adjustments in expired accounts .....	-1		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	77	78	82
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	233	254	268
86.93 Outlays from current balances .....	48	55	54
86.97 Outlays from new permanent authority .....	68	71	72
87.00 Total outlays (gross) .....	349	380	394
<b>Offsets:</b>			
<b>Against gross budget authority and outlays:</b>			
<b>Offsetting collections (cash) from:</b>			
88.00 Federal sources .....	-66	-69	-70
88.40 Non-Federal sources .....	-2	-2	-2
88.90 Total, offsetting collections (cash) .....	-68	-71	-72
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	292	309	327
90.00 Outlays .....	281	309	322

*Labor force statistics.*—Publishes monthly estimates of the labor force, employment, unemployment, and earnings for the Nation, States, and local areas. Makes studies of the labor force. Publishes data on covered employment and wages, by industry.

	1996 actual	1997 est.	1998 est.
<b>Labor force statistics (selected items):</b>			
Covered employment and wages (quarterly series) .....	1,000,201	1,000,201	1,000,201
Employment and unemployment estimates for States and local areas (monthly and annual series) .....	86,300	87,100	87,100
Occupational employment statistics (annual series) .....	57,000	57,040	57,040

*Prices and cost of living.*—Publishes the Consumer Price Index (CPI), the Producer Price Index (PPI), Export and Import Price Indexes (IP), estimates of consumers' expenditures, and studies of price change.

	1996 actual	1997 est.	1998 est.
Consumer price indexes published (monthly) .....	8,360	8,360	8,360
Producer prices:			
(a) Commodity indexes published (monthly) .....	3,041	3,041	3,041
(b) Mining and manufacturing indexes published (monthly) .....	9,589	9,489	9,389
International prices and price indexes:			
(a) Sample units initiated (annually) .....	3,200	3,200	3,200
(b) Price quotations collected (monthly) .....	25,000	24,000	23,000

**Compensation and working conditions.**—Publishes data on wages and benefits by occupation for major labor markets and industries. Compiles annual information to estimate the incidence and number of work-related injuries, illnesses, and fatalities. Includes resources to continue producing surveys to support the implementation of the Federal Employees Pay Comparability Act of 1990.

	1996 actual	1997 est.	1998 est.
Compensation and working conditions (major items):			
Employment cost index—number of schedules .....	6,700	7,500	8,000
Occupational Safety and Health—number of schedules .....	280,000	200,000	200,000
Federal pay reform—number of schedules .....	17,000	20,900	30,600

**Productivity and technology.**—Provides studies of productivity changes for industries and major economic sectors. Develops international comparisons of productivity and cost. Studies the effects of technology change on employment and productivity.

	1996 actual	1997 est.	1998 est.
Studies, articles, and special reports .....	39	40	40
Series maintained .....	4,667	4,693	4,716

**Employment projections.**—Provides economic projections, including changes in the level and structure of economic growth, and industry employment and occupational projections. Publishes the *Occupational Outlook Handbook and Quarterly*.

	1996 actual	1997 est.	1998 est.
Industry projections (2 year cycle) .....	114	114	114
Occupational Outlook Handbook statements (2 year cycle) .....	125	125	125

**Executive direction and staff services.**—Provides planning and policy for the Bureau of Labor Statistics (BLS), operates the management information system, coordinates research, and publishes data and reports for government and public use.

**Consumer Price Index Revision.**—BLS will release the revised index for January, 1998, will introduce a revised housing sample in 1999, and will complete the revision in 2000. The revision includes new market baskets of goods and services as well as improvements in collecting and processing data for the CPI and for surveys which support the CPI.

Object Classification (in millions of dollars)			
Identification code 16-0200-0-1-505	1996 actual	1997 est.	1998 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	100	112	117
11.3 Other than full-time permanent .....	5	7	10
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	107	121	129
12.1 Civilian personnel benefits .....	22	25	27
21.0 Travel and transportation of persons .....	5	7	6
23.1 Rental payments to GSA .....	26	27	28
23.3 Communications, utilities, and miscellaneous charges .....	2	3	3
24.0 Printing and reproduction .....	2	2	2
25.2 Other services .....	15	16	15
25.3 Purchases of goods and services from Government accounts .....	82	86	93
25.4 Operation and maintenance of facilities .....	1		
25.5 Research and development contracts .....	10	10	10
25.7 Operation and maintenance of equipment .....	2	2	2
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	13	7	6
41.0 Grants, subsidies, and contributions .....	54	55	56
99.0 Subtotal, direct obligations .....	342	362	378
99.0 Reimbursable obligations .....	17	19	19
99.5 Below reporting threshold .....	1	-1	1

99.9	Total obligations .....	360	380	398
<b>Personnel Summary</b>				
Identification code 16-0200-0-1-505		1996 actual	1997 est.	1998 est.
Direct:				
Total compensable workyears:				
1001	Full-time equivalent employment .....	2,194	2,423	2,515
1005	Full-time equivalent of overtime and holiday hours .....	9	9	9
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment .....	121	121	121

