

August 31, 2008
FROM REPORTS FILED BY
September 30, 2008

		B/D?	DSRO	A/O Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Seg Required 4d(a)(2) (e)	Customer Amount Pt. 30 Required (f)
	Futures Commission Merchant	(a)	(b)			(c)	(d)		
1	3D FOREX, LLC	N	NFA	08/31/2008	13,178,356	500,000	12,678,356	0	0
2	ACM USA LLC	N	NFA	08/31/2008	8,372,738	5,000,000	3,372,738	0	0
3	ADM INVESTOR SERVICES INC	N	CBOT	08/31/2008	152,635,206	90,711,738	61,923,468	2,076,245,756	97,359,112
4	ADVANCED MARKETS INC	N	NFA	08/31/2008	6,786,042	5,000,000	1,786,042	0	0
5	ADVANTAGE FUTURES LLC	N	CME	08/31/2008	18,540,094	6,804,893	11,735,201	208,542,635	28,307,854
6	AIG CLEARING CORPORATION	N	NYME	08/31/2008	309,513,871	65,056,008	244,457,863	15,371,952	0
7	ALARON TRADING CORPORATION	N	CME	08/31/2008	7,702,220	3,007,425	4,694,795	195,326,776	7,320,818
8	ALPARI (US) LLC	N	NFA	08/31/2008	15,786,390	5,000,000	10,786,390	0	0
9	BACERA CORPORATION	N	NFA	08/31/2008	5,412,516	5,000,000	412,516	0	0
10	BANC OF AMERICA SECURITIES LLC	Y	CME	08/29/2008	4,016,964,635	527,197,603	3,489,767,032	1,743,162,390	20,899,520
11	BARCLAYS CAPITAL INC	Y	NYME	08/29/2008	1,784,983,176	452,825,466	1,332,157,710	4,319,248,195	3,378,701,569
12	BEAR STEARNS & CO INC	Y	NFA	08/31/2008	5,719,867,742	557,904,553	5,161,963,189	0	0
13	BEAR STEARNS SECURITIES CORP	Y	CME	08/31/2008	4,745,186,767	1,178,836,273	3,566,350,494	959,976,593	248,731,652
14	BGC SECURITIES	Y	NFA	08/30/2008	7,217,795	500,000	6,717,795	0	0
15	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	08/29/2008	241,585,070	182,546,898	59,038,172	2,211,745,664	513,499,003
16	BNP PARIBAS SECURITIES CORP	Y	CBOT	08/31/2008	658,087,564	56,590,513	601,497,051	342,369,710	0
17	CADENT FINANCIAL SERVICES LLC	N	CME	08/31/2008	7,433,265	5,335,396	2,097,869	268,474,016	3,067,182
18	CANTOR FITZGERALD & CO	Y	CBOT	08/31/2008	266,455,273	24,019,594	242,435,679	13,823,865	67,129
19	CAPITAL MARKET SERVICES LLC	N	NFA	08/31/2008	20,199,028	5,000,000	15,199,028	0	0
20	CIBC WORLD MARKETS CORP	Y	CME	08/29/2008	1,110,437,449	15,987,176	1,094,450,273	0	0
21	CITIGROUP GLOBAL MARKETS INC	Y	CBOT	08/29/2008	5,581,883,554	799,920,829	4,781,962,725	13,245,903,531	611,402,547
22	CLIFF LARSON COMPANY THE	N	NFA	08/31/2008	3,334,978	847,172	2,487,806	15,139,989	0
23	CMC MARKETS (US) LLC	N	NFA	08/31/2008	8,543,520	5,000,000	3,543,520	0	0
24	COMMONWEALTH FOREIGN EXCHANGE INC	N	NFA	08/31/2008	1,460,669	500,000	960,669	0	0
25	COMTRUST INC	N	NFA	08/31/2008	858,090	500,000	358,090	1,767,747	0
26	COUNTRY HEDGING INC	N	NFA	08/31/2008	19,218,512	11,146,627	8,071,885	166,485,240	11,852
27	CREDIT SUISSE SECURITIES (USA) LLC	Y	CBOT	08/31/2008	8,778,844,846	389,298,723	8,389,546,123	2,131,146,692	1,581,323,014
28	CROSSLAND LLC	N	CBOT	08/31/2008	3,552,520	500,000	3,052,520	25,474,982	0
29	CSC FUTURES INC	N	CME	08/29/2008	13,501,709	500,000	13,001,709	0	0
