

Futures Industry Registrants by Location as of September 30, 2008

Location	Floor Brokers	Floor Traders	Associated Persons*	FCMs**	Guaranteed IBs**	Non-Guar. IBs**	CTAs**	CPOs**	Principals*	Branches
Alabama	3	0	368	0	5	1	5	7	28	66
Alaska	1	0	44	0	1	0	1	1	3	9
Arizona	8	6	590	0	16	2	24	7	54	103
Arkansas	5	3	299	1	13	1	7	0	38	46
California	26	11	6,152	11	123	65	273	126	874	714
Colorado	4	1	875	0	26	7	51	24	130	158
Connecticut	77	12	1,426	5	5	10	96	85	360	123
Delaware	1	0	107	0	0	0	4	3	4	13
DC	0	0	134	0	0	2	6	6	20	6
Florida	56	8	3,504	11	87	58	158	69	484	478
Georgia	4	6	1,113	0	16	7	32	11	87	154
Hawaii	0	0	170	0	1	1	9	3	15	24
Idaho	1	0	127	0	8	0	5	2	17	32
Illinois	4,707	828	5,009	43	154	109	381	165	1,178	446
Indiana	77	17	582	0	30	1	22	12	83	115
Iowa	8	2	590	1	71	14	33	10	206	149
Kansas	59	5	481	0	38	4	18	2	120	90
Kentucky	1	1	251	0	3	1	6	3	28	44
Louisiana	1	1	355	0	2	2	5	3	17	72
Maine	1	0	98	0	1	0	2	0	6	22
Maryland	0	0	913	0	5	4	23	14	85	127
Massachusetts	6	2	1,130	1	4	7	64	33	193	126
Michigan	12	4	1,058	2	9	2	29	8	73	172
Minnesota	86	14	913	2	45	9	36	23	169	173
Mississippi	0	0	143	0	0	1	1	0	8	34
Missouri	44	6	733	4	34	6	20	8	115	113
Montana	5	0	74	0	7	0	2	1	8	18
Nebraska	1	0	497	2	57	4	17	8	132	132
Nevada	14	1	260	0	8	3	23	7	40	45
New Hampshire	3	1	114	0	1	3	6	2	17	27
New Jersey	666	131	3,843	8	12	23	141	70	572	261
New Mexico	1	1	141	0	4	1	6	4	14	27
New York	1,202	263	7,960	72	47	116	386	340	1,286	326

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North Carolina	2	1	850	2	15	3	30	17	87	183
North Dakota	0	1	107	0	17	0	2	1	26	39
Ohio	4	2	1,129	1	20	5	30	10	96	217
Oklahoma	0	1	351	0	16	1	11	4	36	61
Oregon	2	1	443	0	19	3	21	6	56	61
Pennsylvania	35	18	1,389	0	10	6	38	22	117	207
Rhode Island	1	1	90	1	1	0	4	1	15	12
South Carolina	0	1	371	0	3	0	7	4	16	75
South Dakota	0	0	188	0	28	3	2	0	58	51
Tennessee	4	2	672	2	20	8	25	11	113	100
Texas	7	7	3,081	2	66	30	135	88	409	419
Utah	1	0	367	2	1	4	14	6	56	49
Vermont	2	0	89	0	0	0	2	0	5	16
Virginia	2	1	1,036	0	12	6	42	20	179	137
Washington	0	2	728	0	10	2	22	7	60	115
West Virginia	0	0	71	0	0	0	1	0	2	18
Wisconsin	34	7	594	1	19	2	24	8	77	92
Wyoming	2	0	38	0	1	0	3	0	5	13
Total U.S.	7,176	1,369	51,648	174	1,091	537	2,305	1,262	7,877	6,310
Total Foreign	159	77	1,601	5	4	15	229	91	733	49
Total Registered	7,335	1,446	53,249	179	1,095	552	2,534	1,353	8,610	6,359

*Although associated persons and principals may be affiliated with more than one firm, they are counted once at a single location.

**A Firm registered in more than one category is counted in each category. The FCM column and the Non-Guaranteed IB column include 16 and 44 securities broker-dealers, respectively, that have "notice registered" to engage in security futures transactions.