**DEPARTMENTAL MANAGEMENT**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses for Departmental Management, including the hire of three sedans, and including up to **[\$4,358,000] \$4,439,000** for the President's Committee on Employment of People With Disabilities, **[\$144,211,000] \$152,396,000**; together with not to exceed **[\$297,000] \$282,000**, which may be expended from the Employment Security Administration account in the Unemployment Trust Fund: *Provided*, That no funds made available by this Act may be used by the Solicitor of Labor to participate in a review in any United States court of appeals of any decision made by the Benefits Review Board under section 21 of the Longshore and Harbor Workers' Compensation Act (33 U.S.C. 921) where such participation is precluded by the decision of the United States Supreme Court in Director, Office of Workers' Compensation Programs v. Newport News Shipbuilding, 115 S. Ct. 1278 (1995); *Provided further*, That no funds made available by this Act may be used by the Secretary of Labor to review a decision under the Longshore and Harbor Workers' Compensation Act (33 U.S.C. 901 et seq.) that has been appealed and that has been pending before the Benefits Review Board for more than 12 months; *Provided further*, That any such decision pending a review by the Benefits Review Board for more than one year shall be considered affirmed by the Benefits Review Board on that date, and shall be considered the final order of the Board for purposes of obtaining a review in the United States courts of appeals; *Provided further*, That these provisions shall not be applicable to the review of any decision issued under the Black Lung Benefits Act (30 U.S.C. 901 et seq.). (*Department of Labor Appropriations Act, 1997.*)

**Program and Financing (in millions of dollars)**

Identification code 16-0165-0-1-505	1996 actual	1997 est.	1998 est.	
<b>Obligations by program activity:</b>				
Direct program:				
00.01	Program direction and support .....	19	20	20
00.02	Legal services .....	64	66	71
00.03	International labor affairs .....	10	9	11
00.04	Administration and management .....	14	14	14
00.05	Adjudication .....	34	34	35
00.06	Promoting employment of people with disabilities .....	4	4	4
00.07	Women's bureau .....	8	8	8
00.09	Civil rights .....	4	5	4
00.10	Chief financial officer .....	4	4	5
00.91	Total direct program .....	161	164	172
01.01	Reimbursable program .....	11	16	16
10.00	Total obligations .....	172	180	188
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....	174	181	188
22.30	Unobligated balance expiring .....	-1		
23.90	Total budgetary resources available for obligation .....	173	181	188
23.95	New obligations .....	-172	-180	-188
<b>New budget authority (gross), detail:</b>				
Current:				
40.00	Appropriation .....	141	144	152
42.00	Transferred from other accounts .....	1		
43.00	Appropriation (total) .....	142	144	152

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 16-0165-0-1-505	1996 actual	1997 est.	1998 est.	
<b>Permanent:</b>				
Spending authority from offsetting collections:				
Offsetting collections (cash):				
68.00				
	Offsetting collections (cash) .....	32	36	36
68.00	Transfer from SUIESO .....		1	
68.90	Spending authority from offsetting collections (total) .....	32	37	36
70.00	Total new budget authority (gross) .....	174	181	188
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation .....	14	15	15
73.10	New obligations .....	172	180	188
73.20	Total outlays (gross) .....	-173	-181	-187
73.40	Adjustments in expired accounts .....	1		
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation .....	15	15	16
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority .....	126	130	138
86.93	Outlays from current balances .....	15	14	13
86.97	Outlays from new permanent authority .....	32	37	36
87.00	Total outlays (gross) .....	173	181	187
<b>Offsets:</b>				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources .....	-30	-31	-30
88.40	Non-Federal sources .....	-2	-6	-6
88.90	Total, offsetting collections (cash) .....	-32	-37	-36
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	142	144	152
90.00	Outlays .....	142	144	151

**Program direction and support.**—Provides leadership and direction for all programs and functions assigned to the Department. Provides guidance for the development and implementation of governmental policy to protect and promote the interests of the American worker, toward achieving better employment and earnings, promoting productivity and economic growth, safety, equity and affirmative action in employment, and collecting and analyzing statistics on the labor force.

**Legal services.**—Provides the Secretary of Labor and Departmental program officials with the legal services required to accomplish the Department's mission. The major services include litigating cases, providing assistance to the Department of Justice in case preparation and trials, reviewing rules, orders and written interpretations and opinions for DOL program agencies and the public, and coordinating the Department's legislative program.

**International labor affairs.**—Coordinates the Department of Labor's international responsibilities, including support of U.S. foreign policy objectives through relationships with international organizations and foreign governments, analysis on the labor market and economic impact of trade proposals, trade legislation and immigration-related initiatives; and assures compliance with worker rights provisions in U.S. trade law.

**Administration and management.**—Exercises leadership in all Departmental administrative and management programs and services and ensures efficient and effective operation of Departmental programs; provides policy guidance on matters of personnel management, information resource management and procurement; and provides for consistent and constructive

internal labor-management relations throughout the Department.

**Adjudication.**—Conducts formal hearings and renders timely decisions on claims filed under the Black Lung Benefits Act, the Longshore and Harbor Workers' Compensation Act and its extensions, the Federal Employees' Compensation Act and other acts involving complaints to determine violations of minimum wage requirements, overtime payments, health and safety regulations and unfair labor practices.

**Promoting employment of people with disabilities.**—The President's Committee on Employment of People With Disabilities provides leadership to eliminate employment barriers to people with physical, mental and communications disabilities.

**Women's bureau.**—Promotes the interests of wage earning women, and seeks to improve their working conditions and advance their opportunities for profitable employment.

**Civil rights.**—Ensures full compliance with title VI of the Civil Rights Act of 1964 and other regulatory nondiscrimination provisions in programs receiving financial assistance from the Department of Labor and promotes equal opportunity in these programs and activities; and ensures equal employment opportunity to all DOL employees and applicants for employment.

**Chief financial officer.**—Responsible for enhancing the level of knowledge and skills of Departmental staff working in financial management operations; developing comprehensive accounting and financial management policies; assuring that all DOL financial functions conform to applicable standards; providing leadership and coordination to DOL agencies' trust and benefit fund financial actions; monitoring the financial execution of the budget in relation to actual expenditures; and managing a comprehensive training program for budget, accounting, and financial support staff.