30	CUNNINGHAM COMMODITIES LLC	N	CBOT	08/31/2008	3,542,600	1,071,929	2,470,671	38,050,847	123,382
31	DAIWA SECURITIES AMERICA INC	Y	CME	08/31/2008	227,931,981	9,013,193	218,918,788	5,911,180	2,227,611
32	DEUTSCHE BANK SECURITIES INC	Y	CBOT	08/29/2008	4,062,024,321	392,619,599	3,669,404,722	8,553,480,117	1,120,136,517
33	DORMAN TRADING LLC	N	CME	08/31/2008	8,616,566	500,000	8,116,566	75,977,393	24,893
34	DUNAVANT COMMODITY CORP	N	NFA	08/31/2008	46,452,462	759,754	45,692,708	0	0
35	EAGLE MARKET MAKERS INC	N	CBOT	08/31/2008	7,160,221	500,000	6,660,221	11,890,968	0
36	EASY FOREX US LTD	N	NFA	08/31/2008	9,824,509	5,000,000	4,824,509	0	0
37	ED & F MAN COMMODITY ADVISORS INC	N	NFA	08/31/2008	1,002,231	500,000	502,231	0	0
38	ELECTRONIC BROKERAGE SYSTEMS LLC	Y	NFA	08/31/2008	10,103,568	500,000	9,603,568	0	0
39	ENSKILDA FUTURES LTD	N	CME	08/31/2008	19,848,068	12,213,442	7,634,626	183,815,190	0
40	FARR FINANCIAL INC	N	NFA	08/31/2008	2,051,386	637,697	1,413,689	37,324,744	0
41	FC STONE LLC	N	CME	08/31/2008	103,852,959	67,714,163	36,138,796	1,455,647,426	13,458,219
42	FIRST CAPITOL GROUP LLC	N	NFA	08/31/2008	992,101	500,000	492,101	0	0
43	FOREX CAPITAL MARKETS LLC	N	NFA	08/31/2008	91,840,037	13,720,699	78,119,338	0	0
44	FOREX CLUB FINANCIAL COMPANY INC	N	NFA	08/31/2008	7,558,421	5,000,000	2,558,421	0	0
45	FORTIS CLEARING AMERICAS LLC	Y	CBOT	08/31/2008	175,716,585	52,853,748	122,862,837	1,308,238,532	54,591,026
46	FRIEDBERG MERCANTILE GROUP INC	N	NFA	08/31/2008	8,147,408	5,000,000	3,147,408	4,787,658	0

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	Futures Commission Merchant			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount
		(a)	(b)			(c)	(d)	4d(a)(2)	Pt. 30 Required
								(e)	(f)
47	FRONTIER FUTURES INC	N	NFA	08/31/2008	2,384,566	851,405	1,533,161	38,525,332	0
48	FUTURES TECH LLC	N	NFA	08/31/2008	841,242	500,000	341,242	0	0
49	FX SOLUTIONS LLC	N	NFA	08/31/2008	19,574,103	5,000,000	14,574,103	0	0
50	GAIN CAPITAL GROUP LLC	N	NFA	08/31/2008	67,906,278	8,294,588	59,611,690	0	0
51	GATEWAY CAPITAL L.L.C.	N	NFA	08/31/2008	893,775	500,000	393,775	0	0
52	GELBER GROUP LLC	N	CBOT	08/31/2008	112,684,733	500,000	112,184,733	67,465,156	2,496
53	GENESIS FUTURES LLC	N	NFA	08/31/2008	540,419	500,000	40,419	0	0
54	GFS FOREX & FUTURES INC	N	NFA	08/31/2008	11,451,981	5,000,000	6,451,981	0	0
55	GILDER GAGNON HOWE AND CO LLC	Y	NFA	08/31/2008	15,818,580	500,000	15,318,580	0	0
56	GLOBAL FUTURES & FOREX LTD	N	NFA	08/31/2008	73,219,116	5,802,558	67,416,558	645,426	0
57	GOLDMAN SACHS & CO	Y	CBOT	08/29/2008	11,373,898,176	2,846,760,443	8,527,137,733	17,372,111,129	9,826,577,580
58	GOLDMAN SACHS EXECUTION & CLEARING LP	Y	CME	08/29/2008	1,267,299,906	78,060,228	1,189,239,678	970,709,821	7,903,232
59	H & R BLOCK FINANCIAL ADVISORS INC	Y	NFA	08/29/2008	64,406,082	8,576,320	55,829,762	0	0
60	HOTSPOT FXR LLC	N	NFA	08/31/2008	9,942,771	5,000,000	4,942,771	0	0
61	HSBC SECURITIES USA INC	Y	CME	08/29/2008	848,392,788	87,481,030	760,911,758	1,184,733,197	55,490,254