**Object Classification (in millions of dollars)**

Identification code 16-0165-0-1-505	1996 actual	1997 est.	1998 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	92	94	97
11.3	Other than full-time permanent .....	2	1	1
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	95	96	99
12.1	Civilian personnel benefits .....	18	18	19
21.0	Travel and transportation of persons .....	2	3	2
23.1	Rental payments to GSA .....	16	16	16
23.3	Communications, utilities, and miscellaneous charges .....	2	2	2
24.0	Printing and reproduction .....			1
25.1	Advisory and assistance services .....	3	2	2
25.2	Other services .....	3	6	6
25.3	Purchases of goods and services from Government accounts .....	13	15	15
25.7	Operation and maintenance of equipment .....	3	3	3
26.0	Supplies and materials .....	1	2	2
31.0	Equipment .....	2		1
41.0	Grants, subsidies, and contributions .....	3		4
99.0	Subtotal, direct obligations .....	161	163	172
99.0	Reimbursable obligations .....	9	15	15
99.5	Below reporting threshold .....	2	2	1
99.9	Total obligations .....	172	180	188

**Personnel Summary**

Identification code 16-0165-0-1-505	1996 actual	1997 est.	1998 est.	
<b>Direct:</b>				
Total compensable workyears:				
1001	Full-time equivalent employment .....	1,606	1,525	1,526
1005	Full-time equivalent of overtime and holiday hours .....	5	5	5
<b>Reimbursable:</b>				
2001	Total compensable workyears: Full-time equivalent employment .....	51	60	60

OFFICE OF INSPECTOR GENERAL

For salaries and expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, **[\$42,938,000]** \$43,105,000, together with not to exceed **[\$3,543,000]** \$3,645,000, which may be expended from the Employment Security Administration account in the Unemployment Trust Fund. (*Department of Labor Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)

Identification code 16-0106-0-1-505	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Program activities .....	42	41	41
00.02 Executive direction and management .....	6	6	6
00.91 Total direct program .....	48	47	47
01.01 Reimbursable program .....	3	5	10
10.00 Total obligations .....	51	52	57
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	51	52	57
23.95 New obligations .....	-51	-52	-57
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	44	43	43
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	7	9	14
70.00 Total new budget authority (gross) .....	51	52	57
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	7	7	7
73.10 New obligations .....	51	52	57
73.20 Total outlays (gross) .....	-51	-52	-57
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	7	7	7
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	38	38	38
86.93 Outlays from current balances .....	6	5	5
86.97 Outlays from new permanent authority .....	7	9	14
87.00 Total outlays (gross) .....	51	52	57
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-7	-9	-14
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	44	43	43
90.00 Outlays .....	44	43	43

**Program activities.**—Program activities within the Office of Inspector General include audit, program fraud, labor racketeering and special evaluations and inspections of program activities. The audit activity performs audits of the Department's financial statements, programs, activities, and systems to determine whether information is reliable, controls are in place, resources are safeguarded, funds are expended in a manner consistent with laws and regulations and managed economically and efficiently, and desired program results are achieved. The program fraud activity administers an investigative program to detect and deter fraud, waste and abuse in Departmental programs. The labor racketeering activity identifies and reduces labor racketeering and corruption in employee benefit plans, labor-management relations, and internal union affairs. The OIG also provides technical assistance and conducts special reviews and evaluations.

	1996 actual	1997 est.	1998 est.
Audits Studies and Reviews .....	362	308	308
Program Fraud Investigations .....	947	962	962
Labor Racketeering Investigations .....	425	435	447

**Executive direction and management.**—This activity includes the management, legal counsel, administrative support,

planning, evaluation, legislative liaison, personnel and financial functions for the OIG.

Object Classification (in millions of dollars)

Identification code 16-0106-0-1-505	1996 actual	1997 est.	1998 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	25	25	26
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	28	28	29
12.1 Civilian personnel benefits .....	6	6	6
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	3	3	3
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services .....	4	4	3
25.3 Purchases of goods and services from Government accounts .....	2	2	2
25.7 Operation and maintenance of equipment .....	1	1	1
31.0 Equipment .....	1		
99.0 Subtotal, direct obligations .....	48	47	47
99.0 Reimbursable obligations .....	3	5	10
99.9 Total obligations .....	51	52	57

Personnel Summary

Identification code 16-0106-0-1-505	1996 actual	1997 est.	1998 est.
<b>Total compensable workyears:</b>			
1001 Full-time equivalent employment .....	446	450	450
1005 Full-time equivalent of overtime and holiday hours .....	1	1	1

ASSISTANT SECRETARY FOR VETERANS EMPLOYMENT AND TRAINING

Not to exceed **[\$181,949,000]** \$181,955,000 may be derived from the Employment Security Administration account in the Unemployment Trust Fund to carry out the provisions of 38 U.S.C. 4100-4110A and 4321-4327, and Public Law 103-353, and which shall be available for obligation by the States through December 31, [1997] 1998. (*Department of Labor Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)

Identification code 20-8042-0-7-999	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
State administration:			
Disabled veterans outreach program .....	76	82	80
Local veterans employment representatives .....	71	75	77
Administration .....	20	23	23
National Veterans' Training Institute .....	3	2	2
Total obligations .....	170	182	182
<b>Budgetary resources available for obligation:</b>			
New budget authority (gross) .....	170	182	182
New obligations .....	-170	-182	-182
<b>New budget authority (gross), detail:</b>			
Appropriations (trust funds) .....	170	182	182
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year .....	14	19	23
New obligations .....	170	182	182
Total outlays (gross) .....	-165	-178	-182
Unpaid obligations, end of year .....	19	23	23
<b>Outlays (gross) detail:</b>			
Total outlays gross .....	165	178	182
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections from trust funds .....	-170	-182	-182
<b>Net budget authority and outlays:</b>			
Budget authority (net) .....			
Outlays (net) .....	-5	-4	0

**State administration.**—The Disabled Veterans Outreach Program provides intensive employability and job develop-

**General and special funds—Continued**

**ASSISTANT SECRETARY FOR VETERANS EMPLOYMENT AND TRAINING—Continued**

ment services to secure permanent employment for veterans particularly those with service-connected disabilities and other disadvantaged veterans. Local Veterans Employment Representatives provide job development, placement, and supportive services directly to veterans and act as functional supervisors of the services provided veterans by other local office staff to ensure compliance with the performance standards for services to veterans.

**Administration.**—Identifies policies and programs to serve and meet employment and training needs of veterans. Assures the adequacy of counseling, testing, job training, and job placement services for veterans through monitoring, evaluating, and providing technical assistance and training to the systems delivering these services. Coordinates with the Department of Defense to ensure the provision of labor market information and other services to military service-members separating from active duty to expedite their transition from military to civilian employment (Transition Assistance Program). Provides on-the-job training programs and other specialized services for certain veterans identified as facing serious barriers to employment. Administers veterans employment and training programs under the Job Training Partnership Act to provide these services. Promotes compliance of Federal contractors in listing jobs for veterans. Provides information and processes complaints to help veterans, reservists, and members of the National Guard obtain employment rights provided by law. Investigates alleged failure by Federal agencies to provide veterans' preference in employment or list competitive vacancies with the job service.

**National Veterans Training Institute.**—This program operates through a contract with the University of Colorado in Denver, Colorado, providing training to Federal and State employees who assist veterans in finding jobs.

**Object Classification (in millions of dollars)**

Identification code 20-8042-0-7-999	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent .....	12	14	14
12.1 Civilian personnel benefits .....	3	3	3
21.0 Travel and transportation of persons .....	1	2	2
23.1 Rental payments to GSA .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.2 Other services .....	3	4	4
25.3 Purchases of goods and services from Government accounts .....	2	2	2
41.0 Grants, subsidies, and contributions .....	146	154	154
93.0 Limitation on expenses .....	-170	-182	-182
99.0 Subtotal, limitation acct—direct obligations .....			

**Personnel Summary**

Identification code 20-8042-0-7-999	1996 actual	1997 est.	1998 est.
6001 Total compensable workyears: Full-time equivalent employment .....	241	260	254

**Intragovernmental funds:**

**WORKING CAPITAL FUND**

*The language under this heading in Public Law 85-67, as amended, is further amended by adding the following before the last period: " Provided further, That the Secretary of Labor may transfer annually an amount not to exceed \$3,000,000 from unobligated balances in the Department's salaries and expenses accounts, to the unobligated balance of the Working Capital Fund, to be merged with such Fund and used for the acquisition of capital equipment and the improvement of financial management, information technology and other support systems, and to remain available until expended: Provided further,*

*That the unobligated balance of the Fund shall not exceed \$20,000,000".*

**Program and Financing (in millions of dollars)**

Identification code 16-4601-0-4-505	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
00.01 Financial and administrative services .....	27	29	38
00.02 Field services .....	23	22	23
00.03 Facilities management .....	8	8	
00.04 Human resources services .....	7	8	7
00.05 Penalty mail and telecommunications .....	21	23	23
00.07 Non-DOL reimbursements .....	1	5	5
10.00 Total obligations .....	87	95	96
<b>Budgetary resources available for obligation:</b>			
21.90 Unobligated balance available, start of year: Fund balance .....	5	9	8
22.00 New budget authority (gross) .....	91	95	100
23.90 Total budgetary resources available for obligation .....	96	104	108
23.95 New obligations .....	-87	-95	-96
24.90 Unobligated balance available, end of year: Fund balance .....	9	8	13
<b>New budget authority (gross), detail:</b>			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash) .....	91	95	100
<b>Change in unpaid obligations:</b>			
72.90 Unpaid obligations, start of year: Obligated balance:			
Fund balance .....	10	13	13
73.10 New obligations .....	87	95	96
73.20 Total outlays (gross) .....	-83	-95	-96
74.90 Unpaid obligations, end of year: Obligated balance:			
Fund balance .....	13	13	13
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....	80	91	93
86.98 Outlays from permanent balances .....	3	4	3
87.00 Total outlays (gross) .....	83	95	96
<b>Offsets:</b>			
88.00 Against gross budget authority and outlays:			
Offsetting collections (cash) from: Federal sources .....	-91	-95	-100
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	-6		-4

**Financial and administrative services.**—Provides support for financial systems on a Department-wide basis, financial services primarily for DOL national office staff, cost determination activities, maintenance of Departmental host computer systems, procurement and contract services, safety and health services, maintenance and operation of the Frances Perkins Building and general administrative support in the following areas: space and telecommunications, property and supplies, printing and reproduction and energy management.

**Field services.**—Provides full range of administrative and technical services to all agencies of the Department located in its regional and field offices. These services are in the personnel, financial and administrative areas.