62	I TRADE FX LLC	N	NFA	08/31/2008	14,952,004	5,000,000	9,952,004	0	0
63	ICAP FUTURES LLC	N	NFA	08/31/2008	5,525,109	500,000	5,025,109	0	0
64	IFX MARKETS INC	N	NFA	08/31/2008	18,623,981	5,000,000	13,623,981	0	0
65	IG MARKETS INC.	N	NFA	08/31/2008	10,111,559	5,000,000	5,111,559	0	0
66	IKON GLOBAL MARKETS INC.	N	NFA	08/31/2008	9,544,902	5,000,000	4,544,902	1,884,988	109,503
67	INSTINET LLC	Y	NFA	08/29/2008	46,593,246	4,224,066	42,369,180	0	0
68	INTEGRATED BROKERAGE SERVICES LLC	N	NFA	08/31/2008	2,203,246	500,000	1,703,246	280,382	0
69	INTERACTIVE BROKERS LLC	Y	EUXUS	08/31/2008	538,143,197	58,235,467	479,907,730	347,593,381	90,615,452
70	INTERBANK FX LLC	N	NFA	08/31/2008	36,504,426	5,000,000	31,504,426	0	0
71	ITG DERIVATIVES, LLC	Y	NFA	08/31/2008	2,576,391	500,000	2,076,391	0	0
72	JP MORGAN FUTURES INC	N	NYME	08/31/2008	1,572,091,281	941,961,223	630,130,058	11,987,822,955	1,792,963,987
73	KOTKE ASSOCIATES LLC	N	CBOT	08/31/2008	31,049,699	500,000	30,549,699	40,230,563	18,180
74	LADENBURG THALMANN & CO INC	Y	NFA	08/31/2008	12,482,257	500,000	11,982,257	0	0
75	LBS LIMITED PARTNERSHIP	N	NFA	08/31/2008	790,164	500,000	290,164	0	0
76	LEK SECURITIES CORPORATION	Y	NFA	08/31/2008	9,406,069	1,500,000	7,906,069	144,638	0
77	LIMESTONE TRADING LLC	N	NFA	08/31/2008	16,291,462	500,000	15,791,462	0	0
78	LINN GROUP (THE)	N	NFA	08/31/2008	3,007,532	500,000	2,507,532	12,650,739	52,488
79	LOEB PARTNERS CORPORATION	Y	NFA	08/31/2008	13,939,693	500,000	13,439,693	0	0
80	LPL FINANCIAL CORPORATION	Y	NFA	08/31/2008	95,609,541	9,179,693	86,429,848	0	0
81	MACQUARIE FUTURES USA INC	N	CBOT	08/31/2008	28,317,571	1,684,451	26,633,120	38,809,032	2,665,618
82	MB TRADING FUTURES INC.	N	NFA	08/31/2008	12,767,499	5,000,000	7,767,499	0	0
83	MBF CLEARING CORP	N	NYME	08/31/2008	29,597,673	1,366,443	28,231,230	76,795,307	4,036,251
84	MCVEAN TRADING AND INVESTMENTS LLC	N	NFA	08/29/2008	12,911,828	3,916,777	8,995,051	808,119,583	0
85	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	08/29/2008	4,764,590,813	713,476,035	4,051,114,778	8,259,337,678	1,403,723,416
86	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	08/29/2008	1,606,066,401	100,987,061	1,505,079,340	1,455,476,508	97,438,407
87	MF GLOBAL INC.	Y	CME	08/29/2008	578,263,985	401,266,503	176,997,482	9,182,752,421	817,657,233
88	MG FINANCIAL LLC	N	NFA	08/29/2008	5,393,739	5,000,000	393,739	0	0
89	MID-CO COMMODITIES INC	N	NFA	08/31/2008	13,253,265	5,109,428	8,143,837	64,892,133	0
90	MITSUMI BUSSAN COMMODITIES USA INC	N	NYME	08/31/2008	7,805,183	1,371,095	6,434,088	0	0
91	MIZUHO SECURITIES USA INC	Y	CME	08/31/2008	163,376,199	69,073,367	94,302,832	517,544,615	363,928,514
92	MORGAN KEEGAN & COMPANY INC	Y	NFA	08/29/2008	374,667,192	10,317,505	364,349,687	0	0
93	MORGAN STANLEY & CO INCORPORATED	Y	CME	08/29/2008	10,387,112,572	3,338,482,493	7,048,630,079	4,840,435,068	4,747,691,032