**Human Resources Services.**—Provides guidance to DOL agencies in Senior Executive Service resource management and in the management of Schedule "C" and expert and consultant services, development and administration of Departmental programs for personnel security and financial disclosure, direct staffing and position management services, and benefits counseling to DOL employees.

**Penalty mail and telecommunications.**—Provides for departmental mail payments to the U.S. Postal Service and telecommunications payments to the General Services Administration.

**Non-DOL reimbursements.**—Funds received for services rendered to any entity or person for use of Departmental facili-



ties and services, including associated utilities and security services, shall be credited to and merged with this fund.

**Investment in Reinvention Fund.**—This fund will finance agency reinvention proposals and other investment or capital acquisition projects in order to achieve savings and streamline work processes. The fund will be self-sustaining as agencies pay back the initial investment with savings generated through implementation of efficiencies and reinvention initiatives.

**Financing.**—The fund is paid by the agencies for which centralized services are performed at rates that return in full all expenses of operation, including reserves for accrued annual leave and depreciation of equipment.

Object Classification (in millions of dollars)

Identification code 16-4601-0-4-505	1996 actual	1997 est.	1998 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	28	32	32
11.3 Other than full-time permanent .....	1		
11.5 Other personnel compensation .....	1		
11.9 Total personnel compensation .....	30	32	32
12.1 Civilian personnel benefits .....	6	6	6
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	7	7	7
23.3 Communications, utilities, and miscellaneous charges .....	25	28	29
25.1 Advisory and assistance services .....	1		
25.2 Other services .....	2	6	6
25.3 Purchases of goods and services from Government accounts .....	3	3	3
25.4 Operation and maintenance of facilities .....	4	4	4
25.7 Operation and maintenance of equipment .....	4	3	4
26.0 Supplies and materials .....	1	1	2
31.0 Equipment .....	3	2	2
99.0 Subtotal, reimbursable obligations .....	87	93	96
99.5 Below reporting threshold .....		2	
99.9 Total obligations .....	87	95	96

Personnel Summary

Identification code 16-4601-0-4-505	1996 actual	1997 est.	1998 est.
<b>Total compensable workyears:</b>			
2001 Full-time equivalent employment .....	683	689	674
2005 Full-time equivalent of overtime and holiday hours .....	4	4	4

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriations as follows: Agency for International Development, Functional Development Assistance Program, Department of Education: Office of Vocational and Adult Education: "Vocational and Adult Education".

GENERAL PROVISIONS

SEC. 101. None of the funds appropriated in this title for the Job Corps shall be used to pay the compensation of an individual, either as direct costs or any proration as an indirect cost, at a rate in excess of \$125,000.

[(TRANSFER OF FUNDS)]

[SEC. 102. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act, as amended) which are appropriated for the current fiscal year for the Department of Labor in this Act may be transferred between appropriations, but no such appropriation shall be increased by more than 3 percent by any such transfer: *Provided*, That the Appropriations Committees of both Houses of Congress are notified at least fifteen days in advance of any transfer.]

SEC. [103] 102. Funds shall be available for carrying out title IV-B of the Job Training Partnership Act, notwithstanding section 427(c) of that Act, if a Job Corps center fails to meet national performance standards established by the Secretary.

[SEC. 104. Effective January 1, 1997, no funds appropriated or otherwise made available to the Department of Labor in this title

shall be disbursed without the approval of the Department's Chief Financial Officer or his delegatee.]

[SEC. 105. Notwithstanding any other provision of law, the Secretary of Labor may waive any of the requirements contained in sections 4, 104, 105, 107, 108, 121, 164, 204, 253, 254, 264, 301, 311, 313, 314, and 315 of the Job Training Partnership Act in order to assist States in improving State workforce development systems, pursuant to a request submitted by a State that has prior to the date of enactment of this Act executed a Memorandum of Understanding with the United States requiring such State to meet agreed upon outcomes.]

SEC. 103. Section 44(i) of the Longshore and Harbor Workers' Compensation Act of 1927, 33 U.S.C. 944(i), is amended by striking out paragraph (3), redesignating paragraph (4) as paragraph (3), and by adding the following new paragraphs (4) and (5):

"(4) To defray the expense incurred by the Department in conducting inspections or audits as provided in subsection (d).

"(5) To defray the expense incurred by the Department in the direct administration of the fund."

TITLE V—GENERAL PROVISIONS

SEC. 501. The Secretaries of Labor, Health and Human Services, and Education are authorized to transfer unexpended balances of prior appropriations to accounts corresponding to current appropriations provided in this Act: *Provided*, That such transferred balances are used for the same purpose, and for the same periods of time, for which they were originally appropriated.

SEC. 502. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 503. (a) No part of any appropriation contained in this Act shall be used, other than for normal and recognized executive-legislative relationships, for publicity or propaganda purposes, for the preparation, distribution, or use of any kit, pamphlet, booklet, publication, radio, television, or video presentation designed to support or defeat legislation pending before the Congress, except in presentation to the Congress itself or any State legislature, except in presentation to the Congress or any State legislative body itself.

(b) No part of any appropriation contained in this Act shall be used to pay the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence legislation or appropriations pending before the Congress or any State legislature.

SEC. 504. The Secretaries of Labor and Education are each authorized to make available not to exceed \$15,000 from funds available for salaries and expenses under titles I and III, respectively, for official reception and representation expenses; the Director of the Federal Mediation and Conciliation Service is authorized to make available for official reception and representation expenses not to exceed \$2,500 from the funds available for "Salaries and expenses, Federal Mediation and Conciliation Service"; and the Chairman of the National Mediation Board is authorized to make available for official reception and representation expenses not to exceed \$2,500 from funds available for "Salaries and expenses, National Mediation Board".

SEC. 505. Notwithstanding any other provision of this Act, no funds appropriated under this Act shall be used to carry out any program of distributing sterile needles for the hypodermic injection of any illegal drug unless the [Secretary of Health and Human Services] *Surgeon General* determines that such programs are effective in preventing the spread of HIV and do not encourage the use of illegal drugs.

SEC. 506. (a) PURCHASE OF AMERICAN-MADE EQUIPMENT AND PRODUCTS.—It is the sense of the Congress that, to the greatest extent practicable, all equipment and products purchased with funds made available in this Act should be American-made.

(b) NOTICE REQUIREMENT.—In providing financial assistance to, or entering into any contract with, any entity using funds made available in this Act, the head of each Federal agency, to the greatest extent practicable, shall provide to such entity a notice describing the statement made in subsection (a) by the Congress.

(c) PROHIBITION OF CONTRACTS WITH PERSONS FALSELY LABELING PRODUCTS AS MADE IN AMERICA.—If it has been finally determined by a court or Federal agency that any person intentionally affixed a label bearing a "Made in America" inscription, or any inscription with the same meaning, to any product sold in or shipped to the United States that is not made in the United States, the person

shall be ineligible to receive any contract or subcontract made with funds made available in this Act, pursuant to the debarment, suspension, and ineligibility procedures described in sections 9.400 through 9.409 of title 48, code of Federal Regulations.

SEC. 507. When issuing statements, press releases, requests for proposals, bid solicitations and other documents describing projects or programs funded in whole or in part with Federal money, all grantees receiving Federal funds included in this Act, including but not limited to State and local governments and recipients of Federal research grants, shall clearly state (1) the percentage of the total costs of the program or project which will be financed with Federal money, (2) the dollar amount of Federal funds for the project or program, and (3) percentage and dollar amount of the total costs of the project or program that will be financed by nongovernmental sources.

SEC. 508. None of the funds appropriated under this Act shall be expended for any abortion except when it is made known to the Federal entity or official to which funds are appropriated under this Act that such procedure is necessary to save the life of the mother or that the pregnancy is the result of an act of rape or incest.<sup>1</sup>

SEC. [509] 508. Notwithstanding any other provision of law—

(1) no amount may be transferred from an appropriation account for the Departments of Labor, Health and Human Services, and Education except as authorized in this or any subsequent appropriation Act, or in the Act establishing the program or activity for which funds are contained in this Act;

(2) no department, agency, or other entity, other than the one responsible for administering the program or activity for which an appropriation is made in this Act, may exercise authority for the timing of the obligation and expenditure of such appropriation, or for the purpose for which it is obligated and expended, except to the extent and in the manner otherwise provided in sections 1512 and 1513 of title 31, United States Code; and

(3) no funds provided under this Act shall be available for the salary (or any part thereof) of an employee who is reassigned on a temporary detail basis to another position in the employing agency or department or in any other agency or department, unless the detail is independently approved by the head of the employing department [of] or agency.

SEC. 510. None of the funds made available in this Act may be used for the expenses of an electronic benefit transfer (EBT) task force.<sup>2</sup>

SEC. [510] 509. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act, as amended) which are appropriated for the current fiscal year for titles I, II, and III of this Act may be transferred between appropriations, but no such appropriation shall be increased by more than 3 percent by any such transfer: Provided, That such transfers may be made only between appropriations within each title: Provided further, That the Public Health and Social Services Emergency Fund appropriation under title II of this Act shall not be subject to the 3 percent limitation of this section.

SEC. [511] 510. None of the funds made available in this Act may be used to enforce the requirements of section 428(b)(1)(U)(iii) of the Higher Education Act of 1965 with respect to any lender when it is made known to the Federal official having authority to obligate or expend such funds that the lender has a loan portfolio under part B of title IV of such Act that is equal to or less than \$5,000,000.

SEC. 512. (a) None of the funds made available in this Act may be used for—

(1) the creation of a human embryo or embryos for research purposes; or

(2) research in which a human embryo or embryos are destroyed, discarded, or knowingly subjected to risk of injury or death greater than that allowed for research on fetuses in utero under 45 CFR 46.208(a)(2) and section 498(b) of the Public Health Service Act (42 U.S.C. 289g(b)).

(b) For purposes of this section, the term “human embryo or embryos” include any organism, not protected as a human subject under 45 CFR 46 as of the date of the enactment of this Act, that is derived by fertilization, parthenogenesis, cloning, or any other means from one or more human gametes.<sup>2</sup>

SEC. [513] 511. (a) LIMITATION ON USE OF FUNDS FOR PROMOTION OF LEGALIZATION OF CONTROLLED SUBSTANCES.—None of the funds made available in this Act may be used for any activity when it is made known to the Federal official having authority to obligate or expend such funds that the activity promotes the legalization of

any drug or other substance included in schedule I of the schedules of controlled substances established by section 202 of the Controlled Substances Act (21 U.S.C. 812).

(b) EXCEPTIONS.—The limitation in subsection (a) shall not apply when it is made known to the Federal official having authority to obligate or expend such funds that there is significant medical evidence of a therapeutic advantage to the use of such drug or other substance or that Federally-sponsored clinical trials are being conducted to determine therapeutic advantage.

SEC. 514. (a) DENIAL OF FUNDS FOR PREVENTING ROTC ACCESS TO CAMPUS.—None of the funds made available in this or any other Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act for any fiscal year may be provided by contract or by grant (including a grant of funds to be available for student aid) to a covered educational entity if the Secretary of Defense determines that the covered educational entity has a policy or practice (regardless of when implemented) that either prohibits, or in effect prevents—

(1) the maintaining, establishing, or operation of a unit of the Senior Reserve Officer Training Corps (in accordance with section 654 of title 10, United States Code, and other applicable Federal laws) at the covered educational entity; or

(2) a student at the covered educational entity from enrolling in a unit of the Senior Reserve Officer Training Corps at another institution of higher education.

(b) DENIAL OF FUNDS FOR PREVENTING FEDERAL MILITARY RECRUITING ON CAMPUS.—None of the funds made available in this or any other Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act for any fiscal year may be provided by contract or by grant (including a grant of funds to be available for student aid) to a covered educational entity if the Secretary of Defense determines that the covered educational entity has a policy or practice (regardless of when implemented) that either prohibits, or in effect prevents—

(1) entry to campuses, or access to students (who are 17 years of age or older) on campuses, for purposes of Federal military recruiting; or

(2) access by military recruiters for purposes of Federal military recruiting to the following information pertaining to students (who are 17 years of age or older) enrolled at the covered educational entity:

(A) student names, addresses, and telephone listings; and

(B) if known, student ages, levels of education, and majors.

(c) EXCEPTIONS.—The limitation established in subsection (a) or (b) shall not apply to a covered educational entity if the Secretary of Defense determines that—

(1) the covered educational entity has ceased the policy or practice described in such subsection;

(2) the institution of higher education involved has a longstanding policy of pacifism based on historical religious affiliation; or

(3) the institution of higher education involved is prohibited by the law of any State, or by the order of any State court, from allowing Senior Reserve Officer Training Corps activities or Federal military recruiting on campus, except that this paragraph shall apply only during the one-year period beginning on the effective date of this section.

(d) NOTICE OF DETERMINATIONS.—Whenever the Secretary of Defense makes a determination under subsection (a), (b), or (c), the Secretary—

(1) shall transmit a notice of the determination to the Secretary of Education and to the Congress; and

(2) shall publish in the Federal Register a notice of the determination and the effect of the determination on the eligibility of the covered educational entity for contracts and grants.

(e) SEMI-ANNUAL NOTICE IN FEDERAL REGISTER.—The Secretary of Defense shall publish in the Federal Register once every 6 months a list of each covered educational entity that is currently ineligible for contracts and grants by reason of a determination of the Secretary under subsection (a) or (b).

(f) COVERED EDUCATIONAL ENTITY.—For purposes of this section, the term “covered educational entity” means an institution of higher education, or a subelement of an institution of higher education.

(g) EFFECTIVE DATE.—This section shall take effect upon the expiration of the 180-day period beginning on the date of the enactment of this Act, by which date the Secretary of Defense shall have published final regulations in consultation with the Secretary of Education to carry out this section.<sup>3</sup>

**[SEC. 515. (a) TECHNICAL AMENDMENT TO OTHER ROTC AND MILITARY RECRUITING PROVISIONS.—**Sections 508 and 509 of the Energy and Water Development Appropriations Act, 1997, are amended by striking “when it is made known to the Federal official having authority to obligate or expend such funds” each place it appears and inserting “if the Secretary of Defense determines”.

**(b) EFFECTIVE DATE.—**Sections 508 and 509 of the Energy and Water Development Appropriations Act, 1997, shall not take effect until the expiration of the 180-day period beginning on the date of the enactment of this Act, by which date the Secretary of Defense shall have published final regulations to carry out such sections (as amended by subsection (a)).

**SEC. [516] 512.** None of the funds made available in this Act may be obligated or expended to enter into or renew a contract with an entity when it is made known to the Federal official having authority to obligate or expend such funds that—

(1) such entity is otherwise a contractor with the United States and is subject to the requirement in section 4212(d) of title 38, United States Code, regarding submission of an annual report to the Secretary of Labor concerning employment of certain veterans; and

(2) such entity has not submitted a report as required by that section for the most recent year for which such requirement was applicable to such entity.

**[SEC. 517. (a)** Notwithstanding any provision of the Carl D. Perkins Vocational and Applied Technology Act (as such Act was in effect on September 24, 1990), a State shall be deemed to have met the requirements of section 503 of such Act with respect to decisions appealed by applications filed on April 30, 1993 and October 29, 1993 under section 452(b) of the General Education Provisions Act.

**(b)** Subsection (a) shall take effect on October 1, 1996.]

**SEC. [518] 513 [515].** None of the funds appropriated in this Act may be made available to any entity under title X of the Public Health Service Act unless it is made known to the Federal official having authority to obligate or expend such funds that the applicant for the award certifies to the Secretary that it encourages family participation in the decision of the minor to seek family planning services.

**[SEC. 519.** Of the budgetary resources available to agencies in this Act for salaries and expenses during fiscal year 1997, \$30,500,000, to be allocated by the Office of Management and Budget, are permanently canceled: *Provided*, That the foregoing provision shall not apply to the Food and Drug Administration and the Indian Health Service: *Provided further*, That amounts available in this Act for congressional and legislative affairs, public affairs, and intergovernmental affairs activities are hereby reduced by \$2,000,000.]