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	Futures Commission Merchant	(a)	(b)			(c)	(d)	(e)	(f)
94	NATIXIS SECURITIES NORTH AMERICA INC	Y	NFA	08/31/2008	177,280,811	500,000	176,780,811	0	0
95	NEUBERGER BERMAN LLC	Y	NFA	08/31/2008	294,718,698	5,000,000	289,718,698	0	0
96	NEWEDGE FINANCIAL INC.	Y	CME	08/31/2008	940,645,873	654,936,424	285,709,449	8,809,381,656	5,428,157,361
97	NEWEDGE USA LLC.	Y	NYME	08/31/2008	1,070,335,597	703,351,342	366,984,255	11,246,917,849	2,979,312,923
98	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	08/29/2008	763,812,683	1,000,000	762,812,683	0	0
99	OANDA CORPORATION	N	NFA	08/31/2008	165,458,966	9,188,347	156,270,619	0	0
100	ODL SECURITIES INC	N	NFA	08/31/2008	14,870,166	5,000,000	9,870,166	0	0
101	OPEN E CRY LLC	N	NFA	08/31/2008	1,830,041	608,028	1,222,013	50,707,973	690,768
102	OPPENHEIMER & CO INC	Y	NFA	08/29/2008	186,849,144	23,911,384	162,937,760	27,747,539	0
103	OPTIONSXPRESS INC	Y	NFA	08/31/2008	73,880,862	7,686,653	66,194,209	52,288,696	1,295,198
104	PENSON GHCO	N	CBOT	08/31/2008	17,105,992	10,095,941	7,010,051	369,808,295	10,398,034
105	PEREGRINE FINANCIAL GROUP INC	N	NFA	08/31/2008	21,345,435	5,788,924	15,556,511	264,987,718	17,365,575
106	PIONEER FUTURES INC	N	NYME	08/31/2008	1,343,129	500,000	843,129	0	0
107	PRUDENTIAL BACHE COMMODITIES LLC	N	CBOT	08/31/2008	253,290,000	207,732,280	45,557,720	3,419,981,000	316,132,000
108	PUMA FINANCIAL LLC	N	NFA	08/29/2008	1,602,274	500,000	1,102,274	10,914,406	0
109	RAND FINANCIAL SERVICES INC	N	CME	08/31/2008	65,972,485	12,768,241	53,204,244	161,013,200	26,591,900
110	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	08/29/2008	328,245,848	32,492,664	295,753,184	0	0
111	RBC CAPITAL MARKETS CORPORATION	Y	CME	08/29/2008	531,021,536	107,803,954	423,217,582	805,526,382	477,535,246
112	RBS GREENWICH CAPITAL INC	Y	CBOT	08/31/2008	2,013,358,000	145,839,000	1,867,519,000	1,308,286,000	11,306,000
113	RJ OBRIEN & ASSOCIATES LLC	N	CME	08/31/2008	99,911,357	71,366,198	28,545,159	2,343,234,712	27,171,799
114	ROBBINS FUTURES INC	N	NFA	08/31/2008	577,482	500,000	77,482	5,714,839	0
115	ROSENTHAL COLLINS GROUP LLC	N	CME	08/31/2008	79,638,871	39,535,774	40,103,097	1,197,470,553	11,536,625
116	ROSENTHAL GLOBAL SECURITIES LLC	Y	NFA	08/31/2008	18,779,755	1,487,724	17,292,031	0	0
117	SANFORD C BERNSTEIN & CO LLC	Y	NFA	08/29/2008	140,167,602	9,140,421	131,027,181	0	0
118	SANTANDER INVESTMENT SECURITIES INC.	Y	NFA	08/29/2008	79,383,592	500,000	78,883,592	0	0
119	SHATKIN ARBOR INC	N	CBOT	08/31/2008	10,715,309	925,531	9,789,778	57,946,142	32,142
120	SHAY GRAIN CLEARING COMPANY	N	KCBT	08/31/2008	2,799,558	941,676	1,857,882	14,599,831	0
121	SMW TRADING COMPANY INC	N	CME	08/31/2008	22,917,595	500,000	22,417,595	8,254,173	0
122	SNC INVESTMENTS INC	N	NFA	08/31/2008	697,461	500,000	197,461	0	0
123	STEPHENS INC	Y	NFA	08/29/2008	71,198,300	9,902,395	61,295,905	0	0