**[SEC. 520. VOLUNTARY SEPARATION INCENTIVES FOR EMPLOYEES OF CERTAIN FEDERAL AGENCIES.—(a) DEFINITIONS.—**For the purposes of this section—

(1) the term “agency” means the Railroad Retirement Board and the Office of Inspector General of the Railroad Retirement Board;

(2) the term “employee” means an employee (as defined by section 2105 of title 5, United States Code) who is employed by an agency, is serving under an appointment without time limitation, and has been currently employed for a continuous period of at least 3 years, but does not include—

(A) a reemployed annuitant under subchapter III of chapter 83 or chapter 84 of title 5, United States Code, or another retirement system for employees of the agency;

(B) an employee having a disability on the basis of which such employee is or would be eligible for disability retirement under subchapter III of chapter 83 or chapter 84 of title 5, United States Code, or another retirement system for employees of the agency;

(C) an employee who is in receipt of a specific notice of involuntary separation for misconduct or unacceptable performance;

(D) an employee who, upon completing an additional period of service as referred to in section 3(b)(2)(B)(ii) of the Federal Workforce Restructuring Act of 1994 (5 U.S.C. 5597 note), would qualify for a voluntary separation incentive payment under section 3 of such Act;

(E) an employee who has previously received any voluntary separation incentive payment by the Federal Government under this section or any other authority and has not repaid such payment;

(F) an employee covered by statutory reemployment rights who is on transfer to another organization; or

(G) any employee who, during the twenty-four-month period preceding the date of separation, has received a recruitment or relocation bonus under section 5753 of title 5, United States Code, or who, within the twelve-month period preceding the date of separation, received a retention allowance under section 5754 of title 5, United States Code.

**(b) AGENCY STRATEGIC PLAN.—**

(1) **IN GENERAL.—**The three-member Railroad Retirement Board, prior to obligating any resources for voluntary separation incentive payments, shall submit to the House and Senate Committees on Appropriations and the Committee on Governmental Affairs of the Senate and the Committee on Government Reform and Oversight of the House of Representatives a strategic plan outlining the intended use of such incentive payments and a proposed organizational chart for the agency once such incentive payments have been completed.

(2) **CONTENTS.—**The agency’s plan shall include—

(A) the positions and functions to be reduced or eliminated, identified by organizational unit, geographic location, occupational category and grade level;

(B) the number and amounts of voluntary separation incentive payments to be offered; and

(C) a description of how the agency will operate without the eliminated positions and functions.

**(c) AUTHORITY TO PROVIDE VOLUNTARY SEPARATION INCENTIVE PAYMENTS.—**

(1) **IN GENERAL.—**A voluntary separation incentive payment under this section may be paid by an agency to any employee only to the extent necessary to eliminate the positions and functions identified by the strategic plan.

(2) **AMOUNT AND TREATMENT OF PAYMENTS.—**A voluntary separation incentive payment—

(A) shall be paid in a lump sum after the employee’s separation;

(B) shall be paid from appropriations or funds available for the payment of the basic pay of the employees;

(C) shall be equal to the lesser of—

(i) an amount equal to the amount the employee would be entitled to receive under section 5595(c) of title 5, United States Code; or

(ii) an amount determined by the agency head not to exceed \$25,000;

(D) may not be made except in the case of any qualifying employee who voluntarily separates (whether by retirement or resignation) before September 30, 1997;

(E) shall not be a basis for payment, and shall not be included in the computation, of any other type of Government benefit; and

(F) shall not be taken into account in determining the amount of any severance pay to which the employee may be entitled under section 5595 of title 5, United States Code, based on any other separation.

**(d) ADDITIONAL AGENCY CONTRIBUTIONS TO THE RETIREMENT FUND.—**

(1) **IN GENERAL.—**In addition to any other payments which it is required to make under subchapter III of chapter 83 of title 5, United States Code, an agency shall remit to the Office of Personnel Management for deposit in the Treasury of the United States to the credit of the Civil Service Retirement and Disability Fund an amount equal to 15 percent of the final basic pay of each employee of the agency who is covered under subchapter III of chapter 83 or chapter 84 of title 5, United States Code, to whom a voluntary separation incentive has been paid under this section.

(2) **DEFINITION.—**For the purpose of paragraph (1), the term “final basic pay”, with respect to an employee, means the total amount of basic pay which would be payable for a year of service by such employee, computed using the employee’s final rate of basic pay, and if last serving another than a full-time basis, with appropriate adjustment therefor.

**(e) EFFECT OF SUBSEQUENT EMPLOYMENT WITH THE GOVERNMENT.—**An individual who has received a voluntary separation incentive payment under this section and accepts any employment for compensation with the Government of the United States, or who works for any agency of the United States Government through a personal services contract, within 5 years after the date of the separation on which the payment is based shall be required to pay, prior

to the individual's first day of employment, the entire amount of the incentive payment to the agency that paid the incentive payment.

(f) REDUCTION OF AGENCY EMPLOYMENT LEVELS.—

(1) IN GENERAL.—The total number of funded employee positions in the agency shall be reduced by one position for each vacancy created by the separation of any employee who has received, or is due to receive, a voluntary separation incentive payment under this section. For the purposes of this subsection, positions shall be counted on a full-time-equivalent basis.

(2) ENFORCEMENT.—The President, through the Office of Management and Budget, shall monitor the agency and take any action

necessary to ensure that the requirements of this subsection are met.

(g) EFFECTIVE DATE.—This section shall take effect October 1, 1996.】

【SEC. 521. CORRECTION OF EFFECTIVE DATE.—Effective on the day after the date of enactment of the Health Centers Consolidation Act of 1996, section 5 of that Act is amended by striking “October 1, 1997” and inserting “October 1, 1996”.】 (*Department of Labor Appropriations Act, 1997.*)

<sup>1</sup>The Administration proposes to delete this provision and will work with Congress to address this issue.

<sup>2</sup>The Administration proposes to delete this provision and does not support addressing this issue in legislation.