124	STERLING COMMODITIES CORP	N	NYME	08/31/2008	9,697,737	1,399,575	8,298,162	43,265,025	491,165
125	TCA FUTURES LLC	N	NFA	08/31/2008	873,022	500,000	373,022	0	0
126	TENCO INC	N	CBOT	08/31/2008	40,928,427	8,938,401	31,990,026	161,897,900	0
127	TERRA NOVA FINANCIAL, LLC	Y	NFA	08/30/2008	14,033,045	1,500,000	12,533,045	0	0
128	TIMBER HILL LLC	Y	CME	08/31/2008	1,074,073,573	19,084,081	1,054,989,492	258,207,002	0
129	TOWER RESEARCH CAPITAL EUROPE LLC	N	NFA	08/31/2008	2,723,790	500,000	2,223,790	0	0
130	TRADELINK LLC	Y	CBOT	08/31/2008	28,250,245	500,000	27,750,245	0	0
131	TRADEMAVEN CLEARING LLC	N	NFA	08/31/2008	729,242	500,000	229,242	3,912,028	9,690
132	TRADESTATION SECURITIES INC	Y	NFA	08/31/2008	88,369,268	2,447,064	85,922,204	0	0
133	TRADITION SECURITIES AND FUTURES INC	N	NFA	08/31/2008	806,703	500,000	306,703	0	0
134	TRANSMARKET GROUP LLC	Y	CBOT	08/31/2008	6,225,200	500,000	5,725,200	0	0
135	TRILAND USA INC	N	NYME	08/31/2008	40,920,590	16,916,110	24,004,480	199,861,587	0
136	UBS FINANCIAL SERVICES INC	Y	NFA	08/31/2008	1,353,750,720	129,092,736	1,224,657,984	350,747,108	1,663,491
137	UBS SECURITIES LLC	Y	CBOT	08/29/2008	11,135,214,003	1,230,429,098	9,904,784,905	14,421,314,248	7,903,734,543
138	VELOCITY FUTURES LP	N	NFA	08/31/2008	1,042,158	500,000	542,158	32,296,085	2,133,310
139	VISION FINANCIAL MARKETS LLC	Y	NFA	08/31/2008	27,400,993	10,924,531	16,476,462	452,167,771	1,836,173
140	WACHOVIA CAPITAL MARKETS LLC	Y	CME	08/31/2008	1,357,629,254	1,209,345	1,356,419,909	0	0

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141	WACHOVIA SECURITIES FINANCIAL NETWORK, LLC	Y	NFA	08/31/2008	28,674,074	2,258,841	26,415,233	0	0
142	WACHOVIA SECURITIES LLC	Y	NFA	08/31/2008	525,010,471	31,464,299	493,546,172	0	0
143	WHITE COMMERCIAL CORPORATION	N	NFA	08/31/2008	2,611,456	500,000	2,111,456	0	0
144	WSD FINANCIAL USA, INC	N	NFA	08/31/2008	1,272,821	500,000	772,821	0	0
145	YORK BUSINESS ASSOCIATES LLC	N	NFA	08/31/2008	1,163,800	500,000	663,800	36,693,098	1,354,789
	Total							142,999,432,656	44,110,875,275
	July Web Page Update	147							
	Additions	0							
	Deletions	2							
	Iowa Grain Co.								
	Lehman Brothers Inc.								
	Name Change								
	August Web Page Update	145							
	(a): B/D? : A 'Y' means the FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.								
	(b): DSRO: Designated Self-Regulatory Organization.								
	(c): A firm's net capital requirement is the greater of (1) \$250,000; or (2) risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 4% of total noncustomer risk maintenance margin; or (3) The amount of capital required by a registered futures association (currently NFA is the only such association); (4) for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission.								
	(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.								
	(e): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.								